



DEPARTMENT OF HEALTH & HUMAN SERVICES

Public Health Service

National Institutes of Health
Freedom of Information Office
Building 31, Room 5B-35
31 Center Drive, MSC 2107
Bethesda, Maryland 20892-2107
phone: (301) 496-5633
fax: (301) 402-4541

Via email: john@greenewald.com

February 3, 2022

John Greenewald
27305 W Live Oak Rd
Ste. 1203
Castaic, CA 91384

Re: Your request for Financial Disclosure Reports

Dear Mr. Greenewald,

This is the complete response to your January 2022, Ethics Form 201 request. You requested a copy of Dr. Anthony Fauci's 278e public financial disclosure reports for the most recent 5 years. The NIH Ethics Office manages release of public financial disclosure reports consistent with the Ethics in Government Act. The NIH FOIA office handles the release of the records on behalf of the NIH Ethics Office. The agency has reviewed the records that you request via Form 201 and made minor withholdings to protect personal privacy information. The records are enclosed.

If you have any questions about this response, we are available at 301-496-5633.

Sincerely,

Gorka Garcia-Malene
For the NIH Ethics Office

Enclosed: 19 PDF files

This document is made available through the declassification efforts
and research of John Greenewald, Jr., creator of:

The Black Vault



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document clearinghouse in the world. The research efforts here are
responsible for the declassification of hundreds of thousands of pages
released by the U.S. Government & Military.

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**Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)**

Filer's Information

FAUCI, ANTHONY S

Date of Appointment: 02/01/1985

Other Federal Government Positions Held During the Preceding 12 Months:
Director, National Institute of Allergy and Infectious Diseases

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

FAUCI, ANTHONY S [electronically signed on 03/09/2017 by FAUCI, ANTHONY S in NEES]

Agency Ethics Official Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

TABAK, LAWRENCE ALLAN [electronically signed on 04/25/2017 by TABAK, LAWRENCE ALLAN in NEES]

Other review conducted by

#	COMMENTS	EMPLOYEE	DATE
1	3/13/17 Initial Review: Compliant with all applicable rules. Employee does not have any SAO's. Report is consistent with HHS-717-1 Verified 2 approved 520s on file. DHarris	NEO Reviewer - HARRIS, DAVID L	4/19/2017 at 9:05 AM

U.S office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	NAME	CITY, STATE	ORG TYPE	POSITION	FROM	TO
1	McGraw-Hill Publishing Company	Burr Ridge, Illinois	Publisher/Journal	Editor, Associate	11/01/1983	N/A
2	Doris Duke Charitable Foundation (DDCF)	NEW YORK, New York	Foundation	Member	09/01/1996	N/A

2. Filer's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Jackson-Fillmore (Italian Restaurant)	N	\$1,001 to \$15,000		None (or less than \$201)
2	McGraw-Hill Publishers, Inc. - New York, New York	N	None (or less than \$1,001)	Rents and Royalties	\$100,001 to \$1,000,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a year

None

5. Spouse's Employment Assets and Income

See Attachments D-E

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Dreyfus Liquid Assets Class I - Money Market	Y	\$100,001 to \$250,000	Dividends	None (or less than \$201)
2	Learning Quest 529 Education Plans: Moderate track, Short-term Portfolio, aggregate for 3 children	Y	\$50,001 to \$100,000	Dividends	\$2,501 to \$5,000

See Attachments A-C

7. Transactions

See Attachments F-J

8. Liabilities

None

9. Gifts and Travel Reimbursements

#	BRIEF DESCRIPTION	SOURCE NAME	CITY, STATE	VALUE
1	Meeting of the McGraw-Hill Editorial Board in Cabo Mexico from 12/27/2015 to 01/03/16 to plan the next edition of Harrison's Principles of Internal Medicine textbook. Reimbursement for travel expenses, hotel and meals	McGraw-Hill Publishing Company	Burr Ridge, Illinois	7431.00

2	Trip to New York City on 05/09/2016 for meeting of the Doris Duke Charitable Foundation Board of Trustees. Reimbursement for travel	Doris Duke Charitable Foundation (DDCF)	NEW YORK, New York	929.00
3	One day meeting of the McGraw-Hill Editorial Board in New York City on 11/05/2016 to review progress of the next edition of Harrison's Principles of Internal Medicine textbook. Reimbursement for travel.	McGraw-Hill Publishing Company	Burr Ridge, Illinois	462.00
4	Trip to New York City on 11/14/2016 for meeting of the Doris Duke Charitable Foundation Board of Trustees. Travel expenses.	Doris Duke Charitable Foundation (DDCF)	NEW YORK, New York	922.00
5	WAG - Attended Annual Advocacy Awards dinner on 03/16/2016	Research! America	ARLINGTON, Virginia	750.00
6	WAG - Attended an "Evening of Hope" to benefit the Children's Inn at NIH on 05/07/2016	NIH Children's Inn	BETHESDA, Maryland	1200.00
7	I received the Canada Gairdner Global Health Award on 10/27/2016 presented in Toronto, Canada. Amount of award was \$74,340. The dinner was estimated for my spouse and me at \$1000.	Canada Gairdner Foundation	Toronto,	75340.00
8	Spouse - Attended Board of Directors Meeting in Chicago on April 19-20, 2016. Travel expenses.	American Society for Bioethics and the Humanities	CHICAGO, Illinois	313.00
9	Spouse - Attended Board of Directors meeting in Boston, MA on February 12-13, 2016. Travel expenses	Public Responsibility in Medicine and Research	BOSTON, Massachusetts	475.00
10	Spouse - Attended Board of Directors meeting in Boston, MA on November 13-16, 2016. Travel expenses	Public Responsibility in Medicine and Research	BOSTON, Massachusetts	390.00

Account Summary

charles
SCHWABSchwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period

December 1-31, 2016

Account Value as of 12/31/2016: \$

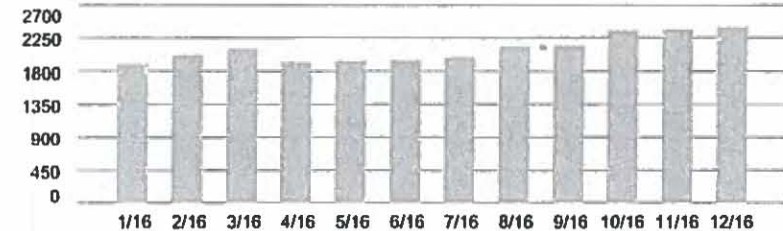
Change in Account Value

This Period

Year to Date

Account Value (\$) Over Last 12 Months [in Thousands]

Starting Value	\$ 2,374,811.02	\$ 1,960,423.36
Cash Value of Purchases & Sales	(294,644.14)	(912,977.55)
Investments Purchased/Sold	294,644.14	912,977.55
Deposits & Withdrawals	17,698.98	559,220.70
Dividends & Interest	32,133.92	49,811.78
Fees & Charges	0.00	(14,289.35)
Transfers	0.00	(199,978.60)
Income Reinvested	(17,875.00)	(17,995.45)
Change in Value of Investments	4,292.51	73,868.99
Ending Value on 12/31/2016	\$ 2,411,061.43	\$ 2,411,061.43
Total Change in Account Value (Totals include Deposits & Withdrawals)	\$ 36,250.41	\$ 450,638.07



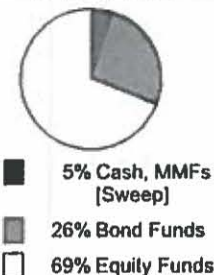
Asset Composition

Market Value

% of Account Assets

Overview

Cash and Money Market Funds [Sweep]	\$ 125,201.26	5%
Bond Funds	623,118.53	26%
Equity Funds	1,662,741.64	69%
Total Assets Long	\$ 2,411,061.43	
Total Account Value	\$ 2,411,061.43	100%



Gain or (Loss) Summary

Realized Gain or (Loss) This Period

Short Term	\$(52.98)
Long Term	\$(16,245.70)

Unrealized Gain or (Loss)

All Investments	\$104,640.39
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Values may not reflect all of your gains/losses.

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



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Statement Period
December 1-31, 2016

Income Summary	This Period		Year to Date	
	Federally Tax-Exempt ¹	Federally Taxable	Federally Tax-Exempt ¹	Federally Taxable
Money Funds Dividends	0.00	88.12	0.00	208.57
Cash Dividends	845.22	13,676.79	9,814.47	22,264.95
Total Capital Gains	0.00	17,523.79	0.00	17,523.79
Total Income	845.22	31,288.70	9,814.47	39,997.31

¹Certain income in this category may qualify for state tax exemption; consult your tax advisor.

Investment Detail - Cash and Money Market Funds [Sweep]

Cash	Market Value	% of Account Assets
Cash	1,882.82	<1%
Total Cash	1,882.82	<1%

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCH ADV CASH RESRV PREM: SWZXX	123,318.4400	1.0000	123,318.44	0.42%	5%
Total Money Market Funds [Sweep]			123,318.44		5%
Total Cash & Money Market [Sweep]			125,201.26		5%

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
ANGEL OAK MULTI STRATEGY INCM FD INSTL SYMBOL: ANGIX	4,906.4580	11.2100	55,001.39	2%	11.56	56,732.96	(1,731.57)

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Statement Period
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Investment Detail - Mutual Funds (continued)

Bond Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
PIMCO INVESTMENT GRADE CORP BD INSTL SYMBOL: PIGIX	18,884.3900	10.2100	192,809.62	8%	10.59	200,015.00	(7,205.38)
T ROWE PRICE INST FLOATING RATE FD SYMBOL: RPIFX	5,775.9570	10.0800	58,221.65	2%	10.04	58,000.00	221.65
T ROWE PRICE SUMMIT MUNI INTERM FUND SYMBOL: PRSMX	27,124.5400	11.6900	317,085.87	13%	11.99	325,118.55	(8,032.68)
Total Bond Funds	50,591.3450		623,116.53	25%		639,866.51	(16,747.98)
Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
AT INCM OPPTY FD INST SYMBOL: AWIIX	18,505.0620	10.2500	189,676.89	8%	10.27	190,000.00	(323.11)
AT MID CAP EQTY FD INST SYMBOL: AWMIX	11,270.6420	11.5000	129,612.38	5%	11.70	131,845.76	(2,233.38)
ATLANTIC TRUST DISC EQTY INST SYMBOL: AWEIX	52,354.3490	16.2800	852,328.80	35%	14.66	754,880.63	97,448.17
HARDING LOEVNER INST EMRG MKTS CL I SYMBOL: HLMEX	4,841.7070	16.8400	81,534.35	3%	16.52	80,000.00	1,534.35
IVA INTL FD CL I SYMBOL: IVIQX	5,335.2010	15.7800	84,189.47	3%	16.50	86,217.25	(2,027.78)

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Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	4,001.3110	36.4000	145,647.72	6%	32.30	129,259.58	16,388.14
MAINSTAY EPOCH GLOBAL EQTY YIELD I SYMBOL: EPSYX	4,044.0320	17.6400	71,336.72	3%	17.19	67,121.04	4,215.68
VULCAN VALUE PARTNERS SMALL CAP FD SYMBOL: VVPSX	5,782.1500	18.7500	108,415.31	4%	17.65	102,029.01	6,386.30
Total Equity Funds	106,134.4540		1,662,741.64	69%		1,541,353.27	121,388.37
Total Mutual Funds	162,625.7990		2,285,860.17	95%		2,181,219.78	104,640.39
Total Investment Detail							2,411,081.43
Total Account Value							2,411,061.43
Total Cost Basis							2,181,219.78

Realized Gain or (Loss)

Short Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
IVA INTL FD CL I: IVIQX	110.4310	12/14/16	12/22/16	1,735.65	1,749.23	(13.58)
MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	39.1090	12/07/16	12/22/16	879.66	919.06	(39.40)
Total Short Term				2,615.31	2,668.29	(52.98)

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Realized Gain or (Loss) (continued)

Long Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
MFS MUNI HIGH INCOME FD CL I: MMIIX	5,569.3070	11/09/15	12/20/16	44,260.99	45,049.95	(788.96)
IVA INTL FD CL I: IVIQX	4,978.6280	09/03/13	12/22/16	78,249.35	84,057.90	(5,808.55)
MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	1,952.2980	multiple	12/22/16	43,912.00	46,902.02	(2,990.02)
VIRTUS EMRG MKTS OPPTY FD CL I: HIEMX	4,877.8380	multiple	12/22/16	43,105.09	49,763.26	(6,658.17)
Total Long Term				209,527.43	225,773.13	(16,245.70)
Total Realized Gain or (Loss)				212,142.74	228,441.42	(16,298.68)

Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here.

Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.

Transaction Detail - Purchases & Sales

Bond Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/07/16	12/07/16	Reinvested Shares	T ROWE PRICE SUMMIT MUNI INTERM FUND: PRSMX	1.8560	11.6900	(21.70)
12/21/16	12/20/16	Sold	MFS MUNI HIGH INCOME FD CL I: MMIIX	(5,569.3070)	7.9500	44,260.99
12/23/16	12/22/16	Bought	ANGEL OAK MULTI STRATEGY INCM FD INSTL: ANGIX	1,966.4580	11.1800	(22,000.00)
12/23/16	12/22/16	Bought	T ROWE PRICE INST FLOATING RATE FD: RPIFX	2,181.0520	10.0800	(22,000.00)
Total Bond Funds Activity						239.29

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Statement Period

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Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/02/16	12/01/16	Bought	AT MID CAP EQTY FD INST: AWMIX	2,148.3230	11.6300	(25,000.00)
12/02/16	12/01/16	Bought	ATLANTIC TRUST DISC EQTY INST: AWEIX	10,728.6940	16.3100	(175,000.00)
12/02/16	12/01/16	Bought	JPMORGAN MID CAP VALUE L: FLMVX	646.6100	38.6400	(25,000.00)
12/02/16	12/01/16	Bought	VULCAN VALUE PARTNERS SMALL CAP FD: VVPSX	2,680.9650	18.6500	(50,000.00)
12/07/16	12/07/16	Reinvested Shares	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	34.6920	23.5000	(815.26)
12/07/16	12/07/16	Reinvested Shares	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	4.4170	23.5000	(103.80)
12/14/16	12/14/16	Reinvested Shares	IVA INTL FD CL I: IVIQX	110.4310	15.8400	(1,749.23)
12/14/16	12/14/16	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	3.7170	37.0200	(137.59)
12/14/16	12/14/16	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	225.0110	37.0200	(8,329.90)
12/20/16	12/20/16	Reinvested Shares	AT MID CAP EQTY FD INST: AWMIX	146.2630	11.6900	(1,709.82)
12/20/16	12/20/16	Reinvested Shares	ATLANTIC TRUST DISC EQTY INST: AWEIX	295.2930	16.6600	(4,919.58)
12/23/16	12/22/16	Bought	AT INCM OPPTY FD INST: AWIIX	8,702.6110	10.3400	(90,000.00)
12/23/16	12/22/16	Sold	IVA INTL FD CL I: IVIQX	(5,089.0590)	15.7200	79,985.00
12/23/16	12/22/16	Sold	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	(1,991.4070)	22.5000	44,791.66
12/23/16	12/22/16	Sold	VIRTUS EMRG MKTS OPPTY FD CL I: HIEMX	(4,877.8380)	8.8400	43,105.09

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Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Account Number
[REDACTED]

Statement Period
December 1-31, 2016

Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity (continued)

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/28/16	12/22/16	Bought	HARDING LOEVNER INST EMRG MKTS CL I: HLMEX	4,841.7070	16.5200	(80,000.00)
Total Equity Funds Activity						(294,883.43)
Total Purchases & Sales						(294,644.14)

Transaction Detail - Deposits & Withdrawals

Transaction Process		Activity	Description	Location	Credit/(Debit)
Date	Date				
12/13/16	12/13/16	Journaled Funds	J7NORMAL FR		17,698.98
Total Deposits & Withdrawals					17,698.98

The total deposits activity for the statement period was \$17,698.98. The total withdrawals activity for the statement period was \$0.00.

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Process		Activity	Description	Credit/(Debit)
Date	Date			
12/07/16	12/07/16	Cash Dividend	MATTHEWS PACIFIC TIGER: MIPTX	334.64
12/07/16	12/07/16	LT Cap Gain Rein	MATTHEWS PACIFIC TIGER: MIPTX	815.26
12/07/16	12/07/16	Stlm Cap Gn Rein	MATTHEWS PACIFIC TIGER: MIPTX	103.80
12/07/16	12/07/16	Stlm Cap Gn Rein	T ROWE PRICE SUMMIT MUNI: PRSMX	21.70
12/08/16	12/08/16	Cash Dividend	MAINSTAY EPOCH GLOBAL: EPSYX	456.72
12/14/16	12/14/16	Cash Dividend	IVA INTL FD CL I: IVIQX	729.19
12/14/16	12/14/16	LT Cap Gain Rein	IVA INTL FD CL I: IVIQX	1,749.23
12/14/16	12/14/16	Stlm Cap Gn Rein	JPMORGAN MID CAP VALUE: FLMVX	137.59

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Statement Period
December 1-31, 2016

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued)

Transaction Process		Activity	Description	Credit/(Debit)
Date	Date			
12/14/16	12/14/16	LT Cap Gain Rein	JPMORGAN MID CAP VALUE: FLMVX	8,329.90
12/20/16	12/20/16	LT Cap Gain Rein	AT MID CAP EQTY FD INST: AWMIX	1,709.82
12/20/16	12/20/16	LT Cap Gain Rein	ATLANTIC TRUST DISC EQTY: AWEIX	4,919.58
12/20/16	12/20/16	Cash Dividend	JPMORGAN MID CAP VALUE: FLMVX	1,267.62
12/20/16	12/20/16	Cash Dividend	VULCAN VALUE PARTNERS: VVPSX	345.95
12/21/16	12/21/16	Cash Dividend	VIRTUS EMRG MKTS OPPTY: HIEMX	195.11
12/28/16	12/28/16	Cash Dividend	ANGEL OAK MULTI STRATEGY: ANGIX	42.20
12/29/16	12/29/16	Cash Dividend	AT INCM OPPTY FD INST: AWIIX	1,282.40
12/29/16	12/29/16	Cash Dividend	ATLANTIC TRUST DISC EQTY: AWEIX	7,722.27
12/30/16	12/30/16	Cash Dividend	ANGEL OAK MULTI STRATEGY: ANGIX	205.73
12/30/16	12/30/16	Cash Dividend	MFS MUNI HIGH INCOME FD: MMIIX	108.41
12/30/16	12/30/16	Cash Dividend	PIMCO INVESTMENT GRADE: PIGIX	675.25
12/30/16	12/30/16	Dividend	SCH ADV CASH RESRV PREM: SWZXX	88.12
12/30/16	12/30/16	Cash Dividend	T ROWE PRICE INST: RPIFX	156.62
12/30/16	12/30/16	Cash Dividend	T ROWE PRICE SUMMIT MUNI: PRSMX	736.81
Total Dividends & Interest				32,133.92

Total Transaction Detail (244,811.24)

Money Funds Detail

SCH ADV CASH RESRV PREM Activity

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
Opening # of Shares: 368,198.0400					
12/01/16	Purchased	1,814.4600	1.0000	1,814.46	
12/02/16	Redeemed	275,000.0000	1.0000		275,000.00

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[REDACTED]

Statement Period
December 1-31, 2016

Money Funds Detail (continued)

SCH ADV CASH RESRV PREM Activity (continued)

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
12/08/16	Purchased	334.6400	1.0000	334.64	
12/09/16	Purchased	456.7200	1.0000	456.72	
12/14/16	Purchased	17,698.9800	1.0000	17,698.98	
12/15/16	Purchased	729.1900	1.0000	729.19	
12/21/16	Purchased	1,613.5700	1.0000	1,613.57	
12/22/16	Purchased	44,456.1000	1.0000	44,456.10	
12/28/16	Redeemed	46,118.2500	1.0000		46,118.25
12/29/16	Purchased	42.2000	1.0000	42.20	
12/30/16	Purchased	9,004.6700	1.0000	9,004.67	
12/30/16	Dividend	88.1200	1.0000	88.12	

Closing # of Shares: 123,318.4400

Total SCH ADV CASH RESRV PREM Activity				76,238.65	321,118.25
--	--	--	--	-----------	------------

Total Money Funds Detail				76,238.65	321,118.25
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SCH ADV CSH RSV PR Average Yield For The Most Recent Pay Period: 0.35%; 7-Day Yield: 0.42%.

Endnotes For Your Account

Symbol Endnote Legend

f 7-day yield: Annualized fund yield based on the average daily yield for the previous 7 days, assuming dividends are paid in cash and not reinvested.

charles
SCHWABBrokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Account Number

Statement Period

December 1-31, 2016

Account Value as of 12/31/2016: \$

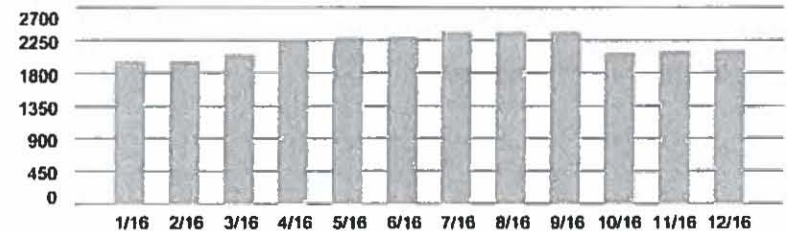
Change in Account Value

This Period

Year to Date

Account Value (\$) Over Last 12 Months [in Thousands]

Starting Value	\$ 2,110,562.37	\$ 2,037,031.35
Cash Value of Purchases & Sales	(25,863.68)	167,800.99
Investments Purchased/Sold	25,863.68	(167,800.99)
Deposits & Withdrawals	0.00	(246,666.72)
Dividends & Interest ^B	33,772.13	60,341.02
Fees & Charges	0.00	(13,784.87)
Transfers	0.00	199,978.60
Income Reinvested	(25,848.68)	(34,048.39)
Change in Value of Investments	13,980.03	129,614.86
Ending Value on 12/31/2016	\$ 2,132,465.85	\$ 2,132,465.85
Total Change in Account Value (Totals include Deposits & Withdrawals)	\$ 21,903.48	\$ 95,434.50



Asset Composition

Market Value

% of Account Assets

Overview

Cash and Deposit Accounts ^{A,B}	\$ 38,387.37	2%
Bond Funds	493,278.70	23%
Equity Funds	1,600,799.78	75%
Total Assets Long	\$ 2,132,465.85	
Total Account Value	\$ 2,132,465.85	100%



- 2% Cash, Deposit Accounts (A,B)
- 23% Bond Funds
- 75% Equity Funds

Gain or (Loss) Summary

Realized Gain or (Loss) This Period

Short Term	\$(18.54)
Long Term	\$(4,055.51)

Unrealized Gain or (Loss)

All Investments	\$110,860.94
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Values may not reflect all of your gains/losses.



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Account Number
[REDACTED]

Statement Period
December 1-31, 2016

Income Summary	This Period		Year to Date	
	Federally Tax-Exempt	Federally Taxable	Federally Tax-Exempt	Federally Taxable
Deposit Accounts Interest	0.00	0.25	0.00	1.42
Money Funds Dividends	0.00	0.00	0.00	9.01
Cash Dividends	0.00	16,814.54	0.00	43,373.25
Total Capital Gains	0.00	16,957.34	0.00	16,957.34
Total Income	0.00	33,772.13	0.00	60,341.02

Investment Detail - Cash and Deposit Accounts

Cash	Market Value	% of Account Assets
Cash	1,493.13	<1%
Total Cash	1,493.13	<1%

Deposit Accounts	Market Value	% of Account Assets
Schwab Bank Sweep for Benefit Plans ^{AB}	36,894.24	2%
Total Deposit Accounts	36,894.24	2%
Total Cash and Deposit Accounts	38,387.37	2%

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Account Number

Statement Period
December 1-31, 2016

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
ANGEL OAK MULTI STRATEGY 0	5,970.2550	11.2100	66,926.56	3%	12.25	73,119.03	(6,192.47)
INCM FD INSTL SYMBOL: ANGIX							
PIMCO INVESTMENT GRADE CORP BD INSTL SYMBOL: PIGIX	41,758.2900	10.2100	426,352.14	20%	10.44	434,833.38	(8,481.24)
Total Bond Funds	47,728.5450		493,278.70	23%		507,952.41	(14,673.71)
Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
AT INCM OPPTY FD INST SYMBOL: AWIX	9,803.9220	10.2500	100,490.20	5%	10.20	100,015.00	475.20
AT MID CAP EQTY FD INST SYMBOL: AWMIX	2,133.9180	11.5000	24,540.06	1%	11.89	25,380.35	(840.29)
ATLANTIC TRUST DISC EQTY 0 INST SYMBOL: AWEIX	33,945.8830	16.2800	552,638.98	26%	13.96	455,666.44	96,972.54
HAMLIN HIGH DIV EQTY INSTL SYMBOL: HHDFX	17,775.5210	21.0500	374,174.72	18%	19.69	350,049.95	24,124.77
IVA INTL FD CL I 0 SYMBOL: IVIQX	5,309.8950	15.7800	83,790.14	4%	16.63	87,189.81	(3,399.67)
JPMORGAN MID CAP VALUE 0 L SYMBOL: FLMVX	5,322.5660	36.4000	193,741.40	9%	32.33	175,594.20	18,147.20

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Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Account Number
[REDACTED]

Statement Period
December 1-31, 2016

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
MAINSTAY EPOCH GLOBAL ° EQTY YIELD I SYMBOL: EPSYX	5,679.6200	17.6400	100,188.50	5%	17.34	96,077.33	4,111.17
MATTHEWS PACIFIC TIGER FD INSTL SYMBOL: MIPTX	1,958.5870	22.9000	44,851.64	2%	26.00	50,918.91	(6,067.27)
VIRTUS EMRG MKTS OPPTY ° FD CL I SYMBOL: HIEMX	5,682.2220	9.0500	51,424.11	2%	10.44	59,373.14	(7,949.03)
VULCAN VALUE PARTNERS SMALL CAP FD SYMBOL: VVPSX	3,997.8680	18.7500	74,960.03	4%	18.76	75,000.00	(39.97)
Total Equity Funds	91,610.0020		1,800,799.78	75%		1,475,265.13	125,534.65
Total Mutual Funds	139,338.5470		2,094,078.48	98%		1,983,217.54	110,860.94
Total Investment Detail							2,132,465.85
Total Account Value							2,132,465.85
Total Cost Basis							1,983,217.54

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Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Account Number

Statement Period
December 1-31, 2016

Realized Gain or (Loss)

Short Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
IVA INTL FD CL I: IVIQX	150.6470	12/14/16	12/22/16	2,367.70	2,386.24	(18.54)
Total Short Term				2,367.70	2,386.24	(18.54)
Long Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
IVA INTL FD CL I: IVIQX	4,620.3450	multiple	12/22/16	72,617.30	76,672.81	(4,055.51)
Total Long Term				72,617.30	76,672.81	(4,055.51)
Total Realized Gain or (Loss)				74,985.00	79,059.05	(4,074.05)

Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here.

Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.

Transaction Detail - Purchases & Sales

Bond Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/28/16	12/28/16	Reinvested Shares	ANGEL OAK MULTI STRATEGY INCM FD INSTL: ANGIX	4.5600	11.1900	(51.03)
12/30/16	12/30/16	Reinvested Shares	ANGEL OAK MULTI STRATEGY INCM FD INSTL: ANGIX	31.8430	11.2100	(356.96)
Total Bond Funds Activity						(407.99)

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Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Account Number

Statement Period
December 1-31, 2016

Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/07/16	12/07/16	Reinvested Shares	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	34.1200	23.5000	(801.82)
12/07/16	12/07/16	Reinvested Shares	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	4.3440	23.5000	(102.09)
12/08/16	12/08/16	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	36.6470	17.3900	(637.29)
12/14/16	12/14/16	Reinvested Shares	IVA INTL FD CL I: IVIQX	106.3240	15.8400	(1,684.17)
12/14/16	12/14/16	Reinvested Shares	IVA INTL FD CL I: IVIQX	44.3230	15.8400	(702.07)
12/14/16	12/14/16	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	4.9020	37.0200	(181.46)
12/14/16	12/14/16	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	296.7670	37.0200	(10,986.31)
12/20/16	12/20/16	Reinvested Shares	AT MID CAP EQTY FD INST: AWMIX	27.6930	11.6900	(323.73)
12/20/16	12/20/16	Reinvested Shares	ATLANTIC TRUST DISC EQTY INST: AWEIX	189.7550	16.6600	(3,161.31)
12/20/16	12/20/16	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	45.2340	36.9600	(1,671.86)
12/21/16	12/21/16	Reinvested Shares	VIRTUS EMRG MKTS OPPTY FD CL I: HIEMX	25.4240	8.9000	(226.27)
12/23/16	12/22/16	Sold	IVA INTL FD CL I: IVIQX	(4,770.9920)	15.7200	74,985.00
12/23/16	12/22/16	Bought	VULCAN VALUE PARTNERS SMALL CAP FD: VVPSX	3,997.8680	18.7600	(75,000.00)

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Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Account Number
[REDACTED]

Statement Period
December 1-31, 2016

Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity (continued)

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/29/16	12/29/16	Reinvested Shares	ATLANTIC TRUST DISC EQTY INST: AWEIX	303.1340	16.3700	(4,962.31)
Total Equity Funds Activity						(25,455.69)
Total Purchases & Sales						(25,863.68)

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/07/16	12/07/16	Cash Dividend	MATTHEWS PACIFIC TIGER: MIPTX	329.13
12/07/16	12/07/16	LT Cap Gain Rein	MATTHEWS PACIFIC TIGER: MIPTX	801.82
12/07/16	12/07/16	Stlm Cap Gn Rein	MATTHEWS PACIFIC TIGER: MIPTX	102.09
12/08/16	12/08/16	Div For Reinvest	MAINSTAY EPOCH GLOBAL: EPSYX	637.29
12/14/16	12/14/16	Div For Reinvest	IVA INTL FD CL I: IVIQX	702.07
12/14/16	12/14/16	LT Cap Gain Rein	IVA INTL FD CL I: IVIQX	1,684.17
12/14/16	12/14/16	Stlm Cap Gn Rein	JPMORGAN MID CAP VALUE: FLMVX	181.46
12/14/16	12/14/16	LT Cap Gain Rein	JPMORGAN MID CAP VALUE: FLMVX	10,986.31
12/15/16	12/16/16	Bank Interest ^{A,B}	BANK INT 111616-121516	0.25
12/20/16	12/20/16	LT Cap Gain Rein	AT MID CAP EQTY FD INST: AWMIX	323.73
12/20/16	12/20/16	LT Cap Gain Rein	ATLANTIC TRUST DISC EQTY: AWEIX	3,161.31
12/20/16	12/20/16	Div For Reinvest	JPMORGAN MID CAP VALUE: FLMVX	1,671.86
12/21/16	12/21/16	Div For Reinvest	VIRTUS EMRG MKTS OPPTY: HIEMX	226.27
12/28/16	12/28/16	Div For Reinvest	ANGEL OAK MULTI STRATEGY: ANGIX	51.03
12/29/16	12/29/16	Cash Dividend	AT INCM OPPTY FD INST: AWIIX	679.41
12/29/16	12/29/16	Div For Reinvest	ATLANTIC TRUST DISC EQTY: AWEIX	4,962.31

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Account Number

Statement Period

December 1-31, 2016

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued)

Transaction Process		Activity	Description	Credit/(Debit)
Date	Date			
12/29/16	12/29/16	Cash Dividend	HAMLIN HIGH DIV EQTY: HHDFX	5,421.53
12/30/16	12/30/16	Div For Reinvest	ANGEL OAK MULTI STRATEGY: ANGIX	356.96
12/30/16	12/30/16	Cash Dividend	PIMCO INVESTMENT GRADE: PIGIX	1,493.13
Total Dividends & Interest				33,772.13

Total Transaction Detail 7,908.45

Schwab Bank Sweep for Benefit Plans Activity

Transaction Date	Transaction	Description	Withdrawal	Deposit	Balance ^{A,B}
Opening Balance ^{A,B}					29,091.47
12/01/16	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		1,387.45	30,478.92
12/08/16	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		329.13	30,808.05
12/15/16	Interest Paid ^{A,B}	BANK INTEREST		0.25	30,808.30
12/23/16	Auto Transfer	BANK TRANSFER TO BROKERAGE	15.00		30,793.30
12/30/16	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		6,100.94	36,894.24
Total Activity			15.00	7,817.77	
Ending Balance ^{A,B}					36,894.24

Schwab Bank Sweep for Benefit Plans: Interest Rate as of 12/30/16 was 0.03%. Your interest period was 11/16/16 - 12/15/16. ^B



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Account Number



Statement Period
December 1-31, 2016

Endnotes For Your Account

Symbol	Endnote Legend
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- | | |
|---|---|
| Ø | Dividends paid on this security will be automatically reinvested. |
| A | Balances held at Charles Schwab Bank, member FDIC. |
| B | Please note that the interest is paid for a period that differs from the Statement Period. Bank balances include any interest that Charles Schwab Bank paid as indicated on the Sweep for Benefit Plans Activity page. Bank balances do not include any interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. |
-



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Summary

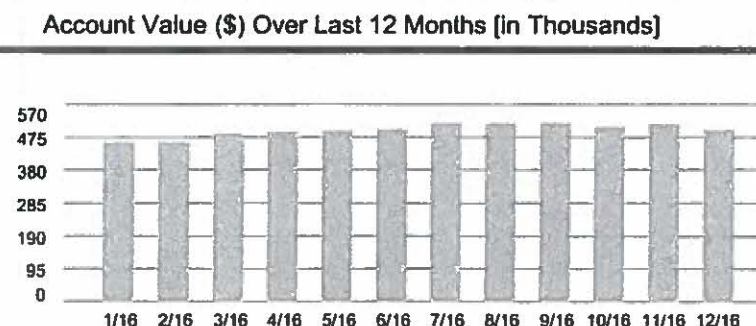
Account Number

Statement Period

December 1-31, 2016

Account Value as of 12/31/2016: \$

Change in Account Value	This Period	Year to Date
Starting Value	\$ 516,339.31	\$ 480,712.97
Cash Value of Purchases & Sales	26,962.25	16,373.29
Investments Purchased/Sold	(26,962.25)	(16,373.29)
Deposits & Withdrawals	(21,850.59)	(21,850.59)
Dividends & Interest	9,786.05	13,481.25
Fees & Charges	0.00	0.00
Transfers	0.00	0.00
Income Reinvested	(7,283.06)	(8,780.73)
Change in Value of Investments	2,454.05	35,882.86
Ending Value on 12/31/2016	\$ 499,445.76	\$ 499,445.76
Total Change in Account Value (Totals Include Deposits & Withdrawals)	\$ (16,893.55)	\$ 18,732.79



Asset Composition	Market Value	% of Account Assets	Overview
Cash and Money Market Funds			
[Sweep]	\$ 22,125.02	4%	
Bond Funds	32,432.91	6%	
Equity Funds	444,887.83	89%	
Total Assets Long	\$ 499,445.76		
Total Account Value	\$ 499,445.76	100%	



- 4% Cash, MMFs [Sweep]
- 6% Bond Funds
- 89% Equity Funds

Gain or (Loss) Summary

Gain or (Loss) on Investments Sold

This Period \$(5,605.68)

Unrealized Gain or (Loss)

All Investments \$38,037.63

Values may not reflect all of your gains/losses.



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2016

Income Summary

	This Period	Year To Date
Money Funds Dividends	13.32	19.05
Cash Dividends	4,448.62	8,138.09
Total Capital Gains	5,324.11	5,324.11
Total Income	9,786.05	13,481.25

Investment Detail - Cash and Money Market Funds [Sweep]

Cash	Market Value	% of Account Assets
Cash	72.86	<1%
Total Cash	72.86	<1%

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCH ADV CASH RESRV PREM: SWZXX	22,052.1600	1.0000	22,052.16	0.42%	4%
Total Money Market Funds [Sweep]			22,052.16		4%
Total Cash & Money Market [Sweep]			22,125.02		4%

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
ANGEL OAK MULTI STRATEGY	1,467.2530	11.2100	16,447.91	3%	12.26	17,992.29	(1,544.38)
INCM FD INSTL SYMBOL: ANGIX							

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Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number
[REDACTED]

Statement Period
December 1-31, 2016

Investment Detail - Mutual Funds (continued)

Bond Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
T ROWE PRICE INST FLOATING RATE FD SYMBOL: RPIFX	1,585.8130	10.0800	15,985.00	3%	10.09	16,000.00	(15.00)
Total Bond Funds	3,053.0660		32,432.91	6%		33,992.29	(1,559.38)
Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
AT INCM OPPTY FD INST SYMBOL: AWIIX	2,416.3440	10.2500	24,767.53	5%	10.35	25,000.00	(232.47)
AT MID CAP EQTY FD INST SYMBOL: AWMIX	2,153.8790	11.5000	24,769.61	5%	11.61	25,000.00	(230.39)
ATLANTIC TRUST DISC EQTY ° INST SYMBOL: AWEIX	9,223.2640	16.2800	150,154.74	30%	13.02	120,046.16	30,108.58
HAMLIN HIGH DIV EQTY INSTL SYMBOL: HHDFX	4,570.8480	21.0500	96,216.35	19%	19.70	90,049.95	6,166.40
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	1,864.6150	36.4000	67,871.99	14%	32.93	61,392.54	6,479.45
MAINSTAY EPOCH GLOBAL ° EQTY YIELD I SYMBOL: EPSYX	1,202.5210	17.6400	21,212.47	4%	17.08	20,499.64	712.83
TWEEDY BROWNE GLOBAL VALUE FUND SYMBOL: TBGVX	703.7410	25.0400	17,621.67	4%	25.91	18,214.96	(593.29)

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Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

5 [REDACTED]

Statement Period

December 1-31, 2016

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
VIRTUS EMRG MKTS OPPTY FD CL I SYMBOL: HIEMX	1,910.1430	9.0500	17,286.79	3%	10.51	20,087.57	(2,800.78)
VULCAN VALUE PARTNERS SMALL CAP FD SYMBOL: VVPSX	1,332.6230	18.7500	24,986.68	5%	18.76	25,000.00	(13.32)
Total Equity Funds	25,377.9780		444,887.83	89%		405,290.82	39,597.01
Total Mutual Funds	28,431.0440		477,320.74	96%		439,283.11	38,037.83
Total Investment Detail							499,445.76
Total Account Value							499,445.76
Total Cost Basis							439,283.11

Gain or (Loss) on Investments Sold

Investments	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Gain or (Loss)
IVA INTL FD CL I: IVIQX	4,555.1790	multiple	12/07/16	73,368.93	76,474.31	(3,105.38)
MFS MUNI HIGH INCOME FD CL I: MMIIX	3,094.0590	11/09/15	12/20/16	24,582.77	25,036.00	(453.23)
MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	858.2350	multiple	12/22/16	19,295.29	21,237.87	(1,942.58)

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Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number
[REDACTED]

Statement Period
December 1-31, 2016

Gain or (Loss) on Investments Sold (continued)

Investments (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Gain or (Loss)
TWEEDY BROWNE GLOBAL VALUE FUND: TBGVX	311.4050	01/21/15	12/22/16	7,985.00	8,089.49	(104.49)
Total Gain or (Loss) on Investments Sold				125,231.99	130,837.67	(5,605.68)

Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here.

Option Customers: Gain/loss on investments sold is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.

Transaction Detail - Purchases & Sales

Bond Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/21/16	12/20/16	Sold	MFS MUNI HIGH INCOME FD CL I: MMIIX	(3,094.0590)	7.9500	24,582.77
12/23/16	12/22/16	Bought	T ROWE PRICE INST FLOATING RATE FD: RPIFX	1,585.8130	10.0800	(16,000.00)
12/28/16	12/28/16	Reinvested Shares	ANGEL OAK MULTI STRATEGY INCM FD INSTL: ANGIX	1.1210	11.1900	(12.54)
12/30/16	12/30/16	Reinvested Shares	ANGEL OAK MULTI STRATEGY INCM FD INSTL: ANGIX	7.8280	11.2100	(87.75)
Total Bond Funds Activity						8,482.48

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2016

Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/07/16	12/07/16	Reinvested Shares	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	1.8900	23.5000	(44.42)
12/07/16	12/07/16	Reinvested Shares	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	14.8450	23.5000	(348.86)
12/07/16	12/07/16	Reinvested Shares	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	6.0940	23.5000	(143.20)
12/08/16	12/07/16	Sold	IVA INTL FD CL I: IVIQX	(4,555.1790)	16.1100	73,368.93
12/08/16	12/08/16	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	7.7590	17.3900	(134.93)
12/14/16	12/14/16	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	104.8550	37.0200	(3,881.74)
12/14/16	12/14/16	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	1.7320	37.0200	(64.12)
12/20/16	12/20/16	Reinvested Shares	ATLANTIC TRUST DISC EQTY INST: AWEIX	51.5570	16.6600	(858.94)
12/23/16	12/22/16	Bought	AT INCM OPPTY FD INST: AWIIX	2,416.3440	10.3400	(25,000.00)
12/23/16	12/22/16	Bought	AT MID CAP EQTY FD INST: AWMIX	2,153.8790	11.6000	(25,000.00)
12/23/16	12/22/16	Sold	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	(858.2350)	22.5000	19,295.29
12/23/16	12/22/16	Sold	TWEEDY BROWNE GLOBAL VALUE FUND: TBGVX	(311.4050)	25.6900	7,985.00
12/23/16	12/22/16	Bought	VULCAN VALUE PARTNERS SMALL CAP FD: VVPSX	1,332.6230	18.7600	(25,000.00)
12/28/16	12/28/16	Reinvested Shares	TWEEDY BROWNE GLOBAL VALUE FUND: TBGVX	9.4050	24.9400	(234.57)

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity (continued)

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/28/16	12/28/16	Reinvested Shares	TWEEDY BROWNE GLOBAL VALUE FUND: TBGVX	4.4260	24.9400	(110.39)
12/29/16	12/29/16	Reinvested Shares	ATLANTIC TRUST DISC EQTY INST: AWEIX	82.3630	16.3700	(1,348.28)
Total Equity Funds Activity						18,479.77
Total Purchases & Sales						26,962.25

Transaction Detail - Deposits & Withdrawals

Transaction Process Date	Date	Activity	Description	Location	Credit/(Debit)
12/13/16	12/13/16	Journaled Funds	J7NORMAL TO.		(17,698.98)
12/13/16	12/13/16	Tax Withholding	FED INC TAX WHLD IRA7		(2,185.06)
12/13/16	12/13/16	Tax Withholding	DC STATE TAX W/H IRA7		(1,966.55)
Total Deposits & Withdrawals					(21,850.59)

The total deposits activity for the statement period was \$0.00. The total withdrawals activity for the statement period was \$21,850.59.

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Process Date	Date	Activity	Description	Credit/(Debit)
12/07/16	12/07/16	LT Cap Gain Rein	MATTHEWS PACIFIC TIGER: MIPTX	348.86
12/07/16	12/07/16	Stlm Cap Gn Rein	MATTHEWS PACIFIC TIGER: MIPTX	44.42
12/07/16	12/07/16	Div For Reinvest	MATTHEWS PACIFIC TIGER: MIPTX	143.20
12/08/16	12/08/16	Div For Reinvest	MAINSTAY EPOCH GLOBAL: EPSYX	134.93

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued)

Transaction Process		Activity	Description	Credit/(Debit)
Date	Date			
12/14/16	12/14/16	Sttm Cap Gn Rein	JPMORGAN MID CAP VALUE: FLMVX	64.12
12/14/16	12/14/16	LT Cap Gain Rein	JPMORGAN MID CAP VALUE: FLMVX	3,881.74
12/20/16	12/20/16	LT Cap Gain Rein	ATLANTIC TRUST DISC EQTY: AWEIX	858.94
12/20/16	12/20/16	Cash Dividend	JPMORGAN MID CAP VALUE: FLMVX	590.71
12/21/16	12/21/16	Cash Dividend	VIRTUS EMRG MKTS OPPTY: HIEMX	76.41
12/28/16	12/28/16	Div For Reinvest	ANGEL OAK MULTI STRATEGY: ANGIX	12.54
12/28/16	12/28/16	Cash Dividend	TWEEDY BROWNE GLOBAL: TBGVX	201.45
12/28/16	12/28/16	Sttm Cap Gn Rein	TWEEDY BROWNE GLOBAL: TBGVX	110.39
12/28/16	12/28/16	LT Cap Gain Rein	TWEEDY BROWNE GLOBAL: TBGVX	234.57
12/29/16	12/29/16	Cash Dividend	AT INCM OPPTY FD INST: AWIIX	167.45
12/29/16	12/29/16	Div For Reinvest	ATLANTIC TRUST DISC EQTY: AWEIX	1,348.28
12/29/16	12/29/16	Cash Dividend	HAMLIN HIGH DIV EQTY: HHDFX	1,394.11
12/30/16	12/30/16	Div For Reinvest	ANGEL OAK MULTI STRATEGY: ANGIX	87.75
12/30/16	12/30/16	Cash Dividend	MFS MUNI HIGH INCOME FD: MMIIX	60.23
12/30/16	12/30/16	Dividend	SCH ADV CASH RESRV PREM: SWZXX	13.32
12/30/16	12/30/16	Cash Dividend	T ROWE PRICE INST: RPIFX	12.63
Total Dividends & Interest				9,786.05
Total Transaction Detail				14,897.71

Money Funds Detail

SCH ADV CASH RESRV PREM Activity

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
Opening # of Shares: 7,133.8100					
12/01/16	Purchased	93.5000	1.0000	93.50	



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2016

Money Funds Detail (continued)

SCH ADV CASH RESRV PREM Activity (continued)

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
12/09/16	Purchased	73,368.9300	1.0000	73,368.93	
12/14/16	Redeemed	21,850.5900	1.0000		21,850.59
12/21/16	Purchased	590.7100	1.0000	590.71	
12/22/16	Purchased	24,659.1800	1.0000	24,659.18	
12/23/16	Redeemed	63,719.7100	1.0000		63,719.71
12/29/16	Purchased	201.4500	1.0000	201.45	
12/30/16	Purchased	1,561.5600	1.0000	1,561.56	
12/30/16	Dividend	13.3200	1.0000	13.32	

Closing # of Shares: 22,052.1600

Total SCH ADV CASH RESRV PREM Activity				100,488.65	85,570.30
Total Money Funds Detail				100,488.65	85,570.30

SCH ADV CSH RSV PR Average Yield For The Most Recent Pay Period: 0.35%; 7-Day Yield: 0.42%.

Contribution Summary

	2015	2016
Traditional IRA	0.00	0.00
Year To Date Total	0.00	0.00



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period

December 1-31, 2016

Distribution Summary

	Gross Amount	Federal Tax Withheld	State Tax Withheld	Earnings	Net Amount
Normal	21,850.59	2,185.06	1,966.55 DC	0.00	17,698.98
Year To Date Total	21,850.59	2,185.06	1,966.55	0.00	17,698.98

Tax Year 2017 Required Minimum Distribution, which you must take by 12/31/2017:

23,558.76

Schwab must report to the IRS that a minimum distribution is required for the tax year specified above. #

Endnotes For Your Account

Symbol Endnote Legend

- ◊ Dividends paid on this security will be automatically reinvested.
- # The Required Minimum Distribution amount shown here is based on the "Total Account Value", also known as Fair Market Value, as of December 31 of the previous year. It could change if (a) you satisfy some or all of your Required Minimum Distribution with distribution(s) from other IRA(s) you own, (b) you inform Schwab that your spouse is your sole beneficiary and is more than 10 years younger than you, (c) a rollover to this IRA was pending as of December 31 prior to the tax year specified above, (d) you recharacterize a conversion made in the prior year, or (e) a correction was made to the account after this statement date that impacts the Fair Market Value such as a change to a security value or deposit adjustment. The Year To Date Total includes distributions taken during the calendar year. In some cases, your calendar year distributions may differ from your Required Minimum Distribution for the tax year.
- f 7-day yield: Annualized fund yield based on the average daily yield for the previous 7 days, assuming dividends are paid in cash and not reinvested.



Contributory IRA of
SPouse
 CHARLES SCHWAB & CO INC CUST
 IRA CONTRIBUTORY

Account Number

Statement Period
 December 1-31, 2016

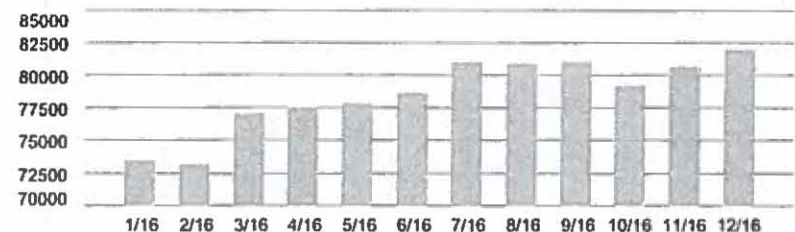
Account Value as of 12/31/2016: \$

Change in Account Value

	This Period	Year to Date
Starting Value	\$ 80,714.01	\$ 76,037.72
Cash Value of Purchases & Sales	(65.37)	1,450.30
Investments Purchased/Sold	65.37	(1,450.30)
Deposits & Withdrawals	0.00	0.00
Dividends & Interest ^z	555.16	1,880.09
Fees & Charges	0.00	0.00
Transfers	0.00	0.00
Income Reinvested	(65.37)	(1,023.53)
Change in Value of Investments	866.66	5,176.18
Ending Value on 12/31/2016	\$ 82,070.46	\$ 82,070.46
Total Change in Account Value	\$ 1,356.45	\$ 6,032.74

(Totals include Deposits & Withdrawals)

Account Value (\$) Over Last 12 Months



Asset Composition

	Market Value	% of Account Assets
Deposit Accounts ^{x,z}	\$ 5,584.93	7%
Equity Funds	76,485.53	93%
Total Assets Long	\$ 82,070.46	
Total Account Value	\$ 82,070.46	100%

Overview



- 7% Deposit Accounts [X,Z]
- 93% Equity Funds

Gain or (Loss) Summary

Gain or (Loss) on Investments Sold

This Period \$0.00

Unrealized Gain or (Loss)

All Investments \$(538.00)

Values may not reflect all of your gains/losses.



Contributory IRA of
SPOUSE
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number
[REDACTED]

Statement Period
December 1-31, 2016

Income Summary

	This Period	Year To Date
Deposit Accounts Interest	0.04	0.31
Cash Dividends	539.93	906.43
Total Capital Gains	15.19	973.35
Total Income	555.16	1,880.09

Investment Detail - Deposit Accounts

Deposit Accounts	Market Value	% of Account Assets
Deposit Accounts ^{x,z}	5,584.93	7%
Total Deposit Accounts	5,584.93	7%
Total Deposit Accounts	5,584.93	7%

Investment Detail - Mutual Funds

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
AT INCM OPPTY FD INST SYMBOL: AWIX	1,464.8090	10.2500	15,014.29	18%	10.24	15,000.00	14.29
BOSTON COMMON INTL FD SYMBOL: BCAIX	388.8240	24.5700	9,553.41	12%	25.72	10,000.00	(446.59)
PARNASSUS CORE EQTY FD INST SYMBOL: PRILX	902.5740	39.3500	35,516.29	43%	39.84	35,958.16	(441.87)
PAX SMALL CAP INST SYMBOL: PXSIX	278.0820	15.4400	4,293.59	5%	14.62	4,065.37	228.22

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of
SPOUSE
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2016

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST SYMBOL: TROCX	585,4910	20.6800	12,107.95	15%	20.50	12,000.00	107.95
Total Equity Funds	3,619,7800		76,485.53	93%		77,023.53	(538.00)
Total Mutual Funds	3,619,7800		76,485.53	93%		77,023.53	(538.00)
Total Investment Detail							82,070.48
Total Account Value							82,070.48
Total Cost Basis							77,023.53

Transaction Detail - Purchases & Sales

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/22/16	12/22/16	Reinvested Shares	PAX SMALL CAP INST: PXSIX	3.2230	15.5700	(50.18)
12/22/16	12/22/16	Reinvested Shares	PAX SMALL CAP INST: PXSIX	0.9760	15.5700	(15.19)
Total Equity Funds Activity						(65.37)
Total Purchases & Sales						(65.37)

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of
Spouse
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2016

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Process				
Date	Date	Activity	Description	Credit/(Debit)
12/15/16	12/16/16	Bank Interest ^{x,z}	BANK INT 111616-121516	0.04
12/21/16	12/21/16	Cash Dividend	BOSTON COMMON INTL FD: BCAIX	154.18
12/22/16	12/22/16	Cash Dividend	PAX SMALL CAP INST: PXSIX	34.26
12/22/16	12/22/16	Stlm Cap Gn Rein	PAX SMALL CAP INST: PXSIX	50.18
12/22/16	12/22/16	LT Cap Gain Rein	PAX SMALL CAP INST: PXSIX	15.19
12/28/16	12/28/16	Cash Dividend	PARNASSUS CORE EQTY FD: PRILX	100.91
12/28/16	12/28/16	Cash Dividend	TOUCHSTONE SUSTAINABILIT: TROCX	98.89
12/29/16	12/29/16	Cash Dividend	AT INCM OPPTY FD INST: AWIIX	101.51
Total Dividends & Interest				555.16

Total Transaction Detail 489.79

Charles Schwab Bank Deposit Accounts Activity

Transaction Date	Transaction	Description	Withdrawal	Deposit	Balance ^{x,z}
Opening Balance ^{x,z}					5,095.14
12/15/16	Interest Paid ^{x,z}	BANK INTEREST		0.04	5,095.18
12/22/16	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		154.18	5,249.36
12/23/16	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		34.26	5,283.62
12/29/16	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		199.80	5,483.42
12/30/16	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		101.51	5,584.93
Total Activity			0.00	489.79	
Ending Balance ^{x,z}					5,584.93

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of
CHRISTINE GRADY
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2016

Charles Schwab Bank Deposit Accounts Activity (continued)

Deposit Accounts: Interest Rate as of 12/30/16 was 0.03%. Your interest period was 11/16/16 - 12/15/16. ^Z

Contribution Summary

	2015	2016
Traditional IRA	0.00	0.00
Year To Date Total	0.00	0.00

Endnotes For Your Account

Symbol Endnote Legend

- X** Balances held at Charles Schwab Bank, member FDIC.
- Z** Please note that the interest is paid for a period that differs from the Statement Period. Bank balances include any interest that Charles Schwab Bank paid as indicated on the Deposit Accounts Activity page. Bank balances do not include any interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

charles
SCHWAB

Schwab One® Trust Account of

SPOUSE REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period

December 1-31, 2016

Account Value as of 12/31/2016: \$

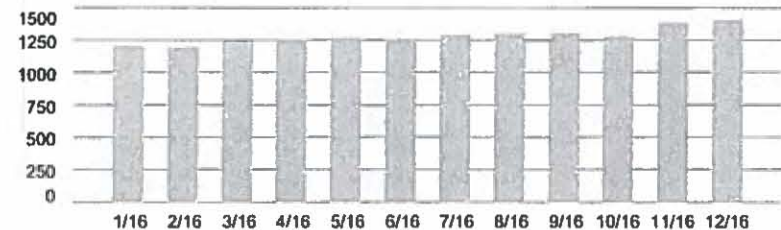
Change in Account Value

This Period

Year to Date

Account Value (\$) Over Last 12 Months [in Thousands]

Starting Value	\$ 1,388,641.27	\$ 1,252,438.04
Cash Value of Purchases & Sales	(139,263.44)	(154,475.77)
Investments Purchased/Sold	139,263.44	154,475.77
Deposits & Withdrawals	0.00	100,000.00
Dividends & Interest	17,960.48	39,808.37
Fees & Charges	0.00	(9,612.21)
Transfers	0.00	0.00
Income Reinvested	(9,702.02)	(19,306.47)
Change in Value of Investments	11,684.83	45,256.83
Ending Value on 12/31/2016	\$ 1,408,584.56	\$ 1,408,584.56
Total Change in Account Value (Totals include Deposits & Withdrawals)	\$ 19,943.29	\$ 156,146.52



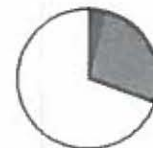
Asset Composition

Market Value

% of Account Assets

Overview

Cash and Money Market Funds		
[Sweep]	\$ 50,847.18	4%
Bond Funds	375,985.58	27%
Equity Funds	981,751.80	70%
Total Assets Long	\$ 1,408,584.56	
Total Account Value	\$ 1,408,584.56	100%



- 4% Cash, MMFs [Sweep]
- 27% Bond Funds
- 70% Equity Funds

Gain or (Loss) Summary

Realized Gain or (Loss) This Period

Short Term	\$(1,763.11)
Long Term	\$(620.12)

Unrealized Gain or (Loss)

All Investments	\$42,999.91
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Values may not reflect all of your gains/losses.



Schwab One® Trust Account of

SPOUSE REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
December 1-31, 2016

Income Summary	This Period		Year to Date	
	Federally Tax-Exempt ¹	Federally Taxable	Federally Tax-Exempt ¹	Federally Taxable
Money Funds Dividends	0.00	31.70	0.00	100.20
Cash Dividends	383.32	8,937.82	4,841.32	16,723.50
Total Capital Gains	0.00	8,607.64	0.00	18,143.35
Total Income	383.32	17,577.16	4,841.32	34,967.05

¹Certain income in this category may qualify for state tax exemption; consult your tax advisor.

Investment Detail - Cash and Money Market Funds [Sweep]

Cash				% of Account Assets
Market Value				
Cash				<1%
Total Cash				<1%

Money Market Funds [Sweep]		Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCH ADV CASH RESRV PREM: SWZXX		49,791.2800	1.0000	49,791.28	0.42%	4%
Total Money Market Funds [Sweep]				49,791.28		4%
Total Cash & Money Market [Sweep]				50,847.18		4%

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
ANGEL OAK MULTI STRATEGY INCM FD INSTL SYMBOL: ANGIX	4,460.2570	11.2100	49,999.48	4%	11.60	51,745.08	(1,745.60)

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab One® Trust Account of

SPOUSE

REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
December 1-31, 2016

Investment Detail - Mutual Funds (continued)

Bond Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
CRA QUALIFIED INVESTMENT INSTL SYMBOL: CRANX	13,791.7280	10.5200	145,088.98	10%	10.88	150,020.00	(4,931.02)
T ROWE PRICE INST FLOATING RATE FD SYMBOL: RPIFX	5,180.0250	10.0800	52,214.65	4%	10.04	52,000.00	214.65
T ROWE PRICE SUMMIT MUNI INTERM FUND SYMBOL: PRSMX	11,007.9100	11.6900	128,682.47	9%	11.99	132,033.81	(3,351.34)
Total Bond Funds	34,439.9200		375,985.58	27%		385,798.89	(9,813.31)
Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
AT INCM OPPTY FD INST SYMBOL: AWIIX	5,801.2570	10.2500	59,462.88	4%	10.34	60,000.00	(537.12)
BOSTON COMMON INTL FD SYMBOL: BCAIX	4,006.3730	24.5700	98,436.58	7%	25.21	101,007.39	(2,570.81)
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	4,001.4630	36.4000	145,653.25	10%	30.93	123,779.53	21,873.72
MAINSTAY EPOCH GLOBAL EQTY YIELD I SYMBOL: EPSYX	5,413.4710	17.6400	95,493.63	7%	17.24	93,347.01	2,146.62
PARNASSUS CORE EQTY FD INST SYMBOL: PRILX	10,801.7300	39.3500	425,048.08	30%	37.45	404,570.71	20,477.37

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab One® Trust Account of

SPOUSE

REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
December 1-31, 2016

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
PAX SMALL CAP INST SYMBOL: PXSIX	5,077.9410	15.4400	78,403.41	6%	14.02	71,213.94	7,189.47
TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST SYMBOL: TROCX	3,832.3970	20.6800	79,253.97	6%	19.58	75,020.00	4,233.97
Total Equity Funds	38,934.6320		981,751.80	70%		928,938.58	52,813.22
Total Mutual Funds	73,374.5520		1,367,737.38	96%		1,314,737.47	42,999.91
Total Investment Detail							1,408,584.56
Total Account Value							1,408,584.58
Total Cost Basis							1,314,737.47

Realized Gain or (Loss)

Short Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
BOSTON COMMON INTL FD : BCAIX	2,052.5450	12/31/15	12/22/16	49,985.00	51,748.11	(1,763.11)
Total Short Term				49,985.00	51,748.11	(1,763.11)

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab One® Trust Account of

SPOUSE REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
December 1-31, 2016

Realized Gain or (Loss) (continued)

Long Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
MFS MUNI HIGH INCOME FD CL I : MMIIX	4,331.6830	11/09/15	12/20/16	34,421.88	35,042.00	(620.12)
Total Long Term				34,421.88	35,042.00	(620.12)
Total Realized Gain or (Loss)				84,406.88	86,790.11	(2,383.23)

Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here.

Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.

Transaction Detail - Purchases & Sales

Bond Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/07/16	12/07/16	Reinvested Shares	T ROWE PRICE SUMMIT MUNI INTERM FUND: PRSMX	0.7540	11.6900	(8.81)
12/21/16	12/20/16	Sold	MFS MUNI HIGH INCOME FD CL I : MMIIX	(4,331.6830)	7.9500	34,421.88
12/23/16	12/22/16	Bought	ANGEL OAK MULTI STRATEGY INCM FD INSTL: ANGIX	1,519.2310	11.1800	(17,000.00)
12/23/16	12/22/16	Bought	T ROWE PRICE INST FLOATING RATE FD: RPIFX	1,685.0200	10.0800	(17,000.00)
Total Bond Funds Activity						413.07

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab One® Trust Account of

spouse

REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
December 1-31, 2016**Transaction Detail - Purchases & Sales (continued)****Equity Funds Activity**

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/02/16	12/01/16	Bought	JPMORGAN MID CAP VALUE L: FLMVX	646.6100	38.6400	(25,000.00)
12/02/16	12/01/16	Bought	PARNASSUS CORE EQTY FD INST: PRILX	1,819.2100	38.4700	(70,000.00)
12/02/16	12/01/16	Bought	PAX SMALL CAP INST: PXSIX	1,624.5120	15.3800	(25,000.00)
12/14/16	12/14/16	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	225.0190	37.0200	(8,330.22)
12/14/16	12/14/16	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	3.7170	37.0200	(137.59)
12/22/16	12/22/16	Reinvested Shares	PAX SMALL CAP INST: PXSIX	58.8490	15.5700	(916.28)
12/22/16	12/22/16	Reinvested Shares	PAX SMALL CAP INST: PXSIX	17.8180	15.5700	(277.42)
12/23/16	12/22/16	Bought	AT INCM OPPTY FD INST: AWIIX	5,801.2570	10.3400	(60,000.00)
12/23/16	12/22/16	Sold	BOSTON COMMON INTL FD: BCAIX	(2,052.5450)	24.3600	49,985.00
Total Equity Funds Activity						(139,676.51)
Total Purchases & Sales						(139,263.44)

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/07/16	12/07/16	Sttm Cap Gn Rein	T ROWE PRICE SUMMIT MUNI: PRSMX	8.81
12/08/16	12/08/16	Cash Dividend	MAINSTAY EPOCH GLOBAL: EPSYX	611.38
12/14/16	12/14/16	LT Cap Gain Rein	JPMORGAN MID CAP VALUE: FLMVX	8,330.22
12/14/16	12/14/16	Sttm Cap Gn Rein	JPMORGAN MID CAP VALUE: FLMVX	137.59
12/20/16	12/20/16	Cash Dividend	JPMORGAN MID CAP VALUE: FLMVX	1,267.66

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab One® Trust Account of

Spouse REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
December 1-31, 2016**Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued)**

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/21/16	12/21/16	Cash Dividend	BOSTON COMMON INTL FD: BCAIX	2,402.61
12/22/16	12/22/16	Cash Dividend	PAX SMALL CAP INST: PXSIX	625.59
12/22/16	12/22/16	Stlm Cap Gn Rein	PAX SMALL CAP INST: PXSIX	916.28
12/22/16	12/22/16	LT Cap Gain Rein	PAX SMALL CAP INST: PXSIX	277.42
12/28/16	12/28/16	Cash Dividend	ANGEL OAK MULTI STRATEGY: ANGIX	38.36
12/28/16	12/28/16	Cash Dividend	PARNASSUS CORE EQTY FD: PRILX	1,207.63
12/28/16	12/28/16	Cash Dividend	TOUCHSTONE SUSTAINABILIT: TROCX	647.30
12/29/16	12/29/16	Cash Dividend	AT INCM OPPTY FD INST: AWIIX	402.03
12/30/16	12/30/16	Cash Dividend	ANGEL OAK MULTI STRATEGY: ANGIX	199.20
12/30/16	12/30/16	Cash Dividend	CRA QUALIFIED: CRANX	324.57
12/30/16	12/30/16	Cash Dividend	MFS MUNI HIGH INCOME FD: MMIIX	84.31
12/30/16	12/30/16	Dividend	SCH ADV CASH RESRV PREM: SWZXX	31.70
12/30/16	12/30/16	Cash Dividend	T ROWE PRICE INST: RPIFX	148.81
12/30/16	12/30/16	Cash Dividend	T ROWE PRICE SUMMIT MUNI: PRSMX	299.01
Total Dividends & Interest				17,960.48

Total Transaction Detail (121,302.96)**Money Funds Detail****SCH ADV CASH RESRV PREM Activity**

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
Opening # of Shares: 170,976.3200					
12/01/16	Purchased	1,173.8200	1.0000	1,173.82	
12/02/16	Redeemed	120,000.0000	1.0000		120,000.00
12/09/16	Purchased	611.3800	1.0000	611.38	

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab One® Trust Account of

S POUSE

U/A DTD 03/19/2014

REVOCABLE TR

Account Number

Statement Period

December 1-31, 2016

Money Funds Detail (continued)

SCH ADV CASH RESRV PREM Activity (continued)

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
12/21/16	Purchased	1,267.6600	1.0000	1,267.66	
12/22/16	Purchased	36,824.4900	1.0000	36,824.49	
12/23/16	Redeemed	43,389.4100	1.0000		43,389.41
12/29/16	Purchased	1,893.2900	1.0000	1,893.29	
12/30/16	Purchased	402.0300	1.0000	402.03	
12/30/16	Dividend	31.7000	1.0000	31.70	

Closing # of Shares: 49,791.2800

Total SCH ADV CASH RESRV PREM Activity				42,204.37	163,389.41
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Total Money Funds Detail				42,204.37	163,389.41
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SCH ADV CSH RSV PR Average Yield For The Most Recent Pay Period: 0.35%; 7-Day Yield: 0.42%.

Endnotes For Your Account

Symbol Endnote Legend

f 7-day yield: Annualized fund yield based on the average daily yield for the previous 7 days, assuming dividends are paid in cash and not reinvested.

charles SCHWAB
INSTITUTIONAL

Attachment F: Fauci 2016 278e Report, Section 7

S&P
500
RATED

Living Trust from 1/1/2016 to 12/31/2016

Fauci - Revocable Trust
Transactions
2016

SCHWAB CHARITABLE

Schwab Alliance



Accounts > History & Statements : for [REDACTED] of 04/21/17 05:02 PM

Transactions

Date	Action	Symbol	Description ¹	Quantity	Price	Fees & Comm	Amount
12/30/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$736.81
12/30/2016	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$675.25
12/30/2016	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$156.62
12/30/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$205.73
12/30/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$108.41
12/30/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	88.12			
12/30/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	9,004.67	\$1.00		-\$9,004.67
12/29/2016	Cash Dividend	AWIIX	AT INCM OPPTY FD INST				\$1,282.40
12/29/2016	Cash Dividend	AWEIX	ATLANTIC TRUST DISC EQTY INST				\$7,722.27
12/29/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	42.2	\$1.00		-\$42.20

12/29/2016 Cash Dividend

ANGIX ANGEL OAK

\$12.20

4/21/2017

Transactions for Living Trust

[REDACTED]

12/28/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				374.40
12/28/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	46,118.25	\$1.00		\$46,118.25
12/22/2016	Buy	HLMEX	HARDING LOEVNER INST EMRG MKTS CL I	4,841.707	\$16.52	\$15.00	-\$80,000.00
12/22/2016	Buy	AWIIX	AT INCM OPPTY FD INST	8,702.611	\$10.34	\$15.00	-\$90,000.00
12/22/2016	Sell	HIEMX	VIRTUS EMRG MKTS OPPTY FD CL I	4,877.838	\$8.84	\$15.00	\$43,105.09
12/22/2016	Sell	IVIQX	IVA INTL FD CL I	5,089.059	\$15.72	\$15.00	\$79,985.00
12/22/2016	Buy	RPIFX	T ROWE PRICE INST FLOATING RATE FD	2,181.052	\$10.08	\$15.00	-\$22,000.00
12/22/2016	Buy	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	1,966.458	\$11.18	\$15.00	-\$22,000.00
12/22/2016	Sell	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	1,991.407	\$22.50	\$15.00	\$44,791.66
12/22/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	44,456.1	\$1.00		-\$44,456.10
12/21/2016	Cash Dividend	HIEMX	VIRTUS EMRG MKTS OPPTY FD CL I				\$195.11
12/21/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,613.57	\$1.00		-\$1,613.57
12/20/2016	Long Term Cap Gain Reinvest	AWMIX	AT MID CAP EQTY FD INST				\$1,709.82
12/20/2016	Long Term Cap Gain Reinvest	AWEIX	ATLANTIC TRUST DISC EQTY INST				\$4,919.58
12/20/2016	Reinvest Shares	AWMIX	AT MID CAP EQTY FD INST	146.263	\$11.69		-\$1,709.82
12/20/2016	Cash Dividend	VVPSX	VULCAN VALUE PARTNERS				\$345.95

SMALL CAP

				FD			
12/20/2016	Cash Dividend	FLMVX	JPMORGAN MID CAP VALUE L				\$1,267.62
12/20/2016	Reinvest Shares	AWEIX	ATLANTIC TRUST DISC EQTY INST	295.293	\$16.66		-\$4,919.58
12/20/2016	Sell	MMIIX	MFS MUNI HIGH INCOME FD CL I	5,569.307	\$7.95	\$15.00	\$44,260.99
12/15/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	729.19	\$1.00		-\$729.19
12/14/2016	Long Term Cap Gain Reinvest	IVIQX	IVA INTL FD CL I				\$1,749.23
12/14/2016	Long Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L				\$8,329.90
12/14/2016	Short Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L				\$137.59
12/14/2016	Reinvest Shares	IVIQX	IVA INTL FD CL I	110.431	\$15.84		-\$1,749.23
12/14/2016	Cash Dividend	IVIQX	IVA INTL FD CL I				\$729.19
12/14/2016	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	225.011	\$37.02		-\$8,329.90
12/14/2016	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	3.717	\$37.02		-\$137.59
12/14/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	17,698.98	\$1.00		-\$17,698.98
12/13/2016	Journal		J7NORMAL FR32202512				\$17,698.98
12/09/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	456.72	\$1.00		-\$456.72
12/08/2016	Cash Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$456.72
12/08/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	334.64	\$1.00		-\$334.64
12/07/2016	Short Term Cap Gain Reinvest	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$21.70
12/07/2016	Short Term Cap Gain Reinvest	MIPTX	MATTHEWS PACIFIC				\$103.80

			PACIFIC TIGER FD INSTL				
12/07/2016	Long Term Cap Gain Reinvest	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL				\$815.26
12/07/2016	Reinvest Shares	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND	1.856	\$11.69		-\$21.70
12/07/2016	Cash Dividend	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL				\$334.64
12/07/2016	Reinvest Shares	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	4.417	\$23.50		-\$103.80
12/07/2016	Reinvest Shares	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	34.692	\$23.50		-\$815.26
12/02/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	275,000	\$1.00		\$275,000.00
12/01/2016	Buy	AWMIX	AT MID CAP EQTY FD INST	2,148.323	\$11.63	\$15.00	-\$25,000.00
12/01/2016	Buy	AWEIX	ATLANTIC TRUST DISC EQTY INST	10,728.694	\$16.31	\$15.00	-\$175,000.00
12/01/2016	Buy	FLMVX	JPMORGAN MID CAP VALUE L	646.61	\$38.64	\$15.00	-\$25,000.00
12/01/2016	Buy	VVPSX	VULCAN VALUE PARTNERS SMALL CAP FD	2,680.965	\$18.65		-\$50,000.00
12/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,814.46	\$1.00		-\$1,814.46
11/30/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$704.55
11/30/2016	Cash Dividend	PHYZX	PRUDENTIAL HIGH YIELD FUND CL Z				\$104.13
11/30/2016	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$627.43

11/30/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$210.04
11/30/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$168.31
11/30/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	36,000	\$1.00		\$36,000.00
11/29/2016	Buy	RPIFX	T ROWE PRICE INST FLOATING RATE FD	3,594.905	\$10.01	\$15.00	-\$36,000.00
11/22/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	3,265.16	\$1.00		\$3,265.16
11/21/2016	Advisor Fec		TO ADVISOR				-\$3,265.16
11/17/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	35,734.73	\$1.00		-\$35,734.73
11/16/2016 as of 11/15/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	60.97			
11/15/2016	Sell	PHYZX	PRUDENTIAL HIGH YIELD FUND CL Z	6,679.389	\$5.35		\$35,734.73
11/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,781.05	\$1.00		-\$1,781.05
10/31/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$642.75
10/31/2016	Cash Dividend	PHYZX	PRUDENTIAL HIGH YIELD FUND CL Z				\$186.01
10/31/2016	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$592.14
10/31/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$190.46
10/31/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$169.69
10/31/2016	Buy	SWZXX	SCH ADV CASH RESRV DDFM	246,666.72	\$1.00		-\$246,666.72

4/21/2017

Transactions for Living Trust

FUND

10/28/2016	Journal		JOURNAL FRM				\$246,666.72
10/18/2016 as of 10/17/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	25.6			
10/03/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	2,364.72	\$1.00		-\$2,364.72
09/30/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$741.35
09/30/2016	Cash Dividend	PHYZX	PRUDENTIAL HIGH YIELD FUND CL Z				\$207.20
09/30/2016	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$657.07
09/30/2016	Cash Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$422.20
09/30/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$177.86
09/30/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$159.04
09/30/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	683.23	\$1.00		-\$683.23
09/29/2016	Cash Dividend	AWIIX	AT INCM OPPTY FD INST				\$683.23
09/16/2016 as of 09/15/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	21.04			
09/07/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	2,580	\$1.00		-\$2,580.00
09/06/2016	Journal		JOURNAL FRM				\$2,580.00
09/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,450.97	\$1.00		-\$1,450.97
08/31/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI				\$694.23

			INTERM FUND				
08/31/2016	Cash Dividend	PHYZX	PRUDENTIAL HIGH YIELD FUND CL Z				\$196.77
08/31/2016	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$219.77
08/31/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$178.60
08/31/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$161.60
08/30/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	100,015	\$1.00		\$100,015.00
08/29/2016	Buy	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL	9,425.071	\$10.61	\$15.00	-\$100,015.00
08/19/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	150,000	\$1.00		-\$150,000.00
08/18/2016	Funds Received		FUNDS RECEIVED				\$150,000.00
08/16/2016 as of 08/15/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	3.69			
08/12/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	72,188.35	\$1.00		\$72,188.35
08/11/2016	Buy	AWEIX	ATLANTIC TRUST DISC EQTY INST	4,608.789	\$16.27	\$15.00	-\$75,000.00
08/11/2016	Buy	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL	9,459.319	\$10.57	\$15.00	-\$100,000.00
08/11/2016	Buy	AWIIX	AT INCM OPPTY FD INST	9,802.451	\$10.20	\$15.00	-\$100,000.00
08/11/2016	Sell	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	7,985.301	\$25.40	\$15.00	\$202,811.65
08/10/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	3,395.32	\$1.00		\$3,395.32
08/09/2016	Advisor Fee		TO ADVISOR				-\$3,395.32
08/09/2016	Buy	SWZXX	SCH ADV CASH RESRV	122,548.55	\$1.00		-\$122,548.55

			PREM				
			JHANCOCK				
08/05/2016	Sell	JHAIX	GLBL ABSOLUTE RETURN STRAT I	12,244.111	\$10.01	\$15.00	\$122,548.55
08/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,234.98	\$1.00		-\$1,234.98
07/29/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$698.58
07/29/2016	Cash Dividend	PHYZX	PRUDENTIAL HIGH YIELD FUND CL Z				\$200.20
07/29/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$178.25
07/29/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$157.95
07/18/2016 as of 07/15/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	1.12			
07/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	2,081.35	\$1.00		-\$2,081.35
06/30/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$721.66
06/30/2016	Cash Dividend	PHYZX	PRUDENTIAL HIGH YIELD FUND CL Z				\$194.20
06/30/2016	Cash Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$837.52
06/30/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$174.04
06/30/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$153.93
06/30/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	2,674.07	\$1.00		\$2,674.07

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Transactions for Living Trust

06/30/2016	Sell	SWZAA	CASH RESRV PREM	3,014.97	\$1.00		\$3,014.97
06/29/2016	Advisor Fee		TO ADVISOR				-\$3,674.97
06/16/2016 as of 06/15/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	0.71			
06/07/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	2,580	\$1.00		-\$2,580.00
06/06/2016	Journal		JOURNAL FRM				\$2,580.00
06/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,142.26	\$1.00		-\$1,142.26
05/31/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$667.13
05/31/2016	Cash Dividend	PHYZX	PRUDENTIAL HIGH YIELD FUND CL Z				\$127.60
05/31/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$191.10
05/31/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$156.43
05/17/2016 as of 05/16/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	3.21			
05/11/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	85,020	\$1.00		\$85,020.00
05/10/2016	Buy	AWEIX	ATLANTIC TRUST DISC EQTY INST	3,253.09	\$15.37	\$20.00	-\$50,020.00
05/10/2016	Buy	PHYZX	PRUDENTIAL HIGH YIELD FUND CL Z	6,679.389	\$5.24		-\$35,000.00
05/02/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,060.85	\$1.00		-\$1,060.85
04/29/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$722.71
04/29/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD				\$188.60

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04/29/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$149.54
04/21/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	895	\$1.00		-\$895.00
04/20/2016	Journal		JOURNAL FRM				\$895.00
04/18/2016	Journaled Shares	AWEIX	ATLANTIC TRUST DISC EQTY INST	-6,548	\$15.27		
04/18/2016	Journaled Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	-5,608	\$17.83		
04/18/2016 as of 04/15/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	2.38			
04/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	2,257.33	\$1.00		-\$2,257.33
03/31/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$590.61
03/31/2016	Cash Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$1,321.36
03/31/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$181.88
03/31/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$163.48
03/30/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	3,953.9	\$1.00		\$3,953.90
03/29/2016	Advisor Fee		TO ADVISOR				-\$3,953.90
03/18/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	75,020	\$1.00		\$75,020.00
03/17/2016	Buy	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND	6,218.905	\$12.06	\$20.00	-\$75,020.00

03/16/2016 as of 03/15/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	0.93		
03/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	130,883.63	\$1.00	-\$130,883.63
02/29/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND			\$557.57
02/29/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL			\$174.54
02/29/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I			\$151.52
02/29/2016	Funds Received		FUNDS RECEIVED			\$130,000.00
02/17/2016 as of 02/16/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	0.32		
02/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	802.58	\$1.00	-\$802.58
01/29/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND			\$478.55
01/29/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL			\$165.96
01/29/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I			\$158.07
01/19/2016 as of 01/15/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	0.48		
01/13/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	8,800	\$1.00	-\$8,800.00
01/12/2016	Journal		JOURNAL FRM			\$2,940.00
01/12/2016	Journal		JOURNAL FRM			\$2,940.00
01/12/2016	Journal		JOURNAL FRM			\$2,920.00

01/04/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	407,663.74	\$1.00	\$407,663.74
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Account: *
Today's Date: 05:02 PM ET, 04/21/2017

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Attachment G: Fauci 2016 278e Report, Section 7

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Transactions up to 24 Months (beyond 24 months)

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Date	Action	Details	Symbol	Description ¹ (Full Short)	Quantity	Price	Fees & Comm	Amount
02/21/2017	Advisor Fee			TO ADVISOR				-\$3,072.04
02/16/2017 as of 02/15/2017	Bank Interest			BANK INT 011617-021517				\$0.99
01/31/2017	Reinvest Dividend		ANGX	ANGEL OAK MULTI STRATEGY...				\$263.40
01/31/2017	Reinvest Shares		ANGX	ANGEL OAK MULTI STRATEGY...	23.351	\$11.28		-\$263.40
01/31/2017	Cash Dividend		PIGX	PIMCO INVESTMENT GRADE C...				\$1,202.99
01/17/2017 as of 01/15/2017	Bank Interest			BANK INT 121616-011517				\$0.82
12/30/2016	Cash Dividend		PIGX	PIMCO INVESTMENT GRADE C...				\$1,493.13
12/30/2016	Reinvest Dividend		ANGX	ANGEL OAK MULTI STRATEGY...				\$356.96
12/30/2016	Reinvest Shares		ANGX	ANGEL OAK MULTI STRATEGY...	31.843	\$11.21		-\$356.96
12/29/2016	Reinvest Dividend		AWEIX	ATLANTIC TRUST DISC EQTY...				\$4,962.31
12/29/2016	Cash Dividend		AWIX	AT INCM OPPTY FD INST				\$679.41
12/29/2016	Cash Dividend		HHDFX	HAMLIN HIGH DIV EQTY INS...				\$5,421.53
12/29/2016	Reinvest Shares		AWEIX	ATLANTIC TRUST DISC EQTY...	303.134	\$16.37		-\$4,962.31
12/28/2016	Reinvest Dividend		ANGX	ANGEL OAK MULTI STRATEGY...				\$51.03
12/28/2016	Reinvest Shares		ANGX	ANGEL OAK MULTI STRATEGY...	4.56	\$11.19		-\$51.03
12/22/2016	Buy	Trade Details	VVPSX	VULCAN VALUE PARTNERS SM...	3,997.868	\$18.76		-\$75,000.00
12/22/2016	Sell	Trade Details	IVQX	IVA INTL FD CL I	4,770.992	\$15.72	\$15.00	\$74,985.00
12/21/2016	Reinvest Dividend		HIEMX	VIRTUS EMRG MKTS OPPTY F...				\$226.27
12/21/2016	Reinvest Shares		HIEMX	VIRTUS EMRG MKTS OPPTY F...	25,424	\$8.90		-\$226.27
12/20/2016	Long Term Cap Gain Reinvest		AWMIX	AT MID CAP EQTY FD INST				\$323.73
12/20/2016	Reinvest Dividend		FLMVX	JPMORGAN MID CAP VALUE L				\$1,671.86
12/20/2016	Long Term Cap Gain Reinvest		AWEIX	ATLANTIC TRUST DISC EQTY...				\$3,161.31
12/20/2016	Reinvest Shares		AWMIX	AT MID CAP EQTY FD INST	27,693	\$11.69		-\$323.73

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12/20/2016	Reinvest Shares	AWEX	ATLANTIC TRUST DISC EQTY...	189.755	\$16.66	-\$3,161.31
12/16/2016 as of 12/15/2016	Bank Interest		BANK INT 111616-121516			\$0.25
12/14/2016	Long Term Cap Gain Reinvest	VIQX	IVA INTL FD CL I			\$1,684.17
12/14/2016	Reinvest Dividend	VIQX	IVA INTL FD CL I			\$702.07
12/14/2016	Long Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L			\$10,986.31
12/14/2016	Short Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L			\$181.46
12/14/2016	Reinvest Shares	VIQX	IVA INTL FD CL I	44.323	\$15.84	-\$702.07
12/14/2016	Reinvest Shares	VIQX	IVA INTL FD CL I	106.324	\$15.84	-\$1,684.17
12/14/2016	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	296.767	\$37.02	-\$10,986.31
12/14/2016	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	4.902	\$37.02	-\$181.46
12/08/2016	Reinvest Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQ...			\$637.29
12/08/2016	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQ...	36.647	\$17.39	-\$637.29
12/07/2016	Short Term Cap Gain Reinvest	MIPTX	MATTHEWS PACIFIC TIGER F...			\$102.09
12/07/2016	Long Term Cap Gain Reinvest	MIPTX	MATTHEWS PACIFIC TIGER F...			\$801.82
12/07/2016	Cash Dividend	MIPTX	MATTHEWS PACIFIC TIGER F...			\$329.13
12/07/2016	Reinvest Shares	MIPTX	MATTHEWS PACIFIC TIGER F...	4.344	\$23.50	-\$102.09
12/07/2016	Reinvest Shares	MIPTX	MATTHEWS PACIFIC TIGER F...	34.12	\$23.50	-\$801.82
11/30/2016	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY...			\$421.24
11/30/2016	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY...	37.644	\$11.19	-\$421.24
11/30/2016	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE C...			\$1,387.45
11/21/2016	Advisor Fee		TO ADVISOR			-\$3,405.17
11/16/2016 as of 11/15/2016	Bank Interest		BANK INT 101616-111516			\$0.26
10/31/2016	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY...			\$379.80
10/31/2016	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY...	33.82	\$11.23	-\$379.80
10/31/2016	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE C...			\$1,500.22
10/28/2016	Journal		JOURNAL TO			-\$246,666.72

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Today's Date: 03:08 PM ET, 02/27/2017

Quote	Show Indices Symbol Lookup	DJIA 20,844.56 +22.74 (+0.11%)	NASDAQ 5,857.46 +12.16 (+0.21%)	S&P 500 2,370.81 +3.47 (+0.15%)	Russell 2000 1,406.66 +12.13 (+0.87%)	Time (ET) 3:08:21 PM	Refresh
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▼ Date	Action	Details	Symbol	Description ¹ { Full Short }	Quantity	Price	Fees & Comm	Amount
10/27/2016	Sell	Trade Details	PIGIX	PIMCO INVESTMENT GRADE C...	7,142.857	\$10.50	\$15.00	\$74,985.00
10/27/2016	Sell	Trade Details	AWEIX	ATLANTIC TRUST DISC EQTY...	2,212.389	\$15.82	\$15.00	\$34,985.00
10/27/2016	Sell	Trade Details	FLMVX	JPMORGAN MID CAP VALUE L...	1,098.901	\$36.40	\$15.00	\$39,985.00
10/27/2016	Sell	Trade Details	EPSYX	MAINSTAY EPOCH GLOBAL EQ...	5,753.74	\$17.38	\$15.00	\$99,985.00
10/17/2016 as of 10/15/2016	Bank Interest			BANK INT 091616-101516				\$0.22
09/30/2016	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQ...				\$1,182.92
09/30/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$352.54
09/30/2016	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQ...	66.048	\$17.91		-\$1,182.92
09/30/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	31.477	\$11.20		-\$352.54
09/30/2016	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$1,701.48
09/29/2016	Cash Dividend		AWIIX	AT INCM OPPTY FD INST				\$683.33
09/16/2016 as of 09/15/2016	Bank Interest			BANK INT 081616-091516				\$0.21
08/31/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$352.51
08/31/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	31.559	\$11.17		-\$352.51
08/31/2016	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$1,377.96
08/16/2016 as of 08/15/2016	Bank Interest			BANK INT 071916-081516				\$0.47
08/11/2016	Buy	Trade Details	AWIIX	AT INCM OPPTY FD INST	9,803.922	\$10.20	\$15.00	-\$100,015.00
08/11/2016	Buy	Trade Details	PIGIX	PIMCO INVESTMENT GRADE C...	18,921.478	\$10.57	\$15.00	-\$200,015.00
08/11/2016	Buy	Trade Details	MIPTX	MATTHEWS PACIFIC TIGER F...	1,920.123	\$26.04	\$15.00	-\$50,015.00
08/11/2016	Sell	Trade Details	TBGVX	TWEEDY BROWNE GLOBAL VAL...	6,417.658	\$25.40	\$15.00	\$162,993.51
08/09/2016	Advisor Fee			TO ADVISOR				-\$3,661.21
08/05/2016	Sell	Trade Details	JHAIX	JHANCOCK GLBL ABSOLUTE R...	16,409.724	\$10.01	\$15.00	\$164,246.34
07/29/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY				\$349.68

STRATEGY...

07/29/2016	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...			\$991.92
07/20/2016 as of 07/19/2016	Interest Adj			BANK INT 0719-0719			\$0.01
07/19/2016	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	49,433.22	\$1.00	\$49,433.22
07/19/2016	APZ Adjustment			EARLY APZ DIST 4L			\$0.42
07/18/2016 as of 07/15/2016	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	2.84		
07/01/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	5,763.67	\$1.00	-\$5,763.67
06/30/2016	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQ...			\$2,320.02
06/30/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...			\$339.86
06/30/2016	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQ...	128.249	\$18.09	-\$2,320.02
06/30/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	30.84	\$11.02	-\$339.86
06/30/2016	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...			\$998.05
06/30/2016	Cash Dividend		HHDFX	HAMLIN HIGH DIV EQTY INS...			\$4,765.62
06/30/2016	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	3,354.65	\$1.00	\$3,354.65
06/29/2016	Advisor Fee			TO ADVISOR			-\$3,354.65
06/16/2016 as of 06/15/2016	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	2.03		
06/01/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,001.7	\$1.00	-\$1,001.70
05/31/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...			\$370.74
05/31/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	33.582	\$11.04	-\$370.74
05/31/2016	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...			\$1,001.70
05/17/2016 as of 05/16/2016	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	1.89		
05/02/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,009.42	\$1.00	-\$1,009.42
04/29/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...			\$363.77
04/29/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	32.831	\$11.08	-\$363.77
04/29/2016	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...			\$1,009.42
04/18/2016	Journal Shares		AWEIX	ATLANTIC TRUST DISC EQTY...	6,548	\$15.27	
04/18/2016	Journal Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQ...	5,608	\$17.83	

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Quote	Show Indices Symbol Lookup	DJIA 20,841.40 +19.64 (+0.09%)	NASDAQ 5,858.36 +13.05 (+0.22%)	S&P 500 2,370.39 +3.05 (+0.13%)	Russell 2000 1,406.23 +11.71 (+0.84%)	Time (ET) 3:44:49 PM	Refresh
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▼ Date	Action	Details	Symbol	Description ¹ (Full Short)	Quantity	Price	Fees & Comm	Amount
04/18/2016 as of 04/15/2016	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	1.06			
04/01/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,003.56	\$1.00		-\$1,003.56
03/31/2016	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQ...				\$760.04
03/31/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$348.86
03/31/2016	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQ...	42.651	\$17.82		-\$760.04
03/31/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	31.628	\$11.03		-\$348.86
03/31/2016	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$1,003.56
03/30/2016	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	3,363.84	\$1.00		\$3,363.84
03/29/2016	Advisor Fee			TO ADVISOR				-\$3,363.84
03/16/2016 as of 03/15/2016	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	0.3			
03/01/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,015.69	\$1.00		-\$1,015.69
02/29/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$332.97
02/29/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	30.52	\$10.91		-\$332.97
02/29/2016	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$1,015.57
02/29/2016	Cash Dividend		SAMBX	RIDGEWORTH SEIX FLOAT RA...				\$0.12
02/17/2016 as of 02/16/2016	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	0.32			
02/05/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	287.93	\$1.00		-\$287.93
02/03/2016	Sell	Trade Details	SAMBX	RIDGEWORTH SEIX FLOAT RA...	35.329	\$8.15		\$287.93
02/01/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	931.19	\$1.00		-\$931.19
01/29/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$315.03
01/29/2016	Reinvest Dividend		SAMBX	RIDGEWORTH SEIX FLOAT RA...				\$1.14
01/29/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	27.953	\$11.27		-\$315.03
01/29/2016	Reinvest Shares		SAMBX	RIDGEWORTH SEIX FLOAT RA...	0.14	\$8.15		-\$1.14

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01/29/2016	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$931.19
01/19/2016 as of 01/15/2016	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	0.15			
01/04/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	23,261.64	\$1.00		-\$23,261.64
12/31/2015	Reinvest Dividend		SAMBX	RIDGEWORTH SEIX FLOAT RA...				\$290.66
12/31/2015	Reinvest Shares		SAMBX	RIDGEWORTH SEIX FLOAT RA...	35.189	\$8.26		-\$290.66
12/31/2015	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$789.20
12/31/2015	Sell	Trade Details	SAMBX	RIDGEWORTH SEIX FLOAT RA...	9,013.682	\$8.26		\$74,453.01
12/31/2015	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	1.58			
12/31/2015	Buy	Trade Details	PIGIX	PIMCO INVESTMENT GRADE C...	10,080.645	\$8.92	\$20.00	-\$100,020.00
12/31/2015	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	5,741.49	\$1.00		-\$5,741.49
12/30/2015	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$828.22
12/30/2015	Reinvest Dividend		AWEIX	ATLANTIC TRUST DISC EQTY...				\$4,253.51
12/30/2015	Cash Dividend		HHDFX	HAMLIN HIGH DIV EQTY INS...				\$5,741.49
12/30/2015	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	72.082	\$11.49		-\$828.22
12/30/2015	Reinvest Shares		AWEIX	ATLANTIC TRUST DISC EQTY...	280.02	\$15.19		-\$4,253.51
12/30/2015	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2,895.97	\$1.00		-\$2,895.97
12/29/2015	Long Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VAL...				\$4,860.45
12/29/2015	Short Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VAL...				\$1,289.13
12/29/2015	Reinvest Shares		TBGVX	TWEEDY BROWNE GLOBAL VAL...	52.319	\$24.64		-\$1,289.13
12/29/2015	Reinvest Shares		TBGVX	TWEEDY BROWNE GLOBAL VAL...	197.259	\$24.64		-\$4,860.45
12/29/2015	Cash Dividend		TBGVX	TWEEDY BROWNE GLOBAL VAL...				\$1,301.46
12/29/2015	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$1,594.51
12/28/2015	Sell	Trade Details	ATMP	BARCLAYS SELECT MLP ETN	2.600	\$18.4801	\$8.83	\$48,039.43
12/24/2015	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	11,807.29	\$1.00		-\$11,807.29
12/23/2015	Cash Dividend		JHADX	JHANCOCK2 GLBL ABSOLUTE ...				\$11,807.29
12/23/2015	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	178,666.72	\$1.00		\$178,666.72
12/22/2015	Long Term Cap Gain Reinvest		AWMIX	AT MID CAP EQTY FD INST				\$20.62

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Quote	Show Indices Symbol Lookup	DJIA 20,841.40 +19.64 (+0.09%)	NASDAQ 5,858.36 +13.05 (+0.22%)	S&P 500 2,370.39 +3.05 (+0.13%)	Russell 2000 1,406.23 +11.71 (+0.84%)	Time (ET) 3:44:49 PM	Refresh
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Attachment H: Fanci 2016 278e Report, Section 7

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Date	Action	Details	Symbol	Description ¹ (Full Short)	Quantity	Price	Fees & Comm	Amount
02/16/2017 as of 02/15/2017	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	7.85			
02/01/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	55.03	\$1.00		-\$55.03
01/31/2017	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$64.70
01/31/2017	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	5.736	\$11.28		-\$64.70
01/31/2017	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATL...				\$55.03
01/18/2017 as of 01/17/2017	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	4.38			
01/03/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	72.86	\$1.00		-\$72.86
12/30/2016	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATL...				\$12.63
12/30/2016	Cash Dividend		MMIIX	MFS MUNI HIGH INCOME FD ...				\$60.23
12/30/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$87.75
12/30/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	7.828	\$11.21		-\$87.75
12/30/2016	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	13.32			
12/30/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,561.56	\$1.00		-\$1,561.56
12/29/2016	Reinvest Dividend		AWEIX	ATLANTIC TRUST DISC EQTY...				\$1,348.28
12/29/2016	Cash Dividend		AWIIX	AT INCM OPPTY FD INST				\$167.45
12/29/2016	Cash Dividend		HHDFX	HAMLIN HIGH DIV EQTY INS...				\$1,394.11
12/29/2016	Reinvest Shares		AWEIX	ATLANTIC TRUST DISC EQTY...	82.363	\$16.37		-\$1,348.28
12/29/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	201.45	\$1.00		-\$201.45
12/28/2016	Long Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VAL...				\$234.57
12/28/2016	Short Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VAL...				\$110.39
12/28/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$12.54
12/28/2016	Cash Dividend		TBGVX	TWEEDY BROWNE GLOBAL VAL...				\$201.45
12/28/2016	Reinvest Shares		TBGVX	TWEEDY BROWNE GLOBAL VAL...	4.426	\$24.94		-\$110.39

VAL...

12/28/2016	Reinvest Shares		ANGX	ANGEL OAK MULTI STRATEGY...	1,121	\$11.19		-\$12.54
12/23/2016	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	63,719.71	\$1.00		\$63,719.71
12/22/2016	Buy	Trade Details	AWMIX	AT MID CAP EQTY FD INST	2,153.879	\$11.60	\$15.00	-\$25,000.00
12/22/2016	Buy	Trade Details	AWIX	AT INCM OPPTY FD INST	2,416.344	\$10.34	\$15.00	-\$25,000.00
12/22/2016	Buy	Trade Details	VVPSX	VULCAN VALUE PARTNERS SM...	1,332.823	\$18.76		-\$25,000.00
12/22/2016	Buy	Trade Details	RPIFX	T ROWE PRICE INST FLOATL...	1,585.813	\$10.08	\$15.00	-\$16,000.00
12/22/2016	Sell	Trade Details	MIPTX	MATTHEWS PACIFIC TIGER F...	858.235	\$22.50	\$15.00	\$19,295.29
12/22/2016	Sell	Trade Details	TBGVX	TWEEDY BROWNE GLOBAL VAL...	311.405	\$25.69	\$15.00	\$7,985.00
12/22/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	24,659.18	\$1.00		-\$24,659.18
12/21/2016	Cash Dividend		HIEMX	VIRTUS EMRG MKTS OPPTY F...				\$76.41
12/21/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	590.71	\$1.00		-\$590.71
12/20/2016	Long Term Cap Gain Reinvest		AWEIX	ATLANTIC TRUST DISC EQTY...				\$858.94
12/20/2016	Cash Dividend		FLMVX	JPMORGAN MID CAP VALUE L				\$590.71
12/20/2016	Reinvest Shares		AWEIX	ATLANTIC TRUST DISC EQTY...	51.557	\$16.66		-\$858.94
12/20/2016	Sell	Trade Details	MMIX	MFS MUNI HIGH INCOME FD ...	3,094.059	\$7.95	\$15.00	\$24,582.77
12/14/2016	Long Term Cap Gain Reinvest		FLMVX	JPMORGAN MID CAP VALUE L				\$3,881.74
12/14/2016	Short Term Cap Gain Reinvest		FLMVX	JPMORGAN MID CAP VALUE L				\$64.12
12/14/2016	Reinvest Shares		FLMVX	JPMORGAN MID CAP VALUE L	1,732	\$37.02		-\$64.12
12/14/2016	Reinvest Shares		FLMVX	JPMORGAN MID CAP VALUE L	104,855	\$37.02		-\$3,881.74
12/14/2016	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	21,850.59	\$1.00		\$21,850.59
12/13/2016	Tax Withholding			DC STATE TAX W/H IRA7				-\$1,986.55
12/13/2016	Tax Withholding			FED INC TAX WHLD IRA7				-\$2,185.06
12/13/2016	Journal			J7NORMAL TO				-\$17,698.98
12/09/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	73,368.93	\$1.00		-\$73,368.93
12/08/2016	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQ...				\$134.93
12/08/2016	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQ...	7,759	\$17.39		-\$134.93

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Brokerage Products: Not FDIC Insured No Bank Guarantee May Lose Value

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Quote	Show Indices Symbol Lookup	DJIA 20,846.06 +24.24 (+0.12%)	NASDAQ 5,857.53 +12.22 (+0.21%)	S&P 500 2,370.91 +3.57 (+0.15%)	Russell 2000 1,406.70 +12.18 (+0.87%)	Time (ET) 3:08:52 PM	Refresh
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▼ Date	Action	Details	Symbol	Description ¹ (Full Short)	Quantity	Price	Fees & Comm	Amount
12/07/2016	Reinvest Dividend		MIPTX	MATTHEWS PACIFIC TIGER F...				\$143.20
12/07/2016	Short Term Cap Gain Reinvest		MIPTX	MATTHEWS PACIFIC TIGER F...				\$44.42
12/07/2016	Long Term Cap Gain Reinvest		MIPTX	MATTHEWS PACIFIC TIGER F...				\$348.86
12/07/2016	Reinvest Shares		MIPTX	MATTHEWS PACIFIC TIGER F...	6.094	\$23.50		-\$143.20
12/07/2016	Reinvest Shares		MIPTX	MATTHEWS PACIFIC TIGER F...	14.845	\$23.50		-\$348.86
12/07/2016	Reinvest Shares		MIPTX	MATTHEWS PACIFIC TIGER F...	1.89	\$23.50		-\$44.42
12/07/2016	Sell	Trade Details	IVQX	IVA INTL FD CL I	4,555,179	\$16.11	\$15.00	\$73,388.93
12/01/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	93.5	\$1.00		-\$93.50
11/30/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$103.50
11/30/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	9.249	\$11.19		-\$103.50
11/30/2016	Cash Dividend		MMIX	MFS MUNI HIGH INCOME FD				\$93.50
11/16/2016 as of 11/15/2016	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	1.55			
11/01/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	94.31	\$1.00		-\$94.31
10/31/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$93.32
10/31/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	8.31	\$11.23		-\$93.32
10/31/2016	Cash Dividend		MMIX	MFS MUNI HIGH INCOME FD				\$94.31
10/18/2016 as of 10/17/2016	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	1.45			
10/03/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	88.36	\$1.00		-\$88.36
09/30/2016	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQ...				\$124.01
09/30/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$86.69
09/30/2016	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQ...	6.924	\$17.91		-\$124.01
09/30/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	7.74	\$11.20		-\$86.69
09/30/2016	Cash Dividend		MMIX	MFS MUNI HIGH INCOME FD				\$88.36

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09/01/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	89.8	\$1.00	-\$89.80
08/31/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...			\$86.53
08/31/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	7.747	\$11.17	-\$86.53
08/31/2016	Cash Dividend		MMIX	MFS MUNI HIGH INCOME FD ...			\$89.80
08/16/2016 as of 08/15/2016	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	0.63		
08/01/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	87.74	\$1.00	-\$87.74
07/29/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...			\$85.92
07/29/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	7.692	\$11.17	-\$85.92
07/29/2016	Cash Dividend		MMIX	MFS MUNI HIGH INCOME FD ...			\$87.74
07/18/2016 as of 07/15/2016	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	0.35		
07/01/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,310.96	\$1.00	-\$1,310.96
06/30/2016	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQ...			\$243.22
06/30/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...			\$83.44
06/30/2016	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQ...	13.445	\$18.09	-\$243.22
06/30/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	7.572	\$11.02	-\$83.44
06/30/2016	Cash Dividend		HHDFX	HAMLIN HIGH DIV EQTY INS...			\$1,225.44
06/30/2016	Cash Dividend		MMIX	MFS MUNI HIGH INCOME FD ...			\$85.52
06/16/2016 as of 06/15/2016	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	0.3		
06/01/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	86.9	\$1.00	-\$86.90
05/31/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...			\$91.11
05/31/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	6.253	\$11.04	-\$91.11
05/31/2016	Cash Dividend		MMIX	MFS MUNI HIGH INCOME FD ...			\$86.90
05/17/2016 as of 05/16/2016	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	0.31		
05/02/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	83.09	\$1.00	-\$83.09
04/29/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...			\$89.40
04/29/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	8.069	\$11.08	-\$89.40

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(0007-7604)

Quote	Show Indices Symbol Lookup	DJIA 20,832.20 +10.44 (+0.05%)	NASDAQ 5,857.54 +12.23 (+0.21%)	S&P 500 2,369.27 +1.93 (+0.08%)	Russell 2000 1,406.09 +11.56 (+0.83%)	Time (ET) 3:51:25 PM	Refresh
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▼ Date	Action	Details	Symbol	Description ¹ (Full Short)	Quantity	Price	Fees & Comm	Amount
04/29/2016	Cash Dividend		MMIX	MFS MUNI HIGH INCOME FD ...				\$83.09
04/18/2016 as of 04/15/2016	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	0.04			
04/01/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	90.84	\$1.00		-\$90.84
03/31/2016	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQ...				\$159.55
03/31/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$85.74
03/31/2016	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQ...	8.953	\$17.82		-\$159.55
03/31/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	7.773	\$11.03		-\$85.74
03/31/2016	Cash Dividend		MMIX	MFS MUNI HIGH INCOME FD ...				\$90.84
03/01/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	84.23	\$1.00		-\$84.23
02/29/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$81.83
02/29/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	7.5	\$10.91		-\$81.83
02/29/2016	Cash Dividend		SAMBX	RIDGEWORTH SEIX FLOAT RA...				\$0.03
02/29/2016	Cash Dividend		MMIX	MFS MUNI HIGH INCOME FD ...				\$84.20
02/05/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	61.37	\$1.00		-\$61.37
02/03/2016	Sell	Trade Details	SAMBX	RIDGEWORTH SEIX FLOAT RA...	7.53	\$8.15		\$61.37
02/01/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	87.8	\$1.00		-\$87.80
01/29/2016	Reinvest Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$77.42
01/29/2016	Reinvest Dividend		SAMBX	RIDGEWORTH SEIX FLOAT RA...				\$0.26
01/29/2016	Reinvest Shares		ANGIX	ANGEL OAK MULTI STRATEGY...	6.87	\$11.27		-\$77.42
01/29/2016	Reinvest Shares		SAMBX	RIDGEWORTH SEIX FLOAT RA...	0.032	\$8.15		-\$0.26
01/29/2016	Cash Dividend		MMIX	MFS MUNI HIGH INCOME FD ...				\$87.80
01/04/2016	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2,013.26	\$1.00		-\$2,013.26
12/31/2015	Reinvest Dividend		SAMBX	RIDGEWORTH SEIX FLOAT RA...				\$61.93

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02/16/2017 as of 02/15/2017	Bank Interest			BANK INT 011617-021517				\$0.14
01/17/2017 as of 01/15/2017	Bank Interest			BANK INT 121616-011517				\$0.13
12/29/2016	Cash Dividend		AWIX	AT INCM OPPTY FD INST				\$101.51
12/28/2016	Cash Dividend		TROCX	TOUCHSTONE SUSTAINABILIT...				\$98.89
12/28/2016	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD L...				\$100.91
12/22/2016	Long Term Cap Gain Reinvest		PXSIX	PAX SMALL CAP INST				\$15.19
12/22/2016	Short Term Cap Gain Reinvest		PXSIX	PAX SMALL CAP INST				\$50.18
12/22/2016	Cash Dividend		PXSIX	PAX SMALL CAP INST				\$34.26
12/22/2016	Reinvest Shares		PXSIX	PAX SMALL CAP INST	0.976	\$15.57		-\$15.19
12/22/2016	Reinvest Shares		PXSIX	PAX SMALL CAP INST	3.223	\$15.57		-\$50.18
12/21/2016	Cash Dividend		BCAIX	BOSTON COMMON INTL FD				\$154.18
12/16/2016 as of 12/15/2016	Bank Interest			BANK INT 111616-121516				\$0.04
11/22/2016	Long Term Cap Gain Reinvest		PRILX	PARNASSUS CORE EQTY FD L...				\$958.16
11/22/2016	Reinvest Shares		PRILX	PARNASSUS CORE EQTY FD L...	24.657	\$38.86		-\$958.16
11/16/2016 as of 11/15/2016	Bank Interest			BANK INT 101616-111516				\$0.04
10/17/2016 as of 10/15/2016	Bank Interest			BANK INT 091616-101516				\$0.04
09/30/2016	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD L...				\$105.53
09/29/2016	Cash Dividend		AWIX	AT INCM OPPTY FD INST				\$102.10
09/16/2016 as of 09/15/2016	Bank Interest			BANK INT 081616-091516				\$0.03
08/31/2016	Buy	Trade Details	PRILX	PARNASSUS CORE EQTY FD L...	877.917	\$39.85	\$15.00	-\$35,000.00
08/31/2016	Buy	Trade Details	BCAIX	BOSTON COMMON INTL FD	388.824	\$25.68	\$15.00	-\$10,000.00
08/31/2016	Buy	Trade Details	TROCX	TOUCHSTONE SUSTAINABILIT...	585.491	\$20.47	\$15.00	-\$12,000.00

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Charles Schwab Client Center

08/31/2016	Sell	Trade Details	AWEIX	ATLANTIC TRUST DISC EQTY...	1,977.792	\$16.07	\$15.00	\$31,768.12
08/31/2016	Sell	Trade Details	HIEMX	VIRTUS EMRG MKTS OPPTY F...	1,000.427	\$10.15	\$15.00	\$10,139.33
08/31/2016	Buy	Trade Details	AWIX	AT INCM OPPTY FD INST	1,484.809	\$10.23	\$15.00	-\$15,000.00
08/31/2016	Sell	Trade Details	VIQX	IVA INTL FD CL I	1,745.605	\$16.20	\$15.00	\$29,263.80
08/31/2016	Sell	Trade Details	EPSYX	MAINSTAY EPOCH GLOBAL EQ...	461.831	\$18.01	\$15.00	\$8,302.58
08/16/2016 as of 08/15/2016	Bank Interest			BANK INT 071616-081516				\$0.02
07/18/2016 as of 07/15/2016	Bank Interest			BANK INT 061616-071516				\$0.02
06/30/2016	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQ...				\$95.65
06/16/2016 as of 06/15/2016	Bank Interest			BANK INT 051616-061516				\$0.02
05/16/2016 as of 05/15/2016	Bank Interest			BANK INT 041616-051516				\$0.02
04/18/2016 as of 04/15/2016	Bank Interest			BANK INT 031616-041516				\$0.02
03/31/2016	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQ...				\$63.22
03/16/2016 as of 03/15/2016	Bank Interest			BANK INT 021616-031516				\$0.02
02/16/2016 as of 02/15/2016	Bank Interest			BANK INT 011616-021516				\$0.02
01/19/2016 as of 01/15/2016	Bank Interest			BANK INT 121615-011516				\$0.02
12/30/2015	Reinvest Dividend		AWEIX	ATLANTIC TRUST DISC EQTY...				\$288.92
12/30/2015	Reinvest Shares		AWEIX	ATLANTIC TRUST DISC EQTY...	19.02	\$15.19		-\$288.92
12/22/2015	Short Term Cap Gain Reinvest		AWEIX	ATLANTIC TRUST DISC EQTY...				\$66.57
12/22/2015	Long Term Cap Gain Reinvest		AWEIX	ATLANTIC TRUST DISC EQTY...				\$1,199.14
12/22/2015	Reinvest Dividend		HIEMX	VIRTUS EMRG MKTS OPPTY F...				\$83.25
12/22/2015	Reinvest Shares		AWEIX	ATLANTIC TRUST DISC EQTY...	4.4	\$15.13		-\$66.57
12/22/2015	Reinvest Shares		AWEIX	ATLANTIC TRUST DISC EQTY...	79.256	\$15.13		-\$1,199.14
12/22/2015	Reinvest Shares		HIEMX	VIRTUS EMRG MKTS OPPTY F...	9.323	\$8.93		-\$83.25
12/16/2015	Long Term Cap Gain Reinvest		VIQX	IVA INTL FD CL I				\$1,012.95
12/16/2015	Reinvest Dividend		VIQX	IVA INTL FD CL I				\$730.81
12/16/2015	Short Term Cap Gain Reinvest		VIQX	IVA INTL FD CL I				\$13.55
12/16/2015	Reinvest Shares		VIQX	IVA INTL FD CL I	0.87	\$15.57		-\$13.55

1. Transactions may include Bank Sweep account information. Bank Sweep accounts are held at the [FDIC-insured] depository institution(s) referenced on the Balances detail page, your account statements and disclosed in your account documents. SIPC does not cover balances held at Sweep Banks in the Bank Sweep feature.

Quote Show Indices Symbol Lookup DJIA 20,843.30 +21.54 (+0.10%) NASDAQ 5,858.86 +11.55 (+0.20%) S&P 500 2,370.59 +3.25 (+0.14%) Russell 2000 1,406.59 +12.07 (+0.87%) Time (ET) 3:08:16 PM Refresh

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Attachment J: Fauci 2016 ZTR Report, Section 7



Living Trust [REDACTED] for All Dates.

SPOUSE Revocable Trust
Transactions 2016

SCHWAB CHARITABLE

Schwab Alliance



Accounts > History & Statements : for [REDACTED] as of 02/27/17 02:57 PM

		Transactions					
Date	Action	Symbol	Description ¹	Quantity	Price	Fees & Comm	Amount
02/22/2017	Sell	SWZXX	SCH ADV CASH RESRV PREM	2,979.75	\$1.00		\$2,979.75
02/21/2017	Advisor Fee		TO ADVISOR				-\$2,979.75
02/16/2017 as of 02/15/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	18.13			
02/01/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	935.4	\$1.00		-\$935.40
01/31/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$256.91
01/31/2017	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL				\$301.98
01/31/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$179.76
01/31/2017	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$196.75
01/18/2017 as of 01/17/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	10.08			
01/03/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,055.9	\$1.00		-\$1,055.90
12/30/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$299.01
12/30/2016	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL				\$324.57
12/30/2016	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$148.81
12/30/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI				\$199.20

			STRATEGY INCM FD INSTL				
12/30/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$84.31
12/30/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	31.7			
12/30/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	402.03	\$1.00		-\$402.03
12/29/2016	Cash Dividend	AWIIX	AT INCM OPPTY FD INST				\$402.03
12/29/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,893.29	\$1.00		-\$1,893.29
12/28/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$38.36
12/28/2016	Cash Dividend	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST				\$647.30
12/28/2016	Cash Dividend	PRILX	PARNASSUS CORE EQTY FD INST				\$1,207.63
12/23/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	43,389.41	\$1.00		\$43,389.41
12/22/2016	Long Term Cap Gain Reinvest	PXSIX	PAX SMALL CAP INST				\$277.42
12/22/2016	Short Term Cap Gain Reinvest	PXSIX	PAX SMALL CAP INST				\$916.28
12/22/2016	Cash Dividend	PXSIX	PAX-SMALL CAP INST				\$625.59
12/22/2016	Reinvest Shares	PXSIX	PAX SMALL CAP INST	17.818	\$15.57		-\$277.42
12/22/2016	Reinvest Shares	PXSIX	PAX SMALL CAP INST	58.849	\$15.57		-\$916.28
12/22/2016	Sell	BCAIX	BOSTON COMMON INTL FD	2,052.545	\$24.36	\$15.00	\$49,985.00
12/22/2016	Buy	AWIIX	AT INCM OPPTY FD INST	5,801.257	\$10.34	\$15.00	-\$60,000.00
12/22/2016	Buy	RPIFX	T ROWE PRICE INST FLOATING RATE FD	1,685.02	\$10.08	\$15.00	-\$17,000.00
12/22/2016	Buy	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	1,519.231	\$11.18	\$15.00	-\$17,000.00
12/22/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	36,824.49	\$1.00		-\$36,824.49
12/21/2016	Cash Dividend	BCAIX	BOSTON COMMON INTL FD				\$2,402.61
12/21/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,267.66	\$1.00		-\$1,267.66

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Transactions for Living Trust

12/20/2016	Cash Dividend	FLMVX	JPMORGAN MID CAP VALUE L				\$1,267.66
12/20/2016	Sell	MMIIX	MFS MUNI HIGH INCOME FD CL I	4,331.683	\$7.95	\$15.00	\$34,421.88
12/14/2016	Short Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L				\$137.59
12/14/2016	Long Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L				\$8,330.22
12/14/2016	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	3.717	\$37.02		-\$137.59
12/14/2016	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	225.019	\$37.02		-\$8,330.22
12/09/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	611.38	\$1.00		-\$611.38
12/08/2016	Cash Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$611.38
12/07/2016	Short Term Cap Gain Reinvest	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$8.81
12/07/2016	Reinvest Shares	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND	0.754	\$11.69		-\$8.81
12/02/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	120,000	\$1.00		\$120,000.00
12/01/2016	Buy	PXSIX	PAX SMALL CAP INST	1,624.512	\$15.38	\$15.00	-\$25,000.00
12/01/2016	Buy	PRILX	PARNASSUS CORE EQTY FD INST	1,819.21	\$38.47	\$15.00	-\$70,000.00
12/01/2016	Buy	FLMVX	JPMORGAN MID CAP VALUE L	646.61	\$38.64	\$15.00	-\$25,000.00
12/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,173.82	\$1.00		-\$1,173.82
11/30/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$285.92
11/30/2016	Cash Dividend	PHYZX	PRUDENTIAL HIGH YIELD FUND CL Z				\$100.68
11/30/2016	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL				\$446.25
11/30/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$210.05

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Transactions for Living Trust

11/30/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$130.92
11/30/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	35,000	\$1.00		\$35,000.00
11/29/2016	Buy	RPIFX	T ROWE PRICE INST FLOATING RATE FD	3,495.005	\$10.01	\$15.00	-\$35,000.00
11/29/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	100,000	\$1.00		-\$100,000.00
11/28/2016	Funds Received		CASHIERS CHECK				\$100,000.00
11/22/2016	Long Term Cap Gain Reinvest	PRILX	PARNASSUS CORE EQTY FD INST				\$9,535.71
11/22/2016	Reinvest Shares	PRILX	PARNASSUS CORE EQTY FD INST	245.386	\$38.86		-\$9,535.71
11/22/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	2,879.69	\$1.00		\$2,879.69
11/21/2016	Advisor Fee		TO ADVISOR				-\$2,879.69
11/17/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	34,498.02	\$1.00		-\$34,498.02
11/16/2016 as of 11/15/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	17.11			
11/15/2016	Sell	PHYZX	PRUDENTIAL HIGH YIELD FUND CL Z	6,457.565	\$5.35	\$49.95	\$34,498.02
11/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,078.51	\$1.00		-\$1,078.51
10/31/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$260.85
10/31/2016	Cash Dividend	PHYZX	PRUDENTIAL HIGH YIELD FUND CL Z				\$179.82
10/31/2016	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL				\$315.36
10/31/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$190.47
10/31/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$132.01
10/18/2016 as of 10/17/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	23.26			
10/03/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	2,593.36	\$1.00		-\$2,593.36
09/30/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$300.87



09/30/2016	Cash Dividend	PHYZX	PRUDENTIAL HIGH YIELD FUND CL Z				\$61.93
09/30/2016	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL				\$313.61
09/30/2016	Cash Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$565.17
09/30/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$177.89
09/30/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$123.69
09/30/2016	Cash Dividend	PRILX	PARNASSUS CORE EQTY FD INST				\$1,050.20
09/22/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	245,015	\$1.00		\$245,015.00
09/21/2016	Buy	PRILX	PARNASSUS CORE EQTY FD INST	4,032.258	\$39.68	\$15.00	-\$160,015.00
09/21/2016	Buy	PHYZX	PRUDENTIAL HIGH YIELD FUND CL Z	6,457.565	\$5.42		-\$35,000.00
09/21/2016	Buy	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	2,778.488	\$17.99	\$15.00	-\$50,000.00
09/16/2016 as of 09/15/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	21.82			
09/02/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	310,350.07	\$1.00		-\$310,350.07
09/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	748.84	\$1.00		-\$748.84
08/31/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$281.75
08/31/2016	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL				\$162.56
08/31/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$178.83
08/31/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$125.70
08/31/2016	Sell	BCAIX	BOSTON COMMON INTL FD	5,841.122	\$25.68	\$15.00	\$149,985.00

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Transactions for Living Trust

[REDACTED]

08/31/2016	Buy	PXSIX	PAX SMALL CAP INST	1,373.54	\$14.55	\$15.00	-\$20,000.00
08/31/2016	Sell	AWEIX	ATLANTIC TRUST DISC EQTY INST	15,891.728	\$16.07	\$15.00	\$255,365.07
08/31/2016	Buy	CRANX	CRA QUALIFIED INVESTMENT INSTL	6,892.004	\$10.88	\$15.00	-\$75,000.00
08/16/2016 as of 08/15/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	0.59			
08/10/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	2,252.6	\$1.00		\$2,252.60
08/09/2016	Advisor Fee		TO ADVISOR				-\$2,252.60
08/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	757.9	\$1.00		-\$757.90
07/29/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$283.52
07/29/2016	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL				\$173.23
07/29/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$178.30
07/29/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$122.85
07/18/2016 as of 07/15/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	0.38			
07/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,763.75	\$1.00		-\$1,763.75
06/30/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$292.90
06/30/2016	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL				\$156.47
06/30/2016	Cash Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$545.70
06/30/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$174.26
06/30/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$119.70
06/30/2016	Cash Dividend	PRILX	PARNASSUS CORE EQTY FD INST				\$474.72

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Transactions for Living Trust

06/30/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	2,217.33	\$1.00		\$2,217.33
06/29/2016	Advisor Fee		TO ADVISOR				-\$2,217.33
06/23/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	18.81	\$1.00		-\$18.81
06/22/2016	Short Term Cap Gain Reinvest	PXSIX	PAX SMALL CAP INST				\$0.24
06/22/2016	Reinvest Shares	PXSIX	PAX SMALL CAP INST	0.017	\$14.00		-\$0.24
06/22/2016	Cash Dividend	PXSIX	PAX SMALL CAP INST				\$18.81
06/16/2016 as of 06/15/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	0.3			
06/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	742.8	\$1.00		-\$742.80
05/31/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$270.75
05/31/2016	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL				\$159.22
05/31/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$191.17
05/31/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$121.66
05/17/2016 as of 05/16/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	2.04			
05/12/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	57,257.13	\$1.00		\$57,257.13
05/11/2016	Buy	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST	3,832.397	\$19.57	\$20.00	-\$75,020.00
05/10/2016	Sell	JHAIX	JHANCOCK2 GLBL ABSOLUTE RETURN STRAT I	9,134.141	\$10.16	\$20.00	\$92,782.87
05/10/2016	Buy	CRANX	CRA QUALIFIED INVESTMENT INSTL	6,899.724	\$10.87	\$20.00	-\$75,020.00
05/02/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	598.26	\$1.00		-\$598.26
04/29/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$293.31
04/29/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI				\$188.66

			STRATEGY INCM FD INSTL				
04/29/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$116.29
04/18/2016 as of 04/15/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	1.49			
04/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,639.87	\$1.00		-\$1,639.87
03/31/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$281.24
03/31/2016	Cash Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$360.73
03/31/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$181.94
03/31/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$127.17
03/31/2016	Cash Dividend	PRILX	PARNASSUS CORE EQTY FD INST				\$688.79
03/30/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	2,262.59	\$1.00		\$2,262.59
03/29/2016	Advisor Fee		TO ADVISOR				-\$2,262.59
03/16/2016 as of 03/15/2016	Cash Dividend	SWZXX	SCH-ADV CASH RESRV PREM	0.57			
03/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	586.07	\$1.00		-\$586.07
02/29/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$293.61
02/29/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$174.60
02/29/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$117.86
02/17/2016 as of 02/16/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	0.64			
02/03/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	6,434.58	\$1.00		\$6,434.58
02/02/2016	Sell	VFIAX	VANGUARD 500 INDEX FD ADMIRAL SHRS	919.601	\$175.70	\$20.00	\$161,553.90
02/02/2016	Buy	PRILX	PARNASSUS CORE EQTY FD INST	4,704.876	\$35.07	\$20.00	-\$165,020.00

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Transactions for Living T

02/02/2016	Buy	PXSIX	PAX WORLD SMALL CAP INST	2,003.205	\$12.48	\$20.00	-\$25,020.00
02/02/2016	Sell	VVPSX	VULCAN VALUE PARTNERS SMALL CAP FD	1,522.895	\$14.48		\$22,051.52
02/01/2016	Buy	SWZXX	SCH ADV CASH RESRV PREM	541.45	\$1.00		-\$541.45
01/29/2016	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$252.50
01/29/2016	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$166.02
01/29/2016	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$122.93
01/19/2016 as of 01/15/2016	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	0.3			
01/04/2016	Sell	SWZXX	SCH ADV CASH RESRV PREM	6,325.99	\$1.00		\$6,325.99
12/31/2015	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND				\$239.40
12/31/2015	Cash Dividend	SAMBX	RIDGEWORTH SEIX FLOAT RATE HIGH INC I				\$123.90
12/31/2015	Cash Dividend	MMIIX	MFS MUNI HIGH INCOME FD CL I				\$128.47
12/31/2015	Buy	BCAIX	BOSTON COMMON INTL FD	11,900.04	\$25.21	\$20.00	-\$300,020.00
12/31/2015	Sell	SAMBX	RIDGEWORTH SEIX FLOAT RATE HIGH INC I	3,842.582	\$8.26		\$31,739.73
12/31/2015	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	0.48			
12/31/2015	Sell	HIEMX	VIRTUS EMRG MKT'S OPPTY FD CL I	4,098.482	\$8.96	\$20.00	\$36,702.40
12/31/2015	Sell	IVIQX	IVA INTL FD CL I	7,747.86	\$15.58	\$20.00	\$120,691.66
12/31/2015	Sell	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	1,635.553	\$23.52	\$20.00	\$38,448.21
12/31/2015	Sell	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	3,992.651	\$24.46	\$20.00	\$97,640.24
12/31/2015	Buy	PRSMX	T ROWE PRICE SUMMIT MUNI	2,666.667	\$12.00	\$20.00	-\$32,020.00

**Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)**

Filer's Information

FAUCI, ANTHONY S

Date of Appointment: 02/01/1985

Other Federal Government Positions Held During the Preceding 12 Months:
Director, NIAID, National Institute of Allergy and Infectious Diseases

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

FAUCI, ANTHONY S [electronically signed on 03/02/2018 by FAUCI, ANTHONY S in NEES]

Agency Ethics Official Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

TABAK, LAWRENCE ALLAN [electronically signed on 05/19/2018 by TABAK, LAWRENCE ALLAN in NEES]

Other review conducted by

#	COMMENTS	EMPLOYEE	DATE
1	3/6/18 Initial Review: Compliant with all applicable rules. Employee does not have any SAO's. Report is consistent with HHS-717-1 Verified 2 approved 520s on file. DHarris	NEO Reviewer - HARRIS, DAVID L	3/16/2018 at 7:29 AM

U.S office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	NAME	CITY, STATE	ORG TYPE	POSITION	FROM	TO
1	Doris Duke Charitable Foundation (DDCF)	NEW YORK, New York	Foundation	Member	10/01/1996	N/A
2	McGraw-Hill Publishing Company	Burr Ridge, Illinois	Publisher/Journal	Editor, Associate	11/01/1983	N/A
3	Fauci Family Trusts	BETHESDA, Maryland	Other Organization	Other	03/01/2014	N/A

2. Filer's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Jackson-Fillmore (Italian Restaurant)	N	\$1,001 to \$15,000		None (or less than \$201)
2	McGraw-Hill Publishers, Inc. - New York, New York	N	None (or less than \$1,001)	Rents and Royalties	\$100,001 to \$1,000,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a year

None

5. Spouse's Employment Assets and Income

— See Attachments D-E

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Dreyfus Liquid Assets Class I - Money Market	Y	\$250,001 to \$500,000	Dividends	None (or less than \$201)
2	Learning Quest 529 Education Plans: Moderate track, Short-term Portfolio.	Y	\$50,001 to \$100,000	Dividends	\$2,501 to \$5,000

See Attachments A-C

7. Transactions

See Attachments F-J

8. Liabilities

None

9. Gifts and Travel Reimbursements

#	BRIEF DESCRIPTION	SOURCE NAME	CITY, STATE	VALUE
1	I am on the Editorial Board of McGraw-Hill Publishing in connection with the textbook "Harrison's Principles of Internal Medicine". the amount indicated is for reimbursement of travel for an editorial Board meeting in New York City on 12/09/2017	McGraw-Hill Publishing Company	Burr Ridge, Illinois	506.00

2	Am on the Board of trustees of the Doris Duke Charitable Foundation. It is an uncompensated activity except for reimbursement of travel to 2 meetings in New York City on 02/28/2017 and 09/19/2017.	Doris Duke Charitable Foundation (DDCF)	NEW YORK, New York	1026.00
3	WAG - I received the LifeTime Achievement Award (no honorarium) and was a guest at the dinner gala on March 9, 2017 with my wife Dr. Christine Grady	Tech Council of Maryland	BETHESDA, Maryland	400.00
4	WAG - I attended the Children's Inn Gala - An Evening of Hope. My wife received a separate invitation and I will report that as a separate gift for her	Children's Inn at NIH	BETHESDA, Maryland	800.00
5	WAG - I attended the Research America Gala dinner in Washington, DC where I received the 2017 Legacy Award on March 15, 2017	Research!America	ARLINGTON, Virginia	500.00

Attachment A: Fauci 2017 278e Report, Section 6 Account Summary



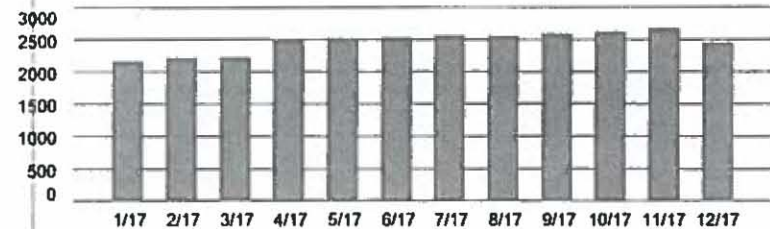
Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
 U/A DTD 12/08/1995

Statement Period
 December 1-31, 2017

Account Value as of 12/31/2017: \$

Change in Account Value	This Period	Year to Date
Starting Value	\$ 2,679,012.62	\$ 2,132,465.85
Cash Value of Purchases & Sales	222,734.42	98,412.32
Investments Purchased/Sold	(222,734.42)	(98,412.32)
Deposits & Withdrawals	(246,666.72)	(156,765.78)
Dividends & Interest ^a	46,869.11	75,493.18
Fees & Charges	0.00	(13,763.03)
Transfers	0.00	160,099.06
Income Reinvested	(37,277.62)	(42,223.03)
Change in Value of Investments	16,962.04	303,593.18
Ending Value on 12/31/2017	\$ 2,458,899.43	\$ 2,458,899.43
Total Change in Account Value	\$ (220,113.19)	\$ 326,433.58
<i>(Totals include Deposits & Withdrawals)</i>		

Account Value (\$) Over Last 12 Months (in Thousands)



Asset Composition	Market Value	% of Account Assets
Cash and Bank Sweep ^{A,B}	\$ 41,764.06	2%
Bond Funds	543,213.06	22%
Equity Funds	1,873,922.31	76%
Total Assets Long	\$ 2,458,899.43	
Total Account Value	\$ 2,458,899.43	100%

Overview



- 2% Cash, Bank Sweep [A,B]
- 22% Bond Funds
- 76% Equity Funds

Gain or (Loss) Summary

Realized Gain or (Loss) This Period

Short Term \$0.00
 Long Term \$35,279.75

Unrealized Gain or (Loss)

All Investments \$331,144.12
 Values may not reflect all of your gains/losses.



ATLANTIC TRUST
 PRIVATE WEALTH MANAGEMENT

Your Independent Investment Advisor is not affiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor.



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
 U/A DTD 12/08/1995

Statement Period
December 1-31, 2017

Income Summary	This Period		Year to Date	
	Federally Tax-Exempt ¹	Federally Taxable	Federally Tax-Exempt ¹	Federally Taxable
Bank Sweep Interest	0.00	1.97	0.00	50.69
Cash Dividends	0.00	24,872.68	953.02	52,495.01
Total Capital Gains	0.00	21,994.46	0.00	21,994.46
Total Income	0.00	46,869.11	953.02	74,540.16

¹Certain income in this category may qualify for state tax exemption; consult your tax advisor.

Cash Transactions Summary

	This Period	Year to Date
Starting Cash and Bank Sweep^{A,B}	\$ 18,827.25	\$ 38,387.37
Total Transaction Detail	22,936.81	3,376.69
Deposits and other Cash Credits	0.00	89,900.94
Investments Sold	260,012.04	480,635.35
Dividends and Interest	46,869.11	75,493.18
Withdrawals and other Debits	(246,666.72)	(246,666.72)
Investments Purchased	(37,277.62)	(382,223.03)
Fees and Charges	0.00	(13,763.03)
Ending Cash and Bank Sweep^{A,B}	\$ 41,764.06	\$ 41,764.06

Investment Detail - Cash and Bank Sweep

Cash	Starting Balance	Ending Balance	% of Account Assets
Cash	1,992.34	1,757.02	<1%
Total Cash	1,992.34	1,757.02	<1%

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Statement Period
December 1-31, 2017

Investment Detail - Cash and Bank Sweep (continued)

Bank Sweep	Starting Balance	Ending Balance	% of Account Assets
Bank Sweep for Benefit Plans ^{A,B}	16,834.91	40,007.04	2%
Total Bank Sweep	16,834.91	40,007.04	2%
Total Cash and Bank Sweep		41,764.06	2%

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
PIMCO INVESTMENT GRADE CORP BD INSTL SYMBOL: PIGIX	51,538.2410	10.5400	543,213.06	22%	10.48	538,969.60	4,243.46
Total Bond Funds	51,538.2410		543,213.06	22%		538,969.60	4,243.46

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
AT INCM OPPTY FD INST SYMBOL: AWIIX	9,803.9220	11.3800	111,568.63	5%	10.20	100,015.00	11,553.63
AT MID CAP EQTY FD INST SYMBOL: AWMIX	2,133.9180	13.9000	29,661.46	1%	11.89	25,380.35	4,281.11
ATLANTIC TRUST DISC EQTY ⁰ INST SYMBOL: AWEIX	29,803.1000	19.1700	571,325.43	23%	14.44	395,269.17	176,056.26

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Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Statement Period
December 1-31, 2017

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
HAMLIN HIGH DIV EQTY INSTL SYMBOL: HHDFX	13,607.4810	23.4600	319,231.50	13%	19.72	268,364.22	50,867.28
IVA INTL FD CL I ° SYMBOL: IVIQX	5,506.8880	17.8400	98,242.88	4%	16.67	90,680.53	7,562.35
JPMORGAN MID CAP VALUE ° L SYMBOL: FLMVX	5,467.6080	40.2800	220,235.25	9%	32.54	181,434.83	38,800.42
MAINSTAY EPOCH GLOBAL ° EQTY YIELD I SYMBOL: EPSYX	3,202.5630	20.0400	64,179.36	3%	17.39	54,252.33	9,927.03
MATTHEWS PACIFIC TIGER FD INSTL SYMBOL: MIPTX	1,973.1820	31.6300	62,411.75	3%	26.03	51,354.42	11,057.33
MFS INSTL INTL EQUITY SYMBOL: MIEIX	3,549.7040	25.4600	90,375.46	4%	25.35	90,000.00	375.46
TWEEDY BROWNE GLOBAL VALUE FUND SYMBOL: TBGVX	5,410.2150	28.4900	154,137.03	6%	27.82	150,539.12	3,597.91
VIRTUS VONTOBEL EMRG ° MKTS OPPTY FD I SYMBOL: HIEMX	5,724.1760	12.0800	69,148.05	3%	10.45	59,857.04	9,291.01

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Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
 U/A DTD 12/08/1995

Statement Period
December 1-31, 2017

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
VULCAN VALUE PARTNERS SMALL CAP FD SYMBOL: VVPSX	4,248.8800	19.6300	83,405.51	3%	18.80	79,874.64	3,530.87
Total Equity Funds	90,431.6370		1,873,922.31	76%		1,547,021.65	326,900.66
Total Mutual Funds	141,969.8780		2,417,135.37	98%		2,085,991.25	331,144.12
Total Investment Detail							2,458,899.43
Total Account Value							2,458,899.43
Total Cost Basis							2,085,991.25

Realized Gain or (Loss)

Long Term	Quantity/Par	Acquired/Opened	Sold/Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ATLANTIC TRUST DISC EQTY INST: AWEIX	5,136.1070	multiple	11/30/17	99,985.00	79,353.36	20,631.64
HAMLIN HIGH DIV EQTY INSTL: HHDFX	4,268.0320	11/09/15	11/30/17	99,985.00	84,049.54	15,935.46
T ROWE PRICE SUMMIT MUNI INTERM FUND INV: PRSMX	5,084.0000	03/17/16	11/30/17	60,042.04	61,329.39	(1,287.35)
Total Long Term				260,012.04	224,732.29	35,279.75
Total Realized Gain or (Loss)				260,012.04	224,732.29	35,279.75

Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here.

Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Statement Period
December 1-31, 2017

Transaction Detail - Purchases & Sales

Bond Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/01/17	11/30/17	Sold	T ROWE PRICE SUMMIT MUNI INTERM FUND INV: PRSMX	(5,084.0000)	11.8100	60,042.04
12/13/17	12/13/17	Reinvested Shares	PIMCO INVESTMENT GRADE CORP BD INSTL: PIGIX	196.5950	10.5600	(2,076.04)
12/13/17	12/13/17	Reinvested Shares	PIMCO INVESTMENT GRADE CORP BD INSTL: PIGIX	195.0930	10.5600	(2,060.18)
Total Bond Funds Activity						55,905.82

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/01/17	11/30/17	Sold	ATLANTIC TRUST DISC EQTY INST: AWEIX	(5,136.1070)	19.4700	99,985.00
12/01/17	11/30/17	Sold	HAMLIN HIGH DIV EQTY INSTL: HHDFX	(4,268.0320)	23.4300	99,985.00
12/05/17	12/05/17	Reinvested Shares	VULCAN VALUE PARTNERS SMALL CAP FD: VVPSX	204.6120	19.4200	(3,973.56)
12/05/17	12/05/17	Reinvested Shares	VULCAN VALUE PARTNERS SMALL CAP FD: VVPSX	46.4000	19.4200	(901.08)
12/06/17	12/06/17	Reinvested Shares	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	11.8250	29.8400	(352.86)
12/06/17	12/06/17	Reinvested Shares	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	2.7700	29.8400	(82.65)
12/13/17	12/13/17	Reinvested Shares	IVA INTL FD CL I: IVIQX	86.0310	17.7200	(1,524.47)
12/13/17	12/13/17	Reinvested Shares	IVA INTL FD CL I: IVIQX	2.4270	17.7200	(43.01)

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Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Statement Period
December 1-31, 2017

Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity (continued)

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/13/17	12/13/17	Reinvested Shares	IVA INTL FD CL I: IVIQX	108.5350	17.7200	(1,923.24)
12/13/17	12/13/17	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	89.5370	40.3300	(3,611.04)
12/13/17	12/13/17	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	5.8660	40.3300	(236.59)
12/13/17	12/13/17	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	14.6190	20.0200	(292.67)
12/13/17	12/13/17	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	3.3660	20.0200	(67.39)
12/19/17	12/19/17	Reinvested Shares	ATLANTIC TRUST DISC EQTY INST: AWEIX	537.0380	19.3400	(10,386.31)
12/20/17	12/20/17	Reinvested Shares	HAMLIN HIGH DIV EQTY INSTL: HHDFX	99.9920	23.6400	(2,363.81)
12/20/17	12/20/17	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	49.6390	40.1500	(1,993.00)
12/20/17	12/20/17	Reinvested Shares	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I: HIEMX	22.5190	11.9000	(267.98)
12/27/17	12/27/17	Reinvested Shares	TWEEDY BROWNE GLOBAL VALUE FUND: TBGVX	7.9590	28.4500	(226.43)
12/27/17	12/27/17	Reinvested Shares	TWEEDY BROWNE GLOBAL VALUE FUND: TBGVX	10.9910	28.4500	(312.69)
12/28/17	12/28/17	Reinvested Shares	ATLANTIC TRUST DISC EQTY INST: AWEIX	237.8110	19.2700	(4,582.62)
Total Equity Funds Activity						166,828.60
Total Purchases & Sales						222,734.42

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Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
 U/A DTD 12/08/1995

Statement Period
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Transaction Detail - Deposits & Withdrawals

Transaction Process		Activity	Description	Location	Credit/(Debit)
Date	Date				
12/01/17	12/01/17	Journaled Funds	JOURNAL TO :		(246,666.72)
Total Deposits & Withdrawals					(246,666.72)

The total deposits activity for the statement period was \$0.00. The total withdrawals activity for the statement period was \$246,666.72.

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Process		Activity	Description	Credit/(Debit)
Date	Date			
12/05/17	12/05/17	LT Cap Gain Rein	VULCAN VALUE PARTNERS: VVPSX	901.08
12/05/17	12/05/17	Sttm Cap Gn Rein	VULCAN VALUE PARTNERS: VVPSX	3,973.56
12/06/17	12/06/17	Cash Dividend	MATTHEWS PACIFIC TIGER: MIPTX	379.83
12/06/17	12/06/17	LT Cap Gain Rein	MATTHEWS PACIFIC TIGER: MIPTX	352.86
12/06/17	12/06/17	Sttm Cap Gn Rein	MATTHEWS PACIFIC TIGER: MIPTX	82.65
12/13/17	12/13/17	Sttm Cap Gn Rein	IVA INTL FD CL I: IVIQX	43.01
12/13/17	12/13/17	Div For Reinvest	IVA INTL FD CL I: IVIQX	1,524.47
12/13/17	12/13/17	LT Cap Gain Rein	IVA INTL FD CL I: IVIQX	1,923.24
12/13/17	12/13/17	Sttm Cap Gn Rein	JPMORGAN MID CAP VALUE: FLMVX	236.59
12/13/17	12/13/17	LT Cap Gain Rein	JPMORGAN MID CAP VALUE: FLMVX	3,611.04
12/13/17	12/13/17	Div For Reinvest	MAINSTAY EPOCH GLOBAL: EPSYX	292.67
12/13/17	12/13/17	LT Cap Gain Rein	MAINSTAY EPOCH GLOBAL: EPSYX	67.39
12/13/17	12/13/17	Sttm Cap Gn Rein	PIMCO INVESTMENT GRADE: PIGIX	2,060.18
12/13/17	12/13/17	LT Cap Gain Rein	PIMCO INVESTMENT GRADE: PIGIX	2,076.04
12/14/17	12/14/17	Cash Dividend	MFS INSTL INTL EQUITY: MIEIX	1,668.33
12/15/17	12/18/17	Bank Interest ^{A,B}	BANK INT 111617-121517	1.97
12/19/17	12/19/17	LT Cap Gain Rein	ATLANTIC TRUST DISC EQTY: AWEIX	10,386.31
12/20/17	12/20/17	LT Cap Gain Rein	HAMLIN HIGH DIV EQTY: HHDFX	2,363.81
12/20/17	12/20/17	Div For Reinvest	JPMORGAN MID CAP VALUE: FLMVX	1,993.00
12/20/17	12/20/17	Div For Reinvest	VIRTUS VONTOBEL EMRG: HIEMX	267.98

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Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
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Statement Period
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Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued)

Transaction Process				
Date	Date	Activity	Description	Credit/(Debit)
12/20/17	12/20/17	Cash Dividend	VULCAN VALUE PARTNERS: VVPSX	141.74
12/27/17	12/27/17	Cash Dividend	PIMCO INVESTMENT GRADE: PIGIX	56.18
12/27/17	12/27/17	Cash Dividend	TWEEDY BROWNE GLOBAL: TBGVX	1,692.86
12/27/17	12/27/17	LT Cap Gain Rein	TWEEDY BROWNE GLOBAL: TBGVX	312.69
12/27/17	12/27/17	Sttm Cap Gn Rein	TWEEDY BROWNE GLOBAL: TBGVX	226.43
12/28/17	12/28/17	Cash Dividend	AT INCM OPPTY FD INST: AWIIX	702.94
12/28/17	12/28/17	Div For Reinvest	ATLANTIC TRUST DISC EQTY: AWEIX	4,582.62
12/28/17	12/28/17	Cash Dividend	HAMLIN HIGH DIV EQTY: HHDFX	3,136.52
12/28/17	12/28/17	Cash Dividend	MATTHEWS PACIFIC TIGER: MIPTX	54.10
12/29/17	12/29/17	Cash Dividend	PIMCO INVESTMENT GRADE: PIGIX	1,757.02
Total Dividends & Interest				46,869.11

Total Transaction Detail **22,936.81**

Bank Sweep for Benefit Plans Activity

Transaction Date	Transaction	Description	Withdrawal	Deposit	Balance ^{A,B}
Opening Balance ^{A,B}					16,834.91
12/01/17	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		1,992.34	18,827.25
12/04/17	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		13,345.32	32,172.57
12/07/17	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		379.83	32,552.40
12/15/17	Interest Paid ^{A,B}	BANK INTEREST		1.97	32,554.37
12/15/17	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		1,668.33	34,222.70
12/21/17	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		141.74	34,364.44

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Statement Period
December 1-31, 2017

Bank Sweep for Benefit Plans Activity (continued)

Transaction Date	Transaction	Description	Withdrawal	Deposit	Balance ^{A,B}
12/28/17	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		1,749.04	36,113.48
12/29/17	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		3,893.56	40,007.04
Total Activity			0.00	23,172.13	
Ending Balance ^{A,B}					40,007.04

Bank Sweep for Benefit Plans: Interest Rate as of 12/29/17 was 0.12%. Your interest period was 11/16/17 - 12/15/17. ^B

Endnotes For Your Account

Symbol Endnote Legend

◊ Dividends paid on this security will be automatically reinvested.

A Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.

B For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

Attachment B: Fauci 2017 278e Report, Section 6

Account Summary

charles
SCHWAB

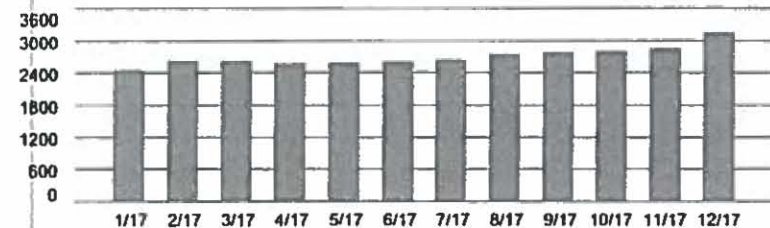
Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2017

Account Value as of 12/31/2017: [REDACTED]

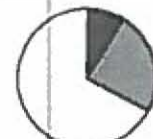
Change in Account Value	This Period	Year to Date
Starting Value	\$ 2,864,306.12	\$ 2,411,061.43
Cash Value of Purchases & Sales	(33,445.21)	(457,949.11)
Investments Purchased/Sold	33,445.21	457,949.11
Deposits & Withdrawals	265,082.35	567,761.41
Dividends & Interest	52,493.67	79,090.22
Fees & Charges	0.00	(14,913.99)
Transfers	0.00	(160,099.06)
Income Reinvested	(33,641.35)	(33,991.22)
Change in Value of Investments	10,017.57	309,349.57
Ending Value on 12/31/2017	\$ 3,158,258.36	\$ 3,158,258.36
Total Change in Account Value (Totals include Deposits & Withdrawals)	\$ 293,952.24	\$ 747,196.93

Account Value (\$) Over Last 12 Months [in Thousands]



Asset Composition	Market Value	% of Account Assets
Cash and Money Market Funds [Sweep]	\$ 299,189.79	9%
Bond Funds	746,795.27	24%
Equity Funds	2,112,273.30	67%
Total Assets Long	\$ 3,158,258.36	
Total Account Value	\$ 3,158,258.36	100%

Overview



- 9% Cash, MMFs [Sweep]
- 24% Bond Funds
- 67% Equity Funds

Gain or (Loss) Summary

Realized Gain or (Loss) This Period

Short Term	\$0.00
Long Term	\$0.00

Unrealized Gain or (Loss)

All Investments	\$356,149.57
Values may not reflect all of your gains/losses.	



ATLANTIC TRUST
PRIVATE WEALTH MANAGEMENT

Your Independent Investment Advisor is not affiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor.



Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2017

	This Period		Year to Date	
Income Summary	Federally Tax-Exempt¹	Federally Taxable	Federally Tax-Exempt¹	Federally Taxable
Money Funds Dividends	0.00	196.14	0.00	546.01
Cash Dividends	780.37	28,200.17	9,534.11	45,693.11
Total Capital Gains	0.00	23,316.99	0.00	23,316.99
Total Income	780.37	51,713.30	9,534.11	69,556.11

¹Certain income in this category may qualify for state tax exemption; consult your tax advisor.

Cash Transactions Summary

	This Period	Year to Date
Starting Cash and Money Market Funds [Sweep]	\$ 15,058.98	\$ 125,201.28
Total Transaction Detail	284,130.81	173,988.53
Deposits and other Cash Credits	265,082.35	657,662.35
Investments Sold	0.00	205,496.10
Dividends and Interest	52,493.67	79,090.22
Withdrawals and other Debits	0.00	(89,900.94)
Investments Purchased	(33,445.21)	(663,445.21)
Fees and Charges	0.00	(14,913.99)
Ending Cash and Money Market Funds [Sweep]	\$ 299,189.79	\$ 299,189.79

Investment Detail - Cash and Money Market Funds [Sweep]

	Starting Balance	Ending Balance	% of Account Assets
Cash	2,043.87	1,970.30	<1%
Total Cash	2,043.87	1,970.30	<1%

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2017

Investment Detail - Cash and Money Market Funds [Sweep] (continued)

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCH ADV CASH RESRV PREM: SWZXX	297,219.4900	1.0000	297,219.49	0.94%	9%
Total Money Market Funds [Sweep]			297,219.49		9%
Total Cash and Money Market Funds [Sweep]			299,189.79		9%

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
PIMCO INVESTMENT GRADE CORP BD INSTL SYMBOL: PIGIX	28,489.1690	10.5400	300,275.84	10%	10.61	302,301.41	(2,025.57)
T ROWE PRICE INST FLOATING RATE FD SYMBOL: RPIFX	5,775.9570	10.0200	57,875.09	2%	10.04	58,000.00	(124.91)
T ROWE PRICE SUMMIT MUNI INTERM FUND INV SYMBOL: PRSMX	32,714.1700	11.8800	388,644.34	12%	11.91	388,792.43	(148.09)
Total Bond Funds	66,979.2960		746,795.27	24%		749,093.84	(2,298.57)

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
AT INCM OPPTY FD INST SYMBOL: AWIIX	18,505.0620	11.3800	210,587.61	7%	10.27	190,000.00	20,587.61

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Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2017

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
AT MID CAP EQTY FD INST SYMBOL: AWMIX	11,270.6420	13.9000	156,661.92	5%	11.70	131,845.76	24,816.16
ATLANTIC TRUST DISC EQTY INST SYMBOL: AWEIX	40,247.7720	19.1700	771,549.79	24%	14.74	567,255.63	204,294.16
HARDING LOEVNER INST EMRG MKTS CL I SYMBOL: HLMEX	7,490.6210	22.6100	169,362.94	5%	17.36	130,000.00	39,362.94
IVA INTL FD CL I SYMBOL: IVIQX	8,495.0530	17.8400	151,551.75	5%	16.61	139,298.58	12,253.17
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	4,073.0320	40.2800	164,061.73	5%	32.45	132,152.09	31,909.64
MAINSTAY EPOCH GLOBAL EQTY YIELD I SYMBOL: EPSYX	2,755.6200	20.0400	55,222.62	2%	17.19	45,259.72	9,962.90
MFS INSTL INTL EQUITY SYMBOL: MIEIX	3,944.1810	25.4600	100,418.85	3%	25.35	100,000.00	418.85
TWEEDY BROWNE GLOBAL VALUE FUND SYMBOL: TBGVX	5,410.2150	28.4900	154,137.03	5%	27.82	150,539.12	3,597.91

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Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2017

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
VULCAN VALUE PARTNERS SMALL CAP FD SYMBOL: VVPSX	9,104.3840	19.6300	178,719.06	6%	18.39	167,474.26	11,244.80
Total Equity Funds	111,296.5820		2,112,273.30	67%		1,753,825.16	358,448.14
Total Mutual Funds	178,275.8780		2,859,068.57	91%		2,502,919.00	356,149.57
Total Investment Detail							3,158,258.36
Total Account Value							3,158,258.36
Total Cost Basis							2,502,919.00

Transaction Detail - Purchases & Sales

Bond Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/07/17	12/07/17	Reinvested Shares	T ROWE PRICE SUMMIT MUNI INTERM FUND INV: PRSMX	0.2740	11.9400	(3.27)
12/13/17	12/13/17	Reinvested Shares	PIMCO INVESTMENT GRADE CORP BD INSTL: PIGIX	108.6730	10.5600	(1,147.59)
12/13/17	12/13/17	Reinvested Shares	PIMCO INVESTMENT GRADE CORP BD INSTL: PIGIX	107.8430	10.5600	(1,138.82)
Total Bond Funds Activity						(2,289.68)

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Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2017

Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/05/17	12/05/17	Reinvested Shares	VULCAN VALUE PARTNERS SMALL CAP FD: VVPSX	438.4370	19.4200	(8,514.44)
12/05/17	12/05/17	Reinvested Shares	VULCAN VALUE PARTNERS SMALL CAP FD: VVPSX	99.4240	19.4200	(1,930.81)
12/13/17	12/13/17	Reinvested Shares	IVA INTL FD CL I: IVIQX	170.0860	17.7200	(3,013.93)
12/13/17	12/13/17	Reinvested Shares	IVA INTL FD CL I: IVIQX	3.8040	17.7200	(67.40)
12/13/17	12/13/17	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	67.3110	40.3300	(2,714.65)
12/13/17	12/13/17	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	4.4100	40.3300	(177.86)
12/13/17	12/13/17	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	2.9100	20.0200	(58.25)
12/19/17	12/19/17	Reinvested Shares	ATLANTIC TRUST DISC EQTY INST: AWEIX	731.0790	19.3400	(14,139.07)
12/27/17	12/27/17	Reinvested Shares	TWEEDY BROWNE GLOBAL VALUE FUND: TBGVX	10.9910	28.4500	(312.69)
12/27/17	12/27/17	Reinvested Shares	TWEEDY BROWNE GLOBAL VALUE FUND: TBGVX	7.9590	28.4500	(226.43)
Total Equity Funds Activity						(31,155.53)
Total Purchases & Sales						(33,445.21)

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Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2017

Transaction Detail - Deposits & Withdrawals

Transaction Process		Activity	Description	Location	Credit/(Debit)
Date	Date				
12/01/17	12/01/17	Journaled Funds	JOURNAL FRM		246,666.72
12/05/17	12/05/17	Journaled Funds	J7NORMAL FR		18,415.63
Total Deposits & Withdrawals					265,082.35

The total deposits activity for the statement period was \$265,082.35. The total withdrawals activity for the statement period was \$0.00.

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Process		Activity	Description	Credit/(Debit)
Date	Date			
12/05/17	12/05/17	Stlm Cap Gn Rein	VULCAN VALUE PARTNERS: VVPSX	8,514.44
12/05/17	12/05/17	LT Cap Gain Rein	VULCAN VALUE PARTNERS: VVPSX	1,930.81
12/07/17	12/07/17	Stlm Cap Gn Rein	T ROWE PRICE SUMMIT MUNI: PRSMX	3.27
12/13/17	12/13/17	Cash Dividend	IVA INTL FD CL I: IVIQX	2,389.01
12/13/17	12/13/17	Stlm Cap Gn Rein	IVA INTL FD CL I: IVIQX	67.40
12/13/17	12/13/17	LT Cap Gain Rein	IVA INTL FD CL I: IVIQX	3,013.93
12/13/17	12/13/17	LT Cap Gain Rein	JPMORGAN MID CAP VALUE: FLMVX	2,714.65
12/13/17	12/13/17	Stlm Cap Gn Rein	JPMORGAN MID CAP VALUE: FLMVX	177.86
12/13/17	12/13/17	Cash Dividend	MAINSTAY EPOCH GLOBAL: EPSYX	252.98
12/13/17	12/13/17	LT Cap Gain Rein	MAINSTAY EPOCH GLOBAL: EPSYX	58.25
12/13/17	12/13/17	Stlm Cap Gn Rein	PIMCO INVESTMENT GRADE: PIGIX	1,138.82
12/13/17	12/13/17	LT Cap Gain Rein	PIMCO INVESTMENT GRADE: PIGIX	1,147.59
12/14/17	12/14/17	Cash Dividend	MFS INSTL INTL EQUITY: MIEIX	1,853.73
12/15/17	12/15/17	Cash Dividend	HARDING LOEVNER INST: HLMEX	1,295.20
12/19/17	12/19/17	LT Cap Gain Rein	ATLANTIC TRUST DISC EQTY: AWEIX	14,139.07
12/20/17	12/20/17	Cash Dividend	JPMORGAN MID CAP VALUE: FLMVX	1,498.26
12/20/17	12/20/17	Cash Dividend	VULCAN VALUE PARTNERS: VVPSX	303.72
12/27/17	12/27/17	Cash Dividend	PIMCO INVESTMENT GRADE: PIGIX	31.05
12/27/17	12/27/17	Cash Dividend	TWEEDY BROWNE GLOBAL: TBGVX	1,692.86

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Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2017

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/27/17	12/27/17	LT Cap Gain Rein	TWEEDY BROWNE GLOBAL: TBGVX	312.69
12/27/17	12/27/17	Stlm Cap Gn Rein	TWEEDY BROWNE GLOBAL: TBGVX	226.43
12/28/17	12/28/17	Cash Dividend	AT INCM OPPTY FD INST: AWIIX	1,326.81
12/28/17	12/28/17	Cash Dividend	ATLANTIC TRUST DISC EQTY: AWEIX	6,238.40
12/29/17	12/29/17	Cash Dividend	PIMCO INVESTMENT GRADE: PIGIX	971.21
12/29/17	12/29/17	Dividend	SCH ADV CASH RESRV PREM: SWZXX	196.14
12/29/17	12/29/17	Cash Dividend	T ROWE PRICE INST: RPIFX	218.72
12/29/17	12/29/17	Cash Dividend	T ROWE PRICE SUMMIT MUNI: PRSMX	780.37
Total Dividends & Interest				52,493.67

Total Transaction Detail 284,130.81

Money Market Fund (Sweep) Detail

SCH ADV CASH RESRV PREM Activity

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
Opening # of Shares: 13,015.1100					
12/01/17	Purchased	2,043.8700	1.0000	2,043.87	
12/04/17	Purchased	246,666.7200	1.0000	246,666.72	
12/06/17	Purchased	18,415.6300	1.0000	18,415.63	
12/14/17	Purchased	2,641.9900	1.0000	2,641.99	
12/15/17	Purchased	1,853.7300	1.0000	1,853.73	
12/18/17	Purchased	1,295.2000	1.0000	1,295.20	
12/21/17	Purchased	1,801.9800	1.0000	1,801.98	
12/28/17	Purchased	1,723.9100	1.0000	1,723.91	
12/29/17	Purchased	7,565.2100	1.0000	7,565.21	

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Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2017

Money Market Fund (Sweep) Detail (continued)

SCH ADV CASH RESRV PREM Activity (continued)

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
12/29/17	Dividend	196.1400	1.0000	196.14	
Closing # of Shares: 297,219.4900					
Total SCH ADV CASH RESRV PREM Activity				284,204.38	
Total Money Market Fund (Sweep)				284,204.38	

SCH ADV CSH RSV PR Average Yield For The Most Recent Pay Period: 000.82%; 7-Day Yield: 0.94%.

Endnotes For Your Account

Symbol Endnote Legend

f 7-day yield: Annualized fund yield based on the average daily yield for the previous 7 days, assuming dividends are paid in cash and not reinvested.

Attachment C: Fauci 2017 278e Report, Section 6 Account Summary

charles
SCHWAB

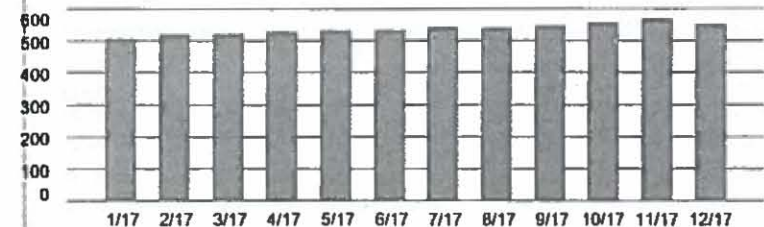
Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2017

Account Value as of 12/31/2017:

Change in Account Value	This Period	Year to Date
Starting Value	\$ 568,780.66	\$ 499,445.76
Cash Value of Purchases & Sales	(8,297.74)	(6,584.82)
Investments Purchased/Sold	8,297.74	6,584.82
Deposits & Withdrawals	(22,735.34)	(22,735.34)
Dividends & Interest	11,007.07	13,618.23
Fees & Charges	0.00	0.00
Transfers	0.00	0.00
Income Reinvested	(8,311.26)	(9,712.03)
Change in Value of Investments	2,576.88	70,701.39
Ending Value on 12/31/2017	\$ 551,318.01	\$ 551,318.01
Total Change in Account Value (Totals include Deposits & Withdrawals)	\$ (17,462.65)	\$ 51,872.25

Account Value (\$) Over Last 12 Months [in Thousands]



Asset Composition	Market Value	% of Account Assets
Cash and Money Market Funds [Sweep]	\$ 6,423.09	1%
Bond Funds	15,889.85	3%
Equity Funds	529,005.07	96%
Total Assets Long	\$ 551,318.01	
Total Account Value	\$ 551,318.01	100%

Overview



3% Bond Funds
96% Equity Funds
1% Cash, MMFs [Sweep]

Gain or (Loss) Summary

Gain or (Loss) on Investments Sold

This Period \$0.00

Unrealized Gain or (Loss)

All Investments \$89,837.48

Values may not reflect all of your gains/losses.



ATLANTIC TRUST
PRIVATE WEALTH MANAGEMENT

Your Independent Investment Advisor is not affiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor.



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2017

Income Summary

	This Period	Year To Date
Money Funds Dividends	13.52	139.98
Cash Dividends	5,852.79	8,337.49
Total Capital Gains	5,140.76	5,140.76
Total Income	11,007.07	13,618.23

Cash Transactions Summary

	This Period	Year to Date
Starting Cash and Money Market Funds [Sweep]	\$ 26,449.10	\$ 22,125.02
Total Transaction Detail	(20,026.01)	(15,701.93)
Deposits and other Cash Credits	0.00	0.00
Investments Sold	0.00	117,987.23
Dividends and Interest	11,007.07	13,618.23
Withdrawals and other Debits	(22,735.34)	(22,735.34)
Investments Purchased	(8,297.74)	(124,572.05)
Fees and Charges	0.00	0.00
Ending Cash and Money Market Funds [Sweep]	\$ 6,423.09	\$ 6,423.09

Investment Detail - Cash and Money Market Funds [Sweep]

Cash	Starting Balance	Ending Balance	% of Account Assets
Cash	88.14	60.03	<1%
Total Cash	88.14	60.03	<1%

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Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2017

Investment Detail - Cash and Money Market Funds [Sweep] (continued)

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCH ADV CASH RESRV PREM: SWZXX	6,363.0600	1.0000	6,363.06	0.94%	1%
Total Money Market Funds [Sweep]			6,363.06		1%
Total Cash and Money Market Funds [Sweep]			6,423.09		1%

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
T ROWE PRICE INST FLOATING RATE FD SYMBOL: RPIFX	1,585.8130	10.0200	15,889.85	3%	10.09	16,000.00	(110.15)
Total Bond Funds	1,585.8130		15,889.85	3%		16,000.00	(110.15)

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
AT INCM OPPTY FD INST SYMBOL: AWIIX	2,416.3440	11.3800	27,497.99	5%	10.35	25,000.00	2,497.99
AT MID CAP EQTY FD INST SYMBOL: AWMIX	2,153.8790	13.9000	29,938.92	5%	11.61	25,000.00	4,938.92
ATLANTIC TRUST DISC EQTY ⁰ INST SYMBOL: AWEIX	9,469.4590	19.1700	181,529.53	33%	13.18	124,802.29	56,727.24

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2017

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	1,898.0370	40.2800	76,452.93	14%	33.06	62,740.45	13,712.48
MAINSTAY EPOCH GLOBAL ° EQTY YIELD I SYMBOL: EPSYX	1,236.8450	20.0400	24,786.37	4%	17.14	21,157.52	3,628.85
MFS INSTL INTL EQUITY SYMBOL: MIEIX	591.1240	25.4600	15,050.02	3%	25.38	15,000.00	50.02
TWEEDY BROWNE GLOBAL VALUE FUND SYMBOL: TBGVX	4,312.8440	28.4900	122,872.93	22%	27.51	118,644.73	4,228.20
VIRTUS VONTOBEL EMRG MKTS OPPTY FD I SYMBOL: HIEMX	1,910.1430	12.0800	23,074.53	4%	10.51	20,087.57	2,986.96
VULCAN VALUE PARTNERS SMALL CAP FD SYMBOL: VVPSX	1,416.2940	19.6300	27,801.85	5%	18.80	26,624.88	1,176.97
Total Equity Funds	25,404.9690		529,005.07	96%		439,057.44	89,947.63
Total Mutual Funds	26,990.7820		544,894.92	99%		455,057.44	89,837.48
Total Investment Detail							551,318.01
Total Account Value							551,318.01
Total Cost Basis							455,057.44

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Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2017

Transaction Detail - Purchases & Sales

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/05/17	12/05/17	Reinvested Shares	VULCAN VALUE PARTNERS SMALL CAP FD: VVPSX	15.4670	19.4200	(300.36)
12/05/17	12/05/17	Reinvested Shares	VULCAN VALUE PARTNERS SMALL CAP FD: VVPSX	68.2040	19.4200	(1,324.52)
12/13/17	12/13/17	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	31.3670	40.3300	(1,265.03)
12/13/17	12/13/17	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	2.0550	40.3300	(82.88)
12/13/17	12/13/17	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	5.6460	20.0200	(113.03)
12/13/17	12/13/17	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	1.3000	20.0200	(26.02)
12/19/17	12/19/17	Reinvested Shares	ATLANTIC TRUST DISC EQTY INST: AWEIX	170.6350	19.3400	(3,300.08)
12/27/17	12/27/17	Reinvested Shares	TWEEDY BROWNE GLOBAL VALUE FUND: TBGVX	6.3440	28.4500	(180.50)
12/27/17	12/27/17	Reinvested Shares	TWEEDY BROWNE GLOBAL VALUE FUND: TBGVX	8.7620	28.4500	(249.27)
12/28/17	12/28/17	Reinvested Shares	ATLANTIC TRUST DISC EQTY INST: AWEIX	75.5600	19.2700	(1,456.05)
Total Equity Funds Activity						(8,297.74)
Total Purchases & Sales						(8,297.74)

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Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2017

Transaction Detail - Deposits & Withdrawals

Transaction Process		Activity	Description	Location	Credit/(Debit)
Date	Date				
12/05/17	12/05/17	Joumaled Funds	J7NORMAL TO		(18,415.63)
12/05/17	12/05/17	Tax Withholding	FED INC TAX WHLD IRA7		(2,273.53)
12/05/17	12/05/17	Tax Withholding	DC STATE TAX W/H IRA7		(2,046.18)
Total Deposits & Withdrawals					(22,735.34)

The total deposits activity for the statement period was \$0.00. The total withdrawals activity for the statement period was \$22,735.34.

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Process		Activity	Description	Credit/(Debit)
Date	Date			
12/05/17	12/05/17	LT Cap Gain Rein	VULCAN VALUE PARTNERS: VVPSX	300.36
12/05/17	12/05/17	Sttm Cap Gn Rein	VULCAN VALUE PARTNERS: VVPSX	1,324.52
12/13/17	12/13/17	Sttm Cap Gn Rein	JPMORGAN MID CAP VALUE: FLMVX	82.88
12/13/17	12/13/17	LT Cap Gain Rein	JPMORGAN MID CAP VALUE: FLMVX	1,265.03
12/13/17	12/13/17	Div For Reinvest	MAINSTAY EPOCH GLOBAL: EPSYX	113.03
12/13/17	12/13/17	LT Cap Gain Rein	MAINSTAY EPOCH GLOBAL: EPSYX	26.02
12/14/17	12/14/17	Cash Dividend	MFS INSTL INTL EQUITY: MIEIX	277.82
12/19/17	12/19/17	LT Cap Gain Rein	ATLANTIC TRUST DISC EQTY: AWEIX	3,300.08
12/20/17	12/20/17	Cash Dividend	JPMORGAN MID CAP VALUE: FLMVX	698.19
12/20/17	12/20/17	Cash Dividend	VIRTUS VONTOBEL EMRG: HIEMX	89.78
12/20/17	12/20/17	Cash Dividend	VULCAN VALUE PARTNERS: VVPSX	47.25
12/27/17	12/27/17	Cash Dividend	TWEEDY BROWNE GLOBAL: TBGVX	1,349.49
12/27/17	12/27/17	LT Cap Gain Rein	TWEEDY BROWNE GLOBAL: TBGVX	249.27
12/27/17	12/27/17	Sttm Cap Gn Rein	TWEEDY BROWNE GLOBAL: TBGVX	180.50
12/28/17	12/28/17	Cash Dividend	AT INCM OPPTY FD INST: AWIIX	173.25
12/28/17	12/28/17	Div For Reinvest	ATLANTIC TRUST DISC EQTY: AWEIX	1,456.05
12/29/17	12/29/17	Dividend	SCH ADV CASH RESRV PREM: SWZXX	13.52

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Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2017

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued)

Transaction Process		Activity	Description	Credit/(Debit)
Date	Date			
12/29/17	12/29/17	Cash Dividend	T ROWE PRICE INST: RPIFX	60.03
Total Dividends & Interest				11,007.07
Total Transaction Detail				(20,026.01)

Money Market Fund (Sweep) Detail

SCH ADV CASH RESRV PREM Activity

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
Opening # of Shares: 26,360.9600					
12/01/17	Purchased	88.1400	1.0000	88.14	
12/06/17	Redeemed	22,735.3400	1.0000		22,735.34
12/15/17	Purchased	277.8200	1.0000	277.82	
12/21/17	Purchased	835.2200	1.0000	835.22	
12/28/17	Purchased	1,349.4900	1.0000	1,349.49	
12/29/17	Purchased	173.2500	1.0000	173.25	
12/29/17	Dividend	13.5200	1.0000	13.52	
Closing # of Shares: 6,363.0600					
Total SCH ADV CASH RESRV PREM Activity				2,737.44	22,735.34
Total Money Market Fund (Sweep)				2,737.44	22,735.34

SCH ADV CSH RSV PR Average Yield For The Most Recent Pay Period: 000.82%; 7-Day Yield: 0.94%.



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2017

Contribution Summary

	2016	2017
Traditional IRA	0.00	0.00
Year To Date Total	0.00	0.00

Distribution Summary

	Gross Amount	Federal Tax Withheld	State Tax Withheld	Earnings	Net Amount
Normal	22,735.34	2,273.53	2,046.18 DC	0.00	18,415.63
Year To Date Total	22,735.34	2,273.53	2,046.18	0.00	18,415.63

Tax Year 2018 Required Minimum Distribution, which you must take by **12/31/2018**:

27,158.52

Schwab must report to the IRS that a minimum distribution is required for the tax year specified above. #

Endnotes For Your Account

Symbol Endnote Legend

- 0 Dividends paid on this security will be automatically reinvested.
- # The Required Minimum Distribution amount shown here is based on the "Total Account Value", also known as Fair Market Value, as of December 31 of the previous year. It could change if (a) you satisfy some or all of your Required Minimum Distribution with distribution(s) from other IRA(s) you own, (b) you inform Schwab that your spouse is your sole beneficiary and is more than 10 years younger than you, (c) a rollover to this IRA was pending as of December 31 prior to the tax year specified above, (d) you recharacterize a conversion made in the prior year, or (e) a correction was made to the account after this statement date that impacts the Fair Market Value such as a change to a security value or deposit adjustment. The Year To Date Total includes distributions taken during the calendar year. In some cases, your calendar year distributions may differ from your Required Minimum Distribution for the tax year.
- f 7-day yield: Annualized fund yield based on the average daily yield for the previous 7 days, assuming dividends are paid in cash and not reinvested.

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charles
SCHWABSchwab One® Trust Account of
TTEE
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J/A DTD 03/19/2014

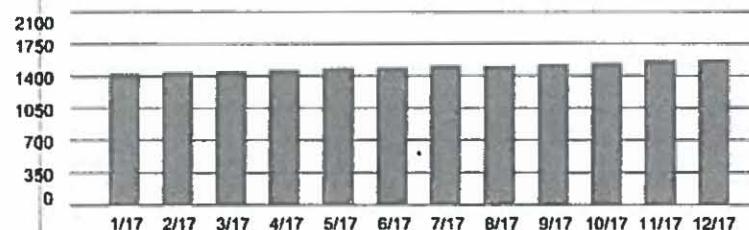
Account Summary

Statement Period
December 1-31, 2017

Account Value as of 12/31/2017:

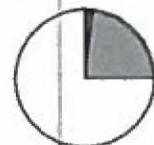
Change in Account Value	This Period	Year to Date
Starting Value	\$ 1,572,555.55	\$ 1,408,584.56
Cash Value of Purchases & Sales	(13,769.21)	(81,445.43)
Investments Purchased/Sold	13,769.21	81,445.43
Deposits & Withdrawals	0.00	0.00
Dividends & Interest	23,063.54	69,318.36
Fees & Charges	0.00	(12,376.21)
Transfers	0.00	0.00
Income Reinvested	(13,788.53)	(41,920.84)
Change in Value of Investments	(505.59)	157,719.10
Ending Value on 12/31/2017	\$ 1,581,324.97	\$ 1,581,324.97
Total Change in Account Value (Totals include Deposits & Withdrawals)	\$ 8,769.42	\$ 172,740.41

Account Value (\$) Over Last 12 Months [in Thousands]



Asset Composition	Market Value	% of Account Assets
Cash and Money Market Funds [Sweep]	\$ 26,343.90	2%
Bond Funds	368,068.12	23%
Equity Funds	1,186,912.95	75%
Total Assets Long	\$ 1,581,324.97	
Total Account Value	\$ 1,581,324.97	100%

Overview



- 2% Cash, MMFs [Sweep]
- 23% Bond Funds
- 75% Equity Funds

Gain or (Loss) Summary

Realized Gain or (Loss) This Period

Short Term	\$0.00
Long Term	\$0.00

Unrealized Gain or (Loss)

All Investments	\$156,039.89
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Values may not reflect all of your gains/losses.

ATLANTIC TRUST
PRIVATE WEALTH MANAGEMENT

Your Independent Investment Advisor is not affiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor.



Schwab One® Trust Account of
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U/A DTD 03/19/2014

Statement Period
December 1-31, 2017

Income Summary	This Period		Year to Date	
	Federally Tax-Exempt ¹	Federally Taxable	Federally Tax-Exempt ¹	Federally Taxable
Money Funds Dividends	0.00	19.32	0.00	251.74
Cash Dividends	634.86	8,827.85	3,979.48	24,441.32
Total Capital Gains	0.00	13,581.51	0.00	40,645.82
Total Income	634.86	22,428.68	3,979.48	65,338.88

¹Certain income in this category may qualify for state tax exemption; consult your tax advisor.

Cash Transactions Summary

	This Period	Year to Date
Starting Cash and Money Market Funds [Sweep]	\$ 17,049.57	\$ 50,847.18
Total Transaction Detail	9,294.33	(24,503.28)
Deposits and other Cash Credits	0.00	0.00
Investments Sold	0.00	256,223.67
Dividends and Interest	23,063.54	69,318.36
Withdrawals and other Debits	0.00	0.00
Investments Purchased	(13,769.21)	(337,669.10)
Fees and Charges	0.00	(12,376.21)
Ending Cash and Money Market Funds [Sweep]	\$ 26,343.90	\$ 26,343.90

Investment Detail - Cash and Money Market Funds [Sweep]

	Starting Balance	Ending Balance	% of Account Assets
Cash			
Cash	725.98	831.01	<1%
Total Cash	725.98	831.01	<1%

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Schwab One® Trust Account of
TTEE
/ REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2017

Investment Detail - Cash and Money Market Funds [Sweep] (continued)

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCH ADV CASH RESRV PREM: SWZXX	25,512.8900	1.0000	25,512.89	0.94%	2%
Total Money Market Funds [Sweep]			25,512.89		2%
Total Cash and Money Market Funds [Sweep]			26,343.90		2%

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
T ROWE PRICE INST FLOATING RATE FD SYMBOL: RPIFX	5,180.0250	10.0200	51,903.85	3%	10.04	52,000.00	(96.15)
T ROWE PRICE SUMMIT MUNI INTERM FUND INV SYMBOL: PRSMX	26,613.1540	11.8800	316,164.27	20%	11.95	318,036.47	(1,872.20)
Total Bond Funds	31,793.1790		368,068.12	23%		370,036.47	(1,968.35)
Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
AT INCM OPPTY FD INST SYMBOL: AWIIX	5,801.2570	11.3800	66,018.30	4%	10.34	60,000.00	6,018.30
BOSTON COMMON INTL FD SYMBOL: BCAIX	7,722.9870	30.6900	237,018.47	15%	27.32	211,007.39	26,011.08

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Schwab One® Trust Account of
TTEE
REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2017

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	4,073.1860	40.2800	164,067.93	10%	31.10	126,672.15	37,395.78
MAINSTAY EPOCH GLOBAL EQTY YIELD I SYMBOL: EPSYX	2,316.7430	20.0400	46,427.53	3%	17.25	41,695.67	4,731.86
PARNASSUS CORE EQTY FD INST SYMBOL: PRILX	11,442.7590	42.7300	488,949.09	31%	37.72	431,583.68	57,365.41
PAX SMALL CAP INST SYMBOL: PXSIX	5,155.6300	16.5300	85,222.56	5%	14.05	72,414.09	12,808.47
TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST SYMBOL: TROCX	4,285.4890	23.1500	99,209.07	6%	19.96	85,531.73	13,677.34
Total Equity Funds	40,798.0510		1,186,912.95	75%		1,028,904.71	158,008.24
Total Mutual Funds	72,591.2300		1,554,981.07	98%		1,398,941.18	156,039.89
Total Investment Detail							1,581,324.97
Total Account Value							1,581,324.97
Total Cost Basis							1,398,941.18

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Schwab One® Trust Account of
TTEE
REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2017

Transaction Detail - Purchases & Sales

Bond Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/07/17	12/07/17	Reinvested Shares	T ROWE PRICE SUMMIT MUNI INTERM FUND INV: PRSMX	0.2230	11.9400	(2.66)
Total Bond Funds Activity						(2.66)

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/13/17	12/13/17	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	67.3130	40.3300	(2,714.75)
12/13/17	12/13/17	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	4.4100	40.3300	(177.87)
12/13/17	12/13/17	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	2.4460	20.0200	(48.97)
12/21/17	12/21/17	Reinvested Shares	PAX SMALL CAP INST: PXSIX	19.0300	16.4600	(313.23)
12/27/17	12/27/17	Reinvested Shares	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST: TROCX	452.7830	23.2000	(10,504.56)
12/27/17	12/27/17	Reinvested Shares	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST: TROCX	0.3090	23.2000	(7.17)
Total Equity Funds Activity						(13,766.55)
Total Purchases & Sales						(13,769.21)

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Schwab One® Trust Account of
TTEE
REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2017

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/07/17	12/07/17	Sttm Cap Gn Rein	T ROWE PRICE SUMMIT MUNI: PRSMX	2.66
12/13/17	12/13/17	Sttm Cap Gn Rein	JPMORGAN MID CAP VALUE: FLMVX	177.87
12/13/17	12/13/17	LT Cap Gain Rein	JPMORGAN MID CAP VALUE: FLMVX	2,714.75
12/13/17	12/13/17	Cash Dividend	MAINSTAY EPOCH GLOBAL: EPSYX	212.69
12/13/17	12/13/17	LT Cap Gain Rein	MAINSTAY EPOCH GLOBAL: EPSYX	48.97
12/19/17	12/19/17	Cash Dividend	BOSTON COMMON INTL FD: BCAIX	2,789.18
12/20/17	12/20/17	Cash Dividend	JPMORGAN MID CAP VALUE: FLMVX	1,498.32
12/21/17	12/21/17	Cash Dividend	PAX SMALL CAP INST: PXSIX	93.75
12/21/17	12/21/17	LT Cap Gain Rein	PAX SMALL CAP INST: PXSIX	313.23
12/27/17	12/27/17	Cash Dividend	PARNASSUS CORE EQTY FD: PRILX	2,662.73
12/27/17	12/27/17	Cash Dividend	TOUCHSTONE SUSTAINABILIT: TROCX	771.38
12/27/17	12/27/17	LT Cap Gain Rein	TOUCHSTONE SUSTAINABILIT: TROCX	10,504.56
12/27/17	12/27/17	Sttm Cap Gn Rein	TOUCHSTONE SUSTAINABILIT: TROCX	7.17
12/28/17	12/28/17	Cash Dividend	AT INCM OPPTY FD INST: AWIIX	415.95
12/29/17	12/29/17	Dividend	SCH ADV CASH RESRV PREM: SWZXX	19.32
12/29/17	12/29/17	Cash Dividend	T ROWE PRICE INST: RPIFX	196.15
12/29/17	12/29/17	Cash Dividend	T ROWE PRICE SUMMIT MUNI: PRSMX	634.86
Total Dividends & Interest				23,063.54
Total Transaction Detail				9,294.33



Schwab One® Trust Account of
TTEE
REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2017

Money Market Fund (Sweep) Detail

SCH ADV CASH RESRV PREM Activity

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
Opening # of Shares: 16,323.5900					
12/01/17	Purchased	725.8800	1.0000	725.98	
12/14/17	Purchased	212.6900	1.0000	212.69	
12/20/17	Purchased	2,789.1800	1.0000	2,789.18	
12/21/17	Purchased	1,498.3200	1.0000	1,498.32	
12/22/17	Purchased	93.7500	1.0000	93.75	
12/28/17	Purchased	3,434.1100	1.0000	3,434.11	
12/29/17	Purchased	415.9500	1.0000	415.95	
12/29/17	Dividend	19.3200	1.0000	19.32	
Closing # of Shares: 25,512.8900					
Total SCH ADV CASH RESRV PREM Activity				9,189.30	
Total Money Market Fund (Sweep)				9,189.30	

SCH ADV CSH RSV PR Average Yield For The Most Recent Pay Period: 000.82%; 7-Day Yield: 0.94%.

Endnotes For Your Account

Symbol Endnote Legend

f 7-day yield: Annualized fund yield based on the average daily yield for the previous 7 days, assuming dividends are paid in cash and not reinvested.

Account Summary

charles
SCHWAB

Contributory IRA of

CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORYStatement Period
December 1-31, 2017

Account Value as of 12/31/2017: \$

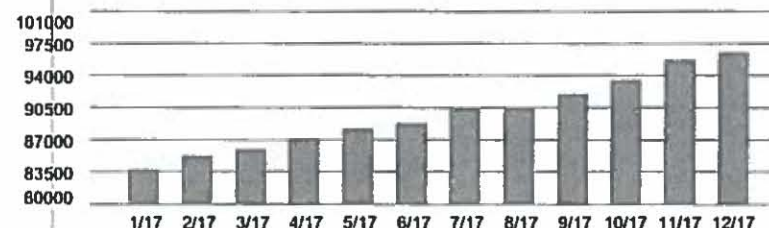
Change in Account Value

	This Period	Year to Date
Starting Value	\$ 95,731.20	\$ 82,070.46
Cash Value of Purchases & Sales	(5,966.57)	(7,970.85)
Investments Purchased/Sold	5,966.57	7,970.85
Deposits & Withdrawals	0.00	0.00
Dividends & Interest ²	6,708.43	7,377.22
Fees & Charges	0.00	0.00
Transfers	0.00	0.00
Income Reinvested	(5,966.57)	(6,015.14)
Change in Value of Investments	119.13	13,159.65
Ending Value on 12/31/2017	\$ 96,592.19	\$ 96,592.19
Total Change in Account Value (Totals include Deposits & Withdrawals)	\$ 860.99	\$ 14,521.73

Asset Composition

	Market Value	% of Account Assets
Bank Sweep ^{x,z}	\$ 4,991.30	5%
Equity Funds	91,600.89	95%
Total Assets Long	\$ 96,592.19	
Total Account Value	\$ 96,592.19	100%

Account Value (\$) Over Last 12 Months



Overview



■ 5% Bank Sweep (X,Z)
■ 95% Equity Funds

Gain or (Loss) Summary

Gain or (Loss) on Investments Sold

This Period \$0.00

Unrealized Gain or (Loss)

All Investments \$2,239.38

Values may not reflect all of your gains/losses.

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Schwab and Schwab does not supervise or endorse your Advisor.



Contributory IRA of

CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORYStatement Period
December 1-31, 2017

Income Summary

	This Period	Year To Date
Bank Sweep Interest	0.35	3.06
Cash Dividends	745.57	1,408.84
Total Capital Gains	5,962.51	5,965.32
Total Income	6,708.43	7,377.22

Cash Transactions Summary

	This Period	Year to Date
Starting Bank Sweep^{x,z}	\$ 4,249.44	\$ 5,584.93
Total Transaction Detail	741.86	(593.63)
Deposits and other Cash Credits	0.00	0.00
Investments Sold	0.00	45,044.29
Dividends and Interest	6,708.43	7,377.22
Withdrawals and other Debits	0.00	0.00
Investments Purchased	(5,966.57)	(53,015.14)
Fees and Charges	0.00	0.00
Ending Bank Sweep^{x,z}	\$ 4,991.30	\$ 4,991.30

Investment Detail - Bank Sweep

	Starting Balance	Ending Balance	% of Account Assets
Bank Sweep			
Bank Sweep ^{x,z}	4,249.44	4,991.30	5%
Total Bank Sweep	4,249.44	4,991.30	5%
Total Bank Sweep		4,991.30	5%

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of

CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2017

Investment Detail - Mutual Funds

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
AT INCM OPPTY FD INST SYMBOL: AWIIX	1,003.9800	11.3800	11,425.29	12%	10.24	10,281.00	1,144.29
BOSTON COMMON INTL FD SYMBOL: BCAIX	630.7710	30.6900	19,358.36	20%	26.95	17,000.00	2,358.36
PAX SMALL CAP INST SYMBOL: PXSIX	282.3360	16.5300	4,667.01	5%	14.63	4,131.09	535.92
TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST SYMBOL: TROCX	2,425.4960	23.1500	56,150.23	58%	23.89	57,949.42	(1,799.19)
Total Equity Funds	4,342.5830		91,600.89	95%		89,361.51	2,239.38
Total Mutual Funds	4,342.5830		91,600.89	95%		89,361.51	2,239.38
Total Investment Detail							96,592.19
Total Account Value							96,592.19
Total Cost Basis							89,361.51

Transaction Detail - Purchases & Sales

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/21/17	12/21/17	Reinvested Shares	PAX SMALL CAP INST: PXSIX	1.0420	16.4600	(17.15)

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributor: IRA -

CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORYStatement Period
December 1-31, 2017**Transaction Detail - Purchases & Sales (continued)****Equity Funds Activity (continued)**

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/27/17	12/27/17	Reinvested Shares	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST: TROCX	256.2660	23.2000	(5,945.36)
12/27/17	12/27/17	Reinvested Shares	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST: TROCX	0.1750	23.2000	(4.06)
Total Equity Funds Activity						(5,966.57)
Total Purchases & Sales						(5,966.57)

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Process		Activity	Description	Credit/(Debit)
Date	Date			
12/15/17	12/18/17	Bank Interest ^{X,2}	BANK INT 111617-121517	0.35
12/19/17	12/19/17	Cash Dividend	BOSTON COMMON INTL FD: BCAIX	227.81
12/21/17	12/21/17	Cash Dividend	PAX SMALL CAP INST: PXSIX	5.13
12/21/17	12/21/17	LT Cap Gain Rein	PAX SMALL CAP INST: PXSIX	17.15
12/27/17	12/27/17	Cash Dividend	TOUCHSTONE SUSTAINABILIT: TROCX	436.58
12/27/17	12/27/17	Sttm Cap Gn Rein	TOUCHSTONE SUSTAINABILIT: TROCX	4.06
12/27/17	12/27/17	LT Cap Gain Rein	TOUCHSTONE SUSTAINABILIT: TROCX	5,945.36
12/28/17	12/28/17	Cash Dividend	AT INCM OPPTY FD INST: AWIIX	71.99
Total Dividends & Interest				6,708.43
Total Transaction Detail				741.86

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of

CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORYStatement Period
December 1-31, 2017**Bank Sweep Activity**

Transaction Date	Transaction	Description	Withdrawal	Deposit	Balance ^{x,z}
Opening Balance ^{x,z}					4,249.44
12/15/17	Interest Paid ^{x,z}	BANK INTEREST		0.35	4,249.79
12/20/17	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		227.81	4,477.60
12/22/17	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		5.13	4,482.73
12/28/17	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		436.58	4,919.31
12/29/17	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		71.99	4,991.30
Total Activity			0.00	741.86	
Ending Balance ^{x,z}					4,991.30

Bank Sweep: Interest Rate as of 12/29/17 was 0.12%. Your interest period was 11/16/17 - 12/15/17. ^z**Contribution Summary**

	2016	2017
Traditional IRA	0.00	0.00
Year To Date Total	0.00	0.00

Endnotes For Your Account

Symbol Endnote Legend

x Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of

CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2017

Endnotes For Your Account (continued)

Symbol Endnote Legend

- Z** For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

Attachment F: Fauci 2017 278e Report, Section 7
 Fauci - Defined Benefit Plan [Print](#)

Accounts >History : of 02/26/18 07:30 AM

Pension T m 1/1/2017 to 12/31/2017

2017 Transactions

Date	Action	Symbol	Transactions Description1	Quantity	Price	Fees & Comm	Amount
12/29/2017	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$1,757.02
12/28/2017	Reinvest Dividend	AWEIX	ATLANTIC TRUST DISC EQTY INST				\$4,582.62
12/28/2017	Cash Dividend	AWIIX	AT INCM OPPTY FD INST				\$702.94
12/28/2017	Cash Dividend	HHDFX	HAMLIN HIGH DIV EQTY INSTL				\$3,136.52
12/28/2017	Reinvest Shares	AWEIX	ATLANTIC TRUST DISC EQTY INST	237.811	\$19.27		-\$4,582.62
12/28/2017	Cash Dividend	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL				\$54.10
12/27/2017	Short Term Cap Gain Reinvest	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$226.43
12/27/2017	Long Term Cap Gain Reinvest	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$312.69
12/27/2017	Cash Dividend	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$1,692.86
12/27/2017	Reinvest Shares	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	10.991	\$28.45		-\$312.69
12/27/2017	Reinvest Shares	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	7.959	\$28.45		-\$226.43
12/27/2017	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$56.18
12/20/2017	Long Term Cap Gain Reinvest	HHDFX	HAMLIN HIGH DIV				\$2,363.81

EQTY INSTL

12/20/2017	Reinvest Dividend	FLMVX	JPMORGAN MID CAP VALUE L			\$1,993.00
12/20/2017	Reinvest Dividend	HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I			\$267.98
12/20/2017	Reinvest Shares	HHDFX	HAMLIN HIGH DIV EQTY INSTL	99.992	\$23.64	-\$2,363.81
12/20/2017	Cash Dividend	VVPSX	VULCAN VALUE PARTNERS SMALL CAP FD			\$141.74
12/20/2017	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	49.639	\$40.15	-\$1,993.00
12/20/2017	Reinvest Shares	HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	22.519	\$11.90	-\$267.98
12/19/2017	Long Term Cap Gain Reinvest	AWEIX	ATLANTIC TRUST DISC EQTY INST			\$10,386.31
12/19/2017	Reinvest Shares	AWEIX	ATLANTIC TRUST DISC EQTY INST	537.038	\$19.34	-\$10,386.31
12/18/2017 as of 12/15/2017	Bank Interest		BANK INT 11/6/17-12/15/17			\$1.97
12/14/2017	Cash Dividend	MIEIX	MFS INSTL INTL EQUITY			\$1,668.33
12/13/2017	Long Term Cap Gain Reinvest	IVIQX	IVA INTL FD CL I			\$1,923.24
12/13/2017	Reinvest Dividend	IVIQX	IVA INTL FD CL I			\$1,524.47
12/13/2017	Short Term Cap Gain Reinvest	IVIQX	IVA INTL FD CL I			\$43.01
12/13/2017	Long Term Cap Gain Reinvest	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL			\$2,076.04
12/13/2017	Short Term Cap Gain Reinvest	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL			\$2,060.18
12/13/2017	Long Term Cap Gain Reinvest	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$67.39
12/13/2017	Reinvest Dividend	EPSYX	MAINSTAY EPOCH			\$292.67



			GLOBAL EQTY YIELD I			
12/13/2017	Long Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L			\$3,611.04
12/13/2017	Short Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L			\$236.59
12/13/2017	Reinvest Shares	IVIQX	IVA INTL FD CL I	108.535	\$17.72	-\$1,923.24
12/13/2017	Reinvest Shares	IVIQX	IVA INTL FD CL I	2.427	\$17.72	-\$43.01
12/13/2017	Reinvest Shares	IVIQX	IVA INTL FD CL I	86.031	\$17.72	-\$1,524.47
12/13/2017	Reinvest Shares	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL	195.093	\$10.56	-\$2,060.18
12/13/2017	Reinvest Shares	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL	196.595	\$10.56	-\$2,076.04
12/13/2017	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	3.366	\$20.02	-\$67.39
12/13/2017	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	14.619	\$20.02	\$292.67
12/13/2017	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	5.866	\$40.33	-\$236.59
12/13/2017	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	89.537	\$40.33	-\$3,611.04
12/06/2017	Short Term Cap Gain Reinvest	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL			\$82.65
12/06/2017	Long Term Cap Gain Reinvest	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL			\$352.86
12/06/2017	Cash Dividend	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL			\$379.83
12/06/2017	Reinvest Shares	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	2.77	\$29.84	-\$82.65

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Transactions for Pension Tru

12/06/2017	Reinvest Shares	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	11.825	\$29.84		-\$352.86
12/05/2017	Short Term Cap Gain Reinvest	VVPSX	VULCAN VALUE PARTNERS SMALL CAP FD				\$3,973.56
12/05/2017	Long Term Cap Gain Reinvest	VVPSX	VULCAN VALUE PARTNERS SMALL CAP FD				\$901.08
12/05/2017	Reinvest Shares	VVPSX	VULCAN VALUE PARTNERS SMALL CAP FD	46.4	\$19.42		-\$901.08
12/05/2017	Reinvest Shares	VVPSX	VULCAN VALUE PARTNERS SMALL CAP FD	204.612	\$19.42		-\$3,973.56
12/01/2017	Journal		JOURNAL TO				-\$246,666.72
11/30/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$121.41
11/30/2017	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$1,730.95
11/30/2017	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$139.98
11/30/2017	Sell	HHDFX	HAMLIN HIGH DIV EQTY INSTL	4,268.032	\$23.43	\$15.00	\$99,985.00
11/30/2017	Sell	AWEIX	ATLANTIC TRUST DISC EQTY INST	5,136.107	\$19.47	\$15.00	\$99,985.00
11/30/2017	Sell	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV	5,084	\$11.81		\$60,042.04
11/16/2017	Buy	MIEIX	MFS INSTL INTL EQUITY	3,549.704	\$25.35	\$15.00	-\$90,000.00
11/16/2017	Sell	ANGIX	ANGEL OAK MULTI	6,242.784	\$11.32	\$15.00	\$70,653.31

STRATEGY
INCM FD
INSTL

11/16/2017 as of 11/15/2017	Bank Interest		BANK INT 101617-111517			\$3.31
11/14/2017	Advisor Fee		TO ADVISOR			-\$3,793.87
10/31/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL			\$298.79
10/31/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	26.395	\$11.32	-\$298.79
10/31/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$116.73
10/31/2017	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL			\$1,627.27
10/16/2017 as of 10/15/2017	Bank Interest		BANK INT 091617-101517			\$3.04
09/29/2017	Reinvest Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$285.93
09/29/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL			\$288.30
09/29/2017	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	14.663	\$19.50	-\$285.93
09/29/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	25.536	\$11.29	-\$288.30
09/29/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$129.48
09/29/2017	Cash Dividend	PIGIX	PIMCO			\$1,679.14

			INVESTMENT GRADE CORP BD INSTL					
09/28/2017	Cash Dividend	AWIIX	AT INCM OPPTY FD INST					\$717.65
09/18/2017 as of 09/15/2017	Bank Interest		BANK INT 081617-091517					\$7.08
08/31/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL					\$310.93
08/31/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	27.516	\$11.30			-\$310.93
08/31/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV					\$124.46
08/31/2017	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL					\$1,337.63
08/30/2017	Buy	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL	9,388.263	\$10.65	\$15.00		-\$100,000.00
08/16/2017 as of 08/15/2017	Bank Interest		BANK INT 071617-081517					\$11.65
08/14/2017	Advisor Fee		TO ADVISOR					-\$3,729.17
07/31/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL					\$318.01
07/31/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	28.167	\$11.29			-\$318.01
07/31/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV					\$116.21
07/31/2017	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL					\$1,249.01

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Transactions for Pension T

07/17/2017 as of 07/15/2017	Bank Interest		BANK INT 061617-071517				\$8.42
06/30/2017	Reinvest Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$680.39
06/30/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$306.04
06/30/2017	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	35.942	\$18.93		-\$680.39
06/30/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	27.107	\$11.29		-\$306.04
06/30/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$137.09
06/30/2017	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$1,472.49
06/30/2017	Cash Dividend	HHDFX	HAMLIN HIGH DIV EQTY INSTL				\$4,850.94
06/29/2017	Cash Dividend	AWIIX	AT INCM OPPTY FD INST				\$543.14
06/22/2017	Reinvest Dividend	HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$215.92
06/22/2017	Reinvest Shares	HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	19.435	\$11.11		-\$215.92
06/16/2017 as of 06/15/2017	Bank Interest		BANK INT 051617-061517				\$5.47
06/14/2017	Sell	AWEIX	ATLANTIC TRUST DISC EQTY INST	5,608.525	\$17.83	\$15.00	\$99,985.00
06/14/2017	Buy	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	5,391.265	\$27.82	\$15.00	-\$150,000.00

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Transactions for Pension Tru

06/14/2017	Sell	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	2,582.645	\$19.36	\$15.00	\$49,985.00
05/31/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$320.27
05/31/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	28.368	\$11.29		-\$320.27
05/31/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$127.29
05/31/2017	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$1,429.80
05/16/2017	Advisor Fee		TO ADVISOR				-\$3,167.95
05/16/2017 as of 05/15/2017	Bank Interest		BANK INT 041617-051517				\$5.36
04/28/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$325.05
04/28/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	28.868	\$11.26		-\$325.05
04/28/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$80.35
04/28/2017	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$1,281.18
04/17/2017 as of 04/15/2017	Bank Interest		BANK INT 031617-041517				\$1.71
04/12/2017	Journal		JOURNAL FRM				\$89,900.94
04/11/2017	Journal Shares	AWEIX	ATLANTIC TRUST DISC EQTY INST	5,827	\$17.18		

2/26/2018

Transactions for Pension Tru



04/11/2017	Journalized Shares	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV	5,084	\$11.80	
03/31/2017	Reinvest Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$687.80
03/31/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL			\$345.54
03/31/2017	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	36.998	\$18.59	-\$687.80
03/31/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	30.687	\$11.26	-\$345.54
03/31/2017	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL			\$1,440.39
03/30/2017	Cash Dividend	AWIIX	AT INCM OPPTY FD INST			\$677.45
03/16/2017 as of 03/15/2017	Bank Interest		BANK INT 021617-031517			\$0.87
02/28/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL			\$299.04
02/28/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	26.534	\$11.27	-\$299.04
02/28/2017	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL			\$1,296.91
02/21/2017	Advisor Fee		TO ADVISOR			-\$3,072.04
02/16/2017 as of 02/15/2017	Bank Interest		BANK INT 011617-021517			\$0.99
01/31/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY			\$263.40

2/26/2018

Transactions for Pension Tru

Date	Description	Symbol	Quantity	Price	Amount
01/31/2017	Reinvest Shares	ANGIX	23.351	\$11.28	-\$263.40
01/31/2017	Cash Dividend	PIGIX			\$1,202.99
01/17/2017 as of 01/15/2017	Bank Interest	BANK INT 121616-011517			\$0.82

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Attachment G: Fanci 2017 278e Report, Section 7

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▼ Date	Action	Details	Symbol	Description ¹ (Full Short)	Quantity	Price	Fees & Comm	Amount
12/29/2017	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI...				\$780.37
12/29/2017	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$971.21
12/29/2017	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATL...				\$218.72
12/29/2017	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	196.14			
12/29/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	7,565.21	\$1.00		-\$7,565.21
12/28/2017	Cash Dividend		AWIIX	AT INCM OPPTY FD INST				\$1,328.81
12/28/2017	Cash Dividend		AWEIX	ATLANTIC TRUST DISC EQTY...				\$6,238.40
12/28/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,723.91	\$1.00		-\$1,723.91
12/27/2017	Short Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VAL...				\$226.43
12/27/2017	Long Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VAL...				\$312.69
12/27/2017	Reinvest Shares		TBGVX	TWEEDY BROWNE GLOBAL VAL...	7.959	\$28.45		-\$226.43
12/27/2017	Reinvest Shares		TBGVX	TWEEDY BROWNE GLOBAL VAL...	10.991	\$28.45		-\$312.69
12/27/2017	Cash Dividend		TBGVX	TWEEDY BROWNE GLOBAL VAL...				\$1,692.86
12/27/2017	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$31.05
12/21/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,801.98	\$1.00		-\$1,801.98
12/20/2017	Cash Dividend		VVPSX	VULCAN VALUE PARTNERS SM...				\$303.72
12/20/2017	Cash Dividend		FLMVX	JPMORGAN MID CAP VALUE L				\$1,498.26
12/19/2017	Long Term Cap Gain Reinvest		AWEIX	ATLANTIC TRUST DISC EQTY...				\$14,139.07
12/19/2017	Reinvest Shares		AWEIX	ATLANTIC TRUST DISC EQTY...	731.079	\$19.34		-\$14,139.07
12/18/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,295.2	\$1.00		-\$1,295.20
12/15/2017	Cash Dividend		HLMEX	HARDING LOEVNER INST EMR...				\$1,295.20
12/15/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,853.73	\$1.00		-\$1,853.73

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DJIA	NASDAQ	S&P 500	Russell 2000	Time (ET)	Refresh
25,309.99 0 (0.00%)	7,337.39 0 (0.00%)	2,747.30 0 (0.00%)	1,549.19 0 (0.00%)	7:19:58 AM	

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12/14/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2,641.99	\$1.00	-\$2,641.99
12/13/2017	Long Term Cap Gain Reinvest		IVIQX	IVA INTL FD CL I			\$3,013.93
12/13/2017	Short Term Cap Gain Reinvest		IVIQX	IVA INTL FD CL I			\$67.40
12/13/2017	Long Term Cap Gain Reinvest		PIGIX	PIMCO INVESTMENT GRADE C...			\$1,147.59
12/13/2017	Short Term Cap Gain Reinvest		PIGIX	PIMCO INVESTMENT GRADE C...			\$1,138.82
12/13/2017	Long Term Cap Gain Reinvest		EPSYX	MAINSTAY EPOCH GLOBAL EQ...			\$58.25
12/13/2017	Short Term Cap Gain Reinvest		FLMVX	JPMORGAN MID CAP VALUE L			\$177.86
12/13/2017	Long Term Cap Gain Reinvest		FLMVX	JPMORGAN MID CAP VALUE L			\$2,714.65
12/13/2017	Reinvest Shares		IVIQX	IVA INTL FD CL I	3.804	\$17.72	-\$67.40
12/13/2017	Reinvest Shares		IVIQX	IVA INTL FD CL I	170.086	\$17.72	-\$3,013.93
12/13/2017	Cash Dividend		IVIQX	IVA INTL FD CL I			\$2,389.01
12/13/2017	Reinvest Shares		PIGIX	PIMCO INVESTMENT GRADE C...	107.843	\$10.58	-\$1,138.82
12/13/2017	Reinvest Shares		PIGIX	PIMCO INVESTMENT GRADE C...	108.673	\$10.56	-\$1,147.59
12/13/2017	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQ...			\$252.98
12/13/2017	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQ...	2.91	\$20.02	-\$58.25
12/13/2017	Reinvest Shares		FLMVX	JPMORGAN MID CAP VALUE L	4.41	\$40.33	-\$177.86
12/13/2017	Reinvest Shares		FLMVX	JPMORGAN MID CAP VALUE L	67.311	\$40.33	-\$2,714.65
12/07/2017	Short Term Cap Gain Reinvest		PRSMX	T ROWE PRICE SUMMIT MUNI...			\$3.27
12/07/2017	Reinvest Shares		PRSMX	T ROWE PRICE SUMMIT MUNI...	0.274	\$11.94	-\$3.27
12/06/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	18,415.63	\$1.00	-\$18,415.63
12/05/2017	Long Term Cap Gain Reinvest		VVPSX	VULCAN VALUE PARTNERS SM...			\$1,930.81
12/05/2017	Short Term Cap Gain Reinvest		VVPSX	VULCAN VALUE PARTNERS SM...			\$8,514.44
12/05/2017	Reinvest Shares		VVPSX	VULCAN VALUE PARTNERS SM...	99.424	\$19.42	-\$1,930.81
12/05/2017	Reinvest Shares		VVPSX	VULCAN VALUE PARTNERS SM...	438.437	\$19.42	-\$8,514.44
12/05/2017	Journal			J7NORMAL FR			\$18,415.63
12/04/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	246,666.72	\$1.00	-\$246,666.72
12/01/2017	Journal			JOURNAL FRM			\$246,666.72

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NASDAQ
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S&P 500
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Russell 2000
1,549.19 0 (0.00%)

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Date	Action	Details **	Symbol	Description [†] (Full Short)	Quantity	Price	Fees & Comm	Amount
12/01/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2,043.87	\$1.00		-\$2,043.87
11/30/2017	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI...				\$781.18
11/30/2017	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$956.82
11/30/2017	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATI...				\$195.89
11/30/2017	Cash Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$109.98
11/17/2017	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	44,473.9	\$1.00		\$44,473.90
11/16/2017	Buy	Trade Details	MIEIX	MFS INSTL INTL EQUITY	3,944.181	\$25.35	\$15.00	-\$100,000.00
11/16/2017	Sell	Trade Details	ANGIX	ANGEL OAK MULTI STRATEGY...	4,806.458	\$11.32	\$15.00	\$55,526.10
11/16/2017 as of 11/15/2017	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	37.77			
11/15/2017	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	4,202.93	\$1.00		\$4,202.93
11/14/2017	Advisor Fee			TO ADVISOR				-\$4,202.93
11/01/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2,090.91	\$1.00		-\$2,090.91
10/31/2017	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI...				\$751.08
10/31/2017	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$899.51
10/31/2017	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATI...				\$204.67
10/31/2017	Cash Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$235.65
10/17/2017 as of 10/16/2017	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	34.28			
10/02/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2,450.52	\$1.00		-\$2,450.52
09/29/2017	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI...				\$833.31
09/29/2017	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$928.20
09/29/2017	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATI...				\$212.18

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09/29/2017	Cash Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$228.54
09/29/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,354.57	\$1.00		-\$1,354.57
09/28/2017	Cash Dividend		AWIIX	AT INCM OPPTY FD INST				\$1,354.57
09/18/2017 as of 09/15/2017	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	46.67			
09/01/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,871.5	\$1.00		-\$1,871.50
08/31/2017	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI...				\$800.96
08/31/2017	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$610.25
08/31/2017	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATI...				\$212.87
08/31/2017	Cash Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$247.42
08/31/2017	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	100,000	\$1.00		\$100,000.00
08/30/2017	Buy	Trade Details	PIGIX	PIMCO INVESTMENT GRADE C...	9,388.263	\$10.65	\$15.00	-\$100,000.00
08/25/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	120,000	\$1.00		-\$120,000.00
08/24/2017	Funds Received	Deposit Details		FUNDS RECEIVED				\$120,000.00
08/18/2017 as of 08/15/2017	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	21.89			
08/15/2017	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	3,899.37	\$1.00		\$3,899.37
08/14/2017	Advisor Fee			TO ADVISOR				-\$3,899.37
08/01/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,765.25	\$1.00		-\$1,765.25
07/31/2017	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI...				\$747.77
07/31/2017	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$564.85
07/31/2017	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATI...				\$198.37
07/31/2017	Cash Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$254.26
07/18/2017 as of 07/17/2017	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	21.09			
07/03/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2,606.92	\$1.00		-\$2,606.92
06/30/2017	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI...				\$682.07
06/30/2017	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$665.91
06/30/2017	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATI...				\$215.59
06/30/2017	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQ...				\$597.61
06/30/2017	Cash Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$245.74

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 NASDAQ
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2,747.30 0 (0.00%)

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1,549.19 0 (0.00%)

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▼ Date	Action	Details **	Symbol	Description ¹ (Full Short)	Quantity	Price	Fees & Comm	Amount
06/30/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,025.18	\$1.00		-\$1,025.18
06/29/2017	Cash Dividend		AWIX	AT INCM OPPTY FD INST				\$1,025.18
06/16/2017 as of 06/15/2017	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	15.85			
06/15/2017	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	30	\$1.00		\$30.00
06/14/2017	Sell	Trade Details	AWEIX	ATLANTIC TRUST DISC EQTY...	7,010.656	\$17.83	\$15.00	\$124,985.00
06/14/2017	Buy	Trade Details	TBGVX	TWEEDY BROWNE GLOBAL VAL...	5,391.265	\$27.82	\$15.00	-\$150,000.00
06/14/2017	Sell	Trade Details	EPSYX	MAINSTAY EPOCH GLOBAL EQ...	1,291.322	\$19.36	\$15.00	\$24,985.00
06/01/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,933.19	\$1.00		-\$1,933.19
05/31/2017	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNL...				\$819.05
05/31/2017	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$646.59
05/31/2017	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATI...				\$209.02
05/31/2017	Cash Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$258.53
05/17/2017	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	3,588.15	\$1.00		\$3,588.15
05/16/2017	Advisor Fee			TO ADVISOR				-\$3,588.15
05/16/2017 as of 05/15/2017	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	19.11			
05/01/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,844.54	\$1.00		-\$1,844.54
04/28/2017	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNL...				\$805.00
04/28/2017	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$579.38
04/28/2017	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATI...				\$196.66
04/28/2017	Cash Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$263.50
04/25/2017	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	50,000	\$1.00		\$50,000.00

04/25/2017 Buy SWZXX SCH ADV CASH RESRV 35,000 \$1.00 \$35,000.00

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DJIA 25,399.99 0 (0.00%) NASDAQ 7,337.39 0 (0.00%) S&P 500 2,747.30 0 (0.00%) Russell 2000 1,549.19 0 (0.00%) Time (ET) 7:19:58 AM

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04/20/2017	Buy	Trade Details	HMEX	HARDING LOEVNER INST EMR...	2,648.914	\$18.87	\$15.00	-\$50,000.00
04/20/2017	Buy	Trade Details	VVPSX	VULCAN VALUE PARTNERS SM...	1,253.761	\$19.94		-\$25,000.00
04/20/2017	Buy	Trade Details	IVIQX	IVA INTL FD CL I	2,985.962	\$16.74	\$15.00	-\$50,000.00
04/20/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	150,000	\$1.00		-\$150,000.00
04/19/2017	Funds Received	Deposit Details		FUNDS RECEIVED				\$150,000.00
04/18/2017 as of 04/17/2017	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	40.17			
04/13/2017	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	89,900.94	\$1.00		\$89,900.94
04/12/2017	Journal			JOURNAL TO				-\$89,900.94
04/11/2017	Journal Shares		AWEIX	ATLANTIC TRUST DISC EQTY...	-5.827	\$17.18		
04/11/2017	Journal Shares		PRSMX	T ROWE PRICE SUMMIT MUNI...	-5.084	\$11.80		
04/03/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2,620.9	\$1.00		-\$2,620.90
03/31/2017	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI...				\$969.94
03/31/2017	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$851.40
03/31/2017	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATI...				\$228.11
03/31/2017	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQ...				\$489.73
03/31/2017	Cash Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$281.72
03/31/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,278.7	\$1.00		-\$1,278.70
03/30/2017	Cash Dividend		AWIIX	AT INCM OPTTY FD INST				\$1,278.70
03/16/2017 as of 03/15/2017	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	42.5			
03/03/2017	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	155,000	\$1.00		\$155,000.00
03/02/2017	Buy	Trade Details	VVPSX	VULCAN VALUE PARTNERS SM...	1,530.612	\$19.80		-\$30,000.00
03/02/2017	Buy	Trade Details	PRSMX	T ROWE PRICE SUMMIT MUNI...	10,673.356	\$11.71	\$15.00	-\$125,000.00
03/01/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,766.59	\$1.00		-\$1,766.59
02/28/2017	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI...				\$730.28
02/28/2017	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$586.51
02/28/2017	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATI...				\$205.04
02/28/2017	Cash Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$244.76
02/26/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	120,000	\$1.00		-\$120,000.00

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Show Indices
Symbol Lookup

DJIA 25,309.99 0 (0.00%) NASDAQ 7,337.39 0 (0.00%) S&P 500 2,747.30 0 (0.00%) Russell 2000 1,549.19 0 (0.00%) Time (ET) 7:19:56 AM

Refresh

Accounts Trade Research Products Specialty Service

Summary Balances Positions History Statements Transfers & Payments

Living Trust

Page last updated: 07:19 AM ET, 02/26/2018 Refresh Export Print

Transactions Realized Gain / Loss

Symbol (Optional): Select Date Range
 Edit Filter Previous Year Search

Independent Investment Advisor*
 AT INVESTMENT ADVISERS INC

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 *Not affiliated with Schwab

Transactions From 1/1/2017 To 12/31/2017 (Don't see it here? Go to Statements)

<Previous

▼ Data	Action	Details **	Symbol	Description ¹ (Full Short)	Quantity	Price	Fees & Comm	Amount
02/27/2017	Funds Received	Deposit Details		FUNDS RECEIVED				\$120,000.00
02/22/2017	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	3,223.54	\$1.00		\$3,223.54
02/21/2017	Advisor Fee			TO ADVISOR				-\$3,223.54
02/16/2017 as of 02/15/2017	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	45.39			
02/01/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,594.04	\$1.00		-\$1,594.04
01/31/2017	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI...				\$633.10
01/31/2017	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE C...				\$544.02
01/31/2017	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATI...				\$200.44
01/31/2017	Cash Dividend		ANGIX	ANGEL OAK MULTI STRATEGY...				\$216.48
01/18/2017 as of 01/17/2017	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	25.15			
01/09/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2,580	\$1.00		-\$2,580.00
01/06/2017	Journal			JOURNAL FRM				\$2,580.00
01/03/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,882.82	\$1.00		-\$1,882.82

<Previous


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
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Quote Show Indices Symbol Lookup DJIA 25,309.99 0 (0.00%) NASDAQ 7,337.39 0 (0.00%) S&P 500 2,747.39 0 (0.00%) Russell 2000 1,549.19 0 (0.00%) Time (ET) 7:19:56 AM Refresh

Attachment H: Fauci 2017 278e Report Section 7

Fauci - IRA

[Print](#)

Accounts > History : for [REDACTED] of 02/26/18 07:34 AM

2017 Transactions

Contributory IR [REDACTED] from 1/1/2017 to 12/31/2017

Date	Action	Symbol	Transactions Description ¹	Quantity	Price	Fees & Comm	Amount
12/29/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$60.03
12/29/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	13.52			
12/29/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	173.25	\$1.00		-\$173.25
12/28/2017	Reinvest Dividend	AWEIX	ATLANTIC TRUST DISC EQTY INST				\$1,456.05
12/28/2017	Cash Dividend	AWIIX	AT INCM OPPTY FD INST				\$173.25
12/28/2017	Reinvest Shares	AWEIX	ATLANTIC TRUST DISC EQTY INST	75.56	\$19.27		-\$1,456.05
12/28/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,349.49	\$1.00		-\$1,349.49
12/27/2017	Short Term Cap Gain Reinvest	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$180.50
12/27/2017	Long Term Cap Gain Reinvest	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$249.27
12/27/2017	Reinvest Shares	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	8.762	\$28.45		-\$249.27
12/27/2017	Reinvest Shares	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	6.344	\$28.45		-\$180.50



12/27/2017	Cash Dividend	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND			\$1,349.49
12/21/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	835.22	\$1.00	-\$835.22
12/20/2017	Cash Dividend	VVPSX	VULCAN VALUE PARTNERS SMALL CAP FD			\$47.25
12/20/2017	Cash Dividend	FLMVX	JPMORGAN MID CAP VALUE L			\$698.19
12/20/2017	Cash Dividend	HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I			\$89.78
12/19/2017	Long Term Cap Gain Reinvest	AWEIX	ATLANTIC TRUST DISC EQTY INST			\$3,300.08
12/19/2017	Reinvest Shares	AWEIX	ATLANTIC TRUST DISC EQTY INST	170.635	\$19.34	-\$3,300.08
12/15/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	277.82	\$1.00	-\$277.82
12/14/2017	Cash Dividend	MIEIX	MFS INSTL INTL EQUITY			\$277.82
12/13/2017	Long Term Cap Gain Reinvest	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$26.02
12/13/2017	Reinvest Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$113.03
12/13/2017	Long Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L			\$1,265.03
12/13/2017	Short Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L			\$82.88
12/13/2017	Reinvest Shares	EPSYX	MAINSTAY EPOCH	1.3	\$20.02	-\$26.02



			GLOBAL EQT YIELD I			
			MAINSTAY EPOCH GLOBAL EQT YIELD I			
12/13/2017	Reinvest Shares	EPSYX		5.646	\$20.02	-\$113.03
			JPMORGAN MID CAP VALUE L			
12/13/2017	Reinvest Shares	FLMVX		2.055	\$40.33	-\$82.88
			JPMORGAN MID CAP VALUE L			
12/13/2017	Reinvest Shares	FLMVX		31.367	\$40.33	-\$1,265.03
			SCH ADV CASH RESRV PREM			
12/06/2017	Sell	SWZXX		22,735.34	\$1.00	\$22,735.34
			VULCAN VALUE PARTNERS SMALL CAP FD			
12/05/2017	Short Term Cap Gain Reinvest	VVPSX				\$1,324.52
			VULCAN VALUE PARTNERS SMALL CAP FD			
12/05/2017	Long Term Cap Gain Reinvest	VVPSX				\$300.36
			VULCAN VALUE PARTNERS SMALL CAP FD			
12/05/2017	Reinvest Shares	VVPSX		68.204	\$19.42	-\$1,324.52
			VULCAN VALUE PARTNERS SMALL CAP FD			
12/05/2017	Reinvest Shares	VVPSX		15.467	\$19.42	-\$300.36
			DC STATE TAX W/H IRA7			
12/05/2017	Tax Withholding					-\$2,046.18
			FED INC TAX WHLD IRA7			
12/05/2017	Tax Withholding					-\$2,273.53
			J7NORMAL TO			
12/05/2017	Journal					-\$18,415.63
			SCH ADV CASH RESRV PREM			
12/01/2017	Buy	SWZXX		88.14	\$1.00	-\$88.14
			T ROWE PRICE INST FLOATING RATE FD			
11/30/2017	Cash Dividend	RPIFX				\$53.79
			ANGEL			
11/30/2017	Cash Dividend	ANGIX				\$34.35



			OAK MULTI STRATEGY INCM FD INSTL				
11/20/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	2,352.16	\$1.00		-\$2,352.16
11/16/2017	Buy	MIEIX	MFS INSTL INTL EQUITY	591.124	\$25.35	\$15.00	-\$15,000.00
11/16/2017	Sell	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	1,534.201	\$11.32	\$15.00	\$17,352.16
11/16/2017 as of 11/15/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	14.97			
11/01/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	56.21	\$1.00		-\$56.21
10/31/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$73.43
10/31/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	6.487	\$11.32		-\$73.43
10/31/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$56.21
10/17/2017 as of 10/16/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	14.1			
10/02/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	58.26	\$1.00		-\$58.26
09/29/2017	Reinvest Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD.I				\$110.43
09/29/2017	Reinvest Dividend	ANGIX	ANGEL OAK				\$70.81

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Transactions for Contributory I



			MULTI STRATEGY INCM FD INSTL			
09/29/2017	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	5.663	\$19.50	-\$110.43
09/29/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	6.272	\$11.29	-\$70.81
09/29/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$58.26
09/29/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	176.88	\$1.00	-\$176.88
09/28/2017	Cash Dividend	AWIIX	AT INCM OPPTY FD INST			\$176.88
09/18/2017 as of 09/15/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	16.33		
09/01/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	58.45	\$1.00	-\$58.45
08/31/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL			\$76.30
08/31/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	6.752	\$11.30	-\$76.30
08/31/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$58.45
08/16/2017 as of 08/15/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	14.05		
08/01/2017	Buy	SWZXX	SCH ADV CASH	54.48	\$1.00	-\$54.48



				RESRV PREM			
07/31/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$78.12
07/31/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	6.919	\$11.29		-\$78.12
07/31/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$54.48
07/18/2017 as of 07/17/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	14.5			
07/03/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	59.18	\$1.00		-\$59.18
06/30/2017	Reinvest Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$262.77
06/30/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$75.26
06/30/2017	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	13.881	\$18.93		-\$262.77
06/30/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	6.666	\$11.29		-\$75.26
06/30/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$59.18
06/30/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	133.87	\$1.00		-\$133.87
06/29/2017	Cash Dividend	AWIIX	AT INCM				\$133.87



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06/23/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	72.59	\$1.00		-\$72.59
06/22/2017	Cash Dividend	HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$72.59
06/16/2017 as of 06/15/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	11.4			
06/16/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	635.07	\$1.00		-\$635.07
06/14/2017	Sell	HHDFX	HAMLIN HIGH DIV EQTY INSTL	4,570.848	\$22.02	\$15.00	\$100,635.07
06/14/2017	Buy	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	3,593.997	\$27.82	\$15.00	-\$100,000.00
06/01/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	57.39	\$1.00		-\$57.39
05/31/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$78.74
05/31/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	6.974	\$11.29		-\$78.74
05/31/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$57.39
05/16/2017 as of 05/15/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	10.09			
05/01/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	54.02	\$1.00		-\$54.02

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Transactions for Contributory I

04/28/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL			\$79.84
04/28/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	7.091	\$11.26	-\$79.84
04/28/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$54.02
04/18/2017 as of 04/17/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	11.16		
04/03/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	62.65	\$1.00	-\$62.65
03/31/2017	Reinvest Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$145.63
03/31/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL			\$84.87
03/31/2017	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	7.834	\$18.59	-\$145.63
03/31/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	7.537	\$11.26	-\$84.87
03/31/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$62.65
03/31/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	166.97	\$1.00	-\$166.97
03/30/2017	Cash Dividend	AWIIX	AT INCM OPPTY FD INST			\$166.97

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Transactions for Contributory IR



03/16/2017 as of 03/15/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	7.63		
03/01/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	56.27	\$1.00	-\$56.27
02/28/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL			\$73.41
02/28/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	6.514	\$11.27	-\$73.41
02/28/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$56.27
02/16/2017 as of 02/15/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	7.85		
02/01/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	55.03	\$1.00	-\$55.03
01/31/2017	Reinvest Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL			\$64.70
01/31/2017	Reinvest Shares	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	5.736	\$11.28	-\$64.70
01/31/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$55.03
01/18/2017 as of 01/17/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	4.38		
01/03/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	72.86	\$1.00	-\$72.86

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Attachment I: Fanci 2017 278e Report, Section 7

- Revocable Trust

Accounts > History : for [REDACTED] of 02/26/18 07:38 AM

2017 Transactions

Living Trust [REDACTED] from 1/1/2017 to 12/31/2017

Date	Action	Symbol	Transactions Description ¹	Quantity	Price	Fees & Comm	Amount
12/29/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$634.86
12/29/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$196.15
12/29/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	19.32			
12/29/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	415.95	\$1.00		-\$415.95
12/28/2017	Cash Dividend	AWIIX	AT INCM OPPTY FD INST				\$415.95
12/28/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	3,434.11	\$1.00		-\$3,434.11
12/27/2017	Short Term Cap Gain Reinvest	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST				\$7.17
12/27/2017	Long Term Cap Gain Reinvest	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST				\$10,504.56
12/27/2017	Reinvest Shares	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST	0.309	\$23.20		-\$7.17
12/27/2017	Reinvest Shares	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST	452.783	\$23.20		-\$10,504.56
12/27/2017	Cash Dividend	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST				\$771.38
12/27/2017	Cash Dividend	PRILX	PARNASSUS CORE EQTY FD INST				\$2,662.73
12/22/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	93.75	\$1.00		-\$93.75
12/21/2017	Long Term Cap Gain Reinvest	PXSIX	PAX SMALL CAP INST				\$313.23
12/21/2017	Cash Dividend	PXSIX	PAX SMALL CAP INST				\$93.75
12/21/2017	Reinvest Shares	PXSIX	PAX SMALL CAP INST	19.03	\$16.46		-\$313.23

2/26/2018

Transactions for Living [REDACTED]

12/21/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,498.32	\$1.00	-\$1,498.32
12/20/2017	Cash Dividend	FLMVX	JPMORGAN MID CAP VALUE L			\$1,498.32
12/20/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	2,789.18	\$1.00	-\$2,789.18
12/19/2017	Cash Dividend	BCAIX	BOSTON COMMON INTL FD			\$2,789.18
12/14/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	212.69	\$1.00	-\$212.69
12/13/2017	Long Term Cap Gain Reinvest	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$48.97
12/13/2017	Long Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L			\$2,714.75
12/13/2017	Short Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L			\$177.87
12/13/2017	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	2.446	\$20.02	-\$48.97
12/13/2017	Cash Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$212.69
12/13/2017	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	4.41	\$40.33	-\$177.87
12/13/2017	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	67.313	\$40.33	-\$2,714.75
12/07/2017	Short Term Cap Gain Reinvest	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$2.66
12/07/2017	Reinvest Shares	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV	0.223	\$11.94	-\$2.66
12/01/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	725.98	\$1.00	-\$725.98
11/30/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$450.34
11/30/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$175.65
11/30/2017	Cash Dividend	ANGIX	ANGEL OAK MULTI			\$99.99

STRATEGY
INCM FD INSTL

11/21/2017	Long Term Cap Gain Reinvest	PRILX	PARNASSUS CORE EQTY FD INST				\$27,012.97
11/21/2017	Reinvest Shares	PRILX	PARNASSUS CORE EQTY FD INST	641.029	\$42.14		-\$27,012.97
11/20/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	238.67	\$1.00		-\$238.67
11/16/2017	Buy	BCAIX	BOSTON COMMON INTL FD	1,638.852	\$30.50	\$15.00	-\$50,000.00
11/16/2017	Sell	CRANX	CRA QUALIFIED INVESTMENT INSTL	13,791.728	\$10.57	\$15.00	\$145,763.56
11/16/2017	Sell	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL	4,460.257	\$11.32	\$15.00	\$50,475.11
11/16/2017	Buy	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV	12,268.908	\$11.90		-\$146,000.00
11/16/2017 as of 11/15/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	11.63			
11/15/2017	Sell	SWZXX	SCH ADV CASH RESRV PREM	3,194.35	\$1.00		\$3,194.35
11/14/2017	Advisor Fee		TO ADVISOR				-\$3,194.35
11/01/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,042.65	\$1.00		-\$1,042.65
10/31/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$329.33
10/31/2017	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL				\$315.54
10/31/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$183.52
10/31/2017	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$214.26
10/17/2017 as of 10/16/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	9.89			
10/02/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	2,950.62	\$1.00		-\$2,950.62
09/29/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI				\$365.37

2/26/2018

Transactions for Living

INTERM FUND
INV

09/29/2017	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL				\$358.31
09/29/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$190.28
09/29/2017	Cash Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$208.75
09/29/2017	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$207.65
09/29/2017	Cash Dividend	PRILX	PARNASSUS CORE EQTY FD INST				\$1,620.26
09/29/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	424.65	\$1.00		-\$424.65
09/28/2017	Cash Dividend	AWIIX	AT INCM OPPTY FD INST				\$424.65
09/18/2017 as of 09/15/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	22.35			
09/01/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,001.62	\$1.00		-\$1,001.62
08/31/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$269.52
08/31/2017	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL				\$316.13
08/31/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$190.95
08/31/2017	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$225.02
08/31/2017	Sell	SWZXX	SCH ADV CASH RESRV PREM	40,000	\$1.00		\$40,000.00
08/30/2017	Buy	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV	3,336.113	\$11.99		-\$40,000.00
08/16/2017 as of 08/15/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	33.6			
08/15/2017	Sell	SWZXX	SCH ADV CASH RESRV PREM	3,128.76	\$1.00		\$3,128.76
08/14/2017	Advisor Fee		TO ADVISOR				-\$3,128.76

2/26/2018

Transactions for Living T

08/01/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	978.88	\$1.00	-978.88
07/31/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$251.60
07/31/2017	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL			\$318.20
07/31/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$177.88
07/31/2017	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL			\$231.20
07/18/2017 as of 07/17/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	33.54		
07/03/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	2,883.84	\$1.00	-\$2,883.84
06/30/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$296.79
06/30/2017	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL			\$313.27
06/30/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$193.31
06/30/2017	Cash Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$502.43
06/30/2017	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL			\$223.50
06/30/2017	Cash Dividend	PRILX	PARNASSUS CORE EQTY FD INST			\$1,354.54
06/30/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	321.39	\$1.00	-\$321.39
06/29/2017	Cash Dividend	AWIIX	AT INCM OPPTY FD INST			\$321.39
06/23/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	202.39	\$1.00	-\$202.39
06/22/2017	Long Term Cap Gain Reinvest	PXSIX	PAX SMALL CAP INST			\$51.34
06/22/2017	Short Term Cap Gain Reinvest	PXSIX	PAX SMALL CAP INST			\$835.58
06/22/2017	Cash Dividend	PXSIX	PAX SMALL			\$202.39

CAP INST

06/22/2017	Reinvest Shares	PXSIX	PAX SMALL CAP INST	3.396	\$15.12		-\$51.34
06/22/2017	Reinvest Shares	PXSIX	PAX SMALL CAP INST	55.263	\$15.12		-\$835.58
06/16/2017 as of 06/15/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	26.28			
06/15/2017	Sell	SWZXX	SCH ADV CASH RESRV PREM	15	\$1.00		\$15.00
06/14/2017	Buy	BCAIX	BOSTON COMMON INTL FD	2,077.762	\$28.87	\$15.00	-\$60,000.00
06/14/2017	Sell	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	3,099.174	\$19.36	\$15.00	\$59,985.00
06/01/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,008.82	\$1.00		-\$1,008.82
05/31/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$275.62
05/31/2017	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL				\$310.76
05/31/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$187.46
05/31/2017	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL				\$234.98
05/17/2017	Sell	SWZXX	SCH ADV CASH RESRV PREM	3,073.35	\$1.00		\$3,073.35
05/16/2017	Advisor Fee		TO ADVISOR				-\$3,073.35
05/16/2017 as of 05/15/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	24.16			
05/01/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	984.78	\$1.00		-\$984.78
04/28/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$257.82
04/28/2017	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL				\$311.00
04/28/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$176.39
04/28/2017	Cash Dividend	ANGIX	ANGEL OAK MULTI				\$239.57

STRATEGY
INCM FD INSTL

04/18/2017 as of 04/17/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	25.67		
04/03/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	3,254.4	\$1.00	-\$3,254.40
03/31/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$294.96
03/31/2017	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL			\$316.98
03/31/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$204.58
03/31/2017	Cash Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$655.57
03/31/2017	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL			\$256.03
03/31/2017	Cash Dividend	PRILX	PARNASSUS CORE EQTY FD INST			\$1,526.28
03/31/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	400.87	\$1.00	-\$400.87
03/30/2017	Cash Dividend	AWIIX	AT-INCM OPPTY FD INST			\$400.87
03/16/2017 as of 03/15/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	17.09		
03/01/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,006.22	\$1.00	-\$1,006.22
02/28/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND			\$296.36
02/28/2017	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL			\$303.43
02/28/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$183.87
02/28/2017	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL			\$222.56
02/22/2017	Sell	SWZXX	SCH ADV CASH RESRV PREM	2,979.75	\$1.00	\$2,979.75
02/21/2017	Advisor Fee		TO ADVISOR			-\$2,979.75

2/26/2018

Transactions for Living T

02/16/2017 as of 02/15/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	18.13		
02/01/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	935.4	\$1.00	-\$935.40
01/31/2017	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND			\$256.91
01/31/2017	Cash Dividend	CRANX	CRA QUALIFIED INVESTMENT INSTL			\$301.98
01/31/2017	Cash Dividend	RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$179.76
01/31/2017	Cash Dividend	ANGIX	ANGEL OAK MULTI STRATEGY INCM FD INSTL			\$196.75
01/18/2017 as of 01/17/2017	Cash Dividend	SWZXX	SCH ADV CASH RESRV PREM	10.08		
01/03/2017	Buy	SWZXX	SCH ADV CASH RESRV PREM	1,055.9	\$1.00	-\$1,055.90

† Transactions may include Bank Sweep deposit information. Bank Sweep deposits are held at the FDIC-insured depository institution(s) referenced on the Balances detail page, your account statements and disclosed in your account documents. SIPC does not cover balances held in the Bank Sweep feature.

Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value

Today's Date: 07/26/2018

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2/26/2018

Transactions for Contributory

Attachment J: Fanci 2017 278e Report, Section 7 - IRA

Print

Accounts >History : of 02/26/18 07:40 AM

2017 Transactions

Contributory IRA from 1/1/2017 to 12/31/2017

Date	Action	Symbol	Transactions Description ¹	Quantity	Price	Fees & Comm	Amount
12/28/2017	Cash Dividend	AWIIX	AT INCM OPPTY FD INST				\$71.99
12/27/2017	Long Term Cap Gain Reinvest	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST				\$5,945.36
12/27/2017	Short Term Cap Gain Reinvest	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST				\$4.06
12/27/2017	Reinvest Shares	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST	0.175	\$23.20		-\$4.06
12/27/2017	Reinvest Shares	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST	256.266	\$23.20		-\$5,945.36
12/27/2017	Cash Dividend	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST				\$436.58
12/21/2017	Long Term Cap Gain Reinvest	PXSIX	PAX SMALL CAP INST				\$17.15
12/21/2017	Cash Dividend	PXSIX	PAX SMALL CAP INST				\$5.13
12/21/2017	Reinvest Shares	PXSIX	PAX SMALL CAP INST	1.042	\$16.46		-\$17.15
12/19/2017	Cash Dividend	BCAIX	BOSTON COMMON INTL FD				\$227.81
12/18/2017 as of 12/15/2017	Bank Interest		BANK INT 111617-121517				\$0.35
11/16/2017	Sell	PRILX	PARNASSUS CORE EQTY FD INST	902.574	\$44.40	\$15.00	\$40,059.29
11/16/2017	Buy	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST	1,583.564	\$25.25	\$15.00	-\$40,000.00
11/16/2017 as of 11/15/2017	Bank Interest		BANK INT 101617-111517				\$0.36
10/16/2017 as of	Bank Interest		BANK INT 091617-101517				\$0.34

2/26/2018

Transactions for Contributory IRA

10/15/2017

09/29/2017	Cash Dividend	PRILX	PARNASSUS CORE EQTY FD INST				\$135.39
09/28/2017	Cash Dividend	AWIIX	AT INCM OPPTY FD INST				\$73.49
09/18/2017 as of 09/15/2017	Bank Interest		BANK INT 081617-091517				\$0.34
08/16/2017 as of 08/15/2017	Bank Interest		BANK INT 071617-081517				\$0.34
07/17/2017 as of 07/15/2017	Bank Interest		BANK INT 061617-071517				\$0.25
06/30/2017	Cash Dividend	PRILX	PARNASSUS CORE EQTY FD INST				\$113.18
06/29/2017	Cash Dividend	AWIIX	AT INCM OPPTY FD INST				\$55.62
06/22/2017	Short Term Cap Gain Reinvest	PXSIX	PAX SMALL CAP INST				\$45.76
06/22/2017	Long Term Cap Gain Reinvest	PXSIX	PAX SMALL CAP INST				\$2.81
06/22/2017	Cash Dividend	PXSIX	PAX SMALL CAP INST				\$11.08
06/22/2017	Reinvest Shares	PXSIX	PAX SMALL CAP INST	0.186	\$15.12		-\$2.81
06/22/2017	Reinvest Shares	PXSIX	PAX SMALL CAP INST	3.026	\$15.12		-\$45.76
06/16/2017 as of 06/15/2017	Bank Interest		BANK INT 051617-061517				\$0.24
06/14/2017	Buy	BCAIX	BOSTON COMMON INTL FD	241.947	\$28.87	\$15.00	-\$7,000.00
06/14/2017	Sell	AWIIX	AT INCM OPPTY FD INST	460.829	\$10.85	\$15.00	\$4,985.00
05/16/2017 as of 05/15/2017	Bank Interest		BANK INT 041617-051517				\$0.24
04/17/2017 as of 04/15/2017	Bank Interest		BANK INT 031617-041517				\$0.20
03/31/2017	Cash Dividend	PRILX	PARNASSUS CORE EQTY FD INST				\$127.53
03/30/2017	Cash Dividend	AWIIX	AT INCM OPPTY FD INST				\$101.22
03/16/2017 as of 03/15/2017	Bank Interest		BANK INT 021617-031517				\$0.13
02/16/2017	Bank Interest		BANK INT				\$0.14

2/26/2018

Transactions for Contributory IR

as of
02/15/2017

011617-021517

01/17/2017

as of
01/15/2017

Bank Interest

BANK INT
121616-011517

\$0.13

† Transactions may include Bank Sweep deposit information. Bank Sweep deposits are held at the FDIC-insured depository institution(s) referenced on the Balances detail page, your account statements and disclosed in your account documents. SIPC does not cover balances held in the Bank Sweep feature.

Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value

Today's Date: 0

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**Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)**

Filer's Information

FAUCI, ANTHONY S

Date of Appointment: 02/01/1985

Other Federal Government Positions Held During the Preceding 12 Months:

Director, NIAID, National Institute of Allergy and Infectious Diseases

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

FAUCI, ANTHONY S [electronically signed on 01/23/2019 by FAUCI, ANTHONY S in NEES]

Agency Ethics Official Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

TABAK, LAWRENCE ALLAN [electronically signed on 04/22/2019 by TABAK, LAWRENCE ALLAN in NEES]

Other review conducted by

#	COMMENTS	EMPLOYEE	DATE
1	2/1/19 Initial Review: Compliant with all applicable rules. Report is consistent with HHS 521 & HHS-717-1 DHarris	NEO Reviewer - HARRIS, DAVID L	2/1/2019 at 7:17 AM

U.S office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	NAME	CITY, STATE	ORG TYPE	POSITION	FROM	TO
1	Doris Duke Charitable Foundation (DDCF)	NEW YORK, New York	Foundation	Member	10/01/1996	N/A
2	McGraw-Hill Publishing Company	Burr Ridge, Illinois	Publisher/Journal	Editor, Associate	11/01/1983	N/A

2. Filer's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Jackson-Fillmore (Italian Restaurant)	N	\$1,001 to \$15,000		None (or less than \$201)
2	McGraw-Hill Publishers, Inc. - New York, New York	N	None (or less than \$1,001)	Rents and Royalties	\$100,001 to \$1,000,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a year

None

5. Spouse's Employment Assets and Income

See Attachments D-E

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Dreyfus Liquid Assets Class I - Money Market	Y	\$100,001 to \$250,000	Dividends	None (or less than \$201)
2	Learning Quest 529 Education Plan for Child #3: Moderate track, Short-term Portfolio.	Y	\$50,001 to \$100,000	Dividends	\$2,501 to \$5,000

See Attachments A-C

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Dreyfus Liquid Assets Class 1	Purchase	N/A	\$50,001 to \$100,000
2	Dreyfus Liquid Assets Class 1	Sale	N/A	\$50,001 to \$100,000

See Attachments F-J

8. Liabilities

None

9. Gifts and Travel Reimbursements

#	BRIEF DESCRIPTION	SOURCE NAME	CITY, STATE	VALUE
1	Trip to New York City on 02/20/2018 for a meeting of the Board of Trustees of the Doris Duke Charitable Foundation of which I am a member. Reimbursed for airfare to New York City and taxis.	Doris Duke Charitable Foundation (DDCF)	NEW YORK, New York	1000.00

2	Trip to New York City on 11/12/2018 for meeting of the Board of Trustees of the Doris Duke Charitable Foundation of which I am a member. Reimbursed for airfare to New York City and taxis	Doris Duke Charitable Foundation (DDCF)	NEW YORK, New York	1052.00
3	WAG - I attended the Children's Inn at NIH Gala at the Ritz-Carlton Hotel in Tyson's Corner, VA on 04/28/2018. My spouse also attended; however, she received a separate, independent invitation since she is on the Board of the Inn.	Children's Inn at NIH	BETHESDA, Maryland	800.00

Attachment A : Fauci 2018 278e Report, Section 6



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
 U/A DTD 12/08/1995

Account Summary

Account Number

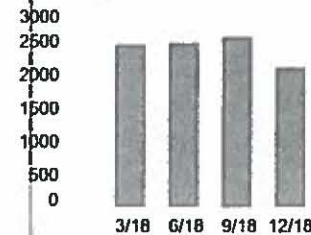
Statement Period

December 1-31, 2018

Account Value as of 12/31/2018: \$ 2,086,838.14

Change in Account Value

	This Period	Year to Date	Account Value [in Thousands]
Starting Value	\$ 2,205,816.61	\$ 2,458,899.43	
Credits	107,231.28	135,257.52	
Debits	0.00	(260,848.02)	
Transfer of Securities (In/Out)	0.00	0.00	
Income-Reinvested	(98,543.22)	(100,215.13)	
Change in Value of Investments	(127,666.53)	(146,255.66)	
Ending Value on 12/31/2018	\$ 2,086,838.14	\$ 2,086,838.14	
Total Change in Account Value	\$ (118,978.47)	\$ (372,061.29)	



Asset Composition

	Market Value	% of Account Assets
Cash and Bank Sweep ^{A,B}	\$ 24,478.55	1%
Bond Funds	511,583.12	25%
Equity Funds	1,550,776.47	74%
Total Assets Long	\$ 2,086,838.14	
Total Account Value	\$ 2,086,838.14	100%

Overview



- 25% Bond Funds
- 74% Equity Funds
- 1% Cash, Bank Sweep [A,B]



PRIVATE WEALTH
 MANAGEMENT

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Gain or (Loss) Summary	Realized Gain or (Loss) This Period		Unrealized Gain or (Loss)
	Short Term	Long Term	
All Investments	\$0.00	\$0.00	\$78,724.65

Values may not reflect all of your gains/losses.

Income Summary	This Period		Year to Date	
	Federally Tax-Exempt	Federally Taxable	Federally Tax-Exempt	Federally Taxable
Bank Sweep Interest	0.00	57.98	0.00	383.22
Cash Dividends	0.00	25,794.03	0.00	53,495.03
Total Capital Gains Distributions	0.00	81,379.27	0.00	81,379.27
Total Income	0.00	107,231.28	0.00	135,257.52

Cash Transactions Summary		This Period	Year to Date
Starting Cash*		\$ 15,790.49	\$ 41,764.06
Deposits and other Cash Credits		0.00	0.00
Investments Sold		0.00	283,535.12
Dividends and Interest		107,231.28	135,257.52
Withdrawals and other Debits		0.00	(246,666.72)
Investments Purchased		(98,543.22)	(175,230.13)
Fees and Charges		0.00	(14,181.30)
Total Cash Transaction Detail		8,688.06	(17,285.51)
Ending Cash*		\$ 24,478.55	\$ 24,478.55

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

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Investment Detail - Cash and Bank Sweep

Cash	Starting Balance	Ending Balance	% of Account Assets
Cash	1,880.43	1,832.86	<1%
Total Cash	1,880.43	1,832.86	<1%
Bank Sweep	Starting Balance	Ending Balance	% of Account Assets
Bank Sweep for Benefit Plans ^{A,B}	13,910.06	22,645.69	1%
Total Bank Sweep	13,910.06	22,645.69	1%
Total Cash and Bank Sweep		24,478.55	1%

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
PIMCO INVESTMENT GRADE CREDIT BD INST SYMBOL: PIGIX	51,622.9180	9.91000	511,583.12	25%	10.48	539,804.52	(28,221.40)
Total Bond Funds	51,622.9180		511,583.12	25%		539,804.52	(28,221.40)
Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
CIBC ATLAS DISCIPLINED ^Q EQTY FD INST SYMBOL: AWEIX	32,496.7730	17.75000	576,817.72	28%	14.72	443,100.38	133,717.34



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Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
CIBC ATLAS INCM OPPTY FD INST SYMBOL: AWIIX	9,848.1500	10.65000	104,882.80	5%	10.20	100,485.59	4,397.21
CIBC ATLAS MID CAP EQTY FD INST SYMBOL: AWMIX	2,133.9180	12.96000	27,655.58	1%	11.89	25,380.35	2,275.23
EDGEWOOD GROWTH INSTL SYMBOL: EGFIX	2,238.1960	28.79000	64,437.66	3%	34.87	78,055.48	(13,617.82)
HAMLIN HIGH DIV EQTY INSTL SYMBOL: HHDFX	14,414.2590	19.84000	285,978.90	14%	19.74	284,483.64	1,495.26
IVA INTL FD CL I ° SYMBOL: IVIQX	5,928.2550	14.43000	85,544.72	4%	16.54	96,933.61	(11,388.89)
JPMORGAN MID CAP VALUE ° L SYMBOL: FLMVX	4,798.6060	32.98000	158,258.03	8%	32.72	157,265.86	992.17
MAINSTAY EPOCH GLOBAL ° EQTY YIELD I SYMBOL: EPSYX	3,506.5740	16.61000	58,244.19	3%	17.41	59,614.11	(1,369.92)
MATTHEWS PACIFIC TIGER FD INSTL SYMBOL: MIPTX	2,052.3880	26.83000	55,065.57	3%	26.06	53,481.88	1,583.69
TWEEDY BROWNE GLOBAL VALUE FUND SYMBOL: TBGVX	3,000.6610	24.88000	74,656.45	4%	27.65	82,986.12	(8,329.67)

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



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Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
VIRTUS VONTOBEL EMRG ° MKTS OPPTY FD I SYMBOL: HIEMX	5,947.2740	9.96000	59,234.85	3%	10.43	62,043.40	(2,808.55)
Total Equity Funds	86,365.0540		1,550,776.47	74%		1,443,830.42	106,946.05
Total Mutual Funds	137,987.9720		2,062,359.59	99%		1,983,634.94	78,724.65
Total Investment Detail							2,086,838.14
Total Account Value							2,086,838.14
Total Cost Basis							1,983,634.94

Transaction Detail - Purchases & Sales

Bond Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/12/18	12/12/18	Reinvested Shares	PIMCO INVESTMENT GRADE CREDIT BD INST: PIGIX	53.8900	9.8600	(531.36)
12/12/18	12/12/18	Reinvested Shares	PIMCO INVESTMENT GRADE CREDIT BD INST: PIGIX	30.7870	9.8600	(303.56)
Total Bond Funds Activity						(834.92)



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Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/11/18	12/11/18	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	197.2320	17.1300	(3,378.58)
12/11/18	12/11/18	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	18.1720	17.1300	(311.29)
12/12/18	12/12/18	Reinvested Shares	IVA INTL FD CL I: IVIQX	93.6250	14.8400	(1,389.39)
12/12/18	12/12/18	Reinvested Shares	IVA INTL FD CL I: IVIQX	15.3630	14.8400	(227.99)
12/12/18	12/12/18	Reinvested Shares	IVA INTL FD CL I: IVIQX	312.3790	14.8400	(4,635.70)
12/12/18	12/12/18	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	264.3440	35.6600	(9,426.51)
12/12/18	12/12/18	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	4.8920	35.6600	(174.46)
12/12/18	12/12/18	Reinvested Shares	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	56.0170	26.8600	(1,504.61)
12/12/18	12/12/18	Reinvested Shares	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	23.1890	26.8600	(622.85)
12/17/18	12/17/18	Reinvested Shares	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I: HIEMX	160.5360	9.8000	(1,573.25)
12/17/18	12/17/18	Reinvested Shares	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I: HIEMX	62.5620	9.8000	(613.11)
12/19/18	12/19/18	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	82.1700	33.1400	(2,723.13)
12/20/18	12/20/18	Reinvested Shares	CIBC ATLAS DISCIPLINED EQTY FD INST. AWEIX	2,112.8390	17.8000	(37,608.53)
12/20/18	12/20/18	Reinvested Shares	CIBC ATLAS INCM OPPTY FD INST: AWIIX	44.2280	10.6400	(470.59)

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Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity (continued)

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/20/18	12/20/18	Reinvested Shares	EDGEWOOD GROWTH INSTL: EGFIX	97.5460	28.2800	(2,758.59)
12/20/18	12/20/18	Reinvested Shares	EDGEWOOD GROWTH INSTL: EGFIX	9.9680	28.2800	(281.89)
12/20/18	12/20/18	Reinvested Shares	HAMLIN HIGH DIV EQTY INSTL: HHDFX	806.7780	19.9800	(16,119.42)
12/27/18	12/27/18	Reinvested Shares	TWEEDY BROWNE GLOBAL VALUE FUND: TBGVX	138.1450	24.4100	(3,372.13)
12/27/18	12/27/18	Reinvested Shares	TWEEDY BROWNE GLOBAL VALUE FUND: TBGVX	12.0280	24.4100	(293.60)
12/28/18	12/28/18	Reinvested Shares	CIBC ATLAS DISCIPLINED EQTY FD INST: AWEIX	580.8340	17.6000	(10,222.68)
Total Equity Funds Activity						(97,708.30)
Total Purchases & Sales						(98,543.22)

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/11/18	12/11/18	LT Cap Gain Rein	MAINSTAY EPOCH GLOBAL: EPSYX	3,378.58
12/11/18	12/11/18	Div For Reinvest	MAINSTAY EPOCH GLOBAL: EPSYX	311.29
12/12/18	12/12/18	Sttm Cap Gn Rein	IVA INTL FD CL I: IVIQX	227.99
12/12/18	12/12/18	LT Cap Gain Rein	IVA INTL FD CL I: IVIQX	4,635.70
12/12/18	12/12/18	Div For Reinvest	IVA INTL FD CL I: IVIQX	1,389.39
12/12/18	12/12/18	LT Cap Gain Rein	JPMORGAN MID CAP VALUE: FLMVX	9,426.51
12/12/18	12/12/18	Sttm Cap Gn Rein	JPMORGAN MID CAP VALUE: FLMVX	174.46
12/12/18	12/12/18	Cash Dividend	MATTHEWS PACIFIC TIGER: MIPTX	516.30

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Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/12/18	12/12/18	LT Cap Gain Rein	MATTHEWS PACIFIC TIGER: MIPTX	1,504.61
12/12/18	12/12/18	Stlm Cap Gn Rein	MATTHEWS PACIFIC TIGER: MIPTX	622.85
12/12/18	12/12/18	Stlm Cap Gn Rein	PIMCO INVESTMENT GRADE: PIGIX	303.56
12/12/18	12/12/18	LT Cap Gain Rein	PIMCO INVESTMENT GRADE: PIGIX	531.36
12/15/18	12/17/18	Bank Interest ^{A,B}	BANK INT	57.98
12/17/18	12/17/18	LT Cap Gain Rein	VIRTUS VONTOBEL EMRG: HIEMX	1,573.25
12/17/18	12/17/18	Div For Reinvest	VIRTUS VONTOBEL EMRG: HIEMX	613.11
12/19/18	12/19/18	Div For Reinvest	JPMORGAN MID CAP VALUE: FLMVX	2,723.13
12/20/18	12/20/18	LT Cap Gain Rein	CIBC ATLAS DISCIPLINED: AWEIX	37,608.53
12/20/18	12/20/18	LT Cap Gain Rein	CIBC ATLAS INCM OPPTY: AWIIX	470.59
12/20/18	12/20/18	Stlm Cap Gn Rein	EDGEWOOD GROWTH INSTL: EGFIX	281.89
12/20/18	12/20/18	LT Cap Gain Rein	EDGEWOOD GROWTH INSTL: EGFIX	2,758.59
12/20/18	12/20/18	LT Cap Gain Rein	HAMLIN HIGH DIV EQTY: HHDFX	16,119.42
12/27/18	12/27/18	Cash Dividend	TWEEDY BROWNE GLOBAL: TBGVX	1,114.54
12/27/18	12/27/18	Stlm Cap Gn Rein	TWEEDY BROWNE GLOBAL: TBGVX	293.60
12/27/18	12/27/18	LT Cap Gain Rein	TWEEDY BROWNE GLOBAL: TBGVX	3,372.13
12/28/18	12/28/18	Div For Reinvest	CIBC ATLAS DISCIPLINED: AWEIX	10,222.68
12/28/18	12/28/18	Cash Dividend	CIBC ATLAS INCM OPPTY: AWIIX	726.79
12/28/18	12/28/18	Cash Dividend	HAMLIN HIGH DIV EQTY: HHDFX	4,439.59
12/31/18	12/31/18	Cash Dividend	PIMCO INVESTMENT GRADE: PIGIX	1,832.86
Total Dividends & Interest				107,231.28

Total Transaction Detail **8,688.06**



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

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[REDACTED]

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Bank Sweep for Benefit Plans Activity

Transaction Date	Transaction	Description	Withdrawal	Deposit	Balance ^{A,B}
Opening Balance ^{A,B}					13,910.06
12/03/18	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		1,880.43	15,790.49
12/13/18	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		516.30	16,306.79
12/15/18	Interest Paid ^{A,B}	BANK INTEREST		57.98	16,364.77
12/28/18	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		1,114.54	17,479.31
12/31/18	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		5,166.38	22,645.69
Total Activity			0.00	8,735.63	
Ending Balance ^{A,B}					22,645.69

Bank Sweep for Benefit Plans: Interest Rate as of 12/31/18 was 0.70%. Your interest period was 11/16/18 - 12/15/18 ^B

Endnotes For Your Account

Symbol Endnote Legend

- ^D Dividends paid on this security will be automatically reinvested.
- ^A Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.
- ^B For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

Attachment B: Fauci 2018 278e Report, Section 6

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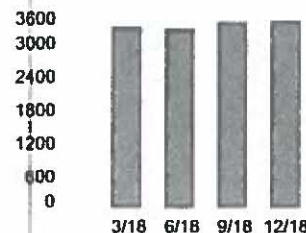
Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Account Summary

Statement Period
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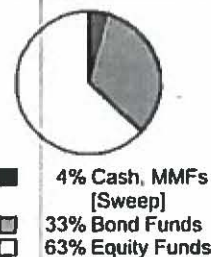
Account Value as of 12/31/2018: \$ 3,358,373.72

Change in Account Value	This Period	Year to Date	Account Value [in Thousands]
Starting Value	\$ 3,503,548.95	\$ 3,158,258.36	
Credits	115,757.43	589,406.93	
Debits	0.00	(119,518.03)	
Transfer of Securities (In/Out)	0.00	0.00	
Income Reinvested	(86,558.55)	(88,473.51)	
Change in Value of Investments	(174,374.11)	(181,300.03)	
Ending Value on 12/31/2018	\$ 3,358,373.72	\$ 3,358,373.72	
Total Change in Account Value	\$ (145,175.23)	\$ 200,115.36	



Asset Composition	Market Value	% of Account Assets
Cash and Money Market Funds [Sweep]	\$ 121,630.72	4%
Bond Funds	1,116,113.36	33%
Equity Funds	2,120,629.64	63%
Total Assets Long	\$ 3,358,373.72	
Total Account Value	\$ 3,358,373.72	100%

Overview



PRIVATE WEALTH
MANAGEMENT

Your Independent Investment Advisor is not affiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor.



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Gain or (Loss) Summary	Realized Gain or (Loss) This Period		Unrealized Gain or (Loss)
	Short Term	Long Term	
All Investments	\$(35,304.37)	\$(11,987.45)	\$116,939.50

Values may not reflect all of your gains/losses.

Income Summary	This Period		Year to Date	
	Federally Tax-Exempt ¹	Federally Taxable	Federally Tax-Exempt ¹	Federally Taxable
Money Funds Dividends	0.00	329.88	0.00	2,260.94
Cash Dividends	1,514.26	28,696.38	12,705.87	50,184.09
Total Capital Gains Distributions	0.00	85,216.91	0.00	85,216.91
Total Income	1,514.26	114,243.17	12,705.87	137,661.94

¹Certain income in this category may qualify for state tax exemption; consult your tax advisor.

Cash Transactions Summary

	This Period	Year to Date
Starting Cash*	\$ 294,623.04	\$ 299,189.79
Deposits and other Cash Credits	0.00	439,039.12
Investments Sold	357,508.92	638,855.70
Dividends and Interest	115,757.43	150,367.81
Withdrawals and other Debits	0.00	(100,000.00)
Investments Purchased	(646,258.67)	(1,286,303.67)
Fees and Charges	0.00	(19,518.03)
Total Cash Transaction Detail	(172,992.32)	(177,559.07)
Ending Cash*	\$ 121,630.72	\$ 121,630.72

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.



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Investment Detail - Cash and Money Market Funds [Sweep]

Cash	Starting Balance	Ending Balance	% of Account Assets
Cash	2,608.67	2,699.80	<1%
Total Cash	2,608.67	2,699.80	<1%

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
Schwab Govt Money Fund: SWGXX	118,930.9200	1.0000	118,930.92	1.86%	4%
Total Money Market Funds [Sweep]			118,930.92		4%
Total Cash and Money Market Funds [Sweep]			121,630.72		4%

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
PIMCO INVESTMENT GRADE CREDIT BD INST SYMBOL: PIGIX	28,535.9760	9.91000	282,791.52	8%	10.61	302,762.93	(19,971.41)
T ROWE PRICE SUMMIT MUNI INTERM FUND INV SYMBOL: PRSMX	71,346.0480	11.68000	833,321.84	25%	11.77	838,807.38	(5,485.54)
Total Bond Funds	99,882.0240		1,116,113.36	33%		1,141,570.31	(25,456.95)



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Investment Detail - Mutual Funds (continued)

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
CIBC ATLAS DISCIPLINED EQTY FD INST SYMBOL: AWEIX	51,209.6740	17.75000	908,971.71	27%	15.63	774,232.97	134,738.74
CIBC ATLAS INCM OPPTY FD INST SYMBOL: AWIIX	24,290.5390	10.65000	258,694.24	8%	10.33	250,888.24	7,806.00
CIBC ATLAS MID CAP EQTY FD INST SYMBOL: AWMIX	11,270.6420	12.96000	146,067.52	4%	11.70	131,845.76	14,221.76
HARDING LOEVNER INST EMRG MKTS CL I SYMBOL: HLMEX	7,490.6210	18.22000	136,479.11	4%	17.36	130,000.00	6,479.11
IVA INTL FD CL I SYMBOL: IVIQX	2,865.6330	14.43000	41,351.08	1%	16.51	44,543.17	(3,192.09)
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	4,319.6160	32.98000	142,460.94	4%	32.63	140,945.28	1,515.66
MAINSTAY EPOCH GLOBAL EQTY YIELD I SYMBOL: EPSYX	2,920.7580	16.61000	48,513.79	1%	17.19	48,088.53	425.26
MATTHEWS PACIFIC TIGER FD INSTL SYMBOL: MIPTX	3,696.8580	26.83000	99,186.70	3%	27.05	100,015.00	(828.30)
MFS INSTL INTL EQUITY SYMBOL: MIEIX	5.8200	22.39000	130.31	<1%	25.36	147.59	(17.28)

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Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
TWEEDY BROWNE GLOBAL VALUE FUND SYMBOL: TBGVX	5,695.2430	24.88000	141,697.65	4%	27.65	157,496.65	(15,799.00)
VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST SYMBOL: VCMIX	3,595.8290	27.85000	100,143.84	3%	27.81	100,015.00	128.84
VERSUS CAP REAL ASSET FUND SYMBOL: VCRRX	3,932.3630	24.65000	96,932.75	3%	25.43	100,015.00	(3,082.25)
Total Equity Funds	121,293.5960		2,120,629.64	63%		1,978,233.19	142,396.45
Total Mutual Funds	221,175.6200		3,236,743.00	96%		3,119,803.50	116,939.50
Total Investment Detail							3,358,373.72
Total Account Value							3,358,373.72
Total Cost Basis							3,119,803.50

Realized Gain or (Loss)

Short Term	Quantity/Par	Acquired/Opened	Sold/Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
BOSTON PARTNERS LONG SHORT RESEARCH INST: BPIRX	8,709.9300	03/06/18	12/13/18	128,108.07	150,000.00	(20,730.11)
VANGUARD SMALL CAP INDEX ADMIRAL SHARE: VSMDZ	1,142.8570	08/24/18	12/13/18	76,876.42	90,015.00	(13,138.58)

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Realized Gain or (Loss) (continued)

Short Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
BOSTON PARTNERS LONG SHORT RESEARCH INST: BPIRX	462.2420	03/06/18	12/21/18	6,525.72	7,961.40	(1,435.68)
Total Short Term				211,510.21	247,976.40	(35,304.37)
Long Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
MFS INSTL INTL EQUITY: MIEIX	3,944.1810	11/16/17	12/13/18	90,622.28	100,000.00	(9,363.88)
T ROWE PRICE INST FLOATING RATE FD : RPIFX	5,775.9570	multiple	12/21/18	55,376.43	58,000.00	(2,623.57)
Total Long Term				145,998.71	158,000.00	(11,987.45)
Total Realized Gain or (Loss)				357,508.92	405,976.40	(47,291.82)

Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here.

Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.

Transaction Detail - Purchases & Sales

Bond Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/07/18	12/07/18	Reinvested Shares	T ROWE PRICE SUMMIT MUNI INTERM FUND INV: PRSMX	1.2840	11.6400	(14.95)
12/12/18	12/12/18	Reinvested Shares	PIMCO INVESTMENT GRADE CREDIT BD INST: PIGIX	17.0180	9.8600	(167.80)

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
 U/A DTD 03/19/2014

Account Number

Statement Period
 December 1-31, 2018

Transaction Detail - Purchases & Sales (continued)

Bond Funds Activity (continued)

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/12/18	12/12/18	Reinvested Shares	PIMCO INVESTMENT GRADE CREDIT BD INST: PIGIX	29.7890	9.8600	(293.72)
12/14/18	12/13/18	Bought	T ROWE PRICE SUMMIT MUNI INTERM FUND INV: PRSMX	21,514.6300	11.6200	(250,000.00)
12/24/18	12/21/18	Sold	T ROWE PRICE INST FLOATING RATE FD: RPIFX	(5,775.9570)	9.5900	55,376.43
Total Bond Funds Activity						(195,100.04)

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/11/18	12/11/18	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	165.1380	17.1300	(2,828.81)
12/12/18	12/12/18	Reinvested Shares	IVA INTL FD CL I: IVIQX	7.5450	14.8400	(111.97)
12/12/18	12/12/18	Reinvested Shares	IVA INTL FD CL I: IVIQX	153.4230	14.8400	(2,276.79)
12/12/18	12/12/18	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	4.4810	35.6600	(159.79)
12/12/18	12/12/18	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	242.1030	35.6600	(8,633.40)
12/13/18	12/13/18	Reinvested Shares	BOSTON PARTNERS LONG SHORT RESEARCH INST: BPIRX	462.2420	14.7100	(6,799.58)
12/13/18	12/13/18	Reinvested Shares	MFS INSTL INTL EQUITY: MIEIX	5.8200	22.9800	(133.75)
12/14/18	12/13/18	Sold	BOSTON PARTNERS LONG SHORT RESEARCH INST: BPIRX	(8,709.9300)	14.7100	128,108.07

Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity (continued)

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/14/18	12/13/18	Bought	CIBC ATLAS DISCIPLINED EQTY FD INST: AWEIX	4,892.3680	20.4400	(100,015.00)
12/14/18	12/13/18	Bought	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	3,696.8580	27.0500	(100,015.00)
12/14/18	12/13/18	Sold	MFS INSTL INTL EQUITY: MIEIX	(3,944.1810)	22.9800	90,622.28
12/14/18	12/13/18	Sold	VANGUARD SMALL CAP INDEX ADMIRAL SHARE: VSMAX	(1,142.8570)	67.2800	76,876.42
12/20/18	12/20/18	Reinvested Shares	CIBC ATLAS DISCIPLINED EQTY FD INST: AWEIX	3,200.1310	17.8000	(56,962.34)
12/20/18	12/20/18	Reinvested Shares	CIBC ATLAS INCM OPPTY FD INST: AWIIX	83.4810	10.6400	(888.24)
12/24/18	12/21/18	Sold	BOSTON PARTNERS LONG SHORT RESEARCH INST: BPIRX	(462.2420)	14.1500	6,525.72
12/24/18	12/21/18	Bought	CIBC ATLAS DISCIPLINED EQTY FD INST: AWEIX	2,869.4030	17.4200	(50,000.00)
12/24/18	12/21/18	Bought	CIBC ATLAS INCM OPPTY FD INST: AWIIX	5,701.9960	10.5200	(60,000.00)
12/27/18	12/27/18	Reinvested Shares	TWEEDY BROWNE GLOBAL VALUE FUND: TBGVX	22.8290	24.4100	(557.25)
12/27/18	12/27/18	Reinvested Shares	TWEEDY BROWNE GLOBAL VALUE FUND: TBGVX	262.1990	24.4100	(6,400.28)
Total Equity Funds Activity						(93,649.71)
Total Purchases & Sales						(288,749.75)

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/07/18	12/07/18	Sttm Cap Gn Rein	T ROWE PRICE SUMMIT MUNI: PRSMX	14.95
12/11/18	12/11/18	Cash Dividend	MAINSTAY EPOCH GLOBAL: EPSYX	260.64
12/11/18	12/11/18	LT Cap Gain Rein	MAINSTAY EPOCH GLOBAL: EPSYX	2,828.81
12/12/18	12/12/18	Cash Dividend	IVA INTL FD CL I: IVIQX	682.39
12/12/18	12/12/18	LT Cap Gain Rein	IVA INTL FD CL I: IVIQX	2,276.79
12/12/18	12/12/18	Sttm Cap Gn Rein	IVA INTL FD CL I: IVIQX	111.97
12/12/18	12/12/18	Sttm Cap Gn Rein	JPMORGAN MID CAP VALUE: FLMVX	159.79
12/12/18	12/12/18	LT Cap Gain Rein	JPMORGAN MID CAP VALUE: FLMVX	8,633.40
12/12/18	12/12/18	Sttm Cap Gn Rein	PIMCO INVESTMENT GRADE: PIGIX	167.80
12/12/18	12/12/18	LT Cap Gain Rein	PIMCO INVESTMENT GRADE: PIGIX	293.72
12/13/18	12/13/18	Cash Dividend	BOSTON PARTNERS LONG: BPIRX	108.83
12/13/18	12/13/18	LT Cap Gain Rein	BOSTON PARTNERS LONG: BPIRX	6,799.58
12/13/18	12/13/18	Cash Dividend	MFS INSTL INTL EQUITY: MIEIX	1,304.74
12/13/18	12/13/18	LT Cap Gain Rein	MFS INSTL INTL EQUITY: MIEIX	133.75
12/18/18	12/18/18	Cash Dividend	HARDING LOEVNER INST: HLMEX	1,337.97
12/19/18	12/19/18	Cash Dividend	JPMORGAN MID CAP VALUE: FLMVX	2,494.02
12/20/18	12/20/18	LT Cap Gain Rein	CIBC ATLAS DISCIPLINED: AWEIX	56,962.34
12/20/18	12/20/18	LT Cap Gain Rein	CIBC ATLAS INCM OPPTY: AWIIX	888.24
12/27/18	12/27/18	Cash Dividend	TWEEDY BROWNE GLOBAL: TBGVX	2,115.39
12/27/18	12/27/18	Sttm Cap Gn Rein	TWEEDY BROWNE GLOBAL: TBGVX	557.25
12/27/18	12/27/18	LT Cap Gain Rein	TWEEDY BROWNE GLOBAL: TBGVX	6,400.28
12/28/18	12/28/18	Cash Dividend	CIBC ATLAS DISCIPLINED: AWEIX	16,402.46
12/28/18	12/28/18	Cash Dividend	CIBC ATLAS INCM OPPTY: AWIIX	1,792.64
12/31/18	12/31/18	Cash Dividend	PIMCO INVESTMENT GRADE: PIGIX	1,013.15
12/31/18	12/31/18	Short Term Cap Gn	SCHWAB GOVT MONEY FUND: SWGXX	0.18
12/31/18	12/31/18	Dividend	SCHWAB GOVT MONEY FUND: SWGXX	329.88
12/31/18	12/31/18	Cash Dividend	T ROWE PRICE INST: RPIFX	172.21

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued)

Transaction Process				
Date	Date	Activity	Description	Credit/(Debit)
12/31/18	12/31/18	Cash Dividend	T ROWE PRICE SUMMIT MUNI: PRSMX	1,514.26
Total Dividends & Interest				115,757.43

Total Transaction Detail (172,992.32)

Money Market Fund (Sweep) Detail

Schwab Govt Money Fund Activity

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
Opening # of Shares: 292,014.3700					
12/03/18	Purchased	2,608.6700	1.0000	2,608.67	
12/12/18	Purchased	260.6400	1.0000	260.64	
12/13/18	Purchased	682.3900	1.0000	682.39	
12/14/18	Redeemed	153,009.6600	1.0000		153,009.66
12/19/18	Purchased	1,337.9700	1.0000	1,337.97	
12/20/18	Purchased	2,494.0200	1.0000	2,494.02	
12/24/18	Redeemed	48,097.8500	1.0000		48,097.85
12/28/18	Purchased	2,115.3900	1.0000	2,115.39	
12/31/18	Purchased	18,195.1000	1.0000	18,195.10	
12/31/18	Dividend	329.8800	1.0000	329.88	

Closing # of Shares: 118,930.9200

Total Schwab Govt Money Fund Activity				28,024.06	201,107.51
Total Money Market Fund (Sweep)				28,024.06	201,107.51

Schwab Govt MMF Average Yield For The Most Recent Pay Period: 001.70%; 7-Day Yield: 1.86%.



Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Account Number

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Endnotes For Your Account

Symbol Endnote Legend

f 7-day yield: Annualized fund yield based on the average daily yield for the previous 7 days, assuming dividends are paid in cash and not reinvested.

Attachment C: Fauci 2018 278e Report, Section 6

Account Summary

charles
SCHWAB

Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

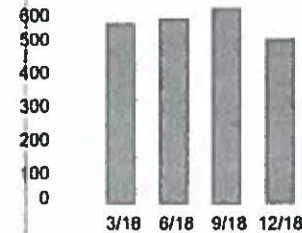
Account Number

Statement Period

December 1-31, 2018

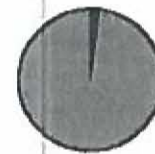
Account Value as of 12/31/2018: \$ 497,572.38

Change in Account Value	This Period	Year to Date	Account Value [in Thousands]
Starting Value	\$ 534,924.83	\$ 551,318.01	
Credits	29,456.44	31,408.85	
Debits	0.00	(27,158.52)	
Transfer of Securities (In/Out)	0.00	0.00	
Income Reinvested	(24,622.80)	(25,331.16)	
Change in Value of Investments	(42,186.09)	(32,664.80)	
Ending Value on 12/31/2018	\$ 497,572.38	\$ 497,572.38	
Total Change in Account Value	\$ (37,352.45)	\$ (53,745.63)	



Asset Composition	Market Value	% of Account Assets
Cash and Bank Sweep ^{X,Z}	\$ 8,907.92	2%
Equity Funds	488,664.46	98%
Total Assets Long	\$ 497,572.38	
Total Account Value	\$ 497,572.38	100%

Overview



- 2% Cash, Bank Sweep [X,Z]
- 98% Equity Funds



PRIVATE WEALTH
MANAGEMENT

Your Independent Investment Advisor is not affiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor.

Gain or (Loss) Summary		Gain or (Loss) on Investments Sold	Unrealized Gain or (Loss)
		This Period	
All Investments			
<i>Values may not reflect all of your gains/losses.</i>			
Income Summary		This Period	Year To Date
Bank Sweep Interest	2.18		4.30
Money Funds Dividends	0.00		68.76
Cash Dividends	7,122.42		9,003.95
Total Capital Gains Distributions	22,331.84		22,331.84
Total Income	29,456.44		31,408.85
Cash Transactions Summary		This Period	Year to Date
Starting Cash*		\$ 8,881.33	\$ 6,423.09
Deposits and other Cash Credits		0.00	0.00
Investments Sold		15,192.95	73,517.99
Dividends and Interest		29,456.44	31,408.85
Withdrawals and other Debits		0.00	(27,158.52)
Investments Purchased		(44,622.80)	(75,283.49)
Fees and Charges		0.00	0.00
Total Cash Transaction Detail		26.59	2,484.83
Ending Cash*		\$ 8,907.92	\$ 8,907.92

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2018

Investment Detail - Cash and Bank Sweep

		Starting Balance	Ending Balance	% of Account Assets
Cash				
Cash		73.48	47.27	<1%
Total Cash		73.48	47.27	<1%
Bank Sweep				
SCHWAB BANK		8,807.85	8,860.65	2%
Total Bank Sweep ^{x2}		8,807.85	8,860.65	2%
Total Cash and Bank Sweep			8,907.92	2%

Investment Detail - Mutual Funds

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
CIBC ATLAS DISCIPLINED ^o EQTY FD INST SYMBOL: AWEIX	8,759.4390	17.75000	155,480.04	31%	13.56	115,632.25	39,847.79
CIBC ATLAS INCM OPPTY FD INST SYMBOL: AWIIX	4,326.9590	10.65000	46,082.11	9%	10.43	45,115.98	966.13
CIBC ATLAS MID CAP EQTY FD INST SYMBOL: AWMIX	2,153.8790	12.96000	27,914.27	6%	11.61	25,000.00	2,914.27
EDGEWOOD GROWTH INSTL SYMBOL: EGFIX	852.2730	28.79000	24,536.94	5%	35.22	30,015.00	(5,478.06)

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Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	2,012.9450	32.98000	66,386.93	13%	33.20	66,838.08	(451.15)
MAINSTAY EPOCH GLOBAL ° EQTY YIELD I SYMBOL: EPSYX	1,354.2540	16.61000	22,494.16	5%	17.18	23,228.25	(734.09)
MFS INSTL INTL EQUITY SYMBOL: MIEIX	591.9960	22.39000	13,254.79	3%	25.37	15,020.05	(1,765.26)
TWEEDY BROWNE GLOBAL VALUE FUND SYMBOL: TBGVX	4,540.0580	24.88000	112,956.64	23%	27.36	124,191.04	(11,234.40)
VIRTUS VONTOBEL EMRG MKTS OPPTY FD I SYMBOL: HIEMX	1,963.7130	9.96000	19,558.58	4%	10.50	20,612.56	(1,053.98)
Total Equity Funds	26,555.5160		488,664.46	98%		465,653.21	23,011.25
Total Mutual Funds	26,555.5160		488,664.46	98%		465,653.21	23,011.25
Total Investment Detail							497,572.38
Total Account Value							497,572.38
Total Cost Basis							465,653.21



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2018

Gain or (Loss) on Investments Sold

Investments	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Gain or (Loss)
T ROWE PRICE INST RPIFX FLOATING RATE FD:	1,585.8130	12/22/16	12/21/18	15,192.95	16,000.00	(807.05)
Total Gain or (Loss) on Investments Sold				15,192.95	16,000.00	(807.05)

Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here.

Option Customers: Gain/loss on investments sold is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.

Transaction Detail - Purchases & Sales

Bond Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/24/18	12/21/18	Sold	T ROWE PRICE INST FLOATING RATE FD: RPIFX	(1,585.8130)	9.5900	15,192.95
Total Bond Funds Activity						15,192.95

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/11/18	12/11/18	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	76.1720	17.1300	(1,304.82)
12/11/18	12/11/18	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	7.0180	17.1300	(120.22)
12/12/18	12/12/18	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	112.8200	35.6600	(4,023.17)

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity (continued)

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/12/18	12/12/18	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	2.0880	35.6600	(74.46)
12/13/18	12/13/18	Reinvested Shares	MFS INSTL INTL EQUITY: MIEIX	0.8720	22.9800	(20.05)
12/17/18	12/17/18	Reinvested Shares	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I: HIEMX	53.5700	9.8000	(524.99)
12/20/18	12/20/18	Reinvested Shares	CIBC ATLAS DISCIPLINED EQTY FD INST: AWEIX	569.5110	17.8000	(10,137.30)
12/20/18	12/20/18	Reinvested Shares	CIBC ATLAS INCM OPPTY FD INST: AWIIX	10.9000	10.6400	(115.98)
12/24/18	12/21/18	Bought	CIBC ATLAS INCM OPPTY FD INST: AWIIX	1,899.7150	10.5200	(20,000.00)
12/27/18	12/27/18	Reinvested Shares	TWEEDY BROWNE GLOBAL VALUE FUND: TBGVX	209.0160	24.4100	(5,102.09)
12/27/18	12/27/18	Reinvested Shares	TWEEDY BROWNE GLOBAL VALUE FUND: TBGVX	18.1980	24.4100	(444.22)
12/28/18	12/28/18	Reinvested Shares	CIBC ATLAS DISCIPLINED EQTY FD INST: AWEIX	156.5630	17.6000	(2,755.50)
Total Equity Funds Activity						(44,622.80)
Total Purchases & Sales						(29,429.85)

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/11/18	12/11/18	LT Cap Gain Rein	MAINSTAY EPOCH GLOBAL: EPSYX	1,304.82

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/11/18	12/11/18	Div For Reinvest	MAINSTAY EPOCH GLOBAL: EPSYX	120.22
12/12/18	12/12/18	LT Cap Gain Rein	JPMORGAN MID CAP VALUE: FLMVX	4,023.17
12/12/18	12/12/18	Stlm Cap Gn Rein	JPMORGAN MID CAP VALUE: FLMVX	74.46
12/13/18	12/13/18	Cash Dividend	MFS INSTL INTL EQUITY: MIEIX	195.54
12/13/18	12/13/18	LT Cap Gain Rein	MFS INSTL INTL EQUITY: MIEIX	20.05
12/15/18	12/17/18	Bank Interest ^{X,Z}	BANK INT 111618-121518: SCHWAB BANK	2.18
12/17/18	12/17/18	Cash Dividend	VIRTUS VONTOBEL EMRG: HIEMX	204.59
12/17/18	12/17/18	LT Cap Gain Rein	VIRTUS VONTOBEL EMRG: HIEMX	524.99
12/19/18	12/19/18	Cash Dividend	JPMORGAN MID CAP VALUE: FLMVX	1,162.21
12/20/18	12/20/18	LT Cap Gain Rein	CIBC ATLAS DISCIPLINED: AWEIX	10,137.30
12/20/18	12/20/18	LT Cap Gain Rein	CIBC ATLAS INCM OPPTY: AWIIX	115.98
12/20/18	12/20/18	Short Term Cap Gn	EDGEWOOD GROWTH INSTL: EGFIX	112.76
12/20/18	12/20/18	LT Cap Gain	EDGEWOOD GROWTH INSTL: EGFIX	1,103.44
12/27/18	12/27/18	Cash Dividend	TWEEDY BROWNE GLOBAL: TBGVX	1,686.32
12/27/18	12/27/18	Stlm Cap Gn Rein	TWEEDY BROWNE GLOBAL: TBGVX	444.22
12/27/18	12/27/18	LT Cap Gain Rein	TWEEDY BROWNE GLOBAL: TBGVX	5,102.09
12/28/18	12/28/18	Div For Reinvest	CIBC ATLAS DISCIPLINED: AWEIX	2,755.50
12/28/18	12/28/18	Cash Dividend	CIBC ATLAS INCM OPPTY: AWIIX	319.33
12/31/18	12/31/18	Cash Dividend	T ROWE PRICE INST: RPIFX	47.27
Total Dividends & Interest				29,456.44

Total Transaction Detail

26.59



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period

December 1-31, 2018

Bank Sweep Activity

Transaction Date	Transaction	Description	Withdrawal	Deposit	Balance ^{x,z}
Opening Balance ^{x,z}					8,807.85
12/03/18	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		73.48	8,881.33
12/14/18	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		195.54	9,076.87
12/15/18	Interest Paid ^{x,z}	BANK INTEREST - SCHWAB BANK		2.18	9,079.05
12/15/18	Auto Transfer	BANK TRANSFER TO BROKERAGE	2.18		9,076.87
12/18/18	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		206.77	9,283.64
12/20/18	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		1,162.21	10,445.85
12/21/18	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		1,216.20	11,662.05
12/24/18	Auto Transfer	BANK TRANSFER TO BROKERAGE	4,807.05		6,855.00
12/28/18	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		1,686.32	8,541.32
12/31/18	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		319.33	8,860.65
Total Activity			4,809.23	4,862.03	
Ending Balance ^{x,z}					8,860.65

Bank Sweep: Interest Rate as of 12/31/18 was 0.33%. ^z

Contribution Summary

	2017	2018
Traditional IRA	0.00	0.00
Year To Date Total	0.00	0.00



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2018

Distribution Summary

	Gross Amount	Federal Tax Withheld	State Tax Withheld	Earnings	Net Amount
Normal	27,158.52	2,715.85	2,444.27 DC	0.00	21,998.40
Year To Date Total	27,158.52	2,715.85	2,444.27	0.00	21,998.40

Tax Year 2019 Required Minimum Distribution, which you must take by 12/31/2019:

25,516.53

Schwab must report to the IRS that a minimum distribution is required for the tax year specified above.

Endnotes For Your Account

Symbol Endnote Legend

- ◊ Dividends paid on this security will be automatically reinvested.
- X Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.
- Z For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.
- # The Required Minimum Distribution amount shown here is based on the "Total Account Value", also known as Fair Market Value, as of December 31 of the previous year. It could change if (a) you satisfy some or all of your Required Minimum Distribution with distribution(s) from other IRA(s) you own, (b) you inform Schwab that your spouse is your sole beneficiary and is more than 10 years younger than you, (c) a rollover to this IRA was pending as of December 31 prior to the tax year specified above, (d) you recharacterize a conversion made in the prior year, or (e) a correction was made to the account after this statement date that impacts the Fair Market Value such as a change to a security value or deposit adjustment. The Year To Date Total includes distributions taken during the calendar year. In some cases, your calendar year distributions may differ from your Required Minimum Distribution for the tax year.

Attachment D: Fauci 2018 278e Report, Section 5

charles
SCHWAB

Schwab One® Trust Account of

TEE SPOUSE
REVOCABLE TR

U/A DTD 03/19/2014

Account Summary

Account Number

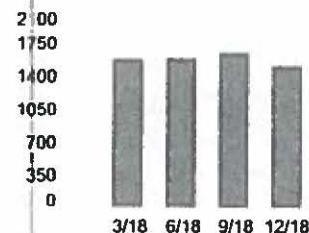
Statement Period

December 1-31, 2018

Account Value as of 12/31/2018: \$ 1,493,308.99

Change in Account Value

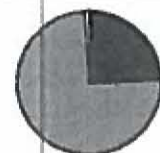
	This Period	Year to Date	Account Value [in Thousands]
Starting Value	\$ 1,583,255.98	\$ 1,581,324.97	
Credits	40,735.84	95,829.79	
Debits	0.00	(13,221.26)	
Transfer of Securities (In/Out)	0.00	0.00	
Income Reinvested	(28,393.79)	(66,657.60)	
Change in Value of Investments	(102,289.04)	(103,966.91)	
Ending Value on 12/31/2018	\$ 1,493,308.99	\$ 1,493,308.99	
Total Change in Account Value	\$ (89,946.99)	\$ (88,015.98)	



Asset Composition

	Market Value	% of Account Assets
Cash and Money Market Funds [Sweep]	\$ 20,066.70	1%
Bond Funds	361,107.83	24%
Equity Funds—	1,112,134.46	74%
Total Assets Long	\$ 1,493,308.99	
Total Account Value	\$ 1,493,308.99	100%

Overview



24% Bond Funds
74% Equity Funds
1% Cash, MMFs [Sweep]



PRIVATE WEALTH
MANAGEMENT

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Schwab One® Trust Account of
[REDACTED] TEE
REVOCABLE TR
U/A DTD 03/19/2014

Account Number
[REDACTED]

Statement Period
December 1-31, 2018

Gain or (Loss) Summary	Realized Gain or (Loss) This Period		Unrealized Gain or (Loss)
	Short Term	Long Term	
All Investments	\$0.00	\$(17,199.12)	\$2,614.50
Values may not reflect all of your gains/losses.			

Income Summary	This Period		Year to Date	
	Federally Tax-Exempt ¹	Federally Taxable	Federally Tax-Exempt ¹	Federally Taxable
Money Funds Dividends	0.00	51.07	0.00	407.31
Cash Dividends	714.06	13,569.05	7,785.19	23,307.46
Total Capital Gains Distributions	0.00	26,401.66	0.00	64,329.83
Total Income	714.06	40,021.78	7,785.19	88,044.60

¹Certain income in this category may qualify for state tax exemption; consult your tax advisor.

Cash Transactions Summary

	This Period	Year to Date
Starting Cash[*]	\$ 30,288.42	\$ 26,343.90
Deposits and other Cash Credits	0.00	0.00
Investments Sold	287,400.16	287,400.16
Dividends and Interest	40,735.84	95,829.79
Withdrawals and other Debits	0.00	0.00
Investments Purchased	(338,357.72)	(376,285.89)
Fees and Charges	0.00	(13,221.26)
Total Cash Transaction Detail	(10,221.72)	(6,277.20)
Ending Cash[*]	\$ 20,066.70	\$ 20,066.70

^{*}Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.



Schwab One® Trust Account of
[REDACTED] TEE
REVOCABLE TR
U/A DTD 03/19/2014

Account Number
[REDACTED]

Statement Period
December 1-31, 2018

Investment Detail - Cash and Money Market Funds [Sweep]

Cash	Starting Balance	Ending Balance	% of Account Assets
Cash	935.24	795.03	<1%
Total Cash	935.24	795.03	<1%

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCHWAB GOVT MONEY FUND: SWGXX	19,271.6700	1.0000	19,271.67	1.86%	1%
Total Money Market Funds [Sweep]			19,271.67		1%
Total Cash and Money Market Funds [Sweep]			20,066.70		1%

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
T ROWE PRICE SUMMIT MUNI INTERM FUND INV SYMBOL: PRSMX	30,916.7660	11.68000	361,107.83	24%	11.90	368,044.45	(6,936.62)
Total Bond Funds	30,916.7660		361,107.83	24%		368,044.45	(6,936.62)

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
CIBC ATLAS INCM OPPTY FD INST SYMBOL: AWIIX	11,223.1910	10.65000	119,526.98	8%	10.74	120,551.29	(1,024.31)



Schwab One® Trust Account of
 [REDACTED] FTEE
 REVOCABLE TR
 U/A DTD 03/19/2014

Account Number

Statement Period
 December 1-31, 2018

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	4,319.7790	32.98000	142,466.31	10%	31.36	135,465.67	7,000.64
MAINSTAY EPOCH GLOBAL EQTY YIELD I SYMBOL: EPSYX	138.8370	16.61000	2,306.08	<1%	17.99	2,482.06	(175.98)
PARNASSUS CORE EQTY FD INST SYMBOL: PRILX	12,368.9660	39.05000	483,008.12	32%	37.96	469,511.85	13,496.27
PAX SMALL-CAP INST SYMBOL: PXSIX	5,922.7890	12.12000	71,784.20	5%	13.76	81,512.59	(9,728.39)
TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST SYMBOL: TROCX	15,390.9020	19.04000	293,042.77	20%	19.04	293,059.88	(17.11)
Total Equity Funds	49,364.4640		1,112,134.46	74%		1,102,583.34	9,551.12
Total Mutual Funds	80,281.2300		1,473,242.29	99%		1,470,627.79	2,614.50
Total Investment Detail							1,493,308.99
Total Account Value							1,493,308.99
Total Cost Basis							1,470,627.79



Schwab One® Trust Account of
[REDACTED] TTEE
REVOCABLE TR
U/A DTD 03/19/2014

Account Number
[REDACTED]

Statement Period
December 1-31, 2018

Realized Gain or (Loss)

Short Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
MAINSTAY EPOCH GLOBAL EQTY YIELD I : EPSYX	2.4460	12/13/17	12/13/18	42.28	48.97	0.00
Total Short Term				42.28	48.97	0.00
Long Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
MAINSTAY EPOCH GLOBAL EQTY YIELD I : EPSYX	2,314.2970	09/21/16	12/13/18	39,999.21	41,646.70	(1,550.40)
T ROWE PRICE INST FLOATING RATE FD : RPIFX	5,180.0250	multiple	12/13/18	50,283.04	52,000.00	(1,716.96)
BOSTON COMMON ESG IMPACT INTL FD : BCAIX	7,722.9870	multiple	12/21/18	197,075.63	211,007.39	(13,931.76)
Total Long Term				287,357.88	304,654.09	(17,199.12)
Total Realized Gain or (Loss)				287,400.16	304,703.06	(17,199.12)

Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here.

Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.

Transaction Detail - Purchases & Sales

Bond Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/07/18	12/07/18	Reinvested Shares	T ROWE PRICE SUMMIT MUNI INTERM FUND INV: PRSMX	0.6860	11.6400	(7.98)

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Transaction Detail - Purchases & Sales (continued)

Bond Funds Activity (continued)

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/14/18	12/13/18	Sold	T ROWE PRICE INST FLOATING RATE FD: RPIFX	(5,180.0250)	9.7100	50,283.04
12/14/18	12/13/18	Bought	T ROWE PRICE SUMMIT MUNI INTERM FUND INV: PRSMX	4,302.9260	11.6200	(50,000.00)
Total Bond Funds Activity						275.06

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/11/18	12/11/18	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	138.8370	17.1300	(2,378.28)
12/12/18	12/12/18	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	242.1120	35.6600	(8,633.73)
12/12/18	12/12/18	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	4.4810	35.6600	(159.79)
12/12/18	12/12/18	Reinvested Shares	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST: TROCX	336.5500	20.3000	(6,831.97)
12/12/18	12/12/18	Reinvested Shares	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST: TROCX	34.2950	20.3000	(696.18)
12/14/18	12/13/18	Bought	CIBC ATLAS INCM OPPTY FD INST: AWIIX	5,371.5310	11.1700	(60,015.00)
12/14/18	12/13/18	Sold	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	(2,316.7430)	17.2900	40,041.49
12/20/18	12/20/18	Reinvested Shares	CIBC ATLAS INCM OPPTY FD INST: AWIIX	50.4030	10.6400	(536.29)
12/20/18	12/20/18	Reinvested Shares	PAX SMALL CAP INST: PXSIX	676.3400	11.8600	(8,021.39)

Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity (continued)

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/20/18	12/20/18	Reinvested Shares	PAX SMALL CAP INST: PXSIX	90.8190	11.8600	(1,077.11)
12/24/18	12/21/18	Sold	BOSTON COMMON ESG IMPACT INTL FD: BCAIX	(7,722.9870)	25.5200	197,075.63
12/24/18	12/21/18	Bought	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST: TROCX	10,734.5680	18.6300	(200,000.00)
Total Equity Funds Activity						(51,232.62)
Total Purchases & Sales						(50,957.56)

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/07/18	12/07/18	Stlm Cap Gn Rein	T ROWE PRICE SUMMIT MUNI: PRSMX	7.98
12/11/18	12/11/18	Cash Dividend	MAINSTAY EPOCH GLOBAL: EPSYX	219.13
12/11/18	12/11/18	LT Cap Gain Rein	MAINSTAY EPOCH GLOBAL: EPSYX	2,378.28
12/12/18	12/12/18	Stlm Cap Gn Rein	JPMORGAN MID CAP VALUE: FLMVX	159.79
12/12/18	12/12/18	LT Cap Gain Rein	JPMORGAN MID CAP VALUE: FLMVX	8,633.73
12/12/18	12/12/18	Stlm Cap Gn Rein	TOUCHSTONE SUSTAINABILIT: TROCX	696.18
12/12/18	12/12/18	LT Cap Gain Rein	TOUCHSTONE SUSTAINABILIT: TROCX	6,831.97
12/19/18	12/19/18	Cash Dividend	BOSTON COMMON ESG IMPACT: BCAIX	2,867.10
12/19/18	12/19/18	Cash Dividend	JPMORGAN MID CAP VALUE: FLMVX	2,494.11
12/20/18	12/20/18	LT Cap Gain Rein	CIBC ATLAS INCM OPPTY: AWIIX	536.29
12/20/18	12/20/18	Cash Dividend	PAX SMALL CAP INST: PXSIX	151.45
12/20/18	12/20/18	Stlm Cap Gn Rein	PAX SMALL CAP INST: PXSIX	1,077.11
12/20/18	12/20/18	LT Cap Gain Rein	PAX SMALL CAP INST: PXSIX	8,021.39



Schwab One® Trust Account of
[REDACTED] TEE
REVOCABLE TR
U/A DTD 03/19/2014

Account Number
[REDACTED]

Statement Period
December 1-31, 2018

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/27/18	12/27/18	Cash Dividend	PARNASSUS CORE EQTY FD: PRILX	1,419.96
12/27/18	12/27/18	Cash Dividend	TOUCHSTONE SUSTAINABILIT: TROCX	3,567.00
12/28/18	12/28/18	Cash Dividend	CIBC ATLAS INCM OPPTY: AWIIX	828.27
12/31/18	12/31/18	Short Term Cap Gn	SCHWAB GOVT MONEY FUND: SWGXX	0.03
12/31/18	12/31/18	Dividend	SCHWAB GOVT MONEY FUND: SWGXX	51.07
12/31/18	12/31/18	Cash Dividend	T ROWE PRICE INST: RPIFX	80.94
12/31/18	12/31/18	Cash Dividend	T ROWE PRICE SUMMIT MUNI: PRSMX	714.06
Total Dividends & Interest				40,735.84
Total Transaction Detail				(10,221.72)

Money Market Fund (Sweep) Detail

SCHWAB GOVT MONEY FUND Activity

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
Opening # of Shares: 29,353.1800					
12/03/18	Purchased	935.2400	1.0000	935.24	
12/12/18	Purchased	219.1300	1.0000	219.13	
12/14/18	Redeemed	19,690.4700	1.0000		19,690.47
12/20/18	Purchased	5,361.2100	1.0000	5,361.21	
12/21/18	Purchased	151.4500	1.0000	151.45	
12/24/18	Redeemed	2,924.3700	1.0000		2,924.37
12/28/18	Purchased	4,986.9600	1.0000	4,986.96	
12/31/18	Purchased	828.2700	1.0000	828.27	



Schwab One® Trust Account of
[REDACTED] TTEE
REVOCABLE TR
U/A DTD 03/19/2014

Account Number
[REDACTED]

Statement Period
December 1-31, 2018

Money Market Fund (Sweep) Detail (continued)

SCHWAB GOVT MONEY FUND Activity (continued)

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
12/31/18	Dividend	51.0700	1.0000	51.07	
Closing # of Shares: 19,271.6700					
Total SCHWAB GOVT MONEY FUND Activity				12,533.33	22,614.84
Total Money Market Fund (Sweep)				12,533.33	22,614.84

SCHWAB GOVT MMF Average Yield For The Most Recent Pay Period: 001.70%; 7-Day Yield: 1.86%.

Endnotes For Your Account

Symbol Endnote Legend

f 7-day yield: Annualized fund yield based on the average daily yield for the previous 7 days, assuming dividends are paid in cash and not reinvested.

charles
SCHWAB

Contributory IRA of

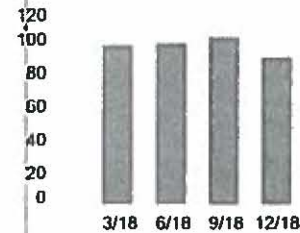
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2018

Account Value as of 12/31/2018: \$ 87,543.61

Change in Account Value	This Period	Year to Date	Account Value [in Thousands]
Starting Value	\$ 94,723.39	\$ 96,592.19	
Credits	5,253.97	6,184.02	
Debits	0.00	0.00	
Transfer of Securities (In/Out)	0.00	0.00	
Income Reinvested	(4,308.96)	(4,990.24)	
Change in Value of Investments	(8,124.79)	(10,242.36)	
Ending Value on 12/31/2018	\$ 87,543.61	\$ 87,543.61	
Total Change in Account Value	\$ (7,179.78)	\$ (9,048.58)	



Asset Composition	Market Value	% of Account Assets
Bank Sweep ^{x,z}	\$ 1,429.38	2%
Equity Funds	86,114.23	98%
Total Assets Long	\$ 87,543.61	
Total Account Value	\$ 87,543.61	100%

Overview



■ 2% Bank Sweep [X,Z]
■ 98% Equity Funds

PRIVATE WEALTH
MANAGEMENT

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Contributory IRA of
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2018

		Gain or (Loss) on Investments Sold	Unrealized Gain or (Loss)
Gain or (Loss) Summary		This Period	
All Investments		\$0.00	\$(13,621.43)
Values may not reflect all of your gains/losses.			
Income Summary		This Period	Year To Date
Bank Sweep Interest		0.12	5.74
Cash Dividends		1,338.91	1,582.06
Total Capital Gains Distributions		3,914.94	4,596.22
Total Income		5,253.97	6,184.02
Cash Transactions Summary		This Period	Year to Date
Starting Cash*		\$ 484.37	\$ 4,991.30
Deposits and other Cash Credits		0.00	0.00
Investments Sold		0.00	4,759.30
Dividends and Interest		5,253.97	6,184.02
Withdrawals and other Debits		0.00	0.00
Investments Purchased		(4,308.96)	(14,505.24)
Fees and Charges		0.00	0.00
Total Cash Transaction Detail		945.01	(3,561.92)
Ending Cash*		\$ 1,429.38	\$ 1,429.38

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.



Contributory IRA of

CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2018

Investment Detail - Bank Sweep

Bank Sweep	Starting Balance	Ending Balance	% of Account Assets
Bank Sweep XZ	484.37	1,429.38	2%
Total Bank Sweep	484.37	1,429.38	2%
Total Bank Sweep		1,429.38	2%

Investment Detail - Mutual Funds

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
BOSTON COMMON ESG IMPACT INTL FD SYMBOL: BCAIX	630.7710	26.19000	16,519.89	19%	26.95	17,000.00	(480.11)
CIBC ATLAS INCM OPPTY FD INST SYMBOL: AWIIX	1,008.5090	10.65000	10,740.62	12%	10.24	10,329.19	411.43
PARNASSUS CORE EQTY FD INST SYMBOL: PRILX	222.1760	39.05000	8,675.97	10%	45.89	10,196.28	(1,520.31)
TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST SYMBOL: TROCX	2,635.3860	19.04000	50,177.75	57%	23.61	62,210.19	(12,032.44)
Total Equity Funds	4,496.8420		86,114.23	98%		99,735.66	(13,621.43)
Total Mutual Funds	4,496.8420		86,114.23	98%		99,735.66	(13,621.43)



Contributory IRA of

CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2018

Investment Detail - Total

Total Investment Detail 87,543.61

Total Account Value 87,543.61

Total Cost Basis 99,735.66

Transaction Detail - Purchases & Sales

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/12/18	12/12/18	Reinvested Shares	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST: TROCX	190.4800	20.3000	(3,866.75)
12/12/18	12/12/18	Reinvested Shares	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST: TROCX	19.4100	20.3000	(394.02)
12/20/18	12/20/18	Reinvested Shares	CIBC ATLAS INCM OPPTY FD INST: AWIIX	4.5290	10.6400	(48.19)

Total Equity Funds Activity (4,308.96)

Total Purchases & Sales (4,308.96)

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/12/18	12/12/18	Sttm Cap Gn Rein	TOUCHSTONE SUSTAINABILIT: TROCX	394.02
12/12/18	12/12/18	LT Cap Gain Rein	TOUCHSTONE SUSTAINABILIT: TROCX	3,866.75
12/15/18	12/17/18	Bank Interest ^{X,Z}	BANK INT 111618-121518	0.12
12/19/18	12/19/18	Cash Dividend	BOSTON COMMON ESG IMPACT: BCAIX	234.17

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/20/18	12/20/18	LT Cap Gain Rein	CIBC ATLAS INCM OPPTY: AWIIX	48.19
12/27/18	12/27/18	Cash Dividend	PARNASSUS CORE EQTY FD: PRILX	25.51
12/27/18	12/27/18	Cash Dividend	TOUCHSTONE SUSTAINABILIT: TROCX	610.78
12/28/18	12/28/18	Cash Dividend	CIBC ATLAS INCM OPPTY: AWIIX	74.43
Total Dividends & Interest				5,253.97

Total Transaction Detail **945.01**

Bank Sweep Activity

Transaction Date	Transaction	Description	Withdrawal	Deposit	Balance ^{x,z}
Opening Balance ^{x,z}					484.37
12/15/18	Interest Paid ^{x,z}	BANK INTEREST		0.12	484.49
12/20/18	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		234.17	718.66
12/28/18	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		636.29	1,354.95
12/31/18	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		74.43	1,429.38
Total Activity			0.00	945.01	
Ending Balance ^{x,z}					1,429.38

Bank Sweep: Interest Rate as of 12/31/18 was 0.33%. Your interest period was 11/16/18 - 12/15/18. ^z



Contributory IRA of
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2018

Contribution Summary

	2017	2018
Traditional IRA	0.00	0.00
Year To Date Total	0.00	0.00

Endnotes For Your Account

Symbol Endnote Legend

- X** Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.
- Z** For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

Attachment F: Fauci 2018 278e Report, Section 7

Accounts > History

Anthony S. Fauci
 Defined Benefit Plan
 Transactions 2018



*Not affiliated with Schwab

Transactions

▼ Date	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
12/31/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,832.86
12/28/2018	Reinvest Dividend		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST				\$10,222.68
12/28/2018	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$726.79
12/28/2018	Cash Dividend		HHDFX	HAMLIN HIGH DIV EQTY INSTL				\$4,439.59
12/28/2018	Reinvest Shares		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	580.834	\$17.60		-\$10,222.68
12/27/2018	Long Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$3,372.13
12/27/2018	Short Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$293.60
12/27/2018	Cash Dividend		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$1,114.54

12/27/2018	Reinvest Shares	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	12.028	\$24.41	-\$293.60
12/27/2018	Reinvest Shares	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	138.145	\$24.41	-\$3,372.13
12/20/2018	Long Term Cap Gain Reinvest	EGFIX	EDGEWOOD GROWTH INSTL			\$2,758.59
12/20/2018	Short Term Cap Gain Reinvest	EGFIX	EDGEWOOD GROWTH INSTL			\$281.89
12/20/2018	Long Term Cap Gain Reinvest	AWIIX	CIBC ATLAS INCM OPPTY FD INST			\$470.59
12/20/2018	Long Term Cap Gain Reinvest	HHDFX	HAMLIN HIGH DIV EQTY INSTL			\$16,119.42
12/20/2018	Long Term Cap Gain Reinvest	AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST			\$37,608.53
12/20/2018	Reinvest Shares	EGFIX	EDGEWOOD GROWTH INSTL	9.968	\$28.28	-\$281.89
12/20/2018	Reinvest Shares	EGFIX	EDGEWOOD GROWTH INSTL	97.546	\$28.28	-\$2,758.59
12/20/2018	Reinvest Shares	AWIIX	CIBC ATLAS INCM OPPTY FD INST	44.228	\$10.64	-\$470.59
12/20/2018	Reinvest Shares	HHDFX	HAMLIN HIGH DIV EQTY INSTL	806.778	\$19.98	-\$16,119.42
12/20/2018	Reinvest Shares	AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	2,112.839	\$17.80	-\$37,608.53
12/19/2018	Reinvest Dividend	FLMVX	JPMORGAN MID CAP VALUE L			\$2,723.13
12/19/2018	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	82.17	\$33.14	-\$2,723.13
12/17/2018	Reinvest Dividend	HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I			\$613.11

12/17/2018	Long Term Cap Gain Reinvest	HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I			\$1,573.25
12/17/2018	Reinvest Shares	HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	62.562	\$9.80	-\$613.11
12/17/2018	Reinvest Shares	HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	160.536	\$9.80	-\$1,573.25
12/17/2018 as of 12/15/2018	Bank Interest		 BANK			\$57.98
12/12/2018	Reinvest Dividend	IVIQX	IVA INTL FD CL I			\$1,389.39
12/12/2018	Long Term Cap Gain Reinvest	IVIQX	IVA INTL FD CL I			\$4,635.70
12/12/2018	Short Term Cap Gain Reinvest	IVIQX	IVA INTL FD CL I			\$227.99
12/12/2018	Long Term Cap Gain Reinvest	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST			\$531.36
12/12/2018	Short Term Cap Gain Reinvest	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST			\$303.56
12/12/2018	Short Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L			\$174.46
12/12/2018	Long Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L			\$9,426.51
12/12/2018	Short Term Cap Gain Reinvest	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL			\$622.85
12/12/2018	Long Term Cap Gain Reinvest	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL			\$1,504.61
12/12/2018	Reinvest Shares	IVIQX	IVA INTL FD CL I	312.379	\$14.84	-\$4,635.70

12/12/2018	Reinvest Shares	IVIQX	IVA INTL FD CL I	15.363	\$14.84	-\$227.99
12/12/2018	Reinvest Shares	IVIQX	IVA INTL FD CL I	93.625	\$14.84	-\$1,389.39
12/12/2018	Reinvest Shares	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST	30.787	\$9.86	-\$303.56
12/12/2018	Reinvest Shares	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST	53.89	\$9.86	-\$531.36
12/12/2018	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	4.892	\$35.66	-\$174.46
12/12/2018	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	264.344	\$35.66	-\$9,426.51
12/12/2018	Cash Dividend	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL			\$516.30
12/12/2018	Reinvest Shares	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	23.189	\$26.86	-\$622.85
12/12/2018	Reinvest Shares	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	56.017	\$26.86	-\$1,504.61
12/11/2018	Reinvest Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$311.29
12/11/2018	Long Term Cap Gain Reinvest	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$3,378.58
12/11/2018	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	18.172	\$17.13	-\$311.29
12/11/2018	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	197.232	\$17.13	-\$3,378.58

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Accounts > History

Independent Investment Advisor*
 CIBC PRIVATE WEALTH ADVRS, INC
 PRIVATE WEALTH MANAGEMENT
 Contact your advisor

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Transactions

▼ Date	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
11/30/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,880.43
11/29/2018	Journal			JOURNAL TO [REDACTED]				-\$246,666.72
11/16/2018 as of 11/15/2018	Bank Interest			BANK INT [REDACTED] SCHWAB BANK				\$71.06
11/15/2018	Sell	Trade Details	MIEIX	MFS INSTL INTL EQUITY	3,549.704	\$23.54	\$15.00	\$83,545.03
11/15/2018	Sell	Trade Details	FLMVX	JPMORGAN MID CAP VALUE L	1,020.408	\$39.20	\$15.00	\$39,985.00
11/13/2018	Advisor Fee			TO ADVISOR				-\$3,557.99
10/31/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,738.44
10/16/2018 as of 10/15/2018	Bank Interest			BANK INT [REDACTED] SCHWAB BANK				\$64.30
09/28/2018	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL FOTV VIFI D I				\$327.74

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09/28/2018	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	17.052	\$19.22		-\$327.74
09/28/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,604.72
09/27/2018	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$740.20
09/17/2018 as of 09/15/2018	Bank Interest			BANK INT [REDACTED] SCHWAB BANK				\$60.06
08/31/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,892.29
08/22/2018	Advisor Fee			TO ADVISOR				-\$3,507.90
08/16/2018 as of 08/15/2018	Bank Interest			BANK INT [REDACTED] SCHWAB BANK				\$43.58
07/31/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,652.32
07/26/2018	Buy	Trade Details	EGFIX	EDGEWOOD GROWTH INSTL	2,130.682	\$35.20	\$15.00	-\$75,015.00
07/26/2018	Sell	Trade Details	VVPSX	VULCAN VALUE PARTNERS SMALL CAP FD	4,248.88	\$20.01		\$85,020.09
07/26/2018	Sell	Trade Details	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	2,559.727	\$29.30	\$15.00	\$74,985.00
07/16/2018 as of 07/15/2018	Bank Interest			BANK INT [REDACTED] SCHWAB BANK				\$19.91
06/29/2018	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$808.06
06/29/2018	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	43.189	\$18.71		-\$808.06
06/29/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$1,797.68
06/29/2018	Cash		HHDFX	HAMLIN HIGH DIV				\$5,113.69

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Dividend

EQUITY INSTL

06/28/2018	Cash Dividend	AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$571.57
06/18/2018 as of 06/15/2018	Bank Interest		BANK INT [REDACTED] SCHWAB BANK				\$18.25
05/31/2018	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$1,694.28
05/16/2018	Advisor Fee		TO ADVISOR				-\$3,527.82
05/16/2018 as of 05/15/2018	Bank Interest		BANK INT [REDACTED] SCHWAB BANK				\$18.40
04/30/2018	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$1,591.85
04/16/2018 as of 04/15/2018	Bank Interest		BANK INT [REDACTED] SCHWAB BANK				\$14.70
03/29/2018	Reinvest Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$536.11
03/29/2018	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	28.366	\$18.90		-\$536.11
03/29/2018	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$1,745.61
03/28/2018	Cash Dividend	AWIIX	AT INCM OPPTY FD INST				\$786.27
03/16/2018 as of 03/15/2018	Bank Interest		BANK INT [REDACTED] SCHWAB BANK				\$7.00
02/28/2018	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$1,610.66
02/16/2018 as of 02/15/2018	Bank Interest		[REDACTED] BANK				\$4.30
02/12/2018	Advisor Fee		TO ADVISOR				-\$3,587.59
01/31/2018	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD				\$1,609.08

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01/16/2018 as of 01/15/2018	Bank Interest		BANK INT [REDACTED] SCHWAB BANK				\$3.68
12/29/2017	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$1,757.02
12/28/2017	Reinvest Dividend	AWEIX	ATLANTIC TRUST DISC EQTY INST				\$4,582.62
12/28/2017	Cash Dividend	AWIIX	AT INCM OPPTY FD INST				\$702.94
12/28/2017	Cash Dividend	HHDFX	HAMLIN HIGH DIV EQTY INSTL				\$3,136.52
12/28/2017	Reinvest Shares	AWEIX	ATLANTIC TRUST DISC EQTY INST	237.811	\$19.27		-\$4,582.62
12/28/2017	Cash Dividend	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL				\$54.10
12/27/2017	Short Term Cap Gain Reinvest	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$226.43
12/27/2017	Long Term Cap Gain Reinvest	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$312.69

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Attachment G: Fauci 2018 278e Report, Section 7

Accounts > History



*Not affiliated with Schwab

Anthony J. Fauci
Revocable Trust
Transactions 2018

Transactions

▼ Date	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
01/02/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,699.8	\$1.00		-\$2,699.80
12/31/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$1,514.26
12/31/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,013.15
12/31/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$172.21
12/31/2018	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	329.88			
12/31/2018	Short Term Cap Gain		SWGXX	SCHWAB GOVT MONEY FUND				\$0.18
12/31/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	18,195.1	\$1.00		-\$18,195.10
12/28/2018	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$1,792.64
12/28/2018	Cash Dividend		AWEIX	CIBC ATLAS DISCIPLINED				\$16,402.46

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EQTY FD INST

12/28/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,115.39	\$1.00		-\$2,115.39
12/27/2018	Long Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$6,400.28
12/27/2018	Short Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$557.25
12/27/2018	Cash Dividend		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$2,115.39
12/27/2018	Reinvest Shares		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	262.199	\$24.41		-\$6,400.28
12/27/2018	Reinvest Shares		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	22.829	\$24.41		-\$557.25
12/24/2018	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	48,097.85	\$1.00		\$48,097.85
12/21/2018	Buy	Trade Details	AWIIX	CIBC ATLAS INCM OPPTY FD INST	5,701.996	\$10.52	\$15.00	-\$60,000.00
12/21/2018	Buy	Trade Details	AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	2,869.403	\$17.42	\$15.00	-\$50,000.00
12/21/2018	Sell	Trade Details	RPIFX	T ROWE PRICE INST FLOATING RATE FD	5,775.957	\$9.59	\$15.00	\$55,376.43
12/21/2018	Sell	Trade Details	BPIRX	BOSTON PARTNERS LONG SHORT RESEARCH INST	462.242	\$14.15	\$15.00	\$6,525.72
12/20/2018	Long Term Cap Gain Reinvest		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$888.24
12/20/2018	Long Term Cap Gain Reinvest		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST				\$56,962.34
12/20/2018	Reinvest Shares		AWIIX	CIBC ATLAS INCM OPPTY FD INST	83.481	\$10.64		-\$888.24
12/20/2018	Reinvest Shares		AWEIX	CIBC ATLAS DISCIPLINED	3,200.131	\$17.80		-\$56,962.34

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EQTY FD INST

12/20/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,494.02	\$1.00		-\$2,494.02
12/19/2018	Cash Dividend		FLMVX	JPMORGAN MID CAP VALUE L				\$2,494.02
12/19/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,337.97	\$1.00		-\$1,337.97
12/18/2018	Cash Dividend		HLMEX	HARDING LOEVNER INST EMRG MKTS CL I				\$1,337.97
12/14/2018	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	153,009.66	\$1.00		\$153,009.66
12/13/2018	Long Term Cap Gain Reinvest		MIEIX	MFS INSTL INTL EQUITY				\$133.75
12/13/2018	Long Term Cap Gain Reinvest		BPIRX	BOSTON PARTNERS LONG SHORT RESEARCH INST				\$6,799.58
12/13/2018	Cash Dividend		MIEIX	MFS INSTL INTL EQUITY				\$1,304.74
12/13/2018	Reinvest Shares		MIEIX	MFS INSTL INTL EQUITY	5.82	\$22.98		-\$133.75
12/13/2018	Cash Dividend		BPIRX	BOSTON PARTNERS LONG SHORT RESEARCH INST				\$108.83
12/13/2018	Reinvest Shares		BPIRX	BOSTON PARTNERS LONG SHORT RESEARCH INST	462.242	\$14.71		-\$6,799.58
12/13/2018	Sell	Trade Details	MIEIX	MFS INSTL INTL EQUITY	3,944.181	\$22.98	\$15.00	\$90,622.28
12/13/2018	Buy	Trade Details	AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	4,892.368	\$20.44	\$15.00	-\$100,015.00
12/13/2018	Sell	Trade Details	VSMAX	VANGUARD SMALL CAP INDEX ADMIRAL SHARE	1,142.857	\$67.28	\$15.00	\$76,876.42
12/13/2018	Buy	Trade Details	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	3,696.858	\$27.05	\$15.00	-\$100,015.00
12/13/2018	Sell	Trade Details	BPIRX	BOSTON PARTNERS LONG SHORT	8,709.93	\$14.71	\$15.00	\$128,108.07

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RESEARCH INST

12/13/2018	Buy	Trade Details	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV	21,514.63	\$11.62	-\$250,000.00
12/13/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	682.39	\$1.00	-\$682.39
12/12/2018	Short Term Cap Gain Reinvest		IVIQX	IVA INTL FD CL I			\$111.97
12/12/2018	Long Term Cap Gain Reinvest		IVIQX	IVA INTL FD CL I			\$2,276.79
12/12/2018	Long Term Cap Gain Reinvest		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST			\$293.72
12/12/2018	Short Term Cap Gain Reinvest		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST			\$167.80
12/12/2018	Long Term Cap Gain Reinvest		FLMVX	JPMORGAN MID CAP VALUE L			\$8,633.40
12/12/2018	Short Term Cap Gain Reinvest		FLMVX	JPMORGAN MID CAP VALUE L			\$159.79
12/12/2018	Reinvest Shares		IVIQX	IVA INTL FD CL I	153.423	\$14.84	-\$2,276.79
12/12/2018	Reinvest Shares		IVIQX	IVA INTL FD CL I	7.545	\$14.84	-\$111.97

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Accounts > History

Independent Investment Advisor*

CIBC PRIVATE WEALTH ADVRS, INC

 **PRIVATE WEALTH MANAGEMENT**

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Transactions

▼ Date	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
12/12/2018	Cash Dividend		IVIQX	IVA INTL FD CL I				\$682.39
12/12/2018	Reinvest Shares		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST	29.789	\$9.86		-\$293.72
12/12/2018	Reinvest Shares		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST	17.018	\$9.86		-\$167.80
12/12/2018	Reinvest Shares		FLMVX	JPMORGAN MID CAP VALUE L	242.103	\$35.66		-\$8,633.40
12/12/2018	Reinvest Shares		FLMVX	JPMORGAN MID CAP VALUE L	4.481	\$35.66		-\$159.79
12/12/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	260.64	\$1.00		-\$260.64
12/11/2018	Long Term Cap Gain Reinvest		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$2,828.81
12/11/2018	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$260.64
12/11/2018	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	165.138	\$17.13		-\$2,828.81

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EQUITY YIELD I

12/07/2018	Short Term Cap Gain Reinvest		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$14.95
12/07/2018	Reinvest Shares		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV	1.284	\$11.64	-\$14.95
12/03/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,608.67	\$1.00	-\$2,608.67
11/30/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$1,301.57
11/30/2018	Cash Dividend		FIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST			\$1,039.44
11/30/2018	Cash Dividend		RPIFX	T ROWE PRICE INST-FLOATING RATE FD			\$267.66
11/30/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	246,666.72	\$1.00	-\$246,666.72
11/29/2018	Journal			JOURNAL FRM 32202620			\$246,666.72
11/16/2018 as of 11/15/2018	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	21.07		
11/15/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	21,998.4	\$1.00	-\$21,998.40
11/14/2018	Journal			J7NORMAL			\$21,998.40
11/14/2018	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	4,877.16	\$1.00	\$4,877.16
11/13/2018	Advisor Fee			TO ADVISOR			-\$4,877.16
11/13/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	865.12	\$1.00	-\$865.12
11/12/2018	Cash Dividend		VCRRX	VERSUS CAP REAL ASSET FUND			\$865.12
11/01/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,445.51	\$1.00	-\$2,445.51

10/31/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$1,239.50
10/31/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST			\$960.97
10/31/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$245.04
10/30/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	24,894.71	\$1.00	-\$24,894.71
10/29/2018	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	24,878.61	\$1.00	\$24,878.61
10/29/2018	APZ Adjustment			EARLY APZ DIST 4L			\$16.10
10/16/2018 as of 10/15/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	31.27		
10/16/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,114.71	\$1.00	-\$1,114.71
10/15/2018	Cash Dividend		VC MIX	VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST			\$1,114.71
10/05/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	8.39	\$1.00	-\$8.39
10/04/2018 as of 10/03/2018	Short Term Cap Gain		SWZXX	SCH ADV CASH RESRV PREM			\$8.39
10/01/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2,453.39	\$1.00	-\$2,453.39
09/28/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$1,071.98
09/28/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST			\$887.04
09/28/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$218.53
09/28/2018	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL FOTV VIFI D I			\$275.84


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09/28/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,750.96	\$1.00	-\$1,750.96
09/27/2018	Cash Dividend		VSMAX	VANGUARD SMALL CAP INDEX ADMIRAL SHARE			\$353.83
09/27/2018	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST			\$1,397.13
09/18/2018 as of 09/17/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	57.84		
09/13/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	4,740	\$1.00	-\$4,740.00
09/12/2018	Journal			JOURNAL FRM			\$4,740.00
09/12/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	5,470	\$1.00	-\$5,470.00
09/11/2018	Journal			JOURNAL FRM			\$3,450.00
09/11/2018	Journal			JOURNAL FRM			\$2,020.00

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▼ Date	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
09/04/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2,496.75	\$1.00		-\$2,496.75
08/31/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$1,162.57
08/31/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,046.00
08/31/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$288.18
08/27/2018	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	90,015	\$1.00		\$90,015.00
08/24/2018	Buy	Trade Details	VSMAX	VANGUARD SMALL CAP INDEX ADMIRAL SHARE	1,142.857	\$78.75	\$15.00	-\$90,015.00
08/24/2018	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	15	\$1.00		\$15.00
08/23/2018	Sell	Trade Details	IVIQX	IVA INTL FD CL I	5,790.388	\$17.27	\$15.00	\$99,985.00
08/23/2018	Buy	Trade Details	PRSMX	T ROWE PRICE SUMMIT MUNI	8,561.644	\$11.68		-\$100,000.00

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08/23/2018	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	4,837.65	\$1.00		\$4,837.65
08/22/2018	Advisor Fee			TO ADVISOR				-\$4,837.65
08/16/2018 as of 08/15/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	172.36			
08/14/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	747.15	\$1.00		-\$747.15
08/13/2018	Cash Dividend		VCRRX	VERSUS CAP REAL ASSET FUND				\$747.15
08/01/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2,125.43	\$1.00		-\$2,125.43
07/31/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM.FUND INV				\$966.71
07/31/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$913.38
07/31/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$245.34
07/27/2018	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	108,668.22	\$1.00		\$108,668.22
07/26/2018	Buy	Trade Details	VCRRX	VERSUS CAP REAL ASSET FUND	3,932.363	\$25.43	\$15.00	-\$100,015.00
07/26/2018	Sell	Trade Details	VVPSX	VULCAN VALUE PARTNERS SMALL CAP FD	4,565.806	\$20.01		\$91,361.78
07/26/2018	Buy	Trade Details	VC MIX	VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST	3,595.829	\$27.81	\$15.00	-\$100,015.00
07/17/2018 as of 07/16/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	258.07			
07/02/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2,912.82	\$1.00		-\$2,912.82
06/29/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$991.73

06/29/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL			\$993.71
06/29/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$238.20
06/29/2018	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$689.18
06/29/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,078.85	\$1.00	-\$1,078.85
06/28/2018	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST			\$1,078.85
06/18/2018 as of 06/15/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	259.58		
06/07/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	10,210	\$1.00	-\$10,210.00
06/06/2018	Journal			JOURNAL FRM			\$3,450.00
06/06/2018	Journal			JOURNAL FRM			\$2,020.00
06/06/2018	Journal			JOURNAL FRM			\$4,740.00
06/01/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2,158.12	\$1.00	-\$2,158.12
05/31/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$984.10
05/31/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL			\$936.57
05/31/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$237.45
05/17/2018	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	5,015.02	\$1.00	\$5,015.02
05/16/2018	Advisor Fee			TO ADVISOR			-\$5,015.02
05/16/2018 as of	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	290.44		

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05/03/2018	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	100,000	\$1.00	\$100,000.00
05/02/2018	MoneyLink Transfer			Tfr ANTHONY S FAUCI			-\$100,000.00
05/01/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2,066.56	\$1.00	-\$2,066.56
04/30/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$969.28
04/30/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL			\$879.95
04/30/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$217.33
04/18/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	20,954	\$1.00	-\$20,954.00
04/17/2018	Journal			JOURNAL FRM			\$738.00


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▼ Date	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
04/17/2018	Journal			JOURNAL FRM				\$6,034.00
04/17/2018	Journal			JOURNAL ERM				\$14,182.00
04/17/2018 as of 04/16/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	244.91			
04/04/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	90,000	\$1.00		-\$90,000.00
04/02/2018	Sell	Trade Details	VVPSX	VULCAN VALUE PARTNERS SMALL CAP FD	4,538.578	\$19.83		\$90,000.00
04/02/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2,613.35	\$1.00		-\$2,613.35
03/29/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$960.41
03/29/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$964.93
03/29/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$226.72

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03/29/2018	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$461.29
03/29/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,484.11	\$1.00		-\$1,484.11
03/28/2018	Cash Dividend		AWIIX	AT INCM OPPTY FD INST				\$1,484.11
03/16/2018 as of 03/15/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	204.28			
03/07/2018	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	250,000	\$1.00		\$250,000.00
03/06/2018	Buy	Trade Details	BPIRX	BOSTON PARTNERS LONG SHORT RESEARCH INST	8,709.93	\$17.22	\$15.00	-\$150,000.00
03/06/2018	Buy	Trade Details	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV	8,554.32	\$11.69		-\$100,000.00
03/06/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	129,000	\$1.00		-\$129,000.00
03/05/2018	Funds Received	Deposit Details		FUNDS RECEIVED				\$129,000.00
03/01/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,904.74	\$1.00		-\$1,904.74
02/28/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$814.18
02/28/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL				\$890.35
02/28/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$200.21
02/16/2018 as of 02/15/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	247.33			
02/13/2018	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	4,788.2	\$1.00		\$4,788.20
02/12/2018	Advisor Fee			TO ADVISOR				-\$4,788.20
02/01/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,944.50	\$1.00		-\$1,944.50

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01/13/2019	Buy	Trade Details	Symbol	Security Name	Quantity	Price	Total
				RESRV PREM			
01/31/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$729.58
01/31/2018	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CORP BD INSTL			\$889.45
01/31/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$225.66
01/17/2018 as of 01/16/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	127.81		

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Attachment H: Fauci 2018 278e Report, Section 7

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IRA Contributory
Transactions 2018



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Transactions

▼ Date	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
12/31/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$47.27
12/28/2018	Reinvest Dividend		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST				\$2,755.50
12/28/2018	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$319.33
12/28/2018	Reinvest Shares		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	156.563	\$17.60		-\$2,755.50
12/27/2018	Long Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$5,102.09
12/27/2018	Short Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$444.22
12/27/2018	Reinvest Shares		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	18.198	\$24.41		-\$444.22
12/27/2018	Reinvest Shares		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	209.016	\$24.41		-\$5,102.09

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12/27/2018	Cash Dividend		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$1,686.32
12/21/2018	Buy	Trade Details	AWIIX	CIBC ATLAS INCM OPPTY FD INST	1,899.715	\$10.52	\$15.00	-\$20,000.00
12/21/2018	Sell	Trade Details	RPIFX	T ROWE PRICE INST FLOATING RATE FD	1,585.813	\$9.59	\$15.00	\$15,192.95
12/20/2018	Long Term Cap Gain Reinvest		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$115.98
12/20/2018	Long Term Cap Gain Reinvest		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST				\$10,137.30
12/20/2018	Long Term Cap Gain		EGFIX	EDGEWOOD GROWTH INSTL				\$1,103.44
12/20/2018	Short Term Cap Gain		EGFIX	EDGEWOOD GROWTH INSTL				\$112.76
12/20/2018	Reinvest Shares		AWIIX	CIBC ATLAS INCM OPPTY FD INST	10.9	\$10.64		-\$115.98
12/20/2018	Reinvest Shares		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	569.511	\$17.80		-\$10,137.30
12/19/2018	Cash Dividend		FLMVX	JPMORGAN MID CAP VALUE L				\$1,162.21
12/17/2018	Long Term Cap Gain Reinvest		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$524.99
12/17/2018	Reinvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	53.57	\$9.80		-\$524.99
12/17/2018	Cash Dividend		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$204.59
12/17/2018 as of 12/15/2018	Bank Interest			<div></div> BANK				\$2.18
12/13/2018	Long Term Cap Gain Reinvest		MIEIX	MFS INSTL INTL EQUITY				\$20.05

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12/13/2018	Reinvest Shares		MIEIX	MFS INSTL INTL EQUITY	0.872	\$22.98		-\$20.05
12/13/2018	Cash Dividend		MIEIX	MFS INSTL INTL EQUITY				\$195.54
12/12/2018	Short Term Cap Gain Reinvest		FLMVX	JPMORGAN MID CAP VALUE L				\$74.46
12/12/2018	Long Term Cap Gain Reinvest		FLMVX	JPMORGAN MID CAP VALUE L				\$4,023.17
12/12/2018	Reinvest Shares		FLMVX	JPMORGAN MID CAP VALUE L	2.088	\$35.66		-\$74.46
12/12/2018	Reinvest Shares		FLMVX	JPMORGAN MID CAP VALUE L	112.82	\$35.66		-\$4,023.17
12/11/2018	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$120.22
12/11/2018	Long Term Cap Gain Reinvest		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$1,304.82
12/11/2018	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	7.018	\$17.13		-\$120.22
12/11/2018	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	76.172	\$17.13		-\$1,304.82
11/30/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$73.48
11/16/2018 as of 11/15/2018	Bank Interest			SCHWAB BANK				\$1.78
11/14/2018	Tax Withholding			DC STATE TAX W/H IRA7				-\$2,444.27
11/14/2018	Tax Withholding			FED INC TAX WHLD IRA7				-\$2,715.85
11/14/2018	Journal			J7NORMAL				-\$21,998.40
11/12/2018	Sell	Trade Details	AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	1,436.094	\$20.89	\$15.00	\$29,985.00
10/31/2018	Cash		RPIFX	T ROWE PRICE				\$67.27

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Dividend

INST FLOATING
RATE FD

10/16/2018 as of 10/15/2018	Bank Interest			 SCHWAB BANK			\$0.29
10/10/2018 as of 10/09/2018	Interest Adj						\$0.05
10/09/2018	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	5,905.89	\$1.00	\$5,905.89
10/09/2018	APZ Adjustment			EARLY APZ DIST 4L			\$6.09
10/05/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2.09	\$1.00	-\$2.09
10/04/2018 as of 10/03/2018	Short Term Cap Gain		SWZXX	SCH ADV CASH RESRV PREM			\$2.09
10/01/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	60	\$1.00	-\$60.00
09/28/2018	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$126.57
09/28/2018	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	6.585	\$19.22	-\$126.57
09/28/2018	Cash Dividend		RPIFX	T-ROWE PRICE INST FLOATING RATE FD			\$60.00

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▼ Date	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
09/28/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	182.43	\$1.00		-\$182.43
09/27/2018	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$182.43
09/18/2018 as of 09/17/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	8.29			
09/04/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	79.12	\$1.00		-\$79.12
08/31/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$79.12
08/16/2018 as of 08/15/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	7.69			
08/01/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	67.37	\$1.00		-\$67.37
07/31/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$67.37
07/27/2018	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,674.96	\$1.00		\$1,674.96
07/26/2018	Buy	Trade	EGFIX	EDGEWOOD	852.273	\$35.20	\$15.00	-\$30,015.00

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Details

GROWTH INSTL

07/26/2018	Sell	Trade Details	VVPSX	VULCAN VALUE PARTNERS SMALL CAP FD	1,416.294	\$20.01	\$28,340.04
07/17/2018 as of 07/16/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	8.89		
07/02/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	65.39	\$1.00	-\$65.39
06/29/2018	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$312.07
06/29/2018	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	16.679	\$18.71	-\$312.07
06/29/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$65.39
06/29/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	140.87	\$1.00	-\$140.87
06/28/2018	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST			\$140.87
06/18/2018 as of 06/15/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	9.19		
06/01/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	65.17	\$1.00	-\$65.17
05/31/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$65.17
05/16/2018 as of 05/15/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	8		
05/01/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	59.67	\$1.00	-\$59.67
04/30/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$59.67
04/17/2018 as of 04/16/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	7.45		
04/02/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	62.27	\$1.00	-\$62.27
03/29/2018	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$207.05

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03/29/2018	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	10.955	\$18.90	-\$207.05
03/29/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$62.27
03/29/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	193.79	\$1.00	-\$193.79
03/28/2018	Cash Dividend		AWIIX	AT INCM OPPTY FD INST			\$193.79
03/16/2018 as of 03/15/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	5.05		
03/01/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	54.96	\$1.00	-\$54.96
02/28/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$54.96
02/16/2018 as of 02/15/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	5.4		
02/01/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	61.96	\$1.00	-\$61.96
01/31/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$61.96
01/17/2018 as of 01/16/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	2.71		
01/02/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	60.03	\$1.00	-\$60.03
12/29/2017	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$60.03
12/29/2017	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	13.52		
12/29/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	173.25	\$1.00	-\$173.25
12/28/2017	Reinvest Dividend		AWEIX	ATLANTIC TRUST DISC EQTY INST			\$1,456.05
12/28/2017	Cash Dividend		AWIIX	AT INCM OPPTY FD INST			\$173.25
12/28/2017	Reinvest		AWEIX	ATLANTIC TRUST	75.56	\$19.27	-\$1,456.05

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Shares


DISC EQTY INST


12/28/2017	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	1,349.49	\$1.00	-\$1,349.49
12/27/2017	Short Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND			\$180.50
12/27/2017	Long Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND			\$249.27
12/27/2017	Reinvest Shares		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	8.762	\$28.45	-\$249.27
12/27/2017	Reinvest Shares		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	6.344	\$28.45	-\$180.50

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Attachment I: Fanci 2018 278e Report, Section 7

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Revocable Trust

Transactions 2018

Independent Investment
Advisor*

CIBC PRIVATE WEALTH ADVRS, INC

 PRIVATE WEALTH
MANAGEMENT

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Transactions

▼ Date	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
01/02/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	795.03	\$1.00		-\$795.03
12/31/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$714.06
12/31/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$80.94
12/31/2018	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	51.07			
12/31/2018	Short Term Cap Gain		SWGXX	SCHWAB GOVT MONEY FUND				\$0.03
12/31/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	828.27	\$1.00		-\$828.27
12/28/2018	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$828.27
12/28/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	4,986.96	\$1.00		-\$4,986.96
12/27/2018	Cash Dividend		TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST				\$3,567.00

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12/27/2018	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD INST				\$1,419.96
12/24/2018	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,924.37	\$1.00		\$2,924.37
12/21/2018	Sell	Trade Details	BCAIX	BOSTON COMMON ESG IMPACT INTL FD	7,722.987	\$25.52	\$15.00	\$197,075.63
12/21/2018	Buy	Trade Details	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST	10,734.568	\$18.63	\$15.00	-\$200,000.00
12/21/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	151.45	\$1.00		-\$151.45
12/20/2018	Long Term Cap Gain Reinvest		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$536.29
12/20/2018	Long Term Cap Gain Reinvest		PXSIX	PAX SMALL CAP INST				\$8,021.39
12/20/2018	Short Term Cap Gain Reinvest		PXSIX	PAX SMALL CAP INST				\$1,077.11
12/20/2018	Reinvest Shares		AWIIX	CIBC ATLAS INCM OPPTY FD INST	50.403	\$10.64		-\$536.29
12/20/2018	Cash Dividend		PXSIX	PAX SMALL CAP INST				\$151.45
12/20/2018	Reinvest Shares		PXSIX	PAX SMALL CAP INST	90.819	\$11.86		-\$1,077.11
12/20/2018	Reinvest Shares		PXSIX	PAX SMALL CAP INST	676.34	\$11.86		-\$8,021.39
12/20/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	5,361.21	\$1.00		-\$5,361.21
12/19/2018	Cash Dividend		BCAIX	BOSTON COMMON ESG IMPACT INTL FD				\$2,867.10
12/19/2018	Cash Dividend		FLMVX	JPMORGAN MID CAP VALUE L				\$2,494.11
12/14/2018	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	19,690.47	\$1.00		\$19,690.47

12/13/2018 Buy Trade AWIIX CIBC ATLAS 5,371.531 \$11.17 \$15.00 \$80,015.00

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12/13/2018	Buy	Trade Details	RPFX	INCM OPPTY FD INST	5,180.025	\$9.71	\$15.00	\$50,283.04
12/13/2018	Sell	Trade Details	RPFX	T ROWE PRICE INST FLOATING RATE FD	5,180.025	\$9.71	\$15.00	\$50,283.04
12/13/2018	Buy	Trade Details	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV	4,302.926	\$11.62		-\$50,000.00
12/13/2018	Sell	Trade Details	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	2,316.743	\$17.29	\$15.00	\$40,041.49
12/12/2018	Long Term Cap Gain Reinvest		FLMVX	JPMORGAN MID CAP VALUE L				\$8,633.73
12/12/2018	Short Term Cap Gain Reinvest		FLMVX	JPMORGAN MID CAP VALUE L				\$159.79
12/12/2018	Long Term Cap Gain Reinvest		TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST				\$6,831.97
12/12/2018	Short Term Cap Gain Reinvest		TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST				\$696.18
12/12/2018	Reinvest Shares		FLMVX	JPMORGAN MID CAP-VALUE L	4.481	\$35.66		-\$159.79
12/12/2018	Reinvest Shares		FLMVX	JPMORGAN MID CAP VALUE L	242.112	\$35.66		-\$8,633.73
12/12/2018	Reinvest Shares		TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST	34.295	\$20.30		-\$696.18
12/12/2018	Reinvest Shares		TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST	336.55	\$20.30		-\$6,831.97
12/12/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	219.13	\$1.00		-\$219.13
12/11/2018	Long Term Cap Gain Reinvest		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$2,378.28
12/11/2018	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$219.13
12/11/2018	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	138.837	\$17.13		-\$2,378.28

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EQUITY YIELD I

12/07/2018	Short Term Cap Gain Reinvest		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$7.98
12/07/2018	Reinvest Shares		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV	0.686	\$11.64	-\$7.98
12/03/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	935.24	\$1.00	-\$935.24
11/30/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$695.19
11/30/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$240.05
11/20/2018	Long Term Cap Gain Reinvest		PRILX	PARNASSUS CORE EQTY FD INST			\$37,928.17
11/20/2018	Reinvest Shares		PRILX	PARNASSUS CORE EQTY FD INST	926.207	\$40.95	-\$37,928.17
11/16/2018 as of 11/15/2018	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	24.27		
11/14/2018	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,393.66	\$1.00	\$3,393.66

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CIBC PRIVATE WEALTH ADVRS, INC

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Transactions

▼ Date	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
11/13/2018	Advisor Fee			TO ADVISOR				-\$3,393.66
11/01/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	881.74	\$1.00		-\$881.74
10/31/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$661.98
10/31/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$219.76
10/30/2018	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	31,840.83	\$1.00		-\$31,840.83
10/29/2018	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	31,820.23	\$1.00		\$31,820.23
10/29/2018	APZ Adjustment			EARLY APZ DIST 4L				\$20.60
10/16/2018 as of 10/15/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	43.22			
10/05/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	11.24	\$1.00		-\$11.24
10/04/2018	Short		SWZXX	SCH ADV CASH				\$11.24

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10/07/2018
as of
10/03/2018Short
Term Cap
Gain

SWZXX

SCH ADV CASH
RESRV PREM

2,576.08

10/01/2018

Buy

Trade
Details

SWZXX

SCH ADV CASH
RESRV PREM

2,576.08

\$1.00

-\$2,576.08

09/28/2018

Cash
Dividend

PRSMX

T ROWE PRICE
SUMMIT MUNI
INTERM FUND
INV

\$572.52

09/28/2018

Cash
Dividend

RPIFX

T ROWE PRICE
INST FLOATING
RATE FD

\$195.98

09/28/2018

Cash
Dividend

EPSYX

MAINSTAY
EPOCH GLOBAL
EQTY YIELD I

\$231.91

09/28/2018

Cash
Dividend

PRILX

PARNASSUS
CORE EQTY FD
INST

\$1,575.67

09/28/2018

Buy

Trade
Details

SWZXX

SCH ADV CASH
RESRV PREM

437.99

\$1.00

-\$437.99

09/27/2018

Cash
Dividend

AWIIX

CIBC ATLAS
INCM OPPTY FD
INST

\$437.99

09/18/2018
as of
09/17/2018Cash
Dividend

SWZXX

SCH ADV CASH
RESRV PREM

42.5

09/04/2018

Buy

Trade
Details

SWZXX

SCH ADV CASH
RESRV PREM

973.09

\$1.00

-\$973.09

08/31/2018

Cash
Dividend

PRSMX

T ROWE PRICE
SUMMIT MUNI
INTERM FUND
INV

\$714.63

08/31/2018

Cash
Dividend

RPIFX

T ROWE PRICE
INST FLOATING
RATE FD

\$258.46

08/23/2018

Sell

Trade
Details

SWZXX

SCH ADV CASH
RESRV PREM

3,287.49

\$1.00

\$3,287.49

08/22/2018

Advisor
Fee

TO ADVISOR

-\$3,287.49

08/16/2018
as of
08/15/2018Cash
Dividend

SWZXX

SCH ADV CASH
RESRV PREM

38.72

08/01/2018

Buy

Trade
Details

SWZXX

SCH ADV CASH
RESRV PREM

843.44

\$1.00

-\$843.44

07/31/2018

Cash
Dividend

PRSMX

T ROWE PRICE
SUMMIT MUNI
INTERM FUND
INV

\$623.41

07/31/2018

Cash

RPIFX

T ROWE PRICE

\$220.03

1/13/2019

Print

Dividend				INST FLOATING RATE FD			
07/17/2018 as of 07/16/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	36.06		
07/02/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	2,743.88	\$1.00	-\$2,743.88
06/29/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$639.52
06/29/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$213.60
06/29/2018	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$579.42
06/29/2018	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD INST			\$1,311.34
06/29/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	338.21	\$1.00	-\$338.21
06/28/2018	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST			\$338.21
06/18/2018 as of 06/15/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	35.51		
06/01/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	847.56	\$1.00	-\$847.56
05/31/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$634.62
05/31/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$212.94
05/17/2018	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	3,253.82	\$1.00	\$3,253.82
05/16/2018	Advisor Fee			TO ADVISOR			-\$3,253.82
05/16/2018 as of 05/15/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	33.71		
05/01/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	819.99	\$1.00	-\$819.99
04/30/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI			\$625.08


INTERM FUND
INV


04/30/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$194.91
04/17/2018 as of 04/16/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	29.72		
04/02/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	3,298.02	\$1.00	-\$3,298.02
03/29/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$648.31
03/29/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD			\$203.34
03/29/2018	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD			\$387.82

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Transactions

▼ Date	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
03/29/2018	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD INST				\$2,058.55
03/29/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	465.26	\$1.00		\$465.26
03/28/2018	Cash Dividend		AWIIX	AT INCM OPPTY FD INST				\$465.26
03/16/2018 as of 03/15/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	18.89			
03/01/2018	Buy	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	841.89	\$1.00		-\$841.89
02/28/2018	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$662.34
02/28/2018	Cash Dividend		RPIFX	T ROWE PRICE INST FLOATING RATE FD				\$179.55
02/16/2018 as of 02/15/2018	Cash Dividend		SWZXX	SCH ADV CASH RESRV PREM	21.81			
02/13/2018	Sell	Trade Details	SWZXX	SCH ADV CASH RESRV PREM	3,286.29	\$1.00		\$3,286.29

Attachment J: Fauci 2018 278e Report, Section 7

Accounts > History

Independent Investment
Advisor*

CIBC PRIVATE WEALTH ADVRS, INC

 PRIVATE WEALTH
MANAGEMENT

Contact your advisor

*Not affiliated with Schwab

IRA Contributory
Transactions 2018

Transactions

▼ Date	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
12/28/2018	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$74.43
12/27/2018	Cash Dividend		TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST				\$610.78
12/27/2018	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD INST				\$25.51
12/20/2018	Long Term Cap Gain Reinvest		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$48.19
12/20/2018	Reinvest Shares		AWIIX	CIBC ATLAS INCM OPPTY FD INST	4.529	\$10.64		-\$48.19
12/19/2018	Cash Dividend		BCAIX	BOSTON COMMON ESG IMPACT INTL FD				\$234.17
12/17/2018 as of 12/15/2018	Bank Interest			BANK INT SCHWAB BANK				\$0.12
12/12/2018	Long Term Cap Gain Reinvest		TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST				\$3,866.75

1/13/2019

Print

12/12/2018	Short Term Cap Gain Reinvest		TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST				\$394.02
12/12/2018	Reinvest Shares		TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST	19.41	\$20.30		-\$394.02
12/12/2018	Reinvest Shares		TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST	190.48	\$20.30		-\$3,866.75
11/20/2018	Long Term Cap Gain Reinvest		PRILX	PARNASSUS CORE EQTY FD INST				\$681.28
11/20/2018	Reinvest Shares		PRILX	PARNASSUS CORE EQTY FD INST	16.637	\$40.95		-\$681.28
11/16/2018 as of 11/15/2018	Bank Interest			BANK INT [REDACTED] SCHWAB BANK				\$0.12
10/16/2018 as of 10/15/2018	Bank Interest			BANK INT [REDACTED] SCHWAB BANK				\$0.10
09/28/2018	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD INST				\$28.30
09/27/2018	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$75.80
09/17/2018 as of 09/15/2018	Bank Interest			BANK INT [REDACTED] SCHWAB BANK				\$0.30
08/23/2018	Buy	Trade Details	PRILX	PARNASSUS CORE EQTY FD INST	205.539	\$46.22	\$15.00	-\$9,515.00
08/23/2018	Sell	Trade Details	PXSIX	PAX SMALL CAP INST	282.336	\$16.91	\$15.00	\$4,759.30
08/16/2018 as of 08/15/2018	Bank Interest			BANK INT [REDACTED] SCHWAB BANK				\$0.96
07/16/2018 as of 07/15/2018	Bank Interest			BANK INT [REDACTED] SCHWAB BANK				\$0.85
06/28/2018	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$58.53
06/18/2018 as of	Bank Interest			BANK INT [REDACTED]				\$0.66

1/13/2019

Print

06/15/2018

SCHWAB BANK

05/16/2018 as of 05/15/2018	Bank Interest		BANK INT [REDACTED] SCHWAB BANK			\$0.63
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04/16/2018 as of 04/15/2018	Bank Interest		BANK INT [REDACTED] SCHWAB BANK			\$0.58
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03/28/2018	Cash Dividend	AWIIX	AT INCM OPPTY FD INST			\$80.52
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03/16/2018 as of 03/15/2018	Bank Interest		BANK INT [REDACTED] SCHWAB BANK			\$0.46
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02/16/2018 as of 02/15/2018	Bank Interest		BANK INT [REDACTED] BANK			\$0.51
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01/16/2018 as of 01/15/2018	Bank Interest		BANK INT [REDACTED] SCHWAB BANK			\$0.45
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12/28/2017	Cash Dividend	AWIIX	AT INCM OPPTY FD INST			\$71.99
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12/27/2017	Long Term Cap Gain Reinvest	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST			\$5,945.36
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12/27/2017	Short Term Cap Gain Reinvest	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST			\$4.06
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12/27/2017	Reinvest Shares	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST	0.175	\$23.20	-\$4.06
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12/27/2017	Reinvest Shares	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST	256.266	\$23.20	-\$5,945.36
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12/27/2017	Cash Dividend	TROCX	TOUCHSTONE SUSTAINABILIT & IMPACT EQTY INST			\$436.58
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12/21/2017	Long Term Cap Gain Reinvest	PXSIX	PAX SMALL CAP INST			\$17.15
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12/21/2017	Cash Dividend	PXSIX	PAX SMALL CAP INST			\$5.13
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12/21/2017	Reinvest Shares	PXSIX	PAX SMALL CAP INST	1.042	\$16.46	-\$17.15
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12/19/2017	Cash	BCAIX	BOSTON			\$227.81
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Executive Branch Personnel Public Financial Disclosure Report(OGE Form 278e)

Filer's Information

FAUCI, Anthony S.

NIAD/OD -- Director, National Institute of Allergy and Infectious Diseases, DHHS/NIH

Date of Appointment: 2/1/1985

Other Federal Government Positions Held During the Preceding 12 Months:

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ FAUCI, Anthony S [electronically signed on 4/21/2020 by FAUCI, Anthony S In NEES]

Agency Ethics Official Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ TABAK, Lawrence Allan [electronically signed on 5/18/2020 by TABAK, Lawrence Allan In NEES]

Other review conducted by

U.S office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	NAME	CITY, STATE	ORG TYPE	POSITION	FROM	TO
1	Doris Duke Charitable Foundation (DDCF) (Filer Changes)	New York, NY	Foundation	Member	10/1996	Present
2	McGraw-Hill Publishing Company (Filer Changes)	Burr Ridge, IL	Publisher/Journal	Editor, Associate	11/1983	Present

2. Filer's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Jackson-Fillmore (Italian Restaurant), Partnership or Joint Venture, Restaurant), San Francisco, CA	N/A	\$1,001 - \$15,000		None
2	McGraw-Hill Publishers, Inc. - New York, New York, Book Royalty for editing "Harrison's Principles of Internal Medicine"	N/A	None	Rents and Royalties	\$100,001 - \$1,000,000
3	A Attachment: 2019 - Filer - Revocable Trust - Account Summary				
4	B Attachment: 2019 - Filer - Defined Benefit Plan - Account Summary				
5	C Attachment: 2019 - Filer - Contributory IRA - Account Summary				
6	D Attachment: 2019 - Spouse - Revocable Trust - Account Summary				

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a year

None

5. Spouse's Employment Assets and Income

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Dreyfus Liquid Assets Class I - Money Market, Mutual Fund, Investment Fund, or Pool (Filer Changes)	Y	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
2	Learning Quest 529 Education Plan for Child #3: Moderate track, Short-term Portfolio, Mutual Fund, Investment Fund, or Pool	Y	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	F Attachment: 2019 - Spouse - IRA Contributory - Transactions			
2	G Attachment: 2019 - Spouse - Revocable Trust - Transactions			
3	H Attachment: 2019 - Filer - Defined Benefit Plan - Transactions			
4	I Attachment: 2019 - Filer - IRA Contributory - Transactions			
5	J Attachment: 2019 - Filer - Revocable Trust - Transactions			

8. Liabilities

None

9. Gifts and Travel Reimbursements

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION	VALUE
1	Lurie Prize - Foundation for NIH (Filer Changes)	Bethesda,, MD	WAG - Attended the 2019 Awards Ceremony and served as presenter of the Lurie Prize in Biomedical Research on 03/13/2019 in Washington, DC	\$500

2	Reimbursement expense, Doris Duke Charitable Foundation (DDCF) (Filer Changes)	New York, NY	Reimbursement for travel to New York City on 11/11/2019 to attend meeting of the Board of Directors of the Doris Duke Charitable Foundation	\$422
3	Reimbursement expense, McGraw-Hill Publishing Company (Filer Changes)	Burr Ridge, IL	Travel expense reimbursement for trip to La Jolla, CA to attend meeting of the Editorial Board of Harrison's Principles of Internal Medicine (McGraw-Hill Publishing) from 07/07/2019 to 07/13/2019	\$6328
4	Research!America (Filer Changes)	Washington, DC	WAG - Attended Annual Advocacy Awards Dinner on March 13, 2019 in Washington, DC.	\$500

Endnotes

COVER PAGE COMMENTS

NEO Reviewer - DAVID L HARRIS: (05/01/2020 7:41 a.m.)

Initial Review 4/28/20 DHarris additional info requested from filer on 4/28/20 - additional info received from filer 4/30/20. Revisions made pursuant to filer. Compliant with applicable rules. DHarris

Attachment A: Fauci 2019 278e Report, Section 2



Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
 U/A DTD 03/19/2014

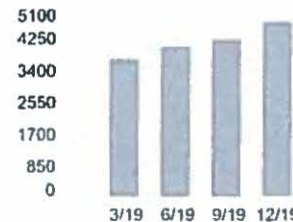
Account Number

Statement Period
 December 1-31, 2019

Account Value as of 12/31/2019: \$ 4,655,570.16

Change in Account Value

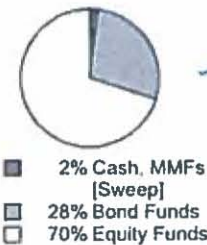
	This Period	Year to Date	Account Value [in Thousands]
Starting Value	\$ 4,558,522.32	\$ 3,358,373.72	
Credits	58,091.88	872,456.84	
Debits	0.00	(121,033.81)	
Transfer of Securities (In/Out)	0.00	0.00	
Income Reinvested	(31,380.31)	(33,443.87)	
Change in Value of Investments	70,336.27	579,217.28	
Ending Value on 12/31/2019	\$ 4,655,570.16	\$ 4,655,570.16	
Total Change in Account Value	\$ 97,047.84	\$ 1,297,196.44	



Asset Composition

	Market Value	% of Account Assets
Cash and Money Market Funds [Sweep]	\$ 82,610.22	2%
Bond Funds	1,307,853.52	28%
Equity Funds	3,265,106.42	70%
Total Assets Long	\$ 4,655,570.16	
Total Account Value	\$ 4,655,570.16	100%

Overview



**PRIVATE WEALTH
 MANAGEMENT**

Your Independent Investment Advisor is not affiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor



Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
December 1-31, 2019

Gain or (Loss) Summary	Realized Gain or (Loss) This Period		Unrealized Gain or (Loss)
	Short Term	Long Term	
All Investments	\$0.00	\$0.00	\$663,589.88
Values may not reflect all of your gains/losses. Cost basis may change and be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional gain or (loss) information refer to Terms and Conditions.			

Income Summary	This Period		Year to Date	
	Federally Tax-Exempt ¹	Federally Taxable	Federally Tax-Exempt ¹	Federally Taxable
Money Funds Dividends	0.00	359.02	0.00	2,422.58
Cash Dividends	1,896.46	24,957.49	21,753.85	51,872.39
Total Capital Gains Distributions	0.00	30,878.91	0.00	30,878.91
Total Income	1,896.46	56,195.42	21,753.85	85,173.88

¹Certain income in this category may qualify for state tax exemption; consult your tax advisor.

Cash Transactions Summary

	This Period	Year to Date
Starting Cash*	\$ 305,569.63	\$ 121,630.72
Deposits and other Cash Credits	0.00	765,529.11
Investments Sold	0.00	115,757.76
Dividends and Interest	58,091.88	106,927.73
Withdrawals and other Debits	0.00	(100,000.00)
Investments Purchased	(281,051.29)	(906,201.29)
Fees and Charges	0.00	(21,033.81)
Total Cash Transaction Detail	(222,959.41)	(39,020.50)
Ending Cash*	\$ 82,610.22	\$ 82,610.22

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.



Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
December 1-31, 2019

Investment Detail - Cash and Money Market Funds [Sweep]

Cash	Starting Balance	Ending Balance	% of Account Assets
Cash	3,133.94	3,114.07	<1%
Total Cash	3,133.94	3,114.07	<1%

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCHWAB GOVT MONEY FUND: SWGXX	79,496.1500	1.0000	79,496.15	1.10%	2%
Total Money Market Funds [Sweep]			79,496.15		2%
Total Cash and Money Market Funds [Sweep]			82,610.22		2%

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
PIMCO INVESTMENT GRADE CREDIT BD INST SYMBOL: PIGIX	33,178.5020	10.92000	362,309.24	8%	10.63	352,777.93	9,531.31
T ROWE PRICE SUMMIT MUNI INTERM INV SYMBOL: PRSMX	77,886.6790	12.14000	945,544.28	20%	11.82	918,072.82	27,471.46
Total Bond Funds	111,065.1810		1,307,853.52	28%		1,270,850.75	37,002.77



Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
December 1-31, 2019

Investment Detail - Mutual Funds (continued)

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
CIBC ATLAS DISCIPLINED EQTY FD INST SYMBOL: AWEIX	53,058.3870	22.71000	1,204,955.97	26%	15.84	814,311.39	390,644.58
CIBC ATLAS INCM OPPTY FD INST SYMBOL: AWIIX	24,290.5390	12.82000	311,404.71	7%	10.33	250,888.24	60,516.47
CIBC ATLAS MID CAP EQTY FD INST SYMBOL: AWMIX	14,395.6420	17.44000	251,060.00	5%	12.63	181,860.76	69,199.24
HARDING LOEVNER INST EMRG MKTS CL I SYMBOL: HLMEX	12,125.6750	22.58000	273,797.74	6%	18.97	230,030.00	43,767.74
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	5,166.5720	39.67000	204,957.91	4%	33.61	173,655.98	31,301.93
MAINSTAY EPOCH GLOBAL EQTY YIELD I SYMBOL: EPSYX	5,604.5050	19.06000	106,821.87	2%	18.06	99,097.99	7,723.88
MATTHEWS PACIFIC TIGER FD INSTL SYMBOL: MIPTX	8,346.7970	28.71000	239,636.54	5%	27.78	231,877.79	7,758.75
MFS INSTL INTL EQUITY SYMBOL: MIEIX	7,199.4240	27.86000	200,575.95	4%	27.78	200,015.00	560.95



Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2019

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
TWEEDY BROWNE GLOBAL VAL UE FUND SYMBOL: TBGVX	5,711.4860	27.99000	159,864.49	3%	27.65	157,946.57	1,917.92
VANGUARD SMALL CAP INDEX ADMIRAL SHARE SYMBOL: VSMAX	1,365.0010	79.37000	108,340.13	2%	73.27	100,015.00	8,325.13
VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST SYMBOL: VCMIX	3,595.8290	28.41000	102,157.50	2%	27.75	99,783.91	2,373.59
VERSUS CAP REAL ASSET FUND SYMBOL: VCRRX	3,932.3630	25.82000	101,533.61	2%	25.19	99,036.68	2,496.93
Total Equity Funds	144,792.2200		3,265,106.42	70%		2,638,519.31	626,587.11
Total Mutual Funds	255,857.4010		4,572,959.94	98%		3,909,370.06	663,589.88
Total Investment Detail							4,655,570.16
Total Account Value							4,655,570.16
Total Cost Basis							3,909,370.06



Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2019

Transaction Detail - Purchases & Sales

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/10/19	12/10/19	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	53.5520	18.5700	(994.46)
12/12/19	12/12/19	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	194.3850	39.5900	(7,695.70)
12/12/19	12/12/19	Reinvested Shares	TWEEDY BROWNE GLOBAL VAL UE FUND: TBGVX	5.1400	27.7000	(142.38)
12/12/19	12/12/19	Reinvested Shares	TWEEDY BROWNE GLOBAL VAL UE FUND: TBGVX	11.1030	27.7000	(307.54)
12/17/19	12/17/19	Reinvested Shares	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	239.6410	28.4500	(6,817.79)
12/19/19	12/19/19	Reinvested Shares	CIBC ATLAS DISCIPLINED EQTY FD INST: AWEIX	663.8790	22.6900	(15,063.42)
12/24/19	12/23/19	Bought	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	2,630.1950	19.0100	(50,015.00)
12/24/19	12/23/19	Bought	MFS INSTL INTL EQUITY: MIEIX	7,199.4240	27.7800	(200,015.00)
Total Equity Funds Activity						(281,051.29)
Total Purchases & Sales						(281,051.29)

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction-Process Date	Date	Activity	Description	Credit/(Debit)
12/10/19	12/10/19	LT Cap Gain Rein	MAINSTAY EPOCH GLOBAL: EPSYX	994.46
12/10/19	12/10/19	Cash Dividend	MAINSTAY EPOCH GLOBAL: EPSYX	248.89



Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
 U/A DTD 03/19/2014

Statement Period
 December 1-31, 2019

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/12/19	12/12/19	LT Cap Gain Rein	JPMORGAN MID CAP VALUE: FLMVX	7,695.70
12/12/19	12/12/19	LT Cap Gain Rein	TWEEDY BROWNE GLOBAL VAL: TBGVX	307.54
12/12/19	12/12/19	Sttm Cap Gn Rein	TWEEDY BROWNE GLOBAL VAL: TBGVX	142.38
12/12/19	12/12/19	Cash Dividend	TWEEDY BROWNE GLOBAL VAL: TBGVX	2,540.08
12/17/19	12/17/19	Cash Dividend	HARDING LOEVNER INST: HLMEX	4,007.81
12/17/19	12/17/19	LT Cap Gain Rein	MATTHEWS PACIFIC TIGER: MIPTX	6,817.79
12/17/19	12/17/19	Cash Dividend	MATTHEWS PACIFIC TIGER: MIPTX	1,579.03
12/19/19	12/19/19	LT Cap Gain Rein	CIBC ATLAS DISCIPLINED: AWEIX	15,063.42
12/19/19	12/19/19	Cash Dividend	JPMORGAN MID CAP VALUE: FLMVX	2,667.81
12/23/19	12/23/19	Cash Dividend	VANGUARD SMALL CAP INDEX: VSMAX	583.95
12/30/19	12/30/19	Cash Dividend	CIBC ATLAS DISCIPLINED: AWEIX	9,810.50
12/30/19	12/30/19	Cash Dividend	CIBC ATLAS INCM OPPTY: AWIIX	2,159.43
12/31/19	12/31/19	Cash Dividend	PIMCO INVESTMENT GRADE: PIGIX	1,217.61
12/31/19	12/31/19	Dividend	SCHWAB GOVT MONEY FUND: SWGXX	359.02
12/31/19	12/31/19	Cash Dividend	T ROWE PRICE SUMMIT MUNI: PRSMX	1,896.46
Total Dividends & Interest				58,091.88

Total Transaction Detail (222,959.41)

Money Market Fund (Sweep) Detail

SCHWAB GOVT MONEY FUND Activity

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
Opening # of Shares: 302,435.6900					
12/02/19	Purchased	3,133.9400	1.0000	3,133.94	
12/11/19	Purchased	248.8900	1.0000	248.89	



Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2019

Money Market Fund (Sweep) Detail (continued)

SCHWAB GOVT MONEY FUND Activity (continued)

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
12/13/19	Purchased	2,540.0800	1.0000	2,540.08	
12/18/19	Purchased	5,586.8400	1.0000	5,586.84	
12/20/19	Purchased	2,667.8100	1.0000	2,667.81	
12/24/19	Redeemed	249,446.0500	1.0000		249,446.05
12/31/19	Purchased	11,969.9300	1.0000	11,969.93	
12/31/19	Dividend	359.0200	1.0000	359.02	

Closing # of Shares: 79,496.1500

Total SCHWAB GOVT MONEY FUND Activity	26,506.51	249,446.05
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Total Money Market Fund (Sweep)	26,506.51	249,446.05
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SCHWAB GOVT MMF Average Yield For The Most Recent Pay Period: 001.12%. 7-Day Yield: 1.10%.

Attachment B: Fauci 2019 278e Report, Section 2



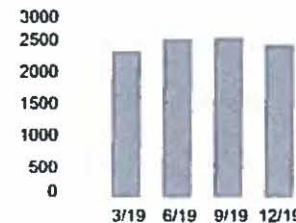
Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Statement Period
December 1-31, 2019

Account Value as of 12/31/2019: \$ 2,389,134.90

Change in Account Value

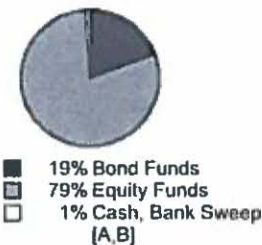
	This Period	Year to Date	Account Value [in Thousands]
Starting Value	\$ 2,328,026.52	\$ 2,086,838.14	
Credits	42,132.67	169,543.10	
Debits	0.00	(258,770.02)	
Transfer of Securities (In/Out)	0.00	0.00	
Income Reinvested	(35,489.38)	(37,415.22)	
Change in Value of Investments	54,465.09	428,938.90	
Ending Value on 12/31/2019	\$ 2,389,134.90	\$ 2,389,134.90	
Total Change in Account Value	\$ 61,108.38	\$ 302,296.76	



Asset Composition

	Market Value	% of Account Assets
Cash and Bank Sweep ^{A,B}	\$ 27,701.41	1%
Bond Funds	463,354.62	19%
Equity Funds	1,898,078.87	79%
Total Assets Long	\$ 2,389,134.90	
Total Account Value	\$ 2,389,134.90	100%

Overview



PRIVATE WEALTH
MANAGEMENT

Your Independent Investment Advisor is not affiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
 U/A DTD 12/08/1995

Statement Period
December 1-31, 2019

Gain or (Loss) Summary	Realized Gain or (Loss) This Period		Unrealized Gain or (Loss)
	Short Term	Long Term	
All Investments	\$0.00	\$0.00	\$424,159.61

Values may not reflect all of your gains/losses. Cost basis may change and be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional gain or (loss) information refer to Terms and Conditions.

Income Summary	This Period		Year to Date	
	Federally Tax-Exempt	Federally Taxable	Federally Tax-Exempt	Federally Taxable
Bank Sweep Interest	0.00	5.00	0.00	289.05
Cash Dividends	0.00	19,160.44	0.00	46,286.82
Total Capital Gains Distributions	0.00	22,967.23	0.00	22,967.23
Total Income	0.00	42,132.67	0.00	69,543.10

Cash Transactions Summary		This Period	Year to Date
Starting Cash *		\$ 21,058.12	\$ 24,478.55
Deposits and other Cash Credits		0.00	100,000.00
Investments Sold		0.00	479,925.00
Dividends and Interest		42,132.67	69,543.10
Withdrawals and other Debits		0.00	(246,666.72)
Investments Purchased		(35,489.38)	(387,475.22)
Fees and Charges		0.00	(12,103.30)
Total Cash Transaction Detail		6,643.29	3,222.86
Ending Cash *		\$ 27,701.41	\$ 27,701.41

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Statement Period
December 1-31, 2019

Investment Detail - Cash and Bank Sweep

Cash	Starting Balance	Ending Balance	% of Account Assets
Cash	1,634.66	1,557.18	<1%
Total Cash	1,634.66	1,557.18	<1%
Bank Sweep	Starting Balance	Ending Balance	% of Account Assets
CHARLES SCHWAB BANK	19,423.46	26,144.23	1%
Total Bank Sweep ^{A,B}	19,423.46	26,144.23	1%
Total Cash and Bank Sweep		27,701.41	1%

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
PIMCO INVESTMENT GRADE CREDIT BD INST SYMBOL: PIGIX	42,431.7420	10.92000	463,354.62	19%	10.48	440,961.40	22,393.22
Total Bond Funds	42,431.7420		463,354.62	19%		440,961.40	22,393.22
Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
CIBC ATLAS DISCIPLINED ⁹ EQTY FD INST SYMBOL: AWEIX	28,540.6640	22.71000	648,158.48	27%	14.88	379,779.74	268,378.74

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Statement Period
December 1-31, 2019

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
CIBC ATLAS INCM OPPTY FD INST SYMBOL: AWIIX	18,188.4340	12.82000	233,175.72	10%	11.02	200,500.59	32,675.13
CIBC ATLAS MID CAP EQTY FD INST SYMBOL: AWMIX	2,133.9180	17.44000	37,215.53	2%	11.89	25,380.35	11,835.18
EDGEWOOD GROWTH INSTL SYMBOL: EGFIX	3,694.6270	38.52000	142,317.03	6%	34.77	128,473.15	13,843.88
HAMLIN HIGH DIV EQTY INSTL SYMBOL: HHDFX	5,485.6880	23.56000	129,242.81	5%	19.74	108,260.30	20,982.51
IVA INTL FD CL I ° SYMBOL: IVIQX	3,229.9460	15.89000	51,323.84	2%	16.51	51,835.25	(511.41)
JPMORGAN MID CAP VALUE ° L SYMBOL: FLMVX	5,051.5520	39.67000	200,395.07	8%	33.07	167,267.58	33,127.49
MAINSTAY EPOCH GLOBAL ° EQTY YIELD I SYMBOL: EPSYX	3,695.1880	19.06000	70,430.28	3%	17.46	63,077.72	7,352.56
MATTHEWS PACIFIC TIGER FD INSTL SYMBOL: MIPTX	5,671.8490	28.71000	162,838.78	7%	27.88	158,129.73	4,709.05
TWEEDY BROWNE GLOBAL VAL UE FUND SYMBOL: TBGVX	1,745.2720	27.99000	48,850.16	2%	27.65	48,045.20	804.96

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Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Statement Period
December 1-31, 2019

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
VIRTUS VONTOBEL EMRG [®] MKTS OPPTY FD I SYMBOL: HIEMX	15,076.2920	11.55000	174,131.17	7%	10.98	165,562.87	8,568.30
Total Equity Funds	92,513.4300		1,898,078.87	79%		1,496,312.48	401,766.39
Total Mutual Funds	134,945.1720		2,361,433.49	99%		1,937,273.88	424,159.61
Total Investment Detail							2,389,134.90
Total Account Value							2,389,134.90
Total Cost Basis							1,937,273.88

Transaction Detail - Purchases & Sales

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/10/19	12/10/19	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	16.5770	18.5700	(307.83)
12/10/19	12/10/19	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	66.2330	18.5700	(1,229.94)
12/12/19	12/12/19	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	187.5990	39.5900	(7,427.04)
12/12/19	12/12/19	Reinvested Shares	TWEEDY BROWNE GLOBAL VAL UE FUND: TBGVX	1.5710	27.7000	(43.51)

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Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity (continued)

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/12/19	12/12/19	Reinvested Shares	TWEEDY BROWNE GLOBAL VAL UE FUND: TBGVX	3.3930	27.7000	(93.98)
12/17/19	12/17/19	Reinvested Shares	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	162.8420	28.4500	(4,632.85)
12/18/19	12/18/19	Reinvested Shares	IVA INTL FD CL I: IVIQX	11.3460	15.8100	(179.38)
12/18/19	12/18/19	Reinvested Shares	IVA INTL FD CL I: IVIQX	65.2480	15.8100	(1,031.57)
12/18/19	12/18/19	Reinvested Shares	IVA INTL FD CL I: IVIQX	49.9560	15.8100	(789.81)
12/19/19	12/19/19	Reinvested Shares	CIBC ATLAS DISCIPLINED EQTY FD INST: AWEIX	354.2170	22.6900	(8,037.19)
12/19/19	12/19/19	Reinvested Shares	EDGEWOOD GROWTH INSTL: EGFIX	10.5080	38.3200	(402.67)
12/19/19	12/19/19	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	65.3470	39.4000	(2,574.68)
12/20/19	12/20/19	Reinvested Shares	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I: HIEMX	21.3730	11.5700	(247.29)
12/20/19	12/20/19	Reinvested Shares	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I: HIEMX	250.9450	11.5700	(2,903.43)
12/20/19	12/20/19	Reinvested Shares	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I: HIEMX	30.5750	11.5700	(353.75)
12/30/19	12/30/19	Reinvested Shares	CIBC ATLAS DISCIPLINED EQTY FD INST: AWEIX	231.0000	22.6600	(5,234.46)
Total Equity Funds Activity						(35,489.38)
Total Purchases & Sales						(35,489.38)



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Statement Period
December 1-31, 2019

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/10/19	12/10/19	Div For Reinvest	MAINSTAY EPOCH GLOBAL: EPSYX	307.83
12/10/19	12/10/19	LT Cap Gain Rein	MAINSTAY EPOCH GLOBAL: EPSYX	1,229.94
12/12/19	12/12/19	LT Cap Gain Rein	JPMORGAN MID CAP VALUE: FLMVX	7,427.04
12/12/19	12/12/19	LT Cap Gain Rein	TWEEDY BROWNE GLOBAL VAL: TBGVX	93.98
12/12/19	12/12/19	Stlm Cap Gn Rein	TWEEDY BROWNE GLOBAL VAL: TBGVX	43.51
12/12/19	12/12/19	Cash Dividend	TWEEDY BROWNE GLOBAL VAL: TBGVX	776.18
12/15/19	12/16/19	Bank Interest ^{A,B}	BANK INT : SCHWAB-BANK [removed account number]	5.00
12/17/19	12/17/19	LT Cap Gain Rein	MATTHEWS PACIFIC TIGER: MIPTX	4,632.85
12/17/19	12/17/19	Cash Dividend	MATTHEWS PACIFIC TIGER: MIPTX	1,072.99
12/18/19	12/18/19	Stlm Cap Gn Rein	IVA INTL FD CL I: IVIQX	179.38
12/18/19	12/18/19	Div For Reinvest	IVA INTL FD CL I: IVIQX	1,031.57
12/18/19	12/18/19	LT Cap Gain Rein	IVA INTL FD CL I: IVIQX	789.81
12/19/19	12/19/19	LT Cap Gain Rein	CIBC ATLAS DISCIPLINED: AWEIX	8,037.19
12/19/19	12/19/19	LT Cap Gain Rein	EDGEWOOD GROWTH INSTL: EGFIX	402.67
12/19/19	12/19/19	Div For Reinvest	JPMORGAN MID CAP VALUE: FLMVX	2,574.68
12/20/19	12/20/19	Stlm Cap Gn Rein	VIRTUS VONTOBEL EMRG: HIEMX	247.29
12/20/19	12/20/19	Div For Reinvest	VIRTUS VONTOBEL EMRG: HIEMX	2,903.43
12/20/19	12/20/19	LT Cap Gain Rein	VIRTUS VONTOBEL EMRG: HIEMX	353.75
12/30/19	12/30/19	Div For Reinvest	CIBC ATLAS DISCIPLINED: AWEIX	5,234.46
12/30/19	12/30/19	Cash Dividend	CIBC ATLAS INCM OPPTY: AWIIX	1,616.95
12/30/19	12/30/19	Cash Dividend	HAMLIN HIGH DIV EQTY: HHDFX	1,614.99
12/31/19	12/31/19	Cash Dividend	PIMCO INVESTMENT GRADE: PIGIX	1,557.18
Total Dividends & Interest				42,132.67

Total Transaction Detail

6,643.29



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Statement Period
December 1-31, 2019

Bank Sweep for Benefit Plans Activity

Transaction Date	Transaction	Description	Withdrawal	Deposit	Balance ^{A,B}
Opening Balance ^{A,B}					19,423.46
12/02/19	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		1,634.66	21,058.12
12/13/19	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		776.18	21,834.30
12/15/19	Interest Paid ^{A,B}	BANK INTEREST - CHARLES SCHWAB BANK		5.00	21,839.30
12/15/19	Auto Transfer	BANK TRANSFER TO BROKERAGE	5.00		21,834.30
12/17/19	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		5.00	21,839.30
12/18/19	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		1,072.99	22,912.29
12/31/19	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		3,231.94	26,144.23
Total Activity			5.00	6,725.77	
Ending Balance ^{A,B}					26,144.23

Bank Sweep for Benefit Plans: Interest Rate as of 12/31/19 was 0.30%.^B

Endnotes For Your Account

Symbol Endnote Legend

- D** Dividends paid on this security will be automatically reinvested.
- A** Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.
- B** For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

Attachment C: Fauci 2019 279e Report, Section 2

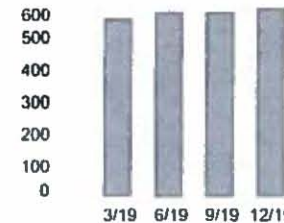


Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2019

Account Value as of 12/31/2019: \$ 589,612.35

Change in Account Value	This Period	Year to Date	Account Value [in Thousands]
Starting Value	\$ 571,965.71	\$ 497,572.38	
Credits	13,587.00	15,245.99	
Debits	0.00	(25,516.53)	
Transfer of Securities (In/Out)	0.00	0.00	
Income Reinvested	(10,013.53)	(10,757.31)	
Change in Value of Investments	14,073.17	113,067.82	
Ending Value on 12/31/2019	\$ 589,612.35	\$ 589,612.35	
Total Change in Account Value	\$ 17,646.64	\$ 92,039.97	



Asset Composition	Market Value	% of Account Assets
Bank Sweep ^{x,z}	\$ 7,850.07	1%
Equity Funds	581,762.28	99%
Total Assets Long	\$ 589,612.35	
Total Account Value	\$ 589,612.35	100%



PRIVATE WEALTH
MANAGEMENT

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Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2019

Gain or (Loss) Summary	Gain or (Loss) on Investments Sold	Unrealized Gain or (Loss)
	This Period	

All Investments	\$0.00	\$126,091.84
<i>Values may not reflect all of your gains/losses. Cost basis may change and be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional gain or (loss) information refer to Terms and Conditions.</i>		

Income Summary	This Period	Year To Date
Bank Sweep Interest	0.22	21.91
Cash Dividends	5,135.80	6,773.10
Total Capital Gains Distributions	8,450.98	8,450.98
Total Income	13,587.00	15,245.99

Cash Transactions Summary	This Period	Year to Date
Starting Cash*	\$ 4,276.60	\$ 8,907.92
Deposits and other Cash Credits	0.00	0.00
Investments Sold	0.00	94,985.00
Dividends and Interest	13,587.00	15,245.99
Withdrawals and other Debits	0.00	(25,516.53)
Investments Purchased	(10,013.53)	(85,772.31)
Fees and Charges	0.00	0.00
Total Cash Transaction Detail	3,573.47	(1,057.85)
Ending Cash*	\$ 7,850.07	\$ 7,850.07

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2019

Investment Detail - Bank Sweep

Bank Sweep	Starting Balance	Ending Balance	% of Account Assets
CHARLES SCHWAB BANK	4,276.60	7,850.07	1%
Total Bank Sweep ^{xz}	4,276.60	7,850.07	1%
Total Bank Sweep		7,850.07	1%

Investment Detail - Mutual Funds

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
CIBC ATLAS DISCIPLINED ^o EQTY FD INST SYMBOL: AWEIX	7,975.2900	22.71000	181,118.84	31%	13.75	104,011.89	77,106.95
CIBC ATLAS INCM OPPTY FD INST SYMBOL: AWIX	4,326.9590	12.82000	55,471.61	9%	10.43	45,115.98	10,355.63
CIBC ATLAS MID CAP EQTY FD INST SYMBOL: AWMIX	2,153.8790	17.44000	37,563.65	6%	11.61	25,000.00	12,563.65
EDGEWOOD GROWTH INSTL SYMBOL: EGFIX	852.2730	38.52000	32,829.56	6%	35.22	30,015.00	2,814.56
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	2,091.6400	39.67000	82,975.36	14%	33.44	69,953.62	13,021.74

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Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2019

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
MAINSTAY EPOCH GLOBAL [®] EQTY YIELD I SYMBOL: EPSYX	1,427.0970	19.06000	27,200.47	5%	17.24	24,565.92	2,634.55
MATTHEWS PACIFIC TIGER FD INSTL SYMBOL: MIPTX	2,747.9340	28.71000	78,893.19	13%	28.12	77,259.56	1,633.63
MFS INSTL INTL EQUITY SYMBOL: MIEIX	597.1640	27.86000	16,636.99	3%	25.39	15,160.78	1,476.21
TWEEDY BROWNE GLOBAL VAL UE FUND SYMBOL: TBGVX	1,654.5900	27.99000	46,311.97	8%	27.36	43,895.24	2,416.73
VIRTUS VONTOBEL EMRG MKTS OPPTY FD I SYMBOL: HIEMX	1,970.6180	11.55000	22,760.64	4%	10.50	20,692.45	2,068.19
Total Equity Funds	25,797.4440		581,762.28	99%		455,670.44	126,091.84
Total Mutual Funds	25,797.4440		581,762.28	99%		455,670.44	126,091.84
Total Investment Detail							589,612.35
Total Account Value							589,612.35
Total Cost Basis							455,670.44

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Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2019

Transaction Detail - Purchases & Sales

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/10/19	12/10/19	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	6.4020	18.5700	(118.88)
12/10/19	12/10/19	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	25.5790	18.5700	(475.01)
12/12/19	12/12/19	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	78.6950	39.5900	(3,115.54)
12/12/19	12/12/19	Reinvested Shares	MFS INSTL INTL EQUITY: MIEIX	5.1680	27.2300	(140.73)
12/12/19	12/12/19	Reinvested Shares	TWEEDY BROWNE GLOBAL VAL UE FUND: TBGVX	1.4890	27.7000	(41.25)
12/12/19	12/12/19	Reinvested Shares	TWEEDY BROWNE GLOBAL VAL UE FUND: TBGVX	3.2160	27.7000	(89.09)
12/17/19	12/17/19	Reinvested Shares	MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	78.8950	28.4500	(2,244.56)
12/19/19	12/19/19	Reinvested Shares	CIBC ATLAS DISCIPLINED EQTY FD INST: AWEIX	98.9810	22.6900	(2,245.88)
12/20/19	12/20/19	Reinvested Shares	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I: HIEMX	2.8410	11.5700	(32.87)
12/20/19	12/20/19	Reinvested Shares	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I: HIEMX	4.0640	11.5700	(47.02)
12/30/19	12/30/19	Reinvested Shares	CIBC ATLAS DISCIPLINED EQTY FD INST: AWEIX	64.5500	22.6600	(1,462.70)

Total Equity Funds Activity

(10,013.53)

Total Purchases & Sales

(10,013.53)

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Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2019

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Process		Activity	Description	Credit/(Debit)
Date	Date			
12/10/19	12/10/19	Div For Reinvest	MAINSTAY EPOCH GLOBAL: EPSYX	118.88
12/10/19	12/10/19	LT Cap Gain Rein	MAINSTAY EPOCH GLOBAL: EPSYX	475.01
12/12/19	12/12/19	LT Cap Gain Rein	JPMORGAN MID CAP VALUE: FLMVX	3,115.54
12/12/19	12/12/19	LT Cap Gain Rein	MFS INSTL INTL EQUITY: MIEIX	140.73
12/12/19	12/12/19	Cash Dividend	MFS INSTL INTL EQUITY: MIEIX	373.76
12/12/19	12/12/19	LT Cap Gain Rein	TWEEDY BROWNE GLOBAL VAL: TBGVX	89.09
12/12/19	12/12/19	Stlm Cap Gn Rein	TWEEDY BROWNE GLOBAL VAL: TBGVX	41.25
12/12/19	12/12/19	Cash Dividend	TWEEDY BROWNE GLOBAL VAL: TBGVX	735.85
12/15/19	12/16/19	Bank Interest ^{x,z}	BANK INT SCHWAB BANK <i>[removed account number]</i>	0.22
12/17/19	12/17/19	LT Cap Gain Rein	MATTHEWS PACIFIC TIGER: MIPTX	2,244.56
12/17/19	12/17/19	Cash Dividend	MATTHEWS PACIFIC TIGER: MIPTX	519.85
12/19/19	12/19/19	LT Cap Gain Rein	CIBC ATLAS DISCIPLINED: AWEIX	2,245.88
12/19/19	12/19/19	LT Cap Gain	EDGEWOOD GROWTH INSTL: EGFIX	93.15
12/19/19	12/19/19	Cash Dividend	JPMORGAN MID CAP VALUE: FLMVX	1,080.04
12/20/19	12/20/19	Stlm Cap Gn Rein	VIRTUS VONTOBEL EMRG: HIEMX	32.87
12/20/19	12/20/19	LT Cap Gain Rein	VIRTUS VONTOBEL EMRG: HIEMX	47.02
12/20/19	12/20/19	Cash Dividend	VIRTUS VONTOBEL EMRG: HIEMX	385.93
12/30/19	12/30/19	Div For Reinvest	CIBC ATLAS DISCIPLINED: AWEIX	1,462.70
12/30/19	12/30/19	Cash Dividend	CIBC ATLAS INCM OPPTY: AWIIX	384.67
Total Dividends & Interest				13,587.00

Total Transaction Detail **3,573.47**



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2019

Bank Sweep Activity

Transaction Date	Transaction	Description	Withdrawal	Deposit	Balance ^{x,z}
Opening Balance ^{x,z}					4,276.60
12/13/19	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		1,109.61	5,386.21
12/15/19	Interest Paid ^{x,z}	BANK INTEREST - CHARLES SCHWAB BANK		0.22	5,386.43
12/15/19	Auto Transfer	BANK TRANSFER TO BROKERAGE	0.22		5,386.21
12/17/19	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		0.22	5,386.43
12/18/19	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		519.85	5,906.28
12/20/19	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		1,173.19	7,079.47
12/23/19	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		385.93	7,465.40
12/31/19	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		384.67	7,850.07
Total Activity			0.22	3,573.69	
Ending Balance ^{x,z}					7,850.07

Bank Sweep: Interest Rate as of 12/31/19 was 0.06%. ^z

Contribution Summary

	2018	2019
Traditional IRA	0.00	0.00
Year To Date Total	0.00	0.00

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2019

Distribution Summary

	Gross Amount	Federal Tax Withheld	State Tax Withheld	Earnings	Net Amount
Normal	25,516.53	2,551.65	2,296.49 DC	0.00	20,668.39
Year To Date Total	25,516.53	2,551.65	2,296.49	0.00	20,668.39

Tax Year 2020 Required Minimum Distribution, which you must take by **12/31/2020**:

31,530.07

Schwab must report to the IRS that a minimum distribution is required for the tax year specified above. #

Endnotes For Your Account

Symbol Endnote Legend

- ◊ Dividends paid on this security will be automatically reinvested.
- X Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.
- Z For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.
- # The Required Minimum Distribution amount shown here is based on the "Total Account Value", also known as Fair Market Value, as of December 31 of the previous year. It could change if (a) you satisfy some or all of your Required Minimum Distribution with distribution(s) from other IRA(s) you own, (b) you inform Schwab that your spouse is your sole beneficiary and is more than 10 years younger than you, (c) a rollover to this IRA was pending as of December 31 prior to the tax year specified above, (d) you recharacterize a conversion made in the prior year, or (e) a correction was made to the account after this statement date that impacts the Fair Market Value such as a change to a security value or deposit adjustment. The Year To Date Total includes distributions taken during the calendar year. In some cases, your calendar year distributions may differ from your Required Minimum Distribution for the tax year.

Attachment D: Fauci 2019 278e Report, Section 2



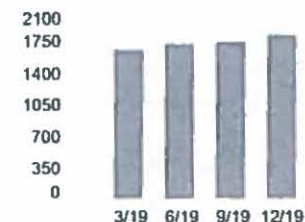
Schwab One® Trust Account of

SPOUSE REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2019

Account Value as of 12/31/2019: \$ 1,799,302.24

Change in Account Value	This Period	Year to Date	Account Value [in Thousands]
Starting Value	\$ 1,764,981.71	\$ 1,493,308.99	
Credits	20,723.54	79,116.15	
Debits	0.00	(13,011.01)	
Transfer of Securities (In/Out)	0.00	0.00	
Income Reinvested	(11,938.83)	(54,663.86)	
Change in Value of Investments	25,535.82	294,551.97	
Ending Value on 12/31/2019	\$ 1,799,302.24	\$ 1,799,302.24	
Total Change in Account Value	\$ 34,320.53	\$ 305,993.25	



Asset Composition	Market Value	% of Account Assets
Cash and Money Market Funds [Sweep]	\$ 31,862.61	2%
Bond Funds	375,329.54	21%
Equity Funds	1,392,110.09	77%
Total Assets Long	\$ 1,799,302.24	
Total Account Value	\$ 1,799,302.24	100%



PRIVATE WEALTH
MANAGEMENT

Your Independent Investment Advisor is not affiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor



Schwab One® Trust Account of

SPOUSE ' REVOCABLE TR
U/A DTD 03/19/2014Statement Period
December 1-31, 2019

Gain or (Loss) Summary	Realized Gain or (Loss) This Period		Unrealized Gain or (Loss)
	Short Term	Long Term	
All Investments	\$0.00	\$0.00	\$242,502.61
Values may not reflect all of your gains/losses. Cost basis may change and be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional gain or (loss) information refer to Terms and Conditions.			

Income Summary	This Period		Year to Date	
	Federally Tax-Exempt ¹	Federally Taxable	Federally Tax-Exempt ¹	Federally Taxable
Money Funds Dividends	0.00	32.89	0.00	354.63
Cash Dividends	752.78	8,031.93	9,099.33	15,352.96
Total Capital Gains Distributions	0.00	11,905.94	0.00	54,309.23
Total Income	752.78	19,970.76	9,099.33	70,016.82

¹Certain income in this category may qualify for state tax exemption; consult your tax advisor.

Cash Transactions Summary	This Period	Year to Date
Starting Cash [*]	\$ 23,045.01	\$ 20,066.70
Deposits and other Cash Credits	0.00	0.00
Investments Sold	0.00	0.00
Dividends and Interest	20,723.54	79,116.15
Withdrawals and other Debits	0.00	0.00
Investments Purchased	(11,905.94)	(54,309.23)
Fees and Charges	0.00	(13,011.01)
Total Cash Transaction Detail	8,817.60	11,795.91
Ending Cash [*]	\$ 31,862.61	\$ 31,862.61

^{*}Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.



Schwab One® Trust Account of
SPOUSE / REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2019

Investment Detail - Cash and Money Market Funds [Sweep]

Cash	Starting Balance	Ending Balance	% of Account Assets
Cash	756.80	752.78	<1%
Total Cash	756.80	752.78	<1%

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
Schwab Govt Money Fund: SWGXX	31,109.8300	1.0000	31,109.83	1.10%	2%
Total Money Market Funds [Sweep]			31,109.83		2%
Total Cash and Money Market Funds [Sweep]			31,862.61		2%

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
T ROWE PRICE SUMMIT MUNI INTERM INV SYMBOL: PRSMX	30,916.7660	12.14000	375,329.54	21%	11.90	368,044.45	7,285.09
Total Bond Funds	30,916.7660		375,329.54	21%		368,044.45	7,285.09

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
CIBC ATLAS INCM OPPTY FD INST SYMBOL: AWIIX	11,223.1910	12.82000	143,881.31	8%	10.74	120,551.29	23,330.02



Schwab One® Trust Account of

SPOUSE
U/A DTD 03/19/2014 REVOCABLE TRStatement Period
December 1-31, 2019

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	4,488.6590	39.67000	178,065.10	10%	31.67	142,151.61	35,913.49
MAINSTAY EPOCH GLOBAL EQTY YIELD I SYMBOL: EPSYX	141.3830	19.06000	2,694.76	<1%	18.00	2,529.33	165.43
PARNASSUS CORE EQTY FD INST SYMBOL: PRILX	13,300.2920	47.10000	626,443.75	35%	38.49	511,915.14	114,528.61
PAX SMALL CAP INST SYMBOL: PXSIX	5,922.7890	14.82000	87,775.73	5%	13.76	81,512.59	6,263.14
TOUCHSTONE GLOBAL ESG EQUITY INST SYMBOL: TROCX	15,623.5930	22.61000	353,249.44	20%	19.09	298,232.61	55,016.83
Total Equity Funds	50,899.9070		1,392,110.09	77%		1,156,892.57	235,217.52
Total Mutual Funds	81,616.6730		1,767,439.63	98%		1,524,937.02	242,502.61

Total Investment Detail 1,799,302.24

Total Account Value 1,799,302.24

Total Cost Basis 1,524,937.02



Schwab One® Trust Account of

SPOUSE REVOCABLE TR
U/A DTD 03/19/2014Statement Period
December 1-31, 2019

Transaction Detail - Purchases & Sales

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/10/19	12/10/19	Reinvested Shares	MAINSTAY EPOCH GLOBAL EQTY YIELD I: EPSYX	2.5460	18.5700	(47.27)
12/11/19	12/11/19	Reinvested Shares	TOUCHSTONE GLOBAL ESG EQUITY INST: TROCX	232.6910	22.2300	(5,172.73)
12/12/19	12/12/19	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	168.8800	39.5900	(6,685.94)
Total Equity Funds Activity						(11,905.94)
Total Purchases & Sales						(11,905.94)

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Process		Date	Activity	Description	Credit/(Debit)
Date	Date				
12/10/19	12/10/19	12/10/19	LT Cap Gain Rein	MAINSTAY EPOCH GLOBAL: EPSYX	47.27
12/10/19	12/10/19	12/10/19	Cash Dividend	MAINSTAY EPOCH GLOBAL: EPSYX	11.83
12/11/19	12/11/19	12/11/19	LT Cap Gain Rein	TOUCHSTONE GLOBAL ESG: TROCX	5,172.73
12/12/19	12/12/19	12/12/19	LT Cap Gain Rein	JPMORGAN MID CAP VALUE: FLMVX	6,685.94
12/19/19	12/19/19	12/19/19	Cash Dividend	JPMORGAN MID CAP VALUE: FLMVX	2,317.76
12/27/19	12/27/19	12/27/19	Cash Dividend	PARNASSUS CORE EQTY FD: PRILX	1,622.64
12/27/19	12/27/19	12/27/19	Cash Dividend	TOUCHSTONE GLOBAL ESG: TROCX	3,081.96
12/30/19	12/30/19	12/30/19	Cash Dividend	CIBC ATLAS INCM OPPTY: AWIIX	997.74
12/31/19	12/31/19	12/31/19	Dividend	SCHWAB GOVT MONEY FUND: SWGXX	32.89
12/31/19	12/31/19	12/31/19	Cash Dividend	T ROWE PRICE SUMMIT MUNI: PRSMX	752.78
Total Dividends & Interest					20,723.54



Schwab One® Trust Account of

SPOUSE
REVOCABLE TR
U/A DTD 03/19/2014

Statement Period
December 1-31, 2019

Transaction Detail - Total

Total Transaction Detail

8,817.60

Money Market Fund (Sweep) Detail

SCHWAB GOVT MONEY FUND Activity

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
Opening # of Shares: 22,288.2100					
12/02/19	Purchased	756.8000	1.0000	756.80	
12/11/19	Purchased	11.8300	1.0000	11.83	
12/20/19	Purchased	2,317.7600	1.0000	2,317.76	
12/30/19	Purchased	4,704.6000	1.0000	4,704.60	
12/31/19	Purchased	997.7400	1.0000	997.74	
12/31/19	Dividend	32.8900	1.0000	32.89	

Closing # of Shares: 31,109.8300

Total SCHWAB GOVT MONEY FUND Activity

8,821.62

Total Money Market Fund (Sweep)

8,821.62

SCHWAB GOVT MMF Average Yield For The Most Recent Pay Period: 001.12%; 7-Day Yield: 1.10%.

Attachment E: Fauci 2019 278e Report, Section 2



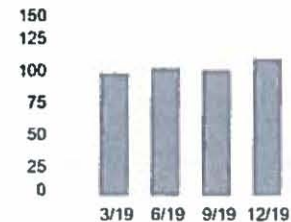
Contributory IRA of
SPOUSE

CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2019

Account Value as of 12/31/2019: \$ 107,468.73

Change in Account Value	This Period	Year to Date	Account Value [in Thousands]
Starting Value	\$ 104,499.84	\$ 87,543.61	
Credits	1,982.80	3,029.14	
Debits	0.00	0.00	
Transfer of Securities (In/Out)	0.00	0.00	
Income Reinvested	(885.73)	(1,647.39)	
Change in Value of Investments	1,871.82	18,543.37	
Ending Value on 12/31/2019	\$ 107,468.73	\$ 107,468.73	
Total Change in Account Value	\$ 2,968.89	\$ 19,925.12	



Asset Composition	Market Value	% of Account Assets
Bank Sweep ^{xz}	\$ 2,811.13	3%
Equity Funds	104,657.60	97%
Total Assets Long	\$ 107,468.73	
Total Account Value	\$ 107,468.73	100%

Overview



3% Bank Sweep (X,Z)
97% Equity Funds



PRIVATE WEALTH
MANAGEMENT

Your Independent Investment Advisor is not affiliated with or an agent of
Schwab and Schwab does not supervise or endorse your Advisor



Contributory IRA of
Spouse
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2019

	Gain or (Loss) on Investments Sold	Unrealized Gain or (Loss)
Gain or (Loss) Summary	This Period	
All Investments	\$0.00	\$3,274.55
<i>Values may not reflect all of your gains/losses. Cost basis may change and be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional gain or (loss) information refer to Terms and Conditions.</i>		
Income Summary	This Period	Year To Date
Bank Sweep Interest	0.08	3.63
Cash Dividends	1,096.99	1,378.12
Total Capital Gains Distributions	885.73	1,647.39
Total Income	1,982.80	3,029.14
Cash Transactions Summary	This Period	Year to Date
Starting Cash*	\$ 1,714.06	\$ 1,429.38
Deposits and other Cash Credits	0.00	0.00
Investments Sold	0.00	0.00
Dividends and Interest	1,982.80	3,029.14
Withdrawals and other Debits	0.00	0.00
Investments Purchased	(885.73)	(1,647.39)
Fees and Charges	0.00	0.00
Total Cash Transaction Detail	1,097.07	1,381.75
Ending Cash*	\$ 2,811.13	\$ 2,811.13

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.



Contributory IRA of
Spouse
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2019

Investment Detail - Bank Sweep

Bank Sweep	Starting Balance	Ending Balance	% of Account Assets
Bank Sweep ^{x,z}	1,714.06	2,811.13	3%
Total Bank Sweep	1,714.06	2,811.13	3%
Total Bank Sweep		2,811.13	3%

Investment Detail - Mutual Funds

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
BOSTON COMMON ESG IMPACT INTL FD SYMBOL: BCAIX	630.7710	31.69000	19,989.13	19%	26.95	17,000.00	2,989.13
CIBC ATLAS INCM OPPTY FD INST SYMBOL: AWIIX	1,008.5090	12.82000	12,929.09	12%	10.24	10,329.19	2,599.90
PARNASSUS CORE EQTY FD INST SYMBOL: PRILX	238.9050	47.10000	11,252.43	10%	45.87	10,957.94	294.49
TOUCHSTONE GLOBAL ESG EQUITY INST SYMBOL: TROCX	2,675.2300	22.61000	60,486.95	56%	23.59	63,095.92	(2,608.97)
Total Equity Funds	4,553.4150		104,657.60	97%		101,383.05	3,274.55
Total Mutual Funds	4,553.4150		104,657.60	97%		101,383.05	3,274.55

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of
SPouse
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2019

Investment Detail - Total

Total Investment Detail	107,468.73
Total Account Value	107,468.73
Total Cost Basis	101,383.05

Transaction Detail - Purchases & Sales

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/11/19	12/11/19	Reinvested Shares	TOUCHSTONE GLOBAL ESG EQUITY INST: TROCX	39.8440	22.2300	(885.73)
Total Equity Funds Activity						(885.73)
Total Purchases & Sales						(885.73)

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Process Date	Date	Activity	Description	Credit/(Debit)
12/11/19	12/11/19	LT Cap Gain Rein	TOUCHSTONE GLOBAL ESG: TROCX	885.73
12/15/19	12/16/19	Bank Interest ^{XZ}	BANK INT <i>[removed account number]</i>	0.08
12/17/19	12/17/19	Cash Dividend	BOSTON COMMON ESG IMPACT: BCAIX	450.46
12/27/19	12/27/19	Cash Dividend	PARNASSUS CORE EQTY FD: PRILX	29.15
12/27/19	12/27/19	Cash Dividend	TOUCHSTONE GLOBAL ESG: TROCX	527.72
12/30/19	12/30/19	Cash Dividend	CIBC ATLAS INCM OPPTY: AWIIX	89.66
Total Dividends & Interest				1,982.80

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributor IRA of
SPOUSE
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2019

Transaction Detail - Total

Total Transaction Detail	1,097.07
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Bank Sweep Activity

Transaction Date	Transaction	Description	Withdrawal	Deposit	Balance ^{x,z}
Opening Balance ^{x,z}					1,714.06
12/15/19	Interest Paid ^{x,z}	BANK INTEREST		0.08	1,714.14
12/18/19	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		450.46	2,164.60
12/30/19	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		556.87	2,721.47
12/31/19	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		89.66	2,811.13
Total Activity			0.00	1,097.07	
Ending Balance ^{x,z}					2,811.13

Bank Sweep: Interest Rate as of 12/31/19 was 0.06%. Your interest period was 11/16/19 - 12/15/19. ^z

Contribution Summary

	2018	2019
Traditional IRA	0.00	0.00
Year To Date Total	0.00	0.00

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of
SPOUSE
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Statement Period
December 1-31, 2019

Endnotes For Your Account

Symbol Endnote Legend

- X** Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.
- Z** For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

Attachment F: Fauci 2019 278e Report, Section 7

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Products (<https://client.schwab.com/secure/cc/products>) Specialty (<https://client.schwab.com/secure/cc/guidance>) Service (<https://client.schwab.com/secure/cc>)
 Summary (<https://client.schwab.com/secure/cc/accounts/summary>) Balances (<https://client.schwab.com/secure/cc/accounts/balances>)
 Positions (<https://client.schwab.com/secure/cc/accounts/positions>) History (<https://client.schwab.com/secure/cc/accounts/historynew>)
 Statements (<https://client.schwab.com/secure/cc/accounts/statementshistory>) Transfers & Payments (https://client.schwab.com/secure/cc/accounts/transfers_and_payment)

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SPOUSE

IRA Contributory Transactions 2019

Transactions From 01/01/2019 To 12/31/2019

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
12/30/2019	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$89.66
12/27/2019	Cash Dividend		TROCX	TOUCHSTONE GLOBAL ESG EQUITY INST				\$927.72
12/27/2019	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD INST				\$29.15
12/17/2019	Cash Dividend		BCAIX	BOSTON COMMON ESG IMPACT INTL FD				\$450.46
12/16/2019 as of 12/15/2019	Bank Interest			BANK INT SCHWAB BANK				\$0.06
12/11/2019	Reinvest Shares		TROCX	TOUCHSTONE GLOBAL ESG EQUITY INST	39.844	\$22.23		-\$885.73
12/11/2019	Long Term Cap Gain Reinvest		TROCX	TOUCHSTONE GLOBAL ESG EQUITY INST				\$885.73
11/21/2019	Long Term Cap Gain Reinvest		PRILX	PARNASSUS CORE EQTY FD INST				\$761.66
11/21/2019	Reinvest Shares		PRILX	PARNASSUS CORE EQTY FD INST	16.729	\$45.53		-\$761.66
11/16/2019 as of 11/15/2019	Bank Interest			BANK INT SCHWAB BANK				\$0.13
10/16/2019 as of 10/15/2019	Bank Interest			BANK INT SCHWAB BANK				\$0.20
09/30/2019	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD INST				\$25.64
09/27/2019	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$60.81
08/16/2019 as of 08/15/2019	Bank Interest			BANK INT SCHWAB BANK				\$0.25

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Quote Show Indices Symbol Lookup DJIA ([https://client.schwab.com/secure/cc/nngw/wsod?path=/retail/research/markets/chart/&symbol=\\$DJIA](https://client.schwab.com/secure/cc/nngw/wsod?path=/retail/research/markets/chart/&symbol=$DJIA))
 23,650.44 -592.05 (-2.44%)

4/20/2020

Charles Schwab Client Center

08/16/2019 as of	Bank Interest	NASDAQ (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$XIC)		\$0.31
08/15/2019		8,560.73 -89.41 (-1.03%)	SCHWAB BANK	
S&P 500 (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$SPX)				
2,823.16 -51.40 (-1.79%)				
03/16/2019 as of	Bank Interest	Russell 2000 (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$RUT)	BANK INT SCHWAB BANK	\$0.36
03/15/2019		1,213.28 -52.75 (-4.28%)		
06/28/2019	Cash Dividend	PRILX	PARNASSUS CORE EQTY FD INST	\$21.24
06/27/2019	Cash Dividend	AWIXX	CIBC ATLAS INCM OPPTY FD INST	\$60.18
06/17/2019 as of	Bank Interest		BANK INT SCHWAB BANK	\$0.41
06/16/2019				
05/16/2019 as of	Bank Interest		BANK INT SCHWAB BANK	\$0.41
05/15/2019				
04/16/2019 as of	Bank Interest		BANK INT SCHWAB BANK	\$0.42
04/15/2019				
03/29/2019	Cash Dividend	PRILX	PARNASSUS CORE EQTY FD INST	\$25.99
03/28/2019	Cash Dividend	AWIXX	CIBC ATLAS INCM OPPTY FD INST	\$67.27
03/18/2019 as of	Bank Interest		BANK INT SCHWAB BANK	\$0.36
03/15/2019				
02/19/2019 as of	Bank Interest		BANK INT SCHWAB BANK	\$0.40
02/15/2019				
01/16/2019 as of	Bank Interest		BANK INT SCHWAB BANK	\$0.30
01/15/2019				

[account number removed]

[removed account number]

Transactions Total

\$1,381.75

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Today's Date: 05/31/2020

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 23,650.44 -592.05 (-2.44%)

Attachment G: Fauci 2019 278e Report, Section 7

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SPOUSE

Revocable Trust
Transactions 2019

Transactions From 01/01/2019 To 12/31/2019

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
12/31/2019	Cash Dividend		PR5MX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$752.76
12/31/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	32.89			
12/31/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	997.74	\$1.00		-\$997.74
12/30/2019	Cash Dividend		AWVIX	CIBC ATLAS INCM CPPTY FD INST				\$997.74
12/30/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	4,704.6	\$1.00		-\$4,704.60
12/27/2019	Cash Dividend		TROCX	TOUCHSTONE GLOBAL ESG EQUITY INST				\$3,081.96
12/27/2019	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD INST				\$1,622.64
12/20/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,317.76	\$1.00		-\$2,317.76
12/19/2019	Cash Dividend		FLMVX	JPMORGAN MID CAP VALUE L				\$2,317.76
12/12/2019	Long Term Cap Gain Reinvest		FLMVX	JPMORGAN MID CAP VALUE L				\$6,685.94
12/12/2019	Reinvest Shares		FLMVX	JPMORGAN MID CAP VALUE L	168.68	\$39.59		-\$6,685.94
12/11/2019	Reinvest Shares		TROCX	TOUCHSTONE GLOBAL ESG EQUITY INST	232.691	\$22.23		-\$5,172.73
12/11/2019	Long Term Cap Gain Reinvest		TROCX	TOUCHSTONE GLOBAL ESG EQUITY INST				\$5,172.73
12/11/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	11.83	\$1.00		-\$11.83



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 23,650.44 -592.05 (-2.44%)

4/20/2020

Charles Schwab Client Center

12/10/2019	Cash Dividend	NASDAQ (https://client.schwab.com/secure/cc/nn/gw/wsood?path=/retail/research/markets/chart/&symbol=\$DIX)	8,560.73 -89.41 (-1.03%)	MAINSTAY EPOCH GLOBAL			\$11.83
S&P 500 (https://client.schwab.com/secure/cc/nn/gw/wsood?path=/retail/research/markets/chart/&symbol=\$SPX)	2,823.16 -51.40 (-1.79%)	EQTY YIELD I					
Russell 2000 (https://client.schwab.com/secure/cc/nn/gw/wsood?path=/retail/research/markets/chart/&symbol=\$RUT)	1,213.35 -15.75 (-1.28%)	Gain Reinvest					\$47.27
12/10/2019	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL	2,546	\$18.57		-\$47.27
			EQTY YIELD I				
12/02/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY	756.8	\$1.00	-\$756.80
				FUND			
11/29/2019	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT				\$756.80
			MUNI INTERM INV				
11/21/2019	Long Term Cap Gain Reinvest	PRILX	PARNASSUS CORE EQTY FD				\$42,403.29
			INST				
11/21/2019	Reinvest Shares	PRILX	PARNASSUS CORE EQTY FD	931.326	\$45.53		-\$42,403.29
			INST				
11/18/2019 as of 11/15/2019	Cash Dividend	SWGXX	SCHWAB GOVT MONEY	28.11			
			FUND				
11/13/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY	3,386.76	\$1.00	\$3,386.76
				FUND			
11/12/2019	Advisor Fee		TO ADVISOR				-\$3,386.76
11/01/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY	758.75	\$1.00	-\$758.75
				FUND			
10/31/2019	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT				\$758.75
			MUNI INTERM INV				
10/16/2019 as of 10/15/2019	Cash Dividend	SWGXX	SCHWAB GOVT MONEY	27.54			
			FUND				
10/01/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY	2,130.2	\$1.00	-\$2,130.20
				FUND			
09/30/2019	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT				\$687.41
			MUNI INTERM INV				
09/30/2019	Cash Dividend	EPSYX	MAINSTAY EPOCH GLOBAL				\$15.41
			EQTY YIELD I				
09/30/2019	Cash Dividend	PRILX	PARNASSUS CORE EQTY FD				\$1,427.38
			INST				
09/30/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY	676.76	\$1.00	-\$676.76
				FUND			
09/27/2019	Cash Dividend	AWIXX	CIBC ATLAS INCM OPPTY FD				\$676.76
			INST				
09/17/2019 as of 09/16/2019	Cash Dividend	SWGXX	SCHWAB GOVT MONEY	30.75			
			FUND				
09/03/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY	809.87	\$1.00	-\$809.87
				FUND			
08/30/2019	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT				\$809.87
			MUNI INTERM INV				
08/16/2019 as of 08/15/2019	Cash Dividend	SWGXX	SCHWAB GOVT MONEY	35.58			
			FUND				
08/13/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY	3,372.07	\$1.00	\$3,372.07
				FUND			
08/12/2019	Advisor Fee		TO ADVISOR				-\$3,372.07
08/01/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY	772.72	\$1.00	-\$772.72
				FUND			
07/31/2019	Cash Dividend	PRSMX	T ROWE PRICE SUMMIT				\$772.72
			MUNI INTERM INV				
07/16/2019 as of 07/15/2019	Cash Dividend	SWGXX	SCHWAB GOVT MONEY	31.81			
			FUND				



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 23,650.44 -592.05 (-2.44%)

4/20/2020

Charles Schwab Client Center

07/01/2019	Buy	NASDAQ (https://client.schwab.com/secure/cc/nn/gw/od?path=/retail/research/markets/chart&symbol=\$IXIC)	8,560.73 -89.41 (-1.05%)	SCHWAB GOVT MONEY FUND	892.24	\$1.00	-\$1,925.36
S&P 500 (https://client.schwab.com/secure/cc/nn/gw/od?path=/retail/research/markets/chart&symbol=\$SPX)	2,823.16 -51.48 (-1.79%)						
Russ 2000 (https://client.schwab.com/secure/cc/nn/gw/od?path=/retail/research/markets/chart&symbol=\$RU2000)	1,213.35 -15.75 (-1.28%)						
06/28/2019	Cash Dividend	PRILX	PARNASSUS CORE EQTY FD INST				\$1,182.47
06/28/2019	Cash Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$33.49
06/28/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	892.24	\$1.00	-\$892.24
06/27/2019	Cash Dividend	AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$892.24
06/21/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	870.89	\$1.00	-\$870.89
06/20/2019	Cash Dividend	PXSIX	PAX SMALL CAP INST				\$870.89

Transactions Total

\$752.78

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23,650.44 -692.05 (-2.94%)

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Transactions From 01/01/2019 To 12/31/2019

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
06/18/2019 as of 06/17/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	32.2			
06/03/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	837.89	\$1.00		-\$837.89
05/31/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$837.89
05/16/2019 as of 05/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	33.3			
05/14/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,260.53	\$1.00		\$3,260.53
05/13/2019	Advisor Fee			TO ADVISOR				-\$3,260.53
05/01/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	758.71	\$1.00		-\$758.71
04/30/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$758.71
04/16/2019 as of 04/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	29.91			
04/01/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,253.62	\$1.00		-\$2,253.62
03/29/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV				\$779.82
03/29/2019	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD INST				\$1,447.17
03/29/2019	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$26.63
03/29/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	748.59	\$1.00		-\$748.59

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DJIA (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$DJIA)
 23,650.44 -592.05 (-2.44%)

4/20/2020

Charles Schwab Client Center

03/28/2019	Cash Dividend	NASDAQ (https://client.schwab.com/secure/cc/n/gw/wsod?path=/retail/research/markets/chart/&symbol=\$IXIC)	CIBC ATTACHMENT				\$748.59
S&P 500 (https://client.schwab.com/secure/cc/n/gw/wsod?path=/retail/research/markets/chart/&symbol=\$SPX)			INST				
2,823.18 -51.40 (-1.79%)							
01/16/2019 as of 01/15/2019	Cash Dividend	Russell 2000 (https://client.schwab.com/secure/cc/n/gw/wsod?path=/retail/research/markets/chart/&symbol=\$RUT)	SCHWAB GOVT MONEY FUND		711.08	\$1.00	
1,213.35 -20.75 (-1.28%)							
03/01/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	711.08	\$1.00	-\$711.08
02/28/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$711.08
02/19/2019 as of 02/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	33.61		
02/12/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,991.65	\$1.00	\$2,991.65
02/11/2019	Advisor Fee			TO ADVISOR			-\$2,991.65
02/01/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	764.1	\$1.00	-\$764.10
01/31/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$764.10
01/16/2019 as of 01/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	14.32		
01/02/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	795.03	\$1.00	-\$795.03

Transactions Total

-\$795.03

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Brokerage Products: Not FDIC Insured No Bank Guarantee May Lose Value

Today's Date 4/20/2020

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23,850.44 -592.05 (-2.44%)

Attachment H: Fauci 2019 278e Report, Section 7

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Anthony J. Fauci
 Defined Benefit Plan
 Transactions 2019

Transactions From 01/01/2019 To 12/31/2019

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
12/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,557.18
12/30/2019	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$1,616.95
12/30/2019	Cash Dividend		HHDFX	HAMILIN HIGH DIV EQTY INSTL				\$1,614.99
12/30/2019	Reinvest Dividend		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST				\$5,234.46
12/30/2019	Reinvest Shares		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	231	\$22.66		-\$5,234.46
12/20/2019	Reinvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	30.575	\$11.57		-\$353.75
12/20/2019	Reinvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	250.945	\$11.57		-\$2,903.43
12/20/2019	Reinvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	21.373	\$11.57		-\$247.29
12/20/2019	Long Term Cap Gain Reinvest		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$353.75
12/20/2019	Reinvest Dividend		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$2,903.43
12/20/2019	Short Term Cap Gain Reinvest		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$247.29
12/19/2019	Long Term Cap Gain Reinvest		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST				\$8,037.19
12/19/2019	Reinvest Dividend		FLMVX	JPMORGAN MID CAP VALUE L				\$2,574.68
12/19/2019	Long Term Cap Gain Reinvest		EGFIX	EDGEWOOD GROWTH INSTL				\$402.67

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 23,650.44 -592.05 (-2.44%)

4/20/2020

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12/19/2019	Reinvest Shares	NASDAQ (https://client.schwab.com/secure/cc/n/gw/wsod?path=/retail/research/markets/chart&symbol=\$XIC)	8,560.73 -89.41 (-1.03%)	JPMORGAN MID CAP VALUE	65.347	\$39.40	-\$2,574.68
S&P 500 (https://client.schwab.com/secure/cc/n/gw/wsod?path=/retail/research/markets/chart&symbol=\$SPX)							
2,823.16 -51.40 (-1.79%)							
Russell 2000 (https://client.schwab.com/secure/cc/n/gw/wsod?path=/retail/research/markets/chart&symbol=\$RUT)							
1,213.35 -15.75 (-1.28%)							
12/19/2019	Reinvest Shares	EGFIX	EDGEWOOD GROWTH INSTL	10.506	\$36.32		-\$402.67
12/18/2019	Long Term Cap Gain Reinvest	IVIQX	IVA INTL FD CL I				\$789.81
12/18/2019	Reinvest Dividend	IVIQX	IVA INTL FD CL I				\$1,031.57
12/18/2019	Short Term Cap Gain Reinvest	IVIQX	IVA INTL FD CL I				\$179.38
12/18/2019	Reinvest Shares	IVIQX	IVA INTL FD CL I	49.956	\$15.81		-\$789.81
12/18/2019	Reinvest Shares	IVIQX	IVA INTL FD CL I	85.248	\$15.81		-\$1,031.57
12/18/2019	Reinvest Shares	IVIQX	IVA INTL FD CL I	11.348	\$15.81		-\$179.38
12/17/2019	Cash Dividend	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL				\$1,072.99
12/17/2019	Long Term Cap Gain Reinvest	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL				\$4,632.85
12/17/2019	Reinvest Shares	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	162.842	\$26.45		-\$4,632.85
12/16/2019 as of 12/15/2019	Bank Interest		BANK INT SCHWAB BANK				\$5.00
12/12/2019	Cash Dividend	TBQVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$776.18
12/12/2019	Long Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L				\$7,427.04
12/12/2019	Short Term Cap Gain Reinvest	TBQVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$43.51
12/12/2019	Long Term Cap Gain Reinvest	TBQVX	TWEEDY BROWNE GLOBAL VALUE FUND				\$93.98
12/12/2019	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	187.589	\$39.59		-\$7,427.04
12/12/2019	Reinvest Shares	TBQVX	TWEEDY BROWNE GLOBAL VALUE FUND	3.393	\$27.70		-\$93.98
12/12/2019	Reinvest Shares	TBQVX	TWEEDY BROWNE GLOBAL VALUE FUND	1.571	\$27.70		-\$43.51
12/10/2019	Long Term Cap Gain Reinvest	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$1,229.94
12/10/2019	Reinvest Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$307.83
12/10/2019	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	66.233	\$18.57		-\$1,229.94
12/10/2019	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	16.577	\$18.57		-\$307.83
11/29/2019	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,834.66
11/18/2019 as of 11/15/2019	Bank Interest		BANK INT SCHWAB BANK				\$19.73
11/12/2019	Advisor Fee		TO ADVISOR				-\$3,163.03
11/07/2019	Journal		JOURNAL TC				-\$246,666.72

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4/20/2020

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11/06/2019	Sell	NASDAQ (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$XIC)	CIBC ATLAS DISCIPLINED	4,511.326	\$22.02	\$15.00	\$99,985.00
S&P 500 (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$SPX)							
2,823.16 -31.40 (-1.79%)							
Russell 2000 (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$RUT)							
1,213.35 -15.75 (-1.28%)							
10/31/2019	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,834.93
10/16/2019 as of 10/15/2019	Bank Interest		BANK INT I SCHWAB BANK				\$26.18
09/30/2019	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,696.65
09/30/2019	Reinvest Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$398.56
09/30/2019	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	21,708	\$18.36		-\$398.56
09/27/2019	Cash Dividend	AIWIX	CIBC ATLAS INCM OPTTY FD INST				\$1,096.76
Transactions Total							-\$36,907.55

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Transactions From 01/01/2019 To 12/31/2019

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
09/19/2019	Bank Interest			BANK INT SCHWAB BANK				\$3.24
09/16/2019 as of 09/15/2019	Bank Interest			BANK INT SCHWAB BANK				\$32.67
08/30/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,956.73
08/16/2019 as of 08/15/2019	Bank Interest			BANK INT 071619-081519 SCHWAB BANK				\$34.54
08/12/2019	Advisor Fee			TO ADVISOR				-\$3,005.35
07/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,837.84
07/16/2019 as of 07/15/2019	Bank Interest			BANK INT 061619-071519 SCHWAB BANK				\$33.21
06/28/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,759.69
06/28/2019	Cash Dividend		HHAFX	HAMLIN HIGH DIV EQTY INSTL				\$2,040.13
06/28/2019	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$854.72
06/28/2019	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	47.04	\$18.17		-\$854.72
06/27/2019	Cash Dividend		AWIX	CIBC ATLAS INCM OPPTY FD INST				\$1,445.98
06/17/2019 as of 06/15/2019	Bank Interest			BANK INT SCHWAB BANK				\$33.44
05/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,998.92

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Quote

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23,850.44 -592.05 (-2.44%)

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05/16/2019 as of	Bank Interest	NASDAQ (https://client.schwab.com/secure/cc/nngw/wsod?path=/retail/research/markets/chart/&symbol=\$IXIC)						\$45.88
05/15/2019		0,580.73 -89.41 (-1.03%)						
S&P 500 (https://client.schwab.com/secure/cc/nngw/wsod?path=/retail/research/markets/chart/&symbol=\$SPX)								
2,823.16 -51.40 (-1.79%)								
Russ 2000 (https://client.schwab.com/secure/cc/nngw/wsod?path=/retail/research/markets/chart/&symbol=\$RU2000)								
1,213.35 -15.75 (-1.28%)								
04/30/2019	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST					\$1,852.18
04/24/2019	Sell	Trade Details	HHDFX	HAMLIN HIGH DIV EQTY INSTL	8,928,571	\$22.40	\$15.00	\$199,985.00
04/24/2019	Sell	Trade Details	IVQX	IVA INTL FD CL I	2,824,859	\$15.93	\$15.00	\$44,985.00
04/24/2019	Buy	Trade Details	AWIX	CIBC ATLAS INCM OPPTY FD INST	8,340,284	\$11.99	\$15.00	-\$100,015.00
04/24/2019	Sell	Trade Details	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	1,260,353	\$27.77	\$15.00	\$34,985.00
04/24/2019	Buy	Trade Details	EGFIX	EDGEWOOD GROWTH INSTL	1,445,923	\$34.58	\$15.00	-\$50,015.00
04/24/2019	Buy	Trade Details	HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	8,826,125	\$11.33	\$15.00	-\$100,015.00
04/24/2019	Buy	Trade Details	MPTX	MATTHEWS PACIFIC TIGER FD INSTL	3,456,619	\$28.83	\$15.00	-\$100,015.00
04/16/2019 as of	Bank Interest		BANK INT SCHWAB BANK					\$15.73
04/15/2019								
04/15/2019	Journal		JOURNAL FRM					\$100,000.00
03/29/2019	Reinvest Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I					\$672.56
03/29/2019	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST					\$1,894.44
03/29/2019	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	37,056	\$18.15			-\$672.58
03/28/2019	Cash Dividend	AWIX	CIBC ATLAS INCM OPPTY FD INST					\$656.87
03/18/2019 as of	Bank Interest		BANK INT SCHWAB BANK					\$13.06
03/15/2019								
02/28/2019	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST					\$1,771.08
02/19/2019 as of	Bank Interest		BANK INT SCHWAB BANK					\$14.84
02/15/2019								
02/11/2019	Advisor Fee		TO ADVISOR					-\$2,862.53
01/31/2019	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST					\$1,723.60
01/16/2019 as of	Bank Interest		BANK INT SCHWAB BANK					\$11.53
01/15/2019								
Transactions Total								\$40,130.41

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 23,650.44 -592.05 (-2.44%)

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2/28/2021

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Positions (<https://client.schwab.com/secure/cc/accounts/positions>) History (<https://client.schwab.com/secure/cc/accounts/historynew>)

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Anthony S. Fauci
IRA Contributory
Transactions 2019

Transactions From 01/01/2019 To 12/31/2019

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
12/30/2019	Cash Dividend		AWIX	CIBC ATLAS INCM OPPTY FD INST				\$384.57
12/30/2019	Reinvest Dividend		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST				\$1,462.70
12/30/2019	Reinvest Shares		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	64.55	\$22.66		-\$1,462.70
12/20/2019	Cash Dividend		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$385.93
12/20/2019	Reinvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	4.064	\$11.57		-\$47.02
12/20/2019	Reinvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	2.841	\$11.57		-\$32.87
12/20/2019	Long Term Cap Gain Reinvest		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$47.02
12/20/2019	Short Term Cap Gain Reinvest		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$32.87
12/19/2019	Long Term Cap Gain		EGFIX	EDGEWOOD GROWTH INSTL				\$93.15
12/19/2019	Cash Dividend		FLMVX	JPMORGAN MID CAP VALUE L				\$1,080.04
12/19/2019	Long Term Cap Gain Reinvest		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST				\$2,245.88
12/19/2019	Reinvest Shares		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	98.981	\$22.69		-\$2,245.88
12/17/2019	Cash Dividend		MIPTX	MATTHEWS PACIFIC TIGER FD INSTL				\$519.85
12/17/2019	Long Term Cap Gain Reinvest		MIPTX	MATTHEWS PACIFIC TIGER FD INSTL				\$2,244.56

Quote

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DJIA ([https://client.schwab.com/secure/cc/nn/gw/wod?path=/retail/research/markets/chart&symbol=\\$DJI](https://client.schwab.com/secure/cc/nn/gw/wod?path=/retail/research/markets/chart&symbol=$DJI))
23,650.44 -582.05 (-2.44%)

4/20/2020

Charles Schwab Client Center

06/28/2019	Reinvest Dividend	NASDAQ (https://client.schwab.com/secure/cc/nn/gw/bsod?path=/retail/research/markets/chart/&symbol=\$IXIC)	8,560.73 -89.41 (-1.03%)	EQTY YIELD I				\$330.10
S&P 500 (https://client.schwab.com/secure/cc/nn/gw/bsod?path=/retail/research/markets/chart/&symbol=\$SPX)			2,823.16 -51.40 (-1.79%)					
04/28/2020	Reinvest Dividend	MAINSTAY EPOCH GLOBAL	1,213.35 -15.75 (-1.28%)	EQTY YIELD I		167	Time (ET) 5:27:47 PM	\$18.17 Refresh
06/27/2019	Cash Dividend	AVIAX		CIBC ATLAS INCM OPPTY FD INST				\$343.99
08/17/2019 as of 06/15/2019	Bank Interest			BANK INT (SCHWAB BANK				\$2.47
05/16/2019 as of 05/15/2019	Bank Interest			BANK INT (SCHWAB BANK				\$2.49
04/16/2019 as of 04/15/2019	Bank Interest			BANK INT (SCHWAB BANK				\$2.54
03/29/2019	Reinvest Dividend	EPSYX		MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$259.75
03/29/2019	Reinvest Shares	EPSYX		MAINSTAY EPOCH GLOBAL EQTY YIELD I	14.311		\$18.15	\$259.75
Transactions Total								-\$1,323.61

*Transactions may include Bank Sweep deposit information. Bank Sweep deposits are held at the FDIC-insured depository institution(s) referenced on the Balances detail page, your account statements and disclosed in your account documents. SIPC does not cover balances held in the Bank Sweep feature.

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23,650.44 -592.05 (-2.44%)

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Transactions From 01/01/2019 To 12/31/2019

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
03/28/2019	Cash Dividend		AWIX	CIBC ATLAS INCM OPPTY FD INST				\$288.61
03/18/2019 as of 03/15/2019	Bank Interest			BANK INT SCHWAB BANK				\$2.25
02/19/2019 as of 02/15/2019	Bank Interest			BANK INT SCHWAB BANK				\$2.50
02/08/2019	Sell	Trade Details	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	2,690.173	\$25.95	\$15.00	\$74,985.00
02/05/2019	Buy	Trade Details	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	2,669.039	\$28.10	\$15.00	-\$75,015.00
01/16/2019 as of 01/15/2019	Bank Interest			BANK INT SCHWAB BANK				\$2.40

Transactions Total

\$265.76

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Attachment J: Fauci 2019 278e Report, Section 7

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*Anthony J. Fauci
 Revocable Trust
 Transactions - 2019*

Transactions From 01/01/2019 To 12/31/2019

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
12/31/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$1,896.46
12/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,217.61
12/31/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	359.02			
12/31/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	11,969.93	\$1.00		-\$11,969.93
12/30/2019	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$2,159.43
12/30/2019	Cash Dividend		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST				\$9,810.50
12/24/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	249,446.05	\$1.00		\$249,446.05
12/23/2019	Cash Dividend		VSMAX	VANGUARD SMALL CAP INDEX ADMIRAL SHARE				\$563.95
12/23/2019	Buy	Trade Details	MIEIX	MFS INSTL INTL EQUITY	7,199.424	\$27.78	\$15.00	-\$200,015.00
12/23/2019	Buy	Trade Details	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	2,830.195	\$19.01	\$15.00	-\$50,015.00
12/20/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,667.81	\$1.00		-\$2,667.81
12/19/2019	Cash Dividend		FLMVX	JPMORGAN MID CAP VALUE L				\$2,667.81
12/19/2019	Long Term Cap Gain Reinvest		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST				\$15,063.42
12/18/2019	Reinvest Shares		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	663.879	\$22.69		-\$15,063.42

Quote

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 24,345.72 -288.14 (-1.17%)

4/30/2020

Charles Schwab Client Center

12/18/2019	Buy		NASDAQ (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$IXIC)	SCHWAB GOVT MONEY FUND	5,586.84	\$1.00	-\$5,586.84
S&P 500 (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$SPX)							
2,912.43 -27.08 (-0.92%)							
Russell 2000 (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$RUT)							
1,310.66 -50.10 (-3.68%)				EMRG MKTS CL I		Time (ET) 4:18:03 PM	Refresh
12/17/2019	Cash Dividend		MIPTX	MATTHEWS PACIFIC TIGER FD INSTL			\$1,579.03
12/17/2019	Long Term Cap Gain Reinvest		MIPTX	MATTHEWS PACIFIC TIGER FD INSTL			\$6,817.79
12/17/2019	Reinvest Shares		MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	239.641	\$28.45	-\$6,817.79
12/13/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,540.08	\$1.00	-\$2,540.08
12/12/2019	Cash Dividend		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND			\$2,540.08
12/12/2019	Long Term Cap Gain Reinvest		FLMVX	JPMORGAN MID CAP VALUE L			\$7,695.70
12/12/2019	Short Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND			\$142.38
12/12/2019	Long Term Cap Gain Reinvest		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND			\$307.54
12/12/2019	Reinvest Shares		FLMVX	JPMORGAN MID CAP VALUE L	194.385	\$39.59	-\$7,695.70
12/12/2019	Reinvest Shares		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	11.103	\$27.70	-\$307.54
12/12/2019	Reinvest Shares		TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	5.14	\$27.70	-\$142.38
12/11/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	248.89	\$1.00	-\$248.89
12/10/2019	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$248.89
12/10/2019	Long Term Cap Gain Reinvest		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$994.46
12/10/2019	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	53.552	\$18.57	-\$994.46
12/02/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,133.94	\$1.00	-\$3,133.94
11/29/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV			\$1,906.56
11/29/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST			\$1,227.38
11/18/2019 as of 11/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	234.68		
11/13/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	5,686.72	\$1.00	\$5,686.72
11/12/2019	Advisor Fee			TO ADVISOR			-\$5,686.72
11/08/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	247,531.84	\$1.00	-\$247,531.84
11/07/2019	Cash Dividend		VCCRXX	VERSUS CAP REAL ASSET FUND			\$865.12
11/07/2019	Journal			JOURNAL FRM			\$246,666.72
11/06/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	75,015	\$1.00	\$75,015.00
11/04/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	50,015	\$1.00	\$50,015.00

[removed account number]

Quote

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Symbol LookupDJIA (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$DJI)
24,345.72 -288.14 (-1.17%)

4/30/2020

Charles Schwab Client Center

11/01/2019	Buy	NASDAQ (https://client.schwab.com/secure/cc/nn/gw/wsood?path=/retail/research/markets/chart/&symbol=\$IXIC)	EMRG MKTS CL I	3,483.51	\$21.53	\$75.00	-
2,912.43 -27.08 (-0.92%)							
11/01/2019	Buy	Russ 2000 (https://client.schwab.com/secure/cc/nn/gw/wsood?path=/retail/research/markets/chart/&symbol=\$RUSX)	NOTHING PACIFIC UCBS	1,766.74	\$28.30	\$15.00	-
1,310.66 -50.10 (-3.86%)			FD INSTL		4:18:09 PM	Refresh	
11/01/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,090.8	\$1.00	-
							\$3,090.80
10/31/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV			\$1,911.48
10/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST			\$1,179.32
10/17/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	20,668.39	\$1.00	-
							\$20,668.39
10/16/2019	Journal			J7NORMAL			\$20,668.39
10/16/2019 as of 10/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	185.99		

Transactions Total

\$3,114.07

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24,345.72 -288.14 (-1.17%)

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Transactions From 01/01/2019 To 12/31/2019

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
10/11/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,060.77	\$1.00		-\$1,060.77
10/10/2019	Cash Dividend		VCPIX	VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST				\$1,060.77
10/01/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,146.44	\$1.00		-\$3,146.44
09/30/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$1,731.78
09/30/2019	Cash Dividend		PIPIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,090.48
09/30/2019	Cash Dividend		EP\$YX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$324.20
09/30/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,464.72	\$1.00		-\$1,464.72
09/27/2019	Cash Dividend		AWPIX	CIBC ATLAS INCM CPPTY FD INST				\$1,464.72
09/17/2019 as of 09/16/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	166.69			
09/16/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	294.16	\$1.00		-\$294.16
09/13/2019	Cash Dividend		VSMAX	VANGUARD SMALL CAP INDEX ADMIRAL SHARE				\$294.16
09/10/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	6,240	\$1.00		-\$6,240.00
09/09/2019	Journal			JOURNAL FRM				\$3,060.00
09/09/2019	Journal			JOURNAL FRM				\$3,160.00

[removed account numbers]



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24,345.72 -288.14 (-1.17%)

Charles Schwab Client Center

Date	Transaction	Symbol	Description	Price	Amount	Balance
06/28/2019	Buy	SWGXX	Schwab Govt Money Fund	\$1.00	\$1,931.10	\$1,931.10
06/28/2019	Cash Dividend	VSMAX	Vanguard Small Cap Index Admiral Share		\$316.95	\$316.95
06/18/2019 as of 06/17/2019	Cash Dividend	SWGXX	Schwab Govt Money Fund		\$288.36	\$288.36
08/11/2019	Buy	SWGXX	Schwab Govt Money Fund	\$1.00	\$6,240.00	\$6,240.00
06/10/2019	Journal		JOURNAL FRM		\$3,080.00	\$3,080.00
06/10/2019	Journal		JOURNAL FRM		\$3,160.00	\$3,160.00

Transactions Total

\$0.00

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Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
06/03/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,974.59	\$1.00		-\$2,974.59
05/31/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$1,889.65
05/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,104.94
05/20/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	100.015	\$1.00		\$100,015.00
05/17/2019	Buy	Trade Details	VSMAX	VANGUARD SMALL CAP INDEX ADMIRAL SHARE	1,365.001	\$73.26	\$15.00	-\$100,015.00
05/17/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	100.000	\$1.00		\$100,000.00
05/16/2019	Buy	Trade Details	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV	8,319.468	\$12.02		-\$100,000.00
05/16/2019 as of 05/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	196.73			
05/14/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	5,042.62	\$1.00		\$5,042.62
05/13/2019	Advisor Fee			TO ADVISOR				-\$5,042.62
05/10/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	904.44	\$1.00		-\$904.44
05/09/2019	Cash Dividend		VCFRX	VERSUS CAP REAL ASSET FUND				\$904.44
05/09/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	300.000	\$1.00		-\$300,000.00
05/08/2019	Funds Received	Deposit Details		FUNDS RECEIVED				\$300,000.00



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24,345.72 -268.14 (-1.17%)

4/30/2020

Charles Schwab Client Center

02/11/2019	Cash Dividend	NASDAQ (https://client.schwab.com/secure/cc/nn/gw/wso?path=/retail/research/markets/chart/&symbol=\$IXIC)	VERSUS CAP REAL ASSET FUND				\$885.12
		8,889.55 -25.16 (-0.28%)					
		S&P 500 (https://client.schwab.com/secure/cc/nn/gw/wso?path=/retail/research/markets/chart/&symbol=\$SPX)					
		2,912.43 -27.06 (-0.92%)					
		Russell 2000 (https://client.schwab.com/secure/cc/nn/gw/wso?path=/retail/research/markets/chart/&symbol=\$RUT)					
		1,310.66 -50.10 (-3.85%)					
				Time (ET)	Refresh		
				4:18:09 PM			
02/11/2019	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	49,891.77	\$1.00	\$49,891.77
02/08/2019	Sell	Trade Details	MIEIX	MFS INSTL INTL EQUITY	5.82	\$23.75	\$123.23
02/08/2019	Buy	Trade Details	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	1,779.359	\$28.10	\$50,015.00
02/01/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,716.06	\$1.00	-\$2,716.06
01/31/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM FUND INV			\$1,763.32
01/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST			\$952.74
Transactions Total							\$0.00

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01/16/2019 as of 01/15/2019	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	66.89			
01/15/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,114.71	\$1.00		-\$1,114.71
01/14/2019	Cash Dividend		VCMEX	VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST				\$1,114.71
01/02/2019	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,699.8	\$1.00		-\$2,699.80
Transactions Total								-\$2,699.80

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Quote

Show Indices
Symbol Lookup

DJIA (<https://client.schwab.com/secure/cc/legal/compliance/djia>)
24,345.72 -268.14 (-1.17%)

**Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)**

Filer's Information

FAUCI, ANTHONY S

Date of Appointment: 02/01/1985

Other Federal Government Positions Held During the Preceding 12 Months:

Director, National Institute of Allergy and Infectious Diseases

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

FAUCI, ANTHONY S [electronically signed on 04/20/2021 by FAUCI, ANTHONY S in NEES]

Agency Ethics Official Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

TABAK, LAWRENCE ALLAN [electronically signed on 05/24/2021 by TABAK, LAWRENCE ALLAN in NEES]

Other review conducted by

#	COMMENTS	EMPLOYEE	DATE
1	Reassigned 04/23/2021. IR complete KMcKenzie 04/26/2021. Compliant with applicable rules. KMcKenzie	NEO Reviewer - MCKENZIE, KISZI MONIQUE	4/26/2021 at 11:33 AM

U.S office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	NAME	CITY, STATE	ORG TYPE	POSITION	FROM	TO
1	Doris Duke Charitable Foundation (DDCF)	NEW YORK, New York	Foundation	Member	10/01/1996	N/A
2	McGraw-Hill Publishing Company	Burr Ridge, Illinois	Publisher/Journal	Editor, Associate	11/01/1983	N/A

2. Filer's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Jackson-Fillmore (Italian Restaurant)	N	\$1,001 to \$15,000		None (or less than \$201)
2	McGraw-Hill Publishers, Inc. - New York, New York	N	None (or less than \$1,001)	Rents and Royalties	\$100,001 to \$1,000,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a year

None

5. Spouse's Employment Assets and Income

See Attachments D-E

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Dreyfus Liquid Assets Class I - Money Market	Y	\$250,001 to \$500,000	Dividends	\$2,501 to \$5,000
2	Learning Quest 529 Education Plan for Child #3: Moderate track, Short-term Portfolio.	Y	\$50,001 to \$100,000	Dividends	\$2,501 to \$5,000

See Attachments A-C

7. Transactions

See Attachments F-J

8. Liabilities

None

9. Gifts and Travel Reimbursements

#	BRIEF DESCRIPTION	SOURCE NAME	CITY, STATE	VALUE
1	Service to America Medal - Federal Employee of the Year	Partnership for Public Service	Washington, DC, District of Columbia	5198.00
2	"An Evening of Hope" gala attended virtually by my wife and I on April 25, 2020	The Children's Inn at NIH	BETHESDA, Maryland	1600.00
3	"Prepared for Life" Gala attended virtually by me on October 14, 2020	National Capital Area Council for Boy Scouts of America	WASHINGTON, District of Columbia	1500.00

4	"RFK Ripple of Hope" Gala attended virtually by my wife and I on December 10, 2020	Robert F. Kennedy Human Rights Foundation	WASHINGTON, District of Columbia	5000.00
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CERTIFIED

Attachment A: Fauci 2020 278e Report, Section 6

charles
SCHWAB

Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2020

Need help reading this statement?

Visit www.schwab.com/StatementUserGuide for more information.

Your Independent Investment Manager and/or Advisor

CIBC PRIVATE WEALTH ADVRS, INC
1201 F ST NW STE 900
WASHINGTON DC 20004-1223
1 (202) 783-4144

The custodian of your brokerage account is: Charles Schwab & Co., Inc.

This report is provided by Schwab. Except as noted in the terms and conditions, your Investment Manager and/or Advisor is independently owned and operated and not an affiliate with Schwab. For questions about this statement, or if there is a change in your financial situation, investment objectives, or risk profile, please contact your Independent Investment Manager and/or Advisor.

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ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY



PRIVATE WEALTH
MANAGEMENT

Your Independent Investment Advisor is not affiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor.

Terms and Conditions

This Account statement is furnished solely by Charles Schwab & Co., Inc. ("Schwab") for your Account at Schwab ("Account"). Schwab Institutional is a division of Charles Schwab & Co., Inc., and provides back office brokerage and related services to investment advisors and retirement plan providers. Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement. Schwab is a registered broker-dealer and is not affiliated with your Investment Advisor whose name appears on this statement ("Advisors") except in the case of Charles Schwab Investment Advisory, Inc. ("CSIA"), Schwab Private Client Investment Advisory, Inc. ("SPCIA"), or an affiliated company that may act as the investment advisor on a fund. Advisors are independently owned and operated. Schwab neither endorses nor recommends any particular Advisor or its investment strategy and has no responsibility to monitor trading by any Advisor in your Account. Schwab has not verified any statement accompanying any Advisor's logo appearing on this statement. Advisors provide investment advisory services for your Account. Schwab provides brokerage and custody services for your Account. Schwab has agreements with Advisors under which Schwab provides Advisors with institutional trading, custody and related services, and products. Not all of these products and services may benefit your Account, and Schwab may provide them to Advisors on the Advisor's commitment to place a certain amount of its clients' assets in brokerage accounts at Schwab within a certain period of time. This commitment could influence an Advisor's recommendation or requirement that its clients establish brokerage accounts at Schwab.

GENERAL INFORMATION AND KEY TERMS:

If you receive any other communication from any source other than Schwab which purports to represent your holdings at Schwab (including balances held at a Depository Institution) you should verify its content with this statement.

AIP (Automatic Investment Plan) Customers: Schwab receives remuneration in connection with certain transactions effected through Schwab. If you participate in a systematic investment program through Schwab, the additional information normally detailed on a trade confirmation will be provided upon request.

Average Daily Balance: Average daily composite of all cash balances that earn interest and all loans from Schwab that are charged interest.

Bank Sweep and Bank Sweep for Benefit Plans Features: Schwab acts as your agent and custodian in establishing and maintaining your Bank Sweep and Bank Sweep for Benefit Plans features as a Schwab Cash feature for your brokerage account. Deposit accounts constitute direct obligations of banks affiliated with Schwab and are not obligations of Schwab. Bank deposit accounts are insured by the FDIC within applicable limits. The balance in the bank deposit accounts can be withdrawn on your order and the proceeds returned to your securities account or remitted to you as provided in your Account Agreement. For information on FDIC insurance and its limits, as well as other important disclosures about the Bank Sweep and Bank Sweep for Benefit Plans features, please refer to the Cash Features Disclosure Statement available online or from a Schwab representative.

Cash: Any Free Credit Balance owed by us to you payable upon demand which, although accounted for on our books of record, is not

segregated and may be used in the conduct of this firm's business. **Current Yield:** Annual dividend paid on an equity divided by the current market price.

Dividend Reinvestment Customers: Dividend reinvestment transactions were effected by Schwab acting as a principal for its own account, except for the reinvestment of Schwab dividends, for which an independent broker-dealer acted as the buying agent. Further information on these transactions will be furnished upon written request.

Estimated Annual Income: Estimated annual income is derived from information provided by outside parties. Schwab cannot guarantee the accuracy of such information. Since the interest and dividends are subject to change at any time, they should not be relied upon exclusively for making investment decisions.

Fees and Charges: For those fees described in the statement as "Fee to Advisor", as authorized by you, Schwab debited your Account to pay the fees as instructed by your Advisor. It is your responsibility, and not Schwab's, to verify the accuracy of the fees. Margin interest charged to your Account during the statement period is included in this section of the statement.

Interest: For the Schwab One Interest, Bank Sweep, and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. For the Schwab One Interest feature, interest accrues daily from the second-to-last business day of the prior month and is posted on the second-to-last business day of the current month. For the Bank Sweep feature, interest accrues daily from the 16th day of the prior month and is credited/posted on the first business day after the 15th of the current month.

If, on any given day, the interest that Schwab calculates for the Free Credit Balances in the Schwab One Interest feature in your brokerage account is less than \$,005, you will not accrue any interest on that day. For balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features, interest will accrue even if the amount is less than \$,005.

Margin Account Customers: This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection. Securities purchased on margin are Schwab's collateral for the loan to you. It is important that you fully understand the risks involved in trading securities on margin. These risks include:

- You can lose more funds than you deposit in the margin account.
- Schwab can force the sale of securities or other assets in any of your account(s) to maintain the required account equity without contacting you.
- You are not entitled to choose which assets are liquidated nor are you entitled to an extension of time on a margin call.
- Schwab can increase its "house" maintenance margin requirements at any time without advance written notice to you.

Market Price: The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade

price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Pricing of assets not held at Schwab is for informational purposes only. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as State Priced. For Limited Partnerships and Real Estate Investment Trust (REIT) securities, you may see that the value reflected on your monthly account statement for this security is unpriced. NASD rules require that certain Limited Partnerships (direct participation programs) and Real Estate Investment Trust (REIT) securities, that have not been priced within 18 months, must show as unpriced on customer statements. Note that these securities are generally illiquid, the value of the securities will be different than its purchase price, and, if applicable, that accurate valuation information may not be available.

Market Value: The Market Value is computed by multiplying the Market Price by the Quantity of Shares. This is the dollar value of your present holdings in your specified Schwab Account or a summary of the Market Value summed over multiple accounts.

Non-Publicly Traded Securities: All assets shown on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain Non-Publicly Traded Securities may be furnished by a third party as provided by Schwab's Account Agreement. Schwab shall have no responsibility for the accuracy or timeliness of such valuations. The Securities Investor Protection Corporation (SIPC) does not cover many limited partnership interests.

Option Customers: Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request 2) You should advise us promptly of any material changes in your investment objectives or financial situation 3) Exercise assignment notices for the option contracts are allocated among customer short positions pursuant to an automated procedure which randomly selects from among all customer short option positions those contracts which are subject to exercise, including positions established on the day of assignment 4) Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult your tax advisor or IRS publication 550, Investment Income and Expenses, for additional information on Options.

Schwab Sweep Money Funds: Includes the primary money market funds into which Free Credit Balances may be automatically invested pursuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the Investment Advisor, Transfer Agent, Shareholder Service Agent and Distributor for the Schwab Sweep Money Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary. If on any given day, the accrued daily dividend for your selected sweep money fund as calculated for your account is less than 1/2 of 1 cent (\$0.005), your account will not earn a dividend for that day. In addition, if you do not accrue at least 1 daily dividend of \$0.01 during

Terms and Conditions (continued)

a pay period, you will not receive a money market dividend for that period. Schwab and the Schwab Sweep Money Funds investment advisor may be voluntarily reducing a portion of a Schwab Sweep Money Fund's expenses. Without these reductions, yields would have been lower.

Securities Products and Services: Securities products and services are offered by Charles Schwab & Co., Inc., Member SIPC. Securities products and services, including unswept intraday funds and net credit balances held in brokerage accounts are not deposits or other obligations of, or guaranteed by, any bank, are not FDIC insured, and are subject to investment risk and may lose value. SIPC does not cover balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features.

Short Positions: Securities sold short will be identified through an "S" in Investment Detail. The market value of these securities will be expressed as a debit and be netted against any long positions in Total Account Value.

Yield to Maturity: This is the actual average annual return on a note if held to maturity.

Gain (or Loss): Unrealized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s)") contain a gain or a loss summary of your Account. This information has been provided on this statement at the request of your Advisor. This information is not a solicitation or a recommendation to buy or sell. It may, however, be helpful for investment and tax planning strategies. Schwab does not provide tax advice and encourages you to consult with your tax professional. Please view the Cost Basis Disclosure Statement for additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS.

Accrued Income: Accrued Income is the sum of the total accrued interest and/or accrued dividends on positions held in your Account, but the interest and/or dividends have not been received into your account. Schwab makes no representation that the amounts shown (or any other amount) will be received. Accrued amounts are not covered by SIPC account protection until actually received and held in the Account.

IN CASE OF ERRORS OR DISCREPANCIES: If you find an error or discrepancy relating to your brokerage activity (other than an electronic fund transfer) you must notify us promptly, but no later than 10 days after this statement is sent or made available to you. If this statement shows that we have mailed or delivered security certificate(s) that you have not received, notify Schwab immediately. You may call us at 800-515-2157. Any oral communications should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with respect to those brokerage transactions.

IN CASE OF COMPLAINTS: If you have a complaint regarding your Schwab statement, products or services, please write to the Client Advocacy Team at Charles Schwab & Co., Inc., Attention: Client Advocacy Team, 211 Main St., San Francisco, CA 94105, USA, or call Schwab Signature Alliance at 800-515-2157.

Address Changes: If you fail to notify Schwab in writing of any change of address or phone number, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account.

Additional Information:

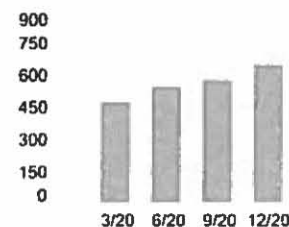
We are required by law to report to the Internal Revenue Service adjusted cost basis information (if applicable), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third party trademarks appearing herein are the property of their respective owners. Schwab and Charles Schwab Bank are affiliates of each other and subsidiaries of the Charles Schwab Corporation.

(1017-7MAX)

Account Value as of 12/31/2020: \$ 638,519.70

Change in Account Value

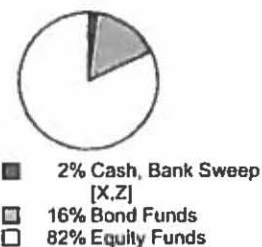
	This Period	Year to Date	Account Value [in Thousands]
Starting Value	\$ 614,914.74	\$ 589,612.35	
Credits	19,612.37	22,635.36	900
Debits	0.00	0.00	750
Transfer of Securities (In/Out)	0.00	0.00	600
Income Reinvested	(15,524.43)	(16,019.95)	450
Change in Value of Investments	19,517.02	42,291.94	300
Ending Value on 12/31/2020	\$ 638,519.70	\$ 638,519.70	150
Total Change in Account Value	\$ 23,604.96	\$ 48,907.35	0



Asset Composition

	Market Value	% of Account Assets
Cash and Bank Sweep ^{X,Z}	\$ 14,798.48	2%
Bond Funds	102,132.82	16%
Equity Funds	521,588.40	82%
Total Assets Long	\$ 638,519.70	
Total Account Value	\$ 638,519.70	100%

Overview



**PRIVATE WEALTH
MANAGEMENT**

Your Independent Investment Advisor is not affiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor.



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2020

Gain or (Loss) Summary		Gain or (Loss) on Investments Sold	Unrealized Gain or (Loss)
		This Period	
All Investments		\$0.00	\$180,078.23
<i>Values may not reflect all of your gains/losses. Cost basis may change and be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional gain or (loss) information refer to Terms and Conditions.</i>			
Income Summary		This Period	Year To Date
Bank Sweep Interest		0.09	3.25
Cash Dividends		4,900.77	7,920.60
Total Capital Gains Distributions		14,711.51	14,711.51
Total Income		19,612.37	22,635.36
Cash Transactions Summary		This Period	Year to Date
Starting Cash*		\$ 10,710.54	\$ 7,850.07
Deposits and other Cash Credits		0.00	0.00
Investments Sold		0.00	175,363.00
Dividends and Interest		19,612.37	22,635.36
Withdrawals and other Debits		0.00	0.00
Investments Purchased		(15,524.43)	(191,049.95)
Fees and Charges		0.00	0.00
Total Cash Transaction Detail		4,087.94	6,948.41
Ending Cash*		\$ 14,798.48	\$ 14,798.48

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2020

Investment Detail - Cash and Bank Sweep

Cash	Starting Balance	Ending Balance	% of Account Assets
Cash	229.60	262.64	<1%
Total Cash	229.60	262.64	<1%
<hr/>			
Bank Sweep	Starting Balance	Ending Balance	% of Account Assets
CHARLES SCHWAB BANK	10,480.94	14,535.84	2%
Total Bank Sweep ^{x2}	10,480.94	14,535.84	2%
Total Cash and Bank Sweep		14,798.48	2%

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
PIMCO INVESTMENT GRADE C REDIT BOND INSTL SYMBOL: PIGIX	4,445.0790	11.29000	50,184.94	45,195.13	4,989.81	8%
VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM SYMBOL: VFIDX	4,961.5930	10.47000	51,947.88	51,331.73	616.15	8%
Total Bond Funds	9,406.6720		102,132.82	96,526.86	5,605.96	16%

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2020

Investment Detail - Mutual Funds (continued)

Equity Funds	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
CIBC ATLAS DISCIPLINED EQUITY INSTL SYMBOL: AWEIX	8,180.0920	26.41000	216,036.23	109,350.99	106,685.24	34%
CIBC ATLAS INCOME OPPORTUNITIES INSTL SYMBOL: AWIIX	4,326.9590	14.06000	60,837.04	45,115.98	15,721.06	10%
CIBC ATLAS MID CAP EQUITY INSTITUTIONAL SYMBOL: AWMIX	2,207.7260	20.14000	44,463.60	26,074.79	18,388.81	7%
EDGEWOOD GROWTH INSTL SYMBOL: EGFIX	852.2730	51.77000	44,122.17	30,015.00	14,107.17	7%
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	1,133.8740	36.94000	41,885.31	36,343.90	5,541.41	7%
MATTHEWS PACIFIC TIGER INSTL SYMBOL: MIPTX	2,748.0530	34.90000	95,907.05	85,015.70	10,891.35	15%
MFS INSTL INTERNATIONAL EQUITY SYMBOL: MIEIX	598.4660	30.64000	18,337.00	15,199.77	3,137.23	3%
Total Equity Funds	20,047.4430		521,588.40	347,116.13	174,472.27	82%
Total Mutual Funds	29,454.1150		623,721.22	443,642.99	180,078.23	98%

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2020

Investment Detail - Total

Total Investment Detail	638,519.70
Total Account Value	638,519.70
Total Cost Basis	443,642.99

Transaction Detail - Purchases & Sales

Bond Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/09/20	12/09/20	Reinvested Shares	PIMCO INVESTMENT GRADE C REDIT BOND INSTL: PIGIX	17.4220	11.2000	(195.13)
12/29/20	12/29/20	Reinvested Shares	VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM: VFIDX	56.9630	10.4500	(595.26)
12/29/20	12/29/20	Reinvested Shares	VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM: VFIDX	69.0400	10.4500	(721.47)
Total Bond Funds Activity						(1,511.86)

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/10/20	12/10/20	Reinvested Shares	MFS INSTL INTERNATIONAL EQUITY: MIEIX	1.3020	29.9400	(38.99)
12/11/20	12/11/20	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	69.3680	36.8900	(2,558.99)
12/16/20	12/16/20	Reinvested Shares	MATTHEWS PACIFIC TIGER I NSTL: MIPTX	33.0940	33.5700	(1,110.98)
12/16/20	12/16/20	Reinvested Shares	MATTHEWS PACIFIC TIGER I NSTL: MIPTX	115.8690	33.5700	(3,889.72)

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number
1 1 1 1 1

Statement Period
December 1-31, 2020

Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity (continued)

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/22/20	12/22/20	Reinvested Shares	CIBC ATLAS DISCIPLINED E QUITY INSTL: AWEIX	156.5630	26.0200	(4,073.78)
12/22/20	12/22/20	Reinvested Shares	CIBC ATLAS MID CAP EQUIT Y INSTITUTIONAL: AWMIX	53.8470	19.9600	(1,074.79)
12/30/20	12/30/20	Reinvested Shares	CIBC ATLAS DISCIPLINED E QUITY INSTL: AWEIX	48.2390	26.2300	(1,265.32)
Total Equity Funds Activity						(14,012.57)
Total Purchases & Sales						(15,524.43)

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Process		Activity	Description	Credit/(Debit)
Date	Date			
12/09/20	12/09/20	LT Cap Gain Rein	PIMCO INVESTMENT GRADE C: PIGIX	195.13
12/10/20	12/10/20	LT Cap Gain Rein	MFS INSTL INTERNATIONAL: MIEIX	38.99
12/10/20	12/10/20	Cash Dividend	MFS INSTL INTERNATIONAL: MIEIX	144.14
12/11/20	12/11/20	LT Cap Gain Rein	JPMORGAN MID CAP VALUE L: FLMVX	2,558.99
12/15/20	12/16/20	Bank Interest ^{X,Z}	BANK INT SCHWAB BANK	0.09
12/16/20	12/16/20	Cash Dividend	MATTHEWS PACIFIC TIGER I: MIPTX	325.46
12/16/20	12/16/20	LT Cap Gain Rein	MATTHEWS PACIFIC TIGER I: MIPTX	3,889.72
12/16/20	12/16/20	Sttm Cap Gn Rein	MATTHEWS PACIFIC TIGER I: MIPTX	1,110.98
12/18/20	12/18/20	Cash Dividend	JPMORGAN MID CAP VALUE L: FLMVX	511.92
12/22/20	12/22/20	LT Cap Gain Rein	CIBC ATLAS DISCIPLINED E: AWEIX	4,073.78
12/22/20	12/22/20	LT Cap Gain Rein	CIBC ATLAS MID CAP EQUIT: AWMIX	1,074.79
12/22/20	12/22/20	LT Cap Gain	EDGEWOOD GROWTH INSTL: EGFIX	2,158.64

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/22/20	12/22/20	Short Term Cap Gn	EDGEWOOD GROWTH INSTL: EGFIX	397.67
12/28/20	12/28/20	Cash Dividend	PIMCO INVESTMENT GRADE C: PIGIX	2.67
12/29/20	12/29/20	Sttm Cap Gn Rein	VANGUARD INTERM-TERM INV: VFIDX	595.26
12/29/20	12/29/20	LT Cap Gain Rein	VANGUARD INTERM-TERM INV: VFIDX	721.47
12/30/20	12/30/20	Div For Reinvest	CIBC ATLAS DISCIPLINED E: AWEIX	1,265.32
12/30/20	12/30/20	Cash Dividend	CIBC ATLAS INCOME OPPORT: AWIIX	284.71
12/31/20	12/31/20	Cash Dividend	PIMCO INVESTMENT GRADE C: PIGIX	148.13
12/31/20	12/31/20	Cash Dividend	VANGUARD INTERM-TERM INV: VFIDX	114.51
Total Dividends & Interest				19,612.37

Total Transaction Detail 4,087.94

Bank Sweep Activity

Transaction Date	Transaction	Description	Withdrawal	Deposit	Balance ^{x,z}
Opening Balance ^{x,z}					10,480.94
12/01/20	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		229.60	10,710.54
12/11/20	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		144.14	10,854.68
12/15/20	Interest Paid ^{x,z}	BANK INTEREST - CHARLES SCHWAB BANK		0.09	10,854.77
12/15/20	Auto Transfer	BANK TRANSFER TO BROKERAGE	0.09		10,854.68
12/17/20	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		325.55	11,180.23
12/21/20	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		511.92	11,692.15
12/23/20	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		2,556.31	14,248.46



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2020

Bank Sweep Activity (continued)

Transaction Date	Transaction	Description	Withdrawal	Deposit	Balance ^{x,z}
12/29/20	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		2.67	14,251.13
12/31/20	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		284.71	14,535.84
Total Activity			0.09	4,054.99	
Ending Balance ^{x,z}					14,535.84

Bank Sweep: Interest Rate as of 12/31/20 was 0.01%. ^z

Contribution Summary

	2019	2020
Traditional IRA	0.00	0.00
Year To Date Total	0.00	0.00

Distribution Summary

	Gross Amount	Federal Tax Withheld	State Tax Withheld	Earnings	Net Amount
	0.00	0.00	0.00	0.00	0.00
Year To Date Total	0.00	0.00	0.00	0.00	0.00

Tax Year 2021 Required Minimum Distribution, which you must take by **12/31/2021**: 35,671.49

Schwab must report to the IRS that a minimum distribution is required for the tax year specified above. [#]



Contributory IRA of
ANTHONY S FAUCI
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

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Endnotes For Your Account

Symbol Endnote Legend

- ◊ Dividends paid on this security will be automatically reinvested.
- X Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.
- Z For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.
- # The Required Minimum Distribution amount shown here is based on the "Total Account Value", also known as Fair Market Value, as of December 31 of the previous year. It could change if (a) you satisfy some or all of your Required Minimum Distribution with distribution(s) from other IRA(s) you own, (b) you inform Schwab that your spouse is your sole beneficiary and is more than 10 years younger than you, (c) a rollover to this IRA was pending as of December 31 prior to the tax year specified above, (d) you recharacterize a conversion made in the prior year, or (e) a correction was made to the account after this statement date that impacts the Fair Market Value such as a change to a security value or deposit adjustment. The Year To Date Total includes distributions taken during the calendar year. In some cases, your calendar year distributions may differ from your Required Minimum Distribution for the tax year.

Attachment B: Fauci 2020 278e Report, Section 6



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Account Number

Statement Period
December 1-31, 2020

Need help reading this statement?
Visit www.schwab.com/StatementUserGuide for more information.

Your Independent Investment Manager and/or Advisor

CIBC PRIVATE WEALTH ADVRS, INC
1201 F ST NW STE 900
WASHINGTON DC 20004-1223
1 (202) 783-4144

The custodian of your brokerage account is: Charles Schwab & Co., Inc.

This report is provided by Schwab. Except as noted in the terms and conditions, your Investment Manager and/or Advisor is independently owned and operated and not an affiliate with Schwab. For questions about this statement, or if there is a change in your financial situation, investment objectives, or risk profile, please contact your Independent Investment Manager and/or Advisor.

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ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995



PRIVATE WEALTH
MANAGEMENT

Your Independent Investment Advisor is not affiliated with or an agent of
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Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Account Number

Statement Period
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Terms and Conditions

This Account statement is furnished solely by Charles Schwab & Co., Inc. ("Schwab") for your Account at Schwab ("Account"). Schwab Institutional is a division of Charles Schwab & Co., Inc., and provides back office brokerage and related services to investment advisors and retirement plan providers. Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement. Schwab is a registered broker-dealer and is not affiliated with your Investment Advisor whose name appears on this statement ("Advisors") except in the case of Charles Schwab Investment Advisory, Inc. ("CSIA"), Schwab Private Client Investment Advisory, Inc. ("SPCIA"), or an affiliated company that may act as the investment advisor on a fund. Advisors are independently owned and operated. Schwab neither endorses nor recommends any particular Advisor or its investment strategy and has no responsibility to monitor trading by any Advisor in your Account. Schwab has not verified any statement accompanying any Advisor's logo appearing on this statement. Advisors provide investment advisory services for your Account. Schwab provides brokerage and custody services for your Account. Schwab has agreements with Advisors under which Schwab provides Advisors with institutional trading, custody and related services, and products. Not all of these products and services may benefit your Account, and Schwab may provide them to Advisors on the Advisor's commitment to place a certain amount of its clients' assets in brokerage accounts at Schwab within a certain period of time. This commitment could influence an Advisor's recommendation or requirement that its clients establish brokerage accounts at Schwab.

GENERAL INFORMATION AND KEY TERMS:

If you receive any other communication from any source other than Schwab which purports to represent your holdings at Schwab (including balances held at a Depository Institution) you should verify its content with this statement.

AIP (Automatic Investment Plan) Customers: Schwab receives remuneration in connection with certain transactions effected through Schwab. If you participate in a systematic investment program through Schwab, the additional information normally detailed on a trade confirmation will be provided upon request.

Average Daily Balance: Average daily composite of all cash balances that earn interest and all loans from Schwab that are charged interest.

Bank Sweep and Bank Sweep for Benefit Plans Features: Schwab acts as your agent and custodian in establishing and maintaining your Bank Sweep and Bank Sweep for Benefit Plans features as a Schwab Cash feature for your brokerage account. Deposit accounts constitute direct obligations of banks affiliated with Schwab and are not obligations of Schwab. Bank deposit accounts are insured by the FDIC within applicable limits. The balance in the bank deposit accounts can be withdrawn on your order and the proceeds returned to your securities account or remitted to you as provided in your Account Agreement. For information on FDIC insurance and its limits, as well as other important disclosures about the Bank Sweep and Bank Sweep for Benefit Plans features, please refer to the Cash Features Disclosure Statement available online or from a Schwab representative.

Cash: Any Free Credit Balance owed by us to you payable upon demand which, although accounted for on our books of record, is not

segregated and may be used in the conduct of this firm's business. **Current Yield:** Annual dividend paid on an equity divided by the current market price.

Dividend Reinvestment Customers: Dividend reinvestment transactions were effected by Schwab acting as a principal for its own account, except for the reinvestment of Schwab dividends, for which an independent broker-dealer acted as the buying agent. Further information on these transactions will be furnished upon written request.

Estimated Annual Income: Estimated annual income is derived from information provided by outside parties. Schwab cannot guarantee the accuracy of such information. Since the interest and dividends are subject to change at any time, they should not be relied upon exclusively for making investment decisions.

Fees and Charges: For those fees described in the statement as "Fee to Advisor", as authorized by you, Schwab debited your Account to pay the fees as instructed by your Advisor. It is your responsibility, and not Schwab's, to verify the accuracy of the fees. Margin interest charged to your Account during the statement period is included in this section of the statement.

Interest: For the Schwab One Interest, Bank Sweep, and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. For the Schwab One Interest feature, interest accrues daily from the second-to-last business day of the prior month and is posted on the second-to-last business day of the current month. For the Bank Sweep feature, interest accrues daily from the 16th day of the prior month and is credited/posted on the first business day after the 15th of the current month.

If, on any given day, the interest that Schwab calculates for the Free Credit Balances in the Schwab One Interest feature in your brokerage account is less than \$0.05, you will not accrue any interest on that day. For balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features, interest will accrue even if the amount is less than \$0.05.

Margin Account Customers: This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection. Securities purchased on margin are Schwab's collateral for the loan to you. It is important that you fully understand the risks involved in trading securities on margin. These risks include:

- You can lose more funds than you deposit in the margin account.
- Schwab can force the sale of securities or other assets in any of your account(s) to maintain the required account equity without contacting you.
- You are not entitled to choose which assets are liquidated nor are you entitled to an extension of time on a margin call.
- Schwab can increase its "house" maintenance margin requirements at any time without advance written notice to you.

Market Price: The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade

price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Pricing of assets not held at Schwab is for informational purposes only. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as Stale Priced. For Limited Partnerships and Real Estate Investment Trust (REIT) securities, you may see that the value reflected on your monthly account statement for this security is unpriced. NASD rules require that certain Limited Partnerships (direct participation programs) and Real Estate Investment Trust (REIT) securities, that have not been priced within 18 months, must show as unpriced on customer statements. Note that these securities are generally illiquid, the value of the securities will be different than its purchase price, and, if applicable, that accurate valuation information may not be available.

Market Value: The Market Value is computed by multiplying the Market Price by the Quantity of Shares. This is the dollar value of your present holdings in your specified Schwab Account or a summary of the Market Value summed over multiple accounts.

Non-Publicly Traded Securities: All assets shown on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain Non-Publicly Traded Securities may be furnished by a third party as provided by Schwab's Account Agreement. Schwab shall have no responsibility for the accuracy or timeliness of such valuations. The Securities Investor Protection Corporation (SIPC) does not cover many limited partnership interests.

Option Customers: Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request 2) You should advise us promptly of any material changes in your investment objectives or financial situation 3) Exercise assignment notices for the option contracts are allocated among customer short positions pursuant to an automated procedure which randomly selects from among all customer short option positions those contracts which are subject to exercise, including positions established on the day of assignment 4) Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult your tax advisor or IRS publication 550, Investment Income and Expenses, for additional information on Options.

Schwab Sweep Money Funds: Includes the primary money market funds into which Free Credit Balances may be automatically invested pursuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the Investment Advisor, Transfer Agent, Shareholder Service Agent and Distributor for the Schwab Sweep Money Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary. If on any given day, the accrued daily dividend for your selected sweep money fund as calculated for your account is less than 1/2 of 1 cent (\$0.005), your account will not earn a dividend for that day. In addition, if you do not accrue at least 1 daily dividend of \$0.01 during



Brokerage Trust Account of
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FAUCI DEFINED BENEFIT PLAN
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Terms and Conditions (continued)

a pay period, you will not receive a money market dividend for that period. Schwab and the Schwab Sweep Money Funds investment advisor may be voluntarily reducing a portion of a Schwab Sweep Money Fund's expenses. Without these reductions, yields would have been lower.

Securities Products and Services: Securities products and services are offered by Charles Schwab & Co., Inc., Member SIPC. Securities products and services, including unswept intraday funds and net credit balances held in brokerage accounts are not deposits or other obligations of, or guaranteed by, any bank, are not FDIC insured, and are subject to investment risk and may lose value. SIPC does not cover balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features.

Short Positions: Securities sold short will be identified through an "S" in Investment Detail. The market value of these securities will be expressed as a debit and be netted against any long positions in Total Account Value.

Yield to Maturity: This is the actual average annual return on a note if held to maturity.

Gain (or Loss): Unrealized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s)") contain a gain or a loss summary of your Account. This information has been provided on this statement at the request of your Advisor. This information is not a solicitation or a recommendation to buy or sell. It may, however, be helpful for investment and tax planning strategies. Schwab does not provide tax advice and encourages you to consult with your tax professional. Please view the Cost Basis Disclosure Statement for additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS.

Accrued Income: Accrued Income is the sum of the total accrued interest and/or accrued dividends on positions held in your Account, but the interest and/or dividends have not been received into your account. Schwab makes no representation that the amounts shown (or any other amount) will be received. Accrued amounts are not covered by SIPC account protection until actually received and held in the Account.

IN CASE OF ERRORS OR DISCREPANCIES: If you find an error or discrepancy relating to your brokerage activity (other than an electronic fund transfer) you must notify us promptly, but no later than 10 days after this statement is sent or made available to you. If this statement shows that we have mailed or delivered security certificate(s) that you have not received, notify Schwab immediately. You may call us at 800-515-2157. Any oral communications should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with respect to those brokerage transactions.

IN CASE OF COMPLAINTS: If you have a complaint regarding your Schwab statement, products or services, please write to the Client Advocacy Team at Charles Schwab & Co., Inc., Attention: Client Advocacy Team, 211 Main St., San Francisco, CA 94105, USA, or call Schwab Signature Alliance at 800-515-2157.

Address Changes: If you fail to notify Schwab in writing of any change of address or phone number, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account.

Additional Information:

We are required by law to report to the Internal Revenue Service adjusted cost basis information (if applicable), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third party trademarks appearing herein are the property of their respective owners. Schwab and Charles Schwab Bank are affiliates of each other and subsidiaries of the Charles Schwab Corporation.

(1017-7MAX)



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
U/A DTD 12/08/1995

Account Number

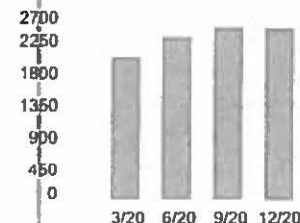
Statement Period

December 1-31, 2020

Account Value as of 12/31/2020: \$ 2,403,522.28

Change in Account Value

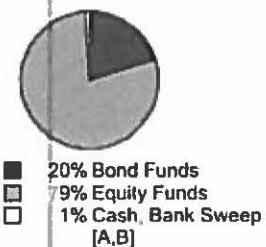
	This Period	Year to Date	Account Value [in Thousands]
Starting Value	\$ 2,580,608.19	\$ 2,389,134.90	
Credits	52,734.88	79,956.76	
Debits	0.00	(10,696.31)	
Transfer of Securities (In/Out)	(246,666.84)	(246,666.84)	
Income Reinvested	(46,069.58)	(49,624.89)	
Change in Value of Investments	62,915.63	241,418.66	
Ending Value on 12/31/2020	\$ 2,403,522.28	\$ 2,403,522.28	
Total Change in Account Value	\$ (177,085.91)	\$ 14,387.38	



Asset Composition

	Market Value	% of Account Assets
Cash and Bank Sweep ^{A,B}	\$ 28,371.72	1%
Bond Funds	479,772.99	20%
Equity Funds	1,895,377.57	79%
Total Assets Long	\$ 2,403,522.28	
Total Account Value	\$ 2,403,522.28	100%

Overview



**PRIVATE WEALTH
MANAGEMENT**

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Brokerage Trust Account of
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Statement Period
 December 1-31, 2020

Gain or (Loss) Summary

	Realized Gain or (Loss) This Period	Unrealized Gain or (Loss)
	Short Term	Long Term
All Investments	\$0.00	\$0.00
		\$596,696.64

Values may not reflect all of your gains/losses. Cost basis may change and be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional gain or (loss) information refer to Terms and Conditions.

	This Period		Year to Date	
Income Summary	Federally Tax-Exempt	Federally Taxable	Federally Tax-Exempt	Federally Taxable
Bank Sweep Interest	0.00	0.17	0.00	42.07
Cash Dividends	0.00	15,954.14	0.00	43,134.12
Total Capital Gains Distributions	0.00	36,780.57	0.00	36,780.57
Total Income	0.00	52,734.88	0.00	79,956.76

Cash Transactions Summary

	This Period	Year to Date
Starting Cash *	\$ 21,706.42	\$ 27,701.41
Deposits and other Cash Credits	0.00	0.00
Investments Sold	0.00	621,124.75
Dividends and Interest	52,734.88	79,956.76
Withdrawals and other Debits	0.00	0.00
Investments Purchased	(46,069.58)	(689,714.89)
Fees and Charges	0.00	(10,696.31)
Total Cash Transaction Detail	6,665.30	670.31
Ending Cash *	\$ 28,371.72	\$ 28,371.72

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.



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Investment Detail - Cash and Bank Sweep

	Starting Balance	Ending Balance	% of Account Assets
Cash			
Cash	1,139.99	1,322.92	<1%
Total Cash	1,139.99	1,322.92	<1%
Bank Sweep			
CHARLES SCHWAB BANK	20,566.43	27,048.80	1%
Total Bank Sweep ^{A,B}	20,566.43	27,048.80	1%
Total Cash and Bank Sweep		28,371.72	1%

Investment Detail - Mutual Funds

	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
Bond Funds						
PIMCO INVESTMENT GRADE C REDIT BOND INSTL SYMBOL: PIGIX	31,452.4420	11.29000	355,098.07	323,784.86	31,313.21	15%
VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM SYMBOL: VFIDX	11,907.8240	10.47000	124,674.92	123,175.16	1,499.76	5%
Total Bond Funds	43,360.2660		479,772.99	446,960.02	32,812.97	20%

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ANTHONY S FAUCI TTEE
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Investment Detail - Mutual Funds (continued)

Equity Funds	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
CIBC ATLAS DISCIPLINED E[®] QUITY INSTL SYMBOL: AWEIX	22,540.3710	26.41000	595,291.20	280,709.16	314,582.04	25%
CIBC ATLAS INCOME OPPORT UNITIES INSTL SYMBOL: AWIIX	18,188.4340	14.06000	255,729.38	200,500.59	55,228.79	11%
CIBC ATLAS MID CAP EQUIT Y INSTITUTIONAL SYMBOL: AWMIX	5,346.9450	20.14000	107,687.47	77,998.40	29,689.07	4%
COHEN & STEERS PREFERRED[®] SEC & INC I SYMBOL: CPXIX	11,061.2160	14.41000	159,392.12	153,372.38	6,019.74	7%
EDGEWOOD GROWTH INSTL SYMBOL: EGFIX	5,460.7690	51.77000	282,704.01	193,952.73	88,751.28	12%
HAMLIN HIGH DIVIDEND EQU ITY INSTL SYMBOL: HHDFX	9,147.7970	23.79000	217,626.09	183,275.30	34,350.79	9%
JPMORGAN MID CAP VALUE L[®] SYMBOL: FLMVX	2,987.3070	36.94000	110,351.12	96,546.40	13,804.72	5%
MFS INSTL INTERNATIONAL EQUITY SYMBOL: MIEIX	1,672.1600	30.64000	51,234.98	45,123.94	6,111.04	2%

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ANTHONY S FAUCI TTEE
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Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
VANGUARD EMERGING MKTS S TOCK IDX ADM SYMBOL: VEMAX	2,767.7830	41.68000	115,361.20	100,015.00	15,346.20	5%
Total Equity Funds	79,172.7820		1,895,377.57	1,331,493.90	563,883.67	79%
Total Mutual Funds	122,533.0480		2,375,150.56	1,778,453.92	596,696.64	99%
Total Investment Detail						2,403,522.28
Total Account Value						2,403,522.28
Total Cost Basis						1,778,453.92

Transaction Detail - Purchases & Sales

Bond Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/09/20	12/09/20	Reinvested Shares	PIMCO INVESTMENT GRADE C REDIT BOND INSTL: PIGIX	123.2750	11.2000	(1,380.68)
12/29/20	12/29/20	Reinvested Shares	VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM: VFIDX	136.7110	10.4500	(1,428.63)
12/29/20	12/29/20	Reinvested Shares	VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM: VFIDX	165.6970	10.4500	(1,731.53)
Total Bond Funds Activity						(4,540.84)

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Brokerage Trust Account of
ANTHONY S FAUCI TTEE
FAUCI DEFINED BENEFIT PLAN
 U/A DTD 12/08/1995

Account Number

Statement Period
December 1-31, 2020

Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/10/20	12/10/20	Reinvested Shares	MFS INSTL INTERNATIONAL EQUITY: MIEIX	3.6390	29.9400	(108.94)
12/11/20	12/11/20	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	180.5230	36.8900	(6,659.50)
12/17/20	12/17/20	Reinvested Shares	COHEN & STEERS PREFERRED SEC & INC I: CPXIX	44.0840	14.3700	(633.49)
12/18/20	12/18/20	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	36.5190	36.4800	(1,332.22)
12/22/20	12/22/20	Reinvested Shares	CIBC ATLAS DISCIPLINED E QUITY INSTL: AWEIX	431.4130	26.0200	(11,225.36)
12/22/20	12/22/20	Reinvested Shares	CIBC ATLAS MID CAP EQUIT Y INSTITUTIONAL: AWMIX	130.4130	19.9600	(2,603.05)
12/22/20	12/22/20	Reinvested Shares	EDGEWOOD GROWTH INSTL: EGFIX	46.6500	51.6200	(2,408.07)
12/22/20	12/22/20	Reinvested Shares	EDGEWOOD GROWTH INSTL: EGFIX	253.2260	51.6200	(13,071.51)
12/30/20	12/30/20	Reinvested Shares	CIBC ATLAS DISCIPLINED E QUITY INSTL: AWEIX	132.9240	26.2300	(3,486.60)
Total Equity Funds Activity						(41,528.74)
Total Purchases & Sales						(46,069.58)

Transaction Detail - Transfers

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/08/20	12/08/20	Journalled Shares	CIBC ATLAS DISCIPLINED EQUITY INSTL: AWEIX	(9,241.9200)	26.6900	(246,666.84)
Total Transfers						(246,666.84)

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/09/20	12/09/20	LT Cap Gain Rein	PIMCO INVESTMENT GRADE C: PIGIX	1,380.68
12/10/20	12/10/20	LT Cap Gain Rein	MFS INSTL INTERNATIONAL: MIEIX	108.94
12/10/20	12/10/20	Cash Dividend	MFS INSTL INTERNATIONAL: MIEIX	402.75
12/11/20	12/11/20	LT Cap Gain Rein	JPMORGAN MID CAP VALUE L: FLMVX	6,659.50
12/15/20	12/16/20	Bank Interest ^{A,B}	BANK INT SCHWAB BANK [removed account number]	0.17
12/17/20	12/17/20	Div For Reinvest	COHEN & STEEKS PREFERRED: CPXIX	633.49
12/18/20	12/18/20	Div For Reinvest	JPMORGAN MID CAP VALUE L: FLMVX	1,332.22
12/18/20	12/18/20	Cash Dividend	VANGUARD EMERGING MKTS S: VEMAX	680.32
12/22/20	12/22/20	LT Cap Gain Rein	CIBC ATLAS DISCIPLINED E: AWEIX	11,225.36
12/22/20	12/22/20	LT Cap Gain Rein	CIBC ATLAS MID CAP EQUIT: AWMIX	2,603.05
12/22/20	12/22/20	LT Cap Gain Rein	EDGEWOOD GROWTH INSTL: EGFIX	13,071.51
12/22/20	12/22/20	Stm Cap Gn Rein	EDGEWOOD GROWTH INSTL: EGFIX	2,408.07
12/28/20	12/28/20	Cash Dividend	PIMCO INVESTMENT GRADE C: PIGIX	18.87
12/29/20	12/29/20	Stm Cap Gn Rein	VANGUARD INTERM-TERM INV: VFIDX	1,428.63
12/29/20	12/29/20	LT Cap Gain Rein	VANGUARD INTERM-TERM INV: VFIDX	1,731.53
12/30/20	12/30/20	Div For Reinvest	CIBC ATLAS DISCIPLINED E: AWEIX	3,486.60
12/30/20	12/30/20	Cash Dividend	CIBC ATLAS INCOME OPPORT: AWIIX	1,196.80
12/30/20	12/30/20	Cash Dividend	HAMLIN HIGH DIVIDEND EQU: HHDFX	3,043.47
12/31/20	12/31/20	Cash Dividend	PIMCO INVESTMENT GRADE C: PIGIX	1,048.10
12/31/20	12/31/20	Cash Dividend	VANGUARD INTERM-TERM INV: VFIDX	274.82
Total Dividends & Interest				52,734.88

Total Transaction Detail (240,001.54)



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Bank Sweep for Benefit Plans Activity

Transaction Date	Transaction	Description	Withdrawal	Deposit	Balance ^{A,B}
Opening Balance ^{A,B}					20,566.43
12/01/20	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		1,139.99	21,706.42
12/11/20	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		402.75	22,109.17
12/15/20	Interest Paid ^{A,B}	BANK INTEREST - CHARLES SCHWAB BANK		0.17	22,109.34
12/15/20	Auto Transfer	BANK TRANSFER TO BROKERAGE	0.17		22,109.17
12/17/20	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		0.17	22,109.34
12/21/20	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		680.32	22,789.66
12/29/20	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		18.87	22,808.53
12/31/20	Auto Transfer	BANK CREDIT FROM BROKERAGE ^A		4,240.27	27,048.80
Total Activity			0.17	6,482.54	
Ending Balance ^{A,B}					27,048.80

Bank Sweep for Benefit Plans: Interest Rate as of 12/31/20 was 0.01%. ^B

Endnotes For Your Account

Symbol Endnote Legend

- O** Dividends paid on this security will be automatically reinvested.
- A** Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.
- B** For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

Attachment C: Fauci 2020 278e Report, Section 6



Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

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Need help reading this statement?
Visit www.schwab.com/StatementUserGuide for more information.

Your Independent Investment Manager and/or Advisor

CIBC PRIVATE WEALTH ADVRS, INC
1201 F ST NW STE 900
WASHINGTON DC 20004-1223
1 (202) 783-4144

The custodian of your brokerage account is: Charles Schwab & Co., Inc.
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ANTHONY S FAUCI TTEE
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PRIVATE WEALTH
MANAGEMENT

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Schwab One® Trust Account of
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Terms and Conditions

This Account statement is furnished solely by Charles Schwab & Co., Inc. ("Schwab") for your Account at Schwab ("Account"). Schwab Institutional is a division of Charles Schwab & Co., Inc., and provides back office brokerage and related services to investment advisors and retirement plan providers. Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement. Schwab is a registered broker-dealer and is not affiliated with your Investment Advisor whose name appears on this statement ("Advisors") except in the case of Charles Schwab Investment Advisory, Inc. ("CSIA"), Schwab Private Client Investment Advisory, Inc. ("SPCIA"), or an affiliated company that may act as the investment advisor on a fund. Advisors are independently owned and operated. Schwab neither endorses nor recommends any particular Advisor or its investment strategy and has no responsibility to monitor trading by any Advisor in your Account. Schwab has not verified any statement accompanying any Advisor's logo appearing on this statement. Advisors provide investment advisory services for your Account. Schwab provides brokerage and custody services for your Account. Schwab has agreements with Advisors under which Schwab provides Advisors with institutional trading, custody and related services, and products. Not all of these products and services may benefit your Account, and Schwab may provide them to Advisors on the Advisor's commitment to place a certain amount of its clients' assets in brokerage accounts at Schwab within a certain period of time. This commitment could influence an Advisor's recommendation or requirement that its clients establish brokerage accounts at Schwab.

GENERAL INFORMATION AND KEY TERMS:

If you receive any other communication from any source other than Schwab which purports to represent your holdings at Schwab (including balances held at a Depository Institution) you should verify its content with this statement.

AIP (Automatic Investment Plan) Customers: Schwab receives remuneration in connection with certain transactions effected through Schwab. If you participate in a systematic investment program through Schwab, the additional information normally detailed on a trade confirmation will be provided upon request.

Average Daily Balance: Average daily composite of all cash balances that earn interest and all loans from Schwab that are charged interest.

Bank Sweep and Bank Sweep for Benefit Plans Features: Schwab acts as your agent and custodian in establishing and maintaining your Bank Sweep and Bank Sweep for Benefit Plans features as a Schwab Cash feature for your brokerage account.

Deposit accounts constitute direct obligations of banks affiliated with Schwab and are not obligations of Schwab. Bank deposit accounts are insured by the FDIC within applicable limits. The balance in the bank deposit accounts can be withdrawn on your order and the proceeds returned to your securities account or remitted to you as provided in your Account Agreement. For information on FDIC insurance and its limits, as well as other important disclosures about the Bank Sweep and Bank Sweep for Benefit Plans features, please refer to the Cash Features Disclosure Statement available online or from a Schwab representative.

Cash: Any Free Credit Balance owed by us to you payable upon demand which, although accounted for on our books of record, is not

segregated and may be used in the conduct of this firm's business. **Current Yield:** Annual dividend paid on an equity divided by the current market price.

Dividend Reinvestment Customers: Dividend reinvestment transactions were effected by Schwab acting as a principal for its own account, except for the reinvestment of Schwab dividends, for which an independent broker-dealer acted as the buying agent. Further information on these transactions will be furnished upon written request.

Estimated Annual Income: Estimated annual income is derived from information provided by outside parties. Schwab cannot guarantee the accuracy of such information. Since the interest and dividends are subject to change at any time, they should not be relied upon exclusively for making investment decisions.

Fees and Charges: For those fees described in the statement as "Fee to Advisor", as authorized by you, Schwab debited your Account to pay the fees as instructed by your Advisor. It is your responsibility, and not Schwab's, to verify the accuracy of the fees. Margin interest charged to your Account during the statement period is included in this section of the statement.

Interest: For the Schwab One Interest, Bank Sweep, and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. For the Schwab One Interest feature, interest accrues daily from the second-to-last business day of the prior month and is posted on the second-to-last business day of the current month. For the Bank Sweep feature, interest accrues daily from the 16th day of the prior month and is credited/posted on the first business day after the 15th of the current month.

If, on any given day, the interest that Schwab calculates for the Free Credit Balances in the Schwab One Interest feature in your brokerage account is less than \$.005, you will not accrue any interest on that day. For balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features, interest will accrue even if the amount is less than \$.005.

Margin Account Customers: This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection. Securities purchased on margin are Schwab's collateral for the loan to you. It is important that you fully understand the risks involved in trading securities on margin. These risks include:

- You can lose more funds than you deposit in the margin account.
- Schwab can force the sale of securities or other assets in any of your account(s) to maintain the required account equity without contacting you.
- You are not entitled to choose which assets are liquidated nor are you entitled to an extension of time on a margin call.
- Schwab can increase its "house" maintenance margin requirements at any time without advance written notice to you.

Market Price: The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade

price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Pricing of assets not held at Schwab is for informational purposes only. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as Stale Priced. For Limited Partnerships and Real Estate Investment Trust (REIT) securities, you may see that the value reflected on your monthly account statement for this security is unpriced. NASD rules require that certain Limited Partnerships (direct participation programs) and Real Estate Investment Trust (REIT) securities, that have not been priced within 18 months, must show as unpriced on customer statements. Note that these securities are generally illiquid, the value of the securities will be different than its purchase price, and, if applicable, that accurate valuation information may not be available.

Market Value: The Market Value is computed by multiplying the Market Price by the Quantity of Shares. This is the dollar value of your present holdings in your specified Schwab Account or a summary of the Market Value summed over multiple accounts.

Non-Publicly Traded Securities: All assets shown on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain Non-Publicly Traded Securities may be furnished by a third party as provided by Schwab's Account Agreement. Schwab shall have no responsibility for the accuracy or timeliness of such valuations. The Securities Investor Protection Corporation (SIPC) does not cover many limited partnership interests.

Option Customers: Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request 2) You should advise us promptly of any material changes in your investment objectives or financial situation 3) Exercise assignment notices for the option contracts are allocated among customer short positions pursuant to an automated procedure which randomly selects from among all customer short option positions those contracts which are subject to exercise, including positions established on the day of assignment 4) Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult your tax advisor or IRS publication 550, Investment Income and Expenses, for additional information on Options.

Schwab Sweep Money Funds: Includes the primary money market funds into which Free Credit Balances may be automatically invested pursuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the Investment Advisor, Transfer Agent, Shareholder Service Agent and Distributor for the Schwab Sweep Money Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary. If on any given day, the accrued daily dividend for your selected sweep money fund as calculated for your account is less than 1/2 of 1 cent (\$.0005), your account will not earn a dividend for that day. In addition, if you do not accrue at least 1 daily dividend of \$.01 during



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Terms and Conditions (continued)

a pay period, you will not receive a money market dividend for that period. Schwab and the Schwab Sweep Money Funds investment advisor may be voluntarily reducing a portion of a Schwab Sweep Money Fund's expenses. Without these reductions, yields would have been lower.

Securities Products and Services: Securities products and services are offered by Charles Schwab & Co., Inc., Member SIPC. Securities products and services, including unswept intraday funds and net credit balances held in brokerage accounts are not deposits or other obligations of, or guaranteed by, any bank, are not FDIC insured, and are subject to investment risk and may lose value. SIPC does not cover balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features.

Short Positions: Securities sold short will be identified through an "S" in Investment Detail. The market value of these securities will be expressed as a debit and be netted against any long positions in Total Account Value.

Yield to Maturity: This is the actual average annual return on a note if held to maturity.

Gain (or Loss): Unrealized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s)") contain a gain or a loss summary of your Account. This information has been provided on this statement at the request of your Advisor. This information is not a solicitation or a recommendation to buy or sell. It may, however, be helpful for investment and tax planning strategies. Schwab does not provide tax advice and encourages you to consult with your tax professional. Please view the Cost Basis Disclosure Statement for additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS.

Accrued Income: Accrued Income is the sum of the total accrued interest and/or accrued dividends on positions held in your Account, but the interest and/or dividends have not been received into your account. Schwab makes no representation that the amounts shown (or any other amount) will be received. Accrued amounts are not covered by SIPC account protection until actually received and held in the Account.

IN CASE OF ERRORS OR DISCREPANCIES: If you find an error or discrepancy relating to your brokerage activity (other than an electronic fund transfer) you must notify us promptly, but no later than 10 days after this statement is sent or made available to you. If this statement shows that we have mailed or delivered security certificate(s) that you have not received, notify Schwab immediately. You may call us at 800-515-2157. Any oral communications should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with respect to those brokerage transactions.

IN CASE OF COMPLAINTS: If you have a complaint regarding your Schwab statement, products or services, please write to the Client Advocacy Team at Charles Schwab & Co., Inc., Attention: Client Advocacy Team, 211 Main St., San Francisco, CA 94105, USA, or call Schwab Signature Alliance at 800-515-2157.

Address Changes: If you fail to notify Schwab in writing of any change of address or phone number, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account.

Additional Information:

We are required by law to report to the Internal Revenue Service adjusted cost basis information (if applicable), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third party trademarks appearing herein are the property of their respective owners. Schwab and Charles Schwab Bank are affiliates of each other and subsidiaries of the Charles Schwab Corporation.

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Schwab One® Trust Account of
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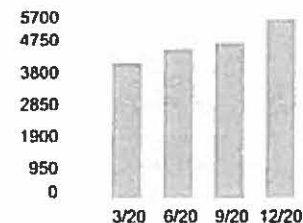
Account Number

Statement Period
December 1-31, 2020

Account Value as of 12/31/2020: \$ 5,295,898.92

Change in Account Value

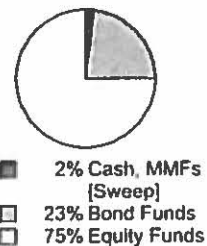
	This Period	Year to Date	Account Value [in Thousands]
Starting Value	\$ 4,897,486.87	\$ 4,655,570.16	
Credits	96,727.26	154,687.33	5700
Debits	0.00	(23,528.49)	4750
Transfer of Securities (In/Out)	246,666.84	246,666.84	3800
Income Reinvested	(74,390.23)	(80,191.80)	2850
Change in Value of Investments	129,408.18	342,694.88	1900
Ending Value on 12/31/2020	\$ 5,295,898.92	\$ 5,295,898.92	950
Total Change in Account Value	\$ 398,412.05	\$ 640,328.76	0



Asset Composition

	Market Value	% of Account Assets
Cash and Money Market Funds [Sweep]	\$ 104,406.21	2%
Bond Funds	1,198,517.20	23%
Equity Funds	3,992,975.51	75%
Total Assets Long	\$ 5,295,898.92	
Total Account Value	\$ 5,295,898.92	100%

Overview



**PRIVATE WEALTH
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Gain or (Loss) Summary	Realized Gain or (Loss) This Period		Unrealized Gain or (Loss)
	Short Term	Long Term	
All Investments	\$0.00	\$0.00	\$1,123,920.57
Values may not reflect all of your gains/losses. Cost basis may change and be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional gain or (loss) information refer to Terms and Conditions.			

Income Summary	This Period		Year to Date	
	Federally Tax-Exempt ¹	Federally Taxable	Federally Tax-Exempt ¹	Federally Taxable
Money Funds Dividends	0.00	1.06	0.00	354.84
Cash Dividends	1,555.37	25,526.62	20,295.79	58,152.49
Total Capital Gains Distributions	0.00	69,644.21	0.00	69,644.21
Total Income	1,555.37	95,171.89	20,295.79	128,151.54

¹Certain income in this category may qualify for state tax exemption; consult your tax advisor.

Cash Transactions Summary

	This Period	Year to Date
Starting Cash*	\$ 82,068.12	\$ 82,610.22
Deposits and other Cash Credits	0.00	6,240.00
Investments Sold	0.00	1,055,564.11
Dividends and Interest	96,727.26	148,447.33
Withdrawals and other Debits	0.00	0.00
Investments Purchased	(74,389.17)	(1,164,926.96)
Fees and Charges	0.00	(23,528.49)
Total Cash Transaction Detail	22,338.09	21,795.99
Ending Cash*	\$ 104,406.21	\$ 104,406.21

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.



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Investment Detail - Cash and Money Market Funds [Sweep]

Cash	Starting Balance	Ending Balance	% of Account Assets
Cash	2,421.97	2,836.33	<1%
Total Cash	2,421.97	2,836.33	<1%

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	% of Account Assets
Schwab Govt Money Fund: SWGXX	101,569.8800	1.0000	101,569.88	2%
Total Money Market Funds [Sweep]			101,569.88	2%
Total Cash and Money Market Funds [Sweep]			104,406.21	2%

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
PIMCO INVESTMENT GRADE C REDIT BOND INSTL SYMBOL: FIGIX	38,439.3710	11.29000	433,980.50	409,054.70	24,925.80	8%
T. ROWE PRICE SUMMIT MUN ICIPAL INTM INV SYMBOL: PRSMX	61,705.9480	12.39000	764,536.70	723,042.35	41,494.35	14%
Total Bond Funds	100,145.3190		1,198,517.20	1,132,097.05	66,420.15	23%



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Investment Detail - Mutual Funds (continued)

Equity Funds	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
CIBC ATLAS DISCIPLINED EQUITY INSTL SYMBOL: AWEIX	71,318.1620	26.41000	1,883,512.66	1,163,836.87	719,675.79	36%
CIBC ATLAS INCOME OPPORTUNITIES INSTL SYMBOL: AWIIX	24,290.5390	14.06000	341,524.98	250,888.24	90,636.74	6%
CIBC ATLAS MID CAP EQUITY INSTITUTIONAL SYMBOL: AWMIX	14,755.5330	20.14000	297,176.43	189,044.19	108,132.24	6%
COHEN & STEERS PREFERRED SEC & INC I SYMBOL: CPXIX	22,122.4310	14.41000	318,784.23	306,729.76	12,054.47	6%
JPMORGAN MID CAP VALUE L SYMBOL: FLMVX	5,503.2490	36.94000	203,290.02	186,076.01	17,214.01	4%
MATTHEWS PACIFIC TIGER INSTL SYMBOL: MIPTX	8,587.6680	34.90000	299,709.61	265,642.20	34,067.41	6%
MFS INSTL INTERNATIONAL EQUITY SYMBOL: MIEIX	7,215.1240	30.64000	221,071.40	200,485.05	20,586.35	4%
VANGUARD EMERGING MKTS STOCK IDX ADM SYMBOL: VEMAX	4,142.5020	41.68000	172,659.48	150,015.00	22,644.48	3%
VANGUARD SMALL CAP INDEX ADM SYMBOL: VSMAX	1,225.4900	93.22000	114,240.18	85,015.00	29,225.18	2%

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
December 1-31, 2020

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
VERSUS CAP MULTI-MAN SYMBOL: VCMIX	1,513.4850	27.25000	41,242.47	41,265.45	(22.98)	<1%
VERSUS CAP REAL ASSETS F D LLC UNIT LTD PARTN SYMBOL: VCRRX	3,932.3630	25.37000	99,764.05	96,477.32	3,286.73	2%
Total Equity Funds	164,606.5460		3,992,975.51	2,935,475.09	1,057,500.42	75%
Total Mutual Funds	264,751.8650		5,191,492.71	4,067,572.14	1,123,920.57	98%
Total Investment Detail						5,295,898.92
Total Account Value						5,295,898.92
Total Cost Basis						4,067,572.14

Transaction Detail - Purchases & Sales

Bond Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/08/20	12/08/20	Reinvested Shares	T. ROWE PRICE SUMMIT MUN ICIPAL INTM INV: PRSMX	0.4990	12.3700	(6.17)
12/09/20	12/09/20	Reinvested Shares	PIMCO INVESTMENT GRADE C REDIT BOND INSTL: PIGIX	150.6590	11.2000	(1,687.38)
Total Bond Funds Activity						(1,693.55)

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
December 1-31, 2020

Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/10/20	12/10/20	Reinvested Shares	MFS INSTL INTERNATIONAL EQUITY: MIEIX	15.7000	29.9400	(470.05)
12/11/20	12/11/20	Reinvested Shares	JPMORGAN MID CAP VALUE L: FLMVX	336.6770	36.8900	(12,420.03)
12/16/20	12/16/20	Reinvested Shares	MATTHEWS PACIFIC TIGER I NSTL: MIPTX	103.4200	33.5700	(3,471.82)
12/16/20	12/16/20	Reinvested Shares	MATTHEWS PACIFIC TIGER I NSTL: MIPTX	362.0910	33.5700	(12,155.38)
12/17/20	12/17/20	Reinvested Shares	COHEN & STEERS PREFERRED SEC & INC I: CPXIX	88.1680	14.3700	(1,266.97)
12/22/20	12/22/20	Reinvested Shares	CIBC ATLAS DISCIPLINED E QUITY INSTL: AWEIX	1,373.0950	26.0200	(35,727.94)
12/22/20	12/22/20	Reinvested Shares	CIBC ATLAS MID CAP EQUIT Y INSTITUTIONAL: AWMIX	359.8910	19.9600	(7,183.43)
Total Equity Funds Activity						(72,695.62)
Total Purchases & Sales						(74,389.17)

Transaction Detail - Transfers

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/08/20	12/08/20	Journalled Shares	CIBC ATLAS DISCIPLINED EQUITY INSTL: AWEIX	9,241.9200	26.6900	246,666.84
Total Transfers						246,666.84

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
December 1-31, 2020

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/08/20	12/08/20	Sttm Cap Gn Rein	T. ROWE PRICE SUMMIT MUN: PRSMX	6.17
12/09/20	12/09/20	LT Cap Gain Rein	PIMCO INVESTMENT GRADE C: PIGIX	1,687.38
12/10/20	12/10/20	LT Cap Gain Rein	MFS INSTL INTERNATIONAL: MIEIX	470.05
12/10/20	12/10/20	Cash Dividend	MFS INSTL INTERNATIONAL: MIEIX	1,737.80
12/11/20	12/11/20	LT Cap Gain Rein	JPMORGAN MID CAP VALUE L: FLMVX	12,420.03
12/16/20	12/16/20	Cash Dividend	MATTHEWS PACIFIC TIGER I: MIPTX	1,017.06
12/16/20	12/16/20	LT Cap Gain Rein	MATTHEWS PACIFIC TIGER I: MIPTX	12,155.38
12/16/20	12/16/20	Sttm Cap Gn Rein	MATTHEWS PACIFIC TIGER I: MIPTX	3,471.82
12/17/20	12/17/20	Div For Reinvest	COHEN & STEERS PREFERRED: CPXIX	1,266.97
12/18/20	12/18/20	Cash Dividend	JPMORGAN MID CAP VALUE L: FLMVX	2,484.61
12/18/20	12/18/20	Cash Dividend	VANGUARD EMERGING MKTS S: VEMAX	1,018.23
12/22/20	12/22/20	LT Cap Gain Rein	CIBC ATLAS DISCIPLINED E: AWEIX	35,727.94
12/22/20	12/22/20	LT Cap Gain Rein	CIBC ATLAS MID CAP EQUIT: AWMIX	7,183.43
12/23/20	12/23/20	Cash Dividend	VANGUARD SMALL CAP INDEX: VSMAX	524.51
12/28/20	12/28/20	Cash Dividend	PIMCO INVESTMENT GRADE C: PIGIX	23.06
12/30/20	12/30/20	Cash Dividend	CIBC ATLAS DISCIPLINED E: AWEIX	11,097.11
12/30/20	12/30/20	Cash Dividend	CIBC ATLAS INCOME OPPORT: AWIIX	1,598.32
12/31/20	12/31/20	Cash Dividend	PIMCO INVESTMENT GRADE C: PIGIX	1,280.95
12/31/20	12/31/20	Short Term Cap Gn	SCHWAB GOVT MONEY FUND: SWGXX	0.01
12/31/20	12/31/20	Dividend	SCHWAB GOVT MONEY FUND: SWGXX	1.06
12/31/20	12/31/20	Cash Dividend	T. ROWE PRICE SUMMIT MUN: PRSMX	1,555.37
Total Dividends & Interest				96,727.26
Total Transaction Detail				269,004.93



Schwab One® Trust Account of
ANTHONY S FAUCI TTEE
ANTHONY S FAUCI REVOCABLE TR
U/A DTD 03/19/2014

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Statement Period
December 1-31, 2020

Money Market Fund (Sweep) Detail

SCHWAB GOVT MONEY FUND Activity

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
Opening # of Shares: 79,646.1500					
12/01/20	Purchased	2,421.9700	1.0000	2,421.97	
12/11/20	Purchased	1,737.8000	1.0000	1,737.80	
12/17/20	Purchased	1,017.0600	1.0000	1,017.06	
12/21/20	Purchased	3,502.8400	1.0000	3,502.84	
12/24/20	Purchased	524.5100	1.0000	524.51	
12/29/20	Purchased	23.0600	1.0000	23.06	
12/31/20	Purchased	12,695.4300	1.0000	12,695.43	
12/31/20	Dividend	1.0600	1.0000	1.06	

Closing # of Shares: 101,569.8800

Total SCHWAB GOVT MONEY FUND Activity

21,923.73

Total Money Market Fund (Sweep)

21,923.73

SCHWAB GOVT MMF Average Yield For The Most Recent Pay Period: 0.01%; 7-Day Yield: 0.01%

Endnotes For Your Account

Symbol Endnote Legend

0 Dividends paid on this security will be automatically reinvested.



Attachment D : Fauci 2020 278e Report, Section 5

Schwab One® Trust Account of
SPOUSE TTEE
REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
December 1-31, 2020

Need help reading this statement?
Visit www.schwab.com/StatementUserGuide for more information.

Your Independent Investment Manager and/or Advisor

CIBC PRIVATE WEALTH ADVRS, INC
1201 F ST NW STE 900
WASHINGTON DC 20004-1223
1 (202) 783-4144

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SPOUSE TTEE
REVOCABLE TR
U/A DTD 03/19/2014



PRIVATE WEALTH
MANAGEMENT

Your Independent Investment Advisor is not affiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor.

Terms and Conditions

This Account statement is furnished solely by Charles Schwab & Co., Inc. ("Schwab") for your Account at Schwab ("Account"). Schwab Institutional is a division of Charles Schwab & Co., Inc., and provides back office brokerage and related services to investment advisors and retirement plan providers. Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement. Schwab is a registered broker-dealer and is not affiliated with your Investment Advisor whose name appears on this statement ("Advisors") except in the case of Charles Schwab Investment Advisory, Inc. ("CSIA"), Schwab Private Client Investment Advisory, Inc. ("SPCIA"), or an affiliated company that may act as the investment advisor on a fund. Advisors are independently owned and operated. Schwab neither endorses nor recommends any particular Advisor or its investment strategy and has no responsibility to monitor trading by any Advisor in your Account. Schwab has not verified any statement accompanying any Advisor's logo appearing on this statement. Advisors provide investment advisory services for your Account. Schwab provides brokerage and custody services for your Account. Schwab has agreements with Advisors under which Schwab provides Advisors with institutional trading, custody and related services, and products. Not all of these products and services may benefit your Account, and Schwab may provide them to Advisors on the Advisor's commitment to place a certain amount of its clients' assets in brokerage accounts at Schwab within a certain period of time. This commitment could influence an Advisor's recommendation or requirement that its clients establish brokerage accounts at Schwab.

GENERAL INFORMATION AND KEY TERMS:

If you receive any other communication from any source other than Schwab which purports to represent your holdings at Schwab (including balances held at a Depository Institution) you should verify its content with this statement.

ATP (Automatic Investment Plan) Customers: Schwab receives remuneration in connection with certain transactions effected through Schwab. If you participate in a systematic investment program through Schwab, the additional information normally detailed on a trade confirmation will be provided upon request.

Average Daily Balance: Average daily composite of all cash balances that earn interest and all loans from Schwab that are charged interest.

Bank Sweep and Bank Sweep for Benefit Plans Features:

Schwab acts as your agent and custodian in establishing and maintaining your Bank Sweep and Bank Sweep for Benefit Plans features as a Schwab Cash feature for your brokerage account. Deposit accounts constitute direct obligations of banks affiliated with Schwab and are not obligations of Schwab. Bank deposit accounts are insured by the FDIC within applicable limits. The balance in the bank deposit accounts can be withdrawn on your order and the proceeds returned to your securities account or remitted to you as provided in your Account Agreement. For information on FDIC insurance and its limits, as well as other important disclosures about the Bank Sweep and Bank Sweep for Benefit Plans features, please refer to the Cash Features Disclosure Statement available online or from a Schwab representative.

Cash: Any Free Credit Balance owed by us to you payable upon demand which, although accounted for on our books of record, is not

segregated and may be used in the conduct of this firm's business. **Current Yield:** Annual dividend paid on an equity divided by the current market price.

Dividend Reinvestment Customers: Dividend reinvestment transactions were effected by Schwab acting as a principal for its own account, except for the reinvestment of Schwab dividends, for which an independent broker-dealer acted as the buying agent. Further information on these transactions will be furnished upon written request.

Estimated Annual Income: Estimated annual income is derived from information provided by outside parties. Schwab cannot guarantee the accuracy of such information. Since the interest and dividends are subject to change at any time, they should not be relied upon exclusively for making investment decisions.

Fees and Charges: For those fees described in the statement as "Fee to Advisor", as authorized by you, Schwab debited your Account to pay the fees as instructed by your Advisor. It is your responsibility, and not Schwab's, to verify the accuracy of the fees. Margin interest charged to your Account during the statement period is included in this section of the statement.

Interest: For the Schwab One Interest, Bank Sweep, and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. For the Schwab One Interest feature, interest accrues daily from the second-to-last business day of the prior month and is posted on the second-to-last business day of the current month. For the Bank Sweep feature, interest accrues daily from the 16th day of the prior month and is credited/posted on the first business day after the 15th of the current month. If, on any given day, the interest that Schwab calculates for the Free Credit Balances in the Schwab One Interest feature in your brokerage account is less than \$,005, you will not accrue any interest on that day. For balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features, interest will accrue even if the amount is less than \$,005.

Margin Account Customers: This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection. Securities purchased on margin are Schwab's collateral for the loan to you. It is important that you fully understand the risks involved in trading securities on margin. These risks include:

- You can lose more funds than you deposit in the margin account.
- Schwab can force the sale of securities or other assets in any of your account(s) to maintain the required account equity without contacting you.
- You are not entitled to choose which assets are liquidated nor are you entitled to an extension of time on a margin call.
- Schwab can increase its "house" maintenance margin requirements at any time without advance written notice to you.

Market Price: The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade

price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Pricing of assets not held at Schwab is for informational purposes only. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as State Priced. For Limited Partnerships and Real Estate Investment Trust (REIT) securities, you may see that the value reflected on your monthly account statement for this security is unpriced. NASD rules require that certain Limited Partnerships (direct participation programs) and Real Estate Investment Trust (REIT) securities, that have not been priced within 18 months, must show as unpriced on customer statements. Note that these securities are generally illiquid, the value of the securities will be different than its purchase price, and, if applicable, that accurate valuation information may not be available.

Market Value: The Market Value is computed by multiplying the Market Price by the Quantity of Shares. This is the dollar value of your present holdings in your specified Schwab Account or a summary of the Market Value summed over multiple accounts.

Non-Publicly Traded Securities: All assets shown on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain Non-Publicly Traded Securities may be furnished by a third party as provided by Schwab's Account Agreement. Schwab shall have no responsibility for the accuracy or timeliness of such valuations. The Securities Investor Protection Corporation (SIPC) does not cover many limited partnership interests.

Option Customers: Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request 2) You should advise us promptly of any material changes in your investment objectives or financial situation 3) Exercise assignment notices for the option contracts are allocated among customer short positions pursuant to an automated procedure which randomly selects from among all customer short option positions those contracts which are subject to exercise, including positions established on the day of assignment 4) Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult your tax advisor or IRS publication 550, Investment Income and Expenses, for additional information on Options.

Schwab Sweep Money Funds: Includes the primary money market funds into which Free Credit Balances may be automatically invested pursuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the Investment Advisor, Transfer Agent, Shareholder Service Agent and Distributor for the Schwab Sweep Money Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary. If on any given day, the accrued daily dividend for your selected sweep money fund as calculated for your account is less than 1/2 of 1 cent (\$0.005), your account will not earn a dividend for that day. In addition, if you do not accrue at least 1 daily dividend of \$0.01 during

Terms and Conditions (continued)

a pay period, you will not receive a money market dividend for that period. Schwab and the Schwab Sweep Money Funds investment advisor may be voluntarily reducing a portion of a Schwab Sweep Money Fund's expenses. Without these reductions, yields would have been lower.

Securities Products and Services: Securities products and services are offered by Charles Schwab & Co., Inc., Member SIPC. Securities products and services, including unswept intraday funds and net credit balances held in brokerage accounts are not deposits or other obligations of, or guaranteed by, any bank, are not FDIC insured, and are subject to investment risk and may lose value. SIPC does not cover balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features.

Short Positions: Securities sold short will be identified through an "S" in Investment Detail. The market value of these securities will be expressed as a debit and be netted against any long positions in Total Account Value.

Yield to Maturity: This is the actual average annual return on a note if held to maturity.

Gain (or Loss): Unrealized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s)") contain a gain or a loss summary of your Account. This information has been provided on this statement at the request of your Advisor. This information is not a solicitation or a recommendation to buy or sell. It may, however, be helpful for investment and tax planning strategies. Schwab does not provide tax advice and encourages you to consult with your tax professional. Please view the Cost Basis Disclosure Statement for additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS.

Accrued Income: Accrued Income is the sum of the total accrued interest and/or accrued dividends on positions held in your Account, but the interest and/or dividends have not been received into your account. Schwab makes no representation that the amounts shown (or any other amount) will be received. Accrued amounts are not covered by SIPC account protection until actually received and held in the Account.

IN CASE OF ERRORS OR DISCREPANCIES: If you find an error or discrepancy relating to your brokerage activity (other than an electronic fund transfer) you must notify us promptly, but no later than 10 days after this statement is sent or made available to you. If this statement shows that we have mailed or delivered security certificate(s) that you have not received, notify Schwab immediately. You may call us at 800-515-2157. Any oral communications should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with respect to those brokerage transactions.

IN CASE OF COMPLAINTS: If you have a complaint regarding your Schwab statement, products or services, please write to the Client Advocacy Team at Charles Schwab & Co., Inc., Attention: Client Advocacy Team, 211 Main St., San Francisco, CA 94105, USA, or call Schwab Signature Alliance at 800-515-2157.

Address Changes: If you fail to notify Schwab in writing of any change of address or phone number, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account.

Additional Information:

We are required by law to report to the Internal Revenue Service adjusted cost basis information (if applicable), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third party trademarks appearing herein are the property of their respective owners. Schwab and Charles Schwab Bank are affiliates of each other and subsidiaries of the Charles Schwab Corporation.

(1017-7MAX)



Schwab One® Trust Account of
SPOUSE TTEE
REVOCABLE TR
U/A DTD 03/19/2014

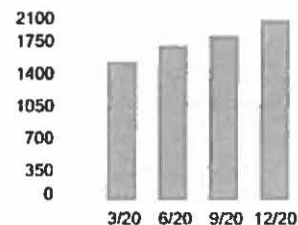
Account Number

Statement Period
December 1-31, 2020

Account Value as of 12/31/2020: \$ 1,962,819.27

Change in Account Value

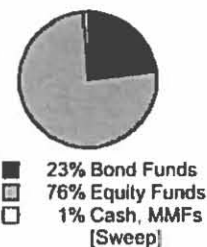
	This Period	Year to Date	Account Value [in Thousands]
Starting Value	\$ 1,900,888.75	\$ 1,799,302.24	
Credits	7,570.81	65,999.22	
Debits	0.00	(13,569.55)	
Transfer of Securities (In/Out)	0.00	0.00	
Income Reinvested	(1,702.25)	(45,036.07)	
Change in Value of Investments	56,061.96	156,123.43	
Ending Value on 12/31/2020	\$ 1,962,819.27	\$ 1,962,819.27	
Total Change in Account Value	\$ 61,930.52	\$ 163,517.03	



Asset Composition

	Market Value	% of Account Assets
Cash and Money Market Funds [Sweep]	\$ 28,647.81	1%
Bond Funds	451,443.71	23%
Equity Funds	1,482,727.75	76%
Total Assets Long	\$ 1,962,819.27	
Total Account Value	\$ 1,962,819.27	100%

Overview



PRIVATE WEALTH
MANAGEMENT

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Schwab One® Trust Account of
SPOUSE TTEE
REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
December 1-31, 2020

Gain or (Loss) Summary	Realized Gain or (Loss) This Period		Unrealized Gain or (Loss)
	Short Term	Long Term	
All Investments	\$0.00	\$0.00	\$403,509.02
Values may not reflect all of your gains/losses. Cost basis may change and be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional gain or (loss) information refer to Terms and Conditions.			

Income Summary	This Period		Year to Date	
	Federally Tax-Exempt ¹	Federally Taxable	Federally Tax-Exempt ¹	Federally Taxable
Money Funds Dividends	0.00	0.40	0.00	82.06
Cash Dividends	918.42	6,192.10	9,958.47	12,246.64
Total Capital Gains Distributions	0.00	459.89	0.00	43,712.05
Total Income	918.42	6,652.39	9,958.47	56,040.75

¹Certain income in this category may qualify for state tax exemption; consult your tax advisor.

Cash Transactions Summary	This Period	Year to Date
Starting Cash*	\$ 22,778.85	\$ 31,862.61
Deposits and other Cash Credits	0.00	0.00
Investments Sold	0.00	584,339.54
Dividends and Interest	7,570.81	65,999.22
Withdrawals and other Debits	0.00	0.00
Investments Purchased	(1,701.85)	(639,984.01)
Fees and Charges	0.00	(13,569.55)
Total Cash Transaction Detail	5,868.96	(3,214.80)
Ending Cash*	\$ 28,647.81	\$ 28,647.81

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.



Schwab One® Trust Account of
SPOLSE TTEE
REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
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Investment Detail - Cash and Money Market Funds [Sweep]

Cash	Starting Balance	Ending Balance	% of Account Assets
Cash	786.95	918.42	<1%
Total Cash	786.95	918.42	<1%

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	% of Account Assets
Schwab Govt Money Fund: SWGXX	27,729.3900	1.0000	27,729.39	1%
Total Money Market Funds [Sweep]			27,729.39	1%
Total Cash and Money Market Funds [Sweep]			28,647.81	1%

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
T. ROWE PRICE SUMMIT MUN ICIPAL INTM INV SYMBOL: PRSMX	36,436.1350	12.39000	451,443.71	434,782.38	16,661.33	23%
Total Bond Funds	36,436.1350		451,443.71	434,782.38	16,661.33	23%

Equity Funds	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
BOSTON COMMON ESG IMPACT INTL SYMBOL: BCAIX	7,824.1390	37.33000	292,075.11	200,000.00	92,075.11	15%



Schwab One® Trust Account of
spouse TTEE
REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
December 1-31, 2020

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
CIBC ATLAS INCOME OPPORTUNITIES INSTL SYMBOL: AWIIX	6,607.8060	14.06000	92,905.75	68,984.55	23,921.20	5%
HARDING LOEVNER EMERGING MARKETS ADVISOR SYMBOL: HLEMX	1,470.5880	66.94000	98,441.16	80,000.00	18,441.16	5%
PARNASSUS CORE EQUITY INSTITUTIONAL SYMBOL: PRILX	16,059.5550	53.75000	863,201.08	630,167.30	233,033.78	44%
PAX ELLEVATE GLBL WOMENS LDRSP INST SYMBOL: PXWIX	2,948.7650	30.50000	89,937.33	80,015.00	9,922.33	5%
PAX SMALL CAP INSTITUTIONAL SYMBOL: PXSIX	2,887.2620	15.99000	46,167.32	36,713.21	9,454.11	2%
Total Equity Funds	37,798.1150		1,482,727.75	1,095,880.06	386,847.69	76%
Total Mutual Funds	74,234.2500		1,934,171.46	1,530,662.44	403,509.02	99%

Total Investment Detail 1,962,819.27

Total Account Value 1,962,819.27

Total Cost Basis 1,530,662.44



Schwab One® Trust Account of
SPOUSE TTEE
REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
December 1-31, 2020

Transaction Detail - Purchases & Sales

Bond Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/08/20	12/08/20	Reinvested Shares	T. ROWE PRICE SUMMIT MUN ICIPAL INTM INV: PRSMX	0.2940	12.3700	(3.64)
Total Bond Funds Activity						(3.64)

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/22/20	12/22/20	Reinvested Shares	PAX SMALL CAP INSTITUTIO NAL: PXSIX	78.2260	15.8300	(1,238.32)
12/22/20	12/22/20	Reinvested Shares	PAX SMALL CAP INSTITUTIO NAL: PXSIX	29.0520	15.8300	(459.89)
Total Equity Funds Activity						(1,698.21)
Total Purchases & Sales						(1,701.85)

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/08/20	12/08/20	Sttm Cap Gn Rein	T. ROWE PRICE SUMMIT MUN: PRSMX	3.64
12/15/20	12/15/20	Cash Dividend	HARDING LOEVNER EMERGING: HLEMX	319.23
12/17/20	12/17/20	Cash Dividend	BOSTON COMMON ESG IMPACT: BCAIX	1,846.32
12/17/20	12/17/20	Cash Dividend	PARNASSUS CORE EQUITY IN: PRILX	1,785.82
12/22/20	12/22/20	Cash Dividend	PAX ELLEVATE GLBL WOMEN: PXWIX	563.98
12/22/20	12/22/20	LT Cap Gain Rein	PAX SMALL CAP INSTITUTIO: PXSIX	459.89



Schwab One® Trust Account of
SPOUSE TTEE
REVOCABLE TR
U/A DTD 03/19/2014

Account Number

Statement Period
December 1-31, 2020

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/22/20	12/22/20	Sttm Cap Gn Rein	PAX SMALL CAP INSTITUTIO: PXSIX	1,238.32
12/30/20	12/30/20	Cash Dividend	CIBC ATLAS INCOME OPPORT: AWIIX	434.79
12/31/20	12/31/20	Dividend	SCHWAB GOVT MONEY FUND: SWGXX	0.40
12/31/20	12/31/20	Cash Dividend	T. ROWE PRICE SUMMIT MUN: PRSMX	918.42
Total Dividends & Interest				7,570.81

Total Transaction Detail 5,868.96

Money Market Fund (Sweep) Detail

SCHWAB GOVT MONEY FUND Activity

Settle Date	Transaction	Quantity	Unit Price	Purchase/Debit	Sale/Credit
Opening # of Shares: 21,991.9000					
12/01/20	Purchased	786.9500	1.0000	786.95	
12/16/20	Purchased	319.2300	1.0000	319.23	
12/18/20	Purchased	3,632.1400	1.0000	3,632.14	
12/23/20	Purchased	563.9800	1.0000	563.98	
12/31/20	Purchased	434.7900	1.0000	434.79	
12/31/20	Dividend	0.4000	1.0000	0.40	

Closing # of Shares: 27,729.3900

Total SCHWAB GOVT MONEY FUND Activity 5,737.49

Total Money Market Fund (Sweep) 5,737.49

SCHWAB GOVT MMF Average Yield For The Most Recent Pay Period: 0.01%; 7-Day Yield: 0.01%.

Attachment E: Fanci 2020 278e Report, Section 5



Contributory IRA of
SPOUSE
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2020

Need help reading this statement?
Visit www.schwab.com/StatementUserGuide for more information.

Your Independent Investment Manager and/or Advisor

CIBC PRIVATE WEALTH ADVRS, INC
1201 F ST NW STE 900
WASHINGTON DC 20004-1223
1 (202) 783-4144

The custodian of your brokerage account is: Charles Schwab & Co., Inc.
This report is provided by Schwab. Except as noted in the terms and conditions, your Investment Manager and/or Advisor is independently owned and operated and not an affiliate with Schwab. For questions about this statement, or if there is a change in your financial situation, investment objectives, or risk profile, please contact your Independent Investment Manager and/or Advisor.

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CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY
SPOUSE



PRIVATE WEALTH
MANAGEMENT

Your Independent Investment Advisor is not affiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor.

Terms and Conditions

This Account statement is furnished solely by Charles Schwab & Co., Inc. ("Schwab") for your Account at Schwab ("Account"). Schwab Institutional is a division of Charles Schwab & Co., Inc., and provides back office brokerage and related services to investment advisors and retirement plan providers. Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement. Schwab is a registered broker-dealer and is not affiliated with your Investment Advisor whose name appears on this statement ("Advisors") except in the case of Charles Schwab Investment Advisory, Inc. ("CSIA"), Schwab Private Client Investment Advisory, Inc. ("SPCIA"), or an affiliated company that may act as the investment advisor on a fund. Advisors are independently owned and operated. Schwab neither endorses nor recommends any particular Advisor or its investment strategy and has no responsibility to monitor trading by any Advisor in your Account. Schwab has not verified any statement accompanying any Advisor's logo appearing on this statement. Advisors provide investment advisory services for your Account. Schwab provides brokerage and custody services for your Account. Schwab has agreements with Advisors under which Schwab provides Advisors with institutional trading, custody and related services, and products. Not all of these products and services may benefit your Account, and Schwab may provide them to Advisors on the Advisor's commitment to place a certain amount of its clients' assets in brokerage accounts at Schwab within a certain period of time. This commitment could influence an Advisor's recommendation or requirement that its clients establish brokerage accounts at Schwab.

GENERAL INFORMATION AND KEY TERMS:

If you receive any other communication from any source other than Schwab which purports to represent your holdings at Schwab (including balances held at a Depository Institution) you should verify its content with this statement.

AIP (Automatic Investment Plan) Customers: Schwab receives remuneration in connection with certain transactions effected through Schwab. If you participate in a systematic investment program through Schwab, the additional information normally detailed on a trade confirmation will be provided upon request.

Average Daily Balance: Average daily composite of all cash balances that earn interest and all loans from Schwab that are charged interest.

Bank Sweep and Bank Sweep for Benefit Plans Features: Schwab acts as your agent and custodian in establishing and maintaining your Bank Sweep and Bank Sweep for Benefit Plans features as a Schwab Cash feature for your brokerage account. Deposit accounts constitute direct obligations of banks affiliated with Schwab and are not obligations of Schwab. Bank deposit accounts are insured by the FDIC within applicable limits. The balance in the bank deposit accounts can be withdrawn on your order and the proceeds returned to your securities account or remitted to you as provided in your Account Agreement. For information on FDIC insurance and its limits, as well as other important disclosures about the Bank Sweep and Bank Sweep for Benefit Plans features, please refer to the Cash Features Disclosure Statement available online or from a Schwab representative.

Cash: Any Free Credit Balance owed by us to you payable upon demand which, although accounted for on our books of record, is not

segregated and may be used in the conduct of this firm's business. **Current Yield:** Annual dividend paid on an equity divided by the current market price.

Dividend Reinvestment Customers: Dividend reinvestment transactions were effected by Schwab acting as a principal for its own account, except for the reinvestment of Schwab dividends, for which an independent broker-dealer acted as the buying agent. Further information on these transactions will be furnished upon written request.

Estimated Annual Income: Estimated annual income is derived from information provided by outside parties. Schwab cannot guarantee the accuracy of such information. Since the interest and dividends are subject to change at any time, they should not be relied upon exclusively for making investment decisions.

Fees and Charges: For those fees described in the statement as "Fee to Advisor", as authorized by you, Schwab debited your Account to pay the fees as instructed by your Advisor. It is your responsibility, and not Schwab's, to verify the accuracy of the fees. Margin interest charged to your Account during the statement period is included in this section of the statement.

Interest: For the Schwab One Interest, Bank Sweep, and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. For the Schwab One Interest feature, interest accrues daily from the second-to-last business day of the prior month and is posted on the second-to-last business day of the current month. For the Bank Sweep feature, interest accrues daily from the 16th day of the prior month and is credited posted on the first business day after the 15th of the current month.

If, on any given day, the interest that Schwab calculates for the Free Credit Balances in the Schwab One Interest feature in your brokerage account is less than \$0.05, you will not accrue any interest on that day. For balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features, interest will accrue even if the amount is less than \$0.05.

Margin Account Customers: This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection. Securities purchased on margin are Schwab's collateral for the loan to you. It is important that you fully understand the risks involved in trading securities on margin. These risks include:

- You can lose more funds than you deposit in the margin account.
- Schwab can force the sale of securities or other assets in any of your account(s) to maintain the required account equity without contacting you.
- You are not entitled to choose which assets are liquidated nor are you entitled to an extension of time on a margin call.
- Schwab can increase its "house" maintenance margin requirements at any time without advance written notice to you.

Market Price: The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade

price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Pricing of assets not held at Schwab is for informational purposes only. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as Stale Priced. For Limited Partnerships and Real Estate Investment Trust (REIT) securities, you may see that the value reflected on your monthly account statement for this security is unpriced. NASD rules require that certain Limited Partnerships (direct participation programs) and Real Estate Investment Trust (REIT) securities, that have not been priced within 18 months, must show as unpriced on customer statements. Note that these securities are generally illiquid, the value of the securities will be different than its purchase price, and, if applicable, that accurate valuation information may not be available.

Market Value: The Market Value is computed by multiplying the Market Price by the Quantity of Shares. This is the dollar value of your present holdings in your specified Schwab Account or a summary of the Market Value summed over multiple accounts.

Non-Publicly Traded Securities: All assets shown on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain Non-Publicly Traded Securities may be furnished by a third party as provided by Schwab's Account Agreement. Schwab shall have no responsibility for the accuracy or timeliness of such valuations. The Securities Investor Protection Corporation (SIPC) does not cover many limited partnership interests.

Option Customers: Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request 2) You should advise us promptly of any material changes in your investment objectives or financial situation 3) Exercise assignment notices for the option contracts are allocated among customer short positions pursuant to an automated procedure which randomly selects from among all customer short option positions those contracts which are subject to exercise, including positions established on the day of assignment 4) Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult your tax advisor or IRS publication 550, Investment Income and Expenses, for additional information on Options.

Schwab Sweep Money Funds: Includes the primary money market funds into which Free Credit Balances may be automatically invested pursuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the Investment Advisor, Transfer Agent, Shareholder Service Agent and Distributor for the Schwab Sweep Money Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary. If on any given day, the accrued daily dividend for your selected sweep money fund as calculated for your account is less than 1/2 of 1 cent (\$0.005), your account will not earn a dividend for that day. In addition, if you do not accrue at least 1 daily dividend of \$0.01 during

Terms and Conditions (continued)

a pay period, you will not receive a money market dividend for that period. Schwab and the Schwab Sweep Money Funds investment advisor may be voluntarily reducing a portion of a Schwab Sweep Money Fund's expenses. Without these reductions, yields would have been lower.

Securities Products and Services: Securities products and services are offered by Charles Schwab & Co., Inc., Member SIPC. Securities products and services, including unswept intraday funds and net credit balances held in brokerage accounts are not deposits or other obligations of, or guaranteed by, any bank, are not FDIC insured, and are subject to investment risk and may lose value. SIPC does not cover balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features.

Short Positions: Securities sold short will be identified through an "S" in Investment Detail. The market value of these securities will be expressed as a debit and be netted against any long positions in Total Account Value.

Yield to Maturity: This is the actual average annual return on a note if held to maturity.

Gain (or Loss): Unrealized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s)") contain a gain or a loss summary of your Account. This information has been provided on this statement at the request of your Advisor. This information is not a solicitation or a recommendation to buy or sell. It may, however, be helpful for investment and tax planning strategies. Schwab does not provide tax advice and encourages you to consult with your tax professional. Please view the Cost Basis Disclosure Statement for additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS.

Accrued Income: Accrued Income is the sum of the total accrued interest and/or accrued dividends on positions held in your Account, but the interest and/or dividends have not been received into your account. Schwab makes no representation that the amounts shown (or any other amount) will be received. Accrued amounts are not covered by SIPC account protection until actually received and held in the Account.

IN CASE OF ERRORS OR DISCREPANCIES: If you find an error or discrepancy relating to your brokerage activity (other than an electronic fund transfer) you must notify us promptly, but no later than 10 days after this statement is sent or made available to you. If this statement shows that we have mailed or delivered security certificate(s) that you have not received, notify Schwab immediately. You may call us at 800-515-2157. Any oral communications should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with respect to those brokerage transactions.

IN CASE OF COMPLAINTS: If you have a complaint regarding your Schwab statement, products or services, please write to the Client Advocacy Team at Charles Schwab & Co., Inc., Attention: Client Advocacy Team, 211 Main St., San Francisco, CA 94105, USA, or call Schwab Signature Alliance at 800-515-2157.

Address Changes: If you fail to notify Schwab in writing of any change of address or phone number, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account.

Additional Information:

We are required by law to report to the Internal Revenue Service adjusted cost basis information (if applicable), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third party trademarks appearing herein are the property of their respective owners. Schwab and Charles Schwab Bank are affiliates of each other and subsidiaries of the Charles Schwab Corporation.

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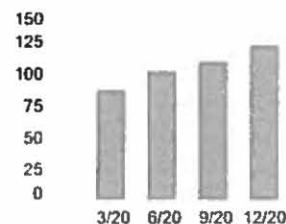
Contributory IRA of
SPouse
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2020

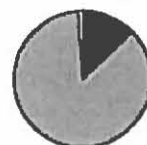
Account Value as of 12/31/2020: \$ 120,277.82

Change in Account Value	This Period	Year to Date	Account Value [in Thousands]
Starting Value	\$ 115,613.55	\$ 107,468.73	
Credits	950.65	3,919.75	
Debits	0.00	0.00	
Transfer of Securities (In/Out)	0.00	0.00	
Income Reinvested	(544.50)	(2,954.58)	
Change in Value of Investments	4,258.12	11,843.92	
Ending Value on 12/31/2020	\$ 120,277.82	\$ 120,277.82	
Total Change in Account Value	\$ 4,664.27	\$ 12,809.09	



Asset Composition	Market Value	% of Account Assets
Cash and Bank Sweep ^{X,Z}	\$ 1,328.27	1%
Bond Funds	15,739.36	13%
Equity Funds	103,210.19	86%
Total Assets Long	\$ 120,277.82	
Total Account Value	\$ 120,277.82	100%

Overview



- 13% Bond Funds
- 86% Equity Funds
- 1% Cash, Bank Sweep [X,Z]



PRIVATE WEALTH
MANAGEMENT

Your Independent Investment Advisor is not affiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor.



Contributory IRA of
- SPOUSE
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2020

Gain or (Loss) Summary		Gain or (Loss) on Investments Sold	Unrealized Gain or (Loss)
		This Period	

All Investments	\$145.56	\$18,831.47
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Values may not reflect all of your gains/losses. Cost basis may change and be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional gain or (loss) information refer to Terms and Conditions.

Income Summary	This Period	Year To Date
Bank Sweep Interest	0.01	0.59
Cash Dividends	692.63	1,251.07
Total Capital Gains Distributions	258.01	2,668.09
Total Income	950.65	3,919.75

Cash Transactions Summary	This Period	Year to Date
Starting Cash*	\$ 922.12	\$ 2,811.13
Deposits and other Cash Credits	0.00	0.00
Investments Sold	5,000.00	71,611.97
Dividends and Interest	950.65	3,919.75
Withdrawals and other Debits	0.00	0.00
Investments Purchased	(5,544.50)	(77,014.58)
Fees and Charges	0.00	0.00
Total Cash Transaction Detail	406.15	(1,482.86)
Ending Cash*	\$ 1,328.27	\$ 1,328.27

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.



Contributory IRA of
SPouse
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2020

Investment Detail - Cash and Bank Sweep

Cash	Starting Balance	Ending Balance	% of Account Assets
Cash	41.46	37.61	<1%
Total Cash	41.46	37.61	<1%

Bank Sweep	Starting Balance	Ending Balance	% of Account Assets
Bank Sweep <i>X.Z</i>	880.66	1,290.66	1%
Total Bank Sweep	880.66	1,290.66	1%

Total Cash and Bank Sweep		1,328.27	1%
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Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM SYMBOL: VFIDX	1,503.2820	10.47000	15,739.36	15,559.50	179.86	13%
Total Bond Funds	1,503.2820		15,739.36	15,559.50	179.86	13%

Equity Funds	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
BOSTON COMMON ESG IMPACT INTL SYMBOL: BCAIX	630.7710	37.33000	23,546.68	17,000.00	6,546.68	20%

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of
Spouse
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2020

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	Cost Basis	Unrealized Gain or (Loss)	% of Account Assets
HARDING LOEVNER EMERGING MARKETS ADVISOR SYMBOL: HLEMX	261.2710	66.94000	17,489.48	15,000.00	2,489.48	15%
PARNASSUS CORE EQUITY INSTITUTIONAL SYMBOL: PRILX	894.8630	53.75000	48,098.89	40,383.02	7,715.87	40%
PAX ELLEVATE GLBL WOMENS LDRSP INST SYMBOL: PXWIX	331.7360	30.50000	10,117.95	9,015.00	1,102.95	8%
PAX SMALL CAP INSTITUTIONAL SYMBOL: PXSIX	247.4790	15.99000	3,957.19	3,160.56	796.63	3%
Total Equity Funds	2,366.1200		103,210.19	84,558.58	18,651.61	86%
Total Mutual Funds	3,869.4020		118,949.55	100,118.08	18,831.47	99%

Total Investment Detail 120,277.82

Total Account Value 120,277.82

Total Cost Basis 100,118.08

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of
~~SPOUSE~~
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2020

Gain or (Loss) on Investments Sold

Investments	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Gain or (Loss)
VANGUARD INTERM-TERM INVESTMENT-GRDE ADM: VFIDX	469.1300	05/27/20	12/08/20	5,000.00	4,854.44	145.56
Total Gain or (Loss) on Investments Sold				5,000.00	4,854.44	145.56

Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here.

Option Customers: Gain/loss on investments sold is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.

Transaction Detail - Purchases & Sales

Bond Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/09/20	12/08/20	Sold	VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM: VFIDX	(469.1300)	10.6900	5,000.00
12/29/20	12/29/20	Reinvested Shares	VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM: VFIDX	17.2580	10.4500	(180.35)
12/29/20	12/29/20	Reinvested Shares	VANGUARD INTERM-TERM INV ESTMENT-GRDE ADM: VFIDX	20.9180	10.4500	(218.59)
Total Bond Funds Activity						4,601.06

Equity Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/09/20	12/08/20	Bought	HARDING LOEVNER EMERGING MARKETS ADVISOR: HLEMX	77.4470	64.5600	(5,000.00)

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of
spouse
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2020

Transaction Detail - Purchases & Sales (continued)

Equity Funds Activity (continued)

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/22/20	12/22/20	Reinvested Shares	PAX SMALL CAP INSTITUTIO NAL: PXSIX	6.7050	15.8300	(106.14)
12/22/20	12/22/20	Reinvested Shares	PAX SMALL CAP INSTITUTIO NAL: PXSIX	2.4900	15.8300	(39.42)

Total Equity Funds Activity

(5,145.56)

Total Purchases & Sales

(544.50)

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Date	Process Date	Activity	Description	Credit/(Debit)
12/15/20	12/15/20	Cash Dividend	HARDING LOEVNER EMERGING: HLEMX	56.72
12/15/20	12/16/20	Bank Interest ^{x,z}	BANK INT <i>[removed account number]</i>	0.01
12/17/20	12/17/20	Cash Dividend	BOSTON COMMON ESG IMPACT: BCAIX	148.85
12/17/20	12/17/20	Cash Dividend	PARNASSUS CORE EQUITY IN: PRILX	99.51
12/22/20	12/22/20	Cash Dividend	PAX ELLEVATE GLBL WOMEN: PXWIX	63.45
12/22/20	12/22/20	LT Cap Gain Rein	PAX SMALL CAP INSTITUTIO: PXSIX	39.42
12/22/20	12/22/20	Sttm Cap Gn Rein	PAX SMALL CAP INSTITUTIO: PXSIX	106.14
12/29/20	12/29/20	Sttm Cap Gn Rein	VANGUARD INTERM-TERM INV: VFIDX	180.35
12/29/20	12/29/20	LT Cap Gain Rein	VANGUARD INTERM-TERM INV: VFIDX	218.59
12/31/20	12/31/20	Cash Dividend	VANGUARD INTERM-TERM INV: VFIDX	37.61

Total Dividends & Interest

950.65

Total Transaction Detail

406.15

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributory IRA of
SPOUSE
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2020

Bank Sweep Activity

Transaction Date	Transaction	Description	Withdrawal	Deposit	Balance ^{x,z}
Opening Balance ^{x,z}					880.66
12/01/20	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		41.46	922.12
12/15/20	Interest Paid ^{x,z}	BANK INTEREST		0.01	922.13
12/16/20	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		56.72	978.85
12/18/20	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		248.36	1,227.21
12/23/20	Auto Transfer	BANK CREDIT FROM BROKERAGE ^x		63.45	1,290.66
Total Activity			0.00	410.00	
Ending Balance ^{x,z}					1,290.66

Bank Sweep: Interest Rate as of 12/31/20 was 0.01%. Your interest period was 11/16/20 - 12/15/20. ^z

Contribution Summary

	2019	2020
Traditional IRA	0.00	0.00
Year To Date Total	0.00	0.00

Endnotes For Your Account

Symbol Endnote Legend

X Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Contributor ID:
SPOUSE
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY

Account Number

Statement Period
December 1-31, 2020

Endnotes For Your Account (continued)

Symbol Endnote Legend

- Z** For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

Filter by Transaction Types



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Condensed Table view

Attachment F: Fauci 2020 278e Report, Section 7

Anthony J. Fauci
 IRA Contributory
 Transactions - 2020



Transactions From 04/05/2017 To 04/05/2021

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
03/31/2021	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$95.03
03/31/2021	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$63.61
03/31/2021	Reinvest Shares		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM	24.559	\$9.98		-\$245.10
03/31/2021	Reinvest Shares		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM	7.408	\$9.98		-\$73.93
03/31/2021	Long Term Cap Gain Reinvest		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$245.10
03/31/2021	Short Term Cap Gain Reinvest		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$73.93
03/31/2021	Reinvest Dividend		RPIFX	T. ROWE PRICE INSTL FLOATING RATE				\$122.24
03/31/2021	Reinvest Shares		RPIFX	T. ROWE PRICE INSTL FLOATING RATE	12.55	\$9.74		-\$122.24

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Charles Schwab Client Center | Accounts History

03/30/2021	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL					\$132.09
03/16/2021 as of 03/15/2021	Bank Interest			BANK INT SCHWAB BANK					\$0.01
03/01/2021 as of 02/26/2021	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM					\$97.85
02/26/2021	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL					\$100.65
02/26/2021	Reinvest Dividend		RPIFX	T. ROWE PRICE INSTL FLOATING RATE					\$37.79
02/26/2021	Reinvest Shares		RPIFX	T. ROWE PRICE INSTL FLOATING RATE	3.872	\$9.76			-\$37.79
02/16/2021	Sell	Trade Details	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL	2,356.431	\$11.04	\$15.00		\$26,000.00
02/16/2021	Buy	Trade Details	RPIFX	T. ROWE PRICE INSTL FLOATING RATE	3,582.395	\$9.77	\$15.00		-\$35,015.00
02/16/2021	Sell	Trade Details	AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL	2,110.759	\$14.22	\$15.00		\$30,000.00
02/16/2021	Buy	Trade Details	PCRIX	PIMCO COMMODITY REAL RET STRAT INSTL	5,359.877	\$6.53	\$15.00		-\$35,015.00
02/16/2021 as of 02/15/2021	Bank Interest			BANK IN SCHWAB BANK					\$0.13
01/29/2021	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM					\$97.09
01/29/2021	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL					\$125.67
01/19/2021 as of 01/15/2021	Bank Interest			BANK INT SCHWAB BANK					\$0.12
12/31/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM					\$114.51

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Charles Schwab Client Center | Accounts History

INVESTMENT-
GRADE ADM

12/31/2020	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL			\$148.13
12/30/2020	Reinvest Shares	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL	48.239	\$26.23	-\$1,265.32
12/30/2020	Cash Dividend	AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL			\$284.71
12/30/2020	Reinvest Dividend	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL			\$1,265.32
12/29/2020	Reinvest Shares	VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM	69.04	\$10.45	-\$721.47
12/29/2020	Reinvest Shares	VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM	56.963	\$10.45	-\$595.26
12/29/2020	Long Term Cap Gain Reinvest	VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM			\$721.47
12/29/2020	Short Term Cap Gain Reinvest	VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM			\$595.26
12/28/2020	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL			\$2.67
12/22/2020	Reinvest Shares	AWMIX	CIBC ATLAS MID CAP EQUITY INSTITUTIONAL	53.847	\$19.96	-\$1,074.79
12/22/2020	Long Term Cap Gain Reinvest	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL			\$4,073.78
12/22/2020	Long Term Cap Gain Reinvest	AWMIX	CIBC ATLAS MID CAP EQUITY INSTITUTIONAL			\$1,074.79
12/22/2020	Short Term Cap Gain	EGFIX	EDGEWOOD GROWTH INSTL			\$397.67
12/22/2020	Long Term Cap Gain	EGFIX	EDGEWOOD GROWTH INSTL			\$2,158.64
12/22/2020	Reinvest Shares	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL	156.563	\$26.02	-\$4,073.78

12/18/2020	Cash Dividend	FLMVX	JPMORGAN MID CAP VALUE L			\$511.92
12/16/2020	Reinvest Shares	MIPTX	MATTHEWS PACIFIC TIGER INSTL	115.869	\$33.57	-\$3,889.72
12/16/2020	Reinvest Shares	MIPTX	MATTHEWS PACIFIC TIGER INSTL	33.094	\$33.57	-\$1,110.98
12/16/2020	Short Term Cap Gain Reinvest	MIPTX	MATTHEWS PACIFIC TIGER INSTL			\$1,110.98
12/16/2020	Long Term Cap Gain Reinvest	MIPTX	MATTHEWS PACIFIC TIGER INSTL			\$3,889.72
12/16/2020	Cash Dividend	MIPTX	MATTHEWS PACIFIC TIGER INSTL			\$325.46
12/16/2020 as of 12/15/2020	Bank Interest		BANK INT SCHWAB BANK		<i>removed account number</i>	\$0.09
12/11/2020	Long Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L			\$2,558.99
12/11/2020	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	69.368	\$36.89	-\$2,558.99
12/10/2020	Cash Dividend	MIEIX	MFS INSTL INTERNATIONAL EQUITY			\$144.14
12/10/2020	Reinvest Shares	MIEIX	MFS INSTL INTERNATIONAL EQUITY	1.302	\$29.94	-\$38.99
12/10/2020	Long Term Cap Gain Reinvest	MIEIX	MFS INSTL INTERNATIONAL EQUITY			\$38.99

Transactions Total

-\$9,229.81

Transactions may include Bank Sweep deposit information. Bank Sweep deposits are held at the FDIC-insured depository institution(s) referenced on the Balances detail page, your account statements and disclosed in your account documents. SIPC does not cover balances held in the Bank Sweep feature.

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Account#

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Independent Investment Advisor*
CIBC PRIVATE WEALTH ADVRS, INC



PRIVATE WEALTH MANAGEMENT

Contact your advisor

Not affiliated with Schwab

Transactions From 04/05/2017 To 04/05/2021

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
12/09/2020	Reinvest Shares		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL	17.422	\$11.20		-\$195.13
12/09/2020	Long Term Cap Gain Reinvest		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$195.13
11/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$103.65
11/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$125.95
11/16/2020 as of 11/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.09
10/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$101.78
10/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$145.17
10/16/2020 as of 10/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.08

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09/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$101.92
09/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$133.57
09/29/2020	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$253.13
09/16/2020 as of 09/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number			\$0.08
08/31/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$105.60
08/31/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$128.06
08/17/2020 as of 08/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number			\$0.22
08/07/2020	Sell	Trade Details	FLMVX	JPMORGAN MID CAP VALUE L	1,027.134	\$34.09	\$15.00	\$35,000.00
08/07/2020	Buy	Trade Details	MIPTX	MATTHEWS PACIFIC TIGER INSTL	2,599.09	\$30.78	\$15.00	-\$80,015.00
08/07/2020	Sell	Trade Details	EPSYX	MAINSTAY EPOCH GLOBAL EQUITY YIELD I	1,460.948	\$16.54	\$15.00	\$24,149.08
07/31/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$110.90
07/31/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$145.49
07/16/2020 as of 07/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number			\$0.24
06/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$110.49
06/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT				\$126.87

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				GRADE CREDIT BD INST				
06/30/2020	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQUITY YIELD I				\$174.42
06/30/2020	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQUITY YIELD I	11.11	\$15.70		-\$174.42
06/29/2020	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$261.35
06/16/2020 as of 06/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.41
05/29/2020	Cash Dividend		VFIDX	VANGUARD INTERM TERM INVESTMENT GRADE ADMIRALSHARE				\$14.84
05/29/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$132.16
05/27/2020	Buy	Trade Details	VFIDX	VANGUARD INTERM TERM INVESTMENT GRADE ADMIRALSHARE	4,835.59	\$10.34	\$15.00	-\$50,015.00
05/18/2020 as of 05/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.65
04/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$143.86
04/16/2020 as of 04/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.34
03/31/2020	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$321.10
03/31/2020	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	22.741	\$14.12		-\$321.10
03/31/2020	Buy	Trade Details	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST	4,427.657	\$10.16	\$15.00	-\$45,000.00
03/31/2020	Sell	Trade Details	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	1,654.59	\$22.01	\$15.00	\$36,402.50
03/31/2020	Sell	Trade	HIEMX	VIRTUS	1,970.618	\$8.82	\$15.00	\$17,365.80

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Charles Schwab Client Center | Accounts History

Details

VONTOBEL EMRG
MKTS OPPTY FD I

03/31/2020	Sell	Trade Details	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	2,747.934	\$22.73	\$15.00	\$62,445.54
03/30/2020	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$279.52
03/16/2020 as of 03/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.27
02/18/2020 as of 02/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.40
01/16/2020 as of 01/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.38
12/30/2019	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$384.67
12/30/2019	Reinvest Dividend		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST				\$1,462.70
12/30/2019	Reinvest Shares		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	64.55	\$22.66		-\$1,462.70
12/20/2019	Cash Dividend		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$385.93
12/20/2019	Reinvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	4.064	\$11.57		-\$47.02
12/20/2019	Reinvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	2.841	\$11.57		-\$32.87
12/20/2019	Long Term Cap Gain Reinvest		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$47.02

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account
number

Transactions Total

\$3,598.20

Transactions may include Bank Sweep deposit information. Bank Sweep deposits are held at the FDIC-insured depository institution(s) referenced on the Balances detail page, your account statements and disclosed in your account documents. SIPC does not cover balances held in the Bank Sweep feature.

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Attachment G: Fauci 2020 278e Report, Section 7.

Anthony J. Fauci
 Defined Benefit Plan
 Transactions - 2020



Transactions From 04/05/2017 To 04/05/2021

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
03/31/2021	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$543.93
03/31/2021	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$228.07
03/31/2021	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	45.12	\$14.21		-\$641.16
03/31/2021	Reinvest Shares		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM	58.943	\$9.98		-\$588.25
03/31/2021	Reinvest Shares		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM	17.779	\$9.98		-\$177.43
03/31/2021	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I				\$641.16
03/31/2021	Long Term Cap Gain Reinvest		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$588.25
03/31/2021	Short Term Cap Gain Reinvest		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$177.43

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03/31/2021	Reinvest Shares		RPIFX	T. ROWE PRICE INSTL FLOATING RATE	50.201	\$9.74		-\$488.96
03/31/2021	Reinvest Dividend		RPIFX	T. ROWE PRICE INSTL FLOATING RATE				\$488.96
03/30/2021	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$560.06
03/19/2021	Cash Dividend		VEMAX	VANGUARD EMERGING MKTS STOCK IDX ADM				\$145.03
03/16/2021 as of 03/15/2021	Bank Interest			BANK INT SCHWAB BANK			removed account number	\$0.18
03/01/2021 as of 02/26/2021	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$234.77
02/26/2021	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$751.04
02/26/2021	Reinvest Dividend		RPIFX	T. ROWE PRICE INSTL FLOATING RATE				\$151.16
02/26/2021	Reinvest Shares		RPIFX	T. ROWE PRICE INSTL FLOATING RATE	15.488	\$9.76		-\$151.16
02/26/2021	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	45.002	\$14.19		-\$638.58
02/26/2021	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I				\$638.58
02/22/2021	Advisor Fee			TO ADVISOR				-\$2,880.21
02/16/2021	Sell	Trade Details	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL	13,588.315	\$11.04	\$15.00	\$150,000.00
02/16/2021	Buy	Trade Details	RPIFX	T. ROWE PRICE INSTL FLOATING RATE	14,329.58	\$9.77	\$15.00	-\$140,015.00
02/16/2021	Sell	Trade Details	AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL	8,791.491	\$14.22	\$15.00	\$125,000.00
02/16/2021	Buy	Trade	PCRIX	PIMCO	21,439.51	\$6.53	\$15.00	-\$140,015.00

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Charles Schwab Client Center | Accounts History

Details

COMMODITY REAL
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02/16/2021 as of 02/15/2021	Bank Interest		BANK INT SCHWAB BANK	removed account number			\$0.25
01/29/2021	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$889.15
01/29/2021	Cash Dividend	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$233.00
01/29/2021	Reinvest Shares	CPXIX	COHEN & STEERS PREFERRED SEC & INC I	44.446	\$14.31		-\$636.02
01/29/2021	Reinvest Dividend	CPXIX	COHEN & STEERS PREFERRED SEC & INC I				\$636.02
01/19/2021 as of 01/15/2021	Bank Interest		BANK INT SCHWAB BANK	removed account number			\$0.22
12/31/2020	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$1,048.10
12/31/2020	Cash Dividend	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$274.82
12/30/2020	Reinvest Shares	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL	132.924	\$26.23		-\$3,486.60
12/30/2020	Cash Dividend	HHDFX	HAMLIN HIGH DIVIDEND EQUITY INSTL				\$3,043.47
12/30/2020	Cash Dividend	AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$1,196.80
12/30/2020	Reinvest Dividend	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL				\$3,486.60
12/29/2020	Reinvest Shares	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	165.697	\$10.45		-\$1,731.53
12/29/2020	Reinvest Shares	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	136.711	\$10.45		-\$1,428.63
12/29/2020	Long Term Cap Gain	VFIDX	VANGUARD INTERM-TERM				\$1,731.53

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Charles Schwab Client Center | Accounts History

Reinvest

INVESTMENT-
GRADE ADM

12/29/2020	Short Term Cap Gain Reinvest	VFIX	VANGUARD INTERM-TERM INVESTMENT- GRADE ADM			\$1,428.63
12/28/2020	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL			\$18.87
12/22/2020	Reinvest Shares	AWMIX	CIBC ATLAS MID CAP EQUITY INSTITUTIONAL	130.413	\$19.96	-\$2,603.05
12/22/2020	Reinvest Shares	EGFIX	EDGEWOOD GROWTH INSTL	253.226	\$51.62	-\$13,071.51
12/22/2020	Reinvest Shares	EGFIX	EDGEWOOD GROWTH INSTL	46.65	\$51.62	-\$2,408.07
12/22/2020	Long Term Cap Gain Reinvest	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL			\$11,225.36
12/22/2020	Long Term Cap Gain Reinvest	AWMIX	CIBC ATLAS MID CAP EQUITY INSTITUTIONAL			\$2,603.05
12/22/2020	Short Term Cap Gain Reinvest	EGFIX	EDGEWOOD GROWTH INSTL			\$2,408.07
12/22/2020	Long Term Cap Gain Reinvest	EGFIX	EDGEWOOD GROWTH INSTL			\$13,071.51
12/22/2020	Reinvest Shares	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL	431.413	\$26.02	-\$11,225.36
12/18/2020	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	36.519	\$36.48	-\$1,332.22

Transactions Total

-\$74.67

Transactions may include Bank Sweep deposit information. Bank Sweep deposits are held at the FDIC-insured depository institution(s) referenced on the Balances detail page, your account statements and disclosed in your account documents. SIPC does not cover balances held in the Bank Sweep feature.

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Independent Investment Advisor*
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 **PRIVATE WEALTH MANAGEMENT**

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Transactions From 04/05/2017 To 04/05/2021

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
12/18/2020	Cash Dividend		VEMAX	VANGUARD EMERGING MKTS STOCK IDX ADM				\$680.32
12/18/2020	Reinvest Dividend		FLMVX	JPMORGAN MID CAP VALUE L				\$1,332.22
12/17/2020	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	44.084	\$14.37		-\$633.49
12/17/2020	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I				\$633.49
12/16/2020 as of 12/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.17
12/11/2020	Long Term Cap Gain Reinvest		FLMVX	JPMORGAN MID CAP VALUE L				\$6,659.50
12/11/2020	Reinvest Shares		FLMVX	JPMORGAN MID CAP VALUE L	180.523	\$36.89		-\$6,659.50
12/10/2020	Cash Dividend		MIEIX	MFS INSTL INTERNATIONAL EQUITY				\$402.75
12/10/2020	Reinvest Shares		MIEIX	MFS INSTL INTERNATIONAL EQUITY	3.639	\$29.94		-\$108.94
12/10/2020	Long Term Cap Gain		MIEIX	MFS INSTL INTERNATIONAL EQUITY				\$108.94

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Reinvest

EQUITY

12/09/2020	Reinvest Shares	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL	123.275	\$11.20	-\$1,380.68
12/09/2020	Long Term Cap Gain Reinvest	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL			\$1,380.68
12/08/2020	Journalized Shares	AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL	-9,241.92	\$26.69	
11/30/2020	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL			\$891.24
11/30/2020	Cash Dividend	VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM			\$248.75
11/30/2020	Reinvest Shares	CPXIX	COHEN & STEERS PREFERRED SEC & INC I	48.043	\$14.27	-\$685.57
11/30/2020	Reinvest Dividend	CPXIX	COHEN & STEERS PREFERRED SEC & INC I			\$685.57
11/16/2020 as of 11/15/2020	Bank Interest		BANK INT SCHWAB BANK			\$0.19
11/10/2020	Advisor Fee		TO ADVISOR			-\$2,692.03
10/30/2020	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL			\$1,027.20
10/30/2020	Cash Dividend	VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM			\$244.24
10/30/2020	Reinvest Dividend	CPXIX	COHEN & STEERS PREFERRED SEC & INC I			\$682.49
10/30/2020	Reinvest Shares	CPXIX	COHEN & STEERS PREFERRED SEC & INC I	49.206	\$13.87	-\$682.49
10/16/2020 as of 10/15/2020	Bank Interest		BANK INT SCHWAB BANK			\$0.17
09/30/2020	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL			\$945.07

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09/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$244.64
09/30/2020	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	49.091	\$13.84		-\$679.42
09/30/2020	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I				\$679.42
09/29/2020	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$1,064.02
09/18/2020	Cash Dividend		VEMAX	VANGUARD EMERGING MKTS STOCK IDX ADM				\$969.83
09/16/2020 as of 09/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.15
removed account number								
08/31/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$980.94
08/31/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$253.51
08/31/2020	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I				\$676.41
08/31/2020	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	48.281	\$14.01		-\$676.41
08/17/2020	Advisor Fee			TO ADVISOR				-\$2,474.62
08/17/2020 as of 08/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.57
removed account number								
08/07/2020	Sell	Trade Details	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL	11,102.575	\$11.26	\$15.00	\$125,000.00
08/07/2020	Sell	Trade Details	FLMVX	JPMORGAN MID CAP VALUE L	733.793	\$34.09	\$15.00	\$25,000.00
08/07/2020	Buy	Trade Details	CPXIX	COHEN & STEERS PREFERRED SEC & INC I	10,822.511	\$13.86	\$15.00	-\$150,015.00
07/31/2020	Cash		PIGIX	PIMCO				\$1,394.32

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Charles Schwab Client Center | Accounts History

Dividend

INVESTMENT
GRADE CREDIT
BOND INSTL

07/31/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$266.16
07/30/2020	Buy	Trade Details	MIEIX	MFS INSTL INTERNATIONAL EQUITY	1,668.521	\$26.97	\$15.00	-\$45,015.00
07/30/2020	Sell	Trade Details	IVIQX	IVA INTERNATIONAL I	3,229.946	\$13.76	\$15.00	\$44,429.06
07/30/2020	Buy	Trade Details	VEMAX	VANGUARD EMERGING MKTS STOCK IDX ADM	2,767.783	\$36.13	\$15.00	-\$100,015.00
07/16/2020 as of 07/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.95
06/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,215.85
06/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$265.16
06/30/2020	Cash Dividend		HHDFX	HAMLIN HIGH DIVIDEND EQUITY INSTL				\$4,081.75
06/29/2020	Cash Dividend		AWIIX	CIBG-ATLAS INCOME OPPORTUNITIES INSTL				\$1,098.58

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Transactions Total

-\$88,173.84

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Independent Investment Advisor*
CIBC PRIVATE WEALTH ADVRS, INC



PRIVATE WEALTH MANAGEMENT

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Transactions From 04/05/2017 To 04/05/2021

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
06/16/2020 as of 06/15/2020	Bank Interest			BANK INT SCHWAB TRUST BANK				\$0.18
06/16/2020 as of 06/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$1.40
05/29/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,266.56
05/29/2020	Cash Dividend		VFIDX	VANGUARD INTERM TERM INVESTMENT GRADE ADMIRALSHARE				\$35.61
05/27/2020	Buy	Trade Details	AWMIX	CIBC ATLAS MID CAP EQTY FD INST	3,082.614	\$16.22	\$15.00	-\$50,015.00
05/27/2020	Buy	Trade Details	HHDFX	HAMLIN HIGH DIV EQTY INSTL	3,662.109	\$20.48	\$15.00	-\$75,015.00
05/27/2020	Sell	Trade Details	FLMVX	JPMORGAN MID CAP VALUE L	1,547.494	\$32.32	\$15.00	\$50,000.00
05/27/2020	Buy	Trade Details	VFIDX	VANGUARD INTERM TERM INVESTMENT GRADE ADMIRALSHARE	11,605.416	\$10.34	\$15.00	-\$120,015.00
05/27/2020	Sell	Trade	EPSYX	MAINSTAY EPOCH	58.882	\$15.61	\$15.00	\$904.15

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Details

GLOBAL EQTY
YIELD I

05/18/2020 as of 05/15/2020	Bank Interest			BANK INT SCHWAB TRUST BANK					\$0.47
05/18/2020 as of 05/15/2020	Bank Interest			BANK INT SCHWAB BANK					\$2.04
05/11/2020	Advisor Fee			TO ADVISOR					-\$2,551.37
04/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST					\$1,378.81
04/16/2020 as of 04/15/2020	Bank Interest			BANK INT SCHWAB TRUST BANK					\$0.22
04/16/2020 as of 04/15/2020	Bank Interest			BANK INT SCHWAB BANK					\$1.79
03/31/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST					\$1,543.63
03/31/2020	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I					\$831.42
03/31/2020	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	58.882	\$14.12			-\$831.42
03/31/2020	Buy	Trade Details	AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	2,677.29	\$18.67	\$15.00		-\$50,000.00
03/31/2020	Buy	Trade Details	EGFIX	EDGEWOOD GROWTH INSTL	1,466.266	\$34.09	\$15.00		-\$50,000.00
03/31/2020	Sell	Trade Details	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	1,745.272	\$22.01	\$15.00		\$38,398.44
03/31/2020	Sell	Trade Details	HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	15,076.292	\$8.82	\$15.00		\$132,957.90
03/31/2020	Sell	Trade Details	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	3,695.188	\$14.12	\$15.00		\$52,161.05
03/30/2020	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST					\$1,174.97
03/16/2020 as of 03/15/2020	Bank Interest			BANK INT SCHWAB BANK					\$20.26

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02/28/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,456.80
02/26/2020	Sell	Trade Details	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	5,671.849	\$26.85	\$15.00	\$152,274.7
02/18/2020 as of 02/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$7.09
02/10/2020	Advisor Fee			TO ADVISOR				-\$2,978.25
01/31/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,577.03
01/16/2020 as of 01/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$6.42
12/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,557.18
12/30/2019	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$1,616.95
12/30/2019	Cash Dividend		HHDFX	HAMLIN HIGH DIV EQTY INSTL				\$1,614.99
12/30/2019	Reinvest Dividend		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST				\$5,234.46
12/30/2019	Reinvest Shares		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	231	\$22.66		-\$5,234.46
12/20/2019	Reinvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	30.575	\$11.57		-\$353.75
12/20/2019	Reinvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	250.945	\$11.57		-\$2,903.43
12/20/2019	Reinvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	21.373	\$11.57		-\$247.29
12/20/2019	Long Term Cap Gain Reinvest		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$353.75
12/20/2019	Reinvest Dividend		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$2,903.43

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Attachment H: Fauci 2020 278e Report, Section 7

Anthony J. Fauci
Revocable Trust
Transactions - 2020

Transactions From 04/05/2017 To 04/05/2021

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
12/29/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	23.06	\$1.00		-\$23.06
12/28/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$23.06
12/24/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	524.51	\$1.00		-\$524.51
12/23/2020	Cash Dividend		VSMAX	VANGUARD SMALL CAP INDEX ADM				\$524.51
12/22/2020	Reinvest Shares		AWMIX	CIBC ATLAS MID CAP EQUITY INSTITUTIONAL	359.891	\$19.96		-\$7,183.43
12/22/2020	Long Term Cap Gain Reinvest		AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL				\$35,727.94
12/22/2020	Long Term Cap Gain Reinvest		AWMIX	CIBC ATLAS MID CAP EQUITY INSTITUTIONAL				\$7,183.43
12/22/2020	Reinvest Shares		AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL	1,373.095	\$26.02		-\$35,727.94
12/21/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,502.84	\$1.00		-\$3,502.84
12/18/2020	Cash Dividend		VEMAX	VANGUARD EMERGING MKTS				\$1,018.23

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12/18/2020	Cash Dividend		FLMVX	JPMORGAN MID CAP VALUE L			\$2,484.61
12/17/2020	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	88.168	\$14.37	-\$1,266.97
12/17/2020	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I			\$1,266.97
12/17/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,017.06	\$1.00	-\$1,017.06
12/16/2020	Reinvest Shares		MIPTX	MATTHEWS PACIFIC TIGER INSTL	362.091	\$33.57	-\$12,155.38
12/16/2020	Reinvest Shares		MIPTX	MATTHEWS PACIFIC TIGER INSTL	103.42	\$33.57	-\$3,471.82
12/16/2020	Short Term Cap Gain Reinvest		MIPTX	MATTHEWS PACIFIC TIGER INSTL			\$3,471.82
12/16/2020	Long Term Cap Gain Reinvest		MIPTX	MATTHEWS PACIFIC TIGER INSTL			\$12,155.38
12/16/2020	Cash Dividend		MIPTX	MATTHEWS PACIFIC TIGER INSTL			\$1,017.06
12/11/2020	Long Term Cap Gain Reinvest		FLMVX	JPMORGAN MID CAP VALUE L			\$12,420.03
12/11/2020	Reinvest Shares		FLMVX	JPMORGAN MID CAP VALUE L	336.677	\$36.89	-\$12,420.03
12/11/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,737.8	\$1.00	-\$1,737.80
12/10/2020	Reinvest Shares		MIEIX	MFS INSTL INTERNATIONAL EQUITY	15.7	\$29.94	-\$470.05
12/10/2020	Cash Dividend		MIEIX	MFS INSTL INTERNATIONAL EQUITY			\$1,737.80
12/10/2020	Long Term Cap Gain Reinvest		MIEIX	MFS INSTL INTERNATIONAL EQUITY			\$470.05
12/09/2020	Reinvest Shares		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL	150.659	\$11.20	-\$1,687.38
12/09/2020	Long Term Cap Gain		PIGIX	PIMCO INVESTMENT			\$1,687.38

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Reinvest

GRADE CREDIT
BOND INSTL

12/08/2020	Reinvest Shares		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV	0.499	\$12.37	-\$6.17
12/08/2020	Short Term Cap Gain Reinvest		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV			\$6.17
12/08/2020	Jouaned Shares		AWEIX	CIBC ATLAS DISCIPLINED EQUITY INSTL	9,241.92	\$26.69	
12/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,421.97	\$1.00	-\$2,421.97
11/30/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV			\$1,332.73
11/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL			\$1,089.24
11/30/2020	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	96.085	\$14.27	-\$1,371.14
11/30/2020	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I			\$1,371.14
11/17/2020 as of 11/16/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.7		
11/12/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	5,787.57	\$1.00	\$5,787.57
11/10/2020	Advisor Fee			TO ADVISOR			-\$5,787.57
11/05/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	727.49	\$1.00	-\$727.49
11/04/2020	Cash Dividend		VCRRX	VERSUS CAP REAL ASSETS FD LLC UNIT LTD PARTN			\$727.49
11/02/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,724.13	\$1.00	-\$2,724.13
10/30/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV			\$1,468.78
10/30/2020	Cash		PIGIX	PIMCO			\$1,255.35

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	Dividend		INVESTMENT GRADE CREDIT BOND INSTL				
10/30/2020	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I		\$1,364.99	
10/30/2020	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	98.413	\$13.87	-\$1,364.99
10/16/2020 as of 10/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.82		
10/08/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	393.51	\$1.00	-\$393.51
10/07/2020	Cash Dividend		VCMIX	VERSUS CAP MULTI-MANGR REAL ES SH BEN INT I			\$393.51
10/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,550.56	\$1.00	-\$2,550.56
09/30/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV			\$1,395.53

Transactions Total

-\$1,155.03

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PRIVATE WEALTH MANAGEMENT

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Transactions From 04/05/2017 To 04/05/2021

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
09/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$1,155.03
09/30/2020	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	98.183	\$13.84		-\$1,358.85
09/30/2020	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I				\$1,358.85
09/30/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,421	\$1.00		-\$1,421.00
09/29/2020	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$1,421.00
09/25/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	319.73	\$1.00		-\$319.73
09/24/2020	Cash Dividend		VSMAX	VANGUARD SMALL CAP INDEX ADM				\$319.73
09/21/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,451.53	\$1.00		-\$1,451.53
09/18/2020	Cash Dividend		VEMAX	VANGUARD EMERGING MKTS STOCK IDX ADM				\$1,451.53
09/16/2020	Cash		SWGXX	SCHWAB GOVT	0.58			

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as of
09/15/2020

Dividend

MONEY FUND

09/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,610.57	\$1.00		-\$2,610.57
08/31/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV				\$1,443.26
08/31/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL				\$1,167.31
08/31/2020	Reinvest Dividend		CPXIX	COHEN & STEERS PREFERRED SEC & INC I				\$1,352.81
08/31/2020	Reinvest Shares		CPXIX	COHEN & STEERS PREFERRED SEC & INC I	96.56	\$14.01		-\$1,352.81
08/18/2020 as of 08/17/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	3.41			
08/18/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	5,475.09	\$1.00		\$5,475.09
08/17/2020	Advisor Fee			TO ADVISOR				-\$5,475.09
08/10/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	400,045	\$1.00		\$400,045.00
08/07/2020	Sell	Trade Details	PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL	8,882.327	\$11.26	\$15.00	\$100,000.00
08/07/2020	Buy	Trade Details	VEMAX	VANGUARD EMERGING MKTS STOCK IDX ADM	4,142.502	\$36.21	\$15.00	-\$150,015.00
08/07/2020	Buy	Trade Details	MIPTX	MATTHEWS PACIFIC TIGER INSTL	8,122.157	\$30.78	\$15.00	-\$250,015.00
08/07/2020	Sell	Trade Details	PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV	16,181.23	\$12.36		\$200,000.00
08/07/2020	Buy	Trade Details	CPXIX	COHEN & STEERS PREFERRED SEC & INC I	21,645.022	\$13.86	\$15.00	-\$300,015.00
08/06/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	727.49	\$1.00		-\$727.49
08/05/2020	Cash Dividend		VCRRX	VERSUS CAP REAL ASSETS FD LLC UNIT LTD				\$727.49

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08/03/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,531.33	\$1.00	-\$3,531.33
07/31/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV			\$1,981.29
07/31/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BOND INSTL			\$1,550.04
07/16/2020 as of 07/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	3.9		
07/09/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	378.37	\$1.00	-\$378.37
07/08/2020	Cash Dividend		VCMIX	VERSUS CAP MULTI-MANAGER REAL SH BEN INT I			\$378.37
07/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,199.25	\$1.00	-\$3,199.25
06/30/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV			\$1,847.58
06/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST			\$1,351.67
06/30/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,467.15	\$1.00	-\$1,467.15
06/29/2020	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL			\$1,467.15
06/25/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	187.62	\$1.00	-\$187.62
06/24/2020	Cash Dividend		VSMAX	VANGUARD SMALL CAP INDEX ADMIRAL SHARE			\$187.62
06/16/2020 as of 06/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	4.54		
06/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,962.59	\$1.00	-\$2,962.59
05/29/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV			\$1,919.66

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05/29/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,042.93
05/28/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	285,045	\$1.00		\$285,045.00
05/27/2020	Buy	Trade Details	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST	13,992.537	\$10.72	\$15.00	-\$150,015.00
05/27/2020	Buy	Trade Details	AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	2,289.377	\$21.84	\$15.00	-\$50,015.00
05/27/2020	Buy	Trade Details	VSMAX	VANGUARD SMALL CAP INDEX ADMIRAL SHARE	1,225.49	\$69.36	\$15.00	-\$85,015.00
05/18/2020 as of 05/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	6.58			
05/12/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	5,782.62	\$1.00		\$5,782.62
05/11/2020	Advisor Fee			TO ADVISOR				-\$5,782.62

Transactions Total

\$1,155.03

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Transactions From 04/05/2017 To 04/05/2021

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
05/07/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	766.81	\$1.00		-\$766.81
05/06/2020	Cash Dividend		VCRRX	VERSUS CAP REAL ASSET FUND				\$766.81
05/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,957.28	\$1.00		-\$2,957.28
04/30/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$1,879.13
04/30/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,078.15
04/21/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	55,521.11	\$1.00		-\$55,521.11
04/20/2020 as of 04/17/2020	Sell	Trade Details	VC MIX	VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST	2,082.344	\$26.67	\$15.00	\$55,521.11
04/20/2020 as of 04/17/2020	Cancel Sell	Trade Details	VC MIX	VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST	3,595.829	\$26.67	\$15.00	-\$95,885.76
04/17/2020	Sell	Trade Details	VC MIX	VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST	3,595.829	\$26.67	\$15.00	\$95,885.76

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INVEST

04/16/2020 as of 04/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	49.95			
04/08/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,006.83	\$1.00		-\$1,006.83
04/07/2020	Cash Dividend		VCMIX	VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST				\$1,006.83
04/06/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	198,118.53	\$1.00		-\$198,118.53
04/02/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	104,815.42	\$1.00		-\$104,815.42
04/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	4,318.19	\$1.00		-\$4,318.19
03/31/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$1,850.17
03/31/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,207.01
03/31/2020	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$1,261.01
03/31/2020	Sell	Trade Details	HMEX	HARDING LOEVNER INST EMRG MKTS CL I	12,125.675	\$16.34	\$15.00	\$198,118.53
03/31/2020	Buy	Trade Details	AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	5,355.383	\$18.67	\$15.00	-\$100,000.00
03/31/2020	Sell	Trade Details	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	5,711.486	\$22.01	\$15.00	\$125,694.81
03/31/2020	Sell	Trade Details	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	5,604.505	\$14.12	\$15.00	\$79,120.61
03/31/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,569.17	\$1.00		-\$1,569.17
03/30/2020	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$1,569.17
03/27/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	73,012.55	\$1.00		-\$73,012.55
03/25/2020	Sell	Trade Details	VSMAX	VANGUARD SMALL CAP INDEX	1,365.001	\$53.50	\$15.00	\$73,012.55

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03/17/2020 as of 03/16/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	158.98			
03/11/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	305.49	\$1.00		-\$305.49
03/10/2020 as of 03/09/2020	Cash Dividend		VSMAX	VANGUARD SMALL CAP INDEX ADMIRAL SHARE				\$305.49
03/02/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,828.61	\$1.00		-\$2,828.61
02/28/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$1,689.47
02/28/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,139.14
02/28/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	224,096.5	\$1.00		-\$224,096.50
02/26/2020	Sell	Trade Details	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	8,346.797	\$26.85	\$15.00	\$224,096.50
02/19/2020 as of 02/18/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	89.42			
02/11/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	6,483.21	\$1.00		\$6,483.21
02/10/2020	Advisor Fee			TO ADVISOR				-\$6,483.21
02/06/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	865.12	\$1.00		-\$865.12
02/05/2020	Cash Dividend		VCRRX	VERSUS CAP REAL ASSET FUND				\$865.12
02/03/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,165.94	\$1.00		-\$3,165.94
01/31/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$1,932.82
01/31/2020	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,233.12
01/22/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,080	\$1.00		-\$3,080.00

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01/21/2020	Journal			JOURNAL FRM	removed account number		\$3,080.00
01/21/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,160	\$1.00	-\$3,160.00
01/17/2020	Journal			JOURNAL FRM	removed account number		\$3,160.00
01/16/2020 as of 01/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	34.9		
01/09/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,060.77	\$1.00	-\$1,060.77
01/08/2020	Cash Dividend		VC MIX	VERSUS CAP MULTI MNGR REAL ESTATE INCM FD INST			\$1,060.77
01/02/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,114.07	\$1.00	-\$3,114.07

Transactions Total

-\$3,114.07

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Transactions From 04/05/2017 To 04/05/2021

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
04/01/2021	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,574.85	\$1.00		-\$1,574.85
03/31/2021	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV				\$445.86
03/31/2021	Cash Dividend		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL				\$1,128.99
03/31/2021	Reinvest Shares		RPIFX	T. ROWE PRICE INSTL FLOATING RATE	35.858	\$9.74		-\$349.26
03/31/2021	Reinvest Dividend		RPIFX	T. ROWE PRICE INSTL FLOATING RATE				\$349.26
03/31/2021	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	393.83	\$1.00		-\$393.83
03/30/2021	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$393.83
03/16/2021 as of 03/15/2021	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.23			
03/04/2021	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	603.62	\$1.00		-\$603.62

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02/26/2021	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV				\$603.62
02/26/2021	Reinvest Dividend		RPIFX	T. ROWE PRICE INSTL FLOATING RATE				\$107.98
02/26/2021	Reinvest Shares		RPIFX	T. ROWE PRICE INSTL FLOATING RATE	11.064	\$8.76		-\$107.98
02/23/2021	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,967.41	\$1.00		\$3,967.41
02/22/2021	Advisor Fee			TO ADVISOR				-\$3,967.41
02/17/2021	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	30	\$1.00		\$30.00
02/17/2021 as of 02/16/2021	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.25			
02/16/2021	Buy	Trade Details	RPIFX	T. ROWE PRICE INSTL FLOATING RATE	10,235.415	\$9.77	\$15.00	-\$100,015.00
02/16/2021	Sell	Trade Details	PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV	16,038.492	\$12.47		\$200,000.00
02/16/2021	Buy	Trade Details	PCRIX	PIMCO COMMODITY REAL RET STRAT INSTL	15,313.936	\$6.53	\$15.00	-\$100,015.00
02/01/2021	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	748.57	\$1.00		-\$748.57
01/29/2021	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV				\$748.57
01/19/2021 as of 01/15/2021	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.13			
01/04/2021	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	918.42	\$1.00		-\$918.42
12/31/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV				\$918.42
12/31/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.4			

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12/31/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	434.79	\$1.00	-\$434.79
12/30/2020	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL			\$434.79
12/23/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	563.98	\$1.00	-\$563.98
12/22/2020	Reinvest Shares		PXSIX	PAX SMALL CAP INSTITUTIONAL	29.052	\$15.83	-\$459.89
12/22/2020	Reinvest Shares		PXSIX	PAX SMALL CAP INSTITUTIONAL	78.226	\$15.83	-\$1,238.32
12/22/2020	Short Term Cap Gain Reinvest		PXSIX	PAX SMALL CAP INSTITUTIONAL			\$1,238.32
12/22/2020	Long Term Cap Gain Reinvest		PXSIX	PAX SMALL CAP INSTITUTIONAL			\$459.89
12/22/2020	Cash Dividend		PXWIX	PAX ELLEVATE GLBL-WOMEN'S LDRSP INST			\$563.98
12/18/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,632.14	\$1.00	-\$3,632.14
12/17/2020	Cash Dividend		BCAIX	BOSTON COMMON ESG IMPACT INTL			\$1,846.32
12/17/2020	Cash Dividend		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL			\$1,785.82
12/16/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	319.23	\$1.00	-\$319.23
12/15/2020	Cash Dividend		HLEMX	HARDING LOEVNER EMERGING MARKETS ADVISOR			\$319.23
12/08/2020	Reinvest Shares		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV	0.294	\$12.37	-\$3.64
12/08/2020	Short Term Cap Gain Reinvest		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV			\$3.64
12/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	786.95	\$1.00	-\$786.95
11/30/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM			\$786.95

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11/19/2020	Long Term Cap Gain Reinvest		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL			\$43,252.16
11/19/2020	Reinvest Shares		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL	839.033	\$51.55	-\$43,252.16
11/17/2020 as of 11/16/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.26		
11/12/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,679.91	\$1.00	\$3,679.91
11/10/2020	Advisor Fee			TO ADVISOR			-\$3,679.91
11/02/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	867.33	\$1.00	-\$867.33
10/30/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM			\$867.33
				INV			
10/16/2020 as of 10/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.29		

Transactions Total

\$0.00

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Transactions From 04/05/2017 To 04/05/2021

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
10/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,991.44	\$1.00		-\$1,991.44
09/30/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV				\$824.03
09/30/2020	Cash Dividend		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL				\$1,167.41
09/30/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	386.56	\$1.00		-\$386.56
09/29/2020	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$386.56
09/16/2020 as of 09/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.24			
09/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	827.16	\$1.00		-\$827.16
08/31/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV				\$827.16
08/18/2020 as of 08/17/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.53			

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08/18/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,331.51	\$1.00		\$3,331.51
08/17/2020	Advisor Fee			TO ADVISOR				-\$3,331.51
08/10/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	40,030	\$1.00		\$40,030.00
08/07/2020	Buy	Trade Details	HLEMX	HARDING LOEVNER EMERGING MARKETS ADVISOR	1,470.588	\$54.40		-\$80,000.00
08/07/2020	Sell	Trade Details	AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL	4,615.385	\$13.00	\$15.00	\$59,985.00
08/07/2020	Buy	Trade Details	PXWIX	PAX ELLEVATE GLBL WOMEN'S LDRSP INST	2,948.765	\$27.13	\$15.00	-\$80,015.00
08/07/2020	Sell	Trade Details	PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV	4,854.369	\$12.36		\$60,000.00
08/03/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	1,050.35	\$1.00		-\$1,050.35
07/31/2020	Cash Dividend		PRSMX	T. ROWE PRICE SUMMIT MUNICIPAL INTM INV				\$1,050.35
07/16/2020 as of 07/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.56			
07/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	2,372.14	\$1.00		-\$2,372.14
06/30/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$979.46
06/30/2020	Cash Dividend		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL				\$1,392.68
06/30/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	677.88	\$1.00		-\$677.88
06/29/2020	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$677.88
06/16/2020 as of 06/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	0.95			
06/01/2020	Buy	Trade	SWGXX	SCHWAB GOVT	786.63	\$1.00		-\$786.63

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MONEY FUND

05/29/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$786.63
05/28/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	160,015	\$1.00		\$160,015.00
05/27/2020	Buy	Trade Details	PXSIX	PAX SMALL CAP INST	2,779.984	\$12.59	\$15.00	-\$35,015.00
05/27/2020	Buy	Trade Details	PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV	10,373.444	\$12.05		-\$125,000.10
05/18/2020 as of 05/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	1.92			
05/12/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,017.23	\$1.00		\$3,017.23
05/11/2020	Advisor Fee			TO ADVISOR				-\$3,017.23
05/01/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	745.9	\$1.00		-\$745.90
04/30/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$745.90
04/16/2020 as of 04/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	11.5			
04/01/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	149,176.05	\$1.00		\$149,176.05
03/31/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$734.40
03/31/2020	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD INST				\$1,673.18
03/31/2020	Cash Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$31.81
03/31/2020	Buy	Trade Details	PRILX	PARNASSUS CORE EQTY FD INST	1,920.23	\$39.05	\$15.00	-\$75,000.00
03/31/2020	Buy	Trade Details	BCAIX	BOSTON COMMON ESG IMPACT INTL FD	7,824.139	\$25.56	\$15.00	-\$200,000.00
03/31/2020	Sell	Trade Details	FLMVX	JPMORGAN MID CAP VALUE L	4,488.659	\$27.05	\$15.00	\$121,403.20
03/31/2020	Sell	Trade Details	EPSYX	MAINSTAY EPOCH	444.383	\$44.40	\$15.00	\$1,004.22

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03/31/2020	Sell	Trade Details	EPSTA	MAINSTAY EPOCH GLOBAL EQTY YIELD I	141.353	\$14.12	\$15.00	\$1,961.33
03/31/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	725.02	\$1.00		-\$725.02
03/30/2020	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$725.02
03/30/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	340,969.98	\$1.00		-\$340,969.98
03/26/2020	Sell	Trade Details	TROCX	TOUCHSTONE GLOBAL ESG EQUITY INST	15,623.593	\$17.80	\$15.00	\$278,084.96
03/26/2020	Sell	Trade Details	PXSIX	PAX SMALL CAP INST	5,922.789	\$10.62	\$15.00	\$62,885.02
03/17/2020 as of 03/16/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	20.58			

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\$0.00

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Transactions From 04/05/2017 To 04/05/2021

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
03/02/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	670.63	\$1.00		-\$670.63
02/28/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$670.63
02/19/2020 as of 02/18/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	31.46			
02/11/2020	Sell	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	3,540.9	\$1.00		\$3,540.90
02/10/2020	Advisor Fee			TO ADVISOR				-\$3,540.90
02/03/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	767.21	\$1.00		-\$767.21
01/31/2020	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$767.21
01/16/2020 as of 01/15/2020	Cash Dividend		SWGXX	SCHWAB GOVT MONEY FUND	13.37			
01/02/2020	Buy	Trade Details	SWGXX	SCHWAB GOVT MONEY FUND	752.78	\$1.00		-\$752.78
12/31/2019	Cash Dividend		PRSMX	T ROWE PRICE SUMMIT MUNI INTERM INV				\$752.78

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IRA Contributory
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Transactions From 04/05/2017 To 04/05/2021

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
03/31/2021	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$28.76
03/31/2021	Cash Dividend		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL				\$62.91
03/31/2021	Reinvest Shares		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM	7.441	\$9.98		-\$74.26
03/31/2021	Reinvest Shares		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM	2.244	\$9.98		-\$22.40
03/31/2021	Long Term Cap Gain Reinvest		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$74.26
03/31/2021	Short Term Cap Gain Reinvest		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$22.40
03/16/2021 as of 03/15/2021	Bank Interest			BANK INT SCHWAB BANK				\$0.01
03/01/2021 as of 02/26/2021	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$29.65

removed
account
number

02/16/2021 as of 02/15/2021	Bank Interest		BANK INT SCHWAB BANK	<i>removed account number</i>		\$0.01
01/29/2021	Cash Dividend	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM			\$29.41
01/19/2021 as of 01/15/2021	Bank Interest		BANK INT SCHWAB BANK	<i>removed account number</i>		\$0.01
12/31/2020	Cash Dividend	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM			\$37.61
12/29/2020	Reinvest Shares	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	20.918	\$10.45	-\$218.59
12/29/2020	Reinvest Shares	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	17.258	\$10.45	-\$180.35
12/29/2020	Long Term Cap Gain Reinvest	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM			\$218.59
12/29/2020	Short Term Cap Gain Reinvest	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM			\$180.35
12/22/2020	Reinvest Shares	PXSIX	PAX SMALL CAP INSTITUTIONAL	2.49	\$15.83	-\$39.42
12/22/2020	Reinvest Shares	PXSIX	PAX SMALL CAP INSTITUTIONAL	6.705	\$15.83	-\$106.14
12/22/2020	Short Term Cap Gain Reinvest	PXSIX	PAX SMALL CAP INSTITUTIONAL			\$106.14
12/22/2020	Long Term Cap Gain Reinvest	PXSIX	PAX SMALL CAP INSTITUTIONAL			\$39.42
12/22/2020	Cash Dividend	PXWIX	PAX ELLEVATE GLBL WOMEN'S LDRSP INST			\$63.45
12/17/2020	Cash Dividend	BCAIX	BOSTON COMMON ESG IMPACT INTL			\$148.85
12/17/2020	Cash Dividend	PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL			\$99.51
12/16/2020	Bank Interest		BANK INT			\$0.01

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as of 12/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number			
12/15/2020	Cash Dividend		HLEMX	HARDING LOEVNER EMERGING MARKETS ADVISOR				\$56.72
12/08/2020	Buy	Trade Details	HLEMX	HARDING LOEVNER EMERGING MARKETS ADVISOR	77.447	\$64.56		-\$5,000.00
12/08/2020	Sell	Trade Details	VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM	469.13	\$10.69	\$15.00	\$5,000.00
11/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$41.46
11/19/2020	Reinvest Shares		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL	46,752	\$51.55		-\$2,410.08
11/19/2020	Long Term Cap Gain Reinvest		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL				\$2,410.08
11/16/2020 as of 11/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number			\$0.01
10/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$40.71
10/16/2020 as of 10/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number			\$0.01
09/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$40.78
09/30/2020	Cash Dividend		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL				\$65.05
09/16/2020 as of 09/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number			\$0.01
08/31/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT- GRDE ADM				\$42.22
08/17/2020 as of 08/15/2020	Bank Interest			BANK INT SCHWAB BANK	removed account number			\$0.05

08/07/2020	Buy	Trade Details	HLEMX	HARDING LOEVNER EMERGING MARKETS ADVISOR	183.824	\$54.40		-\$10,000.00
08/07/2020	Sell	Trade Details	AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL	1,008.509	\$13.00	\$15.00	\$13,095.62
08/07/2020	Buy	Trade Details	PXWIX	PAX ELLEVATE GLBL WOMEN'S LDRSP INST	331.736	\$27.13	\$15.00	-\$9,015.00
07/31/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$44.36
07/16/2020 as of 07/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.05
06/30/2020	Cash Dividend		VFIDX	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM				\$44.21
06/30/2020	Cash Dividend		PRILX	PARNASSUS CORE EQUITY INSTITUTIONAL				\$77.60
06/29/2020	Cash Dividend		AWIIX	CIBC ATLAS INCOME OPPORTUNITIES INSTL				\$60.91
06/16/2020 as of 06/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.04
05/29/2020	Cash Dividend		VFIDX	VANGUARD INTERM TERM INVESTMENT GRADE ADMIRALSHARE				\$5.94
05/27/2020	Sell	Trade Details	TROCX	TOUCHSTONE GLOBAL ESG EQUITY INST	2,675.23	\$20.01	\$15.00	\$53,516.35
05/27/2020	Buy	Trade Details	PRILX	PARNASSUS CORE EQTY FD INST	609.206	\$44.32	\$15.00	-\$27,015.00

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\$21,602.29

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Transactions From 04/05/2017 To 04/05/2021

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
05/27/2020	Buy	Trade Details	PXSIX	PAX SMALL CAP INST	238.284	\$12.59	\$15.00	-\$3,015.00
05/27/2020	Buy	Trade Details	VFIDX	VANGUARD INTERM TERM INVESTMENT GRADE ADMIRALSHARE	1,934.236	\$10.34	\$15.00	-\$20,015.00
05/18/2020 as of 05/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.02
04/16/2020 as of 04/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.02
03/31/2020	Cash Dividend		PRILX	PARNASSUS CORE EQTY FD INST				\$30.05
03/30/2020	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$65.15
03/16/2020 as of 03/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.10
02/18/2020 as of 02/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.14
01/16/2020 as of 01/15/2020	Bank Interest			BANK INT SCHWAB BANK				\$0.13
12/30/2019	Cash		AWIIX	CIBC ATLAS INCM				\$20.00