Total Deleted Page(s) - 6
Page 32 - b6; b7c; b7f;
Page 74 - b3; b6; b7c;
Page 75 - b3; b6; b7c;
Page 274 - Referral/Direct;
Page 275 - Referral/Direct;
Page 276 - Referral/Direct;

X Deleted Page(s)  X
X No Duplication Fee X
X For this Page  X

XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

To: New York
Attn: Supervisor

Date: 04/23/2001

From: New York
Squad C-14
Contact: SA

Approved By: ____________________________

Drafted By: ____________________________

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: Request to ____________________________

Enclosures: ____________________________

Details: It is requested that the enclosed ____________________________ pursuant to the Federal Bureau of Investigation's guidelines.

The following information identifies the enclosed:

______________________________

______________________________
To: New York  From: New York
Re: 58A-NY-278417, 04/23/2001

Owing to the nature of this investigation, please expedite this request.

**
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE
Date: 04/12/2001

To: CID
Attn: Corruption - Civil Rights Section, Public Corruption Unit, Unit Chief

From: New York Squad C-14 -- Public Corruption Contact: SA

Approved By: ___________________________
Drafted By: ___________________________

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND IS NOT TO BE VIEWED BY ANYONE OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

04/12/2001:

- Subpoena served to [redacted]
- New complainant telephonically interviewed.
- Contact made with [redacted] for service of subpoena
- Conf. with [redacted]
- Review new [redacted] records.
To: CID  From: New York
Re: 58A-NY-278417 (Pending), 04/12/2001

PROPOSED FUTURE ACTION:

- to testify in grand jury on
- to testify in grand jury on
- Tentative meeting with
- Interviews to be scheduled for

- interview scheduled
- Set lead to Legal London and Bern re: assistance in investigation.
- Interview
- Telephone conf. with
- Contact HQ re:
- Prepare for grand jury.

Investigation is ongoing in New York.
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

04/13/2001:
- Contacted [Redacted]: interview/subpoena.
- Telconf. w/ White Plains RA.
- Processed evidence.
- Subpoena served to [Redacted].
- Reviewed new [Redacted] records received.
- Review new [Redacted] records.
- Prepare for [Redacted] grand jury testimony. 
PROPOSED FUTURE ACTION:

- to testify in grand jury on
- Tentative meeting with
- Interviews to be scheduled for
- Interview scheduled
- Set lead to Legal London and Bern re: assistance in investigation.
- Telephone conf. with
- Contact HQ re:
- Prepare for grand jury.

Investigation is ongoing in New York.

04/13/01
II
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

To: CID
Attn: Corruption - Civil Rights Section, Public Corruption Unit,
Unit Chief
SSA

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By: b6
Drafted By: b7C

Case ID #: 88A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

04/16/2001:
• Processed new evidence.
• Review grand jury testimony and records in preparation for grand jury.
• Review new records.
• Telconf. with division re: interviews.
• Review new documents.

Date: 04/16/2001
To: CID
From: New York
Re: 58A-NY-278417 (Pending), 04/16/2001

PROPOSED FUTURE ACTION:

- Ito to testify in grand jury on [insert date]
- Interview on [insert date]

Interviews to be scheduled for

Interview scheduled

Set lead to Legal London and Bern re: assistance in investigation.

Contact HQ re:

Investigation is ongoing in New York.
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE  Date: 04/17/2001

To: CID  Attn: Corruption - Civil Rights
Section, Public Corruption
Unit, Unit Chief
SSA

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By: 

Drafted By: 

Case ID #: SSA-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

- [ ] testified before grand jury
- [ ] Processed new evidence.
- [ ] Interview conducted.
- [ ] Review new documents.
- [ ] Review of received materials from subpoenas for compliance.
- [ ] Received materials.
- [ ] Contact made with

(Rev. 08-28-2000)
To: CID From: New York
Re: 58A-NY-278417 (Pending), 04/17/2001

PROPOSED FUTURE ACTION:

- Interview scheduled
- To testify in grand jury on interview scheduled for
- Interviews to be scheduled for
- Set lead to Legal, London and Bern re: assistance in investigation.
- Interview

Investigation is ongoing in New York.
FEDERAL BUREAU OF INVESTIGATION

Precedence: IMMEDIATE  Date: 03/28/2001

To: New York  Attn: Supervisor

From: New York  C-14

Contact: SA

Approved By:

Drafted By:

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO: NY

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: Request to

Enclosures:

Details: It is requested that the enclosed
pursuant to the Federal Bureau of Investigation's guidelines.

The following information identifies enclosed:
Owing to the nature of this investigation, please expedite this request.
Precedence: IMMEDIATE                      Date: 03/29/2001
To: New York                           Attn: Supervisor
From: New York
       C-14
       Contact: SA
Approved By: ____________________
Drafted By: ____________________
Case ID #: 58A-NY-278417 (Pending)
Title: UNSUB(S);
       WILLIAM J. CLINTON, FORMER U.S. PRESIDENT
       MARC RICH - FUGITIVE (B); ET AL;
       CFPO - EXECUTIVE BRANCH;
       OO: NY

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: Request to

Enclosures: ____________________

Details: It is requested that the enclosed
pursuant to the Federal Bureau of Investigation's guidelines.

The following information identifies enclosed:

Owing to the nature of this investigation, please expedite this request.

**
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 04/23/2001

To: New York

Attn: Supervisor

From: New York

Squad C-14

Contact: SA

Supervisor

Approved By: 

Drafted By: 

Case ID #: SBA-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON, FORMER U.S. PRESIDENT

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

CO: NY

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: Request to

Enclosures: 

Details: It is requested that the enclosed

pursuant to the Federal Bureau of Investigation's guidelines.

The following information identifies enclosed:
To: New York  From: New York
Re: 58A-NY-278417, 04/23/2001

The following
To: New York  
From: New York  
Re: 58A-NY-278417, 04/23/2001
To: New York  From: New York
Re: 58A-NY-278417, 04/23/2001
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/06/2001

To: New York

Attn: SSA Squad C-14

From:

Contact: SA

Approved By:

Drafted By:

Case ID #: 58A-NY-278417 (Pending) (C-14)

Title: CLINTON, WILLIAM, J; RICH, MARC - FUGITIVE (B); ET AL;

OO: NY

Synopsis: Asset:

Date of Contact:

Contacted by: SA

Reliability: Reliable

(S) Derived From: G-3

Declasify On: X-1

Details: [ ]

[ ] a confidential and reliable source, provided the following information:

[ ]

rich.dis
To: New York
Re: 58A-NY-278417

From:
04/06/2001
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

To: CID
Attn: Corruption - Civil Rights Section, Public Corruption Unit, Unit Chief

Date: 04/23/2001

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By: mjm

Drafted By: mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;

THIS DOCUMENT IS SENSITIVE IN NATURE AND IS NOT TO BE VIEWED BY ANYONE OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

04/23/2001:

• Tel. interviews with (also on and conducted.
• Conf. call with Legat Hern.
• Reviewed of MARC RICH, et al.
• Reviewed grand jury testimony.
• Prepare request for scanning documents to Ft. Monmouth.
• Meeting with and SDNY.
To: CID
From: New York
Re: 58A-NY-278417 (Pending), 04/23/2001

PROPOSED FUTURE ACTION:

- to testify in grand jury
- to be interviewed
- interview scheduled
- interview scheduled for
- Interviews to be scheduled for
- Set lead to Legal London and Bern re: assistance in investigation.
- No discussion of pardon investigation anticipated.
- Scanning of documents into to commence 04/30/2001 at Ft. Monmouth with White Plains RA and HQ analysts.

Investigation is ongoing in New York.

042301.xls

∅
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY
Date: 04/23/2001

To: Investigative Services
Attn: 
Ft. Monmouth ITC

From: New York
White Collar Crime/Squad C-14/Public Corruption
Contact: SA

Approved By: 
Drafted By: mjm

Case ID #: 58A-NY-278417 (Pending)
58A-NY-278440 (Pending)
58A-NY-278585 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

UNSUB(S);
WILLIAM J. CLINTON, FORMER PRESIDENT;
HILLARY R. CLINTON, U.S. SENATOR;
ET AL.;
CFPO - EXECUTIVE LEVEL;
OO: NY

UNSUB(S);
ROGER CLINTON CLM, LLC;
OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE
AND SHOULD BE AFFORDED APPROPRIATE CONFIDENTIALITY.

Synopsis: To request high-speed scanning of documents into

Administrative: Ref. 04/20/2001 telcall. between SA 
New York, and AO 
Ft. Monmouth.
To: Investigative Services
From: New York
Re: 58A-NY-278417, 04/23/2001

Details: New York (including the White Plains RA) is conducting three sensitive public corruption investigations surrounding Presidential pardons granted by former President William J. Clinton. New York has been approved to utilize [redacted] as a repository and search mechanism for these investigations. In conjunction with ISD, cabinets, drawers, and folders have been set up for all three investigations by FBI HQ personnel. At the present time, New York needs to begin high-speed scanning of original documents into [redacted]. As discussed, New York will drop off its first shipment of documents for scanning on 04/30/2001. Questions concerning the above may be brought to the attention of [redacted]
To: Investigative Services  From: New York
Re: 58A-NY-278417, 04/23/2001

LEAD(s):

Set Lead 1: (Adm)

FORT MONMOUTH ITC

AT FORT MONMOUTH, NJ

Ft. Monmouth is requested to initiate high-speed scanning of documents into the fileroom created for the Presidential Pardons investigation. Delivery to Ft. Monmouth will be made on 04/30/2001.

Set Lead 2: (Adm)

INVESTIGATIVE SERVICES

AT WASHINGTON, DC

Read and clear.
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/24/2001

To: CID

Attn: Corruption - Civil Rights Section, Public Corruption Unit,

Unit Chief

SSA

From: New York

Squad C-14 -- Public Corruption

Contact: SA

Approved By: ________________

Drafted By: ________________

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND IS NOT TO BE VIEWED BY ANYONE OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

- Testified in grand jury.
- Interviewed in
- Interviewed in
- Tel. interviews with
- Tel. calls with Legat Bern.
- Conducted.

Background checks conducted re:
To: CID  From: New York  
Re: 58A-NY-278417 (Pending), 04/24/2001

PROPOSED FUTURE ACTION:

- To testify in grand jury interview scheduled
- Interview scheduled for
- Interviews to be scheduled for

No discussion of pardon investigation anticipated.

- Scanning of documents into to commence 04/30/2001 at Ft. Monmouth with White Plains RA and HQ analysts.
- Resubmit request for assistance from intelligence community.

Investigation is ongoing in New York.

042401.sts

††
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

04/25/2001:

• Tel interviews with 
• Tel. call with Legat Bern. conducted.
• Review production.
• Background checks conducted re:
• Strategy meeting with AUSAs.
• Arrangements made for scanning of documents into at Ft. Monmouth.
• Review of subpoenaed materials for compliance.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 04/25/2001

PROPOSED FUTURE ACTION:

- to testify in grand jury
- interview scheduled
- interview scheduled for
- interview scheduled
- to testify at grand jury on
- to testify at grand jury on
- Interviews to be scheduled for

No discussion of pardon investigation anticipated.

Scanning of documents into commence 04/30/2001 at Ft. Monmouth with White Plains RA and HQ analysts.

Resubmit request for assistance from intelligence community.

Investigation is ongoing in New York.

042501 sts
++
FEDERAL BUREAU OF INVESTIGATION

Precedence: IMMEDIATE
Date: 04/20/2001

To: 
Attn: SSA

From: New York
C-14 Public Corruption
Contact: SA

Approved By: 
Drafted By: 

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER UNITED STATES PRESIDENT;
MARC RICH, FUGITIVE - (E); ET AL;
CFPO-EXECUTIVE BRANCH
CO:NY

Synopsis: Request Office to locate and interview who is alleged to
captioned matter.

Enclosures: Enclosed for is a with identifying information on including possible

Details: For information of on a witness of questionable credibility advised that
From: New York
Re: 58A-MY-278417, (Pending)

58A-

376
Re: 58A-NY-278417, (pending)

LEAD (s):

Set Lead 1:

Locate and interview to determine association and contact with Marc Rich, or any Rich's associates, and the nature and extent of their association. The interviewing Agents should not directly accuse

**
Precedence: PRIORITY Date: 04/26/2001

To: New York  
Attn: Supervisor

From: New York
Squad C-14 Contact: SA

Approved By: 
Drafted By: jp

Case ID #: 76A-NY-278417 (Pending)

Title: UNSUB(S); WILLIAM J. CLINTON, FORMER U.S. PRESIDENT MARC RICH - FUGITIVE (B); ET AL; CFPO - EXECUTIVE BRANCH;

Synopsis: Request to upload

Enclosures: 

Details: It is requested that the enclosed 
be uploaded into 
pursuant to the Federal Bureau of Investigation’s guidelines.

The following information identifies enclosed:

---

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)
FEDERAL BUREAU OF INVESTIGATION

Precedence: IMMEDIATE
Date: 04/25/2001

To: New York
Attn: C-14 Public Corruption

From: RA
Contact: SA

Approved By: [Signature]
Drafted By: [Signature]

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER
UNITED STATES PRESIDENT;

MARC RICH - FUGITIVE - (B); ET AL;
CFPO-EXECUTIVE BRANCH

Synopsis: Completion of lead to locate and interview

Administrative: Re New York Immediate EC to dated

Enclosures: Enclosed for New York is the original and three
copies of one FD-302 reflecting interview of
with accompanying 1A (enclosing interview notes and)

and work copies of prior interview

Details: Referenced lead was received and pursued
address and vehicles were identified and
located. was located at home. However, lead agent was
directed by New York not to conduct the interview. On
lead agent was directed to conduct the
interview. agents attempted to locate at his
residence and around without
success. On located at this residence and interviewed him
as reflected in the enclosed FD-302. Results were forwarded
telephonically to New York upon receipt.

[Signature]

58A-NY-278417-104
has one outstanding lead in this matter, located at Serial 68. However, at the direction of New York, that interview has been held in abeyance. On lead agent was advised to complete this interview. will be located and interviewed forthwith.

Descriptive Data:

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<tr>
<th>Reference</th>
<th>Name -</th>
<th>Last:</th>
<th>First:</th>
<th>Middle:</th>
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<table>
<thead>
<tr>
<th>Address -</th>
<th>House #:</th>
<th>City:</th>
<th>State:</th>
<th>Postal Code:</th>
</tr>
</thead>
</table>

| Phone: | Miscellaneous - |
LEAD(s):
Set Lead 1: (Adm)

NEW YORK

AT NEW YORK

Read and Clear.

**
Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Case ID: 58A-NY-278417 Serial: 105

Description of Document:

Type: FP302
Date: 
To: NEW YORK
From: 
Topic: INTERVIEW W/ 

Reason for Permanent Charge-Out:

belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 95

Employee: 

Date: 05/14/01 Time: 08:35
********** Permanent Charge-Out of Serials Summary **********
There are no leads for old Case ID 58A-NY-278417 with Serial 105 to be copied
FEDERAL BUREAU OF INVESTIGATION

To: New York

Attn: C-14, Public Corruption

From: [Blank]

Contact: [Blank]

Approved By: [Blank]

Drafted By: [DCS]

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

CO: NEW YORK

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: To report the results of interview with [Blank]

Reference: 58A-NY-278417 Serial 74

Enclosure(s): One (1) original and one (1) copy of FD-302, dated April 24, 2001 of interview with [Blank] including original notes.

Details: On [Blank] was interviewed at the [Blank] Field Office. Enclosed is the results of the interview.
LEAD(s):

Set Lead 1: (Adm)

NEW YORK

AT NEW YORK, NEW YORK

Read and Clear.

**
Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Case ID: 58A-NY-278417  Serial: 107

Description of Document:

Type: FD302
Date: 
To: NEW YORK
From: 
Topic: INTERVIEW W/

Reason for Permanent Charge-Out:

belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302  Serial: 96

Employee: 
********* Permanent Charge-Out of Serials Summary *********
There are no leads for old Case ID 58A-NY-278417 with Serial 107 to be copied
Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Case ID: 58A-NY-278417 Serial: 108

Description of Document:

Type: FD302  
Date:  
To: NEW YORK  
From:  
Topic: INTERVIEW W/  

Reason for Permanent Charge-Out:
belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 97

Employee:  

Date: 05/14/01 Time: 08:37
******** Permanent Charge-Out of Serials Summary ********
There are no leads for old Case ID 59A-NY-279417 with Serial 108 to be copied
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY
Date: 04/30/2001

To: New York
Attn: C-14, Public Corruption

From: [Blank]
Contact: SA

Approved By: [Blank]
Drafted By: [CS]

Case ID #: 58A-NY-278417/0 (Pending)

Title: UNSUB(s);
WILLIAM J. CLINTON - Former U.S. President

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO: NEW YORK

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: To report results of interviews with

Reference: 58A-NY-278417 Serial 31

Enclosure(s): One (1) original and one (1) copy of FD-302, dated
April 30, 2001 of interview with
including original notes.

One (1) original and one (1) copy of FD-302, dated
April 30, 2001 of interview with
including original notes.

Details: On interviews were conducted with
Enclosed are the results of those interviews.
To: New York
Re: 58A-NY-278417, 04/30/2001

LEAD(s):

Set Lead 1:

NEW YORK

AT NEW YORK, NEW YORK

Read and Clear.

★★
Precedence: ROUTINE

To: CID

Attn: Corruption - Civil Rights Section, Public Corruption Unit,
Unit Chief

From: New York

Squad C-14 -- Public Corruption
Contact: SA

Approved By: mjm

Drafted By: mjm

Case ID #: 78A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

- ____________ testified in grand jury.
- ____________ interview conducted.
- ____________ Tel. call with Legat Bern.
- ____________ conducted.
- ____________ Preparation for scanning of documents into
  at Ft. Monmouth.
- ____________ Review documents from
To: CID  From: New York
Re: 58A-NY-278417 (Pending), 04/26/2001

PROPOSED FUTURE ACTION:

- Interview scheduled for [blank]
- No discussion of pardon investigation anticipated.
- Interview scheduled
- To testify at grand jury on [blank]
- To testify at grand jury on [blank]
- Interviews to be scheduled for [blank]
- Resubmit request for assistance from intelligence community.

Investigation is ongoing in New York.

042601.sis
*
**
UPLOADED
WITH/TEXT
WITHOUT/TEXT
BY
DATE
0 5/4/06

58A - NY-220417-112
SERIALIZED 49
FILLED 49

...VI YORK
b6
b7c
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

04/27/2001:

- Interview conducted.
- Interview conducted.
- Interview conducted.
- Original documents delivered to Ft. Monmouth.
- Review documents from
- Update subpoenaed log.
- Tel call. with White Plains RA.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 04/27/2001

PROPOSED FUTURE ACTION:

- to testify at grand jury on
- interview scheduled
- interviews to be scheduled for
- Scanning of documents into to commence 04/30/2001 at Ft. Monmouth with White Plains RA and HQ analysts.
- Resubmit request for assistance from intelligence community.
- interviewed on

Investigation is ongoing in New York.
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE
Date: 04/30/2001

To: CID
Attn: Corruption - Civil Rights Section, Public Corruption Unit, Unit Chief

From: New York
Squad C-14 -- Public Corruption

Contact: SA

Approved By:

Drafted By: mjmmj

Case ID #: SSA-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

04/30/2001:

- [Interviews conducted] conducted.
- Original documents delivered to Ft. Monmouth. Initial meeting with HQ personnel.
- Tel. interview with [redacted] re: new complaint.
- Prepare for [redacted] grand jury.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 04/30/2001

PROPOSED FUTURE ACTION:

• to testify at grand jury on [ ]
  interview scheduled on [ ]
• to testify at grand jury on [ ]
  interviews to be scheduled for [ ]
• Scanning of documents into [ ] to commence 05/01/2001 at Ft. Monmouth with White Plains RA and HQ analysts.
• Resubmit request for assistance from intelligence community.
• Financial analysts to provide results/direction for new leads.

Investigation is ongoing in New York.

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◆◆
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE
Date: 05/01/2001

To: CID
Attn: Corruption - Civil Rights Section, Public Corruption Unit,
Unit Chief
SSA Michael

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By:

Drafted By: mjm

Case ID #: SSA-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

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OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

- Testified in grand jury.
- Conducted.
- Documents scanned at Ft. Monmouth. Meeting with HQ personnel.
- Tel. interview with re: new complaint.
- Reviewed document production.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/01/2001

PROPOSED FUTURE ACTION:

- Interview cancelled
- to testify at grand jury on
- to testify at grand jury on

- Interviews to be scheduled for
- Possibly

- Meeting with HQ analysts re: on 05/05/2001 (9:00 am).
- Financial analysts to provide results/direction for new leads.
- to testify at grand jury on

Investigation is ongoing in New York.
Precedence: ROUTINE
To: CID
Attn: Corruption - Civil Rights Section, Public Corruption Unit, Unit Chief
From: New York
Squad C-14 - Public Corruption
Contact: SA
Approved By: 
Drafted By: mjm
Case ID #: 58A-NY-278417 (Pending)
Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

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AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/02/2001:

• Tel. interviews conducted with
• Document review of materials provided.
• Prepared for grand jury.
• Tel. calls with SDNY re: status.
• Subpoenaed materials catalogued and reviewed for compliance.
To: CID  From: New York  
Re: 58A-NY-278417 (Pending), 05/02/2001

PROPOSED FUTURE ACTION:

- [ ] to testify at grand jury on
- [ ] to testify at grand jury on
- [ ] Interviews to be scheduled for
- [ ] 
- [ ] Possibly
- [ ] Meeting with HQ analysts re: on 05/05/2001 (9:00 am).
- [ ] Financial analysts to provide results/direction for new leads.
- [ ] to testify at grand jury on
- [ ] 
- [ ] to be interviewed in week of
- [ ]
- [ ] Contact Division re: investigation.

Investigation is ongoing in New York.

050201.msi

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

To: CID

Attn: Corruption - Civil Rights Section, Public Corruption Unit,

Unit Chief

SSA

From: New York

Squad C-14 -- Public Corruption

Contact: SA

Approved By: ____________________________

Drafted By: ____________________________ mjm

Case ID #: SSA-NY-278417 (Pending) ____________________________

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CPPO - EXECUTIVE BRANCH;

OO: NY

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OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

testified at grand jury.

interview conducted.

interview and grand jury appearance.

Meeting with new complainant.

Training received by investigative team from HQ personnel.

casted in preparation for interview.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/03/2001

PROPOSED FUTURE ACTION:

________________________ to be interviewed in ____________________ on ____________________

________________________ to be interviewed in ____________________ on ____________________

• Financial analysts to provide results/direction for new leads.
• ___ to testify at grand jury on ____________________
• Contact __________ Division re: __________ investigation leads.
• Prepare revised list of interviews to be conducted.
• Continue __________

Investigation is ongoing in New York.

050301.sts

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Precedence: ROUTINE

Date: 05/04/2001

To: 

Attn: SSRA RA

From: New York

White Collar Crime, Squad C-14 - Public Corruption

Contact: SA

Approved By: 

Drafted By: mjm

Case ID # A-58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

CC: NY

Synopsis: To advise of inter-divisional travel.

Administrative: Reference 05/04/2001 tel. call from SA to SSRA RA.

Details: New York is conducting a sensitive public corruption investigation concerning several controversial pardons granted by former U.S. President WILLIAM CLINTON. On March 15, SA will travel to New York in an effort to interview a potential witness in this investigation. The interview is scheduled for April 4 at a location to be determined. An attorney will also be present. SA will be accompanied by AUSA Southern District of New York. No assistance is required from the RA at this time. Lodging is to be determined.

ADIC, New York, and SAC. concur in the need for SA to travel to New York for the above referenced interview.
To: New York
From: New York

C-14; White Collar Crime

Contact: SA

Approved By: ASAC SSA

Drafted By: kJW

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S):
WILLIAM J. CLINTON - FORMER US PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH

CO: NY

Synopsis: Request permission for SA to travel to from

Details: During the course of the pending investigation, several people with the Department of Justice have been identified as potential witnesses. In order to conduct a thorough and efficient series of interviews, the writer and AUSA will travel to speak with six potential witnesses and review documents with

The estimated expense for this travel is approximately $487.50. This estimate is based on airfare of $93.00, and lodging, meals and miscellaneous of $394.50.

Writer requests permission to travel to from

**
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 05/14/2001

To: New York

Attn: Supv.

Supv.

From: New York

Squad C-14

Contact: SA

Approved By: SA

Drafted By: jp

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S); WILLIAM J. CLINTON, FORMER U.S. PRESIDENT

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

OO: NY

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: Request to upload

Enclosures:

Details: It is requested that the enclosed be uploaded into pursuant to the Federal Bureau of Investigation's guidelines.

The following information identifies enclosed:

The following information identifies enclosed:
Precedence: PRIORITY

To: ____________________

Attn: ____________________

Attn: ____________________

From: New York

White Collar Crime/Squad C-14/Public Corruption

Contact: ____________________

Approved By: ____________________

Drafted By: mjm

Case ID #: NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

OO: NY

Synopsis: To request investigative assistance from ____________________

Administrative: Reference 04/20/2001 email from SA ____________

__________ to ____________ requesting assistance.

Details: New York is conducting a sensitive public corruption investigation surrounding controversial Presidential pardons granted by former U.S. President WILLIAM CLINTON. SAC, Criminal Division, New York, has requested that ____________________
To: New York
From: New York
Re: 58A-NY-278417, 05/09/2001

Given the seriousness of the alleged threat, SAC, New York has requested the following known information is provided to

NAME:
DOB:
SS#:
TELEPHONES:
HOME:

New York is seeking to identify where resides and any other information about him that may be available.
LEAD(s):

Set Lead 1:

\[
\text{is requested to conduct from available public sources.}
\]

Set Lead 2:

\text{INVESTIGATIVE SERVICES}

\text{AT WASHINGTON, DC}

\text{For informational purposes only.}
Precedence: ROUTINE                                   Date: 05/07/2001

To: New York                                          Attn: SAC Victor M. Gonzalez

From: New York                                       C-14; White Collar Crime
            Contact: SA

Approved By: SAC Cordier Robert J
            ASAC
            SSA

Drafted By: kjw

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
       WILLIAM J. CLINTON - FORMER US PRESIDENT;
       MARC RICH - FUGITIVE (B); ET AL;
       CFPO - EXECUTIVE BRANCH
       CO: NY

Synopsis: Request permission for C-14 rotor to conduct a weekly upload of ACS documents into

Details: An ACS compatible program, [REDACTED] is being utilized by the agents on C-14 to upgrade the searching capabilities of ACS. Agents will be more easily able to search information to find specific documents required by a set of parameters.

The bulk of the documents obtained during the course of this investigation will be scanned and uploaded by personnel on C-14 and at Ft. Monmouth, NJ. However, documents which are uploaded into ACS (ie. FD 302s, Electronic Communications, and Inserts) can be integrated into ACS by performing a second upload into ACS. The optical character recognition compatibility between the programs allows this process to be completed quickly and easily.

The writer requests permission for the C-14 rotor to conduct a weekly upload of ACS documents into

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Uploaded by [REDACTED]

WITH TEXT

DATE 5/17/01

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MAY 1, 2001

121
PRECEDENCE: ROUTINE

DATE: 05/16/2001

TO: New York

FROM: New York

White Collar Branch, Squad C-14/Public Corruption

Contact: SA

APPROVED BY:

DRAFTED BY: mmjm

CASE ID #: 58A-NY-278417 (Pending)

TITLE: CHANGED

UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

CC: NY

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

SYNOPSIS: To advise of title change.

PREVIOUS TITLE: Title marked "Changed" to reflect new title. Previous title is listed as follows:

UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

CC: NY

DETAILS: This communication serves to change the title to the captioned investigation. C-14 rotor is requested to make the appropriate changes in ACS.

UPLOADED
WITH/TEXT
WITH/OUT-TEXT
BY mmjm
DATE 05/16/01
Synopsis: To update Rule 6(e) list for captioned investigation.

Details: The following is an updated list of Bureau personnel who are on the Rule 6(e) letter. This list was provided to AUSA SDNY, via fax on 05/17/2001.

NEW YORK:
Assistant Director in Charge (ADIC) Barry Mawn, New York
Special Agent in Charge (SAC) Robert J. Cordier, New York, Criminal Division
Assistant Special Agent in Charge (ASAC) New York, White Collar Crime Branch
Coordinating Supervisory Special Agent (CSSA) New York, White Collar Crime
Supervisory Special Agent (SSA) New York, Public Corruption Squad
SA Co-case agent
SA C-33
SA C-8
SA C-14
SA C-14
Financial Analyst C-14
Financial Analyst C-4
To: New York  
From: New York  
Re: 58A-NY-278417, 05/17/2001  

Financial Analyst C-8  
Intelligence Research Specialist b6  
Intelligence Research Specialist b7c  
Public Corruption Squad file clerk, C-14  

WHITE PLAINS RA:  

FBI HQ:  
Section Chief Robert Jordan, FBI HQ, Public Corruption Unit  
Unit Chief FBI HQ, Public Corruption Unit  
Supervisory Special Agent (SSA) FBI HQ, Public Corruption Unit  
Analyst (re: computer database)  
Computer Programming  
Computer Programming  
Computer Programming  
Computer Programming  
(Scanner Supervisor)  
(Scanner Coordinator)  
(Scanner)  
(Scanner)  
(Scanner)  
(Scanner Coordinator)  

6(f):cc  
**
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/04/2001:

• Interview conducted.
• Summary prepared.
• Training received by investigative team from HQ personnel.
• Interview conducted in preparation for interview.
• Review grand jury materials.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/04/2001

PROPOSED FUTURE ACTION:

- [ ] to be interviewed in [ ]
- [ ] to be interviewed in [ ] on [ ]
- Financial analysts to provide results/direction for new leads.
- [ ] to testify at grand jury on [ ]
- Contact [ ] Division re: [ ] investigation leads.
- Prepare revised list of interviews to be conducted.
- Continue [ ]

Investigation is ongoing in New York.

050401.txt
★★
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/07/2001:

- Preparation for DOJ interviews.
- Interview of[________] prepared.
- Interview of[________] conducted.
- Preparation for[______] Grand Jury

PROPOSED FUTURE ACTION:
To: CID  From: New York
Re: 58A-NY-278417 (Pending), 05/04/2001

- DOJ interviews to be conducted at DOJ in [blank] on [blank]
- [blank] to include [blank]
- [blank] to testify at Grand Jury on [blank]
- [blank] to testify at Grand Jury on [blank]
- Contact [blank] Division re: [blank] investigation leads.
- Continue [blank] to testify in the Grand Jury on [blank]

Investigation is ongoing in New York.
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/08/2001

To: CID

Att.: Corruption - Civil Rights Section, Public Corruption Unit, Unit Chief, SSA

From: New York Squad C-14 Public Corruption Contact: SA

Approved By: 

Drafted By: mjmc

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

CO: NY

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Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

• Preparation for DOJ interviews.
  Interview of [Redacted] testified in grand jury.

• Interview of [Redacted] conducted.

• Compile list of [Redacted] associates - conduct background checks for addresses.

• Received new subpoenas for service:
PROPOSED FUTURE ACTION:

- DOJ interviews to be conducted at DOJ in [ ]
- [ ] to include [ ]
- [ ] to testify at Grand Jury on [ ]
- [ ] to testify at Grand Jury on [ ]
- [ ] Contact Division re: [ ] investigation leads.
- [ ] Continue [ ]

Investigation is ongoing in New York.

050801.txt

2
UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE

5/23/01

FILED

FOI — NEW YORK
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE
Date: 05/09/2001

To: CID
Attn: Corruption - Civil Rights Section, Public Corruption Unit, Unit Chief

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By: __________
Drafted By: mjm

Case ID #: 4E8A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

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Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/09/2001:

- Interviews of ________________________________ conducted at DOJ,______________________________
- Subpoenas served to ________________________________
- Compile list of ________________________________ associates - conduct background checks for addresses.
- Prepare for ________________________________ grand jury.
- Review ________________________________ records.
- Set lead to ________________________________ for investigative assistance.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/09/2001

PROPOSED FUTURE ACTION:

• ______ to testify at Grand Jury on
• ______ to testify at Grand Jury on
• ______ to testify at Grand Jury on
• Contact Division re: ______ investigation leads.
• Continue
• Set up status meeting with SDNY and NYO.

Investigation is ongoing in New York.

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*
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

- Interview of [name] conducted at DOJ.
- Interview of [name] conducted in [location].
- Compile list of [name]'s associates - conduct background checks for addresses.
- [name] testified in the grand jury.
- Review [name]'s records.
- Meeting with [name].

PROPOSED FUTURE ACTION:
To: CID  From: New York
Re: 58A-NY-278417 (Pending), 05/09/2001

- Contact Division re: investigation leads.
- Continue to testify at Grand Jury on
- Set up status meeting with SDNY and NYO.

Investigation is ongoing in New York.

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††
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE                          Date: 05/15/2001

To: CID                                      Att.: Corruption - Civil Rights

Section, Public Corruption

Unit,

Unit Chief

SSA

From: New York

Squad C-14 -- Public Corruption

Contact: SA

Approved By:

Drafted By: mjm

Case ID #: 56A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

QC: NY

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OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

- Reviewed records. Analysis to be conducted.
- Compiled list of associates - conduct background
  checks for addresses.
- Investigative materials sent to Ft. Monmouth for scanning.
To: CID
From: New York
Re: 58A-NY-278417 (Pending), 05/15/2001

PROPOSED FUTURE ACTION:

• Meeting with AUSAs on 05/16/2001 to discuss outstanding subpoenas, interviews, grand jury schedule, etc.
• Contact Division re: investigation leads.
• Continue
• Set up status meeting with SDNY and NYO.
• Inquire into intelligence community regarding request for information.
• SDNY to submit motion

Investigation is ongoing in New York.

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h3
h6
h7C
h7E
OTHER Sealed Pursuant to Court Order
In Reply, Please Refer to
File No. 58A-NY-278417

May 15, 2001

UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CPPO - EXECUTIVE BRANCH;
CO: NY

On 02/15/2001, the Federal Bureau of Investigation (FBI) initiated a preliminary inquiry concerning controversial presidential pardons granted by former U.S. President WILLIAM J. CLINTON on 01/20/2001. The New York Office of the FBI has investigated the circumstances surrounding the pardons over the course of the last 90 days and has utilized the following investigative techniques: (1) an ongoing Federal Grand Jury, (2) grand jury subpoenas, (3) extensive interviews, and (4) public source information queries. A full field investigation is now being opened into the captioned matter. This memorandum is not inclusive of all evidence obtained thus far, but a concise description of noteworthy evidence accumulated to date.

SENSITIVE MATERIAL
This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
Investigation also revealed that...

Investigation revealed that...
Despite strong opposition to the RICH/GREEN pardons by the CLINTON White House counsel (responsible for advising the President on pardon cases), absolutely no notification to the SDNY - the prosecuting district of the original 1983 indictment, or routine pardon processing by DOJ, President CLINTON granted RICH and GREEN full and unconditional pardons on 01/20/2001, his final day in office.

The U.S. Attorney's Office, Southern District of New York, continues to commit to prosecute violations of Title 18, United States Code (U.S.C.), Sections 201 (Federal Bribery), 371 (Conspiracy), 1001 (False Statements), or 1505 (Obstruction of Proceedings Before Departments) if corroborative evidence is developed to substantiate the allegations. Additionally, violations of Federal Campaign Contribution statutes in violation of Title 2, U.S.C., Sections 437 and 441, are also expected to be investigated for prosecutive merit.

While the above information summarizes the salient issues relevant to the first 90 days of this investigation, this matter will be converted to a full field investigation at this time.
Precedence: ROUTINE

To: New York

Attn: SAC Robert J. Cordier, Division II

Attn: Corruption/Civil Rights Section, Public Corruption Unit

From: New York

White Collar Branch/Squad C-14/Public Corruption

Contact: SA

Approved By: 

Drafted By: mj

Case ID #: 78A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;

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AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To advise of conversion from preliminary investigation (PI) to full field investigation.


Administrative: It should be noted that above title has been changed to reflect additional subjects from original PI authorization.

Enclosure(s): For CID: Two copies of LHM.

Details: On 02/15/2001, a PI was initiated in the above captioned public corruption investigation concerning controversial presidential pardons granted by former U.S. President WILLIAM J. CLINTON on 01/20/2001. Squad C-14 has investigated the circumstances surrounding

CONFIDENTIAL
the pardons over the course of the last 90 days and has utilized the following investigative techniques: (1) an ongoing Federal Grand Jury, (2) grand jury subpoenas, (3) extensive interviews, and (4) public source information queries. Since the 90-day authorization period has expired, the previously approved PI will now be converted to a full field investigation. This communication is not inclusive of all evidence obtained thus far, but a concise description of noteworthy evidence accumulated to date.

At the outset of the investigation, was thoroughly debriefed on several occasions and testified in the grand jury. The following relevant information was obtained.
While the above information summarizes the salient issues relevant to the first 90 days of this investigation, this matter will be converted to a full field investigation at this time.
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/16/2001:

- Analysis conducted on [records].
- Conversion of PI to full field investigation communication prepared.
- Investigative status meeting held with NYO agents and SDNY AUSAs.
- Compiled list of [ ] - conduct background checks for addresses.
- Compiled new subpoena, grand jury, and interview list.
- Tel. interview with [ ]
To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/16/2001

PROPOSED FUTURE ACTION:

• Contact [ ] Division re: [ ] investigation leads.
• Continue [ ] Issue subpoenas for [ ].
• Set up status meeting with SDNY and NYO.
• Inquire into intelligence community regarding request for information.
• SDNY to submit motion [ ].

NOTE: Above title has been changed to reflect additional new subjects.

Investigation is ongoing in New York.

b3
b6
b7C
b7E

OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE
Date: 05/17/2001

To: CID
Att.: Corruption - Civil Rights Section, Public Corruption Unit,
      Unit Chief
      SSA

From: New York
      Squad C-14 -- Public Corruption
      Contact: SA

Approved By:

Drafted By: mjm

Case ID #: 8A-NY-278417 (Pending)

Title: UNSUB(S);
       WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
       MARC RICH - FUGITIVE (B); ET AL;
       CFPO - EXECUTIVE BRANCH;
       OO: NY

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AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

05/17/2001:

• Compiled list of conduct background checks for addresses.
• Compiled new subpoena, grand jury, and interview list.
• Tel. interview with
To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/17/2001

PROPOSED FUTURE ACTION:

- Contact Division re: investigation leads.
- Continue Issue subpoenas for
- Set up status meeting with SDNY and NYO.
- Inquire into intelligence community regarding request for information.
- SDNY to submit motion

Interviews to be conducted:

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence:  PRIORITY

Date:  05/30/2001

To:  New York

Attn:  SA

Investigative Services

Squad C-14

SSA

From:  

Contact:  

Approved By:  

Drafted By:  

Case ID #:  58A-NY-278417 (Pending)

Title:  UNSUB(S); WILLIAM J. CLINTON - FORMER US PRESIDENT

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO-NY

Synopsis:  To respond to lead set on serial 120 on captioned matter.

Reference:  58A-NY-278417 Serial 120


and telcalls between

Details:  Referenced EC requested

from available public sources.

As noted in the e-mail dated May 25, investigation through open sources have failed to locate

Unless FBI NY can specify

Based on conversations with

will not contact telephonically unless advised to the contrary by New York.

Lead covered at [Blank]
LEAD(s):

Set Lead 1: (Adm)

ALL RECEIVING OFFICES

Read and clear.
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE       Date: 05/18/2001

To: CID                  Att.: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief
SSA

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By:

Drafted By: mjm

Case ID #: 88A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO: NY

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Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

05/18/2001:

- Compiled list of associates - conduct background
  checks for addresses.
- Review of
- LiHM completed for HQ.
- Tel. call. with HQ re: case status.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/18/2001

PROPOSED FUTURE ACTION:

- Continue Issue subpoenas for __________
- Set up status meeting with SDNY and NYO.
- Inquire into intelligence community regarding request for information.
- SDNY to submit motion

Interviews to be conducted: __________

New witnesses to be subpoenaed before CJ: __________

Investigation is ongoing in New York. b3
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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/21/2001

To: CID

Att.: Corruption - Civil Rights
Section, Public Corruption Unit,
Unit Chief
SSA

From: New York
Squad C-14 -- Public Corruption

Contact: SA

Approved By: 

Drafted By: 

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO: NY

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Synopsis: To provide status report in above captioned matter to 
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status 
report on the captioned investigation, New York provides the 
following current information:

05/21/2001:

• Interview conducted in

• Compiled list of associates - conduct background checks for addresses.

• Review of

• Prepare significant activity chronology and supporting evidence.

• Review records.
To: CID  From: New York
Re: 58A-NY-278417 (Pending). 05/21/2001

PROPOSED FUTURE ACTION:

- Continue [subpoena issued.]
- Issue subpoenas for [Set up status meeting with SDNY and NYO for mid-week of 05/28/01.]
- Inquire into intelligence community regarding request for information.
- SDNY to submit motion [Interviews to be conducted:]
- New witnesses to be subpoenaed before GJ: [Investigation is ongoing in New York.]

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/22/2001

To: CID

Att.: Corruption - Civil Rights Section, Public Corruption Unit,
Unit Chief
SSA

From: New York

Squad C-14 -- Public Corruption
Contact: SA

Approved By:

Drafted By: mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

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Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/22/2001:

- Compiled list of associates to interview.
- Reviewed
- Reviewed materials from
- Subpoenas issued to

...
To: CID  From: New York  
Re: 58A-NY-278417 (Pending), 05/22/2001

PROPOSED FUTURE ACTION:

• Continue Issue subpoenas for
• Set up status meeting with SDNY and NYO for mid-week of 05/28/01.
• Inquire into intelligence community regarding request for information.
• SDNY to submit motion

• Interviews to be conducted:

• New witnesses to be subpoenaed before GJ:

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE
Date: 05/23/2001

To: CID
Attn: Corruption - Civil Rights
Section, Public Corruption Unit,
Unit Chief
SSA

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By: ________________________
Drafted By: ________________________: mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON -- FORMER U.S. PRESIDENT;
MARC RICH -- FUGITIVE (B); ET AL;
CFPO -- EXECUTIVE BRANCH;
OO: NY

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Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

05/23/2001:
• Review of __________________ - prepared interview lists.
• Reviewed ___________________________
• Significant event chronology prepared.
PROPOSED FUTURE ACTION:

- Interview to be conducted - lead to be set to the field.
- Continue Issue subpoenas for
- Set up status meeting with SDNY and NYO for mid-week of OS/28/01.
- Inquire into intelligence community regarding request for information.
- SDNY to submit motion

- Interviews to be conducted:

- New witnesses to be subpoenaed before GU:

Investigation is ongoing in New York.
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE
Date: 05/24/2001

To: CID
Attn: Corruption - Civil Rights Section, Public Corruption Unit,
Unit Chief SSA

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By: 

Drafted By: [Redacted] mjm

Case ID #: SSA-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CPFO - EXECUTIVE BRANCH;

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OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

05/24/2001:
• Conduct
  Initiate new analysis of
  Strategy meeting with AUSA re: interviews to be conducted.
  Obtained new subpoenas to be served for interviews.
  Reviewed and analyzed complaints received at SDNY.
  Conf. call with White Plains RA.
  Prepare lead to for interview of
To: CID  From: New York
Re: 58A-NY-278417 (Pending), 05/24/2001

PROPOSED FUTURE ACTION:

Interviews to be conducted - lead to be set to the field (wait for SDNY opinion).

Continue

Set up status meeting with SDNY and NYO for mid-week of 05/28/01.

Inquire into intelligence community regarding request for information.

SDNY to submit motion

Interviews to be conducted:

New witnesses to be subpoenaed before GJ:

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

To: CID

From: New York

Date: 05/25/2001

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit, Unit Chief SSA

Approved By: 

Drafted By: mjm

Case ID #: SSA-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO: NY

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Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/25/2001:

• Reviewed

• Background checks for addresses of interviews to be conducted.

• Reviewed

• Reviewed records. re: compliance with outstanding subpoenas.
To: CID  From: New York  
Re: 58A-NY-278417 (Pending), 05/25/2001

PROPOSED FUTURE ACTION:

• Interviews to be conducted - lead to be set to the field (wait for SDNY opinion).

• Continue to be interviewed during week of

• Issue subpoenas for

• Set up status meeting with SDNY and NYO for mid-week of 05/28/01.
• Inquire into intelligence community regarding request for information.
• SDNY to submit motion

• Interviews to be conducted:

• New witnesses to be subpoenaed before GJ:

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE
Date: 05/29/2001

To: CID
Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit.

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By: 
Drafted By: 
Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

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Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/29/2001:

- List prepared for interviews to be conducted in New York and in the field. Background checks for addresses conducted.
- Reviewed records.
- Lead set to and for interviews to be conducted.
PROPOSED FUTURE ACTION:

- Interviews (7) to be conducted - lead to be set to the field for to be interviewed during week of.

- Continue Issue subpoenas

- NYO ADIC/SAC meeting 06/04/2001.

- Inquire into intelligence community regarding request for information.

- SDNY to submit motion

- Interviews to be conducted:

- New witnesses to be subpoenaed before GU:

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
Precedence: PRIORITY
Date: 06/06/2001
To: Public Corruption Squad
Attn: PRIORITY

From: New York
White Collar Crime/Squad C-14/Public Corruption
Contact: SA

Approved By: 

Drafted By: mjm

Case ID #: SSA-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To set lead for interview to be conducted and service of subpoena.

Enclosure(s): (1) Proposed interview questions, (2) one original and one copy of federal grand jury subpoena.

Details: The New York Office is conducting a sensitive public corruption investigation concerning controversial presidential pardons granted by former U.S. President WILLIAM J. CLINTON. New York is seeking to interview ______ and serve him with the enclosed copy of the grand jury subpoena. New York is specifically interested in any knowledge or insight ______ A proposed list of questions is enclosed to guide the interviewer, but not all questions listed may be applicable.

It is requested that advance notice of this interview not be given to_____
To: [Redacted]  From: New York
Re: 58A-NY-278417, 06/06/2001

The following information is provided regarding

NAME: [Redacted]
DOB: [Redacted]
SS#: [Redacted]
ADDRESS: [Redacted]
To: 
From: New York
Re: 58A-NY-278417, 06/06/2001

LEAD(s):

Set Lead 1:

[redacted]

[redacted] is requested to conduct logical investigation to locate and interview [redacted] is requested to serve a copy of the enclosed federal grand jury subpoena at the conclusion of the interview.

[redacted]
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/30/2001:

- List prepared for interviews to be conducted in New York and in the field. Background checks for addresses conducted.
- Reviewed [records.]
- Lead set to [interviews to be conducted. ] to serve subpoenas on [for appearance at grand jury. ]
To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/30/2001

PROPOSED FUTURE ACTION:

• The following interviews will be conducted of

• Continue [ ] Issue subpoenas for

• NYC ADIC/SAC meeting 06/04/2001.

• Inquire into intelligence community regarding request for information.

• SDNY to submit motion

• Additional interviews to be conducted:

• New witnesses to be subpoenaed before GJ:

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE  Date: 05/31/2001

To: CID  Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit.

Unit Chief

SSA

From: New York
Squad C-14 -- Public Corruption

Contact: SA

Approved By:

Drafted By: mjm

Case ID #: 28A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO: NY

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Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/31/2001:

• Lead set to ________ and ________ for interviews to be conducted, ________ to serve subpoenas on ________ for appearance at grand jury.
• Prepared list of proposed questions.
• Reviewed ________ records.
• Prepared pertinent evidence summary.
• Case agent status conference.
• ACS checks for ________ interviews conducted.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/31/2001

PROPOSED FUTURE ACTION:

• The following interviews will be conducted (subpoenas served) of

• The following interviews will be conducted (subpoenas served) of

• Continue Issue subpoenas for

• NYC ADIC/SAC meeting 06/01/2001.

• Inquire into intelligence community regarding request for information.

• SDNY to submit motion

• New witnesses to be subpoenaed before GJ: (immunity to be determined).

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

06/01/2001:

- NYO ADTC/SAC briefing with White Plains RA.
- Interview conducted.
- Subpoena served.
- Interviews conducted.
- Review of case map/chronology.
PROPOSED FUTURE ACTION:

- The following interviews will be conducted (subpoenas served) of:
  
- Continue [Insert Name] Issue subpoenas for
- Inquire into intelligence community regarding request for information.
- SDNY to submit motion

- New witnesses to be subpoenaed before GJ:

(immunity to be determined).

Investigation is ongoing in New York.
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE
Date: 06/05/2001

To: CID
Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit
Unit Chief SS

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By: 
Drafted By: mj

Case ID #: SSA-NY-278417 (Pending)

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

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Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

- [ ] interview conducted. Subpoena served.
- [ ] Attempts to locate, interview and serve subpoena to 
- [ ] met with negative results.
- [ ] Prepared interview questions for witnesses.
- [ ] Tel call with Division re: interview.
To: CID  
From: New York  
Re: 58A-NY-278417 (Pending), 06/05/2001

PROPOSED FUTURE ACTION:

- To testify in grand jury on
- To meet with AUSA on
- To testify in grand jury on
- To testify in grand jury on
- The following interviews will be conducted (subpoenas served) of

- The following interviews will be conducted (subpoenas served) of

- Continue Issue subpoenas for
- SDNY to submit motion
- New witnesses to be subpoenaed before GJ: (immunity to be determined).

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

06/04/2001:

- Telephone calls with ___ case agents re: ___ interview conducted on ___ Subpoena served.
- Attempt to interview ___ met with negative results. Rescheduled for ___ Subpoena served.
- Prepare interview questions for witnesses.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/04/2001

PROPOSED FUTURE ACTION:

● The following interviews will be conducted (subpoenas served) of

● The following interviews will be conducted (subpoenas served) of

● Continue Issue subpoenas for

● Inquire into intelligence community regarding request for information.

● SDNY to submit motion

● New witnesses to be subpoenaed before GJ:

(immunity to be determined).

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

To: CID

Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit,

Unit Chief

SSA

From: New York

Squad C-14 -- Public Corruption

Contact: SA

Approved By:

Drafted By: mjm

Case ID #: FBA-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

CO: NY

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OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

06/06/2001:

• Background/internet checks conducted for interviewees.

• Preparation for interviews of

• Subpoena request prepared for

• Prepared lead to ________ to interview and serve subpoena to

• Review subpoena list and prepare subpoena chart.
To: CID  
From: New York  
Re: 58A-NY-278417 (Pending), 06/06/2001

PROPOSED FUTURE ACTION:

- to testify in grand jury on
- to meet with AUSA on
- proffer.
- to testify in grand jury on
- to testify in grand jury on
- (tentative).
- The following interviews will be conducted (subpoenas served) of

- The following interviews will be conducted (subpoenas served) of

- Continue

- Issue subpoenas for

- New witnesses to be subpoenaed before GJ:

- (immunity to be determined).

Investigation is ongoing in New York.
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

- Attempts to interview and serve subpoena met with negative results. All referred to attorneys. Served with subpoena through attorney.
- Testified in grand jury served with grand jury subpoena.
- Picked up subpoenas for records.
- Reviewed new production of records.
- Attempts made to interview and serve subpoena to
To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/07/2001

PROPOSED FUTURE ACTION:

- To meet with AUSA on proffer.
- To testify in grand jury on [blank].
- To testify in grand jury on [blank] (tentative).
- To be interviewed on [blank].

The following interviews will be conducted (subpoenas served) of [blank].

- Continue [blank].
- Issue subpoenas for [blank].
- New witnesses to be subpoenaed before GI: [blank].

(immunity to be determined).

Investigation is ongoing in New York.
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

- Meeting with 
- Subpoenas served to interviewed. Phone calls with field offices re: interview leads.
- obtained.
- Reviewed production of documents.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/11/2001

PROPOSED FUTURE ACTION:

- [location to be determined] to be interviewed on [date]
- [name] to be interviewed on [date]
- to testify in grand jury on [date]
- to testify in grand jury on [date] (tentative).
- Interview scheduled for [date] to be interviewed.

- Continue
- Issue subpoenas
- New witnesses to be subpoenaed before GJ: [name] (immunity to be determined).

Investigation is ongoing in New York.

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PRECEDENCE: ROUTINE

DATE: 05/22/2001

TO: New York

ATTN: White Collar Branch,

Squad C-14,

SA

FROM: PA

CONTACT: SA

APPROVED BY: ""

DRAFTED BY: mf

CASE ID #: 58A-NY-278417 (Pending)

TITLE: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B);

ET AL;

CFPO - EXECUTIVE BRANCH

SYNOPSIS: Completion of lead to interview

REFERENCE: 58A-NY-278417 Serial 68

ENCLOSURES: Enclosed for New York is the original and two copies of one FD-302 reflecting interview of ________________ with ____________________________, accompanying 1A comprising interview notes, license record, (negative) criminal history record, and photograph of ________________.

DETAILS: This lead was received, and then held in abeyance per direction of New York. Numerous attempts were made to contact without success. ________________ was finally located telephonically and requested interviewing Agent to call back at ________________, which was done. At that time, ________________.

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BY

DATE

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<tr>
<td>Phone:</td>
<td>Phone:</td>
</tr>
</tbody>
</table>
To: New York  
Re: 58A-NY-278417  05/22/2001

LEAD (s):

Set Lead 1: (Adm)

NEW YORK

AT NEW YORK, NY

Read and clear.

**
Case ID: 58A-NY-278417  Serial: 153

Description of Document:

Type: FD302
Date: 
To: NEW YORK
From: 
Topic: INTERVIEWED

Reason for Permanent Charge-Out:

belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302  Serial: 137

Employee: 
Precedence: PRIORITY

To: New York

From: SA

Contact: SA

Approved By: NED

Drafted By: pjm

Case ID #: 8A-NY-278417

Title: UNSUB(S);
WILLIAM J. CLINTON-FORMER U.S. PRESIDENT;

MARC RICH-FUGITIVE (B);
ET AL;
CFPO-EXECUTIVE BRANCH
CC: NY

Synopsis: Results of investigation of NY lead per serial 142.

Enclosure(s): Enclosed for NY are the following: original and two copies of FD-302 reflecting interview of 1-A envelope containing original notes of aforementioned interview; copy of FGJ subpoena with completed service.

Details: On was interview pursuant to a lead from NY.

It should be noted that was inadvertently served with the original subpoena, so return of service is reflected on back of the service copy.

In that no other investigation remains in this lead is considered covered.
To: New York

Re: 58A-NY-278417, 06/12/2001

LEAD(s):

Set Lead 1:

NEW YORK

AT NEW YORK, NY

Read and clear.
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE  Date: 06/12/2001

To: CID  Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit, Unit Chief  SSA

From: New York  Squad C-14 - Public Corruption
Contact: SA

Approved By: 
Drafted By: mj
Case ID #: SSA-NY-278417 (Pending)

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER U.S. PRESIDENT; MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND IS NOT TO BE VIEWED BY ANYONE OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

06/12/2001:

• Phone calls with __________________________ for subpoena compliance.
• Review of __________________________ and contact field offices re: status of interviews.
• Analysis of records obtained.
• Reschedule interviews with ________________ records for potential interviewees.
To: CID  From: New York
Re: 58A-NY-278417 (Pending), 06/12/2001

PROPOSED FUTURE ACTION:

_______ interview, scheduled for _______ may be rescheduled. Date and location to be determined, but expected to be within
_______ to be interviewed in _______ to testify in grand jury on
 _______ to testify in grand jury on _______ (tentative).
 _______ to testify in grand jury.
 _______ interview _______ rescheduled for end of week of
• Submission of motion
• Continue _______ Issue subpoenas for
• New witnesses to be subpoenaed before GJ:

(immunity to be determined).

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE Date: 06/13/2001

To: CID Attn: Integrity in Government/ Civil Rights Section, Public Corruption Unit.

Unit Chief

From: New York

Squad C-14 -- Public Corruption

Contact: SA

Approved By: 

Drafted By: mjmg

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

OO: NY

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AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

06/13/2001:

• Identified and Background checks conducted.
• Review of 302 and contact field offices re: status of interviews.
• Analysis of completed.

identified and background checks conducted.
Review of completed.
Analysis of records for potential interviewees.
To: CID  From: New York
Re: 58A-NY-278417 (Pending), 06/13/2001

PROPOSED FUTURE ACTION:

- Interview to be rescheduled. Date and location to be determined, but expected to be within
- to testify in grand jury on
- to testify in grand jury on (tentative).
- to be interviewed and to possibly testify in grand jury.
- interview rescheduled for end of week of
- to be interviewed and served with subpoena.
- Submission of motion
- Continue
- Issue subpoenas for
- New witnesses to be subpoenaed before GJ:

Investigation is ongoing in New York.

OTHER Sealed Pursuant to Court Order
Precedence: PRIORITY

Date: 06/12/2001

To: New York

Attn: White Collar Branch

Squad C-14

SA

SA

From:

Contact: SA

Approved By:

Drafted By:

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT

MARC RICH - FUGITIVE (B); ET AL;

CPF0 - EXECUTIVE BRANCH;

OO: NEW YORK

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: To cover lead set by New York.

Reference: 58A-NY-278417 Serial 142

Enclosure(s): One (1) original and one copy of a Federal Grand Jury subpoena for

Details: On May 30, 2001, New York Division requested that the Division serve a Federal Grand Jury subpoena on Per a conversation with SA has agreed to accept service of the subpoena. Therefore, service of the Federal Grand Jury to is not necessary. is returning the original subpoena to New York. This lead is considered to be covered.
LEAD(s):

Set Lead 1: (Adm)

NEW YORK

AT NEW YORK CITY

Lead covered. Read and Clear.
Uploaded
With/Text
Without Text
By
Date
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE Date: 06/14/2001

To: CID Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit Unit Chief SSA

From: New York Squad C-14 - Public Corruption Contact: SA

Approved By: __________ Drafted By: mj mjm

Case ID #: 88A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
00: NY

THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

- __________ testified in grand jury.
- __________ associates identified and background checks conducted. Proposed interview list compiled.
- __________ Analysis of records.
- __________ served with grand jury subpoena in Interview attempt met with negative results.
To: CID  From: New York
Re: 58A-NY-278417 (Pending), 06/14/2001

PROPOSED FUTURE ACTION:

- Interview scheduled for ____________________
- To testify in grand jury on ____________________
- To testify in grand jury on ____________________
- To testify in grand jury on ____________________ (tentative).
- To be interviewed in ____________________ to possibly testify in grand jury.
- Interview ____________________ rescheduled for end of week of ____________________
- To be interviewed and served with subpoena with ____________________

- Submission of motion ____________________
- Continue ____________________ Issue subpoenas for ____________________
- New witnesses to be subpoenaed before GJ: ____________________

(immunity to be determined).

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

• Background checks conducted for potential interviewees.

associates identified and background checks conducted. Proposed interview list compiled.

• Analysis of records. Compiled list of identified from subpoena. List of potential interviews prepared.

• Interview conducted in
To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/15/2001

PROPOSED FUTURE ACTION:

[ ] interview re-scheduled for
[ ] to testify in grand jury on
[ ] to be interviewed
[ ] to testify in grand jury on (tentative).
[ ] to possibly testify in grand jury.
[ ] to be interviewed and served with subpoena with

• Submission of motion
• Continue
• Issue subpoenas for
• New witnesses to be subpoenaed before GJ:

(immunity to be determined).

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
Precedence: ROUTINE

Date: 06/18/2001

To: CID
Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit,
Unit Chief
SSA

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By:

Drafted By: mj

Case ID #: V58A-NY-278417 (Pending)

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

- Interview conducted in

- Proposed associate interviews prepared.

- Preparation for grand jury. Review of

- Records. Compiled list of

- Proposed list of interviews prepared.

- Preparation for interview.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/18/2001

PROPOSED FUTURE ACTION:

- Interview re-scheduled for ______
- To testify in grand jury on ______
- To testify in grand jury on ______
- To be interviewed in ______
- To possibly testify in grand jury.
- To be interviewed and served with subpoena with ______
- Submission of motion ______
- Continue ______
- Issue subpoenas for ______
- New witnesses to be subpoenaed before GJ: ______
- (Immunity to be determined).

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE Date: 06/14/2001

To: New York Attn: SA

From: LI ______________________ Contact: SA

Approved By: ______________________ Drafted By: ______________________

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER U.S. PRESIDENT

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OC: NY

Synopsis: Covered lead to conduct interview and serve a subpoena on

Enclosure(s): FD-302 documenting service of subpoena.

Details: Pursuant to Serial 143, a lead to serve a Grand Jury
Subpoena issued from the United States District Court, Southern
District of New York on has been completed.

was served with the Subpoena on June 14, 2001 at
his residence located at

considers this lead covered.

**

[Signature]
Case ID: 58A-NY-278417 Serial: 162

Description of Document:

Type: FD302
Date: 
To: NEW YORK
From: 
Topic: INTERVIEW W/ SERVICE OF SUBPOENA

Reason for Permanent Charge-Out:
belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 142
Employee: 

Date: 06/29/01 Time: 07:46

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)
Case ID: 58A-NY-278417  Serial: 163

Description of Document:

Type: FD302
Date:
To:
From:
Topic: GRAND JURY SUBPOENA SERVED ON

Reason for Permanent Charge-Out:

belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302  Serial: 143

Employee:
Case ID: 58A-NY-278417   Serial: 164

Description of Document:

Type: FD302
Date:           b3
To:             b6
From:           b7C
Topic: INTERVIEW  b7D

Reason for Permanent Charge-Out:

belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302   Serial: 144

Employee:          b6
                    b7C
Case ID: 58A-NY-278417 Serial: 166

Description of Document:

Type: FD302
Date: 
To: NEW YORK
From: 
Topic: INTERVIEW OF 

Reason for Permanent Charge-Out:

belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 145

Employee: 

Date: 06/29/01 Time: 07:49
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE
Date: 06/21/2001

To: New York
Attn: SSA
Squad C-14

From: [Blank]
Contact: SA

Approved By: [Blank]
Drafted By: [Blank]

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OC: NY

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

THIS DOCUMENT IS SENSITIVE IN NATURE
AND SHOULD BE AFFORDED APPROPRIATE SECURITY

Synopsis: To provide results of interview of
and to return executed subpoena for appearance of
FGJ,

Reference: 58A-NY-278417 Serial 142

Enclosures: Original and one photocopy of FD-302 reflecting
interview of [Blank] 1A envelope containing interview
notes; Original executed subpoena for appearance of

Details: On [Blank] was contacted at his
residence at which time he declined to be interviewed.
was then served with Federal Grand Jury subpoena, requiring his
appearance on [Blank] Arrangements were then made to
interview

THIS DOCUMENT IS SENSITIVE IN NATURE
AND SHOULD BE AFFORDED APPROPRIATE SECURITY
Precedence: ROUTINE

To: CID

Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit.

Unit Chief

SSA

From: New York

Squad C-14 -- Public Corruption

Contact: SA

Approved By:

Drafted By: mjm

Case ID #: FBA-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFOO - EXECUTIVE BRANCH;

OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND IS NOT TO BE VIEWED BY ANYONE OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

- ________________ testified in grand jury.
- ________________ testified in grand jury.
- ________________ Analysis of records.
- ________________ Lead prepared for interview of
- ________________ Obtained subpoenas for associates to be interviewed.
To: CID  From: New York
Re: 58A-NY-278417 (Pending), 06/19/2001

PROPOSED FUTURE ACTION:

- interview re-scheduled for 
- to testify in grand jury on  
- to be interviewed in  on  
- to possibly 
- to be interviewed and served with subpoena.

- Approximately associates will be interviewed in the next  
- Submission of motion  
- Continue Issue subpoenas for  
- New witnesses to be subpoenaed before GJ:  

(immunity to be determined).

Investigation is ongoing in New York.

06/19/01.sts

OTHER Sealed Pursuant to Court Order
UPLOADED
WITH/TEXT
WITHOUT TEXT
BY
DATE
06/27/01

58A-NY-278417-169

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b7c
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE Date: 06/20/2001

To: CID Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief
SSA

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By: __________________
Drafted By: ____________________

Case ID #: SSA-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

- ________ interview conducted.
  associates

interviewed.

• Analysis of ________ records. Preparation for ________
grand jury.
• Background checks conducted for new interviewees.
• Case file documents scanned into ________

b6 b7c
PROPOSED FUTURE ACTION:

- to testify in grand jury on [ ] to possibly testify in grand jury.
- Approximately [ ] to be interviewed and served with subpoena.
- Associates of [ ] to be interviewed.
- Submission of motion [ ]
- Continue [ ] Issue subpoenas for [ ]
- New witnesses to be subpoenaed before GJ: [ ]

(immunity to be determined).

Investigation is ongoing in New York.
To:       Att.:                          Date: 06/22/2001

Precedence: ROUTINE                                      Public Corruption
From: New York
       Criminal Division, White Collar Branch, Squad C-14
       Contact: SA

Approved By:
Drafted By: nm
Case ID #:  58A-NY-278417 (Pending)

Title: UNSUB(S);
       WILLIAM J. CLINTON, FORMER U.S. PRESIDENT;
       MARC RICH - FUGITIVE (B), ET AL;
       CFPO-EXECUTIVE BRANCH
       NY

Synopsis: Request to interview and serve Federal
          Grand Jury Subpoenas to the below listed individuals.

Reference: Telephone call between SA and SA
           advising of pending lead. Copies of subpoenas, suggested
           questions and witness identifying information faxed to SA
           on 6/26/01.

Enclosures: For are the original, and one copy of
            each Grand Jury Subpoena, and suggested interview questions.

Details: For information of ongoing investigation
         has revealed that during social events attended by
         and held in the topic of presidential pardons for Marc
         Rich were discussed. Several individuals have
         been identified as associates of who were present at these events.

         is requested to interview the respective
         associates to ascertain their knowledge of the pardon
         discussions. Specifically statements made by
To: [Name]

From: New York

Re: 58A-NY-278417

b3
b6
b3C
b3D
To: [Redacted]  
From: New York  

RE: 58A-NY-278417

*

LEAD (s):

Set Lead 1:

[Redacted] is requested to interview and serve Federal Grand Jury Subpoena's to the following individuals:

[Redacted]
Precedence: PRIORITY
Date: 06/26/2001

To: 

Attn: Public Corruption Squad

From: New York
White Collar Crime/Squad C-14/Public Corruption
Contact: SA

Approved By: 

Drafted By: mjm

Case ID #: 8A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS TO BE AFFORDED APPROPRIATE SECURITY.

GRAND JURY MATERIAL - DISSEMINATE ONLY PURSUANT TO RULE 6(e)

Synopsis: To request interview be conducted of 

Enclosure(s): For one list of proposed interview questions.

Details: New York is conducting a sensitive public corruption investigation into controversial presidential pardons granted by former U.S. President WILLIAM J. CLINTON. Central to New York's investigation has been
To: [Redacted] From: New York
Re: 58A-NY-278417, 06/26/2001

Investigation has also revealed that... The enclosed list of proposed questions is submitted as a guide for the interviewer.

The following information was obtained from [Redacted]
To: [Blank]  From: New York
Re: 58A-NY-278417, 06/26/2001

LEAD(s):

Set Lead 1:

[Blank]

is requested to conduct logical investigation to locate and interview [Blank]
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

06/27/2001:

- Compiled list of associate interviews to be conducted.
- Background checks conducted for witnesses.
- Review of records.
To: CID  From: New York  
Re: 58A-NY-278417 (Pending), 06/27/2001

PROPOSED FUTURE ACTION:

- to testify in grand jury on to possibly testify in grand jury.
- Associates of (possibly) to be interviewed.
- Associates will be interviewed in the records to be interviewed.
- Consider subpoenaing records.
- Submission of motion

- New witnesses to be subpoenaed before GJ: (immunity to be determined).

Investigation is ongoing in New York.

062701.txt

OTHER Sealed Pursuant to Court Order
To: New York

Attn: SA
White Collar Branch
Public Corruption
Squad C-14

From: [Name]
Contact: SA

Approved By: [Name]
Drafted By: [Name]

Case ID #: FAA-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON-FORMER U.S. PRESIDENT;

MARC RICH-FUGITIVE (B); ET AL;
CFPO-EXECUTIVE BRANCH;
NY:

Synopsis: Results of the interview of regarding his knowledge of the presidential pardons granted by former President William J. Clinton and the details concerning

Administrative: Reference tallied on 06/08/01 to SA from SA regarding the details of the interview concerning

Enclosure(s): Enclosed for receiving New York field office: (1) one original and one copy of FD-302, dated regarding interview of; (2) one original and one copy of FD-302, dated regarding service of the Federal Grand Jury Subpoena on

Details: On Special Agent (SA) and SA, Division, interviewed regarding his knowledge of the presidential pardons granted by former President William J. Clinton and the details
The results of the interview are contained as enclosures in attached FD-302s.

This lead is considered covered and cleared.

**
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

To: Criminal Investigative
    Corruption/Civil Rights
    Public Corruption Unit
    New York

From: [Signature]

Contact: SA

Approved By: [Signature]

Drafted By: pjm

Case ID #: [Signature]

Title: BRIBERY OF PUBLIC OFFICIALS; INFORMATION CONCERNING PRESIDENTIAL PARDON AND COMMUTATION ALLEGATIONS

Synopsis: Information being forward regarding captioned matter.

Details: Attached for receiving Divisions are copies of PD-302's reflecting interviews of [Signature]

In summary, on [Date] interviewed [Name]

[Signature]

[Notes]

[Signature]
To: Criminal Investigative  From: 
Re:  04/30/2001

According to

This information is being forwarded for whatever action is deemed appropriate.
To: Criminal Investigative
Re: 04/30/2001

LEAD (s):
Set Lead 1:

ALL RECEIVING OFFICES

Read and clear.

++
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE                    Date: 06/21/2001

To: CID                      Attn: Integrity in Government/
                             Civil Rights Section, Public
                             Corruption Unit.

Unit Chief
SSA

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By: ____________
Drafted By: mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
       WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
       MARC RICH - FUGITIVE (B); ET AL;
       CFPO - EXECUTIVE BRANCH;
       CO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

06/21/2001:

• Analysis of __________________________ records. Obtained ________
• Preparation for ________________________ grand jury.
• Background checks conducted for new interviewees.
• Case file documents scanned into __________________________
• Conf. call with White Plains RA.
To: CID  From: New York  
Re: 58A-NY-278417 (Pending), 06/21/2001

PROPOSED FUTURE ACTION:

[ ] to testify in grand jury on [ ] to possibly testify in grand jury.  
[ ] to be interviewed and served with subpoena.  
[ ] Approximately [ ] associates will be interviewed in the next [ ] records) to be interviewed.  
[ ] Submission of motion [ ]  
[ ] Continue [ ] Issue subpoenas for [ ]  
[ ] New witnesses to be subpoenaed before GJ: [ ]  
[ ] (immunity to be determined).

Investigation is ongoing in New York.

062101.txt

OTHER Sealed Pursuant to Court Order
SSA NYO,

attached is a copy of the Pardon Office, DOJ request of FBIHQ for a records check of Rich and Green. This request is dated 01/20/2001. This appears to be the only communication between the FBI and DOJ or the White House on these applicants. There apparently was no request for the FBI to conduct any other type of investigation for these pardon applications. This info comes from Unit Chief Special Iniquity & Background Investigation Unit, FBIHQ Call him if you need more info.

Public Corruption Unit
Integrity in Government/Civil Rights Section
Criminal Investigation Division
NO IDENTIFIABLE RECORD IN THE NCIC INTERSTATE IDENTIFICATION INDEX (III) FOR NAM/RICH,MARC,DOB/19341218,SEX/M, RAC/U,SOC/496343075,FUR/C.

NOTICE -- A LARGE NUMBER OF RECORDS FOR PERSONS BORN PRIOR TO 1956 ARE NOT AUTOMATED AT THE FBI. IF A SEARCH OF THE NONAUTOMATED FILES IS DESIRED, A FINGERPRINT CARD SHOULD BE SUBMITTED.

MKE/WANTED PERSON
ORI/NYUSC4900 NAM/RICH,MARC SEX/M RAC/W POB/BG DOB/19341218
HGT/509 WGT/160 EYE/BRO HAI/BLK
MNU/PP-Z30450402 SOC/496343075
OFF/FRAUD
DOW/19830919 OCA/9212NY031
MIS/MAIL & WIRE FRAUD/ARMS TRADING/TAX EVASION/CUSTOMS WILL EXTR
24HRS CALL
MIS/407-975-1740/SDNY WN-83CR579/CN-NY06SR94NY0020
ORI IS US CUSTOMS SERV OFFICE OF ENF NEW YORK AKA
MNU/PI-182540351
NIC/W318412622 DTE/19830920 0000 EDT
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

MKE/WANTED PERSON
ORI/NYFBINY00 NAM/RICH,MARC SEX/M RAC/W POB/BG DOB/19341218
HGT/510 WGT/180 EYE/XXX HAI/XXX
SOC/496343075
OFF/FRAUD - BY WIRE
DOW/19830919 OCA/KFBC5851
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ORI IS FBI NEW YORK
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IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI
NO IDENTIFIABLE RECORD IN THE NCIC INTERSTATE IDENTIFICATION INDEX (III) FOR NAM/GREEN, PINCUS, DOB [ ], SEX/M, RAC/U, SOC [ ].

NOTICE -- A LARGE NUMBER OF RECORDS FOR PERSONS BORN PRIOR TO 1956 ARE NOT AUTOMATED AT THE FBI. IF A SEARCH OF THE NONAUTOMATED FILES IS DESIRED, A FINGERPRINT CARD SHOULD BE SUBMITTED.

END
To: SAC ROBERT J. CORDIER

From: White Collar Branch, Squad C-14, Public Corruption

Date: 04/20/2001

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 04/03/01 is being submitted late as it was required for extended review by the Case Agent.
Precedence: PRIORITY

Date: 06/21/2001

To: SAC Robert J. Cordier
Attn: Evidence Control Unit

From: SA
White Collar Branch, Squad C-14, Public Corruption
Contact: SA

Approved By: SA

Drafted By: b6 b7c

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO: NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 05/31/01 is being submitted late as it was required for extended review by the Case Agent.
Precedence: PRIORITY
Date: 06/21/2001

To: SAC Robert J. Cordier
Attn: Evidence Control Unit

From: SA
White Collar Branch, Squad C-14, Public Corruption
Contact: SA

Approved By: N
Drafted By: kjl

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 06/01/01 is being submitted late as it was required for extended review by the Case Agent.

UPLOAD WITH TEXT
WITHOUT TEXT
BY
DATE 7/3/01

(SEE 18141)
Precedence: PRIORITY

To: SAC Robert J. Cordier
Attn: Evidence Control Unit

From: SA
White Collar Branch, Squad C-14, Public Corruption
Contact: SA

Approved By: kzw

Drafted By: kzw

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 06/07/01 is being submitted late as it was required for extended review by the Case Agent.

**

UPLOADED WITH/OUT TEXT BY DATE 07/31/01

(See 18142)
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 06/21/2001

To: SAC Robert J. Cordier
Attn: Evidence Control Unit

From: SA White Collar Branch, Squad C-14, Public Corruption
Contact: SA

Approved By: 

Drafted By: kjw

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 06/11/01 is being submitted late as it was required for extended review by the Case Agent.

**
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE Date: 07/03/2001
To: New York Attn: Squad C-14 SA

From: Contact: SA

Approved By: Jad

Drafted By: Jad

Case ID #: USA-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON-FORMER U.S. PRESIDENT

MARC RICH-FUGITIVE (B)
CFPO-EXECUTIVE BRANCH;

Synopsis: Federal Grand Jury Subpoena for

Administrative: Information in this EC was provided telephonically to NY Case Agent 06/11/2001.

Enclosure(s): Executed copy of subpoena for

Details:

On 06/11/2001, that the Grand Jury appearance would be worked out between the U.S. Attorney's Office, Southern District of New York and
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

- [ ] interviews conducted.
- [ ] interview conducted
- Continuing arrangements made to interview associates.
- Continuing ACS research/background checks on associates and preparation for interviews.
- Continued preparation for grand jury including review of evidence flow chart.

This document is sensitive in nature and is not to be viewed by anyone other than for whom it is intended.
To: CID  From: New York
Re: 58A-NY-278417 (Pending), 07/02/2001

PROPOSED FUTURE ACTION:

- Approximately associates will be interviewed in the next records to be located and interviewed.
- Subpoena's for records to be prepared.
- Submission of motion.
- New witnesses to be subpoenaed before CJ: (immunity to be determined).
- Preparation for SDNY status meeting.

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
Precedence: PRIORITY
Date: 07/09/2001

To: SAC Robert J. Cordier  
Attn: Evidence Control Unit

From: SA
White Collar Branch, Squad C-14, Public Corruption
Contact: SA

Approved By: 
Drafted By: 

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 06/26/01 is being submitted late as it was required for extended review by the Case Agent.

**
Case ID: 58A-NY-278417 Serial: 186

Description of Document:

Type: FD302
Date: 07/20/01
To: NEW YORK
From:  
Topic: INTERVIEW W/  

Reason for Permanent Charge-Out:

belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 150

Employee:  

Date: 07/20/01 Time: 09:41
******* Permanent Charge-Out of Serials Summary *******
There are no leads for old Case ID 58A-NY-278417 with Serial 186 to be copie
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY
Date: 07/16/2001

To: New York
Attn: C-14 Public Corruption

From: [Redacted]
Contact: [Redacted]

Approved By: [Redacted]
Drafted By: [Redacted]

Case ID #: 58-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B), ET AL;
CFPO-EXECUTIVE BRANCH;
CO: NEW YORK

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: To report the results of interview with

Enclosure(s): One (1) original and one (1) copy of FD-302, dated
July 16, 2001 of interview with
including original notes;

One (1) original Federal Grand Jury subpoena
dated, June 22, 2001 for

Details: On [Redacted] was interviewed
telephonically from [Redacted]
Enclosed is the result of the interview. Also enclosed is the
original Grand Jury subpoena. This subpoena was not served as
was cooperative. Interviews with

[Redacted]
LEAD(s):

Set Lead 1: (Adm)

NEW YORK

AT NEW YORK, NEW YORK

To read and clear.

++
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/02/2001

To: CID

Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit.

From: New York

Squad C-14 -- Public Corruption

Contact: SA

Approved By: 

Drafted By: mjm

Case ID #: F8A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE

AND IS NOT TO BE VIEWED BY ANYONE

OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

07/02/2001:

• Review of records.

• Arrangements made to interview associates.

• ACS research/background checks on associates.

• Tel. call with White Plains RA on case status.

• Preparation of significant evidence flow chart.
PROPOSED FUTURE ACTION:

- Approximately ___ associates will be interviewed in the next ___ records to be interviewed.
- Consider subpoenaing ___ records.
- Submission of motion ___
- New witnesses to be subpoenaed before GM: ___ (immunity to be determined).

Investigation is ongoing in New York.
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE                      Date: 07/13/2001

To: CID                             Att.: Integrity in Government/
                                       Civil Rights Section, Public
                                       Corruption Unit.
                                       Unit Chief
                                       SSA

From: New York
      Squad C-14 -- Public Corruption
      Contact: SA

Approved By:                         Drafted By: mjm

Case ID #: VS8A-NY-278417 (Pending)

Title: UNSUB(S);
       WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
       MARC RICH - FUGITIVE (B); ET AL;
       CFPO - EXECUTIVE BRANCH;
       OP: NY

THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

07/13/2001:

• Continuing arrangements made to interview associates.
• Continuing ACS research/background checks on associates and preparation for interviews.
• Initiated background checks into MARC RICH companies and role in those companies.
• Grand Jury preparation for
• Conference with AUSA discussing immunity
PROPOSED FUTURE ACTION:

- Approximately [_________] associates will be interviewed in the next [____] to be located and interviewed.
- Grand jury subpoena to be issued to [_________]
- Submission of motion [_________]

Several judges to hear motion have been conflicted out delaying the hearing.

New witnesses to be subpoenaed before GJ: [______________] (immunity to be determined).

Investigation is ongoing in New York. b3
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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/16/2001

To: CID
Atttn: Integrity in Government/Civil Rights Section, Public Corruption Unit

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By: ____________________
Drafted By: _____________________: mjmmj

Case ID #: 88A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

- (associate) interview conducted.
- Continuing arrangements made to interview associates.
- Continuing ACS research/background checks on associates and preparation for interviews.
- Internet background checks for and MARC RICH FOUNDATION.
- Grand Jury preparation for Review grand jury testimony.
- Check status of outstanding subpoenas.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 07/16/2001

PROPOSED FUTURE ACTION:

- Additional ____________ to testify in grand jury on ____________
  interview scheduled for ____________
- Additional ____________ to be reviewed in ____________
- Approximately ____________ associates will be interviewed in the next ____________
- Associates of ____________ records) to be located and interviewed.
- Grand jury subpoena to be issued to ____________ records.
- Submission of motion
  Several judges to hear motion have been conflicted out delaying the hearing.
  New witnesses to be subpoenaed before GJ: ____________
  (immunity to be determined).

Investigation is ongoing in New York.

07/16/01

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OTHER Sealed Pursuant to Court Order
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

- [ ] (associate) interview conducted.
- [ ] testified before grand jury.
- [Tel call with] [ ] regarding possible interview of [ ]
- Continuing arrangements made to interview [ ] associates.
- Internet background checks for MARC RICH FOUNDATION and [ ]
- Preparation for [ ] interview.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 07/17/2001

PROPOSED FUTURE ACTION:

- Interview scheduled for [redacted] to be interviewed
- Additional [redacted] to be reviewed in [redacted]
- Approximately [redacted] associates will be interviewed in the next [redacted]
- Associates of [redacted] records to be located and interviewed.
- Grand jury subpoena to be issued to [redacted] records.
- Submission of motion [redacted] Several judges to hear motion have been conflicted out delaying the hearing.
- New witnesses to be subpoenaed before GJ: [redacted] (immunity to be determined).

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE Date: 07/18/2001

To: ___________________________ Att.: SSA

From: New York
Criminal Division, White Collar Branch, Squad C-14
Contact: SA

Approved By: ___________________________

Drafted By: ___________________________

Case ID #: 58A-NY-278417 (Pending) - 194

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT; ET AL;
CFPO-EXECUTIVE BRANCH
00:NY

Synopsis: Request authority to travel to _______ to
conduct a review of documents maintained at _______.

Details: For information of SA _______ and AUSA
______ in the Southern District of New York(SDNY) will travel
to _______ to conduct a review of
documents at _______. It is anticipated that this
review will be accomplished _______ and SA _______ and AUSA
______ will return to New York on _______. No assistance from
______ is required. SAC Robert J. Cordier, New York Criminal
Division, concurs with this travel.

JUL 2 2001
FBI—NEW YORK.
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE
To: Criminal Investigative
Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit, Unit Chief

Date: 07/26/2001

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By: 
Drafted By: 

Case ID #: SSA-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND IS NOT TO BE VIEWED BY ANYONE OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

- Reviewed documents and various records.
- Subpoenas served to identify MARC RICH associates.
- Review of records for subpoena compliance.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 07/26/2001

PROPOSED FUTURE ACTION:

- to be interviewed
- to be interviewed on
- Request permission to interview through
- Submission of motion

Several judges to hear motion have been conflicted out delaying the hearing. Motion may be heard during

- Additional witnesses to be subpoenaed before grand jury to include
to be interviewed.

Investigation is ongoing in New York.
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

07/27/2001:

- Background checks conducted to identify MARC RICH associates and companies.
- Review of records.
- Review of records for subpoena compliance.
- Preliminary review of original MARC RICH case file in ACS. Attempt to retrieve file from HQ.
- Initiate summary of investigation in New York.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 07/27/2001

PROPOSED FUTURE ACTION:

- to be interviewed
- to be interviewed
on
- Request permission to interview through
- Submission of motion

Several judges to hear motion have been conflicted out delaying the hearing.
- Additional witnesses to be subpoenaed before grand jury to include

- to be interviewed.

Investigation is ongoing in New York.

OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE
Date: 07/30/2001

To: Criminal Investigative
Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit, Unit Chief
SSA

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By: ____________________________

Drafted By: ____________________________
mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

- [ ] Interview conducted.
- [ ] Status/strategy meeting with case agents and AUSAs.
- [ ] Background checks on MARC RICH companies.
- [ ] Subpoenaed contacted for compliance.
- [ ] Contact with FBI HO (OGC) for return of original RICH case file.
- [ ] Analysis of
To: CID From: New York
Re: 58A-NY-278417 (Pending), 07/30/2001

PROPOSED FUTURE ACTION:

- to be interviewed
- Request permission to interview through
- Submission of motion
- Additional witnesses to be subpoenaed before grand jury to include
  to be interviewed. Immunity to be granted.
- Analysis of

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

To: Criminal Investigation

Date: 07/31/2001

Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit. Unit Chief SSA

From: New York Squad C-14 -- Public Corruption

Contact: SA

Approved By: 

Drafted By: mjm

Case ID #: 8A-NY-278417 (Pending)

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER U.S. PRESIDENT; MARC RICH - FUGITIVE (B); ET AL;

THIS DOCUMENT IS SENSITIVE IN NATURE AND IS NOT TO BE VIEWED BY ANYONE OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

07/30/2001:

- Served
- Review of
- Background checks on MARC RICH companies.
- Analysis of

b6 b7C b6 b7C b7D b7E
To: CID
From: New York
Re: 58A-NY-278417 (Pending), 07/31/2001

PROPOSED FUTURE ACTION:

- to be interviewed
- Attempt to obtain
- Request permission to interview through
- Submission of motion
- Additional witnesses to be subpoenaed before grand jury to include
  to be interviewed. Immunity to be granted.
- Analysis of

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE
Date: 07/25/2001

To: New York
From: New York
Squad C-14, Public Corruption
Contact: SA

Approved By: Cordier Robert J

Drafted By: Igpc (Pending)
Case ID #: 58A-NY-278417 (Pending)

Titles: UNSUB(S);
FOREIGN CORRUPT PRACTICES ACT
OO:NY

UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH
OO:NY

Synopsis: Request for authorization to travel on in connection with investigation of captioned matters.

Details:

Captioned matter relates to

Interview of in November 2000, subsequent investigation, and review of publicly available information established the following:

In 1997-98, several American investors formed to purchase privatization vouchers issued by the government of Azerbaijan, a former Soviet republic.

Investors participated with the expectation that enough vouchers to acquire Azerbaijan's most valuable state-owned asset: the state-owned oil company. 

resided in in 1997-98. He frequently discussed and at other social events ultimately secured multi-million dollar investments from several residents. Other participating investors were OMEGA ADVISORS, the $4 billion New York-based hedge fund (invested $180 million), New York-based insurance giant AIG ($15 million), Columbia University ($15 million), and

Azerbaijan never privatized its oil company, and the vouchers purchased have never been used to acquire any assets in that country's privatization.

In 1999 and 2000, OMEGA and AIG filed suit in London, the Bahamas, the British Virgin Islands, and the U.S. District Court in Denver, Colorado. OMEGA and AIG alleged that had been purchasing vouchers for under $1, fraudulently advising OMEGA and AIG that the going rate was $25, and keeping the difference -- $141 million -- for himself.

answer in those suits has been to allege that the $141 million difference was used to pay off Azeri officials with influence over privatization decisions (including the president), and that his fellow investors knew of and authorized those payments. On September 25, 2000, the U.S. District Court proceeding was stayed pending resolution of the London litigation.

Captioned matter features investigation of (1) possible violations of the mail and wire fraud statutes, consistent with above investors' allegations, and (2) possible violations of the Foreign Corrupt Practices Act, consistent with counter-allegations.

Investigation so far completed has included: thirty-two (32) interviews of prospective investors and/or residents with knowledge of relevant events; service of eleven (11) subpoenas; receipt and ongoing review of twelve (12) boxes of documents produced pursuant to subpoena.

Authorization to travel to is requested in connection with the following investigative activities:
To: New York  
From: New York  
Re:  

07/25/2001

- Interview of
- Interview of
- Interview of
- Interview of
- Interview of

Service of subpoena for document production on

Need for above travel by author agent to complete above investigation arises from factual complexity of captioned matter, broad factual variety presented by different topics of inquiry intended for above prospective interviewees, and need to examine documents received and reviewed by author agent with above interviewees.
To: New York
From: New York
Re: 07/25/2001

AUSA SDNY, concurs with need for above travel. RA, was notified of above investigative plans on 07/23/2001.

58A-NY-278417
Captioned matter 58A-NY-278417 relates to investigation in connection with pardon by former President WILLIAM J. CLINTON of MARC RICH.

Authorization for above travel is also requested in connection with interview of identified above as prospective interviewee in captioned matter.

During

The following are the costs associated with this travel:

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Air Fare (round trip)</td>
<td>$503.00</td>
</tr>
<tr>
<td>Lodging</td>
<td>439.00</td>
</tr>
<tr>
<td>M &amp; IE</td>
<td>247.00</td>
</tr>
<tr>
<td>Rental Car</td>
<td>291.41</td>
</tr>
<tr>
<td>Miscellaneous</td>
<td>100.00</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$1,580.41</td>
</tr>
</tbody>
</table>

[NOTE 1: Tentative plans for return travel on are based on the need to accommodate both (a) possible interviews, two of which have been confirmed for above time period but--at interviewees' request--cannot be specifically scheduled until further telephone conversations on and (b) four-hour rental car transit between a cheaper alternative (by $256) to the additional airfare otherwise required ($431 more in airfare, less $46 for additional day of car rental, $43 for additional day of M & IE, and $86 for additional night of hotel stay.

NOTE 2: Miscellaneous expenses of $100 represent (a) the excess of $21 between the least expensive currently available hotel room ($110 per night) and the]
Re:  

official $89 hotel allowance for each of three nights of hotel stay and (b) taxes on hotel charges.

**
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/02/2001:

- Reviewed [ ] for concurrence for interview
- Contacted [ ] for concurrence for interview
- Analysis of [ ] records.
- Preparation of immunity order paperwork on witnesses with AUSA.
- Review of [ ] records for compliance.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/02/2001

PROPOSED FUTURE ACTION:

- to be interviewed
- Attempt to obtain
- Request permission to interview through
- Submission of motion
- Additional witnesses to be subpoenaed before grand jury to include
- to be interviewed. Immunity to be granted.
- Interviews to be conducted:
- Analysis of

Investigation is ongoing in New York.

080201.xls

OTHER Sealed Pursuant to Court Order
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/06/2001:

- Contact made with associates for interviews.
- Analysis of records.
- Preparation of immunity order paperwork on witnesses with AUSA.
- Preparation of final analysis of records.
- Provide to AUSA.
- Background checks into MARC RICH companies.
PROPOSED FUTURE ACTION:

- Testify in grand jury on
- Attempt to obtain
- Request permission to interview through
- Submission of motion
- Additional witnesses to be subpoenaed before grand jury to include
- to be interviewed. Immunity to be granted.
- Interviews to be conducted:
- Analysis of persons

Investigation is ongoing in New York.
Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Case ID: 58A-NY-278417 Serial: 202

Description of Document:

Type : FD302
Date : 08/22/01
To : NEW YORK
From : ________________
Topic: INTERVIEW W/

Reason for Permanent Charge-Out:

belongs in another sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 162

Employee: ________________
******** Permanent Charge-Out of Serials Summary ********
There are no leads for old Case ID 58A-NY-278417 with Serial 202 to be copied
Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Case ID: 58A-NY-278417  Serial: 203

Description of Document:
Type : FD302
Date : LJI
To : NEW YORK
From : [Redacted]
Topic: INTERVIEW W/ [Redacted]

Reason for Permanent Charge-Out:
belongs in a different sub file

Transferred to:
Case ID: 58A-NY-278417-302  Serial: 163
Employee: [Redacted]

Date: 08/22/01  Time: 08:48
********* Permanent Charge-Out of Serials Summary *********
There are no leads for old Case ID 58A-NY-278417 with Serial 203 to be copied
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY Date: 08/07/2001

To: New York Attn: C-14, Public Corruption SA

From: [Blank] Contact: SA

Approved By: [Signature] Drafted By: [Signature]

Case ID #: 58-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B), ET AL;
CFPO-EXECUTIVE BRANCH;
CO: NEW YORK

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: To report the results of interviews with

Enclosure(s): One (1) original and one (1) copy of FD-302, dated July 31, 2001 of interview with
including original notes;

One (1) original and one (1) copy of FD-302, dated August 2, 2001 of interview with
including original notes;

One (1) original Federal Grand Jury subpoena dated, June 22, 2001 for

One (1) original Federal Grand Jury subpoena dated, June 22, 2001, for

Details: On [Blank] was interviewed at [Blank] was interviewed at the offices of Enclosed are the results of those
interviews. Also enclosed are the original Grand Jury subpoenas. These subpoenas were not served as the witnesses were cooperative. An interviews with [redacted] has been scheduled for
To: New York  From:          
Re: 58-NY-278417, 08/07/2001

LEAD(s):

Set Lead 1:  (Adm)

NEW YORK

AT NEW YORK, NEW YORK

To read and clear.
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

To: CID
Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit, Unit Chief SSA

Date: 08/07/2001

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By: 

Drafted By: mjm

Case ID #: FSA-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND IS NOT TO BE VIEWED BY ANYONE OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

Analysis of [redacted] records.
Telephone interview of [redacted] records.
Background checks into MARC RICH companies.
To: CID  From: New York
Re:  58A-NY-278417 (Pending), 08/07/2001

PROPOSED FUTURE ACTION:

- Testify in grand jury on
- Interviewed under grant of immunity on
- Attempt to obtain
- Request permission to interview through
- Submission of motion

- Additional witnesses to be subpoenaed before grand jury to include
- To be interviewed. Immunity to be granted.
- Interviews to be conducted:
- Analysis of persons

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE  Date: 08/08/2001

To: CID  Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief
SSA

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By:

Drafted By: mjm

Case ID #: 8A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

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OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

08/08/2001:

• Prepare telephone subpoena request for

• Background searches for

• Background checks into MARC RICH companies.

• Review of records for references.

• Contact with for future interview.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/08/2001

PROPOSED FUTURE ACTION:

- Testify in grand jury on ______________ to
- to testify in grand jury on ______________ to be
- interviewed under grant of immunity on ______________ Possibly will
testify in grand jury on ______________
- Attempt to obtain ______________
- Request permission to interview ______________ through ______________
- Submission of motion ______________
- Additional witnesses to be subpoenaed before grand jury to include ______________ to
be interviewed. Immunity to be granted.
- Interviews to be conducted: ______________
- Analysis of persons ______________

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/06/2001:

- Contact made with associates for interviews.
- Analysis of records.
- Preparation of immunity order paperwork on witnesses with AUSA.
- Preparation of final analysis of records.
- Provide to AUSA.
- Background checks into MARC RICH companies.
- Attempts to locate and interview (contacts) met with negative results.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/06/2001

PROPOSED FUTURE ACTION:

- Testify in grand jury on [ ]
- Attempt to obtain [ ]
- Request permission to interview [ ] through [ ]
- Submission of motion [ ]
- Additional witnesses to be subpoenaed before grand jury to include [ ] to be interviewed. Immunity to be granted.
- Interviews to be conducted: [ ]
- Analysis of persons [ ]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/09/2001

To: CID

Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit.

Unit Chief

SSA

From: New York

Squad C-14 -- Public Corruption

Contact: SA

Approved By: 

Drafted By: mjm

Case ID #: SSA-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

OF: NY

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Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

- Testified in grand jury.
- Subpoenaed records received.
- Review of subpoenaed records conducted.

...
To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/09/2001

PROPOSED FUTURE ACTION:

- to testify in grand jury on to be interviewed under grant of immunity on Possibly will testify in grand jury on to be received late in
- Request permission to interview through
- Submission of motion
- Additional witnesses to be subpoenaed before grand jury to include to be interviewed Immunity to be granted.
- Interviews to be conducted:
- Analysis of persons

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/13/2001

To: CID

Attn: Integrity in Government/Civil Rights

Precedence: ROUTINE

Date: 08/13/2001

To: CID

Attn: Integrity in Government/Civil Rights

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

OF: NY

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OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

08/13/2001:

• Prepared for interview/grand jury examination,

• Background checks for

• Review of subpoenaed records.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/13/2001

PROPOSED FUTURE ACTION:

- to testify in grand jury on [ ]
- to be interviewed under grant of immunity on [ ]
- Possibly will testify in grand jury on [ ]
- to be received late in [ ]
- Request permission to interview [ ] through [ ]
- Submission of motion [ ]
- Additional witnesses to be subpoenaed before grand jury to include [ ]
- to be interviewed [ ]
- Immunity to be granted.
- Interviews to be conducted: [ ]
- Analysis of persons [ ]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

- Testified in grand jury. Testimony completed.
- Interviewed and testified in grand jury on
- Meeting with AUSA re: records.
- Review of items received from
- Review of subpoenaed
PROPOSED FUTURE ACTION:

- to be received late in

- Request permission to interview through

- Submission of motion

- Additional witnesses to be subpoenaed before grand jury to include

- be interviewed

- Immunity to be granted.

- Interviews to be conducted:

- Analysis of persons

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/21/2001

To: CID

Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit, Unit Chief

From: New York

Squad C-14 -- Public Corruption

Contact: SA

Approved By:

Drafted By: mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S):

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;
OF: NY

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AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/21/2001:

• Reviewed original MARC RICH investigative case file. Contacted case agent SA [redacted] re: original investigation.
• Prepared updated list of outstanding interviews to be conducted.
• Review of subpoenaed [redacted]
To: CID  From: New York
Re: 58A-NY-278417 (Pending), 08/21/2001

PROPOSED FUTURE ACTION:

- to be re-subpoenaed for additional records.
- [ ] to be received late in [ ]
- Request permission to interview [ ] through [ ]
- [ ] Submission of motion
- [ ] Additional witnesses to be subpoenaed before grand jury to include [ ] to be interviewed [ ] Immunity to be granted.
- [ ] Interviews to be conducted:

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
To: CID
Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit

From: New York
Squad C-14 -- Public Corruption
Contact: SA
Approved By:
Drafted By: mj

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/17/2001:
• Meeting with AUSA re: records. Conference call with Broader subpoenas to be issued.
• Review of items received from
• Review of subpoenaed

PROPOSED FUTURE ACTION:
To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/16/2001

- Request permission to interview
- Submission of motion
- Additional witnesses to be subpoenaed before grand jury to include
- Interviews to be conducted:
- Analysis of persons

Investigation is ongoing in New York.

OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE Date: 08/27/2001

To: CID Attn: Integrity in Government/ Civil Rights Section, Public Corruption Unit, Unit Chief

From: New York Squad C-14 -- Public Corruption Contact: SA

Approved By: 
Drafted By: mjm

Case ID #: SA-NY-278417 (Pending)

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER U.S. PRESIDENT; MARC RICH - FUGITIVE (B); ET AL; CFPO - EXECUTIVE BRANCH; OF: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND IS NOT TO BE VIEWED BY ANYONE OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/27/2001:

• Briefed AUSA re: status of pending assignments.
• Tel call. with FBI HQ re: status of outstanding lead.
• Updated list of subpoenaed prepared and discussed with AUSA.
• Review of original MARC RICH case file for corporate information. completed.
To: CID From: * York
Re: 58A-NY-278417 (Pending), 08/27/2001

PROPOSED FUTURE ACTION:

- to be re-subpoenaed for additional records.
- Request permission to interview through
- Submission of motion

- Additional witnesses to be subpoenaed before grand jury to include
  to be interviewed
- Immunity to be granted
- Interviews to be conducted:

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
To: [Redacted]  
Att.: SSA [Redacted]

From: New York  
Criminal Division, White Collar Branch, Squad C-14

Contact: [Redacted]

Approved By: [Redacted]

Drafted By: [Redacted]

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO-EXECUTIVE BRANCH  
O
tive

Synopsis: Request authority of SA to travel to [Redacted] to conduct interview in ongoing investigation.

Reference: Telcall with SA on 8/31/01 to advise of interview.

Administrative: A review of ACS revealed a hit on [Redacted] was contacted on 8/30/01, and advised that there was no conflict in conducting aforementioned interview.

Details: For information of ongoing investigation has revealed that from [Redacted] requests authority to interview in order to determine the [Redacted] are expected to conduct the interview on [Redacted]. No assistance is required from [Redacted].

UPLOADED WITH TEXT
WITH/OUT TEXT
BY [Redacted]
DATE 08/28/01
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE Date: 08/28/2001

To: CID Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief
SSA

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By: _______________________

Drafted By: _______________________
mjm

Case ID #: 56A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON – FORMER U.S. PRESIDENT;

MARC RICH – FUGITIVE (B); ET AL;
CFPO – EXECUTIVE BRANCH;
OF: NY

THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/28/2001:

•
•Updated list of subpoenaed
prepared and discussed with AUSA.
•Continued conversations with Division re: interview to be conducted (also relating to case).
•Review of MARC RICH corporate information. Assigned to analyst.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/28/2001

PROPOSED FUTURE ACTION:

- to be subpoenaed before the grand jury.
- to be re-subpoenaed for additional records.
- Request permission to interview through
- Submission of motion
- Additional witnesses to be subpoenaed before grand jury to include
- be interviewed
- Immunity to be granted.
- Interviews to be conducted:

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE  Date: 08/29/2001

To: CID  Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit.

From: New York
       Squad C-14 -- Public Corruption
       Contact: SA

Approved By: ________________
Drafted By: mjm

Case ID #: 56A-NY-278417 (Pending)

Title: UNSUB(S);
       WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
       MARC RICH - FUGITIVE (B); ET AL;
       CFPO - EXECUTIVE BRANCH;
       OF: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND IS NOT TO BE VIEWED BY ANYONE OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/29/2001:

Updated list of subpoenaed prepared.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/29/2001

PROPOSED FUTURE ACTION:

- to be interviewed and subpoenaed before the grand jury.
- to be re-subpoenaed for additional records.
- Request permission to interview through
- Submission of motion
- Additional witnesses to be subpoenaed before grand jury to include
to
- be interviewed
- Immunity to be granted.
- Interviews to be conducted:

Investigation is ongoing in New York.
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/30/2001

To: CID

Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit,
Unit Chief

From: New York

Squad C-14 -- Public Corruption

Contact: SA

Approved By: ________________________________

Drafted By: ________________________________ mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

OF: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND IS NOT TO BE VIEWED BY ANYONE OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/30/2001:

- Set lead to _____ for interview/service of subpoena to _______.
- Updated list of subpoenaed_______ prepared. Subpoenas to be issued to _______.
- Contact with _______ Division re: possible overlap in investigations.
- Contact HQ re: status of national security lead.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/30/2001

PROPOSED FUTURE ACTION:

- to be re-subpoenaed for additional records.
- Request permission to interview through
- Submission of motion
- Additional witnesses to be subpoenaed before grand jury to include
- to be interviewed
- Immunity to be granted.
- Interviews to be conducted:

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY Date: 08/30/2001

To: Public Corruption Squad
Attn: Public Corruption Squad

From: New York
White Collar Crime/Squad C-14/Public Corruption
Contact: SA

Approved By: ____________________________
Drafted By: ____________________________

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
NY: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND SHOULD BE AFFORDED APPROPRIATE SECURITY.

Synopsis: To request interview be conducted and service of subpoena.

Enclosures: For  (1) One original and one copy of Federal grand jury subpoena issued to (2) one list of proposed interview questions.

Details: New York is conducting a sensitive public corruption investigation in conjunction with Presidential pardons issued by former U.S. President WILLIAM J. CLINTON. A Federal Grand Jury subpoena returnable on 08/11/2001 has been issued in the Southern District of New York for social security number residing at

is requested to conduct an interview of and then serve a copy of the enclosed subpoena. The enclosed list of proposed questions is submitted as a guide for the interviewer.
To: [Redacted] From: New York
Re: 58A-NY-275417, 08/30/2001

LEAD (s):

Set Lead 1:

AT [Redacted] is requested to conduct logical investigation to locate and interview [Redacted] Upon completion of the interview, [Redacted] is requested to personally serve a copy of the enclosed subpoena on [Redacted] complete return, and return original subpoena to New York. The most current address available for [Redacted]

[Redacted]
[Redacted]
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE
Date: 08/31/2001

To: CID
Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit, Unit Chief SSA

From: New York
Squad C-14 -- Public Corruption
Contact: SA

Approved By:
Drafted By: mjm

Case ID #: 88A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND IS NOT TO BE VIEWED BY ANYONE OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/31/2001:

• Tel. call to [redacted] re: [redacted] interview lead. [redacted]

• Updated list of subpoenaed prepared. Analysis of [redacted]

• Obtain subpoenas for additional records and interview of [redacted] associates. [redacted]

• Subpoena issued to [redacted] for additional records.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/31/2001

PROPOSED FUTURE ACTION:

• Interviews during week of associates in New York,
• Request permission to interview through
• Submission of motion
• Additional witnesses to be subpoenaed before grand jury to include
be interviewed
• Interviews to be conducted:

Immunity to be granted.

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
Precedence: ROUTINE

To: New York

Attn: Squad C-14,
Public Corruption

From: [Redacted]

Contact: SA

Approved By: [Redacted]

Drafted By: [Redacted]

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT
MARC RICH - FUGITIVE (B); ET AL;
CFPO- EXECUTIVE BRANCH;
O0: NY

Synopsis: Interview of [Redacted] completed.

Reference: 58A-NY-278417 Serial 171

Enclosure(s): Enclosed for New York is the original and one copy
of an FD-302 reflecting the interview of [Redacted] and an FD-340
containing interview notes.

Details: [Redacted] was interviewed on [Redacted]

As the interview requested in referenced serial has
been completed, [Redacted] considers the lead covered.
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

09/04/2001:

• Prepare for financial presentation to AUSA.
• Prepare for interviews of
• Tel. call with [ ] re: [ ] interview.
• Subpoenas obtained for additional [ ] records.
To: CID       From: New York
Re: 58A-NY-278417 (Pending), 09/04/2001

PROPOSED FUTURE ACTION:

- Request permission to interview through

- Submission of motion

- Additional witnesses to be subpoenaed before grand jury to include
  to be interviewed
  Immunity to be granted.

Interviews to be conducted:

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

- Interview of WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
- Interview of MARC RICH - FUGITIVE (B); ET AL;
- CFPO - EXECUTIVE BRANCH;
- OF: NY

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- Interview of [Blank] conducted.
- Interview of [Blank] conducted, Subpoena served.
- Tel call. with Legat [Blank]
- Served additional [Blank] subpoenas.
- [Blank] served with subpoena for [Blank] records.
- Background searches conducted for MARC RICH companies/foundation.
To: CID From: New York
Re: 58A-NY-278417 (Pending), 09/05/2001

PROPOSED FUTURE ACTION:

- Meet with SDNY to discuss financial analysis.
- Request permission to interview [redacted] through [redacted]
- Submission of motion [redacted]
- Additional witnesses to be subpoenaed before grand jury to include [redacted] to be interviewed [redacted] Immunity to be granted.
- Interviews to be conducted [redacted]

Investigation is ongoing in New York.

090501.txt
" OTHER Sealed Pursuant to Court Order"
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY                          Date: 09/07/2001
To:                                               

From: New York
Squad C-14 Public Corruption
Contact: SA

Approved By:                                        
Drafted By:                                       

Case ID #: 58A-NY-278417

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S.
PRESIDENT; ET AL;
CFPO-EXECUTIVE BRANCH
CO:NY

Synopsis: Request authority to travel to (RA) to conduct interview of an FBI cooperating witness (CW) currently

Reference: Telephone calls with SA RA, on 9/7/01, to request permission to interview CW, and to review status of information provided.

Details: For information of SA's will travel to to interview CW relative to information s/he has provided in regards to the pardon of Marc Rich by former President William J. Clinton. Due to the sensitivity of the information, and the exigent circumstances surrounding the release of the information to the press, New York requests authority to conduct the aforementioned interview.

SAC Robert J. Cordier, New York, concurs for the need of SA's to travel to

**
Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

09/06/2001:

• Tel. contact with [redacted] regarding Bureau source reports and contacts.
• Review of documents received from [redacted]
• Review of [redacted] records received re:
To: CID From: New York
Re: 58A-NY-278417 (Pending), 09/06/2001

PROPOSED FUTURE ACTION:

• Meet with SDNY to discuss financial analysis.
• Request permission to interview through ____________
• Submission of motion ____________
• Additional witnesses to be subpoenaed before grand jury to include ____________
• Interviews to be conducted: ____________

Immunity to be granted.

Investigation is ongoing in New York.

090601.sts

b3
b6
b7C
b7D
OTHER Sealed Pursuant to Court Order
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE  Date: 09/07/2001
To: Criminal Investigative  Attn: Integrity in Government/
          Civil Rights Section, Public
          Corruption Unit,
          Unit Chief
          SSA

From: New York  
          Squad C-14 -- Public Corruption
          Contact: SA

Approved By:  

Drafted By: mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
          WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;  
          MARC RICH - FUGITIVE (B); ET AL;
          CFPO - EXECUTIVE BRANCH;
          OF: NY

THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

09/07/2001:

• Tel. contact with and HQ regarding Bureau
source reports and contacts.
• Make travel arrangements for trip to
• Review of documents received from
• Review of records received re:
To: CID From: New York
Re: 58A-NY-278417 (Pending), 09/07/2001

PROPOSED FUTURE ACTION:

• Interview ______ source on ______ to be conducted.
• Meet with SDNY to discuss financial analysis.
• Request permission to interview ______ through ______.
• Submission of motion ______
• Additional witnesses to be subpoenaed before grand jury to include ________ to be interviewed ______ Immunity to be granted.
• Interviews to be conducted ______

Investigation is ongoing in New York.

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b3
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b7d
OTHER Sealed Pursuant to Court Order
Page 58 - b3; b6; b7C;
Page 59 - b3;
Page 60 - b3; b6; b7C;
Page 117 - Duplicate;
Page 119 - Duplicate;
Page 121 - Duplicate;
Page 128 - b6; b7C; b7E;
Page 130 - b6; b7C; b7E;
Page 132 - b6; b7C; b7E;
Page 134 - b6; b7C; b7E;
Page 150 - Duplicate;
Page 151 - Duplicate;
Page 155 - Duplicate;
Page 156 - Duplicate;
Page 157 - Duplicate;
Page 158 - Duplicate;
Page 159 - Duplicate;
Page 160 - Duplicate;

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X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
Precidence: ROUTINE

To: New York

From:

Attn: SA
SA
SA

Contact: SA

Approved By:

Drafted By:

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S), WILLIAM J. CLINTON - FORMER PRESIDENT, ET AL; CFPO - EXECUTIVE BRANCH; CC: NY

Synopsis: Forwarding of information received by Special Agent (SA) Federal Bureau of Investigation (FBI), to New York and

Enclosure(s): Enclosed for New York and are the following:

1.

2. A copy of a letter from

3. A copy of a letter from

4.

Details: For information of NY and SA

b6 b7c b7d
To: New York  From: 
Re: 58A-NY-278417, 11/01/2001

It was previously explained

The first time that the FBI was aware of any information about Marc Rich was when FBI and SA

Enclosed with was a copy of a letter which sent to As New York is aware, has full knowledge that

On

A third letter, dated from and turned over to SA in the letter,
With regard to the operational use of all of the information about the alleged corrupt activities of [redacted], has been forwarded to the Department of Justice (DOJ) Office of Inspector General (OIG). The information which [redacted] regarding the alleged ongoing criminal activities of [redacted] will be forwarded to New York’s Organized Crime Section at a later date.

It should be noted that,
LEAD(s):

Set Lead 1: (Adm)

NEW YORK

AT NEW YORK, NY

FYI. Read and clear.

Set Lead 2: (Adm)

FYI. Read and clear.

305dcc03.ec

++
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE
Date: 12/19/2001

To: New York

From: New York
White Collar Crime/Squad C-14 - Public Corruption
Contact: SA

Approved By: Cordier Robert J

Drafted By: mjm

Case ID #: 8A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OC: NY

Synopsis: To request authority to travel to for investigative interview of

Details: Authority is requested to be obtained for SA Squad C-14, to travel to to participate in an investigative interview of

will be interviewed for information related to the investigation of President WILLIAM J. CLINTON’s last minute pardons of MARC RICH and PINCUS GREEN.

Due to the sensitive nature of this investigation and the position of it is requested that SA be authorized to personally conduct this interview. A telephonic interview of is in appropriate under these circumstances. The U.S. Attorney's Office, SDNY, has requested that SA travel with AUSA to conduct the interview.

Arrangements have been made by the U.S. Attorney's Office, SDNY, to interview attorney's office,
To: New York  From: New York
Re: 58A-NY-278417, 12/19/2001

The interview is expected to not exceed two hours. SA will return to New York immediately after the interview.

Also expected to be in attendance during the interview are agents from the White Plains RA and a second AUSA who will question on a similar pardon investigation.

The round trip flight to will cost $87.00 and additional taxi/parking costs are also expected to be incurred. SA and AUSA are tentatively planning on taking a 7:00 am U.S. Air flight to

...
Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Case ID: 58A-NY-278417  Serial: 230

Description of Document:

- Type: EC
- Date: 12/27/01
- To: NEW YORK
- From: NEW YORK
- Topic: REQUEST AUTHORITY FOR PAYMENT OF CHASE CREDIT CARD

Reason for permanent Charge-Out:

- filed in error

Employee: [Redacted]
******** Permanent Charge-Out of Serials Summary ********
There are no leads for 58A-NY-278417 with Serial 230 to be discontinued
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 01/15/2002

To: New York

Attn: C-14 Rotor

From: New York

Squad C-14

Contact: SA

Approved By:

Drafted By: mj

Case ID #: B8A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

CO: NY

Synopsis: To request restriction of access to captioned investigation.

Reference: serial 176

Details: Per EC dated 12/21/2001 from CID to all field offices, 58 matters may now be restricted in ACS to those having a role in the investigation. As such, it is requested that the above captioned investigation be restricted to the following individuals:

ADIC Barry Mawn

SAC Robert J. Cordier, Criminal Division

A/ASAC WCC

SSA Squad C-14

SA C-14

SA C-14

C-14 Rotor

Unit Chief FBI HQ, Public Corruption Unit

SSA FBI HQ, Public Corruption Unit

access restricted
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE                                      Date: 01/15/2002

To: New York                                               Attn: A/ASAC

From: New York                                             
       White Collar Crime/Squad C-14 - Public Corruption
       Contact: SA

Approved By:                                               

Drafted By:                                               mjm   b6  b7c

Case ID #: 58A-NY-278417' (Pending)

Title: UNSUB(S);                                         
       WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;  
       MARC RICH - FUGITIVE (B); ET AL; 
       CFPO - EXECUTIVE BRANCH; 
       OO: NY

Synopsis: To request Intelligence Research Specialist (IRS) be assigned on a full-time/as needed basis to captioned investigation.

Details: Captioned investigation was initiated to investigate possible corruption into controversial Presidential pardons granted by former President WILLIAM CLINTON on his final day in office. To date, many have been subpoenaed and a significant amount of has been undertaken by IRS analysts. Since 09/11/2001, however, both IRS analysts have been reassigned to the PENTTBOMB investigation.

A large amount of and uploading utilizing newly purchased software will be required in the upcoming months. A major portion of this investigation involves the precise analysis of It is requested that an IRS be assigned to this investigation to assist case agents with all analysis.
FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE
Date: 01/11/2002

To: 

Attn: 
Public Corruption

From: New York
Squad C-14, Public Corruption

Contact: SA

Approved By: 

Drafted By: 

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER
UNITED STATES PRESIDENT, ET AL;
CFPO-EXECUTIVE BRANCH
CO:NY

Synopsis: Request to locate and interview in captioned matter.

Enclosures: For are the originals and one copy each of Federal Grand Jury subpoenas, Southern District of New York, for the personal appearances of


According to Marc Rich, was arranged by Marc Rich. is requested to interview regarding

Although subpoenas have been enclosed, are to be served only in the event that they are not cooperative or do not want to be interviewed. Furthermore, the interviewing Agents may determine that an interview of is not necessary based on statements.
To: __________________ From: New York
Re: 58A-NY-278417 (Pending)

LEAD (s):

Set Lead 1:

Locate and interview

believed to be residing at

may have a and has

a post office box of:

**
Synopsis: To request approval for travel to_______ for review and collection of records

Details: On ______ has scheduled a meeting at their ______ office for the FBI and the U.S. Attorney's Office (SDNY) to review and collect a large volume of records relating to the above captioned pardon investigation. The records were obtained from ______ and are being made available for investigators to review prior to copies being made for presentation to the grand jury.

Due to the sensitive nature of this investigation, it is requested that SA_______ be permitted to travel to ______ and conduct the review of these documents. In addition, AUSA_______ SDNY, has requested that SA_______ travel to ______ with him on[_______] to review the large volume of materials. The review and collection of documents is expected to not exceed more than one business day.

Authority is requested for SA_______ to travel to ______ on the morning of ______. SA_______ is expected to return to the NYO on the evening of ______. The
cost of this travel, including airfare, parking, and taxi service, is not expected to exceed $150.00.
Precedence: ROUTINE

To: Criminal Investigative

Attn: Integrity in Government/Civil Rights Section, Public Corruption Unit

SSA

Attn: ADIC Barry Mawn

SAC Robert J. Cordier

New York

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA

Approved By: 

Drafted By: mjm

Case ID #: SSA-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND SHOULD BE AFFORDED APPROPRIATE SECURITY. IT SHOULD ONLY BE VIEWED BY ONLY THOSE FOR WHOM IT IS INTENDED.

Synopsis: To provide weekly status report of captioned investigation.

Details: In an effort to provide the Public Corruption Unit (PCU) and NYO management with an updated status report on the captioned investigation, New York provides the following current information:

WEEK OF JANUARY 28, 2002

Records and files reviewed records reviewed and analyzed.
To: Criminal Investigative  From: New York
Re: 58A-NY-278417, 02/01/2002

• Review of in preparation for interviews to be conducted in preparation for travel to for interview.
• Review of

PROPOSED FUTURE ACTION:

• Privileged records to be received beginning 02/04/2002. MARC RICH attorneys anticipated to be re-interviewed.
• Schedule interviews for: List of additional interviews prepared.
• Additional for and
• Travel to during week of to conduct interviews of witnesses.

Investigation is ongoing in New York.

02/01/02 cc


FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

To: Legat Berlin
   Sacramento
   Tahoe City RA

Attn: SA

From: New York
   Squad C-14 Public Corruption
   Contact: SA

Approved By: ______________________________________

Drafted By: ______________________________________

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
   WILLIAM J. CLINTON, FORMER U.S.
   PRESIDENT, ET AL;
   CFO-O-EXECUTIVE BRANCH
   CO: NY

Synopsis: Request Legat Berlin, Germany, to conduct investigation into possible suicide of FBI fugitive Glen Box.

Reference: Telephone call with __________ on 2/27/02.

Details: For information of Legat Berlin, Glen Box (Glen) is a fugitive from FBI case 196D-SC-19906. Glen came into contact with investigators in the above captioned matter in March 2001, when he contacted the United States Attorney's Office, Southern District of New York (SDNY), and attempted to provide false information regarding the pardon of Marc Rich. Through Glen's conversations with New York, it became clear that Glen had emotional and substance abuse problems. Agents from Sacramento also advised New York of those issues. In their subsequent conversations with Glen, New York attempted to convince him to return to the U.S. in order to get the necessary help. As part of that process, _______ was contacted and interviewed. _______ has continued to keep New York updated as to her conversations with Glen and his status as a fugitive.

On 2/27/02, _______ stated that she had been advised by that Glen had committed suicide during the weekend of 2/23 or 2/24/02. _______ told _______ that she was contacted by _______ from the Swiss/American Embassy, telephone number _______ who told her that Glen had committed suicide by jumping in front of a train in Darmstadt,
To: Legat Berlin
From: New York
Re: 58A-NY-278417 (pending)

Germany. [ ] told [ ] that the Swiss/American embassy was notified by [ ] of the U.S. Embassy in Frankfurt, Germany. [ ] told [ ] that Glen's body was being held at the Waldfriedhof Funeral Home, telephone number 00496151897790, in Waldfriedhof, Germany. [ ] also told [ ] that the "authorities" had positively identified Glen through his passport which was on him, as well as his wedding ring, and that due to the poor condition of the body, no further identification would be needed.
To: Legat Berlin  From: New York  
Re: 58A-NY-278417 (pending)  

LEAD(s):  
Set Lead 1:  

LEGAT BERLIN, GERMANY  

AT DARMSTADT, GERMANY  

Legat Berlin is request to conduct logical investigation into the purported suicide of fugitive Glen Box, in order to positively confirm Box's death. Box is described as follows (at the time of his arrest in 1994):  

Sex:  Male  
DOB:  6/16/51  
Hair:  Brown  
Eyes:  Blue  
Weight:  220 lbs  

Set Lead 2: (Adm)  

SACRAMENTO  

AT TAHOE CITY RESIDENT AGENCY  

For information of SA Read and Clear.  

b6  
b7c  

***
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY        Date: 01/23/2002

To: SAC Robert J. Cordier      Attn: Evidence Control Unit

From: SA_____________________
      Contact: SA_____________________

Approved By: ________________________
Drafted By: ________________________

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
       MARC RICH - FUGITIVE (B); ET AL;
       CFPO - EXECUTIVE BRANCH;
       OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 04/26/01 is being submitted on
01/24/02 as it was required for extended review by the Case
Agents and Assistant United States Attorneys.

***
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY                      Date: 01/23/2002
To: SAC Robert J. Cordier Attn: Evidence Control Unit
From: SA
      White Collar Branch Squad C-14 Public Corruption
      Contact: SA
Approved By: 
Drafted By: kjaw
Case ID #: 58A-NY-278417 (Pending)
Title: UNSUB(S);
       WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
       MARC RICE - FUGITIVE (B); ET AL;
       CFPO - EXECUTIVE BRANCH;
       CO: NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 10/17/01 is being submitted on 01/24/02 as it was required for extended review by the Case Agents and Assistant United States Attorneys. During this time several of the agents working on this case were reassigned to work additional matters in the New York office.
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY
Date: 01/23/2002

To: SAC Robert J. Cordier Attn: Evidence Control Unit

From: SA
White Collar Branch, Squad C-14, Public Corruption
Contact: SA

Approved By: 
Drafted By: kjb

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
O0:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 10/15/01 is being submitted on 01/24/02 as it was required for extended review by the Case Agents and Assistant United States Attorneys. During this time several of the agents working on this case were reassigned to work additional matters in the New York office.

**
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY                                      Date: 01/23/2002

To:  SAC Robert J. Cordier                             Attn:  Evidence Control Unit

From:  SA White Collar Branch, Squad C-14, Public Corruption

Contact:  SA

Approved By:  

Drafted By:  kfw

Case ID #:  58A-NY-278417  (Pending)

Title:  UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT; 
MARC RICH - FUGITIVE (S); ET AL; 
CFPO - EXECUTIVE BRANCH; 
OC: NY

Synopsis:  Late submission of evidence.

Details:  Evidence collected on 10/09/01 is being submitted on 01/24/02 as it was required for extended review by the Case Agents and Assistant United States Attorneys. During this time several of the agents working on this case were reassigned to work additional matters in the New York office.

††
WITH TEXT

BY

DATE

3/14/02

(see 13155)
Precedence: PRIORITY

To: SAC Robert J. Cordier

Attn: Evidence Control Unit

From: SAl

White Collar Branch, Squad C-14, Public Corruption

Contact: SA

Approved By: [Signature]

Drafted By: [Signature]

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 11/15/01 is being submitted on

01/24/02 as it was required for extended review by the Case

Agents and Assistant United States Attorneys. During this time

several of the agents working on this case were reassigned to

work additional matters in the New York office.

**
Precedence: ROUTINE

To: New York

Attn: Squad C-14, Public Corruption

From:

Contact: SA

Approved By:

Drafted By: F5s

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER
UNITED STATES PRESIDENT, ET AL;
CFPO - EXECUTIVE BRANCH
CO: NY

Synopsis: To provide New York with results of attempts to locate and interview and

Reference: 58A-NY-278417 Serial 233

Enclosure(s): One (1) original and one (1) copy of a Federal Grand Jury subpoena for and one (1) original and one (1) copy of a Federal Grand Jury subpoena for

Details: has made attempts to locate and interview and One of the addresses provided for and

is Attempts to contact have been unsuccessful

Investigation also identified another possible address of

contact was made with a resident at that location. It was discovered that

On SA was contacted by telephone

indicated that He stated that

this lead to be covered.
To: New York  From: [Blank]
Re: 58A-NY-278417, 03/5/2002

LEAD(s):

Set Lead 1:

NEW YORK

AT NEW YORK CITY

Read and Clear.

★★
Dear Special Agent [Redacted]

The Federal Bureau of Investigation (FBI) is currently conducting a high priority, sensitive, criminal investigation involving potential violations of Federal Bribery and Conflicts of Interest.

It is respectfully requested that [Redacted] of which more detailed information is enclosed:

These individuals are not believed to be transporting narcotics or other contraband.

It is requested that Special Agents [Redacted] or pager number [Redacted] or [Redacted] or pager number [Redacted] be contacted and informed of the facts.
Please direct any questions or requests for additional information to either SA[_________] or SA[_________]

Supervisory Spec. Agent

b6
b7c
Precedence: PRIORITY  
Date: 03/01/2002

To: A/SAC  
Attn: Evidence Control Unit

From: SA
White Collar Branch, Squad C-14, Public Corruption  
Contact: SA

Approved By:  
Drafted By: kjw

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 01/30/02 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys.
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY
Date: 03/01/2002

To: A/SAC
Attn: Evidence Control Unit

From: SA
White Collar Branch, Squad C-14, Public Corruption
Contact: SA

Approved By:
Drafted By:

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
       MARC RICH - FUGITIVE (B); ET AL;
       CFPO - EXECUTIVE BRANCH;
       OO: NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 01/24/02 is being submitted on
03/01/02 as it was required for extended review by the Case
Agents, Financial Analysts, and Assistant United States
Attorneys.

**

(see 18 170)
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY
Date: 03/01/2002

To: A/SAC
Attn: Evidence Control Unit

From: SA
White Collar Branch, Squad C-14, Public Corruption
Contact: SA

Approved By: 
Drafted By: kjw

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 02/10/02 is being submitted on
03/01/02 as it was required for extended review by the Case
Agents, Financial Analysts, and Assistant United States
Attorneys.

**

58A-NY-278417

(see 18 17)
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY Date: 03/01/2002

To: A/SAC [Redacted] Attn: Evidence Control Unit

From: SA [Redacted] White Collar Branch, Squad C-14, Public Corruption
Contact: SA [Redacted]

Approved By: [Redacted] Drafted By: [Redacted]

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
        MARC RICH - FUGITIVE (B); ET AL;
        CFPO - EXECUTIVE BRANCH;
        CO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 01/10/02 is being submitted on
03/01/02 as it was required for extended review by the Case
Agents, Financial Analysts, and Assistant United States
Attorneys.

**
Precedence: PRIORITY  Date: 03/01/2002

To: A/SAC  Attn: Evidence Control Unit

From: SA  
White Collar Branch, Squad C-14, Public Corruption
Contact: SA

Approved By: 
Drafted By: cjw(b6)

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO: NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 07/09/01 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys. During this time several of the agents working on this case were reassigned to work additional matters in the New York office.

**
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 03/01/2002

To: A/SAC

Attn: Evidence Control Unit

From: SA White Collar Branch, Squad C-14, Public Corruption

Contact: SA

Approved By:

Drafted By:

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 09/04/01 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys. Additionally, information was uploaded into for analysis.

+++
Precedence: PRIORITY
Date: 03/01/2002

To: A/SAC
Attn: Evidence Control Unit

From: SA
White Collar Branch, Squad C-14, Public Corruption
Contact: SA

Approved By: 
Drafted By: 

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO: NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 10/09/01 is being submitted on
03/01/02 as it was required for extended review by the Case
Agents, Financial Analysts, and Assistant United States
Attorneys. Additionally, information was uploaded into
for analysis.

++

late 117. wdp
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 03/01/2002

To: A/SAC

Attn: Evidence Control Unit

From: SA

White Collar Branch, Squad C-14, Public Corruption

Contact: SA

Approved By:

Drafted By: kjw[kn]

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

OO: NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 10/11/01 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys. Additionally, information was uploaded into [ ] for analysis.

**
Federal Bureau of Investigation

Precedence: PRIORITY

Date: 03/01/2002

To: A/SAC

Attn: Evidence Control Unit

From: SA White Collar Branch, Squad C-14, Public Corruption

Contact: SA

Approved By: [Signature]

Drafted By: [Signature]

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

CO: NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 10/15/01 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys. Additionally, information was uploaded into ______ for analysis.

**
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY
Date: 03/01/2002

To: A/SAC
Attn: Evidence Control Unit

From: SA
White Collar Branch, Squad C-14, Public Corruption
Contact: SA

Approved By: 
Drafted By: cjw

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 10/18/01 is being submitted on
03/01/02 as it was required for extended review by the Case
Agents, Financial Analysts, and Assistant United States
Attorneys. Additionally, information was uploaded into
for analysis.

late122.wpd
Precedence: PRIORITY

Date: 03/01/2002

To: A/SAC

Attn: Evidence Control Unit

From: SA

White Collar Branch, Squad C-14, Public Corruption

Contact: SA

Approved By: 

Drafted By: jw

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

CO: NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 10/25/01 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys. Additionally, information was uploaded into for analysis.
Precedence: PRIORITY

To: A/SAC

From: SA

Date: 03/01/2002

Attn: Evidence Control Unit

White Collar Branch, Squad C-14 Public Corruption

Contact: SA

Approved By:

Drafted By: kjk

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

CO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 12/10/01 is being submitted on

03/01/02 as it was required for extended review by the Case

Agents, Financial Analysts, and Assistant United States

Attorneys. Additionally, information was uploaded into

for analysis.

***

late 124 wpd
Precedence: PRIORITY
Date: 03/01/2002

To: A/SAC
Attn: Evidence Control Unit

From: SA
White Collar Branch, Squad C-14, Public Corruption
Contact: SA

Approved By: 
Drafted By: Xjw

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO: NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 01/18/02 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys. Additionally, information was uploaded into for analysis.
FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY
To: 

From: New York
White Collar Crime/Squad C-14 - Public Corruption
Contact: SA

Approved By: 

Drafted By: 
mjm

Case ID #: B8A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
CO: NY

Synopsis: To request interview be conducted of

Enclosure(s): Enclosed for RA is one photograph.

Details: New York is conducting a sensitive public corruption investigation concerning controversial Presidential pardons granted by former U.S. President WILLIAM CLINTON, specifically pardons granted to MARC RICH and PINCUS GREEN. is a major Democratic party contributor and

Investigation has revealed that between approximately through and travelled to . Also present in during a portion of this time period was during that time period.

On or about
To: I I
From: New York
Re: 58A-NY-278417, 03/25/2002

full name and identity was recently found during interviews conducted in New York.
To: [Blank]  From: New York  
Re: 58A-NY-278417, 03/25/2002

New York anticipates will return to RA is requested to immediately conduct logical investigation to locate and interview Since New York is uncertain on how long it is requested that this lead be afforded priority status.

New York is interested in the following information: (1) 

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3
LEAD(s):

Set Lead 1:

RA, is requested to conduct logical investigation to locate and interview
P 251549Z MAY 94

FM DIRECTOR FBI

TO LEGAL ATTACHE BERN PRIORITY 588-95

LEGAL ATTACHE BONN PRIORITY

RT

UNCLASS SECTION 1 OF 5

MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET AL; RICO; FBW; MF; TAX

EVASION; TRADING WITH THE ENEMY, CO: NY.

NEW YORK ADVISED BY TELETYPE DATED 5/24/84 AS FOLLOWS:

RENYTELS TO BUREAU, DATED MAY 8, 1984, AND MAY 18, 1974, AND

NEW YORK SUPV. TELECALLS TO FBIAA SUPV. MAY 22, 1984, MAY


THIS COMMUNICATION IS CLASSIFIED "SECRET" IN ITS ENTIRETY.

THE PURPOSE OF THIS COMMUNICATION IS TO PROVIDE BUREAU AND

RECEIVING OFFICERS WITH A SUMMARY OF DEVELOPMENTS IN CAPTIONED

MATTER. IN ADDITION, AUTHORITY IS BEING REQUESTED FOR ADDITIONAL

NECESSARY NEW YORK (NY) SPECIAL AGENT (SA)

AND NEW HAVEN SA

18SD-54-68

MAY 8, 1984

6-10
P 251549Z MAY 84
FM DIRECTOR FBI
TO LEGAL ATTACHE BERN PRIORITY 589R-28
LEGAL ATTACHE BONN PRIORITY
BT
UNCLAS SECTION 2 OF 5
MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET-AL; RICO; FBW; MF; TAX
EVASION; TRADING WITH THE ENEMY, CO: NY.

DURING PERIOD OF
P 251549Z MAY 84
FM DIRECTOR FBI
TO LEGAL ATTACHÉ FERN PRIORITY 590-95
LEGAL ATTACHÉ BONN PRIORITY
ST
UNCLASS SECTION 3 OF 5
MARC RICH-FUGITIVE; PINCHUS GREEN-FUGITIVE; ET AL; RICO; FBM; MF; TAX
EVASION; TRADING WITH THE ENEMY, CO: NY.

LEGAL ATTACHÉ, BONN, WAS TELEPHONICALLY PROVIDED WITH THIS INFORMATION
ON MAY 23, 1984.
THE FOLLOWING IS A SUMMARY OF AREAS DISCUSSED.
P 251549Z MAY 84
FM DIRECTOR FBI
TO LEGAL ATTACHE ROME PRIORITY 592-05
LEGAL ATTACHE ROME PRIORITY
BT
UNCLASS SECTION 4 OF 5
MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET AL; RICO; FRW; MF; TAX
EVASION; TRADING WITH THE ENEMY, CO: NY.

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b6
b7C
b7D
b7E
P 251549Z MAY 84

FM DIRECTOR FBI

TO LEGAL ATTACHE BERN PRIORITY 593-95

LEGAL ATTACHE BONN PRIORITY

BT

UNCLAS SECTION 5 OF 5

MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; FT AL; RICO; FB; MF; TAX EVASION; TRADING WITH THE ENEMY, OO: NY.

DURING ALL

UPON

REQUEST OF THE BUREAU
In view of the above, bureau is requested to

as previously requested and

In regard to sa, bureau is requested to

as he will be in physical danger if his identity is divulged.

C by G S, DECS, CARR.

BT

#0009
P 091056Z JUN 84
EM DIRECTOR FBI
TO LEGAL ATTACHE BERN PRIORITY 649-09
LEGAL ATTACHE RONN PRIORITY

STORPT

MARC RICH - FUGITIVE; PINCHUS GREEN - FUGITIVE; ET AL.: RICO; FBH; MF;
TAX EVASION; TRADING WITH THE ENEMY: CO: NEW YORK

NEW YORK OFFICE (1964-1744) ADVISED BY TELTYPE JUNE 9, 1984, AS
FOLLOWS:

THIS TELTYPE IS CLASSIFIED "STORPT" IN ITS ENTIRETY.

RENYTEL, DATED MAY 24, 1984: NYTELCALES TO LEGAT RONN, DATED
JUNE 7, 1984.

FOR INFORMATION OF BUREAU, LEGAT RONN AND NEW HAVEN, A

SOURCE HAS

REFERENCES TELTYPE NOTED
PAGE THREE OF HO 4338 8 0 4 0 8 T

ALSO DURING THIS

FOR INFORMATION OF RECEIVING OFFICES, THIS
IN REFERENCED NEW YORK TELEPHONE CALLS TO LEGAT, BONN, NEW YORK

was advised that

Based on above, it is probable that either

also, in either event, it will be

necessary for

LEGAT BONN IS REQUESTED TO MAKE PRELIMINARY CONTACTS WITH

DISCUSSED IN REFERENCED TELEPHONE CALLS.

[ ] SOURCE IS [ ] SOURCE'S IDENTITY MUST

BE PROTECTED AS SOURCE WILL BE IN PHYSICAL DANGER IF HIS IDENTITY IS

DIVULGED.

-- C BY C-J, RECL: 04RR.

RT

#203R
La Suisse refuse l'extradition

Berne: ATS

L'Office fédéral de la police (OFP), au Département fédéral de justice et police (DFJP), refuse de donner suite à la demande des États-Unis portant sur l'extradition de Marc Rich et de Pinkus Green. C'est ce que l'OFP a communiqué à l'ambassade des États-Unis à Berne. En même temps, la Suisse a transmis une note diplomatique aux autorités américaines par l'intermédiaire de l'ambassade de Suisse à Washington. Elle y maintient la réponse que contenait sa note du 13 juillet 1984 au sujet de l'affaire Marc Rich, dont la société est domiciliée à Zoug, et qui est impliquée dans des affaires fiscales aux États-Unis.

Motifs pas valables

L'OFP explique qu'il ne lui est pas possible d'agréer à la demande des autorités américaines du 14 août 1984 parce que les faits sur lesquels celle-ci repose constituent, selon le droit suisse, des infractions aux prescriptions en matière monétaire et commerciale ou en ce qui touche certaines mesures relevant de la politique économique, ou encore des délits fiscaux. Or la Convention du 14 mai 1900 régissant l'extradition entre la Suisse et les États-Unis d'Amérique n'inclut pas ces motifs.

Dans la note reçue aujourd'hui à Washington, le Conseil fédéral insiste sur la réponse déjà formulée le 13 juillet dernier. La Suisse s'était alors déclarée prête, en principe, à donner suite à une demande d'entraide judiciaire pour autant que les États-Unis s'engagent expressement à renoncer à des sanctions portant effet sur le territoire helvétique et à défendre également ce point de vue devant le juge compétent. Le Conseil fédéral voit dans l'avis alors exprimé, suivant lequel des sanctions extraterritoriales et des prestations d'entraide judiciaire s'excluent réciproquement, une question de principe susceptible d'avoir des incidences sur les relations des deux États en matière d'entraide judiciaire. Il demande par conséquent aux autorités américaines de faire connaître leur position face à ce problème.
9 1233327 OCT 94

FROM DIRECTOR FBI

TO LEGAL ATTACHE RONN PRIORITY

LEGAL ATTACHE RONN PRIORITY

FROM

SECRET SECTION ONE OF TWO

MARC RICH - FUGITIVE; DYNCH GREEN - FUGITIVE; ET AL; FROM: "F; RICO;
INCOME TAX EVASION; TRADING WITH THE ENEMY; GO: NEW YORK

BY TELETYPE DATED 10/11/94 FBI NEW YORK ADVISED:

THIS COMMUNICATION IS CLASSIFIED "SECRET" IN ITS ENTIRETY.

REFERENCE NEW YORK (NY) TELETYPES TO DIRECTOR, FEBRUARY 3,
11, 1994, CAPTURED ABOVE, AND MY TELEPHONE CALLS TO LEGAT, RONN AND
THE PURPOSE OF THIS TELETYPE IS TO UPDATE RECEIVING OFFICES ON
RECENT DEVELOPMENTS IN CAPTIONED MATTER. IN ADDITION, THIS TELETYPE
IS BEING SUBMITTED AS A FOUNDATION FOR

BY SAS AND

REFERENCE TELETYPES, DATED FEBRUARY 3, 1994 AND FEBRUARY 23,
1994, PROVIDE DETAILS OF A

REFERENCE BY TELETYPE, DATED MAY 24, 1994, DOCUMENTS
THIS TELETYPewriter SETS FORTH THE NECESSITY FOR [ ] S.A.S.

REFERRED TO BY TELETYPES, DATED JULY 31, 1984 AND SEPTEMBER 11, 1984, PROVIDE INFORMATION REFERENCE [ ]

FOR INFORMATION OF RECEIVING OFFICES, A SENSITIVE AND RELIABLE SOURCE HAS [ ]

BASED ON INFORMATION FROM THIS SOURCE AND INVESTIGATION BY MY AGENTS, THE FOLLOWING DEVELOPMENTS HAVE BEEN UNCOVERED.

ON OCTOBER 3, 1984 [ ]
FBI DIRECTOR FBI

TO LEGAL ATTACHÉ DOWM PRIORITY

LEGAL ATTACHÉ DOWN PRIORITY

FBI

FBI the two of two

MARK RICH - FUGITIVE; PINCUS GREEN - FUGITIVE; ET AL. FRAUD; RF; RECO;
INCOME TAX EVASION; TRADING WITH THE ENEMY; NO: NEW YORK

ON OCTOBER 5, 1994, SOURCE ADVISED
IN REGARD TO __________________________, SOURCE ADVISED __________________________

SPIKE AND LEGAT, FORN VIA SEPARATE TELTYPE.

DURING ____________________________________________________________
IN REGARD TO PROSECUTION OF PICH AND GREEN IN THE US, ON
OCTOBER 5, 1994, USA [REDACTED] THE SDNY'S
PREVIOUS PROSECUTION POSITION CONCERNING THE PICH CASE. HE
REQUESTED THE FBI CONTINUE ITS EFFORTS
THE SDNY FOR TRIAL
ON CHARGES HANDED DOWN, IN THE AUGUST, 1993, INDICTMENT.

ADMINISTRATIVE

[ ] SOURCE IS [REDACTED] SOURCE'S IDENTITY MUST BE PROTECTED
AS HE AND HIS FAMILY WILL BE IN PHYSICAL DANGER IF HIS IDENTITY IS
DISCLOSED.

[REDACTED]

#00087
The text is legible but contains placeholders for classified information. The text starts with:

"O 130122Z OCT 84
FM DIRECTOR FRI TO FBI NEW YORK (SFC 39) IMMEDIATE FBI LOS ANGELES (6549013) (INFO) PRIORITY LEGAL ATTACHE BONN LEGAL ATTACHE BERN BT SECRET"

Following this, the text is redacted, indicating it is classified. The redacted part of the text reads:

"THIS COMMUNICATION IS CLASSIFIED "SECRET" IN ITS ENTIRETY. RE NYTEL DATED OCTOBER 5, 1984 (NO COPY TO LA OR BERN); NYTEL ON OCTOBER 11, 1984, PURSUANT TO NYO REQUEST IN REMYTEL, DATED OCTOBER 5, 1984, ON OCTOBER 11, 1984,"

The document also contains a handwritten note or stamp at the bottom right corner, which appears to be a date "OCT 15 1984 FBI - BERN".
ON OCTOBER 12, 1984, THE ATTORNEY GENERAL (AG) AUTHORIZED

AS STATED ABOVE.

PRIOR TO THE

NYO OBTAIN FROM

A

LEGAL ATTACHE

RONN, FRG.

FOR INFORMATION OF LEGAT, BERN, THE FOLLOWING IS FURNISHED:
RICH AND GREEN WERE INDICTED BY THE SOUTHERN DISTRICT OF NEW YORK ON A 51-COUNT INDICTMENT FOR RACKETEERING, RACKETEERING CONSPIRACY, MAIL FRAUD, WIRE FRAUD, INCOME TAX EVASION, AND TRADING WITH THE ENEMY. TO DATE, THE ALSO SCHEDULED TO THE FIRST
LEGAT, BERN IS NOT REQUESTED TO FURNISH ANY SUPPORT TO THIS SCENARIO.

LEGAT, BONN IS REQUESTED TO ADVISE AND ASSIST SSA AS NECESSARY.

NYO FURNISH RESULTS IN DISSEMINABLE FORMAT. NYO SHOULD INCLUDE HYPO COPIES OF ALL COMMUNICATIONS IN THIS CASE FOR LOS ANGELES.

C 5896 R OAPA

BR#0001
RE: OCT 16 1984

ATTN: INTO, CI-1 SECTION.

THIS COMMUNICATION IS CLASSIFIED "SECRET" IN ITS ENTIRETY.


183 D-54-90

FBI - BERN
REGARDING CAPTIONED MATTER.

ON OCTOBER 12, 1984, SA ADVISED THAT THE LEGAT, BONN HAS NO BASIC DISAGREEMENT WITH THE SA'S AS SET FORTH BY FBIHO AND NYO. HOWEVER, NOW THAT THE POSSIBLE
SECRET, Bonn has received no information that

If the source is
I

ALSO, IF AFTER

LEGAT, BONN IS

JACOB, LEGAT BONN WILL FOLLOW THIS MATTER WITH

LEADS-

NEW YORK DIVISION, AT NEW YORK;

(1) WILL EXPEDITIOUSLY FORWARD DESCRIPTIVE INFORMATION REGARDING (I.E. HEIGHT, WEIGHT, ETC.)
(2) WILL REPORT ANY INFORMATION RECEIVED FROM SOURCE REGARDING

0-AY-9938, BELL: 0809.
SECRET

THIS COMMUNICATION IS CLASSIFIED "SECRET" IN ITS ENTIRETY.

BY TELETYPE DATED OCTOBER 15, 1984, FBI NEW YORK PROVIDED THE FOLLOWING:

"REFERENCE NEW YORK TELETYPE, DATED OCTOBER 5, 1984; AND BUREAU TELETYPE, DATED OCTOBER 13, 1984." NO COPIES OF PREVIOUS.
COMMUNICATIONS TO LEGAT, LONDON.

THE REFERENCED NEW YORK TELETYPING SET FORTH A
WHICH COULD RESULT IN NEW YORK IS PROVIDING
RECEIVING OFFICES WITH ADDITIONAL INFORMATION RELATED TO THIS

WARNING: INFORMATION FROM IS OF A SINGULAR NATURE
AND DISCLOSURE COULD REVEAL SOURCE'S IDENTITY. SOURCE IS A HIGH
LEVEL INFORMANT, WHOSE IDENTITY, IF REVEALED, WILL POSITIVELY LEAD TO PHYSICAL DANGER
TO THE SOURCE AND SOURCE'S FAMILY.

(ASSET) WAS CONTACTED ON OCTOBER 12, 1984. THE
ASSET ADVISED THAT
H ow e v e r, A L S O D E T E R M I N E D T H A T

O U T C O M E O F T H E

T H E R E F E R E N C E D B U R E A U T E L E T Y P E
OFFICE SPECIAL AGENTS (SA)

AND FIBTHO SSA

BASED ON THIS AUTHORIZATION THE FOLLOWING ITINERARY IS PROVIDED:

IT IS REQUESTED THAT ON OCTOBER 16, 1984, SSA [ ] TRAVEL FROM FIBTHO TO NEW YORK FOR BRIEFING ON THE LATEST DEVELOPMENT ON THIS MATTER, TO ATTEND A CONFERENCE WITH ASSISTANT UNITED STATES ATTORNEY [ ] AND TO ASSIST IN THE DEBRIEFING OF [ ]

ON [ ] ALL THREE AGENTS WILL [ ]

ON [ ] THE AGENTS WILL [ ]
FOR INFORMATION OF LEGAT LONDON, THE AGENTS ARE

THE FOLLOWING IS A REQUEST TO LEGAT BONN.

1. LEGAT BONN IS REQUESTED TO

2. LEGAT BONN ADVISED THAT THE ASSET

DURING THE TIME PERIOD THAT THE ASSET WAS ASSIGNED TO THE NYG,

THEREFORE, NY HAS INSTRUCTED THE ASSET TO

LASTLY, LEGAT BONN IS REQUESTED TO IMMEDIATELY NOTIFY NY BY THE MOST EXPEDITIOUS MEANS, OF ANY SUGGESTIONS OR RECOMMENDATIONS CONCERNING THEIR

ovy 3, DECLARATION

#0159
SECRET

MARC RICH - FUGITIVE; PINCHO GREEN - FUGITIVE; ET AL; RICO: INCOME TAX EVASION; TRADING WITH THE ENEMY, COIVY

BY TELTYPE DATED 10/15/84 FBI NEW YORK ADVISOR:

THIS COMMUNICATION IS CLASSIFIED "SECRET" IN ITS ENTIRETY.

REFERENCE NEW YORK TEL TO DIRECTOR, DATED OCTOBER 15, 1984.

FOR INFORMATION OF THE BUREAU AND RECEIVING OFFICES, REFERENCED TEL PROVIDED DETAILS OF

DURING OCTOBER 13, 1984, A SENSITIVE AND

RELIABLE SOURCE

183D-54-90

OCT 15 1984

DLE - 0204
PAGE THREE OF AO 2215 4-10 P.M.

RECEIVING OFFICES WILL BE KEPT APRISED OF DEVELOPMENTS BY TELEPHONE AND TELETYPewriter.

ADMINISTRATIVE

SOURCE IS REDACTED

SOURCE'S IDENTITY MUST BE PROTECTED AS SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER IF SOURCE'S IDENTITY IS DISCLOSED.

BY G. J. DEFLODE.

PT

#2215
P 1980/187 OCT 94

From: Director FBI

To: Legal Attaché Bern Routing 1971-17

Legal Attaché Rome Routing

PT

SECRET SECTION ONE OF TWO

Marc Rich-fictional; O'Brien phony-fictional; et al: FBI; Ns: RICO;
income tax evasion; trading with the enemy; Ns: New York

By Teletype dated 12/17/94 FBI New York Adviser:

This communication is classified "SECRET" in its entirety.

Reference New York teletypes, dated October 11 and 19, 1984:

Telephone calls between Legat, Rome, and New York, October 10, 15, 16, 1984; telephone calls between Bureau and New York, October 11, 15, 16, 1984; telephone calls to New Haven, Special Agent

October 10 and 15, 1984, and Bureau teletype, dated October 13, 1984,-captioned

Referenced teletypes and telephone calls concerned

183 D-54-93

183 D-54-93

OCT 1 1984
THE PURPOSE OF THIS TELTYPE IS TO UPDATE RECEIVING OFFICES REGARDING RECENT DEVELOPMENTS IN CARTONED MATTER.
Source said the

Source advised that

Administrative

Source mentioned above is    Source's identity must

at

#30036
FROM DIRECTOR FBI
TO LEGAL ATTACHE BERN ROUTINE 1970-71
LEGAL ATTACHE BERN ROUTINE
RT

A A A A A A A A A A A A
MARCO PICH-FUGITIVE; BINGO GREEN-FUGITIVE; ET AL; FBI; WC; PICT;
INCOME TAX FRAUD; TRADING WITH THE ENEMY; NO; NEW YORK
BE PROTECTED AS SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER IF
SOURCE'S IDENTITY IS DISCLOSED.

FOR INFORMATION OF RECEIVING OFFICER, FEDERAL BUREAU OF
INVESTIGATION HEADQUARTERS (FBIHQ) ADVISED BY SECURE TELEPHONE AND
BY OCTOBER 15, 1964, TELETYPE, DETAILED AND
OF POSSIBILITY
THAT SOURCE'S
FBIHQ ADVISED
THAT FORMER SPECIAL AGENT (SA) LOS ANGELES (LA)
DIVISION,

IT WAS NOTED BY HEADQUARTERS AND LA THAT
AGENT
However, if taken in total, the communications might compromise source's identity because of the singular nature of the information provided.

Source of [Redacted] source and advised willing to continue assisting the Federal Bureau of Investigation (FBI).
The above is provided for information of receiving offices and
for particular information of Washington Field Office (WFO) or
alternate source case agent.

Bureau and receiving offices will be kept apprised of
developments by telephone and teletype.

By order,

RT

#7397
O 200158Z OCT 84
FM DIRECTOR FBI
TO LEGAL ATTACHE BERN IMMEDIATE 1078-19
LEGAL ATTACHE RONN IMMEDIATE
LEGAL ATTACHE LONDON IMMEDIATE
ST

SECRET

SUBJECT:

THIS COMMUNICATION IS CLASSIFIED "SECRET" IN ITS ENTIRETY.

ON 10-19-84: NY ADVISED:

REFERENCE NEW YORK TELETYPE TO THE DIRECTOR, DATED OCTOBER 16,
1984.

THE FOLLOWING INFORMATION IS BEING PROVIDED TO APPRISE
RECEIVING OFFICES OF THE LATEST DEVELOPMENTS IN THE CAPTIONED
MATTER.

WARNING: INFORMATION FROM        IS OF A SINGULAR NATURE
AND DISCLOSURE COULD REVEAL SOURCE'S IDENTITY. SOURCE IS A HIGH LEVEL INFORMANT, WHOSE IDENTITY, IF REVEALED, WILL POSITIVELY LEAD TO PHYSICAL DANGER TO THE SOURCE AND SOURCE'S FAMILY.
AS THE BUREAU IS AWARE, THE DEPARTMENT OF JUSTICE HAS EXPERIENCED DIFFICULTY IN...

BASED ON THE ABOVE INFORMATION, THE NEW YORK OFFICE ANTICIPATES THAT THE SPECIAL AGENTS WHO HAVE RECEIVED...

THE BUREAU AND LEGAT WILL BE PROVIDED WITH...
DEPARTMENT OF JUSTICE
FBI

SECRET

DATE: 10-22-84

FROM: DIRECTOR, FBI

TO: LEGAL ATTACHE BERN IMMEDIATE
LEGAL ATTACHE BONN IMMEDIATE
LEGAL ATTACHE LONDON IMMEDIATE

ATTACH:

SECRET

THIS COMMUNICATION IS CLASSIFIED "SECRET" IN ITS ENTIRETY.

BY TELETYPE DATED 10-22-84, FBI NEW YORK PROVIDED THE FOLLOWING:

"WARNING: INFORMATION FROM ______ IS OF A SINGULAR NATURE
AND DISCLOSURE COULD REVEAL SOURCE'S IDENTITY. SOURCE IS A HIGH
LEVEL INFORMANT, ______ WHOSE IDENTITY, IF REVEALED, WILL POSITIVELY LEAD TO PHYSICAL DANGER.
TO THE SOURCE AND SOURCE'S FAMILY, ON ____________________________ WAS CONTACTED AND THE FOLLOWING ___________ INFORMATION OBTAINED.

NY FORESEES THE ____________________________ DUAL PURPOSE: ____________________________

BASED UPON INFORMATION PROVIDED BY LEGAT, BORN IN THE REFERENCED COMMUNICATION, NY ANTICIPATES THE ____________________________
PAGE THREE OF NO 0387 SECRET

THEREBY SETTING THE STAGE

FOR ADDITIONAL

IT NOW APPEARS THAT

ON

ON
LEGAT, BERN IS BEING PROVIDED WITH THE ABOVE INFORMATION IN
VIEW OF THE ANTICIPATED
LEGAT, BERN IS REQUESTED TO TAKE NO INVESTIGATIVE ACTION
IN THIS MATTER.

AS LEGAT, LONDON WAS PREVIOUSLY ADVISED,

LWFDAD

LEGAT, BONN, IS REQUESTED TO
ADDITIONALLY, LEGAT,
BONN IS REQUESTED TO

C.EY-3-T-DECL-CARR
ST
#2007
FROM DIRECTOR FBI
TO LEGAL ATTACHE BERN PRIORITY 10/26/84
LEGAL ATTACHE BONN PRIORITY

SECRET

MARC RICH-FUGITIVE: RINDUS GREEN-FUGITIVE: ET AL; FBV; MF; RICO;
INCOME TAX EVASION: TRADING WITH THE ENEMY: CONV

BY TELTYPE DATED 10/26/84, FBI NEW YORK ADVISED AS FOLLOWS.
THIS COMMUNICATION IS CLASSIFIED "SECRET" IN ITS ENTIRETY.

FBI TELTYPE TO DIRECTOR, DATED OCTOBER 19, 1984; NYTELCALLS TO
ASSISTANT LEGAL ATTACHE DAVID R. BARKAN, LEGAT, BONN, AND NEW HAVEN

<table>
<thead>
<tr>
<th>RELATABLE</th>
<th>SOURCE</th>
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SOURCE ADVISED THAT

183D-54-97
OCT 29 1984

b6
b7c
P 233512Z NOV 84
FM DIRECTOR FBI
TO LEGAL ATTACHE BERN PRIORITY 1131-03
LEGAL ATTACHE BONN PRIORITY
BT
UNCLASS F T O SECTION 1 OF 2
MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET AL; FBW; MF; RICO;
INCOME TAX EVASION; TRADING WITH THE ENEMY; CO: NEW YORK
BY TELETYPED DATED 11/2/84 FBI NEW YORK ADVISED:
RENTYEL TO DIRECTOR, OCTOBER 25, 1984, AND NUMEROUS TELCALLS
BETWEEN NEW YORK SA ASSISTANT LEGAL ATTACHE DAVID
FOR INFORMATION OF BUREAU AND RECEIVING OFFICES, A SENSITIVE
AND RELIABLE SOURCE

183D-5Y-98
NOV 5 1984
SEARCHED AUTO FILED
RECEIVING OFFICES WILL BE KEPT APPRISED OF DEVELOPMENTS BY TELEPHONE AND TELETYPewriter. SOURCE MENTIONED ABOVE IN _______ SOURCE'S IDENTITY MUST BE PROTECTED AS SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER IF SOURCE'S IDENTITY IS DISCLOSED.

FOR INFORMATION OF BUREAU AND RECEIVING OFFICES, _______
FM DIRECTOR FBI
TO LEGAL ATTACHE BERN PRIORITY 1132-33
LEGAL ATTACHE BONN PRIORITY
BT
UNCLASSIFIED SECTION 2 OF 2
MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET AL; FBW; MF; RICO;
INCOME TAX EVASION; TRADING WITH THE ENEMY; CO: NEW YORK

SOURCE EXPRESSED
IN REGARD TO THE
P 140342Z DEC 84
FM DIRECTOR FBI
TO LEGAL ATTACHE BERNE PRIORITY 1308-14
LEGAL ATTACHE BONN PRIORITY
ST

SECRET

MARCI RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET AL; FBW; MF; RICO;
INCOME TAX EVASION, TRADING WITH THE ENEMY; CO: NEW YORK.

BY TELETYPE DATED 12/13/84, FBI NEW YORK ADVISED:

THIS COMMUNICATION IS CLASSIFIED "SECRET" IN ITS ENTIRETY.

RENTHELLS TO DIRECTOR, OCTOBER 12, 1984, NOVEMBER 5, 1984, AND
NYTELCALLS TO FBIHQ, LEGAT, BONN, AND NEW HAVEN SA
DECEMBER 12-11, 1984.

THE PURPOSE OF THIS TELETYPE IS TO UPDATE RECEIVING OFFICES
REGARDING RECENT DEVELOPMENTS IN CAPTIONED MATTER.

FOR INFORMATION OF THE BUREAU AND RECEIVING OFFICES, A
SENSITIVE AND RELIABLE SOURCE

DEC 14 1984
FBI - BERN
ACCORDING TO SOURCE,

DURING
IN ADDITION TO THE FOLLOWING
December 12, 1984, SOURCE ADVISED THAT
IT IS NOTED THAT

IN REGARD TO

#0235
P 140042Z DEC 84
FM DIRECTOR FBI
TO LEGAL ATTACHE BERN PRIORITY 1309-14
LEGAL ATTACHE BONN PRIORITY
BT
SECRET SECTION TWO OF TWO
MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET AL; FBW; MF; RICO;
INCOME TAX EVASION, TRADING WITH THE ENEMY; OO: NEW YORK.

G BY G-J, DECL: OADR

ADMINISTRATIVE

SOURCE MENTIONED ABOVE IS [ ] SOURCE’S IDENTITY MUST BE PROTECTED AS SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER IF SOURCE’S IDENTITY IS DISCLOSED.
IT IS NOTED THAT MUCH INFORMATION DEVELOPED BY SOURCE IN THIS CASE
IN THIS REGARD, NYO HAS BEEN ATTEMPTING TO VERIFY INFORMATION FURNISHED TO SOURCE THE NYO HAS DETERMINED THAT
INFORMATION DEVELOPED DIRECTLY BY SOURCE HAS PROVED TO BE HIGHLY ACCURATE. IS AN EXAMPLE OF THE ACCURACY OF SOURCES INFORMATION.

RECEIVING OFFICES WILL BE KEPT APPRISED OF DEVELOPMENTS BY TELEPHONE AND TELETYPE.

BT
#0236
P 230909Z JAN 85

FM DIRECTOR FBI

TOL LEGAL ATTACHE BERN PRIORITY 120/33

LEGAL ATTACHE BONN PRIORITY

BT

UNCLASS EFT 0

MARC RICH - FUGITIVE; PINCUS GREEN - FUGITIVE; ET AL; FBW; MF; RICO;
INCOME TAX EVASION; TRADING WITH THE ENEMY; CO: NEW YORK

RENYTEL TO THE BUREAU, DATED JANUARY 11, 1985.

FOR INFORMATION OF THE BUREAU AND WASHINGTON FIELD, ON
JANUARY 31, 1985, A VERY RELIABLE AND SENSITIVE SOURCE
PROVIDED THE FOLLOWING INFORMATION REGARDING

SOURCES

183D insol.

Reclassify 3/27/85
into 196A -

74/85

183D-5Y-101
BUREAU AND WASHINGTON FIELD WILL BE KEPT APPRAISED OF DEVELOPMENTS.

ADMINISTRATIVE SOURCES IDENTITY MUST BE PROTECTED, AS SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER IF SOURCES IDENTITY IS DISCLOSED. INFORMATION FROM SOURCE IS SINGULAR IN NATURE AND SHOULD BE HANDLED WITH CAUTION.

BT

#0024
Page 103 - Referral/Direct;
Page 123 - Referral/Consult;
Page 124 - Referral/Consult;
Page 125 - Referral/Consult;
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Page 275 - Referral/Consult;
Page 276 - Referral/Consult;
Routing Slip
0-7 (Rev. 10-9-84)

(Copies to Offices Checked)

TO: SAC,

TO: LEGAT.

- Albany
- Albuquerque
- Alexandria
- Anchorage
- Atlanta
- Baltimore
- Birmingham
- Boston
- Buffalo
- Butte
- Charlotte
- Chicago
- Cincinnati
- Cleveland
- Columbia
- Dallas
- Denver
- Detroit
- El Paso
- Honolulu
- Norfolk

- Houston
- Indianapolis
- Jackson
- Jacksonville
- Kansas City
- Knoxville
- Las Vegas
- Little Rock
- Los Angeles
- Louisville
- Memphis
- Miami
- Milwaukee
- Minneapolis
- Mobile
- Newark
- New Haven
- New Orleans
- New York City

- Oklahoma City
- Omaha
- Philadelphia
- Phoenix
- Pittsburgh
- Portland
- Richmond
- Sacramento
- St. Louis
- Salt Lake City
- San Antonio
- San Diego
- San Francisco
- San Juan
- Savannah
- Seattle
- Springfield
- Tampa
- Washington Field
- Quantico

- Bern
- Bogota
- Bonn
- Canberra
- Hong Kong
- London
- Mexico City
- Montevideo
- Ottawa
- Panama City
- Paris
- Rome
- Tokyo

MARK RICH
PINCUS GREEN

b6
b7C

ATTACHED FOR NEW YORK IS ONE COPY OF A
TELETYPE DATED 1-21-87.
INBOX.4 (#3412) 

TO: NY EMH1
FROM: HQTX EMH1
SUBJECT: 071/0027 PRIORITY
DATE: 12 MAR 87 16:49:01 GMT
CC:

TEXT:
VZCZCHQC0027
P 120707Z MAR 87
FM ROME (183D-81) (P)
TO DIRECTOR (196B-2848) PRIORITY
FBI NEW YORK (196A-1774) PRIORITY
BT
UNCLASS E F T O
ATTN: FUGITIVE/GENERAL GOVERNMENT CRIMES UNIT
MARC RICH - FUGITIVE; PINCUS GREEN - FUGITIVE; ET AL;
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; (DO:NY)
          ON MARCH 5, 1987, LEGAT, ROME RECEIVED THE FOLLOWING INFORMATION
FROM THE AMERICAN CONSULATE VIA A CABLE
DATED MARCH 4, 1987:
          ON THE REGIONAL SECURITY OFFICER
(RSO), AND CHIEF OF CONSULJ SECTION, MET WITH
PASSPORT
ADvised THAT
HE WAS

ALL INFORMATION HEREIN IS UNCLASSIFIED
DATE 2311/01 BY 61-081
PREVIOUS COMMUNICATIONS HAVE ESTABLISHED THAT GREEN IS WANTED IN THE U.S. FOR MAIL FRAUD, WIRE FRAUD, TAX EVASION AND RACKETEERING BY FBI. CONSULATE WAS ADVISED TO ENCOURAGE TO CONTACT FBI IN NY, FURTHER VOLUNTEERED KNOWLEDGE/INFORMATION ON A FBI AND THE BUREAU ARE REQUESTED TO ADVISE LEGAT, ROME RESPONSE TO BE FURNISHED TO AMERICAN CONSULATE, BASED ON THE ABOVE INFORMATION.

#0027
Memorandum

To: SAC, (NA96A-1774) (P)

From: SA (C-1)

Subject: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL; FBW; MP; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY (00;NY)

In view of my recent transfer to the Washington Field Office and pursuant to instructions received during last file review, captioned matter is being reassigned to SA.

REMAINING WORK:
SA's will maintain contact with

On 3/15/87

**NOTE: All outgoing communications containing information from above source must be properly disguised to protect source.
AUSA handling the RICH case is AUSA.

SDNY is being kept at an absolute minimum for protection of source.

Reassign to SA

MAR 9 1987
PRIORITY
NEW YORK (196A-1774) (P) (C-1)

PRIORITY
DIRECTOR FBI (196A-2848)
ROME (193D-81) ()
BT
UNCLASS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MAIL
FRAUD; RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; CO: NEW
YORK.

RE LEGAT ROME TELETYPE TO DIRECTOR, DATED MARCH 12, 1987.

MARC RICH AND PINCUS GREEN WERE NAMED ON SEPT. 19, 1983, IN THE
SOUTHERN DISTRICT OF NEW YORK (SDNY), IN A 51-COUNT INDICTMENT
CHARGING BOTH WITH NUMEROUS COUNTS OF VIOLATIONS SET FORTH IN THE
ABOVE TITLE. ARREST WARRANTS ISSUED ARE STILL OUTSTANDING AS BOTH

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD
PROCESSING SUPERVISOR, NOT TO THE TELETYPETO ROOM.

WP Initials: tef
SUBJECTS REMAIN FUGITIVES IN SWITZERLAND.

NYO IS OF UNDERSTANDING ISRAEL WILL NOT ARREST EITHER RICH OR GREEN, BASED ON U.S. WARRANTS.

[ ] SHOULD BE PERSUADED TO CONTACT NYO, SECTION C-1, OR IF HE REMAINS IN [ ] SHOULD CONTACT [ ]

NYO UNABLE TO IDENTIFY [ ] MENTIONED IN REFERENCED TELETYPE, BASED ON INFORMATION PROVIDED.
MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MAIL FRAUD; RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; CO: NEW YORK.


MARC RICH AND PINCUS GREEN WERE NAMED ON SEPT. 19, 1983, IN THE SOUTHERN DISTRICT OF NEW YORK (SDNY), IN A 51-COUNT INDICTMENT CHARGING BOTH WITH NUMEROUS COUNTS OF VIOLATIONS SET FORTH IN THE ABOVE TITLE. ARREST WARRANTS ISSUED ARE STILL OUTSTANDING AS BOTH
SUBJECTS REMAIN FUGITIVES IN SWITZERLAND.

NYO IS OF UNDERSTANDING ISRAEL WILL NOT ARREST EITHER RICH OR GREEN, BASED ON U.S. WARRANTS.

SHOULD BE PERSUADED TO CONTACT NYO, SECTION C-1, OR IF HE REMAINS IN SHOULD CONTACT

NYO UNABLE TO IDENTIFY MENTIONED IN REFERENCED TELETYPE, BASED ON INFORMATION PROVIDED.

ST #0111

END OF DOCUMENT
UNCLASSIFIED

MARC RICH—FUGITIVE (B); PINCUS GREEN—FUGITIVE; ET AL; FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; 00: NY.


ON APRIL 21, 1987, ROME RECEIVED THE FOLLOWING INFORMATION FROM THE AMERICAN CONSULATE.

AGAIN APPEARED AT THE AMERICAN CONSULATE IN AND

HE STATED THAT
STATED THAT

HANDLED THE CASE:
SOURCE OFFERS THIS INFORMATION BECAUSE IT WAS

SOURCE I HOPEING THAT BECAUSE

OF THIS INFORMATION THE

NEW YORK IS REQUESTED TO DETERMINE IF ABOVE FACTS CAN BE

VERIFIED TO DETERMINE IF SOURCE IS WORTHWHILE TO POSSIBLY

INTERVIEW IN THE FUTURE.

DT
NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.
SHE UNDERSTANDS SOMETIME AGO, BUT HAS NO ADDITIONAL INFORMATION AT HAND.

ON APRIL 22, 1987, THE 61ST PRECINCT OF THE NEW YORK CITY POLICE DEPARTMENT WAS CONTACTED AND A REPRESENTATIVE ADVISED SHE COULD LOCATE NO RECORD OF THE INCIDENT. SHE NOTED IT IS POSSIBLE, THAT THE INCIDENT WAS REPORTED, BUT CANNOT LOCATE ANY INFORMATION CONCERNING THE MATTER WITHOUT A MORE PRECISE DATE OF OCCURRENCE.

SPECIAL AGENT_________ SPECIAL AGENT_________ AND AUSA _________ SDNY, WHO ARE HANDLING THE_________ WERE ADVISED OF THE INFORMATION FURNISHED THE NYO BY LEGAT, ROME IN REFERENCED TELETYPE.

THE NYO FEELS IT IS WORTH WHILE TO HAVE____ INTERVIEWED FOR ANY FURTHER INFORMATION CONCERNING _______
IT IS ALSO REQUESTED, AS SET FORTH IN REFERENCED NEW YORK TELTYPE THAT [BLANK] BE INTERVIEWED REGARDING INFORMATION CONCERNING
OUTBOX.4 (#1655)
TO: HQ2 @ EMH2
FROM: NYTX @ EMH2
SUBJECT: 121/123 L ROUTINE
DATE: 1 MAY 87 21:14:02 GMT
CC:
TEXT: VZCZCNY0123
RR HQ
DE NY #0123 1212039
ZNYUUUUU
R 011910Z MAY 87
FM FBI NEW YORK (196A-1774) (P) (C-1)
TO DIRECTOR FBI (196B-2848) ROUTINE
LEGAL ATTACHE ROME (183D-81) ROUTINE
BT
UNCLAS

MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B); ET AL; FRAUD BY WIRE; MAIL FRAUD; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;
OO:NY.

RENYTEL TO DIRECTOR, DATED APRIL 13, 1987, AND LEGAT ROME
TELETYPE TO DIRECTOR, DATED APRIL 21, 1987.

ON APRIL 22, 1987, FREEDOM NATIONAL
BANK, 275 WEST 125TH STREET, NEW YORK, NEW YORK, ADVISED THAT

THE BANK, SHE ADVISED

196A-1774 - 442

MAY 4 1987

FBI NEW YORK
She understands sometime ago, but has no additional information at hand.

On April 22, 1987, the 61st Precinct of the New York City Police Department was contacted and a representative advised she could locate no record of the incident. She noted it is possible that the incident was reported, but cannot locate any information concerning the matter without a more precise date of occurrence.

SPECIAL AGENT SPECIAL AGENT AND AUSA
SDNY, who are handling the were advised of the information furnished the NYO by LEGAT, Rome in referenced teletype.

The NYO feels it is worthwhile to have interviewed for any further information concerning
IT IS ALSO REQUESTED AS SET FORTH IN REFERENCED NEW YORK
TELETYPE THAT [BLANK] BE INTERVIEWED REGARDING INFORMATION CONCERNING

#0123

------------------------END OF DOCUMENT------------------------
TO: NY @ EMHI
FROM: HQTX @ EMHI
SUBJECT: 166/0012 PRIORITY
DATE: 15 JUN 87 16:54:13 GMT
CC:
TEXT:
VZCICH000012 1661503
P 120831Z JUN 87
FM ROME (183D-81) (P)
TO ACTING DIRECTOR PRIORITY
NEW YORK (196A-1774) (SG C-1) PRIORITY
BT
UNCLAS
MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; CO: NY
RENYTEL MAY 1, 1987.
FOR INFO OF RECIPIENTS. SUBSEQUENT TO RECEIPT OF REFERENCED
TELYTYPE, LEGAT ROME ADVISED THE AMERICAN CONSULATE,
AND AMERICAN EMBASSY, TEL AVIV, OF REQUEST FOR ASSISTANT LEGAT
TO TRAVEL TO DURING WEEK OF IN ORDER TO INTERVIEW
VIA RESPONSE RECEIVED JUNE 2, 1987, AMERICAN CONSULATE,
ADvised that a PRELIMINARY APPOINTMENT TO HAVE
INTERVIEWED AS LEGAT REQUESTED HAD BEEN MADE.
AT THAT TIME LEGAT WAS ADVISED THAT VOLUNTEERED TO
CONSULATE PERSONNEL THAT A

ALL INFORMATION
HEREIN IS UNCLAS
DATE 24/01 87
JUN 15 1987
196-1774-443
FURTHER STATED THAT

HAS AGAIN ASKED ABOUT A ASSISTANT LEGAT.

FOR INFORMATION OF FBI'S AND NY, DUE TO ARRIVAL IN

THAT WILL TIE UP ASSISTANT LEGAT AND ARRIVAL OF FBI'S PERSONNEL THE WEEK THEREAFTER, ASSISTANT LEGAT HAS SO ADVISED AND TENTATIVE SCHEDULE TO TRAVEL TO ON OR ABOUT

UPON CONCURRENCE OF PROJECTED TRAVEL PLANS FROM ISRAEL, ROME WILL ADVISE.

IN VIEW OF INFO PROVIDED, NEW YORK AND FBI ARE REQUESTED TO ADVISE WHAT FOR THE INFO HE WILL FURNISH TO THE BUREAU.

3T

---

---
Complaint Form
FD-71 (Rev. 4/10/12)

NOTE: Hand print names legibly; handwriting satisfactory for remainder.

Indices: □ Negative □ See below

<table>
<thead>
<tr>
<th>Subject's name and aliases</th>
<th>Character of case</th>
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<tbody>
<tr>
<td>MARC RICH POSITIVE ET AL</td>
<td>FBW 196 A - 1777</td>
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<tr>
<td>Rico, FBW (08/07)</td>
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<tr>
<th>Complainant</th>
<th>Protect Source</th>
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<tbody>
<tr>
<td>- NORTH HAVEN PD (NHPD)</td>
<td>CONN.</td>
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<tr>
<th>Complaint received</th>
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<tbody>
<tr>
<td>Personal &amp; Telephonic Date 6/4/87 Time 3:00 AM</td>
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</tbody>
</table>

Address of subject

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<tr>
<th>Complainant's address and telephone number</th>
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<tbody>
<tr>
<td>NORTH HAVEN PD, CONN.</td>
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<tr>
<th>Subject's Description</th>
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<tbody>
<tr>
<td>Race</td>
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<tr>
<td>Male</td>
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<tr>
<td>Age</td>
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Scars, marks or other data

Facts of complaint

C advised the NHPD ARRESTED one and "Hi", and the race MARC RICH.

Described NHPD checked NCOI and "Hi" on the face MARC RICH, and was not certain it is the man arrested.

Fingerprints delivered to FBI - NEW HAVEN to be faxed to FBIHQ for comparison with any MARC RICH prints on record. FBIHQ ID Dir. Contact was ADVISED.

ACTION RECOMMENDED

C-1 supervisor 5/27, To be advised.

New Haven supervisor 5/27, To be advised.

ALL INFORMATION HEREIN IS UNCLASSIFIED

DATE: 2/11/01 BY 01-081, BLOCK 5

190 1771 444
INBOX.3 (#1807)
TO: NY @ EMH1
FROM: HQTX @ EMH1
SUBJECT: 212/0237 PRIORITY
DATE: 31 JUL 87 15:03:25 GMT
CC:
TEXT:
VZCIZCHQ0237
P 3007282 JUL 87
FM ROME (1830-81) (P)
TO ACTING DIRECTOR PRIORITY
NEW YORK (196A-1774) (SQ. C-1) PRIORITY
BT
UNCLAS E F T O
MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B);
ET AL; FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE
ENEMY; CO: NEW YORK

[Box for RSO assigned]

ARRANGED TO MEET
REGIONAL SECURITY OFFICER (RSO) AT CONSULATE

[Box for RSO assigned]

RSO THOUGHT WOULD BE INVOLVED FOR HIS INFORMATION
GIVEN TO LEGÁT ON RECENT VISIT TO
OFFERED PREVIOUSLY UNDISCLOSED INFORMATION ON A

[Box for information]

[Box for information]

ALL INFORMATION HEREIN IS UNCLASSIFIED
DATE 3/21/81
JUL 31 1987
I wish to know exactly how this is done. It states that this additional information, again, seems to be an idea of what will happen upon successful solution of these matters.

In view of the above, it is requested that your division provide Legat Rome as soon as possible an idea of what will happen upon successful solution of these matters.

BT

#0237
FEDERAL BUREAU OF INVESTIGATION

Date of transcription: 7/14/87

[redacted]

also known as (aka)

appeared at the United States (U.S.) Consulate,

and voluntarily provided the following information:

advised that he knows

further advised that

state that

advised that

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED

Date: 7/14/87

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is intended for your agency.
It and its contents are not to be distributed outside your agency.
advised that upon being interviewed by

stated that

also advised that
upon being interviewed by Special Agents present-
y assigned to Legat, Rome; advised as follows:

Approximately

are the following:

Moreover, also stated that

In addition:
upon being interviewed by Special Agent presently assigned to Legat, Rome, advised as follows:

Issuer alleged to
upon being interviewed by Special Agents presently assigned to Legat, Rome, advised as follows: Should
TO: ACTING DIRECTOR, FBI
FROM: LEGAT, ROME (183D-81) (P)
SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;
FBW; MF; RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY;
00: NEW YORK

Re Rome airtel dated 6/12/87.

Enclosed for New York are the originals, one copy of
Enclosed for Bureau and other recipients.

For the information of other offices not previously in
receipt of any communications in this matter, MARC RICH and PINCUS GREEN are United States (U.S.) citizens who, in 1974, formed MARC RICH AND COMPANY A.G. (AG). AG is a Swiss Corporation which engages in the worldwide business of trading commodities, including crude oil. AG trades an annual volume of $10 billion, 60 percent of which represents oil trades. AG has a wholly-owned subsidiary, MARC RICH AND COMPANY INTERNATIONAL A.G., which has a branch office in the U.S.

12 - Bureau (Enc. 26)
   (1 - OLIA)
   (1 - Identification Division)
   (2 - Newark)
   (2 - New York)
   (2 - Philadelphia)
2 - Legat, Bern (183D-54) (Enc. 5)
1 - Rome

Approved: Transmitted Per ___________________________ ____________________

ALL INFORMATION HEREOF UNCLASSIFIED DATE 2/21/81 B 0:08
named MARC RICH AND COMPANY INTERNATIONAL (INTERNATIONAL). Until the summer of 1983, MARC RICH and PINCUS GREEN ran the oil trading activities of AG and INTERNATIONAL from INTERNATIONAL's offices in New York.

From approximately September; 1980 through at least June, 1981, MARC RICH and PINCUS GREEN surreptitiously moved at least $100 million out of the U.S. from INTERNATIONAL to AG. Most of this money constituted illegal proceeds from domestic crude oil transactions, wherein MARC RICH and PINCUS GREEN defrauded their customers by unlawfully overcharging them for domestic crude oil.

MARC RICH and PINCUS GREEN violated various Federal Energy Law Regulations which controlled the prices at which crude oil could be sold in the U.S. and restricted their average mark-up or profits to 20 cents per barrel as well as evaded over $48 million in U.S. taxes on the hidden income.

On September 19, 1983, the grand jury for the Southern District of New York concurred in the finding of a 51-count indictment. The indictment charges captioned with conspiring to and conducting their commodities business through a pattern of racketeering involving the schemes to defraud their customers, and IRS. Each defendant is charged with various mail and wire fraud violations regarding these fraud schemes as well as tax evasion. Additionally, MARC RICH and PINCUS GREEN are charged with illegal trades with Iran during the hostage crisis amounting to over $200 million.

On [insert date] was interviewed by Legat Rome, personnel at the U.S. Consulate.

In addition to the above, [insert name] also provided information.
REQUEST OF THE BUREAU:

Identification Division is requested to review appropriate criminal and civil records for any references for:

(a) 

(b) 

(c) 

(d)
LEADS:

NEWARK

AT NEWARK, NEW JERSEY: Conduct indices and other appropriate checks for any references to JACOB GABAI and advise of results;

NEW YORK

AT NEW YORK: (a) Provide copies of FD-302s and inserts to appropriate AUSA, Southern District of New York (SDNY), concerning MARC RICH and PINCUS GREEN; (b) Contact Kings County District Attorney's Office Squad, New York, IRS, and AUSA SDNY, concerning information provided;

PHILADELPHIA

AT PHILADELPHIA, PENNSYLVANIA: Conduct indices and other logical checks re identifying JACOB GABAI and advise of results;

LEGAT, BERN

(a)
(b)
(c)
(d)

Legat, Rome, will maintain contact with in American Consulate.
<table>
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<th>TO:</th>
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| Date | 7-29-87 |

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<td>For Information</td>
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<td>The enclosed is for your information. If used in a future report, conceal all sources; paraphrase contents.</td>
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<td>Enclosed are corrected pages from report of SA dated ____________________________</td>
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Remarks: (see attached)

ALL INFORMATION CON- |
HEREIN IS UNCLASSIFY |

DATE: 6-1-81 | 1964-1776-450 |

Enc. (7) | C-1 AUG 04 1987 | AUG 04 1987 |
|----------|----------------|-------------|
| BUTLER | B6 | 11/07/07
| Urtle | B7C | 11/07/07 |
AIRTEL

Acting Director, FBI

Logat, Rome (1920-91) (D)

WARS RICH - FUGITIVE (B); FOCUS GREEN - FUGITIVE (B); EY AL;
FBI; MF; RICO;
HIGHS TAX EVASION;
TRADES WITH THE ENEMY;
CC: NEW YORK

Recall... dated 7-15-87.

A search of the Identification Division's Civil and Criminal Files for the above-named Individual with negative results.

In searching the Identification Division's Civil and Criminal Fingerprint File for a record on JACOB GADAI, the Identification Division found a United States Immigration and Naturalization Service print for JACOB GADAI, received FBI 6-17-46, date of birth 12-31-12, place of birth RUSSIA, New York, residence 111 East 73rd St., New York, build/tall.

A positive identification can only be made by fingerprints; therefore your office should endeavor to obtain and submit to Identification Division, attention: Posting Section a set of the subjects' fingerprints in order that a more thorough search can be conducted.

Any questions regarding this communication, contact the Posting Section, Identification Division, telephone (202) 324-5264.

1 - ELC, Newark (for info)
1 - ELC, New York (for info)
1 - ELC, Philadelphia (for info)
1 - Logat, Bern (for info)

C-1
INBOX.14 (#704)

TO: NY & EMH

FROM: HGTX & EMH

SUBJECT: 251/0081 ROUTINE

DATE: 2 SEP 87 03:45:12 GMT

CC:

TEXT: VZCICHTUGG081

K 0067902. SEP 87

FM HOME (1830-61) (P)

TO ACTING DIRECTOR - ROUTINE

NEW YORK (1664-1774) (SG, C-1) ROUTINE

RT

UNCLAS & F/T D

WARS RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;

FBW: MR; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; DO: NY

REFERENCE HOME AIRTEL DATED JULY 15, 1987; ROMTEL DATED


NEW YORK IS REQUESTED TO PROVIDE A RESPONSE TO REFERENCED

TEL SO THAT ADDITIONAL INTERVIEW OR CAN BE SET UP IN

37

90091

FBI - NEW YORK

END OF DOCUMENT

INBOX.14 (#704)

TO: KA & EMH. BY 9 EMH.
TO: ACTING DIRECTOR, FBI

FROM: SAC, NEWARK (196A-2621) (RUC) (C-5)

MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO;
INCOME TAX EVASION; TRADING WITH THE ENEMY
(00: NEW YORK)

Re: Legat, Rome airtel to Bureau, 7/15/87.

For information of the Bureau, Legat, Rome and other offices, Newark indices negative regarding JACOB GABAI. Additional descriptive data is necessary to conduct any other appropriate checks regarding GABAI.

Inasmuch as there are no outstanding leads in the Newark Division, this matter is considered RUC.

REQUEST OF THE BUREAU

The Bureau is requested to forward the appropriate information to Legats Rome and Bern.

Bureau
2-Legat, Bern (183D-54 1-OLIA
2-Legat, Rome (183D-81)
New York
2-Philadelphia
1-Newark
DKM/lap
(12:)

Approved: Transmitted by FBI

SEP 3 1987

U.S. GPO: 2007 - 181-486
Memorandum

To: ADIC, NEW YORK (196A-1774) P
From: SA (C-1)
Date: 9/16/87
Subject: MARC RICH - FUG;
PINCUS GREEN - FUG;
FBW
00: NY

On 9/16/87

the Marc Rich case has been reassigned to AUSA. The AUSA previously assigned, has left the office as of early

196A-1774/456

ALL INFORMATION HEREIN IS UNCLASSIFIED
FBI - NEW YORK
SEP 16 1987
01-081
Memorandum

To: ADIC, NEW YORK (196A-1774)
P: Date 9/17/77
From: SA (C-1) b6 b7c
Subject: MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
FBW; MF; Rico
00: NY

Enclosed for the attention of SA Melville RA, are several communications sent by Legat, Rome, which pertain to it is noted that a fugitive is currently NYO's principal interest in Marc Rich and Pincus Green not this information has been furnished to SA.

196A-1774 457
1-183-3086 (C-27)
1-196A-1774
MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FRAUD BY WIRE, MAIL FRAUD, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; 00:NY

RE LEGAT TEL TO BUREAU, DATED SEPTEMBER 8, 1987.

NYO IS NOT IN A POSITION AT THIS TIME TO

INFORMATION WHICH MAY LEAD TO ASSISTANT
UNITED STATES ATTORNEY (AUSA) SOUTHERN DISTRICT OF
NEW YORK (SDNY), BEFORE LEAVING THE OFFICE OF THE UNITED STATES

Approved: 10/7/87
Transmitted: 260/147 Per

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD PROCESSING SUPERVISOR, NOT TO THE TELETYPewriter ROOM.

WP Initials: M

ALL INFORMATION CONTAINED HERETO IS UNCLASSIFIED. DATE 10/07/87 BY 01-09-81
ATTORNEY, ADVISED HE KNEW OF \_

BUT SUGGESTED THAT \_

SPECIAL AGENT (SA) \_

BE CONTACTED.

IT SHOULD BE SUGGESTED TO \_

WITH RESPECT TO THE \_

MATTER, USA\_

SDNY. AND SA\_

\_
BEEN FURNISHED THE REPORTED INFORMATION WITHOUT DISCLOSING THE
IDENTITY OF

b6
b7c
b7d
b7e

NYO WILL MEET WITH _______ ON OR ABOUT ________
TO DISCUSS A ________
TO: HQ2 @ EMH2
FROM: NYTX @ EMH2
SUBJECT: 260/147 ROUTINE
DATE: 17 SEP 87 23:59:43 GMT

TEXT: VZCZCNY0147
RR HQ
DE NY #0147 2602317
ZNY UUUU
R 172227Z SEP 87
FM FBI NEW YORK (196A-1774) (P) (C-1)
TO DIRECTOR FBI ROUTINE
LEGAL ATTACHE ROME (183D-81) () ROUTINE
BT
UNCLAS

MARC RICH - FUGITIVE (B); PINKUS GREEN - FUGITIVE (B); FRAUD BY WIRE, MAIL FRAUD, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY;
DO: NY

RE LEGAT TEL TO BUREAU, DATED SEPTEMBER 8, 1987.

NYO IS NOT IN A POSITION AT THIS TIME TO
INFORMATION WHICH MAY LEAD TO
UNITED STATES ATTORNEY (AUSA) SOUTHERN DISTRICT OF
NEW YORK (SDNY), BEFORE LEAVING THE OFFICE OF THE UNITED

ALL INFORMATION HEREIN IS UNCLASSIFIED
DATE 01-081

SEPT 17 1987
FBI NEW YORK
ATTORNEY, ADVISED HE KNEW OF
BUT SUGGESTED THAT BE CONTACTED.
SPECIAL AGENT (SA)

IT SHOULD BE SUGGESTED TO

WITH RESPECT TO THE MATTER, AUSA
SDNY, AND SA
HAVE FURNISHED THE REPORTED INFORMATION WITHOUT DISCLOSING THE
IDENTITY OF

NYO WILL MEET WITH ON OR ABOUT

#0147

-----------------------------END OF DOCUMENT-----------------------------

-->
TO: ACTING DIRECTOR, FBI

FROM: LEGAT, BERN (196A-234) (RUC)

SUBJECT: MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL
FBW; MF; RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY

O0: NEW YORK

Re Rome airtel dated 7/15/87.

For information of Legat, Rome, MARC RICH and PINCUS GREEN continue to reside and do business at Zug, Switzerland. Their residence and business location are well-known. The problem is that the "MARC RICH case" caused, for a number of reasons, a major diplomatic, legal and political disagreement between the U.S. and Swiss governments, resulting in, among other things, a Swiss refusal to extradite RICH and GREEN. The case is still a highly-sensitive issue with the Swiss government.

For the above reasons, unless advised to the contrary by FBIHQ and/or New York, Legat, Bern is not making any inquiries with [ ]

Legat assumes that New York is probably in a position to develop further information on through U.S. source coverage. Bern indices are negative on [ ] and neither are listed in the telephone directory, which, incidentally, contains current listings for both RICH and GREEN.

Bern considering this matter RUC.

7 - Bureau
(1 - OLIA)
(2 - New York) (196A-174)
(2 - Legat, Rome) (183B-81)
1 - Bern
RMF: jsW

Approved: Transmitted

SEPT 5 1987
Routing Slip
0-7 (Rev. 10-9-84)

(To: SAC,)

□ Albany
□ Albuquerque
□ Alexandria
□ Anchorage
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□ Boston
□ Buffalo
□ Butte
□ Charlotte
□ Chicago
□ Cincinnati
□ Cleveland
□ Columbia
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□ Denver
□ Detroit
□ El Paso
□ Honolulu
□ Kansas City
□ Little Rock
□ Los Angeles
□ Louisville
□ Memphis
□ Minneapolis
□ Mobile
□ New Haven
□ New Jersey
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□ Seattle
□ Springfield
□ Stamford
□ Tampa
□ Washington Field
□ West Point
□ Winter Haven
□ Wichita
□ Yuma

□ ASAC, Brooklyn-Queens (MRA)

RE:
Marc Rich - Fugitive (B)
Pincus Green - Fugitive (B)

CO: NY

Retention: For appropriate

For information: optional 

Supp. by ____________

The enclosed is for your information. It used in a future report, conceal all sources, paraphrase contents.

Enclosed are corrected pages from report of SA dated ____________

Remarks:

(see attached)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 24 FEB 81

Enc.
Buﬀalo
Utah

1 OKLA-1774 460
SEP 15 1987
<table>
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<tr>
<th>TO:</th>
<th>SAC, NEW YORK (196B-1774)</th>
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<tr>
<td>FROM:</td>
<td>SAC, PHILADELPHIA (196B-1214) (SQ9) (RUC)</td>
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<tr>
<td>SUBJECT:</td>
<td>MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B), ET AL FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY CO: NEW YORK</td>
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Re 7/15/87 airtel from Legat, Rome to Acting Director, FBI.

Philadelphia investigation revealed negative results of Indices and various other checks, regarding JACOB GABAI.

Inasmuch as this investigation has been completed, Philadelphia considers this matter RUC'd.

<table>
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<th>Per</th>
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ALL INFORMATION HEREIN IS UNCLASSIFIED UNLESS DESIGNATED OTHERWISE.

Approved on 9/23/87 at 01:08.

In: 1968-1214 (SQ9)
Out: 10 (10)

**Note:** The document contains an encryption code which appears to be 'FBI.'
Fugitive tycoon corners much of aluminum market

BOSTON (AP)—Aluminum tycoon Marc Rich, a Spanish citizen who lives in Switzerland and faces prosecution in this country, controls firms that have become one of the five largest U.S. producers of aluminum, according to a published report.

Rich, 53, controls Marc Rich & Co. AG, and Clarendon Ltd. Like Rich, his companies are based in Switzerland, but they freely produce aluminum in the United States, sell aluminum ingots to other U.S. producers and to industry, and trade aluminum futures with prominent securities firms, the Boston Sunday Globe reported.

Rich entered the aluminum market in 1968 when it was at its bottom. As supplies dwindled, he profited. The price doubled this year, the steepest price gain of any metal so far, the paper said.

Unidentified traders told the Globe they believe Rich is manipulating the market, squeezing aluminum supplies and forcing prices upward. A Rich executive not identified in the Globe story denied the allegations.


Rich and his company also were charged with selling oil on Iran’s behalf while American hostages were held in Iran and American banks had frozen Iranian deposits. Rich was charged as well with breaking federal laws by selling crude oil at market prices when it could be sold at a lower price. The Justice Department charged that profits exceeding $100 million were diverted by sham transactions to Rich’s Swiss company, the paper said.

But Rich avoided arrest by going to Switzerland, where tax evasion is not considered an extraditable offense, the paper said.

In the meantime, Rich’s commodity trading company trade actively in aluminum options on the London Metals Exchange and is one of the world’s largest traders in physical aluminum, the paper said, citing sources in the United States and London.
SECRET

T-1 is [redacted]

WARNING: INFORMATION FROM [redacted] IS HIGHLY SINGULAR IN NATURE AND DISCLOSURE COULD REVEAL SOURCE'S IDENTITY. SOURCE IS A HIGH LEVEL INFORMANT [redacted] WHOSE IDENTITY IF REVEALED WILL POSITIVELY LEAD TO PHYSICAL DANGER TO SOURCE AND SOURCE'S FAMILY.

WARNING: STATEMENT TO BE ADDED TO ANY DISSEMINATION: INFORMATION ON THIS DOCUMENT SHOULD NOT BE PROVIDED TO ANY OTHER AGENCY WITHOUT PRIOR BUREAU APPROVAL.

C 61 G-3; DECL. DABR

SECRET
INBOX: 30 #4160

TO: NY & EMH1
FROM: DHTX @ EMH1
SUBJECT: 302/0132 ROUTINE
DATE: 30 OCT 87 06:00:35 GMT
CC:

TEXT: VZCZCHQ00132 3030127
R 290805Z OCT 87
FM ROME (196A-112) (P)
TO ACTING DIRECTOR ROUTINE
NEW YORK (196A-1774) (SQ. C-1) ROUTINE
ET
UNCLAS
MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;
FRAUD BY WIRE; MAIL FRAUD; RICO; INCOME TAX EVASION; TRADING WITH
THE ENEMY; 00: NY
ON OCTOBER 26, 1987, REGIONAL SECURITY OFFICER (RSO),

POSED TO

(A)

OBTAINED THE FOLLOWING RESPONSES TO QUESTIONS

(B)
To: DIRECTOR, FBZ
From: NEW YORK (1964-1974) P
Subject: MARC RICH-FUG. (B);
PINCUS GREEN-FUG. (B);
FBW; MF
OD: NY

☐ Fingerprint Photo  ☐ Fingerprint Record  ☐ Map  ☐ Newspaper clipping  ☐ Photograph

☐ Artists Conception  ☑ Other TELETYPE

Special handling instructions:

Call ext. 5660 for pickup

Approved: TPS/LEFCO

Date: 11/5/87
Time: Transmitted: 8215
Initials:
MARCO RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B); ET AL; RICO; YF; FBW; TRADING WITH THE ENEMY; TAX Evasion: 30: NY.

RE NEW YORK TELETYPe TO BUREAU DATED NOVEMBER 5, 1987.

NEW YORK OFFICE HAS TELEPHONICALLY CONTACTED U.S. MARSHAL WHO APPARENTLY RETURNED TO NEW YORK DURING THE WEEK OF FROM THE

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD PROCESSING SUPERVISOR, NOT TO THE TELETYPe ROOM.

WP Initials: }
LEGAT. LONDON IS REQUESTED TO INTERVIEW

ASCERTAIN WHETHER THE REQUEST IS PENDING TO BE ACTED ON QUICKLY IN THE EVENT

ASCERTAIN WHETHER THE U.S. COULD EXTRADITE OUR CHARGES IN THE INDICTMENT WHICH INCLUDE FBW, MF, RICO, TAX EVASION AND TRADING WITH THE ENEMY OR WHETHER CERTAIN COUNTS I.E., TAX EVASION AND TRADING WITH THE ENEMY WOULD HAVE TO BE DISMISSED.

ASCERTAIN FROM EITHER... WHAT INFORMATION THEY HAD RECEIVED CONCERNING
OUTBOX HAS 1 DOCUMENT
OUTBOX, 1 (#2763)
TO: HQ2 @ EMH2
FROM: NY @ EMH1
SUBJECT: 324/142 ROUTINE
DATE: 21 NOV 87 02:23:03 GMT
CC:
TEXT: VZCZNYYQ142
RR HQ
DE NY #0142 3242415
ZNY UUUU
R 2021102 NOV 87
FM FBI NEW YORK (196A-1774) (P) (C-1)
TO DIRECTOR: FBI () ROUTINE
ATTN: FINANIAL CRIMES UNIT
LEGAL ATTACHE LONDON () ROUTINE
BT
UNCLAS

MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B); ET AL; RICO; MF; FBW; TRADING WITH THE ENEMY; TAX EVASION: 00:NY.


NEW YORK OFFICE HAS TELEPHONICALLY CONTACTED U.S. MARSHAL WHO APPARENTLY RETURNED TO NEW YORK DURING THE WEEK OF...

FROM THE

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED DATE: 24 OCT 87 BY
01-06

196A-1774 -4699
SEARCHED REVIEWED
INDEXED 10 FEB
NOV 2 3 1987
FBI - NEW YORK

[Signature]
LEGAT, LONDON IS REQUESTED TO INTERVIEW

ASCERTAIN WHETHER THE REQUEST IS PENDING TO BE ACTED ON QUICKLY IN THE EVENT

ASCERTAIN WHETHER THE U.S. COULD EXTRADITE OUR CHARGES IN THE INDICTMENT WHICH INCLUDE FBW, MF, RICO, TAX EVASION AND TRADING WITH THE ENEMY OR WHETHER CERTAIN COUNTS I.E., TAX EVASION AND TRADING WITH THE ENEMY WOULD HAVE TO BE DISMISSED.

ASCERTAIN FROM EITHER
PRIORITY
NEW YORK (196A-1774) (P) (A-4)
ROUTINE
DIRECTOR FBI () () ()
PRIORITY
BOGOTA () () ()
ROME (196A-112) () ()
BT
UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL; FBW;
MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; CO: NY

RE LEGAT, ROME TELETYPE TO NY, DATED OCTOBER 29, 1987; AND
LEGAT, ROME TELETYPE TO NY, DATED NOVEMBER 23, 1987.

FOR INFORMATION OF LEGAT, BOGOTA, SUBJECTS RICH AND GREEN WERE
INDICTED IN SEPTEMBER, 1983 IN THE SDNY ON A 51 COUNT INDICTMENT

Approved: TJS / 10.04
Transmitted 335/115 Per

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD
PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.

WP Initials: ___
CHARGING THE ABOVE OFFENSES, BOTH EITHER FLED TO SWITZERLAND OR WERE IN SWITZERLAND AT THE TIME OF THE INDICTMENT AND DID NOT RETURN TO THE UNITED STATES. THEY HAVE BOTH LIVED AND DONE BUSINESS IN SWITZERLAND SINCE THE INDICTMENT. A BOOK WRITTEN ABOUT RICH CALLED "METAL MAN" SAID RICH BECAME A SPANISH CITIZEN AND GREEN BECAME A BOLIVIAN CITIZEN. RICH'S SPANISH CITIZENSHIP HAS BEEN CONFIRMED.

FOR INFORMATION OF LEGAT, ROME, NYO PLANS TO MEET WITH CHIEF, CRIMINAL DIVISION, SDNY, REGARDING THE RICH/GREEN MATTER AND CONTINUE DISCUSSING THE MATTER WITH U.S. JUSTICE DEPARTMENT - OFFICE OF INTERNATIONAL AFFAIRS (OIA).

LEGAT, ROME SHOULD MAKE IT EXPLICITLY CLEAR TO NYO IS UNAWARE OF ANY IN POINT A OF REFERENCED LEGAT, ROME TELETYPE OF OCTOBER 29, 1987, AND THAT THE MATTER WILL BE ASSESSED AND AT THAT TIME.

LEGAT, BOGOTA IS REQUESTED TO DETERMINE WHETHER PINCUS GREEN,
BORN [ ] IS A CITIZEN OF BOLIVIA, AND IF
SO, WHEN WAS CITIZENSHIP APPLIED FOR AND ACQUIRED.

LEGAL, ROME IS REQUESTED TO DETERMINE WHETHER PINCUS GREEN,
BORN [ ] AND MARC RICH, BORN DECEMBER 18,
1934 IN ANTWERP, BELGIUM, ARE CITIZENS OF ISRAEL, AND IF SO, WHEN
WAS CITIZENSHIP APPLIED FOR AND ACQUIRED.
INFORMATION HAS BEEN REDACTED.

DATE: 1 DEC 87 21:42:08 GMT

TEXT: VIGILANTES

FW: HR

TO: NY NY 11015-3555361

NY WOOD

R 011731Z DEC 87

PR. FBI NEW YORK (1964-1774) (P) (A-4)

TO: DIRECTOR FBI (O) ROUTINE

LEGAL ATTACHÈ BOGOTA (O) PRIORITY

LEGAL ATTACHÈ ROME (1964-112) (O) PRIORITY

BY

UNCLASS

MARK RICH - FUGITIVE (P) - PINDUS GREEN - FUGITIVE (G) ET AL; FBI;

MF: 1200: INCOME TAX EVASION; TRADING WITH THE ENEMY; GUN

RE LEGAT, ROME TELETYPE TO NY, DATED OCTOBER 29, 1987; AND

LEGAT, ROME TELETYPE TO NY, DATED NOVEMBER 23, 1987.

FOR INFORMATION OF LEGAT, BOGOTA, SUBJECTS RICH AND GREEN WERE

INDICTED IN SEPTEMBER 1986 IN THE SONY ON A 51 COUNT INDICTMENT

CHARGING THE ABOVE OFFENSES. BOTH EITHEER FLED TO SWITZERLAND OR

DEC 2 1987
WERE IN SWITZERLAND AT THE TIME OF THE INDICTMENT AND DID NOT RETURN TO THE UNITED STATES. THEY HAVE BOTH LIVED AND DONE BUSINESS IN SWITZERLAND SINCE THE INDICTMENT. A BOOK WRITTEN ABOUT RICH CALLED "METAL MAN" SAID RICH BECAME A SPANISH CITIZEN AND GREEN BECAME A BOLIVIAN CITIZEN. RICH'S SPANISH CITIZENSHIP HAS BEEN CONFIRMED.

FOR INFORMATION OF LEGAT, ROME, NYO PLANS TO MEET WITH ___ CHIEF, CRIMINAL DIVISION, SDNY, REGARDING THE RICH/GREEN MATTER AND CONTINUE DISCUSSING THE MATTER WITH U.S. JUSTICE DEPARTMENT - OFFICE OF INTERNATIONAL AFFAIRS (OIA).

LEGAT, ROME SHOULD MAKE IT EXPLICITLY CLEAR TO ___ THAT NYO IS UNAWARE OF ANY IN POINT A OF REFERENCED LEGAT, ROME TELETYPING OF OCTOBER 29, 1987, AND THAT THE MATTER WILL BE ASSESSED AND AT THAT TIME.

LEGAT, BOGOTA IS REQUESTED TO DETERMINE WHETHER PINCUS GREEN,
PAGE THREE OF MY 0125

BORN IS A CITIZEN OF BOLIVIA, AND IF SO, WHEN WAS CITIZENSHIP APPLIED FOR AND ACQUIRED.

LEGAL, ROME IS REQUESTED TO DETERMINE WHETHER PINDUS GREEN, BORN DECEMBER 19, 1964 IN ANTWERP, BELGIUM, ARE CITIZENS OF ISRAEL, AND IF SO, WHEN WAS CITIZENSHIP APPLIED FOR AND ACQUIRED.

J

N

N
SUBJECT: 327/0006 PRIORITY

DATE: 23 NOV 87 14:43:21 GMT

TEXT:

VZCZC006

PP HQ NY

DE ROM #0075 3270724

ZNR UUUUU

P 230729Z NOV 87

FM ROME (196A-112) (P)

TO DIRECTOR, FBI PRIORITY

NEW YORK (196A-1774) (SQ. C-1) PRIORITY

BT

UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;

FRAUD BY WIRE; MAIL FRAUD; RICO; INCOME TAX EVASION; TRADING WITH

THE ENEMY; CO: NY


ON NOVEMBER 18, 1987, THE REGIONAL SECURITY OFFICER (RSO),

PROVIDED THE FOLLOWING INFORMATION:

STATED THAT

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED AND

DATE 2/10/87 BY
IN PROVIDING THIS INFORMATION, NEW YORK IS REQUESTED TO ADVISE AUSA, SDNY, OF THE ABOVE AND ADVISE ROME AND SOON AS POSSIBLE CONCERNING ANY

BT

#0006

NNNN

END OF DOCUMENT
IN QX.2 (#2956)
TO: NY @ EMH1

FROM: TP @ EMH2
SUBJECT: 351/0008 PRIORITY
DATE: 17 DEC 87 20:49:47 GMT
CC:

TEXT:
TO NY
DE TP
P 172044Z DEC 87
FM TAMPA (196A-1329) (SQ 3) (P)
TO NEW YORK (196A-1774) PRIORITY
BT
LNCAS
MARK RICH-FUGITIVE: STAL; FBW; CO: NY
ON 12/17/87, A HIGHLY RELIABLE SOURCE
ADvised

THEREAFTER MADE INQUIRY

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 03-24-2017 BY:
NEW YORK SHOULD INQUIRE WITH OIA-USDOJ REGARDING

NEW YORK ADVISE TAMPA OF ANY OTHER PERTINENT INFORMATION REGARDING SHOULD ATTEMPT TO DEVELOP.

BT

-------------------------END OF DOCUMENT------------------------

-->
INBOX.2 (#2726)
TO: NY & EMH1
FROM: HCTX & EMH1
SUBJECT: ROM462 ROUTINE
DATE: 4 JAN 88 18:21:34 GMT
CC:
TEXT:
VZICICHHGOIS
RR HQ NY
DE ROM #0251 3551423
ZNR UNLW
R 311423Z DEC 87
FM ROME (196A-112) (P)
TO DIRECTOR, FBI ROUTINE
NEW YORK (196A-1774) (Sq. C-1) ROUTINE
BT
UNCLAS
MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B) ET AL;
FRAUD BY WIRE; MAIL FRAUD; RICO; INCOME TAX EVASION; TRADING WITH
THE ENEMY; CO: NY
RENYTEL DATED DECEMBER 1, 1987.
ON DECEMBER 31, 1987, THE REGIONAL SECURITY OFFICER (RSO),
ADvised that
STATED THAT

DURING THE LEGAT WILL BE IN
AND WILL DISCUSS WITH RSO.

196N-1774

JAN 04 1988
On December 17, 1987, a highly reliable source advised that thereafter made inquiry with is endeavoring to obtain...
To: ADIC, New York (196A-1774) P
From: SA (c-1)
Subject: MARC RICH - Fugitive (B);
Pincus Green - Fugitive (B);
FBW (A)

On 2/10/88, SSA, Financial Crimes Unit, Headquarters, was contacted regarding recent information furnished by Legat, Bern, concerning Marc Rich.

said that Legat, Bern, recently and told him that has been in

suggested that be contacted telephonically concerning The matter in preference to sending a teletype.

Will contact Legat, Bern.

ALL INFORMATION HEREIN IS UNCLASSIFIED DATE: 2/10/81 B
TO: NY @ EMH1
FROM: HQTX @ EMH1
SUBJECT: 042/0248 ROUTE
DATE: 12 FEB 88 09:05:43 GMT
CC:

TEXT:
VZCZCHQ00248
RR HQ NY BON ROM
DE BER #0066 0421026
ZNR UUUU
R 1111127Z FEB 88

FM LEGAT BERN (196A-234) (P)
TO DIRECTOR (196B-2949) ROUTE

ATTN: SSA CID, WCC SECTION, FCU

FBI NEW YORK (196A-1774) ROUTE
LEGAT BONN ROUTE
LEGAT ROME (193B-81) ROUTE

BT

UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL; FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; 00;

NEW YORK.

REBELECT CALL TO SSA FBIHQ, FEBRUARY 3, 1988.

FOR BACKGROUND OF RECIPIENTS, LEGAT MET ON FEBRUARY 3, 1988.
DURING DISCUSSION ON
LEGAT, BERN IS VERY OPTIMISTIC THAT

LEGAT, BERN BELIEVES THAT ANY POSITIVE INFORMATION RECEIVED FROM
LEGAT, BERN WOULD APPRECIATE COMMENTS OF FBIHQ AND NEW YORK REGARDING THE ABOVE AND SPECIFICALLY REQUEST THAT FBIHQ AND/OR NEW YORK ADVISE LEGAT, BERN AS TO

NEW YORK IS FURTHER REQUESTED TO FURNISH ANY AVAILABLE INFORMATION ON

BT

#0066

NNNN

------------------------END OF DOCUMENT------------------------
ROUTINE
NEW YORK (196A-1774) (P) (C-1)
ROUTINE
DIRECTOR FBI () ()
BERN (196A-234) ()
BT
UNCLAS

MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B); ET AL; FBW, "IF,
RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; 00: NY.

REFERENCE LEGAT, BERN TELETYPE TO BUREAU, DATED FEBRUARY 12,
1988 AND NYTELCALLS TO SSA BUREAU, ON FEBRUARY 16,

NEW YORK BELIEVES SOURCE MAY BE OF ASSISTANCE IN
CAPTIONED MATTER. MUCH OF THE SUBJECTS' INDICTMENT IS BASED ON
INCOME TAX EVASION WHICH PRESENTS A PROBLEM FOR THE

Approved:  
Transmitted 053/104 Per

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD
PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.

WP Initials: 

ALL INFORMATION CO
HEREIN IS UNCLASSIF
DATE 01-081 BY

b6 b7c
BUT THE NYC UNDERSTANDS THAT

NEW YORK IS SKEPTICAL THAT

NEW YORK UNAWARE OF

BUREAU IS PREPARING TO RESPOND TO BERN'S REFERENCED TELETYPE

RELATING TO

LEGAT, BERN IS REQUESTED TO

SOURCE FOR
OUTBOX.1 (#934)

TO: HQ @ EMH2
FROM: NYTX @ EMH2
SUBJECT: 053/104L RR
DATE: 22 FEB 88 21:57:56 GMT
CC:
TEXT: VZCZCNYU104
RR HQ
DE NY #0104 0532132
ZNY UUUUU
R 222041Z FEB 88
FM FBI NEW YORK (196A-1774) (P) (C-1)
TO DIRECTOR FBI ROUTINE
LEGAL ATTACHE BERN (196A-234) ROUTINE
BT
UNCLAS

MARC RICH-FUGITIVE (B); PINUS GREEN-FUGITIVE (B); ET AL; FBW, MF,
RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; 00:NY.


NEW YORK BELIEVES SOURCE MAY BE OF ASSISTANCE IN CAPTIONED MATTER. MUCH OF THE SUBJECTS' INDICTMENT IS BASED ON INCOME TAX EVASION WHICH PRESENTS A PROBLEM FOR

ALL INFORMATION HEREIN IS UNCLASSIFIED.
BUT THE NYO UNDERSTANDS THAT

NEW YORK IS SKEPTICAL THAT

NEW YORK UNAWARE OF

BUREAU IS PREPARING TO RESPOND TO BERN'S REFERENCED TELETYPE RELATING TO

LEGAT, BERN IS REQUESTED TO SOURCE FOR
TO: NY @ EMH1
FROM: HQTX @ EMH1
SUBJECT: 054/0045 ROUTINE
DATE: 23 FEB 88 20:51:17 GMT
CC:

TEXT:
VZCICH00045
RR HQ NY BER LON ROM
DE BON #0953 0531344
ZNY EEEEE
R 221325Z FEB 88
FM BONN (196A-189) (P)
TO DIRECTOR ROUTINE
FBI, NEW YORK (196B-1774) ROUTINE
LEGAL ATTACHE, BERN (196A-234) ROUTINE
LEGAL ATTACHE, LONDON ROUTINE
LEGAL ATTACHE, ROME (183B-81) ROUTINE
UNCLASSIFIED

BT
MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; CO: NY

LEGAT BONN NOTES THAT

BT
#0045

--

END OF DOCUMENT

---
FM LEGAL ATTACHÉ BOGOTA (196A-121) (RUC)
TO DIRECTOR, FBI PRIORITY

ATTN: CID - WCCS - FINANCIAL CRIMES UNIT
ATTN: OLIA - FLU
ADIC, NEW YORK (196A-1774) PRIORITY

BT

UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; CO:
NEW YORK.

RE NEW YORK TELETYPE TO BUREAU, DATED DECEMBER 1, 1987.

BY CABLE NUMBER [ ] DATED FEBRUARY 18, 1988, REGIONAL SECURITY OFFICE (RSO), AMERICAN EMBASSY, LA PAZ, BOLIVIA, FURNISHED LEGAT BOGOTA THE FOLLOWING INFORMATION:

REPORT THAT [ ]

HOWEVER,
NY 196A-1774.

On February 17, 1988, [fill in] was queried regarding Source stated that Source advised that Source advised that.
TEXACO WILL PAY $1.2 BILLION TO US
FOR OVERCHARGES

SETTLEMENT IS A REASON
Energy Dept. Completes Last
Major Action in 1973 Oil Pricing Complaints

(Continued on Page 23, Column 1)

(Continued on Page 23, Column 1)

(Continued on Page 23, Column 1)

(Continued on Page 23, Column 1)
Texaco and U.S. Settle Overpricing Complaint

Continued From Page A1

Pennzoil Company, alleging that Texaco had illegally interfered with a merger agreement between Pennzoil and the Getty Oil Company. Getty was acquired by Texaco instead.

Texaco and Pennzoil have agreed to settle their case for $3 billion; to be paid when Texaco emerges from bankruptcy. A judge in White Plains is scheduled to begin hearings late next month on a plan to end the company's Chapter 11 status under the Federal Bankruptcy Code.

Analysts differ as to whether the settlement with the Energy Department is harder on Texaco than what had been expected. The Government's total claim was $2.1 billion; the official in charge of the Department's enforcement program, Chandler van Orman, said the Government's case against Texaco was particularly strong.

Mr. van Orman's agency, the Economic Regulatory Administration, had been pursuing the pricing complaint for years. He said Texaco was now anxious to settle because of its impending emergence from bankruptcy and the investments made in Texaco by two takeover specialists, Carl C. Icahn and T. Boone Pickens.

Texaco said it had been setting aside for years to settle the Energy Department claim. On March 8, Texaco said it would settle the claim at $3 billion. The settlement also involves a $2 billion contribution to a reserve fund, which Texaco said it would use to help finance its emergence from bankruptcy.

Details of Texaco Payment

The company said it would pay $200 million the first of two payments of $350 million, $150 million a month later and the rest in four annual installments of $185 million each. The settlement involves a complex set of issues arising out of the more than $70 billion worth of business the company conducted in crude oil over the period.

James W. Karr, Texaco's president and chief executive, said in a statement: "The conclusion of these matters will free Texaco from years of additional and costly litigation.

Of the $900 million the Energy Department is seeking from other companies, nearly $600 million involves the Cities Service Oil and Gas Corporation, which was acquired by the Occidental Petroleum Corporation in December 1982. The rest involves 118 cases in administrative litigation and 116 in court, including about 70 in bankruptcy court.

The most notable case previously completed involved the Exxon Corporation, which paid $21 billion in January 1987 after the Supreme Court refused to hear its appeal of lower court rulings. That was the second-largest monetary award by a court in the United States, exceeded only by the $10.3 billion verdict against Texaco in the Pennzoil case.

Several other major oil companies have settled with the Energy Department for far smaller sums. The Mobil Corporation paid $27 million in 1984. The Atlantic Richfield Company, known as ARCO, paid $777 million in 1985. And the Shell Oil Company paid $180 million in 1987.

In the Texaco settlement, $115 million is for accusations of overcharging on refined products. The bulk of the settlement, $1.135 billion, involves accusations of price violations for crude oil.

The Energy Department's price controls involved setting a base figure from production in a month in 1972 with all production above that amount declared to be "new" oil. The production from any field declines with time. If production is made, Texaco, along with other oil companies, drilled existing wells to new depths, tapping previously unused reservoirs, and made other improvements in the grades.

That resulted in increased production at individual sites. According to a Texaco executive, the dispute arose when the Government defined property more broadly and argued that there was less "new" production than the company was claiming. Texaco was listing each reservoir as a new source of oil.

The Government's other major claim, against Texaco, was made by the Internal Revenue Service, covers a period of sharp increase in prices after the Iranian revolution in 1979.


"I would say that it is a negative, in addition to the huge cash drain of the Pennzoil settlement," said Donald E. Fernow, an analyst at Thompson McKinnon. "The fortunate thing is that they no longer have this shadow looming over them, but this timing is not auspicious," he said.

Many other claims, he said, had been settled for 10 or 15 cents on the dollar. By comparison, Texaco paid $1.25 billion on a claim of $2.1 billion. At Dean Witter Reynolds Inc., George F. Frieden said the settlement was in line with expectations.

"The terms of payment were better than I expected; being extended over five years," he said. "The after-tax cost, he added, is about $625 million."
INBOX.3 (#1015)

TO: HQI @ EMH1, NY @ EMH1

FROM: WMFO @ EMH1

SUBJECT: 095/0002L PRIORITY

DATE: 4 APR 88 13:29:18 GMT

TEXT:

TO: HQI, NY @ EMH1

FROM: WMFO @ EMH1

SUBJECT: 095/0002L PRIORITY

DATE: 4 APR 88 13:29:18 GMT

CC:

TEXT:

YZCZCWF0002

PP HQ NY BER LON

DE WF #0002 0951310

ZN R UU UU

P 041310 Z APR 88

FM FBI WASHINGTON METRO. FIELD(WF196A-1563)(183A-863)(P)

TO DIRECTOR, FBI PRIORITY

(ATTN: SSAG DIV. 6)

ADIC NEW YORK (12A-1470)(ATTN: SA)

BGMDRA)

(196A-1774)(ATTN: SA NYO, SQUAD C-1)

LEGAT, BERN

LEGAT, LONDON (ATTN: ASSISTANT LEGAT BT

UNCLAS E F T O (SECTION ONE OF TWO)

"CHANGED INTEROFFICE"

DRUGS/IDTO (00:NY) (NY 12A-1470)(WF 183A-863)

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW;

MR; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;

(00:NY) (NY 196A-1774)(WF 196A-1563)

TITLE MARKED "CHANGED INTEROFFICE" TO IDENTIFY FOR

SECRET
LEGATS BERN AND LONDON THE CODE NAME [ ] OF NEW YORK CASE FORMERLY CAPTIONED "UNSUB, AKA; ARNOLD H. PHELAN DBA E.F. HUTTON CORPORATION; NARCOTICS - MONEY LAUNDERING; CO: NEW YORK." CAPTION WAS CHANGED BY NY TELETYPED DATED 3/8/88.


FOR INFORMATION OF LEGATS BERN AND LONDON, REFERENCED WMFO TELETYPED NOTED SUBJECT ARNOLD H. PHELAN RESIGNED AS EXECUTIVE VICE PRESIDENT OF E.F. HUTTON CORPORATION (EFHC) ON 1/17/88. TELETYPED ALSO NOTED [ ]

SOURCE INFORMATION INDICATES [ ]
DURING THE PERIOD OF A VERY RELIABLE AND SENSITIVE SOURCE PROVIDED INFORMATION CONCERNING

THIS INFORMATION MUST BE CONSIDERED SINGULAR IN NATURE AS IMPROPER HANDLING OR DISCLOSURE WILL DISCLOSE SOURCE'S IDENTITY. SOURCE AND FAMILY WOULD BE IN PHYSICAL DANGER AND ONGOING FBI INVESTIGATIONS WOULD BE IN JEOPARDY.
INBOX.2 (#1014)
TO: HQ1 @ EMH1, NY @ EMH1
FROM: WMFO @ EMH1
SUBJECT: 094/0001L PRIORITY
DATE: 4 APR 88 13:14:44 GMT
CC:
TEXT:
VZC2CF0001
PP HQ NY BER LON
DE WF #0001 0951312
ZNR UUuuu
P 041312Z APR 88
FM FBI WASHINGTON METRO. FIELD (WF196A-1563)(183A-868)(P)
TO DIRECTOR, FBI

(ATTN: SSAS
PRIORITY
DIV. 6)
ADIC NEW YORK (12A-1470)(ATTN: SA
BOMRA)
(196A-1774)(ATTN: SA
NYO, SQUAD C-1)
LEGAT, BERN
LEGAT, LONDON (ATTN: ASSISTANT LEGAT
BT
UNCLAS E F T O (SECTION TWO OF TWO)
"CHANGED INTEROFFICE"
DRUGS/IDTO (CO: NY)(NY 12A-1470)(WF 183A-868) MARC
RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MR;
RICO: INCOME TAX EVASION; TRADING WITH THE ENEMY; (CO: NY)(NY
196A-1774)(WF 196A-1563)
IT IS TO BE NOTED THAT IN
BUREAU IS REQUESTED TO TRANSMIT THE ABOVE TO LEGAT.

SOURCE WILL CONTINUE TO IDENTIFY ADDITIONAL LEADS BEING LEFT TO THE DISCRETION OF THE LEGAT.

AS A COURTESY TO NEW YORK, WMFO IS REQUESTING LEGAT.

LONDON TO IDENTIFY RESULTS OF THE DESCRIPTIONS SHOULD BE SENT TO NEW YORK.

NYO.

40001
BT
UNCLAS
MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;
FBI; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; CO:
NEW YORK.


ON JUNE 22, 1988, LEGAT MET WITH SOURCE
DESCRIBED IN REFERENCED BERN TELETYPE.

SOURCE RECENTLY
SOURCE FURTHER ADVISED THAT

SOURCE INQUIRED AS TO THE POSSIBILITY OF
LEGAT is not aware as to whether the information that
significant or previously known. LEGAT notes information
received from [ ] source that

BERN maintaining contact with [ ] source.

PT
#0076

---------------------END OF DOCUMENT---------------------

INBOX.24 (#2947)
FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) (C) TO DIRECTOR FBI/PRIORITY/ FBI NEW YORK (12A-1470)/PRIORITY/ LEGAT LONDON/PRIORITY/ INFO LEGAT BERN/PRIORITY/ BT UNCLAS E F T O CITE: //3920// PASS: FBHQ, SSA DIVISION 6; NEW YORK, SA BOMBA (12A-1470); NEW YORK, SA SQUAD C-1 (196A-1774); LEGAT LONDON, ASSISTANT LEGAT SUBJECT: DRUGS - IDTO; OD: NEW YORK.
SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OD: NEW YORK (NY 196A-1774) (WF 196A-1563).
RE WMFO TELETYPES TO THE BUREAU DATED MAY 27, 1988, AND JUNE

FOR INFORMATION OF RECEIVING OFFICES, DURING THE PERIOD OF
A VERY RELIABLE AND SENSITIVE
SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING EFFORTS
SOURCE PROVIDING THE ABOVE INFORMATION IS [REDACTED].

MUCH OF THE INFORMATION WAS OBTAINED BY [REDACTED].

IMPROPER HANDLING OF INFORMATION WILL DISCLOSE SOURCE'S IDENTITY. SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER AND BUREAU INVESTIGATIONS WILL BE IN JEOPARDY. [REDACTED]

BT

#0011

SECRET
IN

TO: HQ EMH, NY EMH
FROM: WMFO EMH
SUBJECT: 183/0973L/IMMEDIATE
DATE: 1 JUL 88 13:10:10 GMT
CC:

TEXT:
VZCZCWF0973:
00 HQ NY LON BER
DE WF #0973 1831304
INR UUUUU
0 0113062 JUL 88
FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1583) (C)
TO DIRECTOR FBI/PRIORITY/ FBI NEW YORK (12A-1470)/PRIORITY/ LEGAT LONDON/IMMEDIATE/ INFO LEGAT BERN/PRIORITY/ BT
UNCLAS E F T O
CITE: 1/3920/
PASS: FBIHQ, SSA DIVISION 6: NEW YORK, SA SQUAD C-7 (12A-1470); NEW YORK, SA SQUAD C-1 (196A-1774); LEGAT LONDON, ASSISTANT LEGAT
SUBJECT: DRUGS - IDTO; DC: NEW YORK.

SUBJECT: MARC RICH - FUGITIVE (E); PINCUS GREEN - FUGITIVE (F);

196A-1774-489
JUL 01 1988
FBI-NEW YORK
SECRET

NOTE: TWO OF WP 0970 INCLAS

FBI; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; CO; NEW YORK (NY 196A-1774) (WP 196A-1268).


FOR INFORMATION OF RECEIVING OFFICES, DURING THE PERIOD OF 

A VERY RELIABLE AND SENSITIVE SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING

TO SOURCE(s). [X] [S] [U]

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Marc Rich - Fugitive (B); Pincus Green - Fugitive (B); FBW (A);
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Renylete to the Bureau, Dated December 11, 1987; Nytele to the
Bureau, Dated January 7, 1988; And Legat, Bonn Teletype to the

All information herein is unclassified.

1 - New York
1 - Supervisor C-1
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(2)

Approved: [Signature]
Transmitted: 228/145

Note: After approval, please route this document back to the word
processing supervisor, not to the teletype room.

WP Initials: [Signature]
ON AUGUST 10, 1986, NYO CONTACTED [ ] AT THE REQUEST OF [ ]
NUMBERS [ ] AMERICAN CONSULATE [ ]

Appears to still have an interest in assisting the FBI in

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OUTBOX.1 (#1389)
FROM: NYTX & EMX2
TO: marking
SUBJECT: 228/145L ROUTINE
DATE: 16 AUG 88 00:23:50 GMT
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TEXT: VZCZCNYC145
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FM FBI NEW YORK (196A-1774) (P) (C-1)
TO DIRECTOR FBI () ROUTINE
ATTN: RCME, 196A-112
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MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW (A);
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NYO HAS YET TO ESTABLISH THROUGH AUTHORITIES WHETHER

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APPROPRIATE AUTHORITY TO DETERMINE WHETHER
LEGAT, ROME IS ALSO REQUESTED TO REINTERVIEW [INSERT NAME] FOR ADDITIONAL INFORMATION.

THE BUREAU IS REQUESTED TO CONTACT THE ATTORNEY AT OFFICE OF INTERNATIONAL AFFAIRS (OIA) HANDLING [INSERT NAME] AND DETERMINE WHETHER [INSERT NAME] IS APPLICABLE.

BT
#0145
Base metal can be turned to gold. A mysterious squeeze on the aluminium market yielded a $400 million profit for people who bought at the right time. Some of the players could be living in the Swiss town of Zug. Chris Blackhurst introduces two: Marc Rich (right) and Rainer Glaser (far right).

This June, police in north-east England noticed an unusual number of road signs being stolen. The reason was that they were made of aluminium. The metal is the commonest in the world, but early this summer it was in uncommonly short supply. Prices on the London Metal Exchange rose so fast that the villains in the north-east realized the opportunity to make a big or two.

Before the exchange's council called a halt to the price madness in the aluminium market early in June, some clever speculators had made hundreds of millions of dollars in profit. For eight months, the market had been subjected to a merciless squeeze.

There is nothing new about bizarre price behaviour in the metals markets. Because they exist in a world with an arcane language all their own of shorts and longs, futures, contangos and backwardation, they resist public scrutiny. On rare occasions their vulnerability is exposed, as when Bunker and Herbert Hunt of Dallas, Texas, and some Saudi Arabian princes got together in 1973-80 to try to corner the silver market. A little later, the Malaysian government combined with traders in Zug, Switzerland, to squeeze the tin price upwards.

What happened this time in aluminium was that in just seven months the metal's price rose from $1,600 a tonne to a record $4,205. At one stage, aluminium—traditionally regarded as a cheap metal—was more expensive than copper.

Metal companies were caught short, literally. Without any warning, in November 1987, the supply of aluminium tightened. Stocks were low. Inevitably, some traders were exposed. They had orders to meet but no aluminium to meet them with. In market parlance, they were "short".

To make matters worse, in April this year, just when the squeeze began to bite, a small but vital supplier called Euro-Continental Enterprises defaulted on its orders. Its collapse was enough to tip the market over the edge. Suddenly, the situation was desperate. Dealers clamoured for aluminium, to no avail. There was none to be had. The price went through the roof.

Not everyone was short, however. With remarkable foresight and an acute sense of timing some people had gone "long": they had aluminium. The LME stepped in and at a special crisis meeting on June 10, warned traders not to withhold aluminium. Chairman Christopher Green pleaded with them to take a long-term view of the market. "Exaggerated price movements," he said, "can harm legitimate operators in the market and adversely affect the reputation of the exchange." Eventually the strictures were heeded, and by the third week in June the price had fallen back. By then, however, the longs were $400 million richer. (On the downside, one company, Hydro Trading, a member of the Norwegian Norsk Hydro group, lost at least $12 million.)

Three things are necessary for a squeeze: low supply, high demand, low stocks. For some time, aluminium has met all three criteria. This year, for instance, the world will consume 13.5 million tonnes (in everyday things from kitchen foil to window frames to gas cylinders to soft drink cans) but produce only 13 million tonnes.

Squeezes are, by their very nature, covert exercises and their participants are reluctant to reveal how it is done. Much metals trading is done in London, but an inquiry might usefully start in a small canton on the outskirts of Zurich.

With picture postcard views and narrow, cobbled streets, Zug looks typically Swiss. But Zug is different. The tiny canton (population 77,000) is richer than many countries. Its per capita gold reserves are more than 10 times those of the US and it receives more than $200 million a year in sales revenue from its 8,000-plus registered corporations. The reason for this unusual state of affairs is that Zug's main export is financial subterfuge: shell companies, trust funds, private banks, tax dodges. Foreign governments attack Swiss bank secrecy, the good burghers of Zug encourage it all they can. Another attraction is that the canton's taxes are the lowest in Switzerland.

From mid-morning onwards, Zug's pavement cafes are crowded with wives and mistresses of all nationalities, their Porsche and Mercedes blocking the streets. At midday their men emerge from the gleaming modern offices along the main thoroughfare the Baarerstrasse. Their dress is uniformly Italian, suit, Dior glasses, tasseled loafers. Their talk is all high finance, commodities, metals and, inevitably, Marc Rich.

For a deeply private man, Marc Rich has notoriously public face. His is the six-storey blue-glass tower (nicknamed the "Dallo Branch Building" because of Rich's parallels with F. R. Ewing) overlooking Zug railway station. Inside, executives can be seen sitting at desks, moving around, making their marks. This is the nearest most people get to the secretive 53-year-old billionaire.

In 1973, Rich left the commodities house Philipp Brothers in New York City to go alone. Ten years later he had built one of the world's largest trading empires. In 1985, with the US government's attorneys hot on his trail, he fled New York and went to Zug. Shortly afterwards he was convicted of counts of racketeering, mail fraud, wire fraud, tax evasion, conspiracy and trade with an enemy. (At the height of the '79 hostage crisis, he had bought oil from Iran.)

In 1984, Rich's companies pleaded guilty to 39 separate counts of tax evasion and paid a fine of $90 million. At the same time his US operation, Marc Rich & Co International, was in financial straits. But he extricated himself and his companies from the US, the personal charge having never been dropped—making Rich able, should he ever return, to a possible 325-year prison sentence.

Exile has been kind to Rich. Marc Rich
Co AG has offices around the world, all reporting back to Zug. This empire is worth more than $1 billion. Glaser's wife Denise has achieved success as a singer and song-writer (she wrote the 1988 UK number one hit "Frankie" for the group Sister Sledge). And in his chalet in the village of Oberaggeri, outside Zug, there are works by Picasso, Miró and Giacometti.

Rich is not a prisoner. Some years ago, after working in Philip Brothers' Madrid office, he obtained a Spanish passport. (Conveniently, under the terms of their extradition treaty, neither the US nor Spain are bound to hand over each other's nationals.) He is able to travel freely between Zug and his villa in Marbella, and, as we shall see, to other destinations as well.

In Switzerland, and in Zug in particular, Rich is revered. He is an establishment figure, the owner of Switzerland's second-largest private enterprise and a generous supporter of its charities. In Zug, everyone has a Rich story; how he donated money to plant the trees down by the lake; how his chalet is guarded by barbed wire and cameras; how he is backing Zug's new shopping centre; how his salaries are higher than others; among the commodities that his clients say it is whispered, are their souls.

Rich, though, is not the only metal man to have sought refuge in Zug. In February last year, at the end of one of the longest civil fraud cases in English legal history, Justice Hobhouse found that between 1982 and 1983, Glaser, a German aluminium trader,ｂ　could not be extradited to Switzerland, after working in a German aluminium company, Metall und Rohstoff, out of tens of millions of pounds.

In his 42-page judgment, Hobhouse described how Glaser had simultaneously set up more than 20 companies, including ACIL (Metals (London)). Glaser's best deals benefited ACIL's brokers and the private accounts he had established, rather than his employer. Hobhouse described Glaser as a "flawed genius" and as "wholly corrupt and uncreditable."

In the real world, Glaser would have been prosecuted. In the bizarre world of metals trading, things work differently. "We thought about bringing in the fraud squad but they would not have understood what was going on," says an adviser to Metall und Rohstoff. Instead, it bought a civil case against ACIL. Hobhouse found that ACIL had guilty knowledge of Glaser's fraud and ordered it to pay £1,65 million plus interest to M&R. Glaser did not pay anything.

In any other line of business, Hobhouse's judgment would have spelled the end of Glaser's career. Metal men play to different rules, however. And, after all, Glaser was brilliant. Hobhouse himself had said so: "He was able to conduct virtually single-handed enormous transactions and negotiations without losing his way. He was enormously energetic and at the same time, highly imaginative and inventive in the business he was prepared to generate and the techniques he called on. People were prepared to describe him as a genius, and there is no doubt that he was widely admired and held in a degree of awe by others in the aluminium business. However, the fact remains that he was a flawed genius."

It was not long before Glaser's services were in demand again. After resigning from M&R in 1983 he worked in Hong Kong for a while, before re-surfacing in Zug as the vice-president of a small trading company, Consolidated Metals & Minerals (CMM).

"It was inevitable that Glaser's and Rich's paths would cross. Zug is that sort of place. Glaser's office is at number 8, Baarerstrasse. Rich is across the road at number 37. Glaser claims that he has ever worked as an employee of Rich. "It would be too dangerous for him to employ me. Because of Hobhouse, some people would automatically assume the worst," he says. Their relationship is more informal. "We just do business together." One of their common interests is aluminium.

Rich has lots of aluminium. In fact, there are few people with more aluminium than Rich. Working with Clarendon, he is now the world's fourth-largest producer.

In the aluminium world, Glaser acts as a middle-man. From his base in Zug, he travels the world buying aluminium on behalf of metal processors. He is employed by two companies: by Zug-based CMM as vice-president, and as a consultant by Euro-Continental Enterprises, a company registered in Monrovia, Liberia. Powerfully built, with shoulder-length black hair, Glaser does not look like a corporate vice-president, but the energy to which Hobhouse paid tribute is evident: he does not look for an excuse to identify the owners of CMM except to say that they are "different people, Swiss and European."

Although he is its consultant, Glaser tried to distance himself from Euro-Continental. He became involved a few years ago, when he says, he was introduced to a Channel Islands company, Channel Trust, by a Canadian, Rodney Duncan, a former president of Alcan Bermuda. (Duncan featured in the M&R case as the man behind one of Glaser's private accounts, although there was no question of "guilty knowledge" on his part. Channel Trust acted as a financial adviser to Euro-Continental Enterprises. Glaser became a paid consultant to Euro-Continental and CMM its agent.

Like CMM, Euro-Continental bought metal from producers on behalf of European manufacturers. It dealt with some of the biggest companies in Europe, such as France's Pechiney, Norisk Hydro and the German group, Freussag. For example, Euro-Continental would buy metal from Pechiney in Europe, CMM would buy from Pechiney in the US, Glaser explains.

Euro-Continental's operation was typical of many metal trading companies: small staff (three, excluding Glaser), low overheads. Huge turnover (in aluminium alone, it handled orders worth $1 million last year). And there was one difference: the company's registration in Liberia.

The tiny West African state is not known for its metal trading business. But being registered in Monrovia has attractions, not least that the practicality of its shareholders is guaranteed. It also has a watertight bankruptcy protection law that protects shareholders even when their company goes bust. Typically Glaser, whose own company CMM, was paid $100,000 a year plus commission by Euro-Continental, is as reluctant to name its shareholders as he is CMM's. "They are a number of people representing risk money, trusts and entrepreneurs," he says. "They are represented by an Australian, whom I believe is a close associate of Marc Rich." (Incidentally; Euro-Continental shares its Gibraltar office with Rich's Spanish subsidiary, Ron Investment.)

In July 1987, Euro-Continental ran into difficulties. A German company, Bergmann, contracted with it to supply West German aluminium to Pechiney. When it arrived, Pechiney claimed it was East German and inferior quality, a charge Bergmann denies. Pechiney refused to pay Euro-Continental, which in turn refused to pay Bergmann; the parties consulted their lawyers.

In Zug, in the autumn of last year, the prospect had become a topic for conversation. Its price had risen steadily, from $1,400 at the beginning of the year to $2,250 in October. Its prospects were promising. But only the true metal me
Ramer Glaser. efroze Euro-Continental's assets land, was another faulted on its account. Pru-Bache, the seller must buy aluminium, and if there is not enough metal to satisfy demand the price rises.

In November, the longs began to take delivery of aluminium and a shortage of 150,000 tonnes became apparent. Metal was available, of course, but at much higher prices. Moreover, the longs had substantial futures contracts. The mere threat that they might demand delivery sent the aluminium price even higher throughout the winter. The squeeze had begun.

That was bad enough, but the market remained fairly orderly until March 30. That was the day on which Euro-Continental defaulted on its orders. Companies with contracts to buy from Euro-Continental were forced to go elsewhere. The consequence was another round of price increases.

Two events precipitated the demise of Euro-Continental. Bergmann, frustrated at not being paid for the Pechney contract, froze Euro-Continental's assets in Switzerland, and it started legal proceedings in Germany. And on March 21, the futures arm of Pru-Bache, the giant US bank, made a margin call against its client, Euro-Continental.

Pru-Bache bought and sold metal futures on behalf of Euro-Continental, which, according to Glaser, deposited $600,000 with Pru-Bache on the understanding that its account would never show a deficit. If it did, the account had to balance within one working day. In March, the account moved into the red. On March 21, Pru-Bache demanded $400,000 from Euro-Continental to cover the deficit. No money was forthcoming.

Next day, Pru-Bache asked for an additional $1 million. Again, no money was paid.

Despite Glaser's confidence in its wealthy backers and its million-dollar turnover, Euro-Continental seemed unable to raise $1.4 million. Glaser's explanation is that there was sufficient cash in Switzerland but that it was frozen. But Bergmann found assets of just SF2.2 million, or $500,000.

Apparently, Euro-Continental had no other funds it could call upon. Nine days after its first demand, on March 30, Pru-Bache learned that Euro-Continental had filed for Chapter 11 bankruptcy protection under Libyan law. Euro-Continental, with a skeleton staff and orders throughout Europe, was putting itself under the protection of the receiver in Monrovia, Liberia.

The effect on the company's customers was devastating. They suddenly found themselves with orders to meet but no supplier. When they turned to the LME they found the longs were willing to sell, but the price reached $4,200 a tonne. The squeeze was complete.

It is always difficult to calculate how much the longs make at the expense of the shorts in a case like this, but on the assumption that the longs controlled 150,000 tonnes of aluminium that the shorts were forced to buy, their profit (on a price increase of $2,600 a tonne to $4,200) comes to $400 million. Even if the longs did not buy when aluminium was at its cheapest after Black Monday, and did not sell at the top of the market in June, the profits would still have been counted in hundreds of millions of dollars. It was an act of wizardry in which a base metal had been transformed. Each of the players was worth more than his weight in gold.

Who were the fortunate beneficiaries? The regular dealers on the LME are always reluctant to attribute abnormal price movements to wizardry in places like Zug. Once they admit that the markets can be moved by individual behaviour, their business appears less stable, so they look for impersonal market forces. In this case they blamed the Japanese, suggesting that they had bought more aluminium than was good for them. Oddly, this explanation is dismissed as ill-judged by -Rainer Glaser.

"When we look for someone to blame we always pick on the poor Japanese. They are an easy target - they are too polite to answer back." Glaser emphasises that the traders in the best position to profit from the unusual market behaviour were those who knew that Euro-Continental was going under. "If you know what is going to happen, it reduces your risks of getting the market wrong to zero. In aluminium on the LME, it will either go up or down, so you always have a 50 per cent chance. But if you have the right information it's 100 per cent."

Glaser knew and so did Rich's Spanish subsidiary, Ron Investment, in the office it shared with Euro-Continental.

Glaser's movements were naturally of interest to Pru-Bache and he has been tracked to a number of places, including Gibraltar, London and Monrovia. Sometimes he has been accompanied by Rich. About these trips, the lacquacious Glaser remains silent.

Rich declined to be interviewed for this article, and since he has a record of responding for interviews even when they come from senior US attorneys, that is not altogether surprising.

Glaser's delight in discussing the recent history and the curious behaviour of the aluminium market is not so easy to explain. During interviews conducted in his office and over a relish of brandies, Glaser insisted that the reason Mr. Justice Hobhouse was so scathing was that the judge does not understand the metals business, and that he [Glaser] took the blame for the incompetence of others. "I was amazed the judge said the things he did. He made statements which he could not possibly justify."

On the latest aluminium squeeze, Glaser protests his innocence. "I've had a tough time proving that I had nothing to do with the collapse of Euro-Continental. I am just an employee of Citibank and before you ask, it's absolutely nothing to do with Euro-Continental. It is just its agent. What happened was just a coincidence of behaviour by certain people. That was all."

There are occasions when aberrant price behaviour in the metals market is, indeed, pure coincidence. These are, however, few and far between and when traders from Zug are prominent in a market, history suggests that the likelihood of coincidence dwindles.

But enough of history. What of the future? As a parting gift, Rainer Glaser revealed that the base metal is which he is now starting to take a keen interest is copper. You have been warned.
SUBJECT: USS ALCOA ROUTINE
DATE: 18 AUG 98 09:34:56 CT

TEXT:

كتب 1707317 AUG 98

TO: BONY (1964-1969) (AUD)
TO: DIRECTOR, FBI ROUTINE
TO: NEW YORK (1964-1974) ROUTINE
TO: LEGAL ATTACHE BERH (1964-1994) ROUTINE
TO: LEGAL ATTACHE KOME (1964-1994) ROUTINE
TO: UNCLASSIFIED

FROM: RICH-BURGESS (94) REDUX GREEN - POSITIVE (9) - BEN (A) CO:
NEW YORK

RE: 1964-1933

PENDING THE RECEIPT OF SPECIFIC INFORMATION REGARDING
IN ADDITIONAL LEADS. THIS MATTER
IS SPLIT AT BONY.

AK ofc
1/19/98

C-1

1964-1774-492

ALL INFORMATION HEREIN IS UNCLASSIFIED
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AUG 18 1968

SEARCHED 32 INDEXED 25 FILED
TO: NY @ EMH1
FROM: HQT @ EMH1
SUBJECT: 238/0147 ROUTINE
DATE: 28 AUG 88 16:17:22 GMT
CC:

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TO DIRECTOR ROUTINE
NEW YORK (196A-1774) ROUTINE
LEGAL ATTACHE BERN (196A-234) ROUTINE
LEGAL ATTACHE BONN (196A-189) ROUTINE
UNCLAS E F T O

BT
MARC RICH - FUGITIVE(B); PINCUS GREEN - FUGITIVE(B); FBW (A); CC:
NEW YORK

FOR INFORMATION OF RECIPIENTS, ON AUGUST 23, 1988, REGIONAL
SECURITY OFFICER (RSO), ADvised AS FOLLOWS:

ADvised THAT

INADVERTENTLY,

ALSO ADVISED THAT ON

ALL INFORMATION HERIN IS UNCLASSIFIED
DATE 02/21/81 BY

196A-1774-496
SEARCHED KEY INDEXED Filed AUG 28 1988
ARRANGEMENTS HAVE BEEN MADE FOR LEGAT, ROME REPRESENTATIVE
RESULTS WILL BE PROVIDED TO RECIPIENTS.

BT
#0147

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INBOX HAS 2 DOCUMENTS
INBOX.1 (#1332)
TO: NY EMHI
FROM: HQ TX EMHI
SUBJECT: 005/0075 ROUTINE
DATE: 6 JAN 89 09:03:51 GMT
CC:
TEXT: V1CZCHG0075-0052008
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LEGAL ATTACHE BERN (196A-234/ROUTINE/
LEGAL ATTACHE BONN (196A-189)/ROUTINE/
BT
UNCLAS E T O
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SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN: - FUGITIVE (B);
FBW (A); 00: NEW YORK.
RENYTELS SEPTEMBER 30, 1987, AND AUGUST 15, 1988; AND ROMTEL
FOR INFORMATION OF RECIPIENTS, ON DECEMBER 14, 1988, LEGAT
ROME INTERVIEWED REGARDING

[Handwritten note: O6-07]
HAD NOTHING.

LEAD. NEW YORK IS REQUESTED TO PROVIDE INFORMATION CONCERNING FOR HIS INVESTIGATIVE USE. ROME RECOMMENDS THAT FOLLOW-UP INVESTIGATION REGARDING
PAGE THREE DE ROM 0708 UNCLASSIFIED.

PENDING THE RECEIPT OF SPECIFIC INFORMATION REGARDING

OR ADDITIONAL LEADS, THIS MATTER IS RUC AT ROME.

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INBOX.2 (#1333)
To: ADIC, NEW YORK (196A-1774) P  Date: 1/20/89

From: SA (C-1)

Subject: MARC RICH - FG. (B);
PINCUS GREEN - FG;
FBWCA;

On 1/18/89, telephone # was telephonically contacted and was furnished the above related information in legat, Rome teletype of 1/4/89 as requested by legat, Rome.

ALL INFORMATION HEREIN IS UNCLASSIFIED
DATE 1/20/89
b7c

19A-1774-498
SEARCHED, INDEXED, FILED
JAN 23 1989
FULL NEW YORK
Memorandum

To: DADIC/SAC, DIVISION (ATT: SUPERVISOR, SECTION)
From: ADIC, NEW YORK (66-7566)
Subject: NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

Following proper procedures for validation of these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of inaccurate or obsolete information in the data base files.

Please review all information contained on these records and, utilizing this form, notify NCIC/NYSPIN of all changes to be made, updated information to be added, and whether or not the records regarding a particular item should be maintained or purged. If a record is to be purged, it is required that a date of recovery and/or arrest be provided. Where active records do not contain all available information, data should be added directly to printout in the appropriate field.

Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by [blank] (Date) 05/11/89.

[Signature]

Thank You,
[Name]

PURGE ________________
Date of Recovery ________________
Date of Arrest ________________

MAINTAIN V

MODIFY (Updated information attached)

CASE AGENT ________________
SUPervisory SA ________________

DATE RETURNED 2/6/89

[Signature] 2/6/89

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 1/23/89 REV. 6/6/86

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NYSP WST OFDC 0736
NYFBINY00
NAN/RICH, MARC
SEX/M, RAC/U, DOB/121634, IND/1

CONFIRM RECORD WITH ORIGINATOR

MKE/ - WANTED PERSON
ORI/纽约/纽约市 - U.S. FBI NEW YORK CITY 30
NAN/RICH, MARC SEX/M RAC/U DOB/121634
SID/- CKN/- SOC/496343075 PUI/EG
HGT/510 WGT/130 EYE/., HAI/XXX SKIN/.
LCD/- LCI/F OFF/C608
EXT/2 - WITHIN AND OUTSIDE NEW YORK STATE
WST/1 - ACTIVE
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MIS/SUBJ IS WANTED FOR TAX EVASION IF ENCOUNTERED NOTIFY
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AKR/... . AGA/... . DIA/... . RES/.
WFR/0302454

ALL INFORMATION CONTAINED HERIN IS CLASSIFIED
DATE 3/21/81

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MKE/WANTED PERSON
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SOC/496343075 OFF/TAX REVENUE DOW/091903 OCA/OSGY003
MIS/SUBJ IS WANTED FOR TAX EVASION TRADING WITH ENEMY IF ENCOUNTERED CALL
GA/... INFO.
ORI IS US CUSTOMS SERV OFFICE OF ENF NEW YORK NY
NIC/W318412622
INMEDIATE CONFIRM WARRANT AND EXTRADITION WITH ORI

MKE/WANTED PERSON
ORI/NYFBINY00 NAN/RICH, MARC SEX/M RAC/U DOB/121634 HCT/510
WGT/180 EYE/XXX HAI/XXX
SOC/496343075 OFF/FRAUD - BY WIRE DOW/091903 OCA/OFDC05951
MIS/SUBJ IS WANTED FOR TAX EVASION IF ENCOUNTERED NOTIFY
ORI IS FBI NEW YORK NY
NIC/W321531799

MKE/WANTED PERSON
ORI/DCIR892000 NAN/RICH, MARC SEX/M RAC/U DOB/121634 HCT/509
WGT/160 EYE/EO HAI/BLK
MN0/DP/230454302 SOC/496343075 OFF/INCOME TAX DOW/030584 OCA/13820154P
MIS/IS RESIDING IN ZUG SWITZERLAND CONT 8-A
ORI IS DIP HQ WASHINGTON DC
NIC/W369014859
INMEDIATE CONFIRM WARRANT AND EXTRADITION WITH ORI
Memorandum

To: DADIC/SAC, DIVISION
   (ATT: SUPERVISOR, SECTION)
   Date 4/25/89

From: ADIC, NEW YORK (66-7566)

Subject: NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

Following proper procedures for validation of these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of inaccurate or obsolete information in the data base files.

Please review all information contained on these records and, utilizing this form, notify NCIC/NYSPIN of all changes to be made, updated information to be added, and whether or not the records regarding a particular item should be maintained or purged. If a record is to be purged, it is required that a date of recovery and/or arrest be provided. Where active records do not contain all available information, data should be added directly to printout in the appropriate field.

Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by

Record should be modified to reflect SA [ ] in place of SA [ ]

[ ] PURGE
   Date of Recovery ____________________
   Date of Arrest ____________________

[ ] MAINTAIN

[ ] MODIFY
   (Updated information attached)

CASE AGENT

SUPERVISORY SA

DATE RETURNED

ALL INFORMATION HEREIN IS UNCLASSIFIED

DATE 2/6/89

REV. 6/6/86
DCJS & NCIC MODIFY RESULTS

MODIFIED ON BOTH FILES
NAM/GREEN, PINGUS
DATA MODIFIED: MIS
YOUR RECORD AS IT NOW APPEARS ON FILE WILL FOLLOW.

WANTED PERSON

NAM/GREEN, PINGUS SEX/M FNC/W DOB/______
SOC/O_________________ FOR/O HCT/510 WGT/180 HAIR/CRY
LAW CAT/F NCIC OFF/2699 FRAUD-
DCA/QFBC6671 WARRANT TYPE/ARREST WARRANT
WARRANT STATUS/ACTIVE
DOB/09/19/83 EX/O/Z
MIS/MAIL FRAUD WIRE FRAUD TAX EVASION RACKETEERING IF ENCOUNTERED CALL
US CUSTOMS SA VC1
WPR/03/07/02 NIC/W324077114

THE WANTING AGENCY HAS INDICATED IT WILL EXTRADITE THROUGHOUT
NEW YORK STATE AND OUTSIDE THE STATE.
UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

MEMORANDUM

DATE: 7/25/89

TO: ADIC, NEW YORK (H6a-1774)

FROM: SSA (C-1)

SUBJECT: Marc Rich, et al

During May and June, 1989, SA was assigned on a full-time basis to assist Squad C-2 on case captioned as a Title III. In view of above, captioned matter was not addressed during this time period.

MJB:ER

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED.
To: ADIC, NEW YORK (196A-1774)

From: SA (c-1)

Date: 7/24/89

Subject: MARC RICH-FUG (B);
PINCUS GREEN-FUG (B);
FBW (A)
00: NY

It is requested that a sub-file be opened to the main file in accordance with recent Bureau regulations concerning fugitive cases.
Pursuant to the provisions of the Attorney General's Memorandum of November 7, 1983, to the Heads and Inspectors General of Executive Departments and Agencies, entitled "Procedures for Lawful, Warrantless Interceptions of Verbal Communications," authorization was granted for the use of transmitting and/or recording devices, as described in referenced communication. Authorization is for a period of\[\_
\]

You are to submit an FD-621 within 30 days of the expiration of this authorization furnishing information regarding use of this equipment in accordance with MIOG, Part II, Section 10-10.3(9).

In the event a renewal of this authority is deemed warranted, submit your request with full justification at least seven days prior to the expiration of the existing authority, in compliance with MIOG, Part II, Section 10-10.3(9).

In addition, you should ensure that all persons reasonably identified as having been monitored are included in the field office and FBIHQ ELSUR indices (MIOG, Part II, Section 10-10.5). Strict administrative controls must be established to ensure these requirements are met.

As you know, this equipment is to be used only when a consenting party is present. Bureau equipment should be afforded appropriate security. You should keep the Bureau-advised-of pertinent developments.

1 - Attn: WMFOSUR Coordinator
1 - Attn: New York ELSUR Coordinator

Attn: WMFOSUR Coordinator
Attn: New York ELSUR Coordinator
ASSIGNED TO:
PREV ASGD:
VIOLATION: FBW

TITLE: MARC RICH, DBA/MARK RICH & CO:

REMARKS:
OO: NY  OQ CASE: -
FBIHQ CASE: -
OTHER CASE:
OLD CASE:

CASE SQUAD: C1
HQC/RA: D2
SUPV: C1

CLOSED:
P* DATE:
DEADLINE:
ECTDATE:
ECTRILE:

ESTIMATED MONTHS: 0
PRIORITY: 0

DEADLINE:
ECTDATE:
ECTRILE:

TITLE: MARC RICH, DBA/MARK RICH & CO:

REMARKS:
OO: NY  OQ CASE: -
FBIHQ CASE: -
OTHER CASE:
OLD CASE:

<GO> - NEXT CASE
F1 - REQUERY
F2 - SUMMARY
F7 - ADD A SERIAL
F9 - MODIFY
F10 - CASE MENU
F8 - DELETE
SHIFT-F10 - FOIMS MENU

400 INFORMATION
HEREIN IS UNCLASSIFIED
DATE 01-08/1

01-08/1
RICH, MARC

DDN:

TRUE:

FO: NY CASE NO: 196B-0001774
NAME TYPE: MAIN REF: M EVENT DATE: INDEXED: 072381
CO: CO CASE NO: MODIFIED: 021987

VIOLATION: FBW
SERIALS: 38, 411, 412, 429P3

SPECIAL:

RACE: W SEX: M ID-NO: SOC 496-34-3075
DOB: 12/18/34 POB:
STREET NO: NAME:
CITY: ST: COUNTRY: ZIP:

MISCELLANEOUS: 5'9", DBA/MARC RICH & CO

GREEN, PINCUS

DDN:

TRUE:

FO: NY CASE NO: 196B-0001774
NAME TYPE: MAIN REF: R EVENT DATE: INDEXED: 031782
CO: CO CASE NO:
MODIFIED:
SPECIAL:

VIOLATION: FBW
SERIALS: 13, 38, 412

RACE: W SEX: M ID-NO:
DOB: STREET NO:
CITY: NY
ST: COUNTRY: ZIP:

MISCELLANEOUS: MEMBER OF THE BOARD OF DIRECTORS, MARC RICH & CO
HT/5'10

<GO> - NEXT INDEX
F8 - DELETE F10 - INDEX MENU
F1 - REQUERY F3 - SUMMARY F7 - ADD ALIAS F9 - MODIFY SHIFT-F10 - FORMS
F9 - MODIFY SHIFT - FORMS - 32
Memorandum

To: ADIC, NEW YORK (196A-1774) P
From: SA
Subject: MARC RICH - Fug. (B);
        PINCUS GREEN - Fug. (B);
        FBW, MF, Rico
        00: NY

Re: Bureau airtel to WMFO + NYO dated 8/10/89.

On 9/25/89, SA, WMFO, advised he requested the consensual monitoring
in captured matter by WMFO Teletype dated 8/4/89. He has handled the entire matter.

New York has no responsibility concerning
this matter and the referenced Bureau airtel
was forwarded to the NYO for information.

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

SEP 25 1989

FBI - NEW YORK
PER request of ELSUR Index Submittal, on 11/1/89, routing slip is being submitted to confirm that WMFO was responsible for the body recorder request and WMFO did, in fact, submit a timely FD-621. Nyo was not involved in the request and has no responsibility.
/ROUTINE/
NEW YORK (196A-1774) (P) (C-1)

/ROUTINE/
DIRECTOR FBI () () ()
BERN () () ()
BT
UNCLAS

Note: After approval, please route this document back to the word processing supervisor, not to the teletype room.

WP Initials: CBO-3/2/90-9:05AM
SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B); FBW; MF; RICO; TAX EVASION; TRADING WITH THE ENEMY; 00:NY.

LEGAT, BERN IS REQUESTED TO CONFIRM RESIDENCE OF SUBJECTS RICH AND GREEN IN SWITZERLAND AND WHETHER THEY CONTINUE DOING BUSINESS IN ZUG, SWITZERLAND.
SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B); FBW; MF: RICO; TAX EVASION; TRADING WITH THE ENEMY; 00: NY.

LEGAT, BERN IS REQUESTED TO CONFIRM RESIDENCE OF SUBJECTS RICH AND GREEN IN SWITZERLAND AND WHETHER THEY CONTINUE DOING BUSINESS IN ZUG, SWITZERLAND.
If Marc Rich, charged with criminal tax evasion and fraud, sets foot in the U.S., he'll end up, like Manuel Noriega, in handcuffs. But Rich's businesses flourish here, and so does his profitable relationship with Houston dealmaker Charles Hurwitz.

Mutual convenience

By Ralph King Jr.

In the business world has produced a champion sleaze in recent years, 55-year-old Marc Rich owns that title. A native of Belgium, he became a U.S. citizen, made a large fortune here, and when Uncle Sam asked him to pay up on his income taxes, he absconded to Zug, Switzerland, where he lives in lavish tax-avoiding splendor. If he sets foot here, Rich faces handcuffs; the Justice Department has charged him with criminal tax evasion and fraud. So blatant are his crimes that the U.S. government will pay a reward of up to $500,000 to anyone who can help bring Rich to justice.

But stealing from the tax collector is almost the least of Marc Rich's scummy tricks. When Ayatollah Khomeini and his gunmen were holding U.S. diplomats hostage in Tehran, the estimable Rich was helping the Ayatollah by dealing in Iranian oil when such trading was banned by the U.S. government.

Nice fellow, Marc Rich. Nevertheless, he continues to do business here on a huge scale. Money is money, and there are plenty of people willing to do business with Rich. One such is a chap named Charles Hurwitz, 49, a smooth-talking Houstonian who owns a lot of southwestern real estate and has taken over several large comp...
Marc Rich had what Rich wanted: additional alumina to boost Rich's control of the international market. And Rich had what Hurwitz needed to pay off a $925 million, high-cost bridge loan; cash. Rich's companies paid Hurwitz an abrupt $171 million to get out from under the risky bridge loan, refinancing KaiserTech's diminished debt at far lower interest rates and gaining a controlling stake in the company. Hurwitz was grateful. Hurwitz had been quietly offered $500,000 reward.

Marc Rich
Wanted, $500,000 reward.

Marc Rich, the man who has been called the world's greatest commodities trader, has been offered a $500,000 reward for information leading to his capture. Rich is wanted for his alleged role in various criminal activities, including fraud and money laundering. He is believed to be hiding somewhere in the United States, and authorities are offering a reward for any information that leads to his arrest. Rich is known for his shrewd business practices and his ability to manipulate the international markets, and his disappearance has caused a great deal of concern. Anyone with information about Rich's whereabouts is urged to contact the authorities immediately.
Memorandum

To: DADIC/SAC, DIVISION (ATT: SUPERVISOR, SECTION)

From: ADIC, NEW YORK (66-7566)

Subject: NCIC/NYSPIN VALIDATIONS

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Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by [Name: [Date: [6/30/90]}

Purge

Date of Recovery

Date of Arrest

Maintain

Modify

Updated Information Attached

Case Agent

Supervisory SA

Date Returned [3/23/90]

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 196A-1994 S11

DADMO: Rev. 6/6/86
CONFIRM RECORD WITH ORIGINATOR

MKE/ - WANTED PERSON
ORI/NYFBINYO0 - U.S. FBI NEW YORK CITY 212-335-2700
NAM/GREEN, PINCUS SEX/M RAC/W DOB
SID/ CCH/ SOC/ PDB/ HaI/150 WGT/180 EYE/ HAI/GRY SKN/
LCD/ LCT/F OFF/2699 FRAUD-
WST/1 - ACTIVE
WTP/1 - ARREST WARRANT
EXT/2 - WITHIN AND OUTSIDE NEW YORK STATE
RCV/O PFL/O VIC/O RSP/
OCA/QFBC6671 WAR/ DKT/ DOW/091983
MIS/1968-1774 MAIL/WIRE FRAUD TAX EVASION RICO CALL US CUSTOMS MATTHEWS C1
FBI/ MNJ/ SMT/ FCC/
OLN/ OLS/ OLY/
LIC/ LIS/ LII/ LIT/
VIN/ VYR/ VMA/ VMO/ VST/ VCO/
ARI/ AGA/ DOA/ RES/
WPR/0307502

MKE/WANTED PERSON
ORI/NYUSC4300 NAM/GREEN, PINCUS SEX/M RAC/W DOB/HGT/510 WGT/150 HA1/GRY
SOC/ OFF/TAX REVENUE DOW/091983 OCA/CSE2NY004
MIS/IF ENCOUNTERED CONTACT S/A VIA SECTOR II FTS 6685472/FBI
AGENT MARK 212 5552700
ORI IS US CUSTOMS SERV OFFICE OF ENF NEW YORK NY
NIC/W31619725
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

MKE/WANTED PERSON
ORI/NYFBINYO0 NAM/GREEN, PINCUS SEX/M RAC/W DOB/HGT/510 WGT/180 EYE/XXX HAI/GRY
SOC/ OFF/FRAUD DOW/091983 OCA/QFBC6671
MIS/1968-1774 MAIL/WIRE FRAUD TAX EVASION RICO CALL US CUSTOMS
AGENT MARK 212 5552700
ORI IS FBI NEW YORK NY
NIC/W324077114

MKE/WANTED PERSON
ORI/DCIRS3600 NAM/GREEN, PINCUS SEX/M RAC/W DOB/HGT/510 WGT/180 EYE/GRN HAI/880
MNJ/PP/24229155 SOC/ OFF/INCOME TAX DOW/030584 OCA/15B3016BP
MIS/ IS RESIDING IN ZUG SWITZERLAND CONT S-A
AGENT MARK 212-264-0794 EXT 77 202-556-2120
ORI IS FBI NEW YORK NY
NIC/W35901853
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI
CITE: //3540//
PASS: HQ FOR CI AND FINANCIAL
SUBJECT: NARC REPORT (S-NFD-INFO.

THIS COMMUNICATION IS CLASSIFIED "SECRET" IN ITS
FOREIGN DISSEMINATION TO DISSEMINATION ABROAD (S-NFD-
POSITIVE INTELLIGENCE INFORMATION.

DATE OF INFORMATION 1990

SUBJECT: [Redacted]
WARNING: STATEMENT preceded to any dissemination:
This document should not be provided to any other agency.
Prior bureau application.
WARNING: Statement preceded to any dissemination:
On this document should not be provided to the United
Information Agency.
SECRET

INFORMATION FROM SOURCE IS A HIGH TANT, IF REVEALED, WILL POSTI TO PHYSICAL DANGER TO SOURCE'S FAMILY.
SECRET

CITE: //3540/

PASS: HQ FOR CI-1A, SSA AND FINANCIAL CRIMES UNIT.

SUBJECT: MARC RICH; FAG; CO: WMFO.

THIS COMMUNICATION IS CLASSIFIED "SECRET" IN ITS ENTIRETY. NO FOREIGN DISSEMINATION, NO DISSEMINATION ABROAD (S-NFD-NDA). POSITIVE INTELLIGENCE INFORMATION.

DATE OF INFORMATION: MAY 3, 1990

SUBJECT: [ ]

[Redacted]
SOURCE: [Redacted]

WHO HAS FURNISHED RELIABLE INFORMATION IN THE PAST, AND WHO IS [Redacted]

DETAILS: [Redacted]

WARNING: SOURCE OF THIS INTELLIGENCE IS [Redacted]. THIS INFORMATION IS HIGHLY SINGULAR IN NATURE. CI-1A IS REQUESTED TO PROVIDE A COPY OF THIS COMMUNICATION TO CI-3A.

ADMINISTRATIVE

THIS INFORMATION WAS OBTAINED [Redacted].
WARNING: INFORMATION FROM [ ] IS HIGHLY SINGULAR IN NATURE AND DISCLOSURES COULD REVEAL SOURCE'S IDENTITY. SOURCE IS A HIGH LEVEL INFORMANT [ ] WHOSE IDENTITY, IF REVEALED, WILL POSITIVELY LEAD TO PHYSICAL DANGER TO SOURCE AND SOURCE'S FAMILY.

WARNING: STATEMENT TO BE ADDED TO ANY DISSEMINATION: INFORMATION ON THIS DOCUMENT SHOULD NOT BE PROVIDED TO ANY OTHER AGENCY WITHOUT PRIOR BUREAU APPROVAL.

WARNING: STATEMENT TO BE ADDED TO ANY DISSEMINATION: INFORMATION ON THIS DOCUMENT SHOULD NOT BE PROVIDED TO THE UNITED STATES INFORMATION AGENCY (USIA).

BT

#0051

NNNN
<table>
<thead>
<tr>
<th>Date</th>
<th>Code</th>
<th>initials</th>
</tr>
</thead>
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<td>01-081</td>
<td></td>
</tr>
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<td>1964-04-28</td>
<td>01-081</td>
<td></td>
</tr>
</tbody>
</table>

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD PROCESSING SUPERVISOR. NOT TO THE TELETYPE PCCM.

VP Initials: ________
CITF: //3546/

PASS: FC FC P S S F . FUGITIVE UNIT, GOVERNMENT:

RESERVATION.

SUBJECT: J'ARC RICH - FUGITIVE (P); PEYCO CREEK - FUGITIVE (P);
PEV; IT; PICC; INCOME TAX EVASION; TRADING WITH THE ENEMY; O.C.FY.

IN 1974, RICH AND CREY FORMED J'ARC RICH COMPANY A.G. (AG), A
SWISS CORPORATION ENGAGED IN THE WORLDWIDE BUSINESS OF TRADING
COMMODITIES. A WHOLLY-OWNED SUBSIDIARY, J'ARC RICH AND COMPANY,
INTERNATIONAL AG HAD A BRANCH OFFICE IN THE UNITED STATES OPFATING
AS IT COULD NOT COMPLY WITH THE REQUIREMENTS OF THE AGREEMENT, RICH AND COMPANY INTERNATIONAL (INTERNATIONAL), UNTIL THE SUMMER OF 1983, RICH AND COMPANY INTERNATIONAL REMAINED CONTROLLED BY RICH AND COMPANY INTERNATIONAL IN NEW YORK.

RICH AND COMPANY INTERNATIONAL, BEFORE SEPTEMBER 1, 1980 THROUGH JUNE 30, 1981, TOOK AT LEAST $100 MILLION OUT OF THE UNITED STATES FROM INTERNATIONAL TO BE HIDDEN FROM THE GOVERNMENT. THIS MONEY WAS ILLEGALLY CONVEYED FROM INTERNATIONAL TO OTHER COMPANIES IN THE UNITED STATES AND LAUNDERED THROUGH THIRD-PARTY COMPANIES IN THE UNITED STATES AND LAUNDERED THROUGH THIRD-PARTY COMPANIES.
CONTAMINESS OFFSHORE TO AG.

On September 16, 1983, FCO, STU, RTABBED 1. EJ CQMT
INDICTMENT CHARGING BETH WITH FRAUD, MP, PICC, TAD FRAUD AND TRADING
WITH IRAQ DURING THE HOSTAGE CRISIS. PRIOR TO THE FILING OF THE
INDICTMENT, BOTH SUBJECTS DEPARTED FOR SWITZERLAND AND REMAIN THERE,
TODAY AS FUGITIVES. BOTH ARE WIDELY KNOWN INTERNATIONALLY AND THEIR
COMPANIES ARE FIERCELY SUCCESSFUL TRADING COMPANIES. BECAUSE
THE FURY OF THE INDICTMENT IS THE FRAUD-FRATED SWITZERLAND WILL NOT
ALLOW EXTRADITION.

ADVISER TO ATTORNEY AT OFFICE OF INTERNATIONAL AFFAIRS (OIA), FIA
JUSTICE, FIA

TELEPHONE NUMBER

REFEREE AS IF

SAP PARK JUDGES

REFERRED SEVERAL REFEREES TO

LOS ANGELES AT CALIFORNIA.

1. WILL REFER REFEREES CONCERNING REFERENCE ITSELF TO INTERVIEW.

2. INTERVIEW TELEPHONE NUMBER
3. Should information appear to be productive and continuing, advise [ ] to furnish only to FBI in the event of flight. Also, FF interview[ ] about [ ] by the United States Marshals.
SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OOO: NY.

IN 1974, RICH AND GREEN FORMED MARC RICH COMPANY A.G. (AG), A SWISS CORPORATION ENGAGED IN THE WORLDWIDE BUSINESS OF TRADING COMMODITIES. A WHOLLY-OWNED SUBSIDIARY, MARC RICH AND COMPANY, INTERNATIONAL AG, HAD A BRANCH OFFICE IN THE UNITED STATES OPERATING AS MARC RICH AND COMPANY INTERNATIONAL (INTERNATIONAL), UNTIL THE
SUMMER OF 1983, RICH AND GREEN RAN THE OIL TRADING ACTIVITIES OF AG AND INTERNATIONAL FROM NEW YORK.

FROM ABOUT SEPTEMBER, 1990 THROUGH JUNE, 1991 BOTH MOVED AT LEAST $100 MILLION OUT OF THE UNITED STATES FROM INTERNATIONAL TO AG. MOST OF THE MONEY CONSTITUTED ILLEGAL PROCEEDS FROM DOMESTIC CRUDE OIL TRANSACTIONS WHEREIN RICH AND GREEN DEFRAUDED THEIR CUSTOMERS BY UNLAWFULLY OVERCHARGING THEM FOR DOMESTIC CRUDE OIL. THEY USED AN ELABORATE SCHEME TO COVER THEIR FRAUD BY USING THIRD PARTY COMPANIES IN THE UNITED STATES AND LAUNDERED THE ILLEGAL MONEY FROM THESE COMPANIES OFFSHORE TO AG.

ON SEPTEMBER 19, 1983, FGJ, SDNY, RETURNED A 51 COUNT INDICTMENT CHARGING BOTH WITH FBW, MF, RICO, TAX EVASION AND TRADING WITH IRAN DURING THE HOSTAGE CRISIS. PRIOR TO THE FILING OF THE INDICTMENT, BOTH SUBJECTS DEPARTED FOR SWITZERLAND AND REMAIN THERE TODAY AS FUGITIVES. BOTH ARE WELL KNOWN INTERNATIONALLY AND THEIR COMPANIES ARE ENORMOUSLY SUCCESSFUL TRADING COMMODITIES. BECAUSE THE BULK OF THE INDICTMENT IS TAX FRAUD-RELATED SWITZERLAND WILL NOT ALLOW EXTRADITION.

ON MAY 30, 1990, SSA FBIHQ, EXTENSION 4245, ADVISED AN ATTORNEY AT OFFICE OF INTERNATIONAL AFFAIRS (OIA), MAIN
JUSTICE, NAMED

TELEPHONE NUMBER

DESCRIBED AS AN

SSA

SAID BUREAU INDICES

REFLECTED SEVERAL REFERENCED TO

LOS ANGELES AT

CALIFORNIA.

1. WILL REVIEW INDICES CONCERNING BEFORE ATTEMPTING

TO INTERVIEW.

2. INTERVIEW

TELEPHONE NUMBER

3. SHOULD INFORMATION APPEAR TO BE PRODUCTIVE AND CONTINUING,
ADVISE TO FURNISH ONLY TO FBI IN THE EVENT HE MIGHT ALSO BE
INTERVIEWED BY THE UNITED STATES MARSHALS.

BT

#0075
Memorandum

To: SAC II
From: SSA
Subject: MARC RICH - FUGITIVE (E) Pinesis Green -

Due to the reorganization of squad C-1, it is recommended that captioned investigation be reassigned to squad C-12, Special Agent

LEADS:

196A - 1774
1-ROTOR
1-SA
MJB

ALL INFORMATION HEREIN IS UNCLASSIFIED

Date: 6/27/90

FBI - NEW YORK
FBI SANTA ANA

JUN 20 '90 17:08

PAGE 01

TO: AG NEW YORK

FROM: FBI LOS ANGELES (196A-NY-1774)(RE)

SUBJECT: Marx, Rich - Fugitive (B); Pinero, Green - Fugitive (A); FBN; MF; Rico; Income Tax Evasion; Trading with the Enemy; CO; New York

Fingerprint Photo □ Fingerprint Record □ Map □ Newspaper clipping □ Photograph

Artilex Conception □ Teletype □ Other

Special Handling Instructions:

Approved:

Approved:

ALL INFORMATION CONCEALED HERETO IS UNCLASSIFIED
DATE: 6-8-61

196A-1774
515

SEARCHED INDEXED
SERIALIZED FILE
JUL-2 1990
NYK
FROM FBI LOS ANGELES (196A-NY-1774) (RUC)
TO DIRECTOR FBI/PRIORITY/ 6:07 PST. 6/20/90
FBI NEW YORK/PRIORITY/
BT
UNCLAS
CITE: //3410:0670//
PASS: ATTENTION FBIHQ FOR SSA FUGITIVE UNIT, GOVERNMENT RESERVATION.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B);
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;
CO: NEW YORK.

RE NEW YORK TELETYPE TO LOS ANGELES, 6/1/90.

ON 6/18/90, __________________________________ DATE OF BIRTH ____________________

SOCIAL SECURITY ACCOUNT NUMBER __________________ TELEPHONE NUMBER

ADvised THAT HE DID NOT PERSONALLY KNOW EITHER
MARC RICH OR PINCUS GREEN. HOWEVER, HE IS AWARE THROUGH A THIRD
PARTY WHICH HE DID NOT WISH TO DISCLOSE THAT CAPTIONED SUBJECTS
ARE FUGITIVES AND THAT SWITZERLAND WILL NOT ALLOW EXtradITION.

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED.

DATE 7/1/90 BY _______________________

Approved: __________________________ Transmitted (Number) (Time) Per ____________________________
A manual indices check through Los Angeles Division Field Office revealed that [Name] has been involved in numerous investigations involving such matters as [Matters]. He attempted to establish his credibility by revealing [Information]

[Name] has been contacted in the

And another individual introduced by [Name] by the name of [Name] the last communication with [Name] was made on [Date].

[Name] was advised to only furnish information to the FBI reference [Reference] however, he stated that there may be other agencies and possibly private businessmen that would be interested [Information].

New York will be advised if there is any further information that appears to be productive.
NEW YORK (196A-NY-1774) () ()
DIRECTOR FBI () () ()
LOS ANGELES () () ()
BT
UNCLAS
CITE: //3540//

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.

WP Initials:______
SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MF, RICO, TAX EVASION, TRADING WITH THE ENEMY; 00: NY.

RE: LA TELETYPE TO BUREAU, DATED JUNE 20, 1990 AND TELCALL FROM SSA__________________ FBIHQ. TO NEW YORK OFFICE ON JUNE 21, 1990.

LA IS REQUESTED TO RECONTACT _____________________________ AND MAKE THE BUREAU'S POSITION EXPLICITLY CLEAR THAT THE BUREAU WILL NOT CONDONE THE USE OF ____________________________ SHOULD BE TOLD THE BUREAU IS ONLY INTERESTED IN OBTAINING INFORMATION FROM ____________________________ OR HIS ASSISTANCE WHICH WOULD EFFECT THEIR ____________________________
IN THE EVENT [ ] CONTINUES TO COOPERATE HIS INFORMATION SHOULD BE forwarded to the New York Office.

The New York Office is attempting to contact United States Marshal [ ] Southern District of New York (SDNY), concerning their interest in the case.
Memorandum

To: SSA
From: IFA
Date: 7-3-90

Subject: FILE REVIEW OF CRIMINAL CASE FILE
196A-1774 (MARK RICH - FUGITIVE)

RE: Teletype Review

On 6-20-90 said writer met with SA (C-1) regarding the above mentioned case file concerning potential forfeitable assets.

According to SA "Rich" paid one hundred million dollars in fines to the IRS before renouncing his citizenship and relocating to Switzerland (1984). Efforts are still being made to bring about the apprehension of "Rich" for RICO/FBW violations (see attached background sheet) if he (Rich) is ever back in the USA.

Considering the nature of this matter, SA and writer formulated the opinion that there are no forfeitable assets at this time.

*documentation omitted from file copy

ALL INFORMATION HEREIN IS UNCLASSIFIED
DATE 2/27/90 B

196A-NY-1774 (C-1)

JUL 16 1990
Memorandum

To: SAC, DIVISION II (196A-NY-1774) P

From: SA (C-12)

Subject: MARC RICH-Fug. (B);
PINCUS GREEN-Fug. (B);
FBW
00: NY

Date: 7/20/90

It is requested the captioned matter be reassigned currently assigned to C-12.

Chase 6/8/90
FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1218760-0

Total Deleted Page(s) = 71
Page 7 ~ Referral/Consult;
Page 8 ~ Referral/Consult;
Page 9 ~ Referral/Consult;
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Page 115 ~ Referral/Consult;
To: SAC, NEW YORK (196A-1174-F) P
From: SA (C-12) b6 b7c
Subject: MARC RICH - FUG (B); PINCUS GREEN - FUG (B); FBW, RICO, INCOME TAX EVASION; 00: NY

It is requested that an additional Fugitive sub-file be opened for communications relating to fugitive Pincus Green.
Memorandum

To: SAC, NEW YORK (196A-NY-1774) P
From: SA (c-12)

Date: 10/3/91

Subject: MARC RICH - Fug (B);
PINCUS GREEN - Fug (B);
FBW, MF, RICO
00; NY

The purpose of this memo is to correct the spelling of indexed in Serial 545 as

It is requested the indexing be modified to reflect the correct spelling,
Memorandum

To: SAC, NEW YORK (196A:NY-1794)  Date: 10/3/91

From: SA (C-12)  

Subject: MARC RICH - FUG. (B); PINGUS GREEN - FUG. (B); FBW, MF, RICO

On 10/2/91, SSA, FBI, assigned to INTERPOL, Tel. # (202) 272-8383 contacted the NYO and advised the following:

The are some-what upset by information furnished the FBI and U.S. Marshals concerning Marc Rich by a citizen.
had to respond to the
and asked for a chronology
which was
given to him.
Mémorandum

To: SAC, NEW YORK (196A-NY-1774) D
From: SA (c-12) b6 b7C
Subject: MARC RICH - FUG.(B); PINCUS GREEN - FUG.(B); FBW, MP, RICO, INCOME TAX EVASION
001 NY

Attached is a Three page article from BUSINESS WEEK dated 11/11/91 entitled "Making Marc Rich Squirm."

The article explains the basis for the congressional inquiry initiated by Representative Bob Wise (D-W.VA.).
The Steelworkers could hinder his attempts to return to the U.S.

These are standard tactics in labor disputes—and ordinarily about as bothersome as a gnat to someone of Rich's estimated $3 billion net worth. Except for this: Rich has wanted to return home ever since he fled the U.S. eight years ago, just ahead of a 65-count federal indictment for criminal tax evasion and racketeering. Because his ailing mother lives in Manhattan, as does his estranged wife, Denise, who recently returned with the youngest of their three daughters, he has recently stepped up these efforts.

Indeed, Rich's lawyers, including Washington's Leonard Garment, made regular overtures to the Justice Dept. "to negotiate terms of his surrender," says a Justice official. That's a matter of some urgency, because the U.S. government is offering a $750,000 reward for Rich. And because the U.S. dispute is dragging Rich back into the limelight—and providing an unusual look at his secretive U.S. empire, which has rarely been scrutinized before.

Born in Belgium, Rich moved to the U.S. with his family in 1941 at the age of eight. In 1964, he joined Philipp Brothers Inc., a leading commodity trader. Credited with developing the independent spot market for oil in the early 1970s, Rich later started his own company, which grew to $10 billion in sales.

Then, in 1983, his troubles began. The U.S. Attorney's office in Manhattan indicted Rich, his partner Pinkus "Pinky" Green, and Marc Rich & Co. on charges of rigging a huge, illegal oil-pricing scheme, evading $48 million in taxes, and illegally buying oil from Iran during the 1979 hostage crisis. Shortly before the indictments, Rich boasted that he had sold his U.S. operating arm, Marc Rich & Co. International Ltd., to Alex Hauck, an unindicted partner in Switzerland.

The company was renamed Clarendon Ltd., and another unindicted Rich employee, a top aluminum trader named Willy R. Strothotte, was made chief operating officer and later, president.

The new entity seems to have existed in name only. The judge hearing the indictments seemed the maneuvers a "ploy" to avoid the $50,000-a-day fine he had slapped on Rich's U.S. company. He noted that Clarendon employed the same people as before and was still headquartered in Rich's offices in Zug—as it is today. Ultimately, Rich paid the fine as part of a $171 million settlement of civil charges against Clarendon.

CLEAN FACE. Ever since, Clarendon officials have insisted that Rich no longer controls the company. They have good reason to do so: Rich's indictment drove away customers, say federal prosecutors in the case. By distancing himself from the company—he still owns 49%—Rich gave Clarendon a clean face. Then, he used it to expand vigorously in the U.S., first in commodity trading and subsequently, in aluminum production.

The first major step came in 1986, when Clarendon signed a 10-year agreement to buy all 800,000 tons of alumina produced annually by a Jamaican company. Clarendon sells most of the refined white powder, which is used in refining aluminum, to major U.S. producers. Then, Clarendon got into smelting. It took a part ownership in at least four smelters, then signed agreements for each to process alumina that Clarendon supplies. These were often sweet deals. For instance, Clarendon paid a reported $40 million to buy 27% of an Alumax of South Carolina Inc. plant in Mt. Holly, S.C. Clarendon's share of output is 46%.
The Ravenswood dispute, their Ravenswood replacements, is replaced in U.S.

The Ormet investors joined forces again, including Robert Rich, and used Ravenswood by the company "has not and does not comment publicly on its business activities.

NOMED'S PUPPET:" Boyle adamantly denies that Rich is connected to Ravenswood. He argues that Rich's company "is not a puppet" but Rich himself declined to discuss the issue. A letter sent to him in Zug elicited a response from Robert Thomas, a New York lawyer on leave from his firm to work for Rich in Zug. Thomas says Rich's

company "has not and does not comment publicly on its business activities.

Rallying in Ravenswood: A Warning to Other Steelmakers

Marc Rich's Tangled U.S. Empire

Marc Rich has expanded his U.S. operations ever since he fled the country in 1983 ahead of a 15-count indictment.

Clarendon Ltd. Holding company for U.S. operations, 52% owned by Marc Rich & Co.

Berkeley Aluminum: Clarendon also bought Stanford, Calif. operations, 49% owned by Marc Rich & Co. in 1986, with offices in Stanford, Calif. President: Wally R. Strothotte

Ormet Corp: Aluminum producer in Hingham, Mass. Clarendon owns 8% and controls part of its output.

Ravenswood Aluminum Corp: Aluminum producer in Hingham, Mass. Clarendon controls 75% of its output.

Clarendon Ltd. Holding company for U.S. operations, 52% owned by Marc Rich & Co.

Ridgeley Commercial: Folks in Zug, Switzerland, supplied $20 million for Ravenswood's purchase of Ravenswood.

Alcan Inc: Aluminum smelter in Mt. Holly, N.C. 27% owned by Berkeley. Clarendon controls 75% of its output.

Ormet Ltd: Holding company for U.S. operations, 49% owned by Marc Rich & Co.

Ravenswood Aluminum Corp: Aluminum producer in Hingham, Mass. Clarendon owns 8% and controls part of its output.

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Marc Rich's Tangled U.S. Empire

Marc Rich has expanded his U.S. operations ever since he fled the country in 1983 ahead of a 15-count indictment.
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Wall Street smarts don't have to be earned the hard (and possibly costly) way anymore. Today, the smart money gets that way by investing a little under $10 in this premier stock market primer. In fact, over 500,000 well-thumbed copies of this market maven’s manual are already out there on the Street. So...take a look at the new Third Edition. You'll find all-new chapters on junk bonds, growth stocks, program trading, precious metals, and international investment—things you should know about.

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Turn your excess inventory into a substantial tax break and help send needy kids to college.

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Call 708-690-0010
Peter Raskam
Executive Director

---

Frankly Fenelon, when I named you Manager of excess inventory, this isn't what I had in mind.
Memorandum

To: SAC, NEW YORK (196A-NY-1774) Date 12/9/91

From: SA (c-12)

Subject: MARC RICHT - FUG. (B);
      DINCUS GREEN - FUG. (B);
      FBW
      00: NY

On 12/9/91, calling from furnished the following:

He alleges that

Fug File:
War Anniversary
How Saddam Hussein Survives Sanctions And Dissent for a Year
Oil Funds Squirreled Away, Starting in the 1970s, Now Provide a Lifeline for Iraq
Reign of Terror Is Tight Rein

By GERALD F. SEIB
And JOHN J. FIALKA
Staff Reporters of THE WALL STREET JOURNAL
WASHINGTON — It is D-Day plus a year, and almost everything has changed.

One year ago this week, American warplanes opened the six-week war that drove Iraq from Kuwait. Today, Kuwait is free, oil prices have fallen by nearly half, Iraq’s nuclear program is being dismantled, and Arabs and Israelis are talking peace.

But one thing hasn’t changed: Saddam Hussein is still standing. In fact, the White House’s political woes raise the bizarre possibility that, despite his crushing defeat, Saddam Hussein actually could outlast his nemesis, George Bush, in power.

The story of how Saddam Hussein has survived despite suffocating economic sanctions and growing internal dissent lies in large part in a cunning, little-understood economic support system the Iraqi ruler quietly constructed over the past two decades. The central question now is whether this life-support system—coupled with a well-known system of internal terror—will enable him to grind down the will of the international community and outlive the United Nations sanctions designed to strangle his economy.

Key to Survival

In conversations with an array of U.S. officials, private American investigators and exiled Iraqi officials, there is uncertainty about the extent of Iraq’s clandestine foreign economic activity, but all agree that it has been key to his survival.

Former Iraqi officials say the story begins in the early 1970s, when Iraq began siphoning oil revenue into a kind of giant political slush fund. This eventually built a multibillion-dollar financial cushion that is now helping sustain Saddam Hussein despite the U.N. sanctions.

Then, during the 1980-88 Iran-Iraq war, Saddam Hussein’s lieutenants created a subterranean network of trading companies to feed his war machine. The network now operates from Jordan and Switzerland to maintain a skeletal economy and keep his cronies satisfied despite sanctions.

“We’ve been in this business a long time, and we’ve never seen a procurement network put together like the one used by the Iraqis in the 1980s,” says Jules Kroll, head of Kroll Associates Inc., the New York investigation firm Kuwait hired to...
We've been in this business a long time and we've never seen a procurement network put together like the one used by the Iraqis in the 1980s," says Jules Kroll, head of Kroll Associates Inc., the New York investigation firm Kuwait hired to track down Iraq's money. "We believe they are now busy reconstructing that network using different names."

Obviously, Saddam Hussein needs more than money to survive. Fearful of enemies within, he has been consolidating power into an ever-smaller circle of relatives and cronies, many from his hometown of Tikrit. Within the last few months, he has replaced his prime minister, defense minister and interior minister.

Now, Arab diplomats report tantalizing hints of dissension within even that small circle of confidants. In one recent incident, these people say, the personal guards of the new Iraqi defense minister, who is Saddam Hussein's cousin, exchanged gunfire with the security guards of the new interior minister, who is Saddam Hussein's half-brother.

Both the American and Saudi Arabian governments in recent weeks have broadened their contacts with Iraqi dissidents, sensing that the fractious Shiite, Sunni and Kurdish elements of the opposition may finally be starting to pull together. Saudi Arabia is trying to arrange an international conference bringing together the full spectrum of Iraqi opposition groups. At least seven opposition radio transmitters are beaming anti-Saddam messages into Iraq from Saudi Arabia, Syria, Iran, Kuwait and Egypt.

In the U.S., the Bush administration has just completed a quiet policy review that laid new plans to help Saddam Hussein's foes if an internal insurrection begins. Administration officials insist that while they would love to see Saddam Hussein's exit, it isn't crucial to see him go. They argue that the war effectively ended his ability to intimidate his neighbors—and cite as proof the successful launching of the Arab-Israeli peace process despite Iraq's virulent opposition. "The dark force of Arab politics has been put back in his box," argues a senior administration aide.

Nonetheless, Saddam Hussein's continuing presence is an acute political embarrassment for President Bush as he faces a re-election campaign. For the Saudi Aralians, it is worse than that: They see Saddam Hussein as a standing threat.

Just yesterday, Robert Gates, the U.S. director of central intelligence, said in congressional testimony that Iraq will remain a "primary" threat to develop weapons of mass destruction as long as Saddam Hussein remains in power.

Iraqi dissidents argue that the time to oust Saddam Hussein is this year. "To tell you the truth, I can't put more than a 50-50 hope on the chances for a change," says...
War Anniversary: How Saddam Hussein Survives In Iraq Despite U.N. Sanctions and Internal Dissent

Salah al-Shaikhly, a former Iraqi government official who now is active in the opposition, says, "If that 30% is to materialize, it's going to have to happen in the next few months." Eventually, he says, the only way for doing business with oil-rich Iraq will undermine international support for sanctions.

If Saddam Hussein does not overtake the U.N. sanctions, much of the credit will be due to a secret decision by the Baath party in 1972. Back then, when Saddam Hussein was still rising through the Iraqi leadership, the party's Revolutionary Command Council decreed that 30% of Iraq's future oil reserves should be set aside for future eventuality," says Mr. al-Shaikhly, who was a senior official in the planning ministry at the time. "This money was probably invested in Switzerland and Japan and other European countries."

Saddam Hussein was one of three officials given power over the fund, the former official says, and he is the only one still alive. The other two were Adnan Khairallah, a former defense minister who died in a helicopter crash, and Adnan Hamdani, a former minister of planning who was executed.

Another exiled official, former Planning Minister Jawad Bashim, says the fund may have grown to $20 billion by the start of the Gulf War. Mr. Kroll, the New York investigator, is far more conservative, but still estimates that Iraq and Saddam Hussein's family have placed between $10 billion and $15 billion of liquid assets outside of Iraq. He figures no more than a third of that is frozen by the sanctions, with the rest escaping official detection.

"Officially, Iraq informed U.N. humanitarian officials in mid-July that it had a mere $1.6 million in foreign exchange reserves and an unspecified amount of gold bullion. But it has refused to respond to a Security Council resolution requiring it to provide a monthly accounting of its foreign currency reserves at home and abroad, U.N. officials say."

The money in Swiss accounts, Mr. Kroll asserts, is overseen by Barzan Ibrahim, Saddam Hussein's half-brother, who is a member of the U.N.'s Human Rights Commission in Geneva. Mr. Ibrahim has previously denied the charge.

Whatever the precise source of Iraqi funds, they clearly are being used to procure goods above and beyond those allowed by U.N. sanctions, which prohibit the sale of Iraqi oil and limit imports to humanitarian needs such as food and medicine. Even these restrictions may be loosening a little, as Iraq and U.N. officials negotiate to clear the way for the first open sale of Iraqi oil since the war. One sign that Iraq isn't desperate for cash is that it so far has rejected a plan for it to sell $1.6 billion of oil because terms of the proposed transaction called for the U.N. to monitor the use of the proceeds.

But there is evidence that deals already are being done. Mr. Kroll, a U.S. businessman and Iraqi dissidents all report that Iraq has set up a series of front companies and middlemen in Jordan to move oil and money out of Iraqi hands and to ship goods into the country. In Amman, Jordanians have been struck by the number of middle-class Iraqis hiding out in their city's hotels. "Of course there's business going on," says one Jordanian businessman. "It didn't stop during the war, so why should it stop now? Jordan is Iraq's lifeline. Under the table, everyone is cutting deals."

Mr. Kroll points to what he believes is one such deal. He displays telexes from the Madrid office of Marc Rich Co., a Swiss trading company, to Dr. Padhil Ottoman, head of the Iraqi State Oil Marketing Organization in Amman. The telex offers, dated last Aug. 26, says Marc Rich is interested in buying 100,000 barrels of Iraqi oil and is also interested in selling grain and sugar to Iraq. The telex offers no indication of the date of the offered transaction, aside from a reference in accompanying documents to October oil prices.

Sort of a Family Affair

Marc Rich also sent copies of the telex to Jaraco, a Geneva-based trading company that Mr. Kroll says is operated by Barzan Ibrahim and two Iranian brothers, Esfandiar and Bahman Bakhtar. Iranian exiles identify the brothers as the sons of Taymour Bakhtar, a former head of Iraq's secret police who went into exile in Iran after he was fired by the late Shah of Iran. Taymour Bakhtar later was assassinated in Iraq, and his widow and sons developed a close relationship to Saddam Hussein, who is said to treat the Bakhtar brothers as adopted sons.

Jaraco won't answer questions on the telexes or the company's ownership. "We're sorry to tell you that our company's policy is not to give any interviews," says an employee who answers the phone at the firm's Geneva office.

Leonard Garment, a Washington lawyer who represents Marc Rich in a case involving U.S. tax-evasion charges in New York, says Mr. Rich's company is being unfairly singled out by Mr. Kroll. "Everybody and his cousin who is in the oil business is in Amman negotiating arrangements on an as and when basis as to the lifting of the embargo," says Mr. Garment. He adds that Marc Rich's telex to the Iraqi oil marketing organization was only an "expression of interest" and was made subject to the lifting of U.N. sanctions.

"Substantial Deals"

But others say the oil and other goods are moving now. Mr. Kroll says hundreds of Iraqi oil trucks arrive in Jordan daily. Mr. Kroll says his firm suspects the shipments go beyond Jordan's energy needs and may wind up in Syria or Lebanon. Similarly, Nathaniel Korn, vice president of Foreign Reports, a Washington-based firm that provides political news to oil and other companies operating in the Middle East, says his clients have reported "substantial" deals with Iraq being transacted in Amman, although Jordan has started to curb business with Iraq within the last few days. "Iraq's desire is to build up business lobbies throughout the Western world," he says. And Ahmad Chababi, a London-based banker and leader of the Iraqi opposition, says the Iraqi government has been "getting raw material" through Jordan, including steel to use in weapons factory repairs.

Jordan insists it is not sanctioning any sanctions-busting. "I'm not aware of an Iraqi trade organization in Amman," says Marwan Musabir, spokesman for the Jordanian Embassy in Washington. "If there's evidence, let him produce it." While Iraqi individuals may travel to Amman because it is their only outlet to the West, Mr. Musabir says, "certainly they aren't conducting business on behalf of their government." He adds: "We aren't involved as a government in any illegal activity with Iraq."

U.S. officials take a more cautious approach. They say goods are indeed moving across the Jordanian border, and add that they have raised the issue with the Jordanian government. But they assert that the descriptions of heavy trade are overstated and that Jordan has taken steps to slow the flow and carry out its trade with Iraq under terms of U.N. sanctions. Perhaps because of pressure on Jordan, there have been signs lately of an increasing flow of goods across the Turkish-Iraqi border.
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No one thinks that Saddam Hussein's economic sleight of hand is enough to keep his entire country fat and happy. And indeed there are hints of growing unrest. U.N. officials report that when they visit the southern Iraqi city of Basra, a Shiite stronghold, they hear gunfire at night. Thousands of Shiites are believed to be encamped in the swamps of the Tigris- euphrates delta. Recent weeks have brought increasingly frequent reports of fights within the army. Iraqi officials acknowledge they are doing house-to-house searches for arms.

But some opposition leaders fear that Saddam Hussein can keep enough money and goods flowing to satisfy the needs of his political cronies and the Iraqi Army units he needs to intimidate his enemies. According to Mr. Chalabi, those include three Republican Guards divisions positioned outside Baghdad, and three divisions of his more elite protection force encamped inside the city. "They are the ones who are getting the best food and equipment," he says. Meanwhile, Saddam Hussein's foreign adversaries remain concerned that parts of his nuclear weapons and missile programs remain hidden.

Despite reports of poverty and malnutrition, the Iraqi ruler recently raised salaries of government and army employees by 20%. As for the rest of the Iraqi population, last weekend they received assurances that they didn't lose last year's war, after all: "When the great confrontation took place, God decreed that victory once again must go to the Iraqis because they follow the path of truth while their enemies follow the path of falsehood."

—Tony Horwitz in London contributed to this article.
Memorandum

To: SAC, DIVISION II (196A-1774 FUG 2) (P) Date 4/15/92
   (ATTN: SQUAD C-12)

From: SA(I-6) (U) b6 b7C

Subject: INFORMATION CONCERNING FEDERAL FUGITIVE MARC RICH

Re memorandum to SAC II, captioned as above, dated 4/13/92.

Attached to instant memorandum is a summary briefing concerning
Such was provided by (PROTECT IDENTITY BY REQUEST), and is for informational purposes.

NEW YORK (196A-1774 FUG 1)
TPW:tpw (1)
Some sources said that...
Memorandum

To: SAC, DIVISION II (196A-1774-FUG 2) (P) Date 4/13/92
(ATTN: SQUAD C-12)

From: SA_______

Subject: INFORMATION CONCERNING FEDERAL FUGITIVE MARC RICH

The purpose of instant memorandum is to note that in association with investigative matters surrounding

ADMINISTRATIVE

The source of the above information is
(PROTECT IDENTITY BY REQUEST)
In Reply, Please Refer to
File No. 196A-NY-1774

Dear Sir:

The New York office of the Federal Bureau of Investigation (FBI) wishes to thank your employees for their prompt assistance on [insert date here] made arrangements on very short notice to assist our office in [insert assistance details here]. Other employees involved were [insert names here] to mention only a few.

The FBI and other law enforcement agencies have always been able to count on your organization for assistance when needed, and it is greatly appreciated.

Thanking you and your employees for their outstanding effort and continued cooperation.

Yours truly,

JAMES M. FOX
Assistant Director in Charge

By: [Signature]
Supervisory Special Agent

2 - Addressee
New York (196A-NY-1774)

JCM:axh
(3)
Sub Fug. File 1

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/24/91 F

196A-1774-Fug1-7
SEARCHED S

b6 b7c
RF RFCNFi:: FBINY
ZNR UUUUU
R 2216432 APR 92
FM LEGAT LONDON (196A-NY-1774)
TO DIRECTOR FBI/ROUTINE/
FBI NEW YORK/ROUTINE/
E"
UNCLUS
CITE: //5200:LON897.112 APR 21 1992//

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B);
RICO: FBW; MF. INCOM TAX EVASION, TRADING WITH THE ENEMY, GO:NY.
RE LEGAT LONDON TEL DATED 2/6/92.

AN INDIVIDUAL, IDENTIFYING HIMSELF AS

TELEPHONE NUMBER

TELEPHONICALLY CONTACTED THE LEGAT LONDON OFFICE OFFERING TO
PROVIDE INFORMATION ON CAPTIONED SUBJECT MARC RICH.

 ADVISED THAT HE HAD INFORMATION, UNSUBLANTED, THAT

HE ADVISED THAT IF...
HE WAS INTERESTED. HE WOULD ATTEMPT TO VERIFY THE INFORMATION.

WENT ON TO SAY THAT HE HEARD THE FBI IS OFFERING A

SUBSTANTIAL INCENTIVE FOR INFORMATION THAT LEADS TO RICH'S

ARREST. HE STATED HE HAS INFORMATION THAT

IS ALWAYS TOO LATE TO HAVE AN ARREST EFFECTED. HOWEVER, HE WOULD

PLACE HIS RESOURCES ON THIS PROBLEM IF IN FACT A LARGE REWARD WAS

BEING OFFERED.

ON 4/6/92, ____________ APPEARED AT THE OFFICES OF

LEGAT LONDON TO PROVIDE FURTHER INFORMATION ON MARC RICH'S

ACTIVITIES. PRESENT DURING THIS DISCUSSION WERE

WAS EXTREMELY SECRETIVE IN DESCRIBING HIS PURPOSE IN
ADDED THAT HIS INFORMATION INDICATES

WAS UNABLE TO PROVIDE ANY INFORMATION CONCERNING MARC RICH'S CURRENT LOCATION OR IF IN FACT HE WAS STILL LOCATED IN. HE PROMISED, HOWEVER, TO RECONTACT DEPUTY LEGAT IF HE OBTAINS FURTHER INFORMATION REGARDING RICH'S WHEREABOUTS.

NEW YORK DIVISION. AT NEW YORK. NEW YORK.

NEW YORK IS REQUESTED TO PROVIDE LEGAT LONDON WITH THE FOLLOWING INFORMATION.

1. IN WHAT COUNTRIES ARE PROVISIONAL ARREST WARRANTS ON FILE CONCERNING CAPTIONED INDIVIDUAL.
2. PROVIDE CURRENT DETAILS REGARDING ANY REWARD OFFERED FOR INFORMATION LEADING TO THE ARREST OF MARC RICH.

3. HAVE REQUESTED COPIES OF ANY AND ALL NEWSPAPER ARTICLES RELATING TO MARC RICH WHICH MAY PROVIDE BACKGROUND INFORMATION CONCERNING HIS CONTACTS IN LONDON.

BT

#0011
SUBJ: RICO RICH - FUGITIVE (B); SINGUS GREEN - FUGITIVE (B); FBN, MR. RICO, INCOME TAX EVASION TRADING WITH THE ENEMY; LOYNY.


196 A-NY-1774-F3-11

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED.

DATE: 12-21-91

Fug sub File 1
CRIMES AND CLAIMS: THE U.S. GOVERNMENT IS OFFERING A $750,000 REWARD. PERSONS WERE REPORTEDLY THREATENED BY FOUR PERCENT WHO APPEARED APPARENTLY ON BEHALF OF RICH.

THE BASIS FOR THE STEELWORKERS COMPLAINT IS RICH'S ALLEGED OWNERSHIP AND CONTROL OVER THE RAVENSWOOD ALUMINUM CORPORATION IN WEST VIRGINIA RESULTING IN A LABOR DISPUTE INVOLVING 1700 UNITED STEEL WORKERS. THIS HAS LED TO A CONGRESSIONAL COMMITTEE LOOKING INTO U.S. GOVERNMENT CONTRACTS PRESENTLY IN EFFECT WITH RICH, A FEDERAL FUGITIVE. MOREOVER, THE UNITED STEELWORKERS HAVE GALVANIZED THEIR COUNTERPARTS IN EUROPE RESULTING IN THE PICKETING OF RICH'S HEADQUARTERS IN ZUG, SWITZERLAND.

SINCE THE DISSEMINATION OF THE WANTED FLYERS CLAIMING THERE IS A REWARD OF $750,000, THE NYPD HAS RECEIVED SEVERAL INQUIRIES AS TO ITS AUTHENTICITY.

THE FBI, IRS AND U.S. MARSHALS HAVE OFFERED NO REWARD AND ARE PROBABLY PROHIBITED FROM DOING SO. HOWEVER, IT IS FAIR TO SAY THE FBI AND, PERHAPS, THE OTHER AFOREMENTIONED AGENCIES ARE WILLING TO MAKE ONETIME LUMP SUM PAYMENTS OF AN UNDETERMINED AMOUNT FOR INFORMATION LEADING TO RICH'S ARREST.

AJ3A SDNY, HAS STATED THE JUSTICE DEPARTMENT

PAGE TWO OF EBIN GROSS INCLAS
THROUGH THE ATTORNEY GENERAL, CAN PAY UP TO $250,000 FOR SUCH INFORMATION.

IT CAN, THEREFORE, BE ASSUMED ANYONE PROVIDING RELEVANT INFORMATION LEADING TO RICH'S ARREST WILL BE ABLE TO RECEIVE SOME REMUNERATION. THE AMOUNT IS UNKNOWN.

THE NYC BELIEVES IT IS AWARE OF THE IDENTITY OF THE

THE NYC HAS BEEN IN CONTACT WITH AN ASSOCIATE OF HIS IN

AUSA. SDNY, ASSIGNED THE RICH/GREEN CASE, IS

UNAVAILABLE FOR SEVERAL DAYS BUT IT IS THE BELIEF OF THE NYC

THAT PROVISIONAL ARREST WARRANTS FOR MARC RICH ARE FILED AND

OUTSTANDING IN GREAT BRITAIN, FINLAND, RUSSIA AND

CZECHOSLOVAKIA.

THE NYC IS UNAWARE OF ANY ARTICLES RELATING TO RICH'S

ACTIVITIES IN LONDON ALTHOUGH, IT IS KNOWN HE HAS A COMPANY BY

HIS NAME IN LONDON.

THE NYC HAS RECEIVED INFORMATION RECENTLY THAT A BRITISH

MAGAZINE CALLED "SPECTATOR" CARRIED AN ARTICLE SOMETIME DURING
APRIL 1992 UNDER THE BYLINE OF TAKI, WHOSE FULL NAME IS TAKIOTOPOLIS (PH). AND OFTEN WRITES Gossip ABOUT THE ROYAL FAMILY IN ENGLAND. THE ARTICLE BY TAKI SUPPOSEDLY SAYS SENATOR CLAYBORNE PELL, LONG TIME SENATOR FROM RHODE ISLAND, WAS RECENTLY INTRODUCED TO MARC RICH AT A GATHERING IN SAINT MORITZ.

THE NYO WOULD LIKE TO HAVE THE SENATOR INTERVIEWED BUT WOULD LIKE A COPY OF THE ARTICLE TO CONFIRM THE EVENT TOOK PLACE.

LEADS:

LEGAT LONDON

AT LONDON, ENGLAND.

1. OBTAIN WHATEVER INFORMATION

2. OBTAIN A COPY OF THE ARTICLE WRITTEN BY TAKI IN THE BRITISH MAGAZINE "SPECTATOR" DURING APRIL 1992 AND FORWARD IT TO THE NYO IF IN FACT IT MENTIONS RICH MEETING SENATOR PELL.
SUBJECT: MARC RICH—FUGITIVE (B); FEBRUARY 1992—FUGITIVE (C)

On May 5, 1992, MARC RICH, who currently resides at [Address], voluntarily appeared at the NYC with [Other information].

ALL INFORMATION CONTAINED HERIN IS UNGLASSIFIED.
AND FURNISHED THE FOLLOWING:

SHE CURRENTLY RESIDES IN

THE ABOVE ADDRESS WITH

SHE HAS BEEN FOR ABOUT

MARC RICH WHICH INCLUDED A PORTION OF TIME IN FOR THE

LAST SHE HAS BEEN

THE U.S. AND DURING THE LAST YEAR SHE HAS BEEN

A PERMANENT RESIDENT IN

SHE IS WHICH, ACCORDING

TO MAY TAKE BEFORE

SINCE RICH IS CAPABLE OF STALLING AND BLOCKING

EFFORTS TO DETERMINE HIS TRUE NET WORTH.

MARC RICH IS CURRENTLY

NATIONALITY. RICH CURRENTLY RESIDES AT 28

HIMMELREICH, 3AR SWITZERLAND (SUBURB OF ZUG) AND IS PRESENTLY

BUILDING A HOME ALONG THE LAKE IN MEGGIN, SWITZERLAND. HIS

RICH ALSO MAINTAINS HOMES AT 27 OR 29 CHASA LA DINA (PH),

ST. MORITZ, SWITZERLAND, PUERTA DE HIERRA, MADRID, SPAIN
(CALLED EL RINCON), AND MARBELLA, SPAIN.
She stated she knows of no boat or vessel owned by Rich as a pleasure vehicle other than a small speedboat he had in Spain. She was unfamiliar with any vessel called Happicoat.

She stated Pincus Green has retired from the company due to failing health and the fact he has accomplished what he set out to do. Green will be getting Rich and Rich remain close friends.

She expressed surprise when told the U.S. Government believes Rich travels frequently. She added up until the time she never knew he traveled anywhere outside of Switzerland other than Spain (where he is a citizen). She added he did not travel for fear of being arrested. She could not offer an opinion concerning the last three years. Therefore, she could not furnish any information regarding additional passports he might have or names he might use when traveling.

She said when Rich's father died in New York in 1986, Rich did not attend the funeral for fear of being arrested. Rich's mother, who
RESIDES IN NEW YORK CITY AND IS ABOUT 30. TRAVELS FREQUENTLY TO SEE HER SON IN SWITZERLAND.

SHE ADVISED FINGUS GREEN HAS A HOME IN LONDON BUT SHE DOES NOT KNOW THE ADDRESS.

SHE IS CURRENTLY

SHE WAS ASKED WHETHER SHE WOULD HELP THE U.S. GOVERNMENT IN APPREHENDING RICH AND HER ATTORNEY SAID HE DID NOT FEEL THAT WAS A FAIR QUESTION. HE SAID HE WOULD STAY IN TOUCH AND BE COOPERATIVE IN ANY WAY HE COULD.

SHE SAID BOTH RICH AND GREEN WOULD LIKE TO RETURN TO THE U.S. AND RESOLVE THE CRIMINAL CHARGES BUT HAVE NOT DONE SO FOR FEAR OF GOING TO JAIL.

ST

#0024
Sub Fug File 1

196A-1774
Kidnaped?

So What?

By Timothy M. Phelps
WASHINGTON BUREAU

Washington — It is permissible for the United States to kidnap suspects from a foreign country and bring them back to the United States for trial, the Supreme Court ruled yesterday.

The 6-3 decision allows the prosecution of Humberto Alvarez-Machain, a Mexican doctor who was kidnapped and brought to the United States on orders of the Drug Enforcement Administration in 1990. Alvarez allegedly helped Mexican drug lord's torture American DEA agent Enrique Camarena in 1985, keeping him alive so his torture and interrogation could be prolonged.

Lawyers for Alvarez, as well as independent experts, said the decision not only allows the United States to abduct people all over the world, but also implies that foreign countries can abduct Americans in this country.

“Coming on top of the Rio summit, it really suggests that the U.S. is alone in its dealings with other nations,” said Ralph Steinhardt, a law professor at George Washington University and one of the lawyers for Alvarez.

The three dissenting justices called the decision “inexplicable,” said some legal experts overseas condemned its reasoning.

Alvarez was abducted from his home in Guadalajara, Mexico, by Mexicans who were paid by the DEA and flown to Texas, where he was arrested. The Mexican government protested the abduction and has unsuccessfully sought the arrest of those involved, some of whom were flown to the United States and supported financially by the DEA.

Lower federal courts had ruled that Alvarez’s kidnapping violated extradition treaties between the United States and Mexico. But yesterday, Chief Justice William Rehnquist said in an opinion for the majority that because the treaty did not specifically ban kidnapping, it was not illegal.

“The treaty says nothing about the obligations of the United States and Mexico to refrain from forcible abductions of people from the territories of other nation, or the consequences under the treaty if such an abduction occurs,” Rehnquist wrote.

Alvarez, Rehnquist wrote, “may be correct that this abduction was shocking” and that it may be in violation of
Court: Some seizures are OK

general international law principles. However, he said, Mexico's demand for Alvarez' return and its promise to put him on trial there "is a matter for the executive branch."

But Justice John Paul Stevens said that "it is shocking that a party to an extradition treaty might believe that it has secretly reserved the right to make seizures of citizens in the other party's territory."

Under Rehnquist's reasoning, Stevens said, "If the United States, for example, thought it more expedient to torture or simply to execute a person rather than to attempt extradition, these options would be equally available because they too, were not explicitly prohibited by the treaty."

Stevens predicted that "courts throughout the civilized world will be deeply disturbed by the 'monstrous' decision the court announces today." Stevens' dissent was joined by Justices Harry Blackmun and Sandra Day O'Connor.

The decision not only raised protests from overseas, but provoked speculation that it might be used as a basis for the kidnapping of Libyan terrorists or of Nazi war criminal Alois Brunner, now in Syria.

"It is a clear violation of the sovereignty of the country where the refugee is, never mind how criminal the refugee might be," said Enrique Schepeler, a Chilean lawyer who represented former Nazi Walter Rauff.

"If powerful nations were allowed to act as they wish, there would be no need for extradition treaties," he said.

The Justice Department refused to comment on the decision.

In other action yesterday, the court ruled:

- Defendants facing the death penalty can ask potential jurors if they would automatically vote for execution if the defendant is convicted, and bar them from the jury if they say yes.
- States cannot tax out-of-state businesses on income they make from selling stock in other companies.
- Federal courts can intervene in some family disputes when the opponents are in different states.
Airtel

8/25/92

Director FBI
SAC, New York (196A-NY-1774)

MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
FBW; MF; RICO; TAX EVASION;
TRADING WITH THE ENEMY;
CC: NEW YORK

Reference New York teletype to the Bureau dated
7/22/92.

Reference teletype requested FBIHQ consider the
a source developed by the
who is in a position to materially assist

This request was not considered on the strengths of
it's merit because

In the event the New York Office (NYO) wishes to pursue
this further in when all agencies involved in this matter
have NYO should resubmit the request detailing
the proposed and the involvement of the FBI in
sub Fug 1
I would like advance discussion and concurrence by NYS senior management prior to re-submitting this request to FBI HQ.
Memorandum

To: SAC, NEW YORK (196A-NY-1774)

From: SA (C-12)

Date: 8/3/92

Subject: MARC RICH - FUG. (B); PINCUS GREEN - FUG. (B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY

NEW YORK

On 7/24/92 an effort was made to interview

NEW YORK concerning her knowledge of Marc Rich as it has been alleged

She

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED

DATE 3/22/91 BY 61-081

AUG 1 3 1992

FBI - NEW YORK
An unidentified female in the call and advised wasn't at home, a message was left in an unmarked envelope with the upon returning to the office the same day, an Ottawa named advised he is He was asked if could furnish any information about Marc Rich, and/or any contacts in the U.S. dealing with Rich. On 7/13/92,Dupra advised knowing Marc Rich, but that is the extent of it and cannot be of any further assistance. The conversation was terminated.
On May 6, 1993, the following interview was conducted at the New York Office (NYO) of the Federal Bureau of Investigation (FBI) by Special Agents (SAs) and (Protect Identity). appeared at the NYO of the FBI, 26 Federal Plaza, New York (NY), NY 10278, and furnished the following:

is described as follows:

Name:
Sex:
Race:
Date of Birth:
Place of Birth:
Height:
Weight:
Hair:
Occupation:
Memorandum

To: SAC, NEW YORK (196A-NY-1774) P
From: SA (C-12)
Subject: MARC RICH - FUG (B);
PINCUS GREEN - FUG (B);
FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY
00: NY

On 6/21/93, SSA, Economic Crimes Unit, FBI HQ, telephonically advised information has come to his attention which emanated from DADIC Frederick Verinder and is as follows:

The names of ALYESKA PIPELINE in Alaska and CLARENDON, LTD. were

196A-NY-1774 Sub File 1
196A-NY-1774 Sub File II
Mentioned in connection with Marc Rich as well as the allegations of the smuggling of cash by Rich from the U.S. to Amsterdam. SSA added he believes Boston has something to do with the matter. He went on to explain this was all that was explained to him without any further elaboration. SSA was advised that Alyeska Pipeline is a functional pipeline which was built sometime in the 1960's. It was built to pump crude from the Alaskan oil fields through Canada. The word Alyeska may be an acronym for Alberta, Yukon, Alaska. Rich being a major oil trader would apparently have traded in the oil pumped through the pipeline. There is no indication of a beneficial interest by Rich in the pipeline that the NYPD knows of.
NYO indices has several references to Alyeska Pipeline and Alaska Pipeline Service Company but no 1968 references or any that appear to be connected to Rich.

SSA was advised that Marc Rich & Co. in New York pled guilty in the mid 1980's and paid a heavy fine in the 50's. The company opened in Connecticut as Clarendon LTD. and is a major commodities trading firm today. At least two of Rich's principal officers in his headquarters in Zürich, Switzerland, have headed Clarendon.

SSA was advised the NYO had no knowledge of any allegation involving the smuggling of cash from the U.S. to Amsterdam by Rich.

SSA said he would contact the NYO of any further developments.
Memorandum

To: SAC, II (196A-NY-1774)  Date: 7/2/93

From: SA (C-25)

Subject: MARC RICH-FUGITIVE;
FBW;
OO:NY

A confidential source furnished the following information to writer on 5/7/93:

1) 
2) 
3) 

[Signature]

196A-1774 (Attn: SA (C-25)

KPM:kpm

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
Memorandum

To: SAC, New York (196A-NY-1774)
From: SA (C-12)

Subject: MARC RICH - FUG (B); PINCUS GREEN - FUG (B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY

On 7/2/93, USA, SDNY, assigned the captioned matter, advised he will be leaving the USA's office in and the captioned matter is being reassigned to USA.

Date: 7/8/93

ALL INFORMATION CONTAINED HERIN IS UNGLASSIFIED

FILED
JUL 1 2 1993
FBI - NEW YORK
To: SAC, NEW YORK (196A-NY-1774)  
From: SA  
Date: 11/5/93

Subject: MARC RICH - FUG(B), PINCUS GREEN - FUG(B), FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY

On 11/5/93, a general discussion took place of the captional case in the Office of AUSA, New York. All concerned agencies were represented: FBI, IRS and by speaker phone, U.S. Marshals.

[Handwritten note: 196A-1774 - SubFug 1 - 26]
On November 8, 1993, a facsimile was received from Special Agent of the New York Office of the Federal Bureau of Investigation.

Source advised that

Source has disseminated

Source believes
Secret spy life of wheeler-dealer Rich

FINALLY there is an explanation for why commodities king Marc Rich — the biggest U.S. tax cheat in history — was allowed to slip through the grasp of authorities and flee Switzerland where he continues to operate with impunity. Playboy reports that the super-secretive Rich—who buys and sells precious metals, oil, sugar, and just about anything else of value throughout the world — may have been very helpful over the years to the CIA, the State Dept., the National Security Council and the Justice Dept. "In the past year or so, the Justice Dept. has quietly inserted two sealed envelopes into Rich's court file," Jim Hargan reports. "While those envelopes are not to be opened unless Rich is brought before the court, there can be no doubt that the contents of at least one envelope pertain to Rich's efforts to help the Justice Dept. to other fugitives." One such fugitive was Tom McManus, who made the Most Wanted list after fleeing charges that he stole $300 million from a D.C.-based savings and loan. Billman led U.S. Marshals on an 11,000-mile chase until he was nabbed under an assumed name in Paris last spring, Playboy reports. Billman may have played a key role in arranging the 1983 expulsion of East German leader Erich Honecker from Moscow to Berlin, where, after an abortive trial, he was permitted for reasons of health to leave Germany for residence in Chile. Rich, though still a fugitive himself, settled the charges against his companies by paying $10 million in fines. Playboy says that until recently he was selling the U.S. with the support of others and that he needed to end his career...
LIGHT SNOW FALLS THROUGH THE DARKNESS
as a gray Mercedes glides out of the
driveway of one of the oldest and most
spectacular mansions in Switzerland.
As the car winds its way into the moun-
tains above the lake, windshield wipers
brushing at the snow, a man in a black
cashmere coat and a dark blue suit sits
in a cone of light in the backseat, reading.
Not far behind, a chase car flits with the
Mercedes' rear bumper, surging
closer and closer, then falls back.
Inside, three Israeli bodyguards scan
the road, alert for the possibility of a
bounty hunter's ambush or a terrorist's
kidnapping.
The man in the Mercedes is at once
honored and infamous. There is a fel-
lowship at Oxford University in his
name, and his foundation disburses
millions to worthy causes. Despite this,
indeed, despite all the good he's done,
he remains a fugitive, wanted by the
FBI, Customs, the IRS, U.S. Marshals
and Interpol. Should he be caught and
convicted, he could face more than 300
years in prison.
It would be helpful, then, to know
what he is doing as he heads back in
the leather seat, engulfed by darkness,
luxury and paranoia. As five A.M. it is
too early for the newspapers: they'll be
waiting on his desk when he arrives at
the blue glass cube that is his office
building. But there are the late-night
faxes, certainly, and it may well be that
among them is a message from one of
his lawyers—the best that money can
buy. It could be a note from Leonard
Garment, then, or Brad Reynolds or—
perhaps not.
Perhaps it's a message from his
bustling Moscow office, or a copy of
the most recent missive from the secret
police of the mineral-rich republic of
Kazakhstan. For nearly a year, ren-
garde Kazakh spooks have been quietly
distributing diatribes against him to
the press, accusing him of a host of
crimes in an effort to discredit his com-
pany and sabotage his business.
And in Moscow itself, ultranationalist
newspapers have published articles al-
leging that his commodities business is
a front for laundering drug money. He
denies the allegation, but it has its be-
lievers. His companies have an annual
turnover of more than $3 billion per
year in what was formerly the Soviet
Union, so the man in the Mercedes be-
sides the distinguishing Russian econ-
omy like a sumo wrestler on a pony.
Considering the stakes, it is hardly sur-
prising that he has guards.

WHO SNEAKS OIL INTO SERBIA? SPIRITS GOLD OUT OF RUSSIA?
SELLS MISSILE PARTS TO IRAN? MARC RICH IS WHO—THE FUGI-
TIVE THE JUSTICE DEPARTMENT CAN'T, OR WON'T, BRING DOWN

KING OF THE WORLD

by Jim Hougan

ALL INFORMATION CONTAINED
HERIN IS UNCLASSIFIED

February, 1994

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as a gray Mercedes glides out of the
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year in what was formerly the Soviet
Union, so the man in the Mercedes be-
sides the distinguishing Russian econ-
omy like a sumo wrestler on a pony.
Considering the stakes, it is hardly sur-
prising that he has guards.

He owns or controls oil wells in Rus-
ple of brand name lawyers (Edward Bennett Williams, Michael Tigges, Boris Kostelanets and others) was deployed to wage a rear-guard battle in the States. There the courts had blocked some $50 million in payments owed to the Marc Rich group by other companies, and the prospect of property seizures seemed likely. There was, in addition, a contempt-of-court fine that amounted to $50,000 each day for Rich's refusal to surrender subpoenened documents to the U.S. Attorney's office.

Rich paid the fine by check in twice-weekly installments, complaining from Switzerland that if he surrendered the documents, he would be guilty of business espionage under Swiss law. This view was echoed by the cantonal prosecutor in Zug—though, admittedly, he sat on the boards of more than 30 of Rich's corporations so might not have been entirely objective.

Even as the legal battles continued, Rich knew that one could do worse than to be rich in Zug. With its fiscal pheromones of low taxes, bank secrecy and lax incorporation requirements, Zug had become a mecca for businesses that operate on the edge.

And Marc Rich was in the middle of it. His mansion overlooking the Zugsee was decorated with Etchells, a Miro and a Braque. He skied at St. Moritz, where he maintained a luxurious chalet, and began to host a New Year's Eve party for the Zugsee. Flavio Donziger was a guest, along with a constellation of other celebrities. Rich attended charity balls in Geneva and Lucerne, where he gave generously to the fight against fashionable diseases, and he caused a stir at the World Economic Forum in Davos.

Taking a page from the extravagantes in Colombia, he bought the approval of the little guy in Zug by pouring money into the local sports franchise, dramatically improving the fortunes of the Zug hockey team (now one of Switzerland's best). When the Jamaicans began to complain about Rich's hammerlock on their aluminum industry, Rich responded by underwriting the costs of the country's bobsled team at the 1988 Olympics.

Denise Rich, meanwhile, was making it big on her own. In 1985, a Sister Sledge rendition of one of her songs, "Frankie," topped the British charts for six weeks, selling more than 750,000 copies. Denise followed "Frankie's" success with her own album, "Sweet Pain of Love," which may or may not have been inspired by her husband's pursuit of beautiful aristocrats. In any event, the fugitive was now married to a rock star who appeared on European TV.

In the shadow of the Brooklyn Bridge, in a washed-out office with cinder blocks on the door and a metal detector at the entrance downstairs, a federal marshal was picturing to must see much. Indeed, Rich and Pinky Green were the sum of his caseload, and they occupied every hour of his day. The marshal spoke regularly with Rich's rivals, with would-be bounty hunters, disaffected employees and customs officials and cops in the most remote corners of the world. He knew who Rich slept with, where he had dinner and how much he drank. From time to time he packed a valise and went after the fugitives, but the operations he mounted were never successful.

Learning that Rich was en route by private jet to Helsinki, he arranged for the plane to be met by police. Incredibly, Rich's flight made a U-turn at 20,000 feet and headed back to Switzerland. A more ingenious plan required the cooperation of the Jeppesen Sanderson company, which has a near monopoly on the sale of aeronautical charts. Knowing that Rich's widespread business interests required him to fly to some of the world's most remote places, the marshal asked the company to tip him off whenever Rich's pilots requested new charts. Jeppesen Sanderson refused to help.

And so it went: The marshal couldn't get the cooperation he needed, and whenever a trap was laid, Rich eluded it. Clearly, Rich had better spies than the U.S. Marshals Service could muster.

A lesser man might have been content to cut his losses and enjoy his millions in the Alps. But not Marc Rich. Although his companies had been indicted on an array of serious charges, and he himself was reduced to the status of fugitive racketeer, Rich still wanted to do business in America. All he needed was someone to front for him until his lawyers could reach a settlement with the Justice Department.

The line between intelligence and hubris is a thin one, and Rich crossed it when he sent a trader named Bob Tribbett to New York in May 1984, instructing him to arrange a soybean transaction with Romania. It wasn't a big deal by Rich's standards, only $24.5 million, but it was obviously important to him because, in the end, it cost him millions and taught him a dangerous lesson: Fugitives are fair game.

To complete the deal Rich proposed, Tribbett hired Robert Whitehead, an investment banker, unaware that Whitehead was booked up with the FBI and the DEA, for whom he was a contract informant. Whitehead's office suite, telephones, car and private plane were bugged.

None of this was known to Rich or Tribbett, who had other things on their minds, in the least of which was an unusually sensitive transaction with Iran. Four years earlier, when the American government left Iran to the Ayatollah Khomeini and the mullahs, U.S. military attachés and advisors sabotaged computerized records and equipment, including anti-aircraft missiles, the guidance systems of which were removed by departing American advisors.

Enter Marc Rich.

According to Whitehead, and as Tribbett confirms, Rich used his contacts to obtain gas-fired gyroscopes from North Korea, providing them to the Iranians as replacements for the missing guidance systems. Suddenly, at a crucial point in the Iran-Iraq war, Iranian missiles became a factor. It was as if Marc Rich had delivered an entire inventory of missiles to the ayatollah's forces—long before Iranagate. (It would be a year before Iranian, Israeli and U.S. negotiators would meet in Europe for the first time to discuss swapping Hawk missiles for U.S. hostages in Lebanon.) What Rich
got in return for the gyroscopes is unknown—Tribbett won't say—but putting the astrolab in his debt could not have hurt his position as one of the world's largest independent oil brokers.

A deal gone down with Iran, Whitehead obtained a $24.5 million loan from the Marine Midland Bank for the soybean transaction. Tribbett says that Marine Midland used the funds to cover Whitehead's other debts at the bank. Whitehead's FBI handler has a different explanation: "To tell you the truth, I think he just pissed it away."

In any event, Rich found a better way to do business in the U.S. while still on the run. In the fall of 1984, lawyers for Rich and the U.S. Attorney's office for the Southern District of New York arrived at a compromise. Marc Rich & Co. AG, and Clarendon, Ltd. (formerly Marc Rich International) pleaded guilty to dozens of criminal charges, sustaining $171 million in fines (including $21 million for contempt of court in refusing to surrender unsecured documents). Rich raised the money by selling a 50 percent interest in Twentieth Century Fox to oilman Marvin Davis, with whom he had co-owned the studio. From then on, the U.S. government had no further claim on Rich's companies, though Rich insisted on retaining a "wanted man.

Today, Rich's biggest play is under way in what was formerly the Soviet Union and the Eastern Bloc. Brimming with natural resources, "the Wild East" is a political and economic mess. A diverse group of ministries holds sway over a melange of ethnic militias, born-again capitalists, footloose KGB agents, and what used to be called "the masses." It is a world in which billions of dollars in Soviet gold reserves have been looted by Communist Party apparatchiks, at least three of whom are reported to have cut themselves to death from the windows of Moscow office buildings.

The once vast reserves of Soviet gold have dwindled toward zero, while more than 1000 tons of gold have been smuggled out of the country to Zurich and Tokyo aboard military cargo planes and Aeroflot flights. Under-the-table transactions by the managers of mines, along with clandestine shipments by factory supervisors, are now so frequent that border republics such as Latvia and Estonia have become major exporters of

PLAYBOY EXPANDS YOUR PURCHASING POWER BY PROVIDING A LIST OF RETAILERS AND MANUFACTURERS YOU CAN CONTRACT FOR INFORMATION ON WHAT TO FIND THE APPAREL AND EQUIPMENT SHOWN ON PAGES 26, 27, 106-107 AND 157, CHECK THE LINES BELOW TO FIND THE STORES NEAREST YOU.

STYLE

WIRE

GETTING THE BOOT

ON THE SCENE
Page 157: Paging devices: By Hewlett-Packard, for information, 800-443-1254. By Motorola, for information, 800-992-8968. By NCY, for information, 800-226-5664. By Seston, for store locations, 800-8-TRANS.
FLATBUSH

copper, nickel and aluminum—even though none of these metals is produced in either country. Meanwhile, privatization continues with all the deliberation of a national fire sale.

It is, in other words, just the sort of place in which a man like Rich can make a killing. Who's to stop him? In 1992 the Russian government considered posting a moratorium on all business dealings with Marc Rich & Co. AG pending "a thorough investigation." Other allegations surfaced that Rich has been illegally exporting raw materials, bypassing government officials and aiding capital flight from the country.

Despite the official pronouncements against him, Rich has seen his operations in the former Soviet Union grow exponentially in the past year. Where ten employees once sufficed, 150 have now been hired, and the company's regional turnover is in the billions. Rich and his cronies have stepped into the void left by the shattered Communist infrastructure, taking over many of the functions once carried out by Soviet trading organs.

In this, the man in the Mercedes has been abetted almost as much by his contacts as by the vaults of currency at his command. And of those contacts, none are more colorful or well-connected in intelligence circles than an Orthodox rabbi named Ronald Greenwald.

A Brooklyn bohemia chum of Finky Green's, Greenwald is both a rabbi and a commodities dealer. As an agent for Rich in New York, he is also one of those rare spiritual advisors who find it necessary to deny that he's a CIA agent and/or a front for the Mossad. Affable and wry, the Reb is himself an important player in war-torn Tajikistan, where convoys of aluminum are escorted by private armies in the Reb's employ.

Meanwhile, there are signs that Greenwald's persistent lobbying for Rich and Green's freedom from their pending indictments, in tandem with the efforts of Leonard Germent and former Justice Department official Brad Reynolds, is having an effect. When Representative Bob Wise (D-W.Va.) convened a subcommittee hearing two years ago on Capitol Hill, seeking to learn why the Justice Department has been unable to nab one of the most conspicuous fugitives in the world, representatives from Justice at first refused to appear before the subcommittee and then slammed the Reb was outraged.

"This isn't your average miscreant who has fled the country for knocking over 15 7-Elevens and is kicking around the dock at Marseilles," he said. "This is Marc Rich operating with total impunity out of a tall office building in Switzerland. Why hasn't this been made a procri-

ity?" He noted that Rich is under indictment for trading with the enemy and for "the biggest tax fraud in history.

Despite the seriousness of the charges, Wise said, there seems to be "a lack of political will" to apprehend Rich and Green. Wise pointed out that the government has yet to publish a reward for their arrests or, for that matter, a wanted poster. Despite the severity of their crimes, Wise noted, neither man is among the 15 most-wanted fugitives currently being sought by the U.S. Marshals Service—though several thugs who have knocked over 7-Elevens are prominent on the list.

Calling the case "strange," the subcommittee criticized Justice for its "lack of relentlessness" and cited numerous failures in the department's handling of the case. The committee well have its failure to "ensure that, at a minimum, the fugitives do not make money from the U.S. government.

Until recently, Rich and his companies have continued to do business—big business—with the U.S. government, despite Rich's status as a fugitive. The Commodity Credit Corporation has enabled the elusive billionaire to sell American grain by providing more than $500 million in export subsidies to one of Rich's companies. As bizarre as this may seem, an even greater irony rests with the U.S. Mint's reliance on Marc Rich for the copper, nickel and zinc that it needs. Between 1989 and 1992, the Rich organization sold more than $45 million in metal to the Mint.

Through the efforts of Congressman Dan Glickman and Bob Wise, Rich is no longer doing business with the C.C.C. or the Mint. But not much else has changed. There is no evidence that the Justice Department has acted on recommendations made by Congress.

On the contrary, the only change known to have taken place is that the hardworking marshals, who knew more about Rich and Green than perhaps anyone else in government, has been taken off the case and reassigned to Tampa.

To anyone attending the Wise hearings, the conclusion was virtually inescapable that Rich and Green are being protected—and not just by the Swiss and the Colombians. (A well-informed source at a financial reporting service says that Green has recently moved to Bogotá, where, we may suppose, he's joined the ranks of the extraductibles.)

One can speculate about the sources of Rich's protection in the federal government. He is, after all, in an excellent position to further certain U.S. foreign policy objectives and to satisfy various intelligence requirements in Third World countries. It would hardly be surprising, then, if the State Department, CIA or National Security Council were to enlist the help of a fugitive with Rich's broad access and enormous means.

It should be remembered, too, that Rich has a complex and intriguing relationship with the Justice Department. When Congressman Wise questioned Justice about its contacts with Rich's attorneys and other agents, seeking to make a deal on his behalf, the department refused to discuss the matter. Why Justice should stonewall Congress on behalf of a fugitive is uncertain, though few would doubt that the wall was built to conceal the fact that Rich is working with Justice (and quite possibly with other agencies) on what can only be called "special projects."

In the past year or so, the Justice Department has quietly inserted two sealed envelopes into Rich's court file. While those envelopes are not to be opened unless Rich is brought before the court, there can be no doubt that the contents of at least one envelope pertain to Rich's efforts to help the Justice Department nab other fugitives.

One such fugitive is Tom Billman. Accused of stealing more than $100 million from a Washington, D.C.-area S&L, Billman was apprehended in Paris last spring after leading the authorities on an around-the-world chase that lasted more than three years. At the time of his arrest, the globe-trotting embassador was prominent on the U.S. Marshals' 15 most-wanted list and living under an assumed name.

Rich's contribution to Billman's apprehension was to hire an Israeli private eye, the same Avner Azulay who checked out Rich's girlfriend, to help track down Billman. With a hefty budget, Azulay paid out more than $600,000 to private intelligence agencies in London, New York and Washington, instructing them to track Billman's movements and money in Europe and Asia. The information that Azulay received was then provided to U.S. officials, and the rest (or, at least, Billman) was history. Whether Billman's arrest was a direct result of Rich's efforts is unknown. The Justice Department won't say, and Rich would have no circumstances want to take credit for helping the U.S. track down its enemies, some of whom are his business partners.

The contents of the second envelope are a mystery, but may have to do with rumors that Rich and Greenwald played a key role in arranging the 1989 expulsion of East German leader Erich Honecker from Moscow to Berlin, where, after an abortive trial, he was permitted for reasons of health to leave Germany for residence in Chile.

Asked about Honecker and Billman, Greenwald shrugs. "There are rumors," he says with a sly smile. And then he shrugs again. "With Marc, there are always rumors."

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The director of an intelligence network that has followed Rich for years says, ‘Marc owns Peru.’

Peru and electrical supplies in England. There are refineries in Romania, office buildings in Spain and smelters in Australia, Iran, Sardinia and West Virginia. He has 40 offices and 1,900 employees throughout the world and is simultaneously the uncontested emperor of aluminium, a prince of sugar, a monarch of oil, a mover and shaker of the world’s markets in nickel, lead, tin, chrome, magnesium, copper and coal.

One could go on. The managing director of a private intelligence network in the U.K. one that has followed Rich for more than a decade on behalf of a secretive Arab client, says bluntly, “Marc owns Peru,” and this isn’t so hard to believe. With an annual turnover in excess of £80 billion, Marc Rich & Co. AG has a larger turnover than the GNPs of many Third World countries—despite the fact that two dozen whose economies are said to be entirely within his hand.

Perhaps he is reading Ianis, who will be in the office office. He would wonder if the Russian newspaper has again been destroyed by the U.S. Justice Department’s front-page editorial of news. In the new Russia, the newspaper has republished its page to the Aberdeen report and other similar tidings. Only recently, it was reported that a $75,000 reward had been offered by the U.S. for Rich’s capture, and that he was somewhat responsible for the export of 800,000 tons of high-quality fuel oil, purchased at a fraction of its cost. His profit was estimated to be between $48 million and $60 million.

Another allegation of Rich is a blacklist of rumors. It is said by one of his competitors, for example, that Rich has corrupted executives at the British national oil company and thus has pushed them to plunder their country’s economy.

In another case, the Russian government accuses Rich of having marketed the fortune that Nicole Stille owned, and a frequent spot in what was formerly the Ollie North affair insists that Rich worked hard and long with the Communist Party of the Soviet Union to get the U.S.S.R. off its gold reserves during the Eighties. The Senate Foreign Relations Subcommittee on Terrorism, Narcotics and International Relations has called for an investigation of Rich’s connections to the infamous and now defunct BCCI.

And so it goes. In Amsterdam, the anti-apartheid Shipping Research Bureau accuses Rich of busting UN sanctions against South Africa. In New York, the authoritative Plant’s Oilgram News reports that he made oil shipments to Norway at the same time the UN was preparing a blockade against the murderous country. In London, Private Eye suggests the billionaire has been trying to violate similar embargo orders imposed by the UN against Iraq.

But what do they know? Can anyone really be all that bad? (Can anyone really be all that rich?)

The rumors fall like snow on the windows of the Mercedes. But Marc Rich isn’t reading rumors. He knows the truth, and if he doesn’t, he can pay to have it found. Perhaps, for instance, he’s reading the report that he commissioned on a question of some delicacy—the report on the provenance of his blonde German girlfriend. Anna Suchal, an Israeli private eye, was hired by Rich to find out (among other things) if the woman’s family was pro-Nazi during the war. The detective’s report brought welcome news.

And so the man in the Mercedes can relax. It’s the last day in the Alps, he hasn’t been nabbed and his girlfriend is clean. What more could a man want?

What, indeed?

To understand who Marc Rich is, we need to know how one of the most powerful men in the world came to be a prisoner in paradise and a capitalist in flight.

Born in Antwerp in 1934, Rich (née Reich) came to the U.S. with his parents in 1942. With the war in Europe behind them, they settled in Kansas City, Missouri, where Rich’s father opened the Petty Gem Shop and earned a modest income. Rich attended public schools (where he seems to have made no impression whatsoever on his classmates), joined the Boy Scouts and went to summer camp in the Ozarks. (One of his teammates was writer Calvin Trillin, who remembers Marc as “the quietest kid at Camp Ciscella.”)

To have been a Jew in Kansas City in the Forties (and one, moreover, who spoke three languages while still a child) could not have been easy. But he didn’t live there for long. The Petty Gem Shop prospered and became the diversified Rich Merchandising Co., which Rich’s father soon sold at a nice profit. In 1950 the family moved to New York, where the elder Rich entered into a partnership to manufacture burlap bags. With the Korean War looming into overdrive, this proved to be a brilliant stroke: Military requirement pushed the demand for burlap through the roof, and the family’s fortune was made.

By then, Marc was enrolled at New York University. But as a sophomore, he was lured away from school by an acquaintance of his father’s, who wanted him to apprentice as a commodities trader at Philipp Brothers.

In 1954 Philipp Brothers was the biggest raw-materials trading company in the world, bridging the gap between mining and manufacturing companies on one continent and scrap-metal merchants in Hamburg during the 1930s, the firm had expanded to England and the U.S. in the years before World War One. By World War Two it had become a giant with enormous influence in the Third World.

Rich began to learn the metals-handling business while working in the traffic department at Philipp Brothers. Like many of the other apprentices, he was the son of Jewish refugees. Unlike them, he’d grown up in Kansas City surrounded by goyim. His father was a millionaire who was well-connected at Philipp Brothers itself. Marc wore suits that the others couldn’t afford, and he came to work in a red MG TD that seemed to install envy in all who saw it.

Four years after leaving NYU, Rich was given his first assignment abroad. Sent to Havana on the eve of the Cuban revolution, he found himself in a vortex of decadence and corruption. It was a place where almost nothing worked except the bribe, which always worked. Rich got the company’s metal out of Cuba and was sent out into the world to make even more money for Philipp Brothers. He began to travel constantly between New York and La Paz, Cape Town and Santiago, taking time out to get married in 1966. His wife is the beautiful, almond-eyed Denise Eisenberg, a New Englander who, like her husband, was the child of Jewish refugees who’d made a fortune in America after the war. Unlike Marc, however, Denise was a rock-and-roller. He lived in a world of boardrooms dominated by patriarchal millionaires; she was a junk-food addict who loved the movies and who was as driven to make it as a pop star as her husband (continued on page 143)
would one day be driven to corner the world's largest-known oil reserves.

Within a year of marrying Rich was placed in charge of the Philip Brothers' office in Madrid and given a seat on the company's European management committee. Always an ascetic, he was now faced with many of the company's most treasured secrets, overseeing virtually every trade that Philip Brothers made on the continent. Not content with that, he pulled off an extraordinary feat: In the last sixties he invented the spot market for oil.

After World War II, the world market was dominated by the Seven Sisters—companies that controlled the price and production of oil from wellhead to gas pump. By tapping suppliers in countries that had more oil than they needed—Iran was such a place—Rich and his Philip associate, Pincus "Pink" Green, were able to buy excess crude and sell it to refiners operating at less than capacity. The Seven Sisters were bypassed, and a gusher had been tapped.

In the spring of 1973, Rich and Green anticipated that the Organization of Petroleum Exporting Countries would impose in the autumn. Acting on tips, possibly from sources in Madrid, OPEC or the State Department, they learned that the price of oil on the spot market would jump (in fact, it would triple). So they bought $150 million worth of crude that spring, paying $5 a barrel above the spot price to get it.

Not that it did them any good. The reaction at Philip Brothers to such a plunge into a nontraditional market was unambiguously that Rich was forced to sell the oil before the embargo took place. In effect, the directors of Philip Brothers caved in before the winning hand was played. Belatedly, they realized their mistake and gave Rich and Green a free hand. The resulting profits were enormous. And so were the bonuses owed to the two traders.

When the company refused to pay up—the bonus, after all, was as unprecedented as the deal—Rich and Green bolted, taking with them half a dozen of the firm's traders. In 1974, the firm was in the middle of negotiations with pledges of as much Iranian oil as they could handle, the unlikely pair began trading as Mate Rich & Co. AG.

From the beginning they waged a private war against Philip Brothers, doing everything in their power to destroy the company. Secretaries and clerks were bribed to provide copies of the opposition's telexes, which enabled Rich and his cohorts to win contracts by bidding

To many, Rich's obsession with secrecy bordered on paranoia, but the reality was that secrecy and profits were intimately linked. To pull off his deals, Rich had to rely on bribery and sanctions busting. Throughout the Seventies and Eighties, for instance, South Africa was subject to oil embargoes imposed by the United Nations, OPEC and the European Community in response to that country's apartheid policy. For a commodities trader like Rich, headquartered in neutral Switzerland, the UN embargo was made to order. The Afrikaners were happy to pay more than $8 a barrel over spot, which meant profits of more than $100 million on each contract Rich's company brokered.

Not only was it particularly difficult to find a supplier. The Soviet Union needed hard currency to buy grain and build submarines, and one way to get it was by ignoring its own trading sanctions against an oil-thirsty country such as South Africa. With the buyer and seller lined up, all that was necessary was to launder the oil through a purposefully convoluted series of corporations chartered in such venues as Monaco, Liechtenstein and the Cayman Islands. Sometimes, when the cargo was delivered, the tanker would be scuttled and the seamen sent home by air. Subsequent investigations revealed that the missing ship's owners were headquartered at a Swiss post-office box on which the monthly fee was overdue.

One such shipment left the Black Sea in September 1988, sailing aboard the Dagil, a Liberian oil tanker flying a Norwegian flag, carrying Soviet oil bought by a Greek firm for delivery to Italy. The modified itinerary and ownership made tracing next to impossible. The ship slipped out through the Strait of Gibraltar, turned south at Tangier, began communicating in code and covered its name in tarps. The oil was eventually delivered to Cape Town in mid-October.

According to Amsterdam's Shipping Research Institute, which traced violations of oil embargoes against South Africa, the whole scheme had been set up by the real buyer, Marc Rich, who made use of a company that soon after ceased operating and another company belonging to his empire of which no trace could be found.

Experts estimate that Marc Rich supplied at least eight percent of South Africa's oil needs during the Eighties, amounting to more than 75 percent of shipments from the Soviet Union, the Persian Gulf and Brazil. The value of those shipments was in billions, and so were the profits. But that was only a part of Rich's payoff. When Phibro-Salomon stopped trading with South Africa in 1985, responding to an apartheid activist in the U.S., Rich quickly stepped in to fill the gap, replacing Phibro-Salomon as the exclusive sales agent for one of South Africa's largest lead mines.

The South African trade put Rich into the sanctions-busting business in a big way. Rich must have convinced himself that political sanctions did not apply to his operations, or, if they did, that clever lawyers could get around them.

It was inevitable, then, that the 1980 U.S. embargo against Iran was viewed by Rich as an opportunity to make a killing. Laundering Iranian oil through Panamanian fronts and sham transactions, Rich's company was able to subvert price controls, evade taxes and move hundreds of millions of dollars in illicit profits offshore. Unfortunately for Rich, however, the deals also brought an indictment.

Two Texas oilmen, themselves under indictment for daisy-chaining, offered up Rich and Green in return for light sentences. Rich and his partner were each charged with 51 counts of conspiring to violate the Oil embargo Act, tax evasion, racketeering and trading with the enemy. Anticipating the indictment, Rich locked the doors to his ten-room apartment on Park Avenue and fled New York in early June 1983. A few days later, he and his wife were surrounded in Switzerland in a mansion overlooking the town of Zug. The indictment was handed down in September.

Although Rich and Green each may face more than 800 years in prison, they knew they'd be safe in the Alps. The extradition treaty between Washington and Bern was so old that it predated the income tax itself. It covered murder, rape and mayhem, but, the Swiss maintained, nothing in it is applied to the modern crimes for which Rich and Green had been accused. In essence, since neither had strangled anyone, the billion-plus they were more than welcome to remain in Switzerland.

Meanwhile, at a cost of more than 143
Fugitive Marc Rich Prospers Abroad, Hopes to Settle U.S. Criminal Charges

By Peter Truell
Staff Reporter of The Wall Street Journal
DAVOS, Switzerland — Marc Rich has succeeded in maintaining and building a successful international commodity and investment business despite being a fugitive from U.S. justice and facing continuing lawsuits from his former wife.

Mr. Rich fled the U.S. in 1983, with his partner Pincus Green, just before they were indicted on tax-evasion and racketeering charges relating to an alleged oil-trading scheme. Now, Mr. Rich says, he wants to settle U.S. criminal charges outstanding against him. He also seems resigned to having to face a long trial with his ex-wife, Denise, who has pursued him in the courts.

"It will probably take years to settle," the 59-year-old Mr. Rich says in an interview, referring to the legal dispute with his former spouse. The former Mrs. Rich is seeking half of her husband's assets, which have been estimated to total as much as $1 billion.

Mr. Rich's ex-wife and her family provided some of the seed capital when, in 1976, Mr. Rich left Philipp Brothers and set up Marc Rich & Co. She is no longer a stockholder, according to Mr. Rich. She redeemed her shares in December 1989, he says.

As chairman of Marc Rich & Co. Holding AG, based in the nearby town of Zug, Switzerland, Mr. Rich oversees his 27.5% stake in the operating company, Marc Rich & Co. It now has annual turnover of $20 billion from trading crude oil, petroleum products, metals, minerals and agricultural commodities.

Mr. Rich says that he hopes his decision to step down last year as chief executive officer of Marc Rich & Co. may make it easier to negotiate a settlement of the U.S. charges against him.

It has become Mr. Rich's custom to attend the annual World Economic Forum in this Alpine resort of Davos. The gathering of world political and business leaders, which ends tonight, provides Mr. Rich an opportunity to meet with old friends and get an annual taste of the world of international financial circles that he barely left more than a decade ago.
Memorandum

To: SAC, NEW YORK (196A-NY-1774)
From: SA (C-12)

Date: 3/18/94

Subject: MARC RICH - FUG.(B);
PINCUS GREEN - FUG(B);
FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY

00: NY

Attached is a copy of a magazine article, 3/17/94, from the Spanish Magazine "SU GENTE" featuring Marc Rich. The article essentially sets forth Rich's proposal to fund a business school in his name at the University of Madrid.

196A-1774 SUB FOR 1 - 34

Sub Fug 1
Sub Fug 2
Fomentará la investigación en el área de Economía de Empresa

De cómo Marc Rich no presentó su Cátedra de Negocios

Ni Marc Rich ni Camilo José Cela ni Max Mazin acudieron, frente a lo anunciado, a la presentación oficial de la Cátedra de Negocios Marc Rich, asignada al departamento de Economía de Empresa de la Universidad Carlos III de Madrid.

No hay manera de ver en carne mortal a este íntimo financiero y un tanto enigmático personaje llamado Marc Rich. La presentación de su Cátedra de Negocios, que un día quiere crecer por sí mismo y convertirse en una raicel con su propia doctrina y personalidad académica, había convenido una audiencia atipicamente abundante y algo más que curiosa. Pero el magnate de origen judío, nacionalizado español y residente suizo, se dio esquinazo a sí mismo y nos privó de una presentación que siempre da titulares a las altas finanzas.

Más que una biografía y una constitución transformada de sus ideas, de Marc Rich existe una multitudinaria cuenta de resultados de lo que ha sido y quién es. Había en el corazón del mundo un hombre que, aunque no ha llegado a la cima del poder, ha tenido un papel importante en la historia del petróleo.

De izquierda a derecha: Juan Urrutia, profesor de la Universidad Carlos III; el profesor Michele Boldrin; José Fereza, director ejecutivo de la Fundación Rich; Jaime Lisewsky, consejero de Educación de la Comunidad de Madrid, y Miguel Correcher, embajador de España.
NEGOCIOS DE ALTOS VUELOS

La Catedra de Negocios de la Universidad de Stanford, dirigida por el Profesor de Negocios y Economía John H. Lintz, ha sido una de las más influyentes en el campo del estudio de las prácticas empresariales. Su enfoque se basa en el análisis de los desafíos y oportunidades que plantean los negocios contemporáneos.

En su último informe, Lintz ha destacado la importancia de la innovación y la adaptabilidad en el mundo empresarial. Según él, las empresas que pueden transformarse rápidamente y aprovechar las últimas tendencias de la tecnología y el mercado tienen una ventaja competitiva inigualable.

Además, Lintz ha resaltado la importancia de la ética empresarial y la responsabilidad social corporativa. Según él, las empresas deben considerar no sólo sus intereses en términos financieros, sino también sus impactos en la sociedad y el medio ambiente.

La Catedra de Negocios de la Universidad de Stanford ha sido una pionera en el campo de la educación empresarial y ha inspirado a muchas generaciones de líderes empresariales.
On March 1, 1994, (CW) was interviewed by SA and SA furnished the following:

On or about February 15, 1994, CW

At 

are described as follows:

1. 

Investigation on 3/1/94 at NEW YORK, NY File #

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
Continuation of FD-302 of ______ , On 3/1/94, Page 2

The CW explained
The CW furnished a

The CW also furnished the following information:

The CW provided
On April 14, 1994, furnished the following to Special Agent [redacted] of the New York Office of the Federal Bureau of Investigation (FBI):

[Redacted]

Source added

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 4/14/94 BY DLE
provided the following information to Special Agent (SA) on April 29, 1994:
SUBJECT: Marc Rich; et al

REMARKS: please review and comment as to accuracy, Thanks.
MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
FBW, MF, RICO, INCOME TAX EVASION,
TRADING WITH THE ENEMY

MARC RICH and PINCUS GREEN, Partners in MARC RICH and
COMPANY A.G., a Swiss based commodities trading firm and MARC
RICH & COMPANY INTERNATIONAL in New York, the U.S. trading arm of
A.G., were indicted on September 19, 1983, in U.S. Federal Court,
in the Southern District of New York, on a 51 count indictment,
charging both with fraud by wire, mail fraud, RICO, income tax
evasion and trading with the enemy.

During 1980-81, RICH and GREEN sold crude oil that was
under Department of Energy price controls in the United States at
uncontrolled, free market prices, thus reaping huge profits which
were shifted overseas. In order to offset these profits, both
fabricated tax deductions involving falsification of invoices of
transactions between MARC RICH and COMPANY A.G. and its foreign
subsidiaries. RICH and GREEN amassed $100 million in profits
concealed in 1980-81, thus evading $48 million in U.S. income
taxes. This case became the largest income tax indictment ever
returned by a grand jury.

During these complex illegal transactions, monies were
wired, as well as telexes and false documents were mailed for
which both were charged in the indictment with fraud by wire and
mail fraud.

RICH and GREEN also traded oil with Iran during the
period that Iran held U.S. hostages.
MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
FBW, MF, RICO, INCOME TAX EVASION,
TRADING WITH THE ENEMY
OO:NY

RICH and GREEN declined to return to the U.S. after the indictment from Switzerland where they remain today as fugitives. RICH is a citizen of Spain and maintains a home in Marbella, Spain while it is believed GREEN is a citizen of Israel where he maintains an apartment which he reportedly uses on trips to Israel.

The following is a description of RICH and GREEN:

<table>
<thead>
<tr>
<th>Name</th>
<th>MARC RICH</th>
</tr>
</thead>
<tbody>
<tr>
<td>Race</td>
<td>White</td>
</tr>
<tr>
<td>Sex</td>
<td>Male</td>
</tr>
<tr>
<td>DOB</td>
<td>December 18, 1934</td>
</tr>
<tr>
<td>POB</td>
<td>Antwerp, Belgium</td>
</tr>
<tr>
<td>Height</td>
<td>5'10&quot;</td>
</tr>
<tr>
<td>Weight</td>
<td>About 180 lbs.</td>
</tr>
<tr>
<td>Hair</td>
<td>Black/gray receded</td>
</tr>
<tr>
<td>Scars</td>
<td>None known</td>
</tr>
<tr>
<td>Residence</td>
<td>Near Zug, Switzerland</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Name</th>
<th>PINCUS GREEN</th>
</tr>
</thead>
<tbody>
<tr>
<td>Race</td>
<td>White</td>
</tr>
<tr>
<td>Sex</td>
<td>Male</td>
</tr>
<tr>
<td>DOB</td>
<td>Brooklyn, New York</td>
</tr>
<tr>
<td>POB</td>
<td>Brooklyn, New York</td>
</tr>
<tr>
<td>Height</td>
<td>About 5'9&quot;</td>
</tr>
<tr>
<td>Weight</td>
<td>About 170 lbs.</td>
</tr>
<tr>
<td>Hair</td>
<td>Gray</td>
</tr>
</tbody>
</table>
MARC RICH - FUGITIVE (B);  
PINCUS GREEN - FUGITIVE (B);  
FBW, MF, RICO, INCOME TAX EVASION,  
TRADING WITH THE ENEMY  
OO: NY

Scars: Operation scar for bypass on chest. Vertical scar running from right ear down his neck.

Residence: Near Zug, Switzerland
Mr. Potts:

RE: MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
FRAUD BY WIRE;
MAIL FRAUD;
RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY

Attached for your review are several items suitable for the Director's use during his upcoming travel to Moscow, Russia. These items include a Letterhead Memo (LHM) concerning captioned investigation, a copy of the indictment charging Marc Rich and Pincus Green as well as photographs of both Rich and Green. The attached information is appropriate for dissemination to law enforcement entities.

New York Division is in receipt of highly singular information from a sensitive and reliable FBI source who is described as Revelation of this singular information would place the source and his/her family in physical danger.

The source has, on multiple occasions.

The source has also reported that

A statement was formulated from the above information which was disseminated to both the Department of Justice Office of International Affairs and Interpol. The statement read as follows: When a sensitive and reliable FBI source
To: DIRECTOR, FBI
Date: 6/17/94

Facsimile Number: (202) 324-8072

Attn: SSA

From: NYO - FBI

Subject: 

Special Handling Instructions: Please Route to SSA Economic Crimes Unit

Originator's Name: 

Originator's Facsimile Number: (212) 335-2745

Approved: 

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
Marc Rich & Co. to Change Its Name Effective Sept. 1
By a WALL STREET JOURNAL Staff Reporter
PARIS — Marc Rich & Co. is changing its name, in another step to distance itself from its founder, the fugitive financier Marc Rich.

As of Sept. 1, the international commodity firm based in Zug, Switzerland, will be called Glencore International AG. The firm said the new name completes its restructuring and change of ownership.

Mr. Rich, now 59 years old, founded the company in 1974. He has been a fugitive since 1983, when he fled the U.S. just before he and his partner Pincus Green were indicted on charges of tax evasion and racketeering.

In March 1993, Mr. Rich relinquished his majority stake in his company, and gave up the title of chief executive officer, though he retained a 27.5% stake in Marc Rich & Co. Holding AG. At that time, some employees said they hoped that by distancing himself from the firm, Mr. Rich would help remove some of the taint of scandal left by the indictments.

Switzerland has resisted U.S. attempts to extradite Mr. Rich, and his own attempts to negotiate a settlement with U.S. authorities so far have proved fruitless. Meanwhile, Mr. Rich’s estranged wife, Denise, has brought actions against him in Swiss and New York courts seeking half his assets.
1) Sub Fug 1
TO: New York Division

FAX NUMBER: 212 335 2999

ATTN: SA

FROM: LEGAL ATTACHE, BERN Assistant Legal Attache

ORIGINATOR: MARC RICH - FUG (B); PINCUS GREEN - FUG (B); FBW, MF, RICO, Income Tax Evasion; Trading with the Enemy; 00: New York.

SUBJECT: Marc Rich - FUG (B); Pincus Green - FUG (B); FBW, MF, RICO, Income Tax Evasion; Trading with the Enemy; 00: New York.

Date: 19 August 1994


Attached are the following two articles regarding captioned subject RICH:

1) one copy in German of the Sonntag Zeitung, 7 August 1994, article, "Israelischer Pass fur Rich";

2) one copy in French of Le Nouveau Quotidien, 8 August 1994, article, "Marc Rich devient Israélien".

As discussed during referenced telephone contact, New York will translate attached articles and furnish Bern copies of same.

Regards;
Nun hat Marc Rich den israelischen Pass als Helfer in der Not

Eine weitere Waffe des Rohstoffhändlers gegen Auslieferungsbegehren und Scheidungsklage

Von ERIE WOLINKA
UND CHARLES LANDSHAMMA


Den Schritt über die Grenze wag Marc Rich nur selten.


GOOD NEWS

Die guten Nachrichten der Woche

Werbespot gekürt

Intelli gente und zugleich guter Werbung ist so selten, dass es spielerisch prämiert wird. D. Mildepat mit der skurrilen und fast humorvollen Fakt dazu wurde am Filmfesti val in Locarno zum besten Werbeanfang gekürt.

Suiz erwartet


Bier exportiert

Chiem ist zum zweitgrößten Bier inner der Welt mit 3,5 Millarden der Bier exportieren darüber hinaus auch das von konnte ein geschützt Freitags-Bier.

Post spediert


BAD NEWS

Auch die schlechten
iger Strafe bei mehr Tempo: es Gesetz schont Autoraser

Aus dem Departement Koller wird für politischen Zündstoff sorgen

... die Geschwindigkeitslimite
... es auf fähig
... fest
... it fiegt es vor.

... die künftigen
... neigungs-
... ersetz.
... Abraham
... für die
... mit
... durften, bevor der Ver-kehrssucher verzeichnet wird.

... auch innerorts soll
... mit Ordnungsbescheiden belastet werden; es gibt auch ein historisches Beispiel von 300 Franken mit für Geschwindigkeiten zwischen 16 und 20 km/h; es wird im Toleranzzweck ein Tempo von 75 km/h innerorts noch als "Kavallerierblick" taxiert werden.


Kein Wunder, dass die Expertenausschüsse aus dem Departement Koller auf Widerstand stoßen, der die Kantonale durch den Ordnungsbescheid von einer der Geschwindigkeitskontrollen um bis zu 30 km/h überzeuget werden dürfen, bevor der Verkehrssucher verzeichnet wird.

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kson und Presley in Budapest

bewirksamer Besuch des US-Popsängers und der Elvis-Tochter

Israelischer Pass für Rich

US-Auslieferungsbegehren: Multimillionär sichert sich ab

ZUG/TEL AVIV - Der Zuger Rostra-


Trotz Aufscheuer

Sonnattizeitung, 8/7/94
Die Abschaffung in der kleinen Schweiz kommt diesem Drang nicht gerade entgegen.


Herzlicher Empfang in Israel für den gerechten Jüdischen

Unter dieser erbitterten Einrichtung der Mobilität soll vor allem auch Marc Riches neue Partnerin, die internationale Journalistin und Schriftstellerin Gisela Ross, geleitet haben. Die 14-jährige, blonde Witwe eines ehemaligen israelischen Kaufmanns, die der Schweiz bis heute gehört, ist in ihrem Mann, in New York lebt, der in einer Schuldungskrise bisher erfolglos 750 Millionen Franken

Le Nouveau Quotidien, 8/8/1994

Marc Rich
devient Israélien

L'homme d'affaires risque encore
moins d'être extradé

La législation alemante, même si elle est plus sévère que celle des États-Unis, ne permet pas l'extradition. Marc Rich, échappé dans son pays de deux ans de prison, a en effet demandé à être extradé vers Israël, où des conditions de détention plus favorables existent. Il a obtenu un visa israélien, et a déclaré qu'il était prêt à rester dans le pays. Les autorités israéliennes ont accepté de le recevoir, mais n'ont pas encore pris de décision quantitative. Marc Rich, qui est impliqué dans des affaires d'escroqueries en Europe, risque de rester en Israël plusieurs mois, jusqu'à la fin de son visa. Le cas de Marc Rich est une nouvelle fois un signal fort de la situation incertaine des affaires internationales.

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SubFug. 1
Trading with the enemy and corrupt Soviet officials and evading U.S. taxes, Marc Rich got fabulously rich. But his empire’s turning to ashes now.

"You didn’t make the profit: The market did"

By Paul Klebnikov

ON SEPT. 1 Marc Rich & Co. AG will formally cease to exist. The secretive Zug, Switzerland-based multinational will no longer bear the name of the high-living PLAYBOY and fugitive from U.S. justice who built it into one of the world’s great trading companies. Henceforth it will be Glencore International AG.

Is the change merely cosmetic, designed to shed a tainted name? Apparently not. The 59-year-old Rich has reduced his stake from 51% to 25%, and, says a well-informed former executive: “The top employees have taken over the company, and they want to distance themselves as much as possible from Marc Rich. Marc still works there, but he is weening himself off the business.”

Besides cavoring with his girl-

Fugitive trader Marc Rich
“All his old partners are gone— he’s the last of the Mohicans.”

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Besides cavoring with his girl-
Marc Rich

According to cashed-out $200 million sank about an estimated embargo in company late 19805. The trading company, meanwhile, had half 19805. Rich is suing in Switzerland his former wife's divorce action. Marc Rich was accused in Russia of arresting, fraud, tax evasion and trading with the enemy (Iran). Switzerland is unwilling to extradite Rich to face the charges, but the U.S. has strong extradition treaties with most other countries in Europe. Interpol has a "red notice" on Rich, which means it is giving the highest possible priority to his apprehension. Thus he travels only at great risk of arrest. It's not easy to run a business like his on the phone and fax alone.

In 1992 the honeymoon ended. Marc Rich was accused in Russia of massive bribery, illegal export of raw materials, aiding in capital flight, even laundering drug dollars. Inquiries were started in the Russian government. By this time, Artem Tarasov had taken his fortune and fled to London while Prime Minister Ivan Silayev had been forced to resign; he is said to be living now in his villa in Switzerland.

At home in Zug, Switzerland, a new generation of Rich traders, headed up by Willy Strothotte, was coming to the fore. They felt they should get
the equity stake that the three old partners had relinquished, but Rich has always been stingy about rewarding his top employees, even with praise. A former Rich executive, Arye Beres, remembers one incident from the late 1970s: "One trader came running to Marc Rich to say that he had just made a $10 million profit on an oil deal. Rich said: 'You didn't make the profit. The market did.'"

In the summer of 1992 Marc Rich and Willy Strothotte clashed on management issues and equity stakes. Strothotte was fired.

Virtually all the company's top managers followed him out: Claude Dauphin, head of oil trading; Manny Weiss, head of aluminum and sugar trading; Eric de Tureckheim, chief financial officer for trading operations; Daniel Posen, head of the Moscow office; and many others.

Claude Dauphin established a new trading company called Trafignura Beheer B.V. and brought in dozens of former Marc Rich employees; the offices in Bulgaria, Romania, China and Argentina defected to him en masse.

Less than a year after he had fired him, Marc Rich brought Willy Strothotte back. After that Rich began playing a smaller role in the trading activities, although apparently staying involved in general corporate strategy.

But the exodus has continued. This May, Omar Shah, a top ferrous metals trader, and his team of traders left the company, voicing dissatisfaction over the distribution of the equity.

Lucio Genovese, the new head of the Marc Rich office in Moscow, plays down the importance of the defections, declaring: "There's no problem with morale. People are always coming and going—that's the nature of the business."

So maybe the firm will survive—but eventually without Rich, who is becoming an increasingly isolated figure. These days, Rich seems more focused on living the high life in his villa in Marbella, Spain, and in chic ski resorts like St. Moritz. Says an acquaintance from Rich's old Phillip Brothers days: "I think he has lost interest in the business. All his old partners are gone—he's the last of the Mohicans." You can say of Marc Rich that he won a fortune but lost his soul.

Starting life as an embargo-buster, Alan Clingman, at 34, is on his way to becoming a top commodities trader.

Buy cheap, sell dear

By Paul Klebnikov

As Marc Rich fades, new players emerge in the international commodities markets. A prominent newcomer is 34-year-old Alan Clingman, a native South African now living and working in New York, where Rich dares not tread.

Clingman's metals trading company, AIOC Corp., has come out of nowhere to build a worldwide trading network in a commodities market that was severely depressed for years. It already boasts 340 employees, 24 offices around the world and $3 billion in sales on an estimated equity base of over $100 million; Clingman says he is getting close to a 100% return on equity. Owning 77% of the company, he is on his way to becoming rich.

Had he not been a South African, Clingman might well have chosen a different business, because commodities were in the tank when he started with AIOC in 1988. "People thought we were crazy," Clingman recalls. "Most of our competitors were doing badly or going out of business. Here we were expanding and opening up new offices."

Clingman was born in Johannesburg, a son of South Africa's largest

Alan Clingman, chairman of AIOC, his own metal trading firm. He flourished at a time when most traders were suffering.
Alan Clingman

In 1978 the 19-year-old Clingman was just completing his first year of college, studying business at the University of Witwatersrand. South Africa was under a U.N. embargo covering the sale of oil, arms, and many other goods. But clever businessmen were making a fortune running the embargo. Clingman decided to become an embargo-buster.

He quit school and hung around the offices of the South African Strategic Fuel Fund until he finally convinced the administrators that he had a viable plan for secretly importing oil from the Persian Gulf. They agreed to have Clingman serve as broker and gave him a letter of credit to present to the supplier.

Busting the South African embargo, as honored in the breach as in the observance, was not terribly difficult. Iran, Iraq and Oman all happily flouted the embargo, as long as some discretion was observed. Shippers would simply declare that the oil was destined for, say, Singapore, and then switch the documentation on route. Sometimes the deal would be done through shell companies registered in the Caribbean or elsewhere.

In his first year Clingman says he brokered several shipments of oil, totaling 10 million barrels, with enormous profits. In his first year of business Clingman garnered some $10 million in commissions. A 19-year-old college dropout!

Next, he established a company to export South African coal and steel to Europe and Asia. By 1987 Clingman was trading about $100 million a year, but the embargo of South Africa wouldn't go on forever. He needed new business.

He teamed up with a former Philipp Brothers employee named Alexander Kramer, a Soviet émigré who helped him into the Soviet and American metals trade. Clingman bought $500 million in metals at a loss, moved to New York and took the company into a period of heady growth, trading aluminum, copper, nickel, zinc, steel and ferroalloys; AIOC also traded in precious metals and coal.

Commodities prices were sinking, and most traders in physical markets—as opposed to options traders—were suffering. Clingman handled the down market by keeping inventories to a minimum and shorting the market by selling metals before he bought them or borrowing commodities and paying the producers later.

He flourished at a time when most of his competitors were suffering. Cargill went out of the nonferrous metals business in the late 1980s and Philipp Brothers got out of the metals business altogether. Last year Germany's Metallgesellschaft took a $1.5 billion loss because of a series of bad calls on oil futures.

Clingman just rubbed his hands. He hired over a dozen former Philipp Brothers and Marc Rich traders.

Then, at the beginning of this year, commodities prices turned up. Japanese and American companies are restocking raw materials inventory. Western banks and hedge funds are rushing into commodities.

Clingman has stayed with physical trading, steering clear of derivatives and foreign exchange speculation. The biggest chunk of his business today is buying raw materials in the former Soviet Union and selling them to the U.S. or Western Europe.

Though most Russian exports now sell at world prices, leaving little margin for trading companies, there are still big returns to be made trading commodities in the former Soviet Union. The profit is in the financing. Russian mining and industrial companies are starved for cash, and Clingman is a whiz at this kind of deal.

Say you are the manager of a steel mill in Russia and need dollars abroad to buy iron ore, coal and sugar to feed your work force. You go to the banks for a loan; they refuse.

Along comes a trading company like Marc Rich or AIOC, which offers to sell you on credit the iron ore, coal and sugar you need in return, say, 12 months of the steel production. (Alternatively, the trading company could offer to lend you the raw materials, which you process for a fee. Or it might prepay on a shipment of steel, so you can go out and buy what you need yourself.)

In any case, the profit is built into the price the trading company agrees to pay for your steel, how much material it buys and, if it's a barter deal, the price it charges for the oil, grain or sugar it sells in return.

There are innumerable ways to fatten the profit margin. Trading profits of 25% on a single deal are not unusual. And if the Russians complain? They've nowhere else to turn. Russian banks, reluctant to lend, demand up to 30% interest on dollar loans to industrial enterprises.

For the Western trading companies, of course, there is credit risk. Alan Clingman says he has had some very scary moments. A Yugoslav state-owned metals company refused to pay up on a $10 million dollar after Yugoslavia split apart. Several of Clingman's customers in the U.S. were unable to pay after they went bankrupt. But in the much larger and much scarier market in the former Soviet Union, Clingman says that so far he hasn't had any big losses.

But he has his fingers crossed. If a business partner defaults in the former Soviet Union, you can try pulling political connections. Or you can hire hit men. Neither course is recommended. Clingman says his strategy to deal with laggard payments is to flaut his market clout, letting business partners know that if they cheat on him he can hurt them. "We want to be a dominant player in the market and a major supplier to the company before we lend money or materials to a Russian company," he says.

Clingman has invested in a number of different assets in the former Soviet Union: commercial real estate in Moscow, a bank in Ukraine, two aluminum smelters and two steel mills. He has also bought metals companies in South Africa and Latin America.

Yesterday Marc Rich, today Alan Clingman. The king is dead, long live the king. Clingman.
Memorandum

To: SAC, NEW YORK (196A-NY-1774) Date: 10/4/94
From: SA (C-12)

Subject: MARC RICH - FUG.(B);
PINCUS GREEN - FUG.(B);
FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY


1) Fug Sub File 1
2) Fug Sub File 2
Marshal Rich concerning his knowledge of Mark Rich.

Rich wrote apparently the author Paul Kozikov doesn't like Rich for some reason and chose to write a very flattering article about which contains several inaccuracies. He noted A10C, does not compare in size to Rich's company which is now Theneere International, employees about 300 people to Rich's 1300.

Rich was never met by Rich. Nor any of his family.

Rich said the only place he understands Rich travels to is Spain. He has no knowledge of travel to Russia or other eastern European countries.

Rich reportedly has investments in hotels, real estate, etc in Spain and Eastern Europe.

A10C does business in Connecticut, covers trades for Rich as his company does for A10C. That is, if they are short a commodity.
AIOC will lend it and vice versa. Also if Russia owes $ amount to Rich and Rich owes AIOC then they agree to have Russia pay the money to AIOC to satisfy the debt. These dealings are done by AIOC traders with Rich company people and not Rich himself.

AIOC basically deals in Metals and does not deal in oil. AIOC competes vigorously with Rich in Eastern Europe particularly in Tajikistan. Says he can't understand this country's position with Rich when he hears President Clinton giving oil to Eastern European countries that trade heavily with Rich.

He said although reports circulate that Rich no longer occupies a dominate position in his company which appears to be feelings for Rich and that all major decisions are still made by Rich, advised he would assist the FBI in any situation he is able to.

The following is background info on

Name: 
Race: White
Sex: Male
Dob:  
Age:  
Height:  
Weight: 180 lbs.  
Address:  
Employment: AIOE Corporation, 230 Park Ave., NY, NY.
Case ID: NY 196A-1774-FUG 1  Serial: 48

Description of Document:

Type: LETTER
Date: 01/21/99
To:
From:
Topic:

Reason for Permanent Charge-Out:

belongs in subfile

Employee: [Name]
**Federal Bureau of Investigation**
**INS Lookout Notice**

<table>
<thead>
<tr>
<th>Name (Last, First, Middle)</th>
<th>PINCUS GREEN</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nationality</td>
<td>U.S., Israeli</td>
</tr>
<tr>
<td>Date of Birth (Incl. mo, dy, yr)</td>
<td>7/24/00</td>
</tr>
<tr>
<td>Date of Request (Incl. mo, dy, yr)</td>
<td>7/23/05</td>
</tr>
<tr>
<td>Reason for Lookout Notice</td>
<td>Security/Terrorism</td>
</tr>
<tr>
<td>Other Reason (explain)</td>
<td>Other Reason</td>
</tr>
<tr>
<td>Passport Number</td>
<td>b6</td>
</tr>
<tr>
<td>Passport Country</td>
<td>U.S.</td>
</tr>
<tr>
<td>POB</td>
<td>Brooklyn, NY</td>
</tr>
<tr>
<td>Address (Number and Street, City, State)</td>
<td>Switzerland</td>
</tr>
<tr>
<td>Cancellation Date (Incl. mo, dy, yr)</td>
<td>b6</td>
</tr>
<tr>
<td>INS File Number</td>
<td>A-</td>
</tr>
<tr>
<td>Requesting FBI Office</td>
<td>NEW YORK</td>
</tr>
<tr>
<td>FBI File Number</td>
<td>196D-NY-1774</td>
</tr>
<tr>
<td>Other Identifying Numbers</td>
<td>Possible Israeli passport</td>
</tr>
<tr>
<td>U.S. SSAN</td>
<td>196D-NY-1774</td>
</tr>
</tbody>
</table>

**Miscellaneous:**

PINCUS GREEN, w/m, 5'10", gray hair, green eyes was indicted in U.S. District Court for the Southern District of New York on 9/19/83 for wire fraud, mail fraud, RICO, Income Tax Evasion and Trading with the Enemy.

**Mail To:**
Assistant Commissioner Inspections
Immigration and Naturalization Service
425 "Eye" Street, N.W., Washington, D.C. 20536

(See Instructions on reverse)
INS Lookout Notices are only placed on foreign nationals between the ages of 14 and 90. The maximum time period is 5 years. The original FD-315 form is signed by the approving field supervisor and only it is mailed directly to INS at the address shown on the form. The INS Lookout Notice is not in a classified system.

**Instructions**

**INS Use Only** This space is for INS to insert soundex letters of last name.

**Name** List full legal name or principal name of subject. Do not list spelling variations for any name.

**Aliases** List in alphabetical order only aliases which subject is known to use, or for which subject is known to have identification. Additional aliases can be listed under "Miscellaneous".

**Address** List only one address.

**Date of Birth** List only one birth date. **INS will not place a Lookout Notice without a date of birth.**

**Nationality** List country of birth and country of citizenship, if different. **INS will not place a Lookout Notice without a nationality.**

**Identifying Numbers** If known, show all numbers such as Passport, INS File Number, Social Security, Driver License, etc. Use "Miscellaneous" section for additional space.

**Date of Request** Enter date prepared. **INS will not place a Lookout Notice unless a date is shown.**

**Cancellation Date** Enter date Lookout Notice should expire. **INS will not place a Lookout Notice for more than five (5) years.** If no date appears, the INS Lookout Notice will automatically expire one (1) year from the date of request.

**Request Type and Action** Check the "Caution" block if subject is armed and dangerous, suicidal, or has any physical or mental problems. Explain fully under "Miscellaneous". Check only one of the blocks identified F-1, F-2, or F-3. The INS will take the following action:

- **F-1.** Notify nearest FBI Special Agent; Do not detain.
- **F-2.** Notify nearest FBI Special Agent immediately; criminal process outstanding. (Under "Miscellaneous", specify the criminal process if F-2 lookout requested.)
- **F-3.** Notify nearest FBI Special Agent. FBI requests Customs Search. (Under "Miscellaneous" identify contraband or reason for search if F-3 lookout requested.)

"Place", "Remove", or "Amend" blocks must be marked for each FD-315 before INS will take action.

**Reason for Lookout Notice** Check the appropriate reason. If the "Other" block is checked, explain the reason under "Miscellaneous". FBI requests for Lookout Notices must meet the INS criteria for posting unless the request for action is limited to notifying the appropriate authority of the facts of arrival or there are outstanding warrants of arrest. FBI Investigative activity does not usually meet INS criteria for posting lookouts. **INS will not place a stop unless an appropriate block is checked.**

**Miscellaneous** Use this space to note additional information from other sections or for explanations.
**Name:** Green, Pincus  
**Address:**  
**POB:** Brooklyn, NY  
**Nationality:** U.S.  
**Date of Birth:**  
**Date of Request:** b6 b7C  
**Passport Number:**  
**Country:**  
**Reason for Lookout Notice:**  
**Cancellation Date:**  
**INS File Number:** A-  
**Requesting FBI Office:** New York  
**FBI File Number:** 196A-NY-1774  
**Other Identifying Numbers:**  
**Type of Request/Action:**  
- [x] F-2 Remove  
- [ ] F-1 Place  
- [ ] F-3 Amend  
- [ ] Caution (explain)  
- [ ] F-4 (Explain)  
**Miscellaneous:** INS Lookup Notice previously placed should be removed as Pincus Green is no longer wanted by the U.S. Government.
**Instructions**

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List country of birth and country of citizenship, if different. **INS will not place a Lookout Notice without a nationality.**

**Identifying Numbers**
If known, show all numbers such as Passport, INS File Number, Social Security, Driver License, etc. Use "Miscellaneous" section for additional space.

**Date of Request**
Enter date prepared. **INS will not place a Lookout Notice unless a date is shown.**

**Cancellation Date**
Enter date Lookout Notice should expire. **INS will not place a Lookout Notice for more than five (5) years. If no date appears, the INS Lookout Notice will automatically expire one (1) year from the date of request.**

**Request Type and Action**
Check only one of the blocks identified F-1, F-2, or F-3. The **INS will take the following action:**
- **F-1.** Notify nearest FBI Special Agent; Do not detain.
- **F-2.** Notify nearest FBI Special Agent immediately; criminal process outstanding. (Under "Miscellaneous", specify the criminal process if F-2 lookout requested.)
- **F-3.** Notify nearest FBI Special Agent. FBI requests Customs Search. (Under "Miscellaneous" identify contraband or reason for search if F-3 lookout requested.)

"Place", "Remove", or "Amend" blocks must be marked for each FD-315 before INS will take action.

**Reason for Lookout Notice**
Check the appropriate reason. If the "Other" block is checked, explain the reason under "Miscellaneous". FBI requests for Lookout Notices must meet the INS criteria for posting unless the request for action is limited to notifying the appropriate authority of the facts of arrival or there are outstanding warrants of arrest. FBI investigative activity does not usually meet INS criteria for posting lookouts. **INS will not place a stop unless an appropriate block is checked.**

**Miscellaneous**
Use this space to note additional information from other sections or for explanations.
Precedence: ROUTINE

Date: 01/24/2001

To: New York

Attn: Operations Center

From: New York

C-3

Contact: SA

Approved By:

Drafted By:

Case ID #: 196A-NY-1774 (Pending)

Title: MARC RICH-FUG (B);
PINCUS GREEN-FUG (B);
FBW, MF, RICO, INCOME TAX EVASION,
TRADING WITH THE ENEMY;

Synopsis: It is requested that both subjects be removed from NCIC.

Details: It is requested that MARC RICH born 12/18/34 and PINCUS GREEN born be removed from NCIC since both have received a Presidential pardon from President Clinton.

++

196A-1774 51

JAN 24 2001

FBI -- NEW YORK

0 Sub Fug 1
By MARC LACEY
WASHINGTON, Jan. 20 — With just hours to go in his presidency today, Bill Clinton issued pardons to 140 people, including John Deutch, a former director of central intelligence; Henry G. Cisneros, a former secretary of Housing and Urban Development; and Susan H. McDougal, a onetime Clinton business partner who was jailed in the Whitewater scandal.

Others receiving presidential pardons in one of Mr. Clinton's last official acts were his half-brother, Roger, who pleaded guilty to distributing cocaine in Arkansas, and Patricia Hearst Shaw, the heiress who robbed a bank in 1974 after being kidnapped by a small band of political radicals that called itself the Symbionese Liberation Army.

Aides to the president said those on the list fit no pattern. Some, in Mr. Clinton's view, had been victims of overzealous prosecutors. Others had made a convincing case in their written petitions that they had already paid their debt to society.

The offenses of those on the list varied widely. There were white-collar criminals and drug offenders, killers and an accused spy. Arnold Paul Prosperi, a fund-raiser for the former president, had been convicted of embezzling money from a law client. David Ronald Chandler, who long insisted he was innocent, was the first person sentenced to death under the federal drug kingpin law. Both had their sentences commuted. Norman Lyle Prouse, who received a pardon, was among the first commercial pilots to be convicted of flying while intoxicated. Witnesses testified that Mr. Prouse had consumed 15 to 20 rum-and-cola's at the Speak Easy bar in Moorhead, Minn., the night before a 6:30 a.m. flight.

Just as significant as the pardons the president issued were the ones he did not hand down. Without explaining his decisions, Mr. Clinton declined to issue pardons to the ones

Continued on Page 19
Protests May Have Kept Milken From Pardon Roll

By NEIL A. LEWIS

WASHINGTON, Jan. 20 - President Clinton's final pardon list was so broad that names were not included, especially that of Michael R. Milken, the convicted Wall Street financier. But the prospect of a pardon for him was a source of great debate.

Mr. Clinton had signaled his willingness to pardon Mr. Milken as recently as last week, based on his philanthropic record. But the prospect of a pardon eventually persuaded not to do in because of protests from law enforcement officials and administration aides who argued that a Milken pardon would not be appropriate.

Mr. Milken's spokesman, Geoffrey Moore, said that upon learning he would not receive a pardon, Mr. Milken had told him: "Back in '83, I was given a year to live, so in that respect, it hardly is disappointed about this." Mr. Milken had prostate cancer then but has enjoyed good health recently.

Mr. Clinton began considering a pardon after being pressed to consider the idea by Ron Burkle, a prominent financier who has been a generous donor to the Democratic Party and has endorsed Mr. Clinton's campaign, said to support the Clinton Presidential Library in Little Rock, Ark.

But the White House received strong protests, principally from the United States Attorney's office in Manhattan, which had insisted that Mr. Milken be kept off the clemency list by the Securities and Exchange Commission, which required an action against him after he served two years in jail.

Mr. Milken, who pleaded guilty to securities fraud in 1989, settled a Securities and Exchange Commission suit and more than $1 billion in fines, penalties, restitution and civil sanctions and was barred from the securities business for life.

Mr. Milken is a prominent financier who has contributed millions of dollars to political campaigns.

The process

Protests May Have Kept Milken From Pardon Roll

PARDONS IN NEW YORK AREA

A former terrorist was among a dozen names remembered by former President Bill Clinton. The Metro Section, Page 32.

Mr. Clinton served time in prison after pleading guilty in 1983 to a charge of conspiracy to distribute cocaine. He had tried to sell the drug to an undercover state trooper in Hot Springs, Ark. The former president has said in the past that the conviction, while unfortunate, helped straighten out his brother's life.

Mr. Shaw's bank robbery conviction has been challenged for more than two decades. Former President Jimmy Carter commuted her seven­year jail sentence in 1978, but she continued to pursue a full pardon.

She participated in the holdup of the Hibernia Bank in San Francisco on April 16, 1974, but said from the start that her kidnappers coerced her.

Others who were pardoned by Mr. Clinton today included the Arizona governor Fife Symington, a Republican, who was convicted in 1994 of making false bank statements. The convictions were on appeal but prosecutors asked the Court of Appeals to reverse.

Also pardoned was Peter MacDonald, a former Navajo chief convicted of conspiracy and burglary in 1994. The convictions were on appeal but prosecutors asked the Court of Appeals to reverse.

Mr. Clinton's pardons were his final acts of the Administration.
Giuliani questions Clinton pardon of fugitive

By MICHAEL GORMLEY
The Associated Press

ALBANY, N.Y. — New York Mayor Rudolph Giuliani said Monday that Congress should look into former President Bill Clinton’s pardon of a multibillionaire fugitive whose ex-wife is a Clinton friend and Democratic fundraiser.

“I don’t see a pardon for somebody who ran away as a fugitive,” Giuliani said in Albany about Clinton’s weekend pardon of commodities trader Marc Rich.

“He’s involved in $150 million in tax evasion and the president just wiped that away.”

The Republican mayor said the pardon is questionable, particularly because Rich’s “family members raised enormous amounts of money for the president.”

“I think it’s worth Congress looking into it,” said Giuliani, who as a federal prosecutor had pursued Rich.

Congress could review Saturday’s pardon, but has no authority to overturn Clinton’s action. Rich’s ex-wife, singer-songwriter Denise Rich, wasn’t involved in the pardon and “was as surprised as anyone” by it, said her spokesman, Bobby Zarem. He said Giuliani is “just trying to pull a political thing. It has no basis in reality.”

On Sunday, Clinton said Rich’s Washington attorney, Jack Quinn, convinced him of the merits of a pardon.

“I spent a lot of personal time talking (about this request) because it’s an unusual case, but Quinn made a strong case, and I would suggest he was right on the merits,” Clinton said.

Quinn, who once worked at the White House for Clinton, couldn’t be reached for comment Monday.

Rich was convicted in the early 1980s on 51 counts of conspiracy, tax evasion, racketeering, and trading with the enemy. Before his trial, he fled to Switzerland to escape the charges. Switzerland has refused to extradite him.

The indictment accused him of making huge profits through an illegal pricing scheme in the wake of the 1973 oil crisis and evading taxes. He also was accused of making oil deals with Iran during the U.S. Embassy hostage crisis in Tehran, according to the U.S. Department of Justice’s Office of Legal Counsel.

Denise Rich has not only contributed to Democrats, but he also has been a financial supporter of Giuliani.

Federal records show she contributed at least $7,000 to Hillary Rodham Clinton’s successful U.S. Senate campaign as well as $1,000 to Giuliani’s aborted Senate run. A financial supporter of the Gore presidential campaign, Denise Rich also gave more than $200,000 to the Democratic National Committee.

On Jan. 29, she is hosting welcome home party at her Fifth Avenue penthouse for Andrew Cuomo, Clinton’s housing secretary who is considering running for governor of New York in 2002.
goods through a small number of auction houses, like eBay-owned Butterfields. Today's agreement, with the London-based company, will greatly expand the available live auction and will expose eBay's 22.5 million registered users to those sites.

Calling the agreement between the two companies a "winning com-

Geoff Iddison, general manager of eBay Premier, said that the new agreement with the seller would change the face of live auctions worldwide by making an online audience an essential element.

"Auction houses will not be able to do without something like this," he said. Mr. Iddison noted that the deal would extend eBay's growing business in Europe, where many of the

The deal between the two companies is almost an ideal marriage of the traditional auction world with the reach of the Internet," said Derek Brown, an analyst with W.R. Hambrecht. Because many auction houses have long allowed telephone bidding, he said, "it almost seems like a natural extension of the traditional way of doing business."

The Fugitive Commodities Trader Who Can Go Home Again

By MILT FREUDENHEIM

They are both billionaire philanthropists who enhanced their fortunes in financial deals that put them into big trouble with the federal justice system. But when President Clinton issued 140 pardons on Saturday as one of his last official acts, Marc Rich made the list and Michael Milken did not.

Mr. Milken, a premier junk bond financier of the 1980's, was apparently bypassed after prosecutors and securities regulators protested. In the case of Mr. Rich, the commodities trader who went to live in Switzerland after he was charged with evading $48 million in taxes and violating United States sanctions by trading with Iran, the protests may have arrived too late.

Mayor Rudolph W. Giuliani, who was the United States attorney whose office prosecuted Mr. Rich in 1983, said yesterday that he was "shocked that the president would pardon him," because "after all, he never paid a price."

"He ran away" and flaunted American law, the mayor added. "He was one of the most wanted fugitives by the F.B.I. for years."

Other financiers who also received presidential pardons included Mr. Rich's former partner, Pincus Green, who went with him to Switzerland, and Salim B. Lewis, a trader who pleaded guilty to criminal charges that he had helped manipulate

Marc Rich, the pardoned fugitive commodities trader, in 1983.

Marc Rich, 65, was indicted on charges of trading in Iranian oil at a time when Americans were being held hostage in Iran. If he had been found guilty of all the charges against him, he could have faced more than 300 years in prison. But he reportedly offered to pay fines to settle the charges but refused to agree to Justice Department officials' insistence that he serve time in prison.

Later, he was accused separately, but not charged, with skewing sanctions against South Africa by selling oil there from the Soviet Union for above-market prices and helping Russian oligarchs to extract millions of rubles from the founding Russian economy.

His former wife, Denise Rich, a self-described "philanthropist," is a fund-raiser for Democrats, including President Clinton and Senator Hillary Rodham Clinton. Ms. Rich declined to discuss her financial agreement with Mr. Rich in a recent interview with The New York Times. According to one of her lawyers, their di-

voice was bitterly disputed.

Neither of the Riches could be reached for comment yesterday.

Marc Rich was born in Belgium and came to the United States with his parents during World War II. After the indictment, he moved to Zug, Switzerland, where he cut a wide swath, financing local sports teams and befriending officials. Switzerland did not recognize extradition requests based on charges of infractions of financial laws.

He has traded in crude oil, aluminum and lead as well as real estate in Spain, Switzerland and Eastern Europe. His philanthropic gifts have included eleven million dollars to museums in Jerusalem, gifts to concert halls in Switzerland, an eye clinic in Zimbabwe and a health program for Palestinian children, according to Bloomberg News.

He told the Israeli newspaper Ma'ariv last year that he had offered to pay $100 million in fines to settle the tax leak case.

Mr. Lewis, who was once a special adviser to the Securities and Exchange Commission, was indicted, along with his brokerage firm, in 1983 on charges of stock manipulation, conspiracy and related offenses. He was charged with driving up the price of shares of the Fenian Fund Corporation before a public offering of that company's shares.

Mr. Lewis pleaded guilty to three counts of stock manipulation, violating margin requirements and keeping false books and records. Mr. Lewis's actions benefited the American Express Company, which Mr. Lewis had assisted in earlier transactions. There were no charges brought against American Express, which said at the time that an internal investigation had uncovered no wrongdoing.

Mr. Lewis was sentenced to three years' probation and several thousand hours of community service.
Memorandum

To: SAC, NEW YORK (196A-NY-1774)
From: SA (C-12)

Subject:
MARC RICH - FUG. (B);
PINCUS GREEN - FUG. (B);
FBW, MF, RICO
00: NY

It is requested that a fugitive
sub file be opened for subject Pincus
Green.

It is also requested that Sub A
be closed.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE: 27/101
89
DUPLICATE SERIALS
DEstroyed ON

OPEN OR REOPEN CASE
ORIGIN: ANY DATE
SUPV. / ISSUING OFFICIAL
CLASS OR UNCLASSIFIED

OSIS close on this:
10/20/91
10:50p

196A-1774-FUG 2
10/20/91/Fug 2

FBI - NEW YORK
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Originate, re-interview of...
Universal Case File Number: 1960-ky-1774-Fug
Field Office Acquiring Evidence:
Serial # of Originating Document:
Date Received: 10/10/98
From: VcMo. FBI HO
(Address of Contribution)

By:

To Be Returned: ☐ Yes ☐ No
Receipt Given: ☐ Yes ☐ No
Grand Jury Material: Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure: ☐ Yes ☐ No

Title: Marc Rich - Fug. (B);
Pincus Green - Fug. (B);
FBW

Reference: (Communication Enclosing Material)

Description: [Original notes re Interview of]

Classified Information Enclosed

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED FOR LAW ENFORCEMENT USE ONLY

Date: 10/10/98

#01-081
Universal Case File Number: 1960-NY-7774-Aug 2
Field Office Acquiring Evidence: LEGAT, MOSCAR
Serial # of Originating Document:
Date Received: 1/23/99
From: LEGAT, MOSCAR
(Name of Contributor)
(Address of Contributor)

By:

To Be Returned □ Yes □ No
Receipt Given □ Yes □ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6(e)
Federal Rules of Criminal Procedure □ Yes □ No

Title:
MARC RICH - FUG (B)
Pinchos Green - FUG (B)
FBI

Reference:

Description: □ Original notes re interview of
Copies of document from

Enclosed
Memorandum

To: SAC, NEW YORK (196A NY. 1774). P
Date: 11/26/91

From: SA (c-12) b6 b7c

Subject: MARC RICH-FUG.(B);
PINCUS GREEN-FUG.(B);
FBW, MF, RICO, INCOME
TAX EVASION

00: NY

Attached is a Three page article from BUSINESS WEEK dated 11/11/91 entitled "Making Marc Rich Squirm."

The article explains the basis for The congressional inquiry initiated by Representative Bob Wise (D- W. VA).

(2, 3)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATED 21/11/91. BY #01-081 196A-1774 ENG. 6 FUGITIVE SUB-FILE 9

JUL 06 1992
FOR NEW YORK
MAKING MARC RICH SQUIRM

The Steelworkers could hinder his attempts to return to the U.S.

Four officials of the United Steelworkers got a nasty reception on Oct. 8, when they crashed the annual shindig for traders on the London Metal Exchange (LME). As the unions handed out "Wanted: Marc Rich" posters to black-tie guests at London's fashionable Grosvenor House Hotel, four men emerged from a black BMW and threatened to "hurt" the leafleters if they didn't withdraw, claims Joseph B. Uehlein, one of the USW four. The next day, two of the Americans say, their hotel rooms were entered and searched. What beef does a U.S. union have with Rich, a commodities whiz who controls a $1 billion-a-year trading operation in 40 countries? The USW claims Rich is an influential absentee owner of Ravenswood Aluminum Corp., a private West Virginia company that's locked in a violent labor dispute with 1,700 USW members. The union has picketed his six-story headquarters in Zug, Switzerland, enlisted the aid of European unions, and called for a congressional investigation of Rich's U.S. government contracts.

These are standard tactics in labor disputes—and ordinarily about as bothersome as a grat to someone of Rich's estimated $3 billion net worth. Except for this: Rich has wanted to return home ever since he fled the U.S. eight years ago, just ahead of a 65-count federal indictment for criminal tax evasion and racketeering. Because his ailing mother lives in Manhattan, as does his estranged wife, Denise, who recently returned with the youngest of their three daughters, he has recently stepped up these efforts.

Indeed, Rich's lawyers, including Washington's Leonard Garment, make regular overtures to the Justice Dept. "to negotiate terms of his surrender," says a Justice official. That's a matter of some difficulty, because the U.S. government is offering a $750,000 reward for Rich. And because the USW dispute is dragging Rich back into the limelight—and providing an unusual look at his secretive U.S. empire, which has rarely been scrutinized before.

Born in Belgium, Rich moved to the U.S. with his family in 1941 at the age of eight. In 1964, he joined Philip Brothers, a leading commodity trader. Credited with developing the independent spot market for oil in the early 1970s, Rich later started his own company, which grew to $10 billion in sales.

Then, in 1983, his troubles began. The U.S. Attorney's office in Manhattan indicted Rich, his partner Pincus "Finky" Green, and Marc Rich & Co. on charges of rigging a huge, illegal oil-pricing scheme, evading $48 million in taxes, and illegally buying oil from Iran during the 1979 hostage crisis. Shortly before the indictments, Rich claimed to have sold his U.S. operating arm, Marc Rich & Co. International Ltd., to Alex Hackel, an indicted partner in Switzerland. The company was renamed Clarendon Ltd., and another indicted Rich employee, a top aluminum trader named

Willy R. Strothotte, was made chief operating officer and later, president.

The new entity seems to have existed in name only. The judge hearing the indictments called the maneuvers a "ploy" to avoid the $50,000-a-day fine he had slapped on Rich's U.S. company. He noted that Clarendon employed the same people as before and was still headquartered in Rich's offices in Zug—as it is today. Ultimately, Rich paid the fine as part of a $171 million settlement of civil charges against Clarendon.

CLEAN FACE. Ever since, Clarendon officials have insisted that Rich no longer controls the company. They have good reason to do so: Rich's indictment drove away customers, says federal prosecutors in the case. By distancing himself from the company—he still owns 49%—Rich gave Clarendon a clean face. Then, he used it to expand vigorously in the U.S., first in commodity trading and subsequently, in aluminum production.

The first major step came in 1986, when Clarendon signed a 10-year agreement to buy all 800,000 tons of alumina produced annually by a Jamaican company. Clarendon sells most of the semifinished white powder, which is used in smelting aluminum, to major U.S. producers. Then, Clarendon got into smelting. It took a part ownership in at least four smelters, then signed agreements for each to process alumina that Clarendon supplies. These were often sweet deals. For instance, Clarendon paid a reported $40 million to buy 27% of an Almax of South Carolina Inc. plant in Mt. Holly, S.C. Clarendon's share of output
from Kaiser, for $170 million in cash and $180 million in assumed liabilities, was financed with a $205 million loan from Ridgeway Commercial, a Swiss affiliate of Clarendon. The investors also lined up a $140 million line of revolving credit led by Amsterdam's NMB Postbank, which regularly does business with Rich. "It's understood that Rich is behind the ownership [of Ravenswood and Ormet], although I never knew him to get involved in the management," says Thomas J. McGinty, who sold his 29% Ormet stake to Strothotte in 1989.

"NOBODY'S PUPPET: Boyle adamantly denies that Rich is connected to Ravenswood. He argues that Rinoman is simply Strothotte investing as an individual. "I resent anybody saying I'm a puppet" of Marc Rich, Boyle snorts. "I'm nobody's puppet. I run this company." Rich himself declined to discuss the issue. A letter BUSINESS WEEK sent to him in Zug elicited a response from Robert Thomajan, a New York lawyer on leave from his firm to work for Rich in Zug. Thomajan says Rich's company "has not and does not comment publicly on its business activities."

Strothotte sent BUSINESS WEEK a letter, faxed from Rich's Zug headquarters, denying any "ownership link" between Ravenswood and Clarendon or Rich. Strothotte also says that "efforts by the [USW] to involve Clarendon and its shareholders in a resolution [of the Ravenswood dispute], their conduct at the LME dinner, and the rather absurd reference to threats are all misdirected and serve no useful purpose." In response to a second query, he issued further general denials but did not reply in detail before this issue went to press.

The USW concedes that Boyle is the primary architect of Ravenswood's labor policies. The friction began when the company's labor contract expired a year ago and Boyle demanded pay cuts, talks over a new pact deadlocked. Boyle then locked out union members and hired 1,100 replacements.

Since then, there have been hundreds of acts of vandalism and clashes between Ravenswood security...
Wall Street smarts don't have to be earned the hard (and possibly costly) way anymore. Today, the smart money gets that way by investing a little under $10 in this premier stock market primer. In fact, over 500,000 well-thumbed copies of this market maven's manual are already out there on the Street. So...take a look at the new Third Edition. You'll find all-new chapters on junk bonds, growth stocks, program trading, precious metals, and international investment—things you should know about.

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Call 708-690-0010 Peter Roskam Executive Director

Frankly Fusion, when I named you Manager of excess inventory, this isn't what I had in mind.
FM FBI NEW YORK (196A-NY-1774) (P) (C-11)
TO DIRECTOR FBI/Routine/
LEGAT LONDON/Routine/
BT
UNCLAS
CITE: //3540//

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FEW, MF, RICO, INCOME TAX EVASION TRADING WITH THE ENEMY;
OO: NY.

REGARDING LONDON TELETYPE TO NEW YORK APRIL 22, 1992.

ON OCTOBER 8, 1991, FROM THE UNITED STEEL WORKERS UNION FROM THE U.S. DISTRIBUTED WANTED POSTERS FOR:

1 - NEW YORK
1 - SUPERVISOR C-12
JCM: CAHOO42W121

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

[Handwritten corrections]
MARC RICH AT THE ANNUAL DINNER FOR TRADERS ON THE LONDON METAL EXCHANGE HELD AT THE GROVESNOR HOUSE HOTEL IN LONDON. THE POSTERS DEPICTED RICH AS A U.S. FUGITIVE WANTED FOR SEVERAL CRIMES AND CLAIMED THE U.S. GOVERNMENT IS OFFERING A $750,000 REWARD:  

WERE REPORTEDLY THREATENED BY FOUR PERSONS WHO APPEARED APPARENTLY ON BEHALF OF RICH.

THE BASIS FOR THE STEELWORKERS' COMPLAINT IS RICH'S ALLEGED OWNERSHIP AND CONTROL OVER THE RAVENSWOOD ALUMINUM CORPORATION IN WEST VIRGINIA RESULTING IN A LABOR DISPUTE INVOLVING 1700 UNITED STEEL WORKERS. THIS HAS LED TO A CONGRESSIONAL COMMITTEE LOOKING INTO U.S. GOVERNMENT CONTRACTS PRESENTLY IN EFFECT WITH RICH, A FEDERAL FUGITIVE. MOREOVER, THE UNITED STEELWORKERS HAVE GALVANIZED THEIR COUNTERPARTS IN EUROPE RESULTING IN THE PICKETING OF RICH'S HEADQUARTERS IN ZUG, SWITZERLAND.

SINCE THE DISSEMINATION OF THE WANTED FLYERS CLAIMING THERE IS A REWARD OF $750,000, THE NYO HAS RECEIVED SEVERAL INQUIRIES AS TO ITS AUTHENTICITY.

THE FBI, IRS AND U.S. MARSHALS HAVE OFFERED NO REWARD AND ARE PROBABLY PROHIBITED FROM DOING SO. HOWEVER, IT IS FAIR TO
SAY THE FBI AND, PERHAPS, THE OTHER AFOREMENTIONED AGENCIES ARE WILLING TO MAKE ONETIME LUMP SUM PAYMENTS OF AN UNDETERMINED AMOUNT FOR INFORMATION LEADING TO RICH'S ARREST.

AUSA SDNY, HAS STATED THE JUSTICE DEPARTMENT THROUGH THE ATTORNEY GENERAL, CAN PAY UP TO $250,000 FOR SUCH INFORMATION.

IT CAN, THEREFORE, BE ASSUMED ANYONE PROVIDING RELEVANT INFORMATION LEADING TO RICH'S ARREST WILL BE ABLE TO RECEIVE SOME REMUNERATION. THE AMOUNT IS UNKNOWN.

THE NYO BELIEVES IT IS AWARE OF THE IDENTITY OF THE

THE NYO HAS BEEN IN CONTACT WITH AN ASSOCIATE OF HIS IN

AUSA, SDNY, ASSIGNED THE RICH/GREEN CASE, IS UNAVAILABLE FOR SEVERAL DAYS BUT IT IS THE BELIEF OF THE NYO THAT PROVISIONAL ARREST WARRANTS FOR MARC RICH ARE FILED AND OUTSTANDING IN GREAT BRITAIN, FINLAND, RUSSIA AND CZECHOSLOVAKIA.
THE NYO IS UNAWARE OF ANY ARTICLES RELATING TO RICH's ACTIVITIES IN LONDON ALTHOUGH, IT IS KNOWN HE HAS A COMPANY BY HIS NAME IN LONDON.

THE NYO HAS RECEIVED INFORMATION RECENTLY THAT A BRITISH MAGAZINE CALLED "SPECTATOR" CARRIED AN ARTICLE SOME TIME DURING APRIL 1992 UNDER THE BYLINE OF TAKI, WHOSE FULL NAME IS TAKIDOPOLIS (PH), AND OFTEN WRITES GOSSIP ABOUT THE ROYAL FAMILY IN ENGLAND. THE ARTICLE BY TAKI SUPPOSEDLY SAYS SENATOR CLAYBORNEBELL, LONG TIME SENATOR FROM RHODE ISLAND, WAS RECENTLY INTRODUCED TO MARC RICH AT A GATHERING IN SANT MORITZ.

THE NYO WOULD LIKE TO HAVE THE SENATOR INTERVIEWED BUT WOULD LIKE A COPY OF THE ARTICLE TO CONFIRM THE EVENT TOOK PLACE.

LEADS:

LEGAT LONDON

AT LONDON, ENGLAND.

1. OBTAIN WHATEVER INFORMATION
2. Obtain a copy of the article written by Taki in the British magazine "Spectator" during April 1992 and forward it to the NYO if in fact it mentions rich meeting Senator Pell.
SUBJECT: MARC RICH—FUGITIVE (B); PINCUS GREEN—FUGITIVE (B); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; CO: NY.

ON MAY 5, 1992, MARC RICH, WHO CURRENTLY RESIDES AT NY,
VOLUNTARILY APPEARED AT THE NYO WITH

1 - New York
1 - Supervisor C-12

Approved: ___________ Original filename: PX6002W.128
Time Received: _______________ Telprep filename: PX6002JO.128
MRT/JULIAN DATE: 1249/130 ISN: 024
FOX DATE & TIME OF ACCEPTANCE: ---
AND FURNISHED THE FOLLOWING:

SHE CURRENTLY RESIDES IN ___________

THE ABOVE ADDRESS WITH ___________

SHE HAS BEEN ___________ FOR ABOUT ___________ MARC RICH WHICH INCLUDED A PORTION OF TIME IN ___________ FOR THE ___________ SHE HAS BEEN ___________

THE U.S. AND DURING THE LAST YEAR SHE HAS BEEN ___________

A PERMANENT RESIDENT IN ___________

SHE IS ___________ WHICH, ACCORDING TO ___________ MAY TAKE ___________ BEFORE ___________

SINCE RICH IS CAPABLE OF STALLING AND BLOCKING EFFORTS TO DETERMINE HIS TRUE NET WORTH.

MARC RICH IS CURRENTLY ___________

NATIONALITY. RICH CURRENTLY RESIDES AT 28 HIMMELREICH, BAR SWITZERLAND (SUBURB OF ZUG) AND IS PRESENTLY BUILDING A HOME ALONG THE LAKE IN MEGGIN, SWITZERLAND. HIS
RICH ALSO MAINTAINS HOMES AT 27 OR 29 CHASA LA DINA (PH), ST. MORITZ, SWITZERLAND, PUERTA DE HIERRO, MADRID, SPAIN (CALLED EL RINCON), AND MARBELLA, SPAIN.

SHE STATED SHE KNOWS OF NO BOAT OR VESSEL OWNED BY RICH AS A PLEASURE VEHICLE OTHER THAN A SMALL SPEEDBOAT [REDacted] HAD IN SPAIN. SHE WAS UNFAMILIAR WITH ANY VESSEL CALLED HAPPICOAT.

SHE STATED PINCUS GREEN HAS RETIRED FROM THE COMPANY DUE TO FAILING HEALTH AND THE FACT HE HAS ACCOMPLISHED WHAT HE SET OUT TO DO. GREEN [REDacted] WILL BE GETTING [REDacted]. GREEN. AND RICH REMAIN CLOSE FRIENDS.

SHE EXPRESSED SURPRISE WHEN TOLD THE U.S. GOVERNMENT BELIEVES RICH TRAVELS FREQUENTLY. SHE ADDED UP UNTIL THE TIME SHE NEVER KNEW HE TRAVELED ANYWHERE OUTSIDE OF SWITZERLAND OTHER THAN SPAIN (WHERE HE IS A CITIZEN). SHE ADDED HE DID NOT TRAVEL FOR FEAR OF BEING ARRESTED. SHE COULD NOT OFFER AN OPINION CONCERNING THE LAST THREE YEARS. THEREFORE, SHE COULD NOT FURNISH ANY INFORMATION REGARDING ADDITIONAL PASSPORTS HE MIGHT HAVE OR NAMES HE MIGHT USE WHEN TRAVELING.
She said when Rich's father died in New York in 1986, she but Rich did not attend the funeral for fear of being arrested. Rich's mother, who resides in New York City and is about 80, travels frequently to see her son in Switzerland.

She advised Pincus Green has a home in London but she does not know the address.

She is currently

She was asked whether she would help the U.S. government in apprehending Rich and her attorney said he did not feel that was a fair question. He said he would stay in touch and be cooperative in any way he could.

She said both Rich and Green would like to return to the U.S. and resolve the criminal charges but have not done so for fear of going to jail.
Memorandum

To: SAC, DIVISION I

From: SA [I-6] [U]

Subject: [S] [U] [O: NEW YORK]

Date 6/17/92

This document is classified "SECRET" in its entirety.

For information of the above file, on 6/16/92, (reliable) advised writer that

SECRET
Classified by C-3
Declassify on OADR

All information contained herein is unclassified except where shown otherwise.

[New York]
[1964-1974 FUG 2] (C-12)

Search: Serial Number: C-12

JUN 17 1992

[Handwritten note: 6/17/92]
who is this be classified and as shown
AIDS? For instance, this was the scenario when Robert, a distant relative of mine who had been working in the city for a few years, returned to his home country. He had been diagnosed with AIDS and was struggling to find a job. He was forced to live in the streets and was constantly reminded of his disease by the stares and whispers of others. He eventually succumbed to his condition, leaving behind a trail of destruction and despair.

Some states don't have to put certain laws into effect; they can simply leave them in the books. This is a common practice in countries where the law is not always enforced. In some cases, the laws are simply not written into the code, making it difficult to enforce them. However, this doesn't mean that the laws are not important. They serve as a guide for the behavior of citizens and can be used to control the spread of certain diseases. In the case of AIDS, the laws can help to prevent the spread of the disease by limiting the number of people who can be infected. This is especially important in countries where the disease is spreading rapidly.
FBI FACSIMILE
COVERSHEET

CLASSIFICATION

Confidential

FBI NEW YORK

Date: 6-11-92

Simile Number: 212 335 2999

Attn: SSA

FBT DETROIT

MARC RICH

Special Handling Instructions: PLS HANDCARRY TO

TRAVEL ROUTE TO SA WITH MARC RICH TICKET

Administrator's Name: 

Administrator's Facsimile Number: 313 965 2323 FAX ONLY

Food: HNM/SA

ALL INFORMATION CONTAINED

HEREIN

DATE 6-21-91

401-081
TRANSMIT VIA:
[X] Teletype
[ ] Facsimile
[ ] AIRTEL

PRECEDENCE:
[ ] Immediate
[X] Priority
[ ] Routine

CLASSIFICATION:
[ ] TOP SECRET
[ ] SECRET
[ ] CONFIDENTIAL
[ ] UNCLASSIFIED
[ ] UNCLASS

Date: 7/6/92

FM FBI NEW YORK (196A-NY-1774) (P) (C-12) TO DIRECTOR FBI/PRIORITY/
BT UNCLAS CITE: //3540// PASS: SSA FUGITIVE/GOVERNMENT RESERVATION CRIMES UNIT AND SSA ECONOMIC CRIMES UNIT.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; CO: NY.
1 - NEW YORK
1 - SUPERVISOR C-12
JCM: SLT004W.188
(2)

SEARCHED: Serial Index Filed

186A-NY-1774-Fug J-25

Sub. Fug. 2

Approved: □ Original filename: SLT004W.188
Time Received: □ Telprep filename: SLT00451.188 to SLT00452.188
MRI/JULIAN DATE: 1524 73 74 ISN: 050 057 068
FOX DATE & TIME OF ACCEPTANCE: 04/04/92 0940
REFERENCE NEW YORK TELETYPE TO BUREAU, DATED MAY 13, 1992 AND JUNE 8, 1992 AND NEW YORK TELEPHONE CALL TO SSA BUREAU, ON JULY 1, 1992.

ON SPECIAL AGENT OF THE

THE

THE
AT APPROXIMATELY THE SAME TIME AS
OVER THE NEXT TWO DAYS,
ALL OF THE ABOVE HAS BECOME AWARE OF

NONE OF THE AFOREMENTIONED

ONE SUGGESTION OFFERED WAS
IN CONCLUSION, the conclusions can be summarized as follows:

The
IT WAS SOMEWHAT
A CAUSE OF
THE SIGNIFICANCE OF
SECTION ONE OF THREE SECTIONS

CITE: 7/3540///

PASS: SSA FUGITIVE/GOVERNMENT RESERVATION CRIMES UNIT AND SSA ECONOMIC CRIMES UNIT.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; 00:NY.

REFERENCE NEW YORK TELETYPES TO BUREAU, DATED MAY 13, 1992 AND JUNE 8, 1992 AND NEW YORK TELEPHONE CALL TO SSA BUREAU, ON JULY 1, 1992.

ON SPECIAL AGENT OF THE
SECTION TWO OF THREE SECTIONS

CITE: //3540//

PASS: SSA FUGITIVE/GOVERNMENT RESERVATION CRIMES UNIT AND SSA ECONOMIC CRIMES UNIT.

SUBJECT: MARC RICH - FUGITIVE (E); PINCUS GREEN - FUGITIVE (E); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; CO; NY.

TEXT CONTINUES:
OVER THE NEXT TWO DAYS,

ALL OF THE ABOVE ARE AWARE OF

NONE OF THE AFOREMENTIONED
ONE SUGGESTION OFFERED WAS
SECTION THREE OF THREE SECTIONS

CITE: //3540//

PASS: SSA [ ] FUGITIVE/GOVERNMENT RESERVATION CRIMES UNIT AND SSA [ ] ECONOMIC CRIMES UNIT.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; CO: NY.

TEXT CONTINUES:
In conclusion, it was somewhat a cause of...
FBI FACSIMILE COVERSHEET

CLASSIFICATION

PRECEDENCE

Immediate
Priority
Routine

Top Secret
Secret
Confidential
Sensitive
Unclassified

Time Transmitted: 
Sender's Initials: 
Number of Pages: 

To: 
(Full Name of Office)

Facsimile number: 

Atn: 

(Sender's Initials) 

Room Telephone No.)

From: 
(Full Name of Office)

Subject: Immediate Teletype 

1964 NY 1221

Special Handling Instructions: Hand copy to C-12 SSA

Originator's Name:

Telephone:

Originator's Facsimile Number:

Approved: 

SUB FIG 2

JUL 08 1992

FBI - NEW YORK
Ravenswood robber in Russian ripoff

By Jim West

He is the billionaire who locked out the Ravenswood workers for more than a year and a half trying to bust their union and force their living standards down so he could make more profits.

He jumps at every chance to make megabucks coming to the aid of reactionary, anti-people governments, as when he helped South Africa get around the embargo by supplying it with oil.

He is the supreme example of capitalist-opportunist money-grubbing for whom corruption, bribery and lawlessness are tools for amassing billions.

He is a fugitive living in luxury in Switzerland evading prosecution under a 65-count indictment for tax fraud, racketeering and conspiracy — among other charges.

His name is Marc Rich and he does his slimy business through a Swiss-based firm which has a worldwide trading volume estimated at $15 billion.

He established a toe-hold in Moscow in the early 1980s but quickly expanded it to thieving operations in 1990 when the doors were opened wide to capitalist inroads. Today, his Moscow office has some 50 employees, with dozens more in other Soviet cities.

Last year he arranged about $1.2 billion in trade with the former Soviet Union, all of it in aluminum and oil. His profit margins come to two and three times above the average trade margins in world markets.

Forbes magazine writes, “True to form, Rich is shortchanging the Russians.” (June 22, 1992). In receiving machinery and other commodities in exchange for their aluminum and oil, Soviet plants are known to lose 30 percent and more on the trade.

The breakdown in central planning and authority in the former USSR was used by Rich and his ilk to play off and bribe local leaders and central authorities against each other.

Piotr Aven, Russian minister of foreign economic relations, is reported as saying: “There have been several cases where long-term contacts have led to informal, often corrupt relationships. Firms that have long experience here know how much to pay to whom, what kind of presents to give, and then can purchase goods at cheaper prices than it costs to produce them. We have evidence against several such companies.” (Ibid.)

All this corruption, bribery and ripoffs are at the expense of the Soviet people, whose living standards worsen from day to day.

Rich has also moved into Romania and Bulgaria.

The Yeltsins and Gorbachevs are painting pictures of capitalism as a blessing. “Learn from America,” they say. For Marc Rich and his corporate class cronies it is indeed a blessing. For the laboring masses it is a daily fight to stay alive.

Capitalism’s reality as a system of exploitation and oppression, of corporate thievery and corruption is being brought home to the Soviet peoples by the likes of Marc Rich. The realization is dawning that the class struggle does, indeed, exist in their country as well.

Still, there is something to learn from the United States of America; from that other America represented by the workers of Marc Rich’s Ravenswood Aluminum Company. It is the lesson of class unity across national and racial lines, of solidarity and unbending determination to win against all odds, against starvation and the threat of loss of homes and all the gains made through years of struggle: Soviet workers had won far more in the 70 years of existence of Socialism in the land of Lenin. They have more to lose.

Jim West is chairperson of the education commission of the CPUSA.
0071 MRI 00842
PP RUCNFB FBINY
DE FBI WMFO #0018 2111623
ZNY SSSS
P 2914482 JUL 92
FM FBI WMFO (196A-NY-1774) (P) (C-9)
TO DIRECTOR FBI/PRIORITY/
FBI NEW YORK/PRIORITY/
BT
SECRET
SECTION ONE OF TWO SECTIONS
DATE: 5/3920/
PASS: FBTHQ CID SSA FUGITIVE UNIT; SSA
INTD, CI-1B AND CI-3A; NEW YORK SA
SQUAD I-6 AND NEW YORK SA SQUAD C-12.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B) FED; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; DO: NEW YORK.

RE WMFO TELETYPE, 5/29/92, AND TELCALLS OF WMFO SA WITH NEW YORK SAS

COPY: 5-6
WARNING: FOREIGN INTELLIGENCE INFORMATION; THIS
COMMUNICATION IS CLASSIFIED "SECRET" IN ITS ENTIRETY.
WARNING: INFORMATION FROM [ ] IS HIGHLY SINGULAR
IN NATURE AND DISCLOSURES COULD REVEAL SOURCE'S IDENTITY.
SOURCE IS A HIGH LEVEL INFORMANT [ ]
WHOSE IDENTITY, IF REVEALED, WILL
POSITIVELY LEAD TO PHYSICAL DANGER TO SOURCE AND SOURCE'S
FAMILY. [ ]
WARNING: [ ]
WARNING: STATEMENT TO BE ADDED TO ANY DISSEMINATION:
INFORMATION ON THIS DOCUMENT SHOULD NOT BE PROVIDED TO ANY
OTHER AGENCY WITHOUT PRIOR BUREAU APPROVAL.
WARNING: [ ]
WARNING: FOR PROTECTION OF SOURCE, THE FOLLOWING HIGHLY
SINGULAR INFORMATION IS NOT TO BE DISSEMINATED OUTSIDE THE FBI

PAGE TWO DE FBIWMC 0018 SECRET 7/22 AND 24/92.

WARNING: [ ]
PAGE THREE DE FBI WMFO 0018 S-E-C-R-E-T

WITHOUT CONSULTING NEW YORK AND WMFO.

FOR INFORMATION OF FBIHQ FUGITIVE UNIT AND NEW YORK SA

SOURCE EXPECTS

REFERENCED TELETYPETO NOTED SOURCE'S

PROVIDED BY SOURCE TO WMFO SA DURING THE PERIOD FROM

SOURCE

SECRET
IN REGARD TO

SOURCE OBSERVED

SOURCE IDENTIFIED

WHILE IN
THROUGH CONTACTS

LEAD:

FBIHQ IS REQUESTED TO CONTACT APPROPRIATE OFFICIALS AT U.S. DEPARTMENT OF STATE, U.S. CUSTOMS AND IMMIGRATION AND (S)
IT IS POSSIBLE THAT

FOR INFORMATION OF FBIHQ AND NEW YORK, SOURCE ADVISED

ADMINISTRATIVE:

SECRET
SECRET
SECTION TWO OF TWO SECTIONS
CITE: 6/3920/
PASS: FBIHQ CID SSA FUGITIVE UNIT; SSA INTO, CI-1B AND CI-3A; NEW YORK SA SQUAD I-6 AND NEW YORK SA SQUAD C-12.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B) FBW, MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;
DO: NEW YORK.

TEXT CONTINUES:
FBI HQ AND NEW YORK WILL BE KEPT APPRAISED OF INFORMATION DEVELOPED BY SOURCE IN VIEW OF THE EXTREME SENSITIVITY OF SOURCE, DISSEMINATION OF THE ABOVE INFORMATION OUTSIDE THE FBI COULD RESULT IN DISCLOSURE OF SOURCE'S IDENTITY. (AU)

C BY G-3, DELEG ON OADR.

BT

#0019

NNNN
SECRET

0004 MRI 01992
RR RUCNF FBINY
DE FBI WMFO #0059 3160029
ZNY EEEEE
R 102345Z NOV 92
FM FBI WMFO (196A-NY-1774) (P) (C-9)
TO DIRECTOR FBI/Routine/
FBI NEW YORK/Routine/
BT
UNCLASSIFIED
CITE: //3920//
PASS: FBIHQ CID SSA FUGITIVE UNIT, CID; ECONOMIC CRIMES UNIT, CID; SSA INTD, CI-1B and CI-3A; NEW YORK SA SQUAD I-6 and NEW YORK SA SQUAD C-12.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; GO: NEW YORK.

FOR INFORMATION OF FBIHQ AND NEW YORK, ON 11/10/92 A SENSITIVE AND RELIABLE SOURCE PROVIDED THE FOLLOWING...
SECRET

FBI INFORMANT DOES UNCLASSIFIED

ATION TO WMFD SA

SOURCE LEARNED

SOURCE WILL CONTINUE EFFORTS TO DEVELOP INFORMATION

CONCERNING

ADMINISTRATIVE

SOURCE PROVIDING THE ABOVE INFORMATION IS

SOURCE LEARNED THAT
WARNING: INFORMATION FROM ___ IS HIGHLY SINGULAR IN NATURE AND DISCLOSURES COULD REVEAL SOURCE'S IDENTITY. SOURCE IS A HIGH LEVEL INFORMANT ___ WHOSE IDENTITY, IF REVEALED, WILL POSITIVELY LEAD TO PHYSICAL DANGER TO SOURCE AND SOURCE'S FAMILY.

WARNING: STATEMENT TO BE ADDED TO ANY DISSEMINATION:
INFORMATION ON THIS DOCUMENT SHOULD NOT BE PROVIDED TO ANY OTHER AGENCY WITHOUT PRIOR BUREAU APPROVAL.

NNNN
On November 12, 1992, furnished the following to Special Agent of the New York Office of the Federal Bureau of Investigation:

Source said he/she has recently learned

Source added he/she is
On November 12, 1992, [redacted] furnished the following to Special Agent [redacted] of the New York Office of the Federal Bureau of Investigation:

Source advised he/she does not [redacted]

Source added he/she [redacted]

Source further added he/she will [redacted]
TO: DIRECTOR, FBI
FROM: ADIC, NEW YORK (196A-NY-1774) (P)
SUBJECT: MARC RICH - FUG (B);
PINCUS GREEN - FUG (B);
FBW, MP, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY;
CO: NY

Re Bu Airtel to NY dated 11/17/92.

SA C-12, NYO, will interview pursuant to the request.

The interview is tentatively scheduled for 1/8/93.

NYO will furnish results of interview.

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED.

4. - Bureau
   (1 - Foreign Liaison Unit I, Room 7446)
   (1 - Economic Crimes Unit)
   (1 - Fugitive/Government Reservation Crimes Unit)

New York  Fug Sub 2

JCM: da
(5)

Approved: Transmitted (Number) (Time) Per ___________
That's Rich

HAMPIONS hotlines are humming over news about fugitive financier Marc Rich. Rumors persist that Rich—who's been living in Switzerland and Spain, out of the reach of prosecution on tax evasion, racketeering and other charges—has quietly settled with the government and may be coming back to the States. The talk has been fueled by a recent report in the Long Island weekly Dan's Papers that Rich may be the mysterious prospective buyer for the Southampton Bath & Tennis Club on Gin Lane. A bank has moved to foreclose, but the club's current owner George Semerjian claims to have an anonymous buyer. Rich's ex-wife, Denise, has also been whispered as a possible purchaser. A source close to the Riches calls the reports "as far from the truth as possible."
Total Deleted Page(s) = 23
Page 2 ~ Duplicate;
Page 3 ~ Duplicate;
Page 5 ~ Referral/Consult;
Page 6 ~ Referral/Consult;
Page 7 ~ Referral/Consult;
Page 8 ~ Referral/Consult;
Page 9 ~ Referral/Consult;
Page 10 ~ Referral/Consult;
Page 21 ~ Referral/Consult;
Page 22 ~ Referral/Consult;
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Page 25 ~ Referral/Consult;
Page 26 ~ Referral/Consult;
Page 27 ~ Referral/Consult;
Page 28 ~ Referral/Consult;
Page 29 ~ Referral/Consult;
Page 30 ~ Referral/Consult;
Page 31 ~ Referral/Consult;
Page 32 ~ Referral/Consult;
Page 33 ~ Referral/Consult;
Page 40 ~ Referral/Consult;

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X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXXXXXXXX
Original FD-302
Memorandum

To: SAC New York (1968-1774)
From: SAC Houston (1968-881)

Subject: Mark Rich; Pincus Green

Date: 8/16/90

Enclosed are 4 items.
These items are forwarded your office since:
☐ All logical investigation completed in this Division
☐ You were OO at the time our case was RUC'd.

Enclosures are described as follows:

3 ➔ FD-340's
1 ➔ FD-302

NOTE: DO NOT BLOCK STAMP ORIGINAL ENCLOSES.
TO: SAC, NEW HAVEN

FROM: ADIC, NEW YORK (196A-NY-1774) P (C-12)

SUBJECT: MARC RICH-FUGITIVE(B); PINCUS GREEN-FUGITIVE(B); FBW, MF, RICO, INCOME TAX VIOLATION, TRADING WITH THE ENEMY; (CO:NY)

Re: SFTeletype to NY of 10/19/90.

Enclosed for information of New Haven is a copy of the referenced teletype.

The NYO is conducting no investigation concerning the information in the teletype at this time, nor is any request being made of New Haven.

Approved: ____________ Transmitted (Number) (Time) Per: ____________
SUBJECT: MARC RICH (FUGITIVE) DBA MARC RICH AND COMPANY: ET AL: FRAUD BY WIRE-ENERGY RELATED: 00: NEW YORK.

RE: SAN FRANCISCO PHONE CALL TO NEW YORK, SQ C12, DATED 10/18/90 AND SAN FRANCISCO AIRTEL TO NEW YORK, DATED 2/14/83.

THE FOLLOWING INFORMATION IS BEING PROVIDED FOR CONSIDERATION AT NEW YORK AND THE SOURCE OF THIS INFORMATION SHOULD BE PROTECTED BY REQUEST.

ON OCTOBER 15, 1990 THE SAN FRANCISCO DIVISION LEARNED FROM ONE OF ITS SOURCES THAT
SAN FRANCISCO DIVISION HAS BEEN ADVISED THAT THE FOLLOWING
NEW YORK SHOULD NOTE THAT RICH HAS DATE OF BIRTH OF
AND SOCIAL SECURITY ACCOUNT NUMBER OF HE
POSSESES DRIVER'S LICENSE
THE SAN FRANCISCO INDICES ARE NEGATIVE CONCERNING

A REVIEW OF NCIC INDICATES THAT RICH IS CURRENTLY A FUGITIVE AND THAT IF RICH IS ENCOUNTERED, NOTIFICATION SHOULD BE MADE TO AGENT OF C1, THE NEW YORK DIVISION OF THE FBI. THIS NCIC PRINTOUT ALSO INDICATES THAT IF RICH IS LOCATED THAT SHOULD ALSO BE CONTACTED AT
SAN FRANCISCO DIVISION IS LEAVING NEW YORK THE DISCRETION AS TO WHETHER OR NOT THIS INFORMATION SHOULD BE DISSEMINATED TO NEW HAVEN FOR CONSIDERATION. SAN FRANCISCO MAY POSSIBLY BE OF FUTURE ASSISTANCE IN PROVIDING ADDITIONAL INFORMATION REGARDING THE AFOREMENTIONED

SAN FRANCISCO IS RUC'ING THIS MATTER FOR ADMINISTRATIVE PURPOSES. SHOULD NEW YORK DESIRE ANY ADDITIONAL INFORMATION PLEASE CONTACT SA $0 5, FTC NUMBER 448-9429. THIS MATTER IS RUC'D.

BT

#0001
Marc Rich Buys Phibro Unit

LONDON, Nov. 8 (Reuters) — The commodity trading company Marc Rich & Company said today that it had bought the base metals business of Philipp Brothers, which has been dismantled by its parent, Salomon, Inc.

The company, owned by the influential commodity trader Marc Rich, did not disclose how much it paid for Philipp, which is known in the industry as Phibro. Industry officials said the deal was worth around $200 million.

In a statement from its head office in Switzerland, the company said: “Marc Rich has taken over contracts in the following metals: aluminum, copper, zinc, nickel and tin. It has also absorbed copper concentrates contracts.”

Marc Rich & Company bought the non-American metals business of Philipp, while Clarendon Ltd., which is 49 percent owned by Marc Rich, has absorbed business based in the United States. The rest of Clarendon is owned by Alexander Hackett, who was a partner of Marc Rich when the company was formed in early 1974.

Clarendon is based in Zug, Switzerland, but it has a large American operation in Stamford, Conn.

The Federal Government is believed to have scrutinized the sale, because Marc Rich faces criminal charges in one of the biggest United States tax evasion cases ever.

Mr. Rich is said to have traded Iranian crude oil during the 1979 hostage crisis, defied White House-imposed price controls on oil and moved his profits to Switzerland.

In 1983, Mr. Rich and his partner, Isaac Green, fled the United States for Switzerland. The Swiss refused to extradite them, saying their crimes were not covered by the two countries' treaty.

A year later, Mr. Rich's company paid the government more than $600 million in back taxes, fines and interest stemming from illegal oil trading so Clarendon could resume business.

196A-1774-586
Oben: Geburtstagskind Max Ilen mit Gundel Fuchsberger, Ehefrau Sophie, den Neun und Margot Joachim Fuchsberger (von l.n.r.).

Zur Showtime spielte eine mit seiner Trompete auf, der auch schon ein paar Jahren (68 1/2 genau) auf dem Buckel hat, aber noch immer singt und singt wie in seinen besten Tagen - Hurz Osterwald, der dank seiner dritten Ehefrau Eleonore Osterwald aus dem tieflsten Tief seines Lebens glücklich herausgefunken hat und wieder europaweit mit seiner Band erfolgreich ist.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/10/90

male, born [Protect Identity] (PI), white

voluntarily consented to be interviewed by Special Agent (SA) and United States Marshal. He furnished the following:

(PI) advised that

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
Memorandum

To: DADIC/SAC, DIVISION
(ATT: SUPERVISOR, SECTION)

From: ADIC, NEW YORK (66-7566)

Subject: NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re, New York Division entries.

Following proper procedures for validation of these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of inaccurate or obsolete information in the data base files.

Please review all information contained on these records and, utilizing this form, notify NCIC/NYSPIN of all changes to be made, updated information to be added, and whether or not the records regarding a particular item should be maintained or purged. Where active records do not contain all available information, data should be added directly to printout in the appropriate field.

Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by __________

(Date)

PURGE

Date of Recovery
Date of Arrest

MAINTAIN

MODIFY
(Updated information attached)

CASE AGENT

SUPERVISORY SA

DATE RETURNED

ALL INFORMATION COGIHerein is UNCLASSIFIED

SEARCHED, REVISED, PGD: 1/42
DATE 2/7/91 BY

1941-1774-530
FEB 19 1991
FEB - NEW YORK
BROADCAST "APTS" IF REGIONAL DISTRIBUTION MIGHT AID IN THE TIMELY APPREHENSION OF THE WANTED PERSON; COMPUTER ONLY IF BROADCAST SERVES NO USEFUL PURPOSE.

03569  FILE 5  FBI NEW YORK NYFBINYOO  FEB 14-91  ADDED INFO TO APB

REF OFBC5851

WANTED PERSON MODIFICATION

MIS/TAX EVASION IF ENCOUNTERED NOTIFY WILL EXTR 196B-1774

ADDATIONAL COMMENTS

AUTH SA

9-20AM

N 0930-0930 02/14/91 02246088 OFBC16203 PART 001 OF 001
NYSP OFBC
ACK SEQ NUM 03569
WMOD NAM/RICH,MARC
WPR/0302454
DCJS & NCIC MODIFY RESULTS

MODIFIED ON BOTH FILES
NAM/RICH, MARC
DATA MODIFIED: MIS

YOUR RECORD AS IT NOW APPEARS ON FILE WILL FOLLOW.

WANTED PERSON

ORI/NYFBINYOO FBI NEW YORK
NAM/RICH, MARC SEX/M RAC/W DOB/12/18/34
SOC/496343075 PDB/BD HGT/510 WGT/180 HAI/XXX
LAW CAT/F NCIC OFF/260B FRAUD BY WIRE-
DCA/QFBC5951 WARRANT TYPE/ARREST WARRANT
WARRANT STATUS/ACTIVE
DOW/05/19/83 EXT/Z
MIS/TAX EVASION IF ENCOUNTERED NOTIFY WILL Extr 1968-1774 C12

THE WANTING AGENCY HAS INDICATED IT WILL EXTRADITE THROUGHOUT
NEW YORK STATE AND OUTSIDE THE STATE.
Busy fugitive

FOR ALMOST EIGHT YEARS, wily commodities trader Marc Rich has been a fugitive from U.S. justice, wanted on criminal tax evasion charges. Yet from his lair in Zug, Switzerland, Rich, estimated to be worth around $800 million, keeps finding ways to do business with Uncle Sam. After a FORBES article (June 12, 1989) exposed Rich’s role as a middleman in the shipment of American grain to the Soviet Union, the U.S. government withdrew its business from his Richco grain trading outfit. But just last month another Rich affiliate, Clarendon Ltd., won bids to supply $13 million worth of nickel and $4 million of copper to the U.S. Mint. That’s on top of some $23 million of nickel, zinc and copper that Clarendon has supplied to the Mint in the last two years.

Clarendon may now be the world’s biggest trader of metals on the spot market. In November Rich bought various metals contracts belonging to Salomon, Inc.’s Philipp Bros. unit, where Rich got his start as a trader, almost two decades ago. The acquisition will enhance Rich’s power in aluminum, copper, zinc, tin, nickel and copper concentrates. And Rich’s strength in aluminum (FORBES, Dec. 29, 1988) will compound if a consortium that includes Clarendon gets the nod to build an aluminum smelter in Venezuela—just 650 miles across the Caribbean from the rich bauxite mines of Jamaica.

A busy fugitive, Rich. On the oil front, he’s trading Soviet crude. During the American hostage crisis, Rich dealt heavily in embargoed Iranian crude. With that kind of record, it would be no surprise if Rich were behind any oil Saddam Hussein can spirit out of Iraq or Kuwait.

Leveraged givebacks?

The ‘Bass Brothers’ leveraged buyout of Darling-Delaware Co. (FORBES, July 10, 1989) may not have such a happy ending for the financiers. Sid and Lee Bass and several partners took a $180 million dividend out of the fat-rendering company in 1988. But since Darling defaulted last September on $175 million in subordinated notes, bondholders have launched a fierce counterattack.

To pay themselves that massive div-