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		Yes	No	
1A18	4/12/01	/		Orig. notes re: [redacted] (Tel) 4/12/01 4g
1A19	4/20/01	/		Orig. notes re: [redacted] on 4/18/01 4g
1A20	4/25/01	/		Original notes re: [redacted] on 4/24/01 4g
1A21	4/26/01	/		Orig. notes re: [redacted] 4g
1A22	4/27/01	/		Orig. notes re: [redacted] on 4/24/01 4g
1A23	5/3/01	/		Orig. notes re: [redacted] 4g
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1A30	6/8/01	/		Orig. notes re: [redacted] 2/21/01 4g
1A31	6/7/01	/		Orig. notes re: [redacted] 2/25/01 4g
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58A-NY-278477-1A18

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☐ Yes ☒ No

Title:

PARDON INV.

MR, et. al.

CFPD - Exec. Br.

OO: NY

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Federal Rules of Criminal Procedure

☐ Yes ☒ No

Title: PRES. PARDON
MARC RICH; ET AL.
CFBO- EXEC. BR
OD: NY

Reference: _____
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Federal Rules of Criminal Procedure

☐ Yes ☒ No

Title:

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MARC RICH, ETAL.
LEPOD EXEL. BR;
OO: NY

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Federal Rules of Criminal Procedure

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Federal Rules of Criminal Procedure

☐ Yes ☒ No

Title:

UNSUBS;
PARDON INV.
MR; ET AL;
CFPD- EXEC. BR.
OO: NY

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☐ Yes ☒ NoTitle: Rich Pardon
CFPD - Exec Br.DD: NY

Reference: _____

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☐ Yes ☒ No

Title:

RICH PARDON

CFPD-excl. BR

OO: NY

Reference: _____

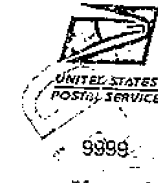
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Receipt Given ☐ Yes ☒ No

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Federal Rules of Criminal Procedure

☐ Yes ☒ No

Title:

PARADON INVESTIGATION

MARC RICH, ET AL.

CFPD-EXEC

OO: NY

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OR 04/30/01

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☐ Yes ☒ No

Title:

MARL RICH PARDON

CFPO: EXEL. BR.

OB: NY

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☐ Yes ☒ No

Title: MARC RICH PARODON INV.
CEPO - EXCEL. BK
OO:NY

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58A-NY-278467-1A28

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☐ Yes ☒ No

Title:

PARDON INVESTIGATION
MARC RICH, ET AL.
CFPD - EXEC. BR.;
OO: NY

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58A-NY-27847-1A29

Field Office Acquiring Evidence

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☐ Yes ☒ No

Title:

UNSUBS;

WJC - Former U.S. President

MARE RICH; et al.

CFPO-Exec. Br.

OO:NY

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☒ Yes ☐ No

Title:

William J. Clinton, et al;
CFPO-Local
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☒ Yes ☐ No

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William J. Clinton et al.
CFPO - Executive Branch
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(Title) Clinton Pardons(File No.) 58A-NY-278417-1AS

Item	Date Filed	To be returned		Disposition
		Yes	No	
1A32	6/7/01	/		Orig. notes re: [redacted] 2/28/01 49
1A33	6/7/01	/		Orig. notes re: [redacted] 3/8/01 49
1A34	6/7/01	/		Orig. notes re: [redacted] 3/21/01 49
1A35	6/7/01	/		Orig. notes re: [redacted] 3/27/01 49
1A36	6/7/01	/		Orig. notes re: [redacted] 3/30/01 49
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William J. Clinton, et al
CFPO- Exec BranchReference: _____
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		2/28/01

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☒ Yes ☐ No

Title:

William J. Clinton, et al
CFPO- Exec. Branch
OO: NY

Reference: _____
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3/8/01

584 NY 278417

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58A-M-278417-1A34

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Federal Rules of Criminal Procedure

☒ Yes ☐ No

Title:

William J. Clinton et al,
CFO- Exec Branch
OO: NY

Reference:

(Communication Enclosing Material)

Description: ☒ Original notes re interview of

2/21/01

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Federal Rules of Criminal Procedure

☒ Yes ☐ No

Title:

William J. Clinton, et al.
CFO & C. Branch
OO: NY

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Federal Rules of Criminal Procedure

☒ Yes ☐ No

Title:

William J. Clinton, et al
CFDO-Exec Branch
OO:NY

Reference: _____
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3/30/94

58A NY 278417

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☒ Yes ☐ No

Title:

William J. Clinton; et al.
CFPO-Exec. Branch
DOJ

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Title:

William J. Clinton, et al
CFPO- Exec Branch
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Universal Case File Number 58A NY 278417 - 1A39Field Office Acquiring Evidence NY

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Federal Rules of Criminal Procedure

☒ Yes ☐ No

Title:

William J. Clinton, et al
CFPO - EXEC - BRANCH
O&M

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☒ Yes ☐ No

Title:

William J. Clinton, et al
CFO - Exec Branch

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☒ Yes ☒ No

Title:

UNSUB(S)

WTC - former U.S. Pres.

MARC RICH; et al.

CFPB - Exec. Cr.

06-NY

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Federal Rules of Criminal Procedure

☒ Yes ☐ No

Title:

William J. Clinton et al;
CFPO - Exec Branch
OO: NY

Reference: _____

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☒ Yes ☐ No

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☐ Yes ☒ No

Title: UNSUBS
WILLIAM CLINTON
MARL RICH PARDON
CFPD - EXEL. BR.
OO:NY

Reference: _____
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☒ Yes ☐ No

Title:

William J. Clinton et al.
CEPO - sec. branch
CO NY

Reference: _____

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☐ Yes ☒ No

Title: MARC RICH BORDON
CFPD-EXEC; BR.
W: NY

Reference: _____
(Communication Enclosing Material)

Description: ☒ Original notes re interview of

① [Redacted] on 08/07/01 (Tel)

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Title: William J. Clinton
Mark Rich et al.
CFPO Exec-Bureau

Reference: _____

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Title:

William J. Clinton, et al
CFPO Exec. Branch

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Page 162 ~ b6; b7C;
Page 163 ~ b6; b7C;
Page 164 ~ b6; b7C;
Page 171 ~ b6; b7C;
Page 172 ~ b6; b7C;

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(Title) "Pardons"

(File No.) 58A-NY-278417-1A Supps

Item	Date Filed	To be returned		Disposition
		Yes	No	
1A49	12/18/01		/	orig. notes re: [redacted] 10/15/01
1A50	1/2/02		/	orig. notes re: [redacted] 4/20-4/23/01
1A51	1/2/02		/	orig. notes re: [redacted] 7/11/01
1A52	1/2/02		/	orig. notes re: [redacted] 8/14/01
1A53	1/2/02		/	orig. notes re: [redacted] 11/7/01
1A54	1/23/02		/	Complaint letters no follow up
1A55	2/28/02		/	[redacted]
1A56	2/28/02		/	[redacted] 2/26/02
1A57	5/2/02		/	orig. notes re: [redacted]
1A58	6/3/02		/	orig. notes re: [redacted] on 5/28/02
1A59	7/30/02		/	orig. travel to [redacted]
1A60	8/1/02		/	orig. notes re: [redacted] 4/12/02
1A61	8/1/02		/	orig. notes re: [redacted]
1A62	12/4/02		/	orig. notes re: [redacted] 12/14/01
1A63	12/4/02		/	orig. notes re: [redacted] 11/16/98
1A64	12/4/02		/	copies/orig of Court documents
1A65	4/2/03		/	copy of submission made to [redacted] on behalf of attorney of Marc Rich to not prosecute

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58A-NY-278417-1A Supps

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Universal Case File Number 58A-NY-278417-1A49

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Serial # of Originating Document _____

Date Received 10/15/01

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By [Redacted]

To Be Returned ☐ Yes ☒ No

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Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure

☐ Yes ☒ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

Title: ~~REDACTED~~ MARC RICH; ET AL.
PARDON INVESTIGATION

Reference: _____

(Communication Enclosing Material)

Description: ☒ Original notes re interview of

[Redacted] on 10/15/01

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Universal Case File Number

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☐ Yes ☒ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

Title:

William J Clinton, Former US President, et al

CFPO- Exec Branch

00 NY

Reference

(Communication Enclosing Material)

Description:

58A-MU-278417-1A

Original notes re interview of

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Universal Case File Number

58A-MJ-278417-1A51

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Federal Rules of Criminal Procedure

☐ Yes ☒ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

Title:

William J. Clinton et al;

CFPO - Exec. Branch

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☐ Yes

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Federal Taxpayer Information (FTI)

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Title:

William J. Clinton, et al;
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Universal Case File Number

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Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes ☒ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

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Federal Rules of Criminal Procedure

☐ Yes ☒ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

Title:

William J. Clinton;
CFO Eric Grynch
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☐ Yes

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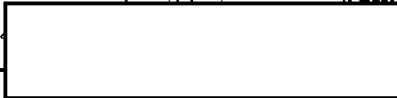
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Federal Taxpayer Information (FTI)

☐ Yes ☐ No

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William J. Clinton, et al.
CFPO-Exec. Branch

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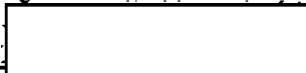
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Federal Rules of Criminal Procedure

☒ Yes ☒ No

Federal Taxpayer Information (FTI)
☒ Yes ☒ No

Title: William J Clinton, et al:
CFPO - Exec. Branch
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☐ Yes ☒ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

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Field Office Acquiring Evidence

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☐ Yes☒ No

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Federal Rules of Criminal Procedure

☒ Yes☐ No

Federal Taxpayer Information (FTI)

☐ Yes☒ No

Title:

"MARC RICH PARDON INVESTIGATION"

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Federal Rules of Criminal Procedure

☐ Yes ☒ No

Title:

William J. Clinton, et al;
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Reference:

(Communication Enclosing Material)

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Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes

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Federal Taxpayer Information (FTI)

☐ Yes

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Title:

William J Clinton, et al
CFPO - Exec Branch
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Reference:

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Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

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☐ Yes☒ No

Federal Taxpayer Information (FTI)

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Serial # of Originating Document

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Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

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Challenge to Police Union Leadership

Insurgents Want to Review P.B.A.'s Finances for Mismanagement

**FOUR FOUND
GUILTY OF
SCAMMING
COP UNION**

4 Convicted in Corruption At Union for Transit Police

Bribery Scheme Involved Manhattan Law Firm

Transit cop union big guilty in massive scam

Ex-Head of a Police Union

Pleads Guilty to Perjury

**Transit cop union big
guilty in massive scam**

**FOUR FOUND
GUILTY OF
SCAMMING
COP UNION**

**4 Convicted in Corruption
At Union for Transit Police**

Bribery Scheme Involved Manhattan Law Firm

**Ex-Head of a Police U
Pleads Guilty to Perj**

Police Union L

Daily News 8/26/97

Ex-PBA boss' 200G deal

He's consultant to union law firm

By WILLIAM K. RASHEAUM,
JOE CALDERONE
and KEVIN FLYNN

Daily News Staff Writers

Soon after retiring, former Patrolmen's Benevolent Association President Phil Caruso landed a \$200,000-a-year consulting contract with a Long Island law firm that had gotten \$20 million in PBA work during his tenure as union boss, the Daily News has learned.

The ex-cop's lucrative deal with the firm, Lysaght, Lysaght & Kramer, was uncovered by federal authorities investigating whether businesses bribed PBA officials to secure work.

Investigators have already charged the firm's lead partners, James Lysaght and Peter Kramer, with bribing officials of the former transit police union to obtain legal work.

Caruso, who was called to appear before a federal grand jury investigating possible corruption within the PBA earlier this year, failed to return repeated telephone calls.

Officials said the consulting contract does not constitute evidence of a crime. But union watchdogs called it a clear conflict of interest.

"It's an ethical violation," said Herman Benson of the Association for Union Democracy.

"The fact that there is a possibility that you might get a high-paying job af-

fects your judgment on whether you are getting your money's worth from the vendor."

Investigators are looking into the shadowy world of PBA finances in part because nearly every dollar spent on legal, insurance, labor and pension advice in the past decade has gone to a handful of lawyers and their wives.

For example, while Lysaght and Kramer — who remain the union's lawyers — collect large legal fees, their wives have collected more than \$8 million in commissions as the insurance brokers for the PBA, according to court records.

Caruso, who is not a lawyer, was hired by the law firm to consult on PBA contract matters. The consulting contract paid him double his old PBA salary.

PBA President Lou Matarazzo said Caruso, acting in his capacity as a consultant for the law firm, had helped the union in contract talks this year. But Matarazzo, who got his daughter a secretarial job at the same law firm, refused to comment on conflict-of-interest questions.

He also declined to detail how much contact Caruso had with the union.

The law firm did not return calls for comment.

Caruso, who led the 27,000-member union for 15 years, signed on with the firm several months after his retirement in 1995. He had been union presi-

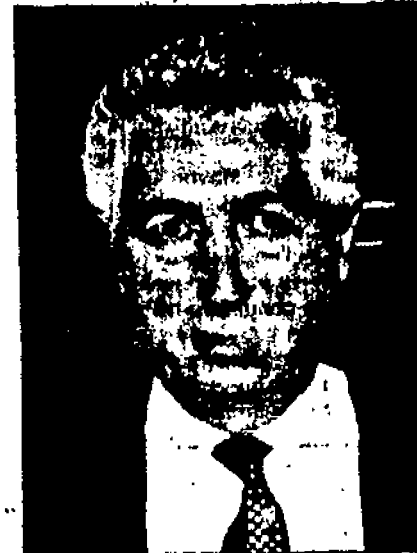
dent in 1980 when the PBA hired the Lysaght firm, replacing veteran PBA attorney Richard Hartman, who had been caught dipping into escrow funds to cover his gambling debts.

City auditors reviewed the hiring because much of the PBA's legal expenses are, by contract, funded by the city. The auditors faulted the PBA for failing to use competitive bidding to select Hartman's replacement.

Since being selected, the law firm has hired several people connected to the PBA. Caruso's daughter was employed for a time as a staff attorney.

The firm also has employed the daughter of PBA Treasurer Ronald DeVito and two children of the union's recording secretary, James Higgins.

With Greg B. Smith



NICE WORK IF ... Phil Caruso

I lied on rip-off, ex-union big sez

A former leader of the housing police union admitted he lied to investigators about helping another cop union boss rip off a taxpayer-funded campaign financing program, prosecutors announced yesterday.

Jack Jordan, 59, secretly pleaded guilty to perjury Dec. 8 during the trial of ex-Transit Police Benevolent Association President Ron Reale and three union lawyers — James Lysaght, Peter Kramer and Richard Hartman.

Jordan admitted he participated in a scheme to rip off the campaign finance system with Reale, who used union funds to reimburse people who donated to his quixotic 1993 campaign for city public advocate.

In his plea, Jordan admitted he lied to federal prosecutors when he was asked if he, his sister and three others were reimbursed for their donations.

The proceeding was sealed so as not to taint the jury hearing the Reale case.

That trial ended Monday, when all four were convicted. Jordan's plea was unsealed yesterday.

Greg B. Smith

Jordan's plea, before U.S. District Court Judge John Sprizzo, was sealed because it occurred during Reale's trial, law enforcement officials said. Sprizzo unsealed it yesterday.

Jordan pleaded guilty to a one-count indictment that charged him with testifying falsely in response to 26 separate questions regarding his knowledge and participation in a scheme to illegally obtain matching funds for Reale's campaign.

He faces up to 5 years in prison and a maximum fine of up to \$250,000.

According to the indictment, testimony and bank records introduced at Reale's trial show that Jordan, his wife, and four other friends all wrote \$1,000 checks to Reale's campaign and deposited them in an account called "The Friends of Ron Reale."

The indictment charges that Reale's campaign then falsely submitted a request to the Campaign Finance Board for matching funds from contributions made by Jordan, his wife and the four friends although all the individuals had been reimbursed by Reale. Reale obtained \$129,617 in matching funds through the scheme and reimbursed the "donors" their money.

When Jordan was called before a federal grand jury about the contributions in 1996, prosecutors said Jordan denied being reimbursed for the contribution and having knowledge of, or participating in the scheme.

But a handwriting expert testified at the Reale trial that the deposit tickets for the donor reimbursement checks were in Jordan's handwriting and the payee's portion of the checks were in Hartman's handwriting.

Prosecutors also charged that Jordan had deposited the reimbursement checks to the "donors" at Hartman's behest.

In addition to racketeering and conspiracy, Hartman was convicted of campaign finance fraud as well as wire fraud for preparing false disclosure statements related to the scheme.

Ex-Union Head Pleas Guilty To Perjury

Housing PBA leader faces 5 years prison

By Patricia Hurtado
STAFF WRITER

The former president of the now-defunct Housing Police union has pleaded guilty to federal perjury charges, the office of U.S. Attorney Mary Jo White said yesterday.

Jack Jordan, 59, president of the Housing Patrolmen's Benevolent Association from 1977 to 1991, pleaded guilty Dec. 8 to giving false answers to a Manhattan federal grand jury investigating campaign finance fraud in the 1990 campaign of Ronald Reale for Public Advocate, Assistant P.B. Attorney Nancy Rosenbaum said yesterday.

Reale, former president of the Transit Police union, was convicted Monday in federal court of racketeering, conspiracy, wire and tax fraud as well as campaign finance fraud stemming from the city campaign after a three-month trial in Manhattan.

Lawyers from the police union said Joseph L. Knight and Peter Kramer, who were tried with Reale and also charged with racketeering and conspiracy charges as was Richard Hartman, who worked as a labor negotiator for the city transit and housing police unions.

NEWS
CITY OF NEW YORK

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Of mice & men & corruption

By LISA REIN

Daily News Staff Writer

One of Chinatown's biggest restaurants and two Manhattan delis bribed city health inspectors to overlook mouse droppings in prepared dishes and encrusted food on floors in a sting operation, authorities said.

The owners were arrested by the Department of Investigation yesterday and charged with paying bribes of up to \$200 to insure clean bills of health for the Silver Palace restaurant, the Gourmet Deli on Park Ave. South and the Chambers Gourmet Deli on Chambers St.

Health inspectors visited Chinatown's Silver Palace in September in response to anonymous complaints about a mouse problem that extended to droppings in the food, officials said.

The inspector was offered a bribe twice but refused it and reported it to DOI, officials said.

A DOI investigator showed up two days later posing as an inspector and was offered \$100 by owner Liang Dong Wu not to issue any health code violations, a DOI spokesman said.

Health inspectors were also offered money when they visited Gourmet Deli on Park Ave. South on the same day for a followup inspection. The deli previously had been cited for allowing food to build up on the floor. Owner Jong Youn bribed a DOI officer with \$200, officials said.

Inspectors were conducting a pre-opening inspection at the new Chambers Gourmet Deli on Chambers St. when they were offered \$50 not to issue violations because the deli lacked a working freezer thermometer.

Wu and Youn await arraignment on felony charges of bribery, DOI spokesman Kevin Ryan said. Gasse Manun, owner of the Chambers Gourmet Deli, was arrested on a lesser misdemeanor charge.

Surprise witness called at cop union fraud trial

By GREG B. SMITH

Daily News Staff Writer

The one-time girlfriend of former transit police union President Ron Reale took the stand against her ex yesterday, painting a sordid portrait of him illegally laundering campaign funds.

Margherite Golino, 32, met Reale in 1992, when she was a receptionist for her brother Angelo, a Staten Island car dealer, and Reale was president of the 4,300-member Transit Police Benevolent Association.

Golino appeared as a surprise witness for Manhattan U.S. Attorney Mary Jo White, whose office is prosecuting Reale, 44, and three former police union lawyers in a corruption trial before Manhattan Federal Judge Deborah Batts.

In her most damning testimony, Golino addressed allegations that in 1993, Reale used union funds in his cash-strapped campaign for public advocate.

Prosecutors charge that Reale used the illegal donations to get taxpayer matching funds for his candidacy, in which he won only 1% of the vote.

Under questioning by Assistant U.S. Attorney Michele Hirshman, Golino said the union chief "asked me, if he gave me \$3,000, if I would be able to get three people to write out checks for \$1,000 each" to his campaign. "I said I would do it," said Golino, who was granted immunity from prosecution.

Golino also told how her brother had her use the car dealership — from which the transit police union rented numerous cars — to buy money orders that then were used as donations to Reale's campaign. She recalled putting down the names of unwitting "donors," including friends, family and "a woman who lives up the block."

Later, she said, Reale paid back the dealership with union and campaign funds, and to cover his tracks, had her make up invoices for fictional goods and services.

Outside the presence of the jury, it was revealed that Margherite and Angelo Golino are in the witness protection program.

Prosecutors and defense attorneys declined to discuss why, citing a sweeping gag order imposed by Batts.

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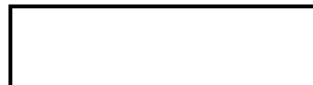
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Rich to not prosecute

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**SUBMISSION ON BEHALF OF MARC RICH WITH RESPECT TO THE EVENTS
LEADING TO HIS PARDON BY PRESIDENT CLINTON IN JANUARY 2001**



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For the past two years Marc Rich has been under investigation for violation of the Bribery Statute, 18 U.S.C. § 201, arising from successful efforts on his behalf to obtain a pardon from President Clinton in January 2001. For the reasons set forth below we respectfully submit that the government has no colorable case against Mr. Rich. This investigation should therefore be terminated and no charges should be brought.

PRELIMINARY STATEMENT

The charges contemplated here are unprecedented, likely unconstitutional, and certainly unwarranted. In two centuries of American constitutional history, no pardoned individual has ever been charged for crimes arising from the presidential pardon process. The reason is not for lack of controversial pardons. Presidents from George Washington to Bill Clinton have granted pardons that angered prosecutors and troubled the public at large. Nor is it for lack of evidence that insider influence and access often sway the pardon process. They have and do, which is not surprising. The reason, rather, is that the Constitution reserves the pardon power to the President alone, subject to neither oversight nor review by any other person, official, or coordinate branch of government. Prosecutors have thus not pursued, and courts have not permitted, cases that even tangentially implicate the inner-workings of the pardon process.

This is clearly such a case. Former President Clinton has declared repeatedly that he pardoned Marc Rich on the merits of the application before him. This office is entitled to disagree, but the President's explanation is squarely at issue and cannot be dismissed. Marc Rich's pardon application was supported by prominent international figures such as the Prime Minister of Israel Ehud Barak, Noble Peace Prize recipient Simon Peres, and King Juan Carlos of Spain. The legal arguments supporting the application, moreover, had over time been developed by respected lawyers of both political parties, including Lewis Libby¹, William Bradford Reynolds, Leonard Garment, and esteemed tax authorities, Professor Martin Ginsburg of Georgetown, and Professor Bernard Wolfman of Harvard. In addition, the second highest official in the Department of Justice, Deputy Attorney General Eric Holder, specifically advised the President's staff that he was "neutral leaning towards favorable" on the merits of the Marc Rich pardon. President Clinton cited the opinions and/or counsel of such figures among the many reasons that led to his decision to pardon Marc Rich.

That respected government officials and lawyers believed that a deserving case could be made on behalf of Mr. Rich does not make the pardon decision right. But it proves that it was possible for the President to reach the decision without being corrupt or deceptive. More to the point, any prosecution based on the pardon would effectively require the government to dispute the former President's strong affirmation that the decision to pardon Mr. Rich was made on the

¹ Indeed, Mr. Libby, who now serves as Chief of Staff to Vice President Cheney, acknowledged before Congress that if he had been asked to pursue the pardon during his representation of Mr. Rich, he could have put together a strong and defensible case for clemency. See Mar. 1, 2001 House Comm. Test. of L. Libby, at 286-87 (attached as Ex. 1).

merits. We respectfully submit that this office has no constitutional authority to second guess or investigate that decision for any reason, including the pursuit of criminal charges against the pardoned individual, Mr. Rich.

Indeed, the charges contemplated here are untenable on at least three grounds:

First, there is no precedent or constitutional authority for federal prosecutors to base criminal charges on facts gleaned from the presidential pardon process. The pardon power is absolute, unlike any other power provided in the Constitution. The history of its exercise, moreover, proves that Marc Rich acted no differently than countless others who have sought presidential pardons. And the pardon process itself, which would be integral to any trial on the merits, is squarely at issue here. Indeed, the constitutional dynamic of the government's case is inescapable. Because courts repeatedly and consistently reject any attempted intrusion into the presidential pardon process, this case should not be brought.

Second, even if the constitutional hurdles could be overcome, the evidence is legally and factually insufficient to support a bribery prosecution. The contemplated charges implicate a number of novel questions under the federal bribery statute, and relevant authorities suggest that the statute would be construed against the government. Indeed, the government could not prove the essential elements of that statute absent an expansive and unprecedented construction of its relevant terms. Finally, not only is there insufficient credible evidence of a conspiracy to bribe the President, what scant evidence exists is hearsay testimony and probably inadmissible against Mr. Rich.

Third, the proposed prosecution would generate difficult issues of executive privilege and a divisive political controversy which should not be provoked by a case based on unprecedented legal theories and poor evidence. The testimony of President Clinton and other high profile figures are central to Mr. Rich's defense, and he could not receive a fair trial without their testimony. The spectacle generated by such an intensely high profile case, as well as the inevitable political consequences, make the prosecution contemplated here particularly ill-advised.

STATEMENT OF FACTS

On January 20, 2001, President William Jefferson Clinton exercised his power pursuant to Article II, Section 2 of the United States Constitution to grant Marc Rich and Pincus Green unconditional pardons. The pardon application was the last step in a more than decade-long effort by Mr. Rich's lawyers to convince the United States Attorney for the Southern District of New York, the Department of Justice, and ultimately the President, that the indictments returned in 1983 and 1984 contained inappropriate legal charges — in light of the facts of the case, intervening case law, and Department of Justice guidelines — and treated Messrs. Rich and Green more harshly than similarly-situated parties. On February 18, 2001, President Clinton advised the nation that he granted a pardon to Mr. Rich based upon the merits of the legal

arguments advanced by Mr. Rich's lawyers, as well as "foreign policy reasons," including the support of foreign leaders, Mr. Rich's assistance to the Middle East peace process, and Mr. Rich's participation in Israeli charitable causes.²

The pardon concluded a case that the United States Attorney for the Southern District of New York had brought against Mr. Rich, Mr. Pincus Green and others, including two foreign companies with which they were associated. The two companies resolved the charges by plea in 1984.³ Messrs. Rich and Pincus Green did not return to the United States for trial, and the case against them remained outstanding. The legal arguments presented to the President in the pardon petition had their genesis in the spring of 1985, when Messrs. Rich and Pincus Green retained Mr. Garment and Mr. Libby to head a legal team to review the legal sufficiency of the criminal charges contained in the pending indictment. These charges in the superseding indictment included tax evasion, mail fraud, wire fraud, racketeering and trading with the enemy, all arising out of a complex series of oil trades in 1980 and 1981.

Mr. Garment ultimately retained two of the country's leading tax experts, Professor Martin Ginsburg of Georgetown University Law Center and Professor Bernard Wolfman of Harvard Law School, to analyze the tax evasion charges that were at the core of the indictment. In December 1990, the professors submitted to the prosecutors their detailed written conclusion that, in their professional opinion, the transactions in issue had been correctly reported for tax purposes.⁴ Complementing their work on the tax charges, Mr. Rich's lawyers developed comprehensive defenses to the mail and wire fraud, RICO, and trading with the enemy charges in the indictment. Mr. Rich's counsel argued that the alleged conduct was not criminal under a post-indictment Supreme Court interpretation of the mail fraud statute and revised Department of Justice guidelines; that the prosecutors should not have brought RICO or predicate charges based on tax evasion claims;⁵ and that Mr. Rich's alleged "trading with the enemy" was permitted

² William J. Clinton, *My Reasons for the Pardons*, N.Y. Times, Feb. 18, 2001 (attached as Ex. 2).

³ As more fully described in the pardon petition, the guilty pleas of the corporations were induced by the freezing of assets, the imposition of a contempt fine resulting from discovery disputes and the threat of RICO forfeitures. See Pardon Petition at 23-25, Gov't Ex. 1 (attached as Ex. 3). (For the Government's convenience, "Gov't Ex." refers to exhibits previously attached to the Government's Memorandum of Law in Support of Motion to Compel Testimony and Production of Documents, No. M11-189(DC) (S.D.N.Y. Sept. 10, 2001).)

⁴ *Id.* at 23, 28; see also Dec. 7, 1990 Letter from B. Wolfman to [redacted] attaching Proposed Findings of Fact and Conclusions of Law of M. Ginsburg and B. Wolfman (attached as Ex. 4).

⁵ See Pardon Petition at 23, Gov't Ex. 1 (attached as Ex. 3).

under a regulatory exception for foreign companies.⁶ Moreover, the factual underpinnings of the government's energy fraud theory were undermined by a post-indictment analysis by the Department of Energy that contradicted the prosecutors' claim that the companies had incorrectly accounted for the income from the underlying oil transactions.⁷ Finally, Mr. Rich's attorneys contended that in other cases involving similar allegations of oil trading violations, the government pursued only civil charges.⁸ The defense contentions were presented to the government at various times, but the prosecutors refused to engage in any meaningful discussion on the merits of Mr. Rich's legal defenses while he remained outside the country.⁹ Instead, the prosecutors remained committed to Mr. Rich's apprehension, warning that Mr. Rich remained absent at his peril.

Mr. Garment recently attributed the impasse between prosecutors and the Rich legal team to a cycle of misunderstandings traceable at first to aggressive defense strategies which "succeeded only in rendering the prosecutors even more inflamed than they were by nature."¹⁰ But by the early 1990s, Mr. Garment found the breakdown in communication largely attributable to the prosecutors' determination that there was just "too much history" to permit reconsideration of the premises underlying the government's case. Thus, although Mr. Garment and Mr. Libby had "concluded, unavoidably, that Rich and Green were not only free of criminal tax liability; they were free of civil liability as well,"¹¹ their efforts to present a case to the prosecutors proved unproductive.¹²

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⁶ Feb. 8, 2001 House Comm. Test. of [redacted] at 227-28 (attached as Ex. 5).

⁷ See Pardon Petition at 28; Gov't Ex. 1 (citing United States Dep't of Energy Regulatory Admin. Proposed Remedial Order Issued to ARCO on Oct. 4, 1998, at 17-19) (attached as Ex. 3).

⁸ See Jan. 17, 2001 Fax from [redacted] (attached as Ex. 6).

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⁹ June 27, 1994 Letter from [redacted] Gov't Ex. 6 (attached as Ex. 7).

¹⁰ Leonard Garment, *Crazy Rhythm* 394 (2001) (attached as Ex. 8).

¹¹ *Id.* at 397.

¹² Mr. Garment has detailed these unproductive efforts, as follows:

"[We] [Mr. Garment and Mr. Libby] spent several years trying to get meetings, preparing for meetings, holding meetings, attempting to follow up on meetings. The prosecutors would not talk about the merits of the underlying case. Some of their positions, like their vehement feelings about fugitivity, were understandable. Some were not. The low point at one of our meetings came when a young prosecutor said that it didn't matter whether Marc and Pinky actually owed taxes or not; what mattered was that they

(Continued...)

Faced with this stalemate, [redacted] who was Marc Rich's attorney in New York, in or around 1997, began meeting with [redacted] a prominent businessman and [redacted] who is a friend of Mr. Rich. [redacted] suggested that [redacted] meet Gershon Kekst, a well known public relations consultant and philanthropist.¹³ Messrs. [redacted] Kekst and [redacted] discussed both the impasse and the possibility of using a public relations initiative to change Mr. Rich's public image as part of the process of opening a dialogue with the government. Mr. Kekst recommended that [redacted] meet with [redacted] the law firm of Arnold & Porter [redacted] White House Counsel during the Clinton administration. [redacted] met with [redacted] in early 1999 to discuss their possible representation of Mr. Rich. Thereafter, [redacted] were hired to approach the Department of Justice to seek a review of Mr. Rich's indictment.

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In October 1999, [redacted] met with Deputy Attorney General Eric Holder to explore whether he could play a role in facilitating a resolution with the United States Attorney's Office for the Southern District of New York. Mr. Holder reviewed the case and informed [redacted] that he should attempt first to revive discussions with the Southern District prosecutors. Following Mr. Holder's suggestion, on December 1, 1999, [redacted] sent a letter to the prosecutors in the Southern District, with a copy to Mr. Holder, explaining the reasons for a requested meeting.¹⁴ On February 2, 2000, the United States Attorney's office responded, refusing to meet with Mr. Rich's lawyers as long as Mr. Rich remained outside the United States.¹⁵ Shortly after receiving the Southern District's response, [redacted] forwarded a memorandum to Mr. Holder explaining why Department of Justice review of Mr. Rich's case was warranted and why Mr. Rich's absence should not be — and in other cases involving absent defendants' had not been — an impediment to discussion.¹⁶ Although Mr. Holder has testified that he probably would have held the meeting if he were the United States Attorney, he deferred to the Southern District's decision not to meet because it was "their case."¹⁷

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thought they owed taxes and nevertheless did not pay them. The two men, in other words, had stolen their own car. Such a doctrine is not exactly a foundation of the tax laws. Perhaps more to the point, however, was a later comment by one of the prosecutors: 'There's just too much history.' Indeed there was."

Id.

¹³ [redacted] Grand Jury Test. of [redacted] at 22, Gov't Ex. 7 (attached as Ex. 9).

¹⁴ Dec. 1, 1999 Letter from [redacted] Gov't Ex. 12 (attached as Ex. 10).

¹⁵ Feb. 2, 2000 Letter from [redacted] Gov't Ex. 14 (attached as Ex. 11).

¹⁶ See Feb. 28, 2000 Memo from [redacted] to E. Holder (attached as Ex. 12).

¹⁷ Feb. 8, 2001 House Comm. Test. of E. Holder at 2, Gov't Ex. 11 (attached as Ex. 13).

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I. WORK ON BEHALF OF THE PARDON

After this last rejection by the government, Mr. Rich and his counsel decided to petition the President for a pardon.¹⁸ Although the general idea of a pardon had been discussed earlier, the decision to actively seek a pardon was not made until the end of October 2000.¹⁹ With President Clinton's term drawing to a close, Mr. Rich's lawyers embarked on a significant effort with only a short period of time to accomplish the task. Because Mr. Rich was seeking a pardon based in part on the flaws in the indictment against him, the petition contained a number of legal arguments addressing the merits of the pending charges.²⁰ Moreover, because pardon petitions are also addressed to the President's constitutional power to grant clemency as a matter of grace, they traditionally contain statements from those who knew the petitioner and who could testify as to the petitioner's good character and good works. Mr. Rich's lawyers — [redacted] and Messrs. [redacted] — worked diligently to prepare both aspects of the petition. Working with [redacted] a longtime associate of Mr. Rich and [redacted] of Mr. Rich's charitable foundations, they approached prominent individuals in Israel, Europe and the United States familiar with Mr. Rich's many charitable and social contributions over the past twenty years.²¹

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The petition, more than two inches thick and in two separate volumes, was filed at the White House on December 11, 2000, more than a month before the pardon was granted.

Many pardon petitions are submitted to the President, particularly at the end of his term of office, and his personal attention to the merits of a petition is often crucial to its chances of success. Thus, in order to effectively represent their client, attorneys for a pardon petitioner must also do their best to ensure that the President reads and understands the petition, and to provide answers to any questions he or his advisors may have about the petition. This is precisely what Mr. Rich's lawyers did. During the six-week period following the filing of the petition, the Rich team embarked on a comprehensive campaign in support of the pardon including the following steps.

A. Direct Appeals to the President

The Rich team arranged several direct and indirect personal appeals to President Clinton on behalf of the pardon.²² First, [redacted] and others sought the support of [redacted]

¹⁸ Feb. 8, 2001 House Comm. Test. of [redacted] at 7, Gov't Ex. 17 (attached as Ex. 5).

¹⁹ [redacted] Grand Jury Test. of [redacted] at 38, Gov't Ex. 9 (attached as Ex. 14); *see also* Feb. 14, 2001 Senate Comm. Test. of [redacted] at 8, Gov't Ex. 25 (attached as Ex. 15).

²⁰ *See* Pardon Petition at 20-30, Gov't Ex. 1 (attached as Ex. 3).

²¹ *See id.* at 9-12.

²² [redacted] traveled to the United States again in December and January to personally
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[] who wrote a letter that was included in the pardon petition, was to write a second, personal letter to the President expressing her support of the pardon.²³ [] also spoke to President Clinton in person, at a holiday White House event.²⁴

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Second, [] a close friend of [] and President Clinton, was also asked to assist in bringing the pardon petition to the President's attention. As [] testified, [] was contacted to assure "that the president himself was aware that we had filed the petition. . . . President Clinton had said I should make my case to . . . the White House Counsel's Office."²⁵

Third, [] made direct personal contact with the President regarding the pardon. He spoke to the President by telephone and also wrote to him on January 5, 2001 stating, among other things, that "[o]n a personal note, I believe in this cause with all my heart."²⁶

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Fourth, [] asked a number of prominent individuals in Israel to contact the President regarding the pardon. These contacts included then-Prime Minister Ehud Barak (who spoke with the President on several occasions about the pardon) and former Prime Minister Shimon Peres.²⁷

B. Other Contacts

Members of the Rich team also made a series of personal contacts with high-level officials in the Clinton Administration to promote the pardon. [] a Washington, D.C. attorney, spoke with White House Chief of Staff John D. Podesta, a law school friend and a former client, to obtain periodic reports as to the status of the pardon application inside the White House.²⁸

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assist in the lobbying effort.

²³ Dec. 19, 2000 E-mail from [] *et al.*; Dec. 19, 2000 E-mail from [] Gov't Ex. 24 (attached as Ex. 16).

²⁴ Feb. 14, 2001 Senate Comm. Test. of [] at 10-11, Gov't Ex. 25 (attached as Ex. 15).

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²⁵ *Id.* at 11.

²⁶ Jan. 5, 2001 Letter from [] to The Honorable William J. Clinton, Gov't Ex. 26 (attached as Ex. 17).

²⁷ Dec. 25, 2000 E-mail from [] Jan. 12, 2001 E-mail from [] *et al.*, Gov't Ex. 28 (attached as Ex. 18); *see also* Jan. 5, 2001 Letter from [] to The Honorable William J. Clinton, Gov't Ex. 26 (attached as Ex. 17).

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²⁸ Mar. 1, 2001 House Comm. Test. of [] Gov't Ex. 29 (attached as Ex. 19).

In early December, [] spoke to White House Counsel [] as well as to Deputy White House Counsel Bruce Lindsey, and provided them with background materials relating to the proposed pardon.²⁹ [] also sought the support of Deputy Attorney General Holder. On January 10, 2001, [] forwarded Mr. Holder a copy of the correspondence he had previously sent the President, along with the following cover note:

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Dear Eric: I hope you can say you agree with this letter. Your saying positive things, I'm told, would make this happen. Thanks for your consideration.
Sincerely, []³⁰

Ultimately, when asked by [] for his view of the pardon application on January 19, 2001, Mr. Holder indicated that he was "neutral, leaning towards favorable."³¹ [] reported Mr. Holder's assessment to the President that evening.³² The President would later call Mr. Holder's position an important factor in his decision to grant the pardon.³³

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The President discussed Mr. Rich's pardon petition directly with [] on January 19, 2001. [] has testified that the President seemed fully informed of the legal issues surrounding Mr. Rich's outstanding criminal case.³⁴

In that conversation [January 19th], I could tell that President Clinton had obviously read and studied the pardon petition. He grasped the essence of my argument about this case being a case that should have been handled civilly, not criminally, and discussed whether the passage of time would permit statute of limitation defenses.³⁵

[] has further testified to his understanding that the President granted the pardon based

²⁹ Mar. 1, 2001 House Comm. Test. of [] at 167, Gov't Ex. 30 (attached as Ex. 20).

³⁰ Jan. 10, 2001 Letter from [] to E. Holder, Gov't Ex. 31 (attached as Ex. 21).

³¹ Feb. 8, 2001 House Comm. Test. of E. Holder at 3, Gov't Ex. 11 (attached as Ex. 13).

³² See *id.*

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³³ See William J. Clinton, *My Reasons for the Pardon*, N. Y. Times, Feb. 18, 2001 (attached as Ex. 2); see also Feb. 8, 2001 House Comm. Test. of [] at 3, Gov't Ex. 17 (attached as Ex. 5).

³⁴ See Feb. 14, 2001 Senate Comm. Test. of [] at 12, Gov't Ex. 25 (attached as Ex. 15).

³⁵ See *Id.*

squarely on the merits of those legal issues:

President Clinton properly gave serious consideration to Mr. Rich's pardon application. [He] demanded that Mr. Rich's lawyers waive all procedural defense related to the transactions in question so that Mr. Rich would be potentially subject to civil penalties, such as those faced by others who were involved in similar transactions. This case should have been treated that way years ago.³⁶

Later that night, President Clinton issued an unconditional pardon of Mr. Rich on January 20, 2001. In his subsequent public statement, President Clinton acknowledged that the pardon petition, buttressed by the further explanations provided by [redacted] convinced him that Mr. Rich's petition was well-founded, and should be granted "on the merits."³⁷

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II. THE GOVERNMENT INVESTIGATION

By January 25, 2001, only five days after the pardons had been issued, the House Committee on Government Operations served initial requests for documents on lawyers who worked on the pardon petition, including Messrs. [redacted] Mr. Libby, [redacted] Mr. Holder, [redacted] all testified before Congress. On February 15, 2001, the United States Attorney's office formally confirmed that it was launching an investigation into the pardons.³⁹ During the next two years, the government conducted an extraordinarily aggressive and thorough investigation of the Rich team's effort to obtain a pardon, spanning several countries and a decade of activities. In addition to reviewing thousands of documents, the government called Messrs. [redacted] to testify repeatedly before the grand jury, and [redacted] were interviewed by government prosecutors. [redacted] has appeared before the grand jury on [redacted] after two preliminary interviews by the U.S. Attorney's Office and FBI agents. [redacted] also testified [redacted] before the grand jury. During each of their appearances, Messrs. [redacted] provided detailed testimony on a wide range of subjects and answered all questions not seeking [redacted] The prosecutors also subpoenaed and received testimony from many third parties, including [redacted]

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³⁶ See Feb. 8, 2001 House Comm. Test. of [redacted] at 9-10, Gov't Ex. 17 (attached as Ex. 5).

³⁷ William J. Clinton, *My Reasons for the Pardons*, N.Y. Times, Feb. 18, 2001 (attached as Ex. 2).

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³⁸ See Jan. 25, 2001 Requests for Documents from [redacted] (attached as Exs. 22 and 23).

³⁹ See James V. Grimaldi & Dan Eggen, *Criminal Probe of Pardon Begins: Gifts From Ex-Wife of Rich are Focus*, Wash. Post, Feb. 15, 2001; David Johnston, *U.S. is Beginning Criminal Inquiry in Pardon of Rich*, N.Y. Times, Feb. 15, 2001.

	The government further sought and received access to	
Although		
		During questioning in the
grand jury, the government has asked whether		

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ARGUMENT

I. THE CONTEMPLATED CHARGES ARE UNPRECEDENTED AND LIKELY UNCONSTITUTIONAL

This case cannot be separated from Article II of the Constitution, which grants the President unlimited power to authorize pardons. The only checks on that power are the President's own sense of right and the threat of public opprobrium. No external authority — whether executive, legislative, or judicial — enjoys a constitutional mandate to intrude into the pardon process for any reason, including the criminal pursuit of a pardoned individual. Indeed, the prosecution contemplated here is entirely unprecedented and raises a host of constitutional questions of the first order. It should not be brought.

A. The President's Power To Offer Unconditional Pardons Is Absolute

Article II, Section 2 of the Constitution grants the President "Power to grant reprieves and pardons for offenses against the United States, except in cases of impeachment." The Framers of

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the Constitution intentionally vested the pardon power in one person who would have *sole discretion* to make pardon decisions and bear full responsibility for the consequences.⁴¹ In 1788, Alexander Hamilton explained why:

Humanity and good policy conspire to dictate that the benign prerogative of pardoning should be as little as possible fettered or embarrassed. . . . As the sense of responsibility is always strongest in proportion as it is undivided, it may be inferred that *a single man* would be most ready to attend to the forces of those motives, which might plead for a mitigation of the rigor of the law, and least apt to yield to considerations, which were calculated to shelter a fit object of its vengeance.

The Federalist No. 74, at 447 (Clinton Rossiter ed., 1982) (emphasis added).

Hamilton was responding in this paragraph to critics of the Federal Constitution who claimed the pardon power gave too much discretion to the President. Yet the *only* concern of these critics was the President's authority to pardon treason. As Hamilton explained, "The expediency of vesting the power of pardoning in the President has, if I mistake not, been *only* contested in relation to the crime of treason." *Id.* (emphasis added). Thus, there was no suggestion, even by anti-Federalists, that the President's unlimited discretion to grant pardons was somehow unjustified or too broad.

Taking its cue from the text of Article II, and the clear statements of the Framers, the Supreme Court has consistently held that the pardon power is uniquely unfettered by any of the regular checks and balances of governmental structure, including oversight by a coordinate

⁴¹ Despite the existence of guidelines on the subject, such as those set out in Title 28 of the Code of Federal Regulations, the clemency power is reserved *exclusively* to the President under the Constitution. It cannot be constrained by any executive branch regulations or the judgments of any of the President's subordinates, *see, e.g.*, H.R. Rep. No. 107-454, pt. 1, at 25-26 (2002) ("Burton Report"), or by Congress, *see, e.g.*, *Schick v. Reed*, 419 U.S. 256, 266 (1974). For this reason, some observers have questioned the power of Congress to even *investigate* the President's clemency decisions. For example, Stanley Brand, who served as General Counsel to the House of Representatives from 1976 to 1984, opined that the pardon controversy was a matter outside the bounds of legitimate congressional inquiry. *See* Stanley M. Brand, *A Pardon Probe: It's None of Congress's Business*, Wash. Post, Feb. 28, 2001 ("The exclusive commitment of the pardon power to the president was also a 'conscious choice,' and legislative revulsion at its use does not supply the constitutional authority to challenge it."). It is an open question whether the same principle extends to federal prosecutors who bridle at use of the pardon power in a particular way. Moreover, any evidence gleaned from the Congress in this matter is subject to constitutional challenge on grounds that Congress had no constitutional basis for conducting its inquiry.

branch or executive agency. See, e.g., *Schick*, 419 U.S. at 266 (“[T]he power flows from the Constitution alone, not from any legislative enactments, and cannot be modified, abridged or diminished by the Congress.”); *Ex Parte Garland*, 71 U.S. (4 Wall.) 333, 380 (1866) (“The benign prerogative of mercy reposed in [the President] cannot be fettered by any legislative restrictions.”); *Ex Parte Wells*, 59 U.S. (18 How.) 307, 315 (1855) (“[B]y giving to its words their proper meaning, the power to pardon conditionally is not one of inference at all, but one conferred in terms.”); e.g., *Ex Parte Grossman*, 267 U.S. 87, 121 (1925) (“Our Constitution confers this discretion [to pardon] on the highest officer in the nation in confidence that he will not abuse it.”).

It is thus well-settled that Congress and the courts are prohibited from either interfering in any manner with the President’s exercise of the pardon power, see, e.g., *Schick*, 419 U.S. at 266; *Ex Parte Garland*, 71 U.S. at 380, or hindering the full exercise of that power by curtailing the constitutional privileges of the person pardoned, see, e.g., *United States v. Klein*, 80 U.S. (13 Wall.) 128, 147 (1871); *Garland*, 71 U.S. at 380. The Supreme Court confirmed the latter point in *Garland*, saying:

Congress can neither limit the effect of [a] pardon, nor exclude from its exercise any class of offenders. . . . A pardon reaches both the punishment prescribed for the offence and the guilt of the offender; and when the pardon is full, it releases the punishment and blots out of existence the guilt, so that in the eye of the law the offender is as innocent as if he had never committed the offence. If granted before conviction, it prevents any of the penalties and disabilities consequent upon conviction from attaching; . . . it makes him, as it were, a new man, and gives him a new credit and capacity.

Garland, 71 U.S. at 380–81. See also *Klein*, 80 U.S. at 147–48 (“To the executive alone is intrusted the power of pardon; and it is granted without limit. . . . [T]he legislature cannot change the effect of such a pardon any more than the executive can change a law.”); *United States v. Wilson*, 32 U.S. (7 Pet.) 150, 160 (1833) (“A pardon is an act of grace, proceeding from the power intrusted with the execution of the laws, which exempts the individual, on whom it is bestowed, from the punishment the law inflicts for a crime he has committed.” (Marshall, C.J.)). The federal criminal laws, thus, cannot be interpreted or applied in a manner that effectively limits the privileges of a presidential pardon, or permits even indirect intrusion by the federal courts into the pardoning process.⁴²

⁴² As Professor Laurence Tribe explains, the Framers knew and intended for Presidents to exercise the pardon power in controversial and high profile cases. See Laurence H. Tribe, *American Constitutional Law* § 4-10, at 721 (3d ed. 2000) (noting that President George H. W. Bush’s pardon of Caspar Weinberger just ten days before Weinberger’s criminal trial “would not have come as a surprise to the Framers”). For this reason, the Framers purposely left the pardon power “virtually unbounded” with the only check being impeachment, rebuke at the polls, or, for a President at the end of his term, “the judgment (Continued...)”

Indeed, expansive interpretations of the criminal laws in the context of the pardon power — interpretations that invite roving investigations into presidential deliberations, cast an indefinite shadow over the effectiveness of individual pardons, and require the federal courts to become enmeshed in purely executive action — implicate fundamental separation of powers principles. The Constitution requires that the coordinate branches remain “free from the control or coercive influence, *direct or indirect*, of either of the others.” *Humphry’s Executor v. United States*, 295 U.S. 602, 629 (1935) (emphasis added); *see also Bowers v. Synar*, 478 U.S. 714, 725–26 (1986); *Wiener v. United States*, 357 U.S. 349, 355–56 (1958); *Kilbourn v. Thompson*, 103 U.S. (13 Otto) 168, 190–91 (1880). This principle of non-interference is not absolute, *see, e.g., Mistretta v. United States*, 488 U.S. 361, 380 (1989), especially where constitutional powers are vaguely defined — such as the President’s power to “take Care that the Laws be faithfully executed,” U.S. Const. Art. II, § 3 — or implicitly shared — such as the President’s “commander in chief” power, *id.*, Art. II, § 2, cl.1, and Congress’ power to “declare war,” *id.*, Art. I, § 8, cl. 11. But the pardon power is neither vague nor shared. Indeed, unlike virtually every other power granted under the Constitution, the pardon power is textually pristine: by its terms it belongs exclusively to the President alone and may not be interfered with by Congress, the courts, or any government official. The principle of non-interference is thus *at its height* where the pardon power is concerned. *See, e.g., Public Citizen v. Dep’t of Justice*, 491 U.S. 440, 485 (1989) (Kennedy, J., concurring) (“[W]here the Constitution by explicit text commits the power at issue to the exclusive control of the President, we have refused to tolerate *any* intrusion by the Legislative Branch.”) (emphasis in original; citing the pardon power as the paradigmatic example). Accordingly, an interpretation of the federal criminal laws that reaches the presidential pardon process, or invites the federal courts to review that process for any reason, implicates fundamental constitutional principles that are vital to our system of government.

Moreover, the prosecution proposed here would be flatly contrary to the vision of the unitary executive and the rigorous conception of the separation of powers long advocated by Justice Scalia and other sitting Justices. *See, e.g., Morrison v. Olson*, 487 U.S. 654, 705 (1988) (Scalia, J., dissenting).⁴³ For the precedent established by this case would not only permit

of history or . . . less indelible forms of moral opprobrium.” *Id.* at 721–22. Clearly, limiting the effects of a pardon by threatening the pardoned individual with federal crimes arising from the pardon process itself is not a check contemplated in the constitutional scheme. Equally to the point, the Supreme Court has rejected any interpretation of the pardon power that “would in all probability tend to inhibit [its] exercise . . . and reduce the frequency of commutations.” *Schick*, 419 U.S. at 266. Threatening pardoned persons with criminal charges arising from the pardoning process certainly inhibits the unfettered discretion to pardon granted under Article II. For no President would exercise the power in highly controversial cases if the decision could be open for review in the federal courts, whether directly through prosecution of the President, or indirectly through prosecution of the person pardoned.

⁴³ Although the Supreme Court’s jurisprudence in this area is not a model of consistency, several opinions have taken a formalist approach consistent with theory of the unitary
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intrusive investigations into the pardoning process but, by necessary implication, would make future Presidents answerable to charges arising from that process. By what principle, for example, is a pardon-petitioner liable for bribing the President but the President not liable for accepting the bribe? Similarly, on what authority can succeeding Presidents investigate a prior President's pardoning process to charge a pardoned person, but not the President himself, with a crime? Indeed, on what authority can a succeeding President *ever* invite the federal courts, via a prosecution, to review the pardoning process of a prior President? The Founders intended no such result. Even liberal constitutional theorists who reject a formal separation of powers make an exception for the pardon power — universally recognized as the *sine qua non* of purely executive authority. Professor Charles Black, for example, claimed that the President possesses only the five powers specifically enumerated in Article II: the power to receive ambassadors, the power to take care that the laws be faithfully executed, the power of commander in chief, the veto power, and the power to grant pardons. See Charles L. Black, Jr., *The Working Balance of the American Political Departments*, 1 Hastings Const. L.Q. 13, 14–15 (1974). Yet among those five powers, the pardon power is unique in that it is the *only* substantive power explicitly free from congressional oversight, other than impeachment. See Charles L. Black, Jr., *Impeachment: A Handbook* 34 (1974) (noting that “only impeachment” can check the President’s legally-unlimited pardon power). Professor Black concluded, thus, that even the most egregious conduct in the exercise of the pardon power would not be reachable by the federal criminal laws.⁴⁴ The inescapable conclusion is that regardless of how one views separation of powers principles, the case proposed here would cross all recognizable constitutional lines and would be the first to intrude, even indirectly, upon the only unencumbered Article II power.

We respectfully submit that these bedrock principles render the charges contemplated here highly dubious if not self-evidently unconstitutional. There is simply no constitutional warrant for federal prosecutors to invite the federal courts to hear criminal charges arising from the process by which a person obtained a pardon, even under cover of a generously-worded criminal statute. Nor is there constitutional warrant for federal prosecutors to effectively undo a

executive. See, e.g., *Metropolitan Wash. Airports Auth. v. Citizens for the Abatement of Aircraft Noise, Inc.*, 501 U.S. 252 (1991); *Bowsher v. Synar*, 478 U.S. 714 (1986); *I.N.S. v. Chadha*, 462 U.S. 919 (1983); *Buckley v. Valeo*, 424 U.S. 1 (1976); *Myers v. United States*, 272 U.S. 52 (1926). For the reasons discussed above, a formalist approach is especially pertinent in any case that implicates the uniquely unfettered pardoning power. See also *Schick*, 419 U.S. at 266–67.

⁴⁴ Professor Black illustrates the point with the following hypothetical: “Suppose a President were to announce and follow a policy of granting full pardons, in advance of indictment or trial, to all federal agents or police who killed anybody in line of duty, in the District of Columbia, whatever the circumstances and however unnecessary the killing. *This would not be a crime, and probably could not be made a crime under the Constitution.*” Charles L. Black, *Impeachment: A Handbook* at 34 (1974) (emphasis added).

pardon by endlessly threatening new criminal charges against the pardoned individual. And that is precisely what is happening here — unless and until this investigation is terminated the government has effectively stripped Marc Rich of many of the privileges that a presidential pardon entails.

B. The Circumstances Surrounding The Rich Pardon Are Common

The government may wish to argue that this case is an exception to the constitutional norm, because the facts surrounding this pardon are unusual in some way, or uniquely give rise to evidence of corruption or foul play. But the only provable thing exceptional about the Marc Rich pardon is that the government has chosen to effectively nullify it with an unprecedented investigation. In reality, the facts here not only fail to set forth any crime, they parallel how the pardon process has operated since 1787.

Indeed, the historical record on this score is overwhelming and indisputable:

George Washington on his final day in office pardoned David Blair, a prominent rum smuggler convicted for his participation in the Whiskey Rebellion. Washington's reasons are not clear, though many suspected Blair supplied Whiskey to prominent revolutionaries, including Washington.

John Adams hurled his political opponents into prison under the Alien and Sedition Laws. He pardoned them on the eve of the election against Thomas Jefferson, saying he hoped the pardons would help his reelection chances. They did not.

Thomas Jefferson wielded the pardon power to compel testimony in the treason trial of Aaron Burr by preemptively pardoning a key witness.

James Madison pardoned a prominent slave trader and pirate, with no explanation given.

Abraham Lincoln was apparently so fond of the pardon power that Senator Elihu Root wrote: "Secretary [of War] Stanton used to get out of patience with Lincoln because he was all the time pardoning men who ought to be shot."

Andrew Johnson pardoned Jefferson Davis and granted a conditional blanket pardon to all confederate soldiers.

The modern era is no exception:

Jimmy Carter pardoned all Vietnam-era violators of the Military Selective Service Act, whether or not the violators had fled to avoid prosecution. See 42 Fed. Reg. 4391 (Jan. 24, 1977) (Pres. Proc. No. 4483).

President Carter also pardoned Irving Flores-Rodriguez, Lolita Lebron, and Rafael Cancel-Miranda, who in 1954 machine-gunned the U.S. House of Representatives and wounded five Congressmen.

Ronald Reagan

In 1984, President Reagan pardoned former Louisiana agriculture commissioner Gilbert L. Dozier. Dozier was convicted in 1980 of demanding \$329,000 in campaign contributions from farmers and industry officials in exchange for permits issued by his department. The commutation was granted over the objections of the sentencing judge and the federal prosecutors in charge of the case. One of Mr. Dozier's lobbyists during the pardon process was former Reagan White House aide Lyn Nofzinger, who met personally with White House Officials. See Howard Kurtz & David Hoffman, *Recent Commutation by Reagan Stirs Controversy in Louisiana*, Wash. Post, July 27, 1984.

In 1989, President Reagan pardoned George Steinbrenner for conspiring to violate federal election laws. Prosecutors were never consulted and prominent Republican lawyers, including former Attorney General William Saxbe, represented Steinbrenner. See Jim Abramson, *Up for a Pardon, George Steinbrenner Defeated the Odds*, Wall St. J., Feb. 3, 1999.

Former President Bush

In 1989, President Bush pardoned Armand Hammer, a former head of Occidental Petroleum. Shortly before the pardon, Mr. Hammer reportedly contributed over \$100,000 to the Republican Party and \$100,000 to the Bush-Quayle Inaugural Committee. Mr. Hammer's attorney [redacted] a Philadelphia attorney and Republican activist, was well known to then-Attorney General Dick Thornburgh. See Bella Stumbo, *Hammer Pardon Turned on the Issues of Innocence*, L.A. Times, Aug. 28, 1989; Pamela Mendels, *Inaugural Committee Lists \$100,000 Lenders*, Newsday, Jan. 19, 1989.

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In 1993, two days before leaving office, President Bush pardoned Aslam Adam, a Pakistani drug trafficker who had served eight years of a 55-year sentence in federal prison in North Carolina. Mr. Adam was convicted of conspiracy to possess with intent to distribute \$1 million worth of heroin. The Assistant U.S. Attorney who prosecuted Adam, stated: "It's most unusual. . . . There must have been some diplomatic aspect. I can't see President Bush making a cavalier move on the brink of leaving in this fashion after what he's said about the war on drugs." John Monk & Gary L. Wright, *Freeing of Drug Smuggler Baffles Legal Authorities*, Houst. Chron., Mar. 28, 1993.

In December 1992, President Bush pardoned Caspar Weinberger and five other individuals involved in the Iran-Contra scandal. This action cut off legal proceedings that had commenced against Mr. Weinberger as a result of Independent Counsel Lawrence Walsh's investigation. Walsh harshly criticized the pardon, calling it "terrible" and "grossly wrong." James N. Jorgensen, *Federal Executive Clemency Power: The President's Prerogative To Escape Accountability*, 27 U. Rich. L. Rev. 345 (Winter

1993); David Johnston, *Bush Pardons 6 in Iran Affair, Aborting a Weinberger Trial; Prosecutor Assails Cover Up*, N.Y. Times, Dec. 25, 1992.⁴⁵

President Clinton

At the end of his term, President Clinton pardoned Carlos Vignali, a cocaine trafficker. [redacted] donated more than \$150,000 to Democratic causes, including \$10,000 to the 2000 Democratic National Committee. Letters of support from several leading California politicians and a Roman Catholic Cardinal reportedly helped persuade Clinton to pardon Vignali. Federal prosecutors expressed their disagreement but, like every other pardon in history, did not pursue charges. *See Would You Pardon Them?*, Time, Feb. 26, 2001 ("Angry prosecutors called Vignali a drug kingpin.").

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President Clinton also pardoned three men convicted during an investigation of [redacted] thanks to "crucial" lobbying and support from [redacted] *See id.*

President Clinton commuted the sentence of Melvin Reynolds, a former Illinois Congressman serving seven years for corruption and statutory rape, after a direct appeal from Jesse Jackson. Other Jackson associates who received pardons include John H. Bustameante, a former adviser who pleaded guilty to fraud in 1993, and Dorothy Rivers, a former Operation PUSH official convicted for embezzling more than a \$1 million in federal aid for homeless children. *See id.*

President Clinton pardoned William Fugazy, a friend of Mario Cuomo's who was convicted of hiding \$75 million from creditors. The Department of Justice earlier rejected Fugazy's pardon petition because guidelines required applicants to wait five years after conviction. Clinton ignored the Justice Department and ignored the guidelines. *See id.*

President Clinton also pardoned Edward Downe Jr., a publishing and financial executive, who pleaded guilty to insider trading in 1983. Downe had contributed \$21,500 to Democrats since 1991, including \$1,000 to Hillary Clinton's 2000 Senate campaign. His application was submitted directly to President Clinton with no review from the Justice Department. *See id.*

⁴⁵ Professor Tribe uses the Weinberger pardon to illustrate the legally-unlimited nature of the pardon power, saying: "[N]othing prevents a President from pardoning his closest friends and associates, even if the conduct for which they have been prosecuted occurred in the course of their service in his Administration, and even if the further prosecution of those parties might otherwise implicate the President himself in criminal conduct." Laurence H. Tribe, *American Constitutional Law* § 4-10, at 721 (3d ed. 2000).

Many less notorious pardons were similarly pursued with money and insider connections:

[redacted] a longtime friend of Bill and Hillary Clinton, and the Texas [redacted] Gore presidential campaign, reported that he received more than 300 calls in November and December 2000 asking for help on behalf of a relative or friend who wanted to obtain a pardon. See Kurt Eichenwalk & Michael Moss, *Did \$\$ and connections buy last-minute pardons?*, Desert News, Jan. 29, 2001.

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[redacted] sought a pardon for [redacted] who was convicted of bank fraud in 1994. She prepared a petition with dozens of letters from politicians and prominent business people. She also sought help from a former Democratic congressman, John Bryant, who had administration connections; Bryant offered to join the effort for a payment of \$200,000 up front, with a \$300,000 bonus if a pardon was granted. (Bryant has said that the fee was to cover the extensive work that would have been required to put together an application with some chance of success.) [redacted] also sought assistance from many other people with White House connections. She has said that the process "was all kind of mysterious." [redacted] was pardoned on January 20, 2001, the same day as Marc Rich. See *id.*

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These historical examples are directly relevant to the charges contemplated here on a number of grounds. *First*, they prove that the Rich team acted no differently than countless others who have sought presidential pardons. *Second*, they support the view that Mr. Rich is being investigated for conduct that has never been investigated before, much less prosecuted criminally. *Third*, they demonstrate that the interpretation of the federal bribery statute contemplated here would permit federal prosecutors (and succeeding Presidents) to routinely investigate the background of controversial pardons. For the historical record proves that it is common for pardon-petitioners to offer substantial payments to campaign committees and/or well-connected individuals to act as lobbyists on the petitioner's behalf. If such allegations give rise to a criminal investigation, then prosecutors have an unprecedented tool for investigating the mechanics of the pardon process. For that reason alone, courts are not likely to accept such a construction, let alone do so for the first time in a case premised on weak hearsay evidence, which is all the government could present here.

C. The Rich Pardon Clearly Does Not Warrant Unprecedented Charges

In the course of this investigation, the government has suggested that this case is atypical because Mr. Rich and his defense team sidestepped the Department of Justice to petition President Clinton directly. The suggestion has been that this fact may provide circumstantial evidence of a criminal intent on the part of Mr. Rich and members of the defense team. But this suggestion is incorrect, and it fails as a matter of law. Putting aside that Deputy Attorney General Holder *specifically advised* [redacted] to send the petition directly to the White House, the constitutional pardon power explicitly warrants direct appeals to the President. No role whatsoever is provided for prosecutors or lower government officials in the pardon process. Indeed, the whole point of the pardon clause is to permit Presidents to grant pardons *no matter what* prosecutors or other officials may think.

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Alexander Hamilton emphasized this point, saying:

[A]s men generally derive confidence from their numbers, they might often encourage each other in an act of obduracy, and might be less sensible to the apprehension or suspicion or censure for an injudicious or affected clemency. On the accounts, *one man* appears to be a more eligible dispenser of the mercy of the government than a body of men.

The Federalist No. 74, at 447–48 (emphasis added). Hamilton then reiterated, for good measure: “It is not to be doubted, that a *single man* of prudence and good sense is better fitted, in delicate conjunctures, to balance the motives which may plead for and against the remission of the punishment, than any numerous body whatever.” *Id.* at 448 (emphasis added). Therefore, no less authority than the Founder’s understanding of Article II flatly rejects the government’s claim that it is somehow suspicious — or gives rise to circumstantial evidence that could be used against a criminal defendant charged with bribery — for an individual to present a pardon petition directly to the President.

Moreover, President Clinton himself concluded that this case falls squarely in line with what Hamilton saw as the central purpose of the constitutional pardon power. Though this office does not agree with Mr. Rich’s arguments on the merits, there is no denying that President Clinton found them convincing. He has in fact stated that he “was aware of and took into account the fact that the United States attorney for the Southern District of New York did not support these pardons.” Nonetheless, he granted the pardons, as the Constitution entitled him to do, reasoning that:

1. The RICO charges pending against Rich and Green were unprecedented and unjustified;
2. the Department of Energy in 1985 — long after Rich was branded a fugitive — found the manner in which Rich accounted for his transactions to be proper;
3. Professors Ginsburg and Wolfman reviewed the transactions in question and concluded that there was no basis for any tax liability;
4. Mr. Rich and Mr. Green’s companies paid approximately \$200 million in fines and penalties to settle charges that “might not even have been warranted under the Wolfman/Ginsburg analysis that the companies correctly followed the law and correctly reported their income”; and,
5. the Justice Department in 1989 rejected the use of RICO in identical cases, a position that was never considered controversial.

William J. Clinton, *My Reasons for the Pardons*, N.Y. Times, Feb. 18, 2001.

According to President Clinton, therefore, this office has applied different rules to Mr. Rich. On that belief, this case is not the black sheep of presidential pardons. To the contrary, it exemplifies what the pardon power is all about, *i.e.*, a constitutional escape hatch for individuals

unfairly treated by federal prosecutors. Indeed, the suggestion that there is something untoward about not permitting federal prosecutors to vet a controversial pardon simply misunderstands the Framer's intent and the nature of the pardon power itself.⁴⁶

President Clinton has further explained that he granted Mr. Rich a pardon on a number of "foreign policy" grounds, saying in part:

[F]inally and importantly, many present and former high-ranking Israeli officials of both major political parties and leaders of Jewish communities in America and Europe urged the pardon of Mr. Rich because of his contributions and services to Israeli charitable causes, to the Mossad's efforts to rescue and evacuate Jews from hostile countries, and to the peace process through sponsorship of education and health programs in Gaza and the West Bank.

Id. This case, thus, though ordinary in many respects, has added constitutional significance, as it implicates not only the President's unfettered pardon authority, but also the President's authority in the arena of foreign affairs. President Clinton made this point clear when he explained that he *knew* this office "did not support these pardons," yet nonetheless found the pardons warranted "in the best interests of justice," saying: "[T]he essential facts were before me, and I felt the foreign policy considerations and the legal arguments justified moving forward." *Id.*

Therefore, there is every reason to doubt the wisdom of moving forward with the charges contemplated here. The elementary point is that such charges would establish the first precedent for basing a criminal prosecution on some aspect of the pardon process, thus unavoidably laying the foundation for future intrusions into this uniquely unfettered area of presidential power. But perhaps more fundamentally, the President of the United States has explicitly criticized how this office handled the prosecution of Mr. Rich and, indeed, has said that he granted the pardon for this very reason, knowing full well that this office would vehemently disagree. The President is thus on record as essentially vetoing the views of this office to grant a pardon that he found warranted on the legal merits, and further justified on foreign policy grounds. For this office, then, to turn around and base unprecedented criminal charges on the process by which that

⁴⁶ It is also inconsistent with a position recently advanced by the Justice Department before the Senate Judiciary Committee. In 1999, the Senate proposed a "Crime Victim's Rights Constitutional Amendment," which provided in part that a victim of a crime of violence had the right "to reasonable notice of and an opportunity to submit a statement concerning any proposed pardon or commutation of sentence." See S. Rep. No. 106-254, at 35 (1999). This language was strenuously opposed by the Justice Department as "an unprecedented incursion on the President's exclusive power to grant pardons." See 146 Cong. Rec. S2966-01 (daily ed. Apr. 27, 2000) (statement of Assistant Attorney General Robert Raben). Part of the problem was that requiring input by any person other than the President on the merits of a pardon petition "would arguably permit a court to reopen a pardon . . . and grant victims rights in a setting in which no one . . . has ever possessed rights." *Id.*

pardon was pursued, appears to raise a host of issues — both constitutional and prudential — that are peculiar to this case, and which go far beyond those already addressed above.

* * *

In sum, the government cannot escape the many threshold constitutional questions that plague this case. There is no precedent for the contemplated charges. The few Supreme Court opinions that discuss the pardon power uniformly suggest that any charges in this case (even if brought on credible evidence, which is lacking) would cross the constitutional line. And the history of the pardon power, as well as its purpose as expressed by the Framers, and its exercise in this case as confirmed by President Clinton, directly undermine any basis for a colorable prosecution. Indeed, even if the government had stronger facts, this case would still be an unconventional prosecution that should not be brought. The constitutional context is central to any defense on the merits, and the constitutional consequences of permitting the case to proceed — including the defying of presidential powers and the unprecedented intrusion of the judiciary into a purely executive process — are unavoidable. Against this backdrop, there is simply no basis for going forward with this case.

II. THE CONTEMPLATED CHARGES DO NOT MEET THE ELEMENTS OF THE BRIBERY STATUTE

Even if all of the constitutional impediments could be overcome, the proposed charges are still unwarranted because they are factually unsupported and fail on the merits. The bribery statute is vague on whether it even encompasses the facts and circumstances alleged here; if it does, its elements still could not be proven beyond a reasonable doubt. Either way, there is no basis for a prosecution.

A. The Canon Of Constitutional Avoidance Applies to This Case and Mandates The Narrowest Possible Construction of the Bribery Statute

If this prosecution were to proceed, the government would initially face a number of first impression matters regarding the federal bribery statute's unprecedented application to conduct arising out of the presidential pardon process. In passing on these matters, courts would likely employ an exceedingly narrow statutory construction. The canon of constitutional avoidance provides that "when 'a statute is susceptible to two constructions, by one which grave and doubtful constitutional questions arise and by the other of which such questions are avoided, our duty is to adopt the latter.'" *Harris v. United States*, 536 U.S. 545, 122 S.Ct. 2406, 2413 (2002) (quoting *United States ex rel. Attorney General v. Delaware & Hudson Co.*, 213 U.S. 366, 408 (1909)). The Supreme Court has applied this canon in the context of the pardon power:

It is not to be supposed that Congress intended by the general language of the act to encroach upon any of the prerogatives of the President, and especially that benign prerogative of mercy which lies in the pardoning power.

Carlisle v. United States, 83 U.S. (16 Wall.) 147, 153 (1872) (emphasis added) (interpreting Captured and Abandoned Property Act to allow recovery by aliens who had been pardoned for aiding the Confederacy).

We submit that it would do so again here. Indeed, one way to subvert the design of the pardon power is to loosely interpret a criminal statute in a manner that permits prosecutors to pursue pardoned individuals with endless hearings, grand juries, and legal fees, or to effectively undo a pardon on threat of indefinite exposure to new criminal charges. As the historical record proves, a generous construction of the federal bribery statute would permit prosecutors and courts to routinely investigate facts and circumstances surrounding controversial pardons. As indicated, there is no constitutional warrant for such intrusions, even if Congress intended to permit them, which is not the case here.

Moreover, putting to the side the many constitutional concerns, the following statutory analysis demonstrates overwhelmingly that there is no statutory basis for this case to proceed. The contemplated charges raise substantial questions of first impression under virtually every substantive element of the bribery statute. As the statute would not consistently be interpreted in the government's favor, on account of the avoidance canon, existing precedent, and other settled rules of construction, this investigation should be terminated.

B. Overview Of The Federal Bribery Statute, 18 U.S.C. § 201

1. Subsections (b) and (c)

The federal bribery statute, which is set forth below, comprises two distinct offenses.⁴⁷ The first offense (bribery), is codified in section 201(b). This provision prohibits the giving or accepting of anything of value to or by a public official, if the thing is given "with intent . . . to influence" an official act, or if it is received by the official "in return for . . . being influenced."

⁴⁷ Section 201(b) provides in relevant part:

Whoever—(1) directly or indirectly, corruptly gives, offers or promises anything of value to any public official or person who has been selected to be a public official, or offers or promises any public official or any person who has been selected to be a public official to give anything of value to any other person or entity, with intent—(A) to influence any official act

Section 201(c) provides in relevant part:

Whoever—(1) otherwise than as provided by law for the proper discharge of official duty—(A) directly or indirectly gives, offers, or promises anything of value to any public official, former public official, or person selected to be a public official, for or because of any official act performed or to be performed by such public official, former public official, or person selected to be a public official. . . .

The second offense (illegal gratuity), is codified in section 201(c). This provision prohibits the giving or accepting of anything of value "for or because of" any official act; it is commonly understood to prohibit "personal gifts that could unduly affect a public official's performance of his duties." *United States v. Brewster*, 506 F.2d 62, 78 (D.C. Cir. 1974).

Generally speaking, conviction for either offense requires proof of the same basic six elements:

1. a public official;
2. a thing of value;
3. an offer or promise;
4. made for the benefit of the public official (or in the case of bribery, of some other person or entity);
5. in exchange for an official act;
6. with the requisite criminal intent.

In the run of cases, these six elements are broadly construed. See, e.g., *United States v. Alfisi*, 308 F.3d 144, 150–52 (2d Cir. 2002); *Parks v. United States*, 355 F.2d 167, 168 (5th Cir. 1965) (noting "broad construction to be given this statute"). But where, as here, charges are premised on contributions to an elected political official, the Supreme Court demands textual precision. See, e.g., *United States v. Sun-Diamond Growers*, 526 U.S. 398, 412 (1999) ("[A] statute in this field [gifts or contributions to public officials] that can linguistically be interpreted to be either a meat axe or a scalpel should reasonably be taken to be the latter.") (construing 18 U.S.C. § 201(c)); *McCormick v. United States*, 500 U.S. 257, 273 (1991) (holding that campaign contributions are chargeable under the Hobbs Act "only if the payments are made in return for an explicit promise or undertaking by the official to perform or not to perform an official act"); see also *United States v. Tomblin*, 46 F.3d 1369, 1379–81 (5th Cir. 1995) (discussing intent requirements in bribery prosecution where campaign contributions are at issue).

2. Differences between subsections (b) and (c)

There are two significant differences between the bribery and illegal gratuity provisions, and both are critical to the charges contemplated here.

First, the provisions differ on the connection required between the giving of the thing of value, on the one hand, and the doing of the official act, on the other. On this score, the illegal gratuity provision (subsection (c)) is *broader* than the bribery provision (subsection (b)). For example, if the connection is *causally direct* — if money is given to purchase or ensure an official act, as a *quid pro quo* — then the crime is chargeable as bribery under subsection (b). See, e.g., *Alfisi*, 308 F.3d at 149 ("[The] intent necessary to a bribery conviction is in the nature of a *quid pro quo* requirement; that is, there must be 'a specific intent to give . . . something of value in exchange for an official act.'") (quoting *Sun-Diamond Growers*, 526 U.S. at 404–05).

(emphasis in original)). But if the connection is looser — if money is given after the fact, as “thanks” for a specific official act, but not in exchange for it — then the crime is chargeable as an illegal gratuity under subsection (c). See, e.g., *United States v. Myers*, 692 F.2d 823, 841 (2d Cir. 1982) (“[B]ribery under section 201[(b)] requires a promise of a future act in exchange for the money given to the public official, an element not required for receipt of an unlawful gratuity under section 201[(c)].”).⁴⁸

Second, the provisions differ on who or what must receive the thing of value in connection with the chargeable offense. On this score, the illegal gratuity provision is narrower than the bribery provision. For example, under the bribery provision the chargeable giving or offering of a thing of value may inure “to any other person or entity.” In extreme cases, this broadening clause may criminalize the giving or receiving of campaign contributions. See *Brewster*, 506 F.2d at 69–70. Under the illegal gratuity provision, however, this clause — “to any other person or entity” — is omitted. Therefore, a chargeable thing of value must be given or offered directly to the public official for that official’s own personal benefit. For this reason, campaign contributions are not chargeable as an illegal gratuity. See, e.g., *Brewster*, 506 F.2d at 77 (“[B]ona fide contributions directed to a lawfully conducted campaign committee or other person or entity are not prohibited by [the illegal gratuity provision].”); 4 Department of Justice Manual, Title 9. Criminal (“Dep’t. of Justice Manual”): No. 2046 (“Where the transaction represents a bona fide campaign contribution, prosecutors must normally be prepared to prove that it involved a *quid pro quo* understanding and thereby constituted a ‘bribe’ offense actionably under section 201(b).”).⁴⁹

⁴⁸ The illegal gratuity provision is not as elastic as first appears; for the government still must establish a *direct nexus* between the gratuity conferred and the official act performed by the public official. See *Sun-Diamond Growers*, 526 U.S. at 412.

⁴⁹ This understanding is in line with *McCormick v. United States*, 500 U.S. 257, 271 (1991), which held that prosecution under the Hobbs Act cannot be premised on allegedly corrupt campaign contributions absent explicit evidence of a *quid pro quo*. See also *Evans v. United States*, 504 U.S. 255, 268–69 (1992). The Department of Justice Manual recognizes that the same heightened proof standards must apply to a bribery charge premised on a campaign contribution. See Dep’t of Justice Manual, No. 2046 (2d ed. 1999) (discussing *McCormick* and *Evans* in the context of the federal bribery statute). The alleged conduct here involves offers of donations to an independent non-profit entity established to fund a presidential library. Because no reported decision discusses bribery charges premised on offers of donations to any sort of independent third party entity, it is an open question whether the charges contemplated here would trigger *McCormick*’s heightened standard.

3. Side-By-Side Comparison

The following chart highlights the differences between the two provisions and their relevance to this case. The text in brackets marks particular features found only in one subsection, but not the other. The text in UPPER CASE TEXT highlights the key distinctions between the two subsections. Similar charts with respect to the "receiving or accepting" of bribes and illegal gratuities are set forth in the Department of Justice Manual No. 2043, and *United States v. Brewster*, 506 F.2d at 67.

	§ 201(b)(1): Bribery	§ 201(c)(2): Illegal Gratuity
Status	Whoever . . .	Whoever . . .
Intent	CORRUPTLY . . .	[]
Act	directly or indirectly . . . gives, offers or promises . . .	[otherwise than as provided by law for the proper discharge of official duty,] directly or indirectly gives, offers, or promises . . .
Thing	anything of value . . .	anything of value . . .
For Whom	to any public official or person who has been selected to be a public official, or . . . TO ANY OTHER PERSON OR ENTITY . . .	to any public official, [former public official,] or person selected to be a public official . . . []
Purpose	to influence any official act. . .	FOR OR BECAUSE OF any official act performed or to be performed. . .

C. These Elements Are Not Met Here

For the reasons discussed in this section — whether as a matter of law and statutory construction, or as a matter of fact for lack of credible evidence — neither provision of the bribery statute could be proven here beyond a reasonable doubt. Indeed, it is questionable whether the government could make its case with respect to *any* of four substantive elements.

1. The Public Official Element Is Not Met

The term "public official" is defined in Section 201(a), which provides:

(1) [T]he term "public official" means Member of Congress, Delegate, or Resident Commissioner, either before or after such official has qualified, or an

officer or employee or person acting for or on behalf of the United States, or any department, agency or branch of the Government thereof, including the District of Columbia, in any official function, under or by authority of any such department, agency, or branch of Government, or a juror.

18 U.S.C. § 201(a)(1). This definition is sweeping and has been liberally construed. *See Dixon v. United States*, 465 U.S. 482, 496 (1984) (“[T]he proper inquiry is . . . whether the person occupies a position of public trust with official federal responsibilities.”). But as far as we are aware, no prosecution has ever involved bribes or illegal gratuities offered to the President of the United States, let alone in the context of presidential pardon. Indeed, whether the President may be designated a “public official” pursuant to § 201(a) is a question of first impression, that appears open for challenge.⁵⁰

But even if it is assumed that the President is a public official under Section 201, private citizens [redacted] are certainly *not* public officials under that section. Payments offered to them or made for their benefit thus provide no basis for prosecution.⁵¹ The gravamen of any charge under the bribery statute is that an offer or promise was made to influence a *public official*. Yet despite two years of independent investigations, and thousands of pages of testimony, there is no credible evidence to support that requisite link here.

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⁵⁰ The definition of public official under § 201(a) expressly includes Senators and Members of the House, but does not include the President. Moreover, while the President holds an office encompassed within the broad definition of “public official” set forth by the Supreme Court in *Dixon v. United States*, 465 U.S. 482 (1984), the legislative history of Section 201 says nothing about extending the bribery provisions to the President. The President also does not act “for or on behalf of the United States” when exercising the pardon power — he acts individually with no mechanism for oversight or scrutiny by any official body. Finally, the broad construction of *Dixon* is itself open to challenge, given that there were four dissenters in that case [redacted] and new members of the Court dismiss the holding as “[a] weak (indeed, utterly unreasoned) foundation for a rule of construction that permits legislative history to satisfy the ancient requirement that criminal statutes speak plainly and unmistakably.” *United States v. R.L.C.*, 503 U.S. 291, 310 (1992) (Scalia, J., concurring, joined by Kennedy, J., and Thomas, J.).

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⁵¹ We understand that the government concluded that Mr. Rich offered to make a contribution to the G&P Foundation, a charitable organization in the name of his deceased daughter, in exchange for [redacted] agreement to assist his team in seeking a pardon. *See* Alison L. Cowan, *Rich Pardon Reportedly Followed Pledge to Charity of Former Wife*, N.Y. Times, May 1, 2001. In addition, the grand jury has heard evidence that [redacted]

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[redacted] These matters are irrelevant to the legal issues posed by the current investigation.

Indeed, it is the government's burden to show that any proposed contribution to the library foundation was *specifically* intended to influence President Clinton. Though the government need not prove that an actual payment was made, *see, e.g., United States v. Jacobs*, 431 F.2d 754, 760 (2d Cir. 1970), especially on a conspiracy theory of proof, *see, e.g., United States v. Manton*, 107 F.2d 834, 838 (2d Cir. 1939), it still must prove an agreement to bribe or pay an illegal gratuity to influence the President. And that showing cannot be made on the sort of innuendo and cryptic conversations alleged to have occurred here. Indeed, if reported decisions from the Second Circuit and the Southern District of New York are any guide, bribery charges are uniformly premised on *some* direct evidence — such as undercover video or audio recordings — of a specific intent to illegally influence a public official.⁵² But here the government has no credible evidence (direct or indirect) that Marc Rich ever knew of any such offer (legal or illegal) to influence a public official, let alone that he made such an offer.

Finally, whatever evidence exists is fatally crippled by the disputed credibility of [redacted] the prosecution. To satisfy the "public official" element, the government must prove that Mr. Rich intended to make illegal offers to President Clinton, by demonstrating (a) that Mr. Rich authorized [redacted] a multi-million dollar contribution to the Clinton library foundation, and (b) that Mr. Rich intended for [redacted] to credibly relay such an offer to President Clinton. For a number of obvious reasons, neither proposition is factually sound.

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⁵² *See, e.g., Alfisi*, 308 F.3d at 148 (undercover recordings support government theory of illegal gratuities and kickbacks); *United States v. Guzman*, 282 F.3d 177, 179 (2d Cir. 2002) (evidence in bribery prosecution included direct payments to undercover agent); *United States v. Manas*, 272 F.3d 159, 163 (2d Cir. 2001) (in prosecution for bribery and other crimes "[t]he government presented, among other evidence, taped recordings of numerous conversations involving the co-defendants and the testimony of the undercover agent and defendant"); *United States v. Dhinsa*, 243 F.3d 635, 661–62 (2d Cir. 2001) (bribery conviction on "overwhelming evidence" including the direct testimony of government inspector who received illegal payments); *United States v. Russo*, 110 F.3d 948, 951 (2d Cir. 1997) (conspiracy to commit bribery charge supported by videotapes and recordings of meetings with undercover agents); *Cousin v. Office of Thrift Supervision*, 73 F.3d 1242, 1245–46 (2d Cir. 1996) (direct offer and payment made to government official in the course of undercover sting operation). The government might point to *United States v. Gallo*, 863 F.2d 185, 189 (2d Cir. 1988), which affirmed a bribery conviction on circumstantial evidence, including an offer of "payment of money to a 'contact in Washington,'" and evidence that the defendants "talked in codes" and "covered their 'paper trail.'" But the circumstantial evidence in *Gallo* is light years removed from anything alleged here. *Gallo* arose from the investigation of the Gambino racketeering enterprise, and included direct electronic surveillance, phone recordings, and the testimony of an accomplice who detailed the defendant's participation in an intricate bribery scheme. *See id.* at 187–88. No such evidence could be presented here.

First, the core allegation in this case is simply not credible. Sophisticated individuals like Marc Rich and a former Mossad agent like [redacted] would not concoct a scheme to bribe the President of the United States with multi-million dollar contributions to a presidential foundation which are recorded as a matter of public record. A contribution in the amount of several millions of dollars would attract obvious scrutiny, and if these individuals contemplated a payment they believed was corrupt, they most assuredly would propose a more inventive and secretive scheme. It goes without saying, moreover, that Messrs. Rich and [redacted] would vigorously contest such far-fetched allegations.

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Second, the sustained, almost frantic efforts to gain support for the pardon including the final discussions between former President Clinton and [redacted] are all inconsistent with the notion that the "fix was in."

Third, the bribery theory is wholly contradicted by both the President's strong affirmation that he made the pardon decision on the merits, as well as the support for the pardon by Deputy Attorney General Holder and various world leaders.

Fourth, there is apparently no evidence of Mr. Rich's knowledge and involvement in the alleged offer, let alone that he authorized or approved it. It is elementary that Mr. Rich cannot be convicted of bribery unless he "expressed an ability and desire to pay" the alleged bribe. *United States v. Jacobs*, 431 F.2d 754, 760 (2d Cir. 1970); see also *United States v. Shulman*, 624 F.2d 384, 387 (2d Cir. 1980) (holding that "acts of preparation" to establish the willingness of a public official to accept a bribe cannot make out an offense); *id.* ("Only after Shulman authorized the payment of a bribe . . . was the actual offer of a bribe completed.") (emphasis added). Accordingly, because there is no credible evidence that Mr. Rich ever authorized or desired the payment of a bribe — whether before or after [redacted] spoke with [redacted] — there is no basis for bringing this case.⁵³

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Fifth, the proposed prosecution is based on evidence that does not meet the minimum level of sufficiency required to warrant prosecution of a bribery case. Department of Justice guidance provides that "a Federal prosecutor should not be satisfied with merely developing witnesses to public corruption. These witnesses must be corroborated in every way possible, to overcome the very real presumption of innocence a jury will likely afford to a defendant/public official." [redacted] Public Integrity Section Criminal Division, U.S. Department of Justice, *The Use of the Undercover Technique in Corruption Investigations, in Prosecution of Public Corruption Cases*, at 103 (1988). "If a case rests on the uncorroborated testimony of a bribe payer, the case will probably be lost." [redacted] Public Integrity Section Criminal Division, U.S. Department of Justice, *Common Defenses, in Prosecution of Public Corruption Cases*, at 231 (1988). Thus, as the case law confirms,

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⁵³ Moreover, even in the event that the alleged offer could implicate Mr. Rich, for reasons discussed in detail below, [redacted] statements are not admissible against Mr. Rich. See *infra*, at 36-37.

evidence sufficient to support a bribery case typically includes taped conversations in which the proposed payment is discussed, as well as some evidence of receipt of corrupt payments in accounts traced to a public official. There is simply no corroboration, documentary or otherwise, for the core allegation in this case.

In short, with no credible evidence that offers of any kind were made or intended to be made to any public official, or to influence any public official, the government could not meet its burden under the "public official" element of federal bribery statute.

2. The "Anything of Value" Element Is Not Met

The phrase "anything of value" is used throughout Title 18, and has been broadly construed to include tangible as well as intangible things. *See, e.g., United States v. Girard*, 601 F.2d 69, 71 (2d Cir. 1979). The phrase has also been read to focus not on commercial value, but on the worth (or "value") that the intended recipient attaches to the offered "thing." *See, e.g., United States v. Williams*, 705 F.2d 603, 622-23 (2d Cir. 1983). Even under this broad construction, however, the government still could not prove this element on the facts alleged.

a. "Anything of Value" Under Subsection (c)

The "anything of value" element forecloses any possibility of prosecuting Marc Rich under the illegal gratuity provision of Section 201. For even if we assume that donations were made to the Clinton Library Foundation, and that such donations were made to influence President Clinton, the element *still* is not met. As the Department of Justice Manual explains, donation to a political party or campaign committee cannot make out an illegal gratuity charge. *See* Dep't of Justice Manual No. 2043 (discussing *Brewster*, 506 U.S. at 67-68). And donations to a presidential library foundation warrant the same result.

That is because the Clinton Library (no less than the Democratic National Committee) is an *entity*, not a *public official*, and donations to *entities* are not chargeable as illegal gratuities. For the government to pursue an illegal gratuity charge, thus, it must read "or entity" into the phrase "to any public official, former public official, or person selected to be a public official." 18 U.S.C. § 201(c). But no court would accept that construction, because Congress in § 201(c) specifically removed the phrase "other person or entity," which is included in the parallel bribery provision, § 201(b). *See, e.g., Barnhart v. Sigmon Coal Co.*, 534 U.S. 438, 452 (2002) ("[W]hen Congress includes particular language in one section of a statute but omits it in another section of the same Act, it is generally presumed that Congress acts intentionally and purposely in the disparate inclusion or exclusion.") (internal quotations and citations omitted).

Therefore, any prosecution under § 201(c) is not only factually untenable — it is *textually foreclosed*. The donations alleged to have been offered here are donations to *entities*, and donations to *entities* cannot be illegal gratuities.

b. "Anything of Value" Under Subsection (b)

It is an open question whether the government could make out the "anything of value" element to support a bribery charge. But we submit that the element would be strictly construed *against* the government. No reported case holds (or even suggests) that donations to an independent non-profit organization such as a presidential library are sufficient to make out a bribery case. Therefore, any such construction here would require the unprecedented conclusion that donations to independent third-party entities are chargeable as bribes. There is no reason to think that a court would accept such a novel construction for the first time in a case of this magnitude, with the many constitutional and prudential considerations that compel a narrow reading.

The government's best case on this score is *United States v. Williams*, 705 F.2d 603, 622-23 (2d Cir. 1983), which held that the "value" of a bribe is to be subjectively measured from the standpoint of the public official for whom the bribe is offered or given. The panel there affirmed the conviction of a United States Senator who received worthless stock in exchange for an official act on the ground that the Senator believed the stock to be valuable when the bribe was consummated. See 705 F.2d at 623; see also *United States v. Gorman*, 807 F.2d 1299, 1305 (6th Cir. 1986) ("[T]he focus of the above term is to be placed on the value which the [recipient] subjectively attaches to the items received."). That holding is clearly correct — a public official should not escape liability simply because the stock market plummets on the eve of cashing in a bribe. But it does not apply here.

Williams was a classic bribery case. The defendant was a public official who expected to receive considerable personal value in direct exchange for a promise to perform an official act. See *Williams*, 705 F.2d at 622 ("When the Senator received shares of stock . . . he expected these shares to have considerable value, representing not only the potential value of the properties but also the benefit of the contemplated loan of \$100 million."). This case is entirely different, because (among many other things) no such value inures from donations to an independent nonprofit entity. Even if it did, moreover, nothing in *Williams* addresses whether a donation to an independent third-party entity (let alone a *nonprofit* entity) might trigger liability under § 201(b). In fact, few reported cases address the "thing of value" element at all, presumably because bribery prosecutions are more clear cut, and rest on better evidence, than the sort of evidence alleged here.⁵⁴

⁵⁴ If the United States Code Annotated can be relied upon, "anything of value" under Section 201 has been the subject of judicial construction in only four cases. The 2000 edition of the U.S.C.A. lists *United States v. Gorman*, 807 F.2d 1299 (6th Cir. 1986); *United States v. Williams*, 705 F.2d 603 (2d Cir. 1983); *United States v. Williams*, 7 F. Supp. 2d 40 (D.D.C. 1998); and *United States v. Sun-Diamond Growers*, 941 F. Supp. 1262 (D.D.C. 1996). Only two of these cases (*Williams* (2d Cir.)) and (*Gorman* (6th Cir.)) involved the scope of "anything of value" under Section 201(b), and the other two cases have either been vacated, *United States v. Williams*, 240 F.3d 35 (D.C. Cir. 2001), (Continued...)

The government may argue that because intangibles (such as sex, employment, or companionship) are things of value under other criminal provisions, *see, e.g., United States v. Nilson*, 967 F.2d 539, 542 (11th Cir. 1992) ("Congress' frequent use of 'thing of value' in various criminal statutes has evolved the phrase into a term of art which the courts generally construe to envelope tangibles as well as intangibles.") (construing 18 U.S.C. § 876), then donations to a nonprofit entity must be things of value under § 201(b), so long as they are offered with an intent to influence the conduct of a public official. This argument has some textual support under the "any other person or entity" prong of § 201(b), but it ultimately fails because it stretches the statute well beyond its accepted meaning.

We have found only one reported case that addressed whether bribery charges could be brought where (as here) the benefit of the "thing of value" accrued entirely to an independent third-party entity. In that case, a New Jersey appellate court construing a statute similar to § 201(b) affirmed a bribery conviction of a town zoning official who in consideration for a zoning permit accepted a \$500,000 donation to a parochial school. *See New Jersey v. Schenkolewski*, 693 A.2d 1173, 1185-86 (N.J. Super. Ct. App. Div. 1997). But Mr. Schenkolewski was the *head* of the parochial school, he received a salary from the school; and he had full control over its funds. President Clinton has no such relationship to his presidential foundation, which is an independent non-profit entity organized under Section 501(c)(3) of the Internal Revenue Code.

Moreover, while subsequent legislative materials are not generally relevant to the interpretation of prior enactments, it is interesting that the United States Senate in 1991 refused to recognize that § 201(b) criminalizes donations to independent third party entities. In the context of the [redacted] Five investigation, the Senate's Select Committee on Ethics concluded that vast donations by [redacted] and others to tax exempt organizations could not make out a bribery offense, even where such donations were "substantially linked" to a Senator's official acts. *See Final Report of The Select Committee on Ethics, Investigation of Senator Alan Cranston*, 137 Cong. Rec. S17175 (Nov. 20, 1991). Significantly, the Committee treated donations to non-profit entities no differently than donations to campaign committees, the latter of which trigger heightened proof standards and thus cannot support a bribery charge without strong evidence of a *quid pro quo*. *See, e.g., McCormick v. United States*, 500 U.S. 257, 271 (1991).

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It therefore appears that although the "anything of value" element of Section 201(b) is generously construed in the typical case, the government here must break new ground to support a theory that donations to an independent nonprofit entity are chargeable to the donor as an

or reversed, *United States v. Sun-Diamond Growers*, 526 U.S. 398 (1999). Our research has not uncovered other reported decisions discussing this element, which underscores the lack of judicial guidance on whether the element reaches the facts alleged here. Indeed, the proper construction of "anything of value" under § 201(b) is but one of the many questions of first impression that this case would unavoidably trigger.

attempted bribe. Because this intensely high profile case is constitutionally suspect to begin with, involves no direct evidence of wrongdoing, and prompts inevitable political controversy, it is simply not the place to test such novel legal theories.⁵⁵

3. The "Official Act" Element Is Not Met

An "official act" for the purposes of Section 201 (b) and (c) is defined as follows:

[A]ny decision or action on any question, matter, cause, suit, proceeding or controversy, which may at any time be pending, or which may by law be brought before any public official, in such official's official capacity, or in such official's place of trust or profit.

18 U.S.C. § 201(a)(3). This definition has also been broadly construed. *See, e.g., United States v. Parker*, 133 F.3d 322, 326 (5th Cir. 1998) ("This broad definition of 'official act' reflects Congress' intent to 'include any decision or action taken by a public official in his capacity as such.'" (quoting S. Rep. No. 87-2213 (1962))); *United States v. Biaggi*, 853 F.2d 89, 97-98 (2d Cir. 1988) (discussing *United States v. Birdsell*, 233 U.S. 223 (1914)). But whether Congress intended the definition to reach the legally-unlimited presidential pardon power is an open question, to which the answer is probably no.

The Supreme Court has described a presidential pardon as "the 'private' act, the 'private deed' of the executive magistrate." *Burdick v. United States*, 236 U.S. 79, 88, 90 (1915) (citing *United States v. Wilson*, 32 U.S. (7 Pet.) 150 (1833) (Marshall, C.J.)). *But see Wilson*, 32 U.S. at 160-61 (describing a pardon "the private, though official, act of the magistrate") (this sentence was not cited in *Burdick*). And there is no evidence that Congress intended a presidential pardon to be an "official act" for purposes of prosecution under the bribery statute.

Indeed, the singular nature of the pardon power — a power immune from any oversight or scrutiny — raises serious doubt as to whether Congress would (or could) have intended to sanction criminal investigations into matters relating to presidential pardons without explicitly saying so. Nothing in the legislative history of § 201 or its predecessor provisions, nor any

⁵⁵ Indeed, even if such a theory would suffice in the *typical* bribery case, there remains the open question whether the unique context of this case calls for more stringent rules of construction. The Supreme Court has construed the text of § 201(c) with precision in the context of campaign contributions, *Sun-Diamond Growers*, 526 U.S. at 412, where the only competing value is the normal give and take of the political process. That value is equally present here, and it is significantly amplified by the unprecedented constitutional context of a bribery prosecution arising from a presidential pardon. It is thus doubtful whether the government could count on the liberal rules of construction that might govern in a more-conventional bribery case where the chargeable offer involved donations to an independent third-party entity.

reported case of which we are aware, discusses the prospect of a bribery prosecution arising from a presidential pardon. And no court has ever interpreted *any* law to permit inquiry into the pardon process for any reason. This would be the first.

The government may argue that by broadly drafting the federal bribery provisions, Congress intended to proscribe private individuals from seeking undue influence through gifts or promises of financial reward, even in the course of seeking a pardon. But broad statutory language merely creates the interpretive problem — it does not resolve it. No matter that an interpretation seems sensible to the government, the fact remains that no court has *ever* read a statute (any statute) to alter or abridge in any way the President's uniquely unfettered prerogative to grant pardons.⁵⁶

In short, the power to pardon is the personal privilege of the President, immune from oversight by anyone other than the public at large; and even then only indirectly, either at the polls or in the history books. Unlike official powers that are held in public trust, the constitutional pardon power has historically been understood as authorizing individual acts of grace irrespective of public sentiment, often in the face of public controversy and uproar. Accordingly, if Congress intended for a general bribery statute to create the first ever mechanism for official scrutiny of presidential pardons, it surely would have spoken with a more direct voice than simply extending the sanction generally to conduct involving "an official act."⁵⁷

⁵⁶ It is significant on this score that the practice of granting pardons for money was a well-known aspect of the King's power under English common law, *see, e.g.*, 3 U.S. Dep't of Justice, *The Attorney General's Survey of Release Procedures* 30 (1939) ("There was apparently no disgrace in the offer of a sum of money to the King or to other persons [in return for a pardon]."); Daniel T. Kobil, *The Quality of Mercy Strained: Wrestling the Pardoning Power from the King*, 69 Tex. L. Rev. 569, 588 (1991) ("[In England] even the outright sale of pardons was commonplace"), and the Supreme Court has repeatedly and consistently held that the Constitution vests American Presidents with clemency powers equivalent to those possessed by the English King, *see, e.g.*, *Schick v. Reed*, 419 U.S. 256, 261 (1974); *Ex parte Wells*, 59 U.S. (18 How.) 307, 309 (1855); *United States v. Wilson*, 32 U.S. (7 Pet.) 150, 160 (1833) (Marshall, C.J.). On what authority, thus, could Congress extend the federal bribery statute to *criminalize* even the most egregious examples of payment in specific exchange for a presidential pardon? We submit that there is no such authority, absent an amendment to the Constitution.

⁵⁷ The government must also consider that even if a pardon is an official act under § 201, the sort of evidence available to prove that a pardon-petitioner sought to criminally influence the pardon process will be restricted. An apt analogy is to the Constitution's Speech and Debate Clause, Art. I, § 6, cl. 1, which does not shield Senators and Representatives against bribery charges, *see, e.g.*, *United States v. Johnson*, 383 U.S. 169 (1966), but which does impose significant limits on the type of evidence that can be used to prove that offense, *see, e.g.*, *United States v. Helstoski*, 442 U.S. 477 (1979) (reversing
(Continued...)

4. The "Intent and Causal Connection" Elements Are Not Met

a. Intent Under Section 201(b)

The Second Circuit recently summarized the "corrupt" intent requirement of the bribery provision:

The "corrupt" intent necessary to a bribery conviction is in the nature of a *quid pro quo* requirement; that is, there must be "a specific intent to give . . . something of value *in exchange* for an official act." Putting it only slightly differently, bribery involves the giving of value to procure a specific official action from a public official.

conviction of congressman where evidence touched upon constitutional prerogatives of the legislative branch). See also *United States v. Durenburger*, No. CRIM 3-93 65, 1993 WL 738477, at *1 (D. Minn. Dec. 3, 1993) (bribery indictment dismissed where grand jury evidence improperly revealed "information about the performance of legislative acts and the legislator's motivation in conducting official duties") (quoting *Helstoski*, 442 U.S. at 489); Dep't of Justice Manual No. 2046 ("[W]here an official of the Legislative branch is the intended recipient [of a bribe], the task of proving the 'official act' element can present prosecutors with unique challenges."). As the Supreme Court reasoned in *Helstoski*, "[t]he Speech and Debate Clause was designed neither to assure fair trials nor to avoid coercion. Rather, its purpose was to preserve the constitutional structure of separate, coequal, and independent branches of government." 442 U.S. at 491. Separation of powers principles being no less implicated here, see *supra*, at 11-15, a similar principle should exclude the admissibility of predecisional deliberations closely linked to the President's exercise of his pardon authority. A corollary matter is executive privilege, which President Clinton may invoke if called to testify in this case. The boundaries of this privilege are unclear, and as far as we are aware, entirely unknown in the context of pardon deliberations. See generally *United States v. Nixon*, 418 U.S. 683, 703-13 (1974); Mark J. Rozell, *Restoring Balance to the Debate Over Executive Privilege*, 8 Wm. & Mary Bill of Rights L.J. 541, 567 (2000) ("[T]here are no clear, precise constitutional boundaries that determine, a priori, whether any particular claim of executive privilege is legitimate. The resolution to the dilemma of executive privilege is found in the political ebb and flow of the separation of powers system."). What is relevant for present purposes, however, is that even if a court interprets the "official act" element as extending to presidential pardons, the singularity of the pardon power and the principles of divided powers will certainly restrict the evidence available to make out a bribery charge. Accordingly, because the evidence here is entirely circumstantial, opportunities for the government to prove its case, or for the pardoned-defendant to receive a fair trial, will be significantly impaired.

United States v. Alfisi, 308 F.3d 144, 149 (2d Cir. 2002) (quoting *Sun-Diamond Growers*, 526 U.S. at 404–05 (emphasis in original)); see also *United States v. Jennings*, 160 F.3d 1006, 1013 (4th Cir. 1998) (“Under § 201, ‘corrupt intent’ is the intent to receive a specific benefit in return for the payment. In other words, the payor of a bribe must intend to engage in some more or less specific *quid pro quo* with the official who receives the payment”) (citing *United States v. Irwin*, 354 F.2d 192, 197 (2d Cir. 1965)) (quotations and other citations omitted); Dep’t of Justice Manual No. 2044 (“[T]he bribery statute requires proof of an actual or intended *quid pro quo*: one thing in exchange for another”). For the government to establish this element, therefore, it must prove that Marc Rich specifically intended to offer the President a thing of value and to secure a presidential pardon in return for the offer. As we have already explained, the evidence required to make that showing is sorely lacking here.

b. Intent Under Section 201(c)

Finally, under Section 201(c), which does not require *quid pro quo*, the government still must prove a direct nexus between the gratuity and some official act. This is because the provision only prohibits gratuities given or offered “for or because of any official act performed or to be performed.” 18 U.S.C. § 201(c) (emphasis added); see, e.g., *Sun-Diamond Growers*, 526 U.S. at 414 (“[T]he Government must prove a link between a thing of value conferred upon a public official and a specific ‘official act’ for or because of which it was given.”). Therefore, even if the government could prove that a donation to an independent entity qualified as a “thing of value” under the illegal gratuity provision — an argument that is textually foreclosed, see *supra* at 29–30 — the government still must prove that such donations were to be offered “for or because of” the pardon by the President. Again, as demonstrated repeatedly in this submission, there is no such provable evidence here.

* * *

At bottom, the weaknesses in the proposed charges are replete and glaring. *First*, even if we assume that all of the government’s evidence would be admissible, there is no credible evidence that Marc Rich or any of his associates offered or intended to offer anything of value to any public official. *Second*, donations to an entity like a presidential library foundation are not chargeable as illegal gratuities. *Third*, the government’s theory that such donations may be chargeable as a bribe is unprecedented and unlikely to succeed. *Fourth*, even assuming such donations were offered (an assumption resting on testimony of one witness with dubious credibility), and that such offers were made with the specific intent to influence the President (an assumption resting on no evidence whatsoever), the government’s case would still rest entirely on a series of untested legal theories and weak circumstantial evidence. For all of these reasons, the contemplated charges are unsupportable and there is no basis for proceeding with this case.

D. The Government’s “Best” Evidence Is Not Admissible Against Marc Rich

This submission has assumed all along that the government could present its best circumstantial case against Marc Rich. For even on that assumption, the government still lacks any constitutional or factual basis for going forward with this case. Yet that assumption is wrong. The strongest circumstantial evidence is not admissible against Marc Rich.

The government rests much of its case on the alleged hearsay statements of Mr. Azulay, which purport to show that an offer was in the works and that that offer was intended to be relayed to President Clinton. Putting aside obvious problems of credibility and logic, these statements are admissible against Marc Rich *only* if they fall within the co-conspirator exception to the hearsay rule. The governing rule is Federal Rule of Evidence 801(d)(2)(E), which provides that an out-of-court statement "by a coconspirator of a party during the course and in furtherance of the conspiracy" is not hearsay, even when offered to prove the truth of the matter asserted. See *Bourjaily v. United States*, 483 U.S. 171, 173 (1987). To lay a proper foundation under this rule, the government must prove "by a preponderance of the evidence first, that a conspiracy existed that included the defendant and the declarant; and second, that the statement was made during the course of and in furtherance of the conspiracy." *United States v. Padilla*, 203 F.3d 156, 161 (2d Cir. 2000) (quotations and citation omitted).

The question here is whether a foundation can be laid to prove Marc Rich's participation in a conspiracy to bribe the President of the United States in exchange for a pardon. The answer is no, because other than the alleged co-conspirator hearsay statements themselves, there exists no independent evidence of such a conspiracy, let alone that Marc Rich was a participant. See, e.g., *United States v. Diaz*, 176 F.3d 52, 83 (2d Cir. 1999) ("[Co-conspirator] hearsay statements are presumptively unreliable, and, for such statements to be admissible, there must be *some independent corroborating evidence* of the defendant's participation in the conspiracy."). (emphasis added; quoting *United States v. Tellier*, 83 F.3d 578, 580 (2d Cir. 1996)); *United States v. Gigante*, 166 F.3d 75, 82 (2d Cir. 1999) (same) (quoting *Tellier*, 83 F.3d at 580)); *United States v. Clark*, 18 F.3d 1337, 1341-42 (6th Cir. 1994) ("Since *Bourjaily*, all circuits addressing the issue have explicitly held absent *some* independent, corroborating evidence of defendant's knowledge of and participation in the conspiracy, the out-of-court statements remain inadmissible.") (emphasis in original; citations omitted).⁵⁸

To conceivably claim otherwise, the government must argue that because [redacted] offered [redacted] a multi-million dollar donation, and because only Marc Rich had the resources to fund such a donation, Marc Rich must be implicated in the conspiracy. No authority supports the view, however, that statements by one individual to employ resources illegally can implicate the owner of the resources in a conspiracy.

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Indeed, in *United States v. Gigante*, 166 F.3d 75, 83 (2d Cir. 1999), the court held that a mafia boss was "necessarily involved" in a murder conspiracy because he was required by virtue of his position to authorize the murder. But to the extent that holding applies here, it supports Marc Rich. First, the *Gigante* court found "substantial corroborating evidence" of the

⁵⁸ Circumstantial evidence of "idle chatter" or "merely narrative" descriptions by a conspirator do not generally meet the standards of admissibility under Rule 801(d)(2)(E). See *United States v. Beech-Nut Nutrition Corp.*, 871 F.2d 1181, 1199 (2d Cir. 1989) (citing *United States v. Lieberman*, 637 F.2d 95, 103 (2d Cir. 1980)); *United States v. Heinemann*, 801 F.2d 86, 95 (2d Cir. 1986).

defendant's participation in the conspiracy. *See id.* at 83 ("There was substantial direct and circumstantial evidence connecting Gigante to each of the crimes for which he was convicted."). There is no such evidence here. *Second*, the court *rejected* the admissibility of co-conspirator statements that suggested no crime would be committed without the defendant's explicit permission. *See id.* Similarly here, even assuming that [] thought a substantial contribution to the Clinton Library might influence the President's decision on the merits of the petition, there is no credible evidence that Marc Rich authorized [] to extend any such offer. *See, e.g., United States v. Shulman*, 624 F.2d 384, 387 (2d Cir. 1980) (holding that "the actual offer of a bribe" is completed only if the principal has "authorized the payment of a bribe"). To the contrary, according to the government's evidence, where money was to be transferred to [] (the G&P Foundation payment) the evidence shows that the parties required a legitimate arrangement committed to writing.

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In sum, without independent corroborating evidence of a conspiracy to bribe the President, and of Marc Rich's participation in such a conspiracy, the alleged statements of [] are inadmissible against Marc Rich. We respectfully submit that because no credible evidence supports either finding, there is no basis for bringing the charges contemplated here, *even if every other legal and constitutional impediment could be overcome.*

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III. THE INTERESTS OF THIS OFFICE, THE PRESIDENCY, AND THE POLICIES OF THE DEPARTMENT OF JUSTICE MILITATE AGAINST BRINGING THIS CASE AGAINST MARC RICH

Strong prudential factors also militate against pressing charges here. This matter directly implicates President Clinton and the institutional integrity of the presidency; the pardon process itself is squarely at issue, and President Clinton is integral to any defense on the merits. Given the thin factual record and the numerous constitutional and statutory difficulties already discussed, carrying this case forward seriously risks the reputation and authority of the presidency itself.

A. The Testimony of President Clinton Is Central To This Case.

The testimony of President Clinton is integral to any defense on the merits and would be required if this case reached the trial stage. The Sixth Amendment provides that "the accused shall enjoy the right . . . to have compulsory process for obtaining witnesses in his favor." Because this right is an essential attribute of the adversary system itself, the Supreme Court has repeatedly declared that "[f]ew rights are more fundamental than that of an accused to present witnesses in his own defense." *Chambers v. Mississippi*, 410 U.S. 284, 302 (1973).⁵⁹ It is

⁵⁹ *See also Taylor v. Illinois*, 484 U.S. 400, 409 (1988) ("The right to compel a witness' presence in the courtroom could not protect the integrity of the adversary process if it did not embrace the right to have the witness' testimony heard by the trier of fact."); *Washington v. Texas*, 388 U.S. 14, 20 (1967) ("[T]he Framers of the Constitution felt it necessary specifically to provide that defendants in criminal cases should be provided the

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settled, thus, that if a criminal defendant makes "some plausible showing" that a witness is "material and favorable to his defense," the jury must hear testimony from that witness for a trial to comport with due process and the Sixth Amendment. *United States v. Valenzuela-Bernal*, 458 U.S. 858, 867 (1981); see also *Pennsylvania v. Ritchie*, 480 U.S. 39, 56 (1987) ("[C]riminal defendants have the right . . . to put before a jury evidence that *might influence the determination of guilt.*"). (emphasis added).

President's Clinton's testimony clearly meets that standard. He has said:

The suggestion that I granted the pardons because Mr. Rich's [redacted] made political contributions and contributed to the Clinton Library foundation is *utterly false*. There was absolutely no *quid pro quo*. Indeed, other friends and financial supporters sought pardons in cases which, after careful consideration based on the information available to me, I determined I could not grant.

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William J. Clinton, *My Reasons For The Pardons*, N.Y. Times, Feb. 18, 2001 (emphasis added). He has further set forth at least eight independent reasons for granting the Rich and Green pardons on the merits, and declared that his decision "was in the best interests of justice." *Id.* He concluded:

If the two men were wrongly indicted in the first place, justice has been done. On the other hand, if they do personally owe money for Energy Department penalties, unpaid taxes or civil fines, they can now be sued civilly, as others in their position apparently were

Id. These statements and others go to the heart of any defense on the merits. They support the defense theory that the Rich pardon is not only fully consistent with other pardons by other Presidents, but also exemplify why it is that Presidents possess an unfettered pardon power to begin with, i.e., to prevent or temper a perceived miscarriage of justice. Viewed thusly, the pardons were rightfully pursued, consistent with the Constitution, and decided on the merits.

The government is thus mistaken if it believes that this matter could be prosecuted without implicating Bill Clinton or the presidential pardon process. To the contrary, the former President's testimony is a necessary condition of a constitutionally fair trial. There are three consequences to this fact. *First*, it would unleash a media circus with attention focusing (fairly or unfairly) on the implicit and explosive allegation that former President Clinton granted a pardon in exchange for a bribe. *Second*, it would require the President to divulge inner-most deliberations with staff on pardon matters, thus necessarily implicating executive privilege. If privilege matters could not be overcome, the defense would be denied needed information, and the prosecution could not go forward. If privilege matters could be overcome, this case would

means of obtaining witnesses so that their own evidence, as well as the prosecution's, might be evaluated by the jury.").

represent an unprecedented intrusion by the judiciary into a purely executive function. *Third*, any trial involving the testimony of the former President, combined with other prominent potential witnesses for the defense, including Messrs. Garment, Libby, Ginsburg, Wolfman, and [redacted] would realistically doom any chance that this prosecution would succeed on the merits.

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CONCLUSION

For all the reasons discussed, we respectfully submit that the proposed case against Marc Rich is untenable on a number of grounds. It is unsupported by the factual record. It is an unprecedented and unreasonable application of the Federal bribery laws. And it would be the first time in the history of the United States that criminal charges arose from the process by which an individual sought a presidential pardon. In short, the case should not be brought and this investigation should be brought to a close.

R [redacted] fully submitted, [redacted]

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(File No.) 58A-NY-278417

{File No.}

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File Number

~~58A-NV-278417-1A69~~

Field Office Acquiring Evidence

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Serial # of Originating Document

419

Date Received

7/21/04

From

(Address)

US Embassy - Kingston, Jamaica

(City and State)

By

To Be Returned ☐ Yes☒ NoReceipt Given ☐ Yes☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e)

Federal Rules of Criminal Procedure

☐ Yes☒ No

Federal Taxpayer Information (FTI)

☐ Yes☒ No

Title:

UNSUB(s)
William J. Clinton - former U.S. President,
ET AL
CFPO - Executive Branch,
OO: NY

Reference:

(Communication Enclosing Material)

Description:

☐ Original notes re interview ofb6
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File Number

58A-NY-278417-1A70

Field Office Acquiring Evidence

NY

Serial # of Originating Document

423

Date Received

9/22/04

From

AUSA

(Name of Contributor/Interviewee)

SONY

(Address)

(City and State)

By

To Be Returned ☐ Yes☒ NoReceipt Given ☐ Yes☒ NoGrand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure☐ Yes☒ No

Federal Taxpayer Information (FTI)

☐ Yes☒ No

Title:

MARC RICH PAROON INVESTIGATION

Reference:

(Communication Enclosing Material)

Description:

☐ Original notes re interview of

cover letter and documents

received from

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FD-340b (Rev. 2-5-93)

(CLOSED) - 1A71

Universal Case File Number 58ANY278419

Field Office Acquiring Evidence NY

Serial # of Originating Document _____

Date Received MAY 16 2007

From _____

(Name of Contributor)

(Address of Contributor)

By _____

(Name of Special Agent)

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant
to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☒ No

Title: _____

ET AL;
DO: NY

Reference: _____

(Communication Enclosing Material)

Description: ☐ Original notes re interview of _____

RECEIPT OF EVIDENCE TRANSFER
TO SDNY.

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[Redacted]

Date Property Acquired: Source from which Property Acquired:

[Redacted]

Anticipated Disposition: Acquired By: Case Agent:

[Redacted]

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Description of Property:

Date Entered

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Barcode: E02083497 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/30/2001

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[Redacted]

Date Property Acquired: Source from which Property Acquired:

[Redacted]

Anticipated Disposition: Acquired By: Case Agent:

[Redacted]

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Description of Property:

Date Entered

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Barcode: E02083498 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

[Redacted]

Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083499 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/30/2001

58A-NY-278417

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

Barcode: E02083500 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/30/2001

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[Redacted]

Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083501 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/30/2001

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083407 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083408 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[Redacted]

Date Property Acquired: Source from which Property Acquired:

[Redacted]

Anticipated Disposition: Acquired By: Case Agent:

[Redacted]

Description of Property:

Date Entered

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Barcode: E02083505 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083506 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083507 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

[Redacted]

Date Property Acquired: Source from which Property Acquired:

[Redacted]

Anticipated Disposition: Acquired By:

Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083544

Location: ECR8

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Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

Barcode: E02083545 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083546 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083547 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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58A-NY-278417- [Signature]

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

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Barcode: E02083548 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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58A-NY-278417

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083549 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

Barcode: E02083550 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
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Title and Character of Case:

CLINTON, WILLIAM, J

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By:

Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083551 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083552 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J


Date Property Acquired: Source from which Property Acquired:



Anticipated Disposition: Acquired By: Case Agent:



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Description of Property:




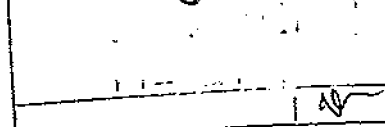

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Barcode: E02083553 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[Redacted]

Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083554 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[Redacted]

Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083555 Location: ECR8
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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

C-14

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Description of Property:

Date Entered

Barcode: E02083556 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Page 1

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CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083557 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By:

Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083558

Location: ECR8

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Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
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Page 1

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

Barcode: E02083559 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083560 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

[Redacted]

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Description of Property:

Date Entered

[Redacted]

Barcode: E02083561 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083562 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:
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Anticipated Disposition: Acquired By: Case Agent:
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Description of Property:

Date Entered

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Barcode: E02083563 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/02/2001

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01

Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083564

Location: ECR8

04/02/2001

Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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58A-NY-278417-1B

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01

Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By:

Case Agent:

Description of Property:

Date Entered

Barcode: E02083565

Location: ECR8

04/02/2001

Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By:

Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083566 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01

Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083567 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/02/2001

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01

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CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

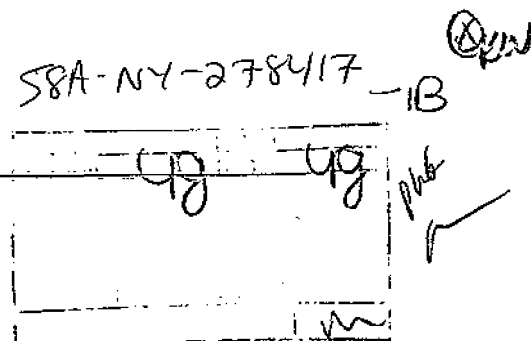
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Barcode: E02083509 Location: ECR8
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Case Number: 58A-NY-278417
Owning Office: NEW YORK



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ICMIPR01

Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

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Anticipated Disposition: Acquired By:

Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083568

Location: ECR8

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Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

[REDACTED]

Anticipated Disposition: Acquired By: Case Agent:

[REDACTED]

Description of Property:

Date Entered

[REDACTED]

Barcode: E02083510 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

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Date Property Acquired: Source from which Property Acquired:

[Redacted]

Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083569 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417
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Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083570 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083571 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/02/2001

Case Number: 58A-NY-278417
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Title and Character of Case:

CLINTON, WILLIAM, J

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By:

Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083572

Location: ECR8

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Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083573 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083574 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083575 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083576 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Owning Office: NEW YORK

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083577 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J


Date Property Acquired: Source from which Property Acquired:



Anticipated Disposition: Acquired By: Case Agent:



Description of Property:


Date Entered



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Barcode: E02083511 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083578 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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58A-NY-278417

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01

Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083579

Location: ECR8

04/02/2001

Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417

Owning Office: NEW YORK

58A-NY-278417-18

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083512 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/02/2001

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

[Redacted]

Date Property Acquired: Source from which Property Acquired:

[Redacted]

Anticipated Disposition: Acquired By:

Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083580 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/02/2001

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J

Date	Property Acquired:	Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

Barcode: E02083581 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/02/2001

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01

Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

Barcode: E02083582 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01

Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

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Barcode: 02083513 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/02/2001

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J


Date Property Acquired: Source from which Property Acquired:



Anticipated Disposition: Acquired By: Case Agent:



Description of Property:

Date Entered


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Barcode: E02083514 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/02/2001

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

Barcode: E02083515 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/02/2001

Case Number: 58A-NY-278417
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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083516 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/02/2001

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
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CLINTON, WILLIAM, J
[Redacted]

Date Property Acquired: Source from which Property Acquired:
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Anticipated Disposition: Acquired By: Case Agent:
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Description of Property:

Date Entered

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Barcode: E02083517 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

[Redacted]

Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083518 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
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Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083519 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083520 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083521 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
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Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

[Redacted]

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Description of Property:

Date Entered

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Barcode: E02083522 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Page 1

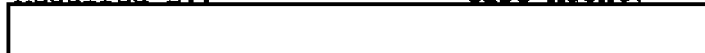
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CLINTON, WILLIAM, J


Date Property Acquired: Source from which Property Acquired:



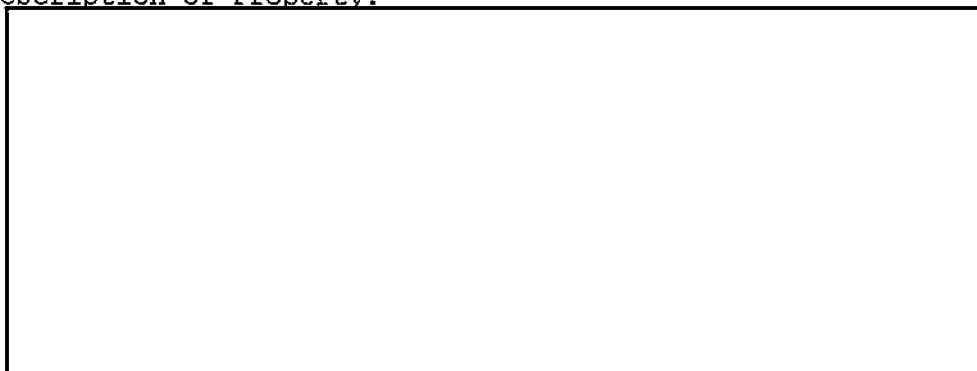
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Description of Property:

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


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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

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Barcode: E02083524 Location: ECR8
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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J
Date Property Acquired: Source from which Property Acquired:
Anticipated Disposition: Acquired By: Case Agent:
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b7C

Description of Property:

Date Entered

Barcode: E02083525 Location: ECR8

04/02/2001

Grand Jury Material (Disseminate only pursuant to Rule 6(e))

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417 - ①LW

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[Redacted]

Date Property Acquired: Source from which Property Acquired:

[Redacted]

Anticipated Disposition: Acquired By: Case Agent:

[Redacted]

Description of Property:

Date Entered

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Barcode: E02083526 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/02/2001

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[Redacted]

Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083527 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/02/2001

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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Title and Character of Case:

CLINTON, WILLIAM, J


Date Property Acquired: Source from which Property Acquired:



Anticipated Disposition: Acquired By: Case Agent:



Description of Property:

Date Entered




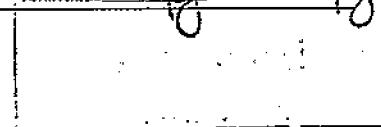
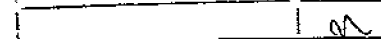
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Barcode: E02083528 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/02/2001

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J

[Redacted]

Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

[Redacted]

Description of Property:

Date Entered

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Barcode: E02083529 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083530 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083531 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:
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Anticipated Disposition: Acquired By: Case Agent:
[Redacted]

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Description of Property:

Date Entered

[Redacted]

Barcode: E02083532 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/02/2001

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083533 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083534 Location: ECR8
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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083535 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083536 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

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Barcode: E02083537 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Page 1

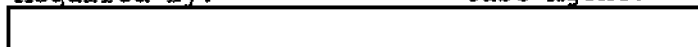
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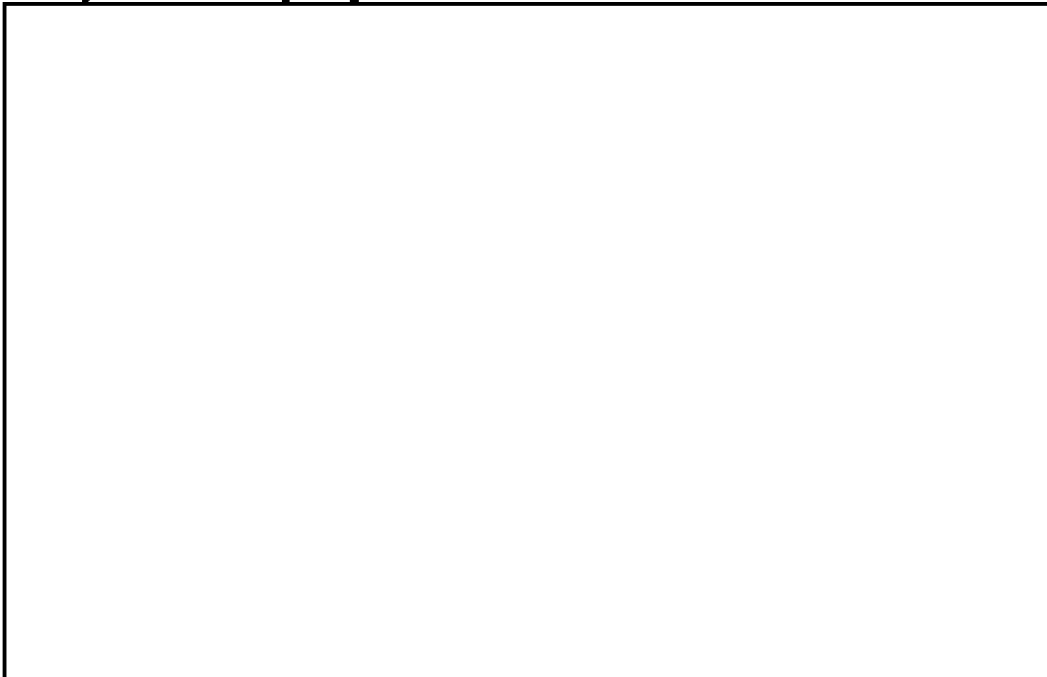


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Description of Property:

Date Entered

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Barcode: E02083616 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083538 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/02/2001

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083539 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083540 Location: ECR8
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Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

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Barcode: E02083617 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Page 1

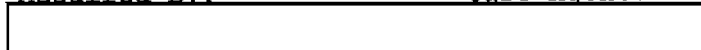
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CLINTON, WILLIAM, J


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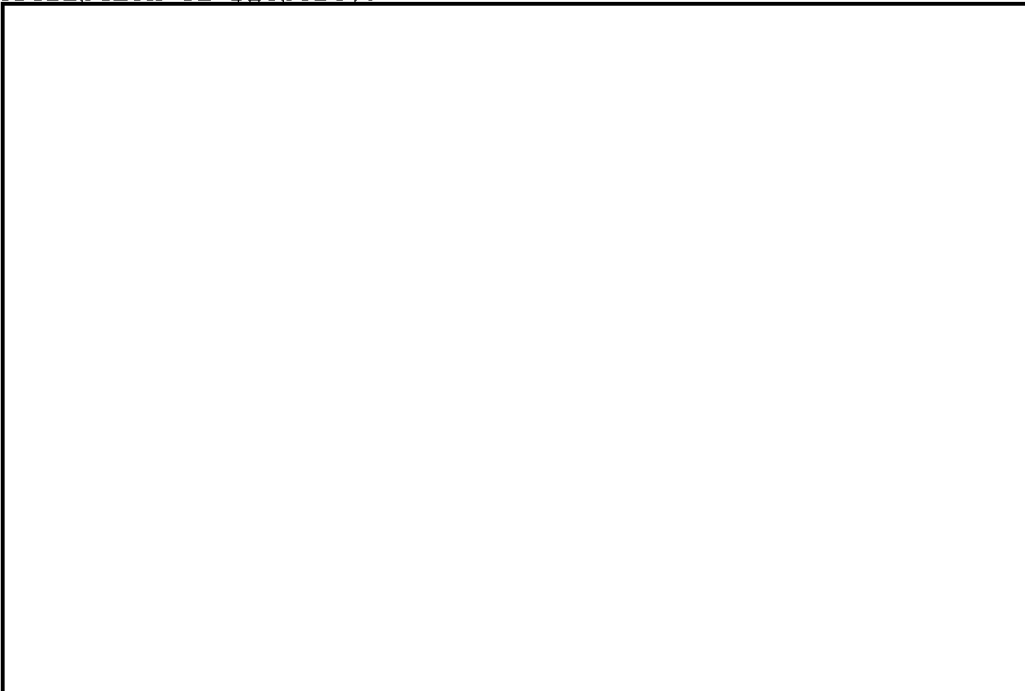
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Description of Property:

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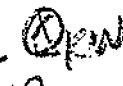







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Owning Office: NEW YORK

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083619 Location: ECR8
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Owning Office: NEW YORK

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ICMIPR01
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Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083620

Location: ECR8

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083667 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By:

Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083668 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Location: ECR8

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083645 Location: ECR8
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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

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Barcode: E02083646 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083647 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083648 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J


Date Property Acquired: Source from which Property Acquired:



Anticipated Disposition: Acquired By: Case Agent:



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Description of Property:

Date Entered



Barcode: E02083649 Location: ECR8
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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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CLINTON, WILLIAM, J
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Anticipated Disposition: Acquired By: Case Agent:
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Description of Property:

Date Entered

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Barcode: E02083594 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

[REDACTED]

Anticipated Disposition: Acquired By: Case Agent:

[REDACTED]

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Description of Property:

Date Entered

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Barcode: E02083596 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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CLINTON, WILLIAM, J

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

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Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
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Title and Character of Case:

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By:

Case Agent:

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Description of Property:

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Barcode: E02083756 Location: ECR8

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Title and Character of Case:

CLINTON, WILLIAM, J

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By:

Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083757 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/11/2001

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083758 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/11/2001

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By:

Case Agent:

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Description of Property:

Date Entered

Barcode: E02083759

Location: ECR8

04/12/2001

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

[REDACTED]

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Description of Property:

Date Entered

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Barcode: E02083760 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083761 Location: ECR8

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J


Date Property Acquired: Source from which Property Acquired:



Anticipated Disposition: Acquired By:

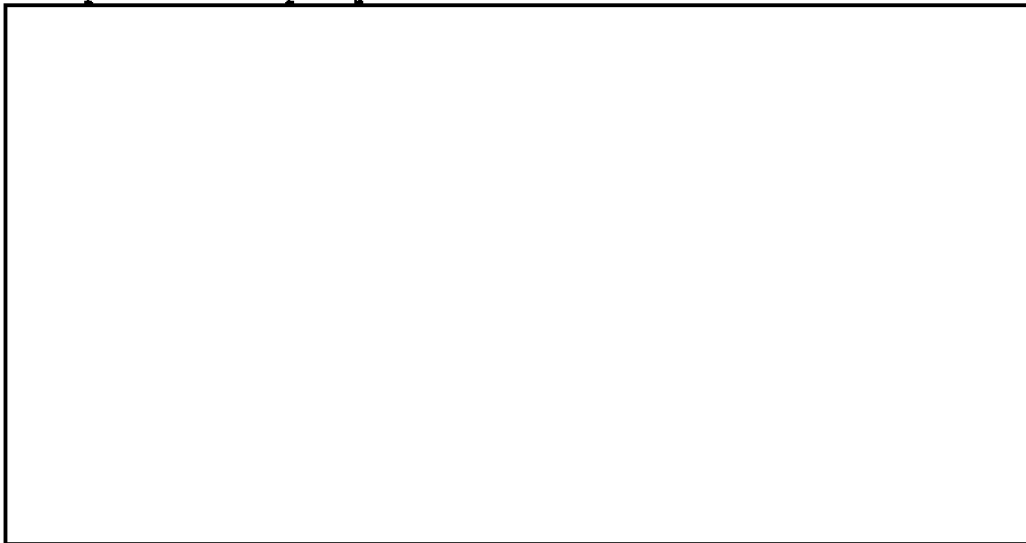
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Description of Property:

Date Entered



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Barcode: E02083804 Location: ECR8
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04/16/2001

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Case Number: 58A-NY-278417
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Date Property Acquired: Source from which Property Acquired:
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Anticipated Disposition: Acquired By: Case Agent:
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Description of Property:

Date Entered

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Barcode: E02083805 Location: ECR8
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Title and Character of Case:

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Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

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Barcode: E02083806 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/16/2001

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Case Number: 58A-NY-278417
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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083807 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

Barcode: E02083808 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
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Title and Character of Case:

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083809 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

04/16/2001

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Case Number: 58A-NY-278417
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

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Barcode: E02083810 Location: ECR8
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Case Number: 58A-NY-278417
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Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

Barcode: E02083811 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Title and Character of Case:

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Date Property Acquired: Source from which Property Acquired:
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Anticipated Disposition: Acquired By: Case Agent:
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Description of Property:

Date Entered

Barcode: E02083812 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
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Title and Character of Case:

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Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

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Barcode: E02083813 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
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Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083847 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083848 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J


Date Property Acquired: Source from which Property Acquired:



Anticipated Disposition: Acquired By: Case Agent:



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Description of Property:

Date Entered



Barcode: E02083849 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By:

Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083850 Location: ECR8

04/20/2001

Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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58A-NY-278417

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

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Barcode: E02083851 Location: ECR8
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Owning Office: NEW YORK

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Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:
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Anticipated Disposition: Acquired By: Case Agent:
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Description of Property:

Date Entered

Barcode: E02083852 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Case Number: 58A-NY-278417
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Anticipated Disposition: Acquired By: Case Agent:
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Description of Property:

Date Entered

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Barcode: E02083853 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Owning Office: NEW YORK

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Description of Property:

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Case Number: 58A-NY-278417
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Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02083917 Location: ECR8
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02083918 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J


Date Property Acquired: Source from which Property Acquired:



Anticipated Disposition: Acquired By: Case Agent:



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Description of Property:

Date Entered

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Barcode: E02083998 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:
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Anticipated Disposition: Acquired By: Case Agent:
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Description of Property:

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Barcode: E02083999 Location: ECR8
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Date Property Acquired: Source from which Property Acquired:



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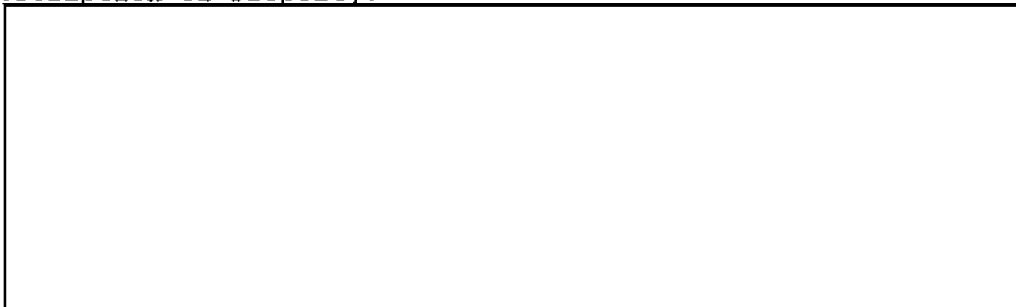
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Description of Property:

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Barcode: E02084000 Location: ECR8
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
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Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02084030 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Title and Character of Case:

CLINTON, WILLIAM, J

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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

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Date Entered

Barcode: E02084031 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02084032

Location: ECR8

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Case Number: 58A-NY-278417
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Date Property Acquired: Source from which Property Acquired:
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Anticipated Disposition: Acquired By: Case Agent:
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Description of Property:

Date Entered

Barcode: E02084032 Location: ECR8
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CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

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Description of Property:

Date Entered

Barcode: E02084436

Location: ECR8

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Title and Character of Case:

CLINTON, WILLIAM, J
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Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

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Description of Property:

Date Entered

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Barcode: E02084440 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

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58A-NY-278417

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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MAY 24 2001	
FBI — NEW YORK	
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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

[REDACTED]

b3
b6
b7C

Anticipated Disposition: Acquired By:

Case Agent:

[REDACTED]

C-14

Description of Property:

Date Entered

[REDACTED]

Barcode: E02084543 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

05/23/2001

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58A-NY-278417

SEARCHED _____	INDEXED _____
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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E02084829 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

06/25/2001

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58A-NY-278417

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Case Number: 58A-NY-278417

Owning Office: NEW YORK

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ICMIPR01

Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

b3
b6
b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E02084830 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

06/25/2001

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58A-NY-278417

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By:

Case Agent:

C-14

b3
b6
b7C

Description of Property:

Date Entered

Barcode: E02084831 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

06/25/2001

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01

Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

b3
b6
b7C

C-14

Description of Property:

Date Entered

Barcode: E02084832 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

06/25/2001

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58A-NY-278417

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E02084833 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

06/25/2001

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58A-NY-278417

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[Redacted]

Date Property Acquired: Source from which Property Acquired:

[Redacted]

b3
b6
b7C

Anticipated Disposition: Acquired By: Case Agent:

[Redacted]

C-14

Description of Property:

Date Entered

[Redacted]

Barcode: E02084834 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

06/25/2001

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58A-NY-278417

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J


Date Property Acquired: Source from which Property Acquired:



Anticipated Disposition: Acquired By: Case Agent:

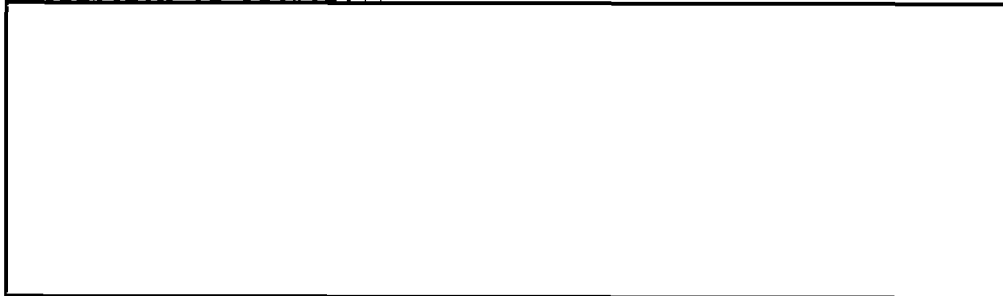


C-14

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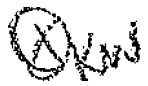
Description of Property:

Date Entered




Barcode: E02084974 Location: ECR8

07/11/2001



58A-NY-278417

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[Redacted]

Date Property Acquired: Source from which Property Acquired:

[Redacted]

Anticipated Disposition: Acquired By: Case Agent:

[Redacted]

C-14

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Description of Property:

Date Entered

[Redacted]

Barcode: E02084975 Location: ECR8

07/11/2001

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

C-14

b3
b6
b7C

Description of Property:

Date Entered

Barcode: E02084976

Location: ECR8

07/11/2001

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58A-NY-278417

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01

Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

[Redacted]

Date Property Acquired: Source from which Property Acquired:

[Redacted]

b3
b6
b7C

Anticipated Disposition: Acquired By: Case Agent:

[Redacted]

C-14

Description of Property:

Date Entered

[Redacted]

Barcode: E02084977

Location: ECR8

07/11/2001

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58A-NY-278417

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

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Barcode: E02084978

Location: ECR8

07/11/2001

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:
[REDACTED]

Anticipated Disposition: Acquired By: Case Agent:
[REDACTED] C-14

b3
b6
b7c

Description of Property:

Date Entered

Barcode: E1211896 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

07/16/2001

58A-NY-278417

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E01960332 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

01/30/2002

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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58A-NY-278417

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(see Serial 243)

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

b3
b6
b7C

C-14

Description of Property:

Date Entered

Barcode: E01960333 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

01/30/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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(see Serial 0244)

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

b3
b6
b7C

Barcode: E01960334 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

01/30/2002

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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58A-NY-278417

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Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E01960335 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

01/30/2002

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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58A-NY-278417

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(See Serial 246)

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

b3
b6
b7c

Description of Property:

Date Entered

Barcode: E01960336 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

01/30/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E01960337 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

01/31/2002

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E01960338 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

01/31/2002

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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58A-NY-278417

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FBI - NY	

(see Serial 249)

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

Barcode: E01960339 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

01/31/2002

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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58A-NY-278417

SEARCHED	INDEXED
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(see Serial 250)

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

b3
b6
b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960340 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

01/31/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960341

Location: ECR8

01/31/2002

b3
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Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:
[REDACTED]

Anticipated Disposition: Acquired By: Case Agent:
[REDACTED] C-14

Description of Property:
[REDACTED]

Date Entered

Barcode: E01960342 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

01/31/2002

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

[REDACTED]

Anticipated Disposition: Acquired By: Case Agent:

[REDACTED]

C-14

b3
b6
b7C

Description of Property:

Date Entered

[REDACTED]

Barcode: E01960343 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

01/31/2002

(X) [initials]

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[Redacted]

Date Property Acquired: Source from which Property Acquired:

[Redacted]

Anticipated Disposition: Acquired By: Case Agent:

[Redacted]

C-14

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b6
b7C

Description of Property:

Date Entered

[Redacted]

Barcode: E01960344 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

01/31/2002

(X) [Signature]

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

b3
b6
b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960345 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

01/31/2002

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

SEARCHED	INDEXED
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FILE COPY

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

[REDACTED]

Anticipated Disposition: Acquired By: Case Agent:

[REDACTED]

C-14

b3
b6
b7C

Description of Property:

Date Entered

[REDACTED]

Barcode: E01960346 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

01/31/2002

(X)

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

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b7C

Barcode: E01960350 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

01/31/2002

(X)

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

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b7C

Date Property Acquired: Source from which Property Acquired:
[REDACTED]

Anticipated Disposition: Acquired By:

Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960746 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J


Date Property Acquired: Source from which Property Acquired:

b3
b6
b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960747 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

b3
b6
b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960748 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

b3
b6
b7c

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960749 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[redacted]

Date Property Acquired: Source from which Property Acquired:

b3
b6
b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960750 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

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Barcode: E01960751 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:
[REDACTED]

Anticipated Disposition: Acquired By: Case Agent:
[REDACTED] C-14

Description of Property:
[REDACTED]

Date Entered

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Barcode: E01960752 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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[REDACTED]

(see Serial 263)

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

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b6
b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960753 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

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APR 18 2002

[initials]

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(see Serial 264)

03/05/02
06:54:26

FD-192

ICMIPR01
Page 1


Title and Character of Case:

CLINTON, WILLIAM, J


Date Property Acquired: Source from which Property Acquired:

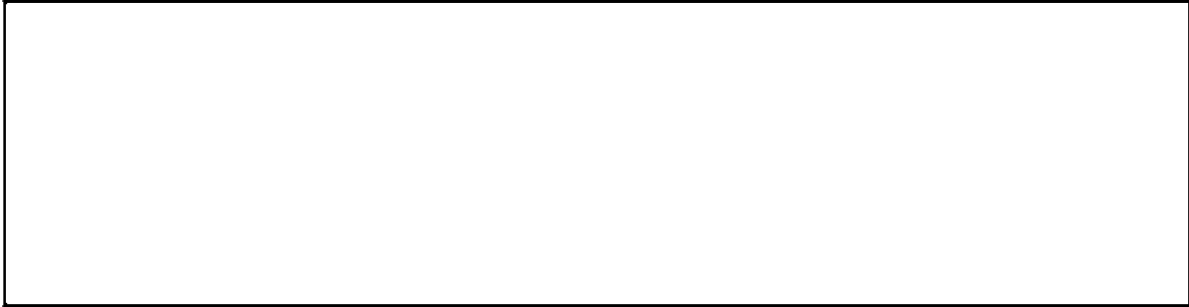
b3
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b7C

Anticipated Disposition: Acquired By: Case Agent:

 C-14

Description of Property:

Date Entered


Barcode: E01960754 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

(X)

Case Number: 58A-NY-278417
Owning Office: NEW YORK

b6
b7C

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58A-NY-278417



(see Serial 265)

03/04/02
08:11:40

FD-192

ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

b3
b6
b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960755 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

APR

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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MAR 10 2002

[REDACTED]

(see Serial 266)

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FD-192

ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[redacted]

Date Property Acquired: Source from which Property Acquired:

b3
b6
b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960756 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

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Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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(See Serial 267)

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FD-192

ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

b3
b6
b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960757 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

FILE COPY

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

SEARCHED INDEXED
SERIALIZED FILED

MAR 18 2002

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[REDACTED]

(see Serial 268)

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03/04/02
08:27:36

FD-192

ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J

Date Property Acquired: Source from which Property Acquired:

b3
b6
b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960758 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

(X)

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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(see Serial 269)

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

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b6
b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960759 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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58A-NY-278417

MAR 18 2002

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(see Serial 270)

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

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b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960760 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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MAR 18 2002

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(see Serial 271)

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

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b6
b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960761 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

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b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960762 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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(See Serial 273)

03/04/02
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FD-192

ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

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b6
b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960763 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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58A-NY-278417

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(see Serial 274)

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J


Date Property Acquired: Source from which Property Acquired:

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b6
b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960764 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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58A-NY-278417

MAR 18 2002



(see Serial 275)

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

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b7C

Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960765 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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58A-NY-278417

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By:

Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960766 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

58A-NY-278417

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ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

[REDACTED]

Anticipated Disposition: Acquired By:

Case Agent:

[REDACTED]

C-14

Description of Property:

Date Entered

[REDACTED]

b3
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Barcode: E01960767 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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MAR 18 2002
[REDACTED]

(See Serial 278)

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09:39:10

FD-192

ICMIPR01
Page 1

Title and Character of Case:

CLINTON, WILLIAM, J
[REDACTED]

Date Property Acquired: Source from which Property Acquired:

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Anticipated Disposition: Acquired By: Case Agent:

C-14

Description of Property:

Date Entered

Barcode: E01960768 Location: ECR8
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

03/04/2002

Case Number: 58A-NY-278417
Owning Office: NEW YORK

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(See Serial 279)

FEDERAL BUREAU OF INVESTIGATION
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SUB -
58A-NY-278417-302

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b6 Per FBI
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- 1 -

FEDERAL BUREAU OF INVESTIGATION

b6 Per FBI
b7Cb6 Per CBP
b7CDate of transcription 10/22/2003

On 10/22/2003, [redacted] U.S. Custom's Service, telephonically contacted Special Agent (SA) [redacted] and provided the following information:

b6 Per CBP
b7C

On the above date, [redacted] arrived at JFK International Airport from Europe with his wife and two children. [redacted] used a United States passport and both children were Canadian citizens. [redacted] will stay at the Flat Hotel located on West 52nd Street in Manhattan. [redacted] will stay in the United States for one week and attend a charity event for the [redacted] advised that he would fax SA [redacted] documents which relate to [redacted] travel to the United States.

b6 Per FBI
b7Cb6 Per FBI
b7CInvestigation on 10/22/2003 at New York, New York (telephonically)File # 58A-NY-278417-SUB 302-317 Date dictated N/Aby SA [redacted] /mjm custom- [redacted]

b6 Per CBP

FEDERAL BUREAU OF INVESTIGATION
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FOI/PA# 1256617-0

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 02/15/2001

To: New York

Attn: Squad C-14 Rotor

From: New York

Criminal Division, White Collar Branch, Squad C-14

Contact: SA [REDACTED] x3488

Approved By: [REDACTED]

Drafted By: [REDACTED]

mjm

b6

b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[REDACTED]
MARC RICH - FUGITIVE (B); ET AL.;

CFPO - EXECUTIVE BRANCH;

OO: NY

Synopsis: To request opening of subfiles in administration of the above investigation. *Done via stoka*

Details: Squad C-14 Rotor is requested to open the following subfiles which will serve as a repository for information in the above captioned investigation:

Sub 302	-	FD-302 reports
Sub IN	-	Investigative inserts
Sub BC	-	Background checks, searches, and information
Sub CE	-	Case expenditure information
Sub 1A	-	1A exhibits
Sub GJ	-	Grand Jury subpoenas and information

subfiles.ec

◆◆

UNCLASSIFIED
W/REVIEW
W/REVIEW
BY *UG*
DATE *2/20/01*

Sub ^(S) mp
58A-NY-278417-BC-
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 DATE 5/21/03

58A-NY-278417-SUB-7-BC-7

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[Redacted Box] hjm

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ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE OF REVIEW 05/21/03

OPC Service Request Form

User Logon:

Request#: 19072

Requestor:

Phone: 3488

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b7C

Squad: C-14

Request Date: 2/20/2004 10:39:00 AM

Delivery: BU Mail

Priority: Routine

☐ CBR/Personnel Update OpSys☐ Pims☐ ChoicePoint☐ Radio Channel☐ Facsimile Out☐ Sanborn Maps(NYC 5 Boroughs only)☐ IDIS Finger Print☐ FastTrack(Telephone Subscriber)☐ Internet☐ Teletypes Out☒ NCIC☐ P-TRAK☐ NYO Tour☐ Video Tape☐ NYSDMV☐ Other (Specify in Additional Info)☐ Precinct/Hospital

Case Title: MARC RICH PARDON INVESTIGATION

Classification: 58A -Corruption Fed. Pub. Officials-Executive Branch

Case Number: 278417

Subject:

Gender: Male

Addresses

Residence:

b6
b7C
b7E

Business:

Former:

DOB:

POB:

Driver's License:

SSN:

Additional Info: Believed to have been arrested by in 1994 in Non-U.S. Citizen.

Technical Information Specialist Notes

Completed: (No) ☐ (Yes) ☐

UPLOADED

WITH/TEXT

WITH/OUT TEXT ☒

E.L.

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FEDERAL BUREAU OF INVESTIGATION
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 02/15/2001

To: New York

Attn: Squad C-14 Rotor

From: New York

Criminal Division, White Collar Branch, Squad C-14

Contact: SA [REDACTED] x3488

Approved By: [REDACTED]

Drafted By: [REDACTED]

mjm

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b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[REDACTED]
MARC RICH - FUGITIVE (B); ET AL.;

CFPO - EXECUTIVE BRANCH;

OO: NY

Done 4/8 2/20/01
Synopsis: To request opening of subfiles in administration of the above investigation.

Details: Squad C-14 Rotor is requested to open the following subfiles which will serve as a repository for information in the above captioned investigation:

Sub 302	-	FD-302 reports
Sub IN	-	Investigative inserts
Sub BC	-	Background checks, searches, and information
Sub CE	-	Case expenditure information
Sub 1A	-	1A exhibits
Sub GJ	-	Grand Jury subpoenas and information

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DATE *2/20/01* _____

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581-M-278417-CE - Sub- (A)
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FBI - NEW YORK
(A)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 02/27/2001

To: New York

Attn: ASAC [REDACTED]
FMU

From: New York

C-14 Public Corruption

Contact: SA [REDACTED] ext. 3792

b6
b7C

Approved By: [REDACTED]

Drafted By: [REDACTED] nm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B);
[REDACTED] ET AL;
CFPO-EXECUTIVE BRANCH
OO:NY

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b7C

Synopsis: Request ASAC authority to order [REDACTED]

[REDACTED]
belonging to [REDACTED]

Details: [REDACTED] is
scheduled to testify in the Federal Grand Jury, Southern District
of New York, on [REDACTED]
records for the period of [REDACTED] is requested
for both [REDACTED] A review of
[REDACTED] records will allow investigating agents to
determine on a timely basis, if the investigation has been
compromised and/or [REDACTED]
Furthermore, a [REDACTED] are
needed on [REDACTED] to determine and verify [REDACTED]
regarding the [REDACTED]

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Investigation has revealed that [REDACTED]
[REDACTED] the
Democratic party, has participated in the [REDACTED] for
Marc Rich. A [REDACTED]
for the period of [REDACTED]
[REDACTED] is requested to obtain
further evidence of [REDACTED]

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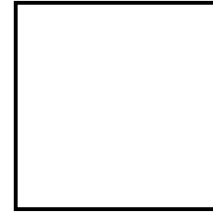
Case funds used to date are as follows:

To: New York From: New York
Re: 58A-NY-278417 (pending)

Total 2001 allotted case funds:
Total funds used to date:
Case fund balance as of 3/02/01:

[redacted]
[redacted] per day x 3 days)

x [redacted]
Remaining case funds:



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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/04/2001

To: New York

From: New York

White Collar Crime/Squad C-14/Public Corruption
Contact: SA [redacted] x3488

Approved By: [redacted]

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b7C

Drafted By: [redacted]

mjm mp

Case ID #: 58A-NY-278417-CE (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

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b7C

Synopsis: To request authorization for travel.

Details: Squad C-14 is conducting a sensitive public corruption investigation concerning controversial pardons granted by former U.S. President WILLIAM CLINTON. An interview has been scheduled with an [redacted] year old witness at his home in [redacted]. [redacted] arrangements were made to accommodate the witness and conduct the interview at his home. SA [redacted] will travel to [redacted] via bucar with a federal prosecutor on the evening of 05/06/2001. Since the interview may be lengthy, SA [redacted] will stay overnight and conduct the interview on 05/07/2001.

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Costs for this trip are estimated to total less than [redacted]. Concurrence for interdivisional travel has been obtained from Boston Division. It is requested that SA [redacted] be authorized to travel to [redacted] for an investigative interview.

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req_trav.ec

♦♦

UPLOADED

WITH/TEXT ☒
WITH/OUT TEXT ☐
BY 49
DATE 5/17/01

58A-NY-278417-CE-
49 49 3
1 2001
[redacted] [redacted]
b6
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UPLOADED

WITH/TEXT _____
WITH/OUT TEXT ✓ _____
BY CA _____
DATE 0 7/18/01 _____

5A-M-218417-CE-5

SEARCHED <u>49</u>	INDEXED <u>49</u>
SERIALIZED <u>49</u>	FILED <u>49</u>
JUN 26 2001	
FBI - NEW YORK	

②

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/22/2001

To: FBIHQ

Att.: [REDACTED]

From: New York

Criminal Division, White Collar Branch, Squad C-14

Contact: SA [REDACTED] (212) 384-3792

Approved By: [REDACTED]

Drafted By: [REDACTED] nm

b6
b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON, FORMER U.S. PRESIDENT

[REDACTED]
MARC RICH - FUGITIVE (B), ET AL;
CFPO-EXECUTIVE BRANCH
OO:NY

Synopsis: Request authorization for payment of a [REDACTED] late charge on [REDACTED]

Details: For information of FBIHQ, a late charge of [REDACTED] was incurred on an [REDACTED] utilized in captioned matter due to information provided by FMU, New York. The [REDACTED] in question, [REDACTED] is used to cover [REDACTED] expenses in captioned ongoing investigation. An E.C. and FD 794 dated 5/18/01, for [REDACTED] with a due date of 6/7/01 were submitted for approval to FMU the week of 5/20/01. FMU associate [REDACTED] advised that FMU would not pay said charges without the [REDACTED] statement. [REDACTED] was advised that the [REDACTED] statement would not be received until the end of the month, and would not match the [REDACTED] statement, as the [REDACTED] charges are based on replenishment charges, and are not on the same cycle as the [REDACTED] bill. Furthermore, it was noted that the [REDACTED] charges were listed on the [REDACTED] statement for FMU verification. The voucher request was not submitted until the [REDACTED] statement was received. The current invoice, with a due date of 7/2/01, has the late charge included in the total.

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On 6/22/01, [REDACTED] New York FMU, advised that based on the above information, FMU would authorize future [REDACTED] payments without the [REDACTED] statement. [REDACTED]

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To: FBIHQ From: New York
Re: 58A-NY-278417, 06/22/2001

advised that FBIHQ should be notified that the late charge was incurred due to incorrect information provided by FMU, and that this charge is a one time occurrence, and additional late charges are not expected to be incurred.

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/25/2001

To: New York

Att.: FMU

From: New York

C-14 Public Corruption

Contact: [redacted] ext. 3792

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Approved By: [redacted]

Drafted By: [redacted] nm

Case ID #: 58A-NY-278417

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER
U.S. PRESIDENT; ET AL,
CFPO-EXECUTIVE BRANCH
OO:NY

Synopsis: Request authorization for payment of second month of [redacted] for monthly [redacted] and [redacted] charges.

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Enclosures: Enclosed for FMU are two FD-794's, invoice from [redacted] and statement from [redacted] Initial EC authorizing [redacted] use is also attached.

Details: Captioned investigation is a high priority, sensitive public corruption investigation in which a [redacted] is being utilized. The following represents expenses incurred as a result of [redacted] and use of the [redacted]

Total Authorization:
Balance Before Payment:
Amount of this Payment:
Balance After Payment:

[redacted]

b7E

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UPLOADED

WITH/TEXT _____
WITH/OUT TEXT _____
BY 6/28/01
C 8/25/01

58A-NY-278417-CE
SEARCHED 6/28/01 INDEXED 6/28/01
SERIALIZED 6/28/01 FILED 6/28/01
JUN 25 2001
FBI - NEW YORK
[initials]

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/17/2001

To: New York

Attn: SAC, Division II

From: New York

Criminal Division, White Collar Branch, Squad C-14

Contact: SA [redacted] (212) 384-3792

Approved By: [redacted]

b6
b7C

Drafted By: [redacted] :nm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT; ET AL;
CFPO-EXECUTIVE BRANCH
OO:NY

Synopsis: Request approval to travel to [redacted] to review and collect documents at the [redacted] [redacted], pursuant to a Federal Grand Jury Subpoena issued in captioned, ongoing investigation.

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Details: Travel to the [redacted] is requested so that documents subpoenaed in captioned matter can be collected and reviewed in a timely manner. SA [redacted] and AUSA [redacted], SDNY, will travel to the [redacted] on Tuesday, July 24, 2001, to work with [redacted]. [redacted] has indicated that due to the voluminous amount of records acquired by [redacted] during the 2001 change of White House administration, [redacted] has been unable to locate, review, and respond to several Federal Grand Jury subpoenas. Due to the sensitivity of both the time, and nature of this investigation, and in order to insure that significant documents are not overlooked, it is necessary for SA [redacted] and AUSA [redacted] to personally locate and review documents responsive to the aforementioned subpoenas. It is expected that SA [redacted] and AUSA [redacted] will complete their review in one day.

This travel has been requested by AUSA [redacted], SDNY and by Deputy United States Attorney [redacted], SDNY, in order to expedite the review of documents in this investigation.

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The estimated cost of this trip is as follows:

UPLOADED

WITH/TEXT [redacted]
WITH/OUT TEXT [redacted]
BY [redacted]
DATE [redacted] 8/23/01

Sub
58A-NY-278417 - CE - 9

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 2 - 2001	
FBI - NEW YORK	

AV

To: New York From: New York
Re: 58A-NY-278417 (pending)

Roundtrip airfare to
Parking at LaGuardia Airport:
Transportation from Airport to
Estimated Total Cost:

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/16/2001

To: New York

Attn: FMU

From: New York

C-14 Public Corruption

Contact: SA [redacted] ext.3792

Approved By: [redacted]

b6
b7C

Drafted By: [redacted]:nm

Case ID #: 58A-NY-278417 (Pending)

Title: WILLIAM J. CLINTON,
FORMER U.S. PRESIDENT; ET AL;
CFPO-EXECUTIVE BRANCH
OO:NY

Synopsis: Request authorization of payment of [redacted] to [redacted]
[redacted] for monthly [redacted] fee.

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Administrative: For information of FMU, the attached invoice represents the cost of [redacted] being [redacted] by Squad C-14. Only [redacted] is being paid for by case funds from this investigation. Therefore, the FD-794 and attached EC only reflect the fee for [redacted] costing [redacted]

Enclosures: For FMU is the FD-794 and respective invoice, as well as the initial EC authorizing the [redacted]

Details: Captioned investigation is a high priority, sensitive public corruption investigation in which a [redacted] is being utilized. The following represents the monthly [redacted] cost and the remaining balance of funds:

Total Authorization:
Balance Before Payment:
Amount of This Payment:
Balance After Payment:

b7E

58A-NY-278417-CE Sub 100

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 20 2001	
FBI - NEW YORK	

UPLOADED
WITH/TEXT _____
WITH/OUT TEXT _____
BY UG
DATE 8/23/01

C O N N E C T I C U T

Agency Protests Company's Fines on Speeders

By PAUL ZIELBAUER

HARTFORD, July 3 — The Connecticut Department of Consumer Protection filed a complaint this week against a car rental company in New Haven that uses satellite technology to track drivers and debit their bank or credit card accounts whenever the drivers exceed a certain limit.

According to the complaint, American Car Rental, a family-run business that operates Acme Rent-a-Car, has used a global positioning system since November to track its vehicles and has charged at least 26 of its customers \$150 to more than \$400 for exceeding highway speed limits.

The complaint, issued Monday, claims that Acme not only failed to warn its customers that they were being watched from above but also illegally charged drivers \$150 each time they exceeded the speed limit for more than two consecutive min-

utes.

One driver, James Turner, a theater manager in New Haven who informed the state about Acme's practices in November, said the agency had automatically debited \$450 from his bank account after its satellite caught him speeding three times during a business trip.

The department's complaint said that charges like those violated the Connecticut Fair Trade Practices Act, which is modeled after a federal law that prevents businesses from charging improper fees.

"You cannot have that kind of a penalty in a contract," the consumer protection commissioner, James T. Fleming, said in an interview today. Even if the company notified drivers that their speed would be tracked, "we believe it would still have been a violation," he said. "You cannot impose penalties where there is no damage."

According to public records, Acme is run by Stephen F. Kozlowski and Paul M. Kozlowski of Hamden, Conn. They did not return phone calls seeking comment today.

Acme has until July 15 to respond to the state's complaint, Mr. Fleming said, and choose to argue the case before a judge or comply with the department's orders to stop penalizing drivers for speeding.

A lawyer representing Acme, Max Brunswick, said that he would formally reply to the complaint on Friday. He said the company's contract did warn the drivers of the fines and that it never used its satellite tracking system solely to earn money, but to enforce its own safety rules. "We're not in this to collect fines," he said today. "All we're saying is that there be some incentives to slow down."

Since it started using the global satellite system, he said, the decrease in accidents has cut Acme's insurance costs by half. "As a result we can offer customers lower prices."

Acme's current cheapest rate is \$18.99 per day for a 1999 or 2000 compact car, mileage included, Mr. Brunswick said.

Tracking the speeds of their rental cars and leveling fines on lead-footed drivers is "above and beyond the call," said Russell Bruno, executive director of the Association for Car and Truck Rental Independents and Franchisees, in Bloomingdale, Ill.

But safety is always a concern for car rental agencies, he said, and if tracking driver's speeds is illegal, so too are a lot of things the drivers do to their rental cars after they leave the rental lot. "They treat them like trash," Mr. Bruno said.

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/20/2001

To: New York

Att.: FMU

From: New York

Criminal Division, White Collar Branch, Squad C-14
Contact: SA [redacted] (212) 384-3792

Approved By: [redacted]

b6
b7C

Drafted By: [redacted]

nm

Case ID #: 58A-NY-278417 (Pending)

Title: WILLIAM J. CLINTON, FORMER
U.S. PRESIDENT; ET AL;
CFPO-EXECUTIVE LEVEL
OO:NY

Synopsis: Request authorization for payment of [redacted] for [redacted] expenses incurred during [redacted] statement period of 7/9/01 - 8/8/01.

b7E

Enclosures: For FMU, are the respective FD-794, [redacted] statement, and [redacted] statement.

Details: Captioned matter is a high priority, sensitive, public corruption investigation in which a [redacted] is being utilized. The following represents the [redacted] expenses incurred as a result of the operational use of the [redacted]

[redacted]

b7E

Total Authorization:
Balance Before Payment:
Amount of this Payment:
Balance After Payment:

[redacted]

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UPLOADED

WITH/TEXT _____
WITH/OUT TEXT _____
BY UCJ
DATE 10/8/2001

Sub
58A-NY-278417-CF
SEARCHED _____ INDEXED _____
SERIALIZED UCJ FILED UCJ
AUG 20 2001
FBI - NEW YORK

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 10/11/2001

To: New York

Att.: FMU

From: New York

Criminal Division, White Collar Branch, Squad C-14

Contact: SA [redacted]

Approved By: [redacted]

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b7C

Drafted By: [redacted]

nm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON, FORMER U.S. PRESIDENT; ET AL;

CFPO-EXECUTIVE BRANCH

OO:NY

Synopsis: Request authority for payment of [redacted] card invoice for [redacted] expenses incurred by [redacted] utilized in ongoing investigation.

Administrative: For information of FMU New York, the attached [redacted] statement reflects a [redacted] charge on 7/31/01, and a [redacted] charge on 9/24/01 which are not included on the respective [redacted] statement, and are not included in this voucher request. The 7/31 amount was charged on the September [redacted] statement, and was included in that voucher. The 9/24 charge was not invoiced in the current bill, and should be part of the next month's invoice from [redacted]. As such, the attached [redacted] statement is a photocopy due to the fact that the original [redacted] statement was used to cover the previous [redacted] bill for September.

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Enclosures: For FMU New York, are the respective FD 794, [redacted] statements.

b7E

Details: Captioned matter is a high priority, sensitive, public corruption investigation, in which a [redacted] is being utilized. The following represents [redacted] expenses incurred as a result of the operational use of the [redacted]

Total Authorization:
Balance Before This Payment:
Amount of this Payment:
Balance After Payment:

b7E

58A-NY-278417-12
OCT 29 2001
FBI - NEW YORK

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 10/16/01

To: Finance Division

Attn: ~~CONFIDENTIAL~~ SERVICE

From: NEW YORK DIVISION

SQUAD C-14 Public Corruption

SA [redacted] ext [redacted]

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Approved By: ASAC [redacted]

Drafted By: [redacted] NM

Case ID #: 58A NY 278417

Title: ORIGINAL INVOICE

Synopsis: DUPLICATE OF AN ORIGINAL INVOICE.

Details: This is to certify that the original invoice was lost or never received by the agent. This will not result in a duplicate payment. Duplicate invoice given because [redacted]

[redacted]

Cert [redacted]

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/31/2001

To: New York

Att.: FMU

From: New York

Criminal Division, White Collar Branch, Squad C-14

Contact: SA [REDACTED]

Approved By: [REDACTED] *LCB*

Drafted By: [REDACTED] nm

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b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON, FORMER U.S. PRESIDENT, ET AL;

CFPO-EXECUTIVE BRANCH

OO:NY

Synopsis: Request authority for monthly payment of [REDACTED] in captioned investigation.

b7E

Administrative: For information of New York Financial Management Unit: The attached FD 794 represents an advance for half of the attached invoice only. This invoice is shared with another case Agent. Attached for FMU is a copy of the invoice inasmuch as the original invoice has been utilized by the other case Agent.

Enclosures: Enclosed for FMU are one FD 794, and invoice.

Details: Captioned investigation is a high priority, sensitive, public corruption investigation, in which the use of [REDACTED] is required. The following represents the case fund balance to date:

b7E

Total Authorization:
Balance Before Payment:
Amount of This Payment:
Balance After Payment:

[REDACTED]

b7E

UNCLASSIFIED
WITH/OUT TEXT
BY *48*
DATE *11/7/01*

Seal
58A-NY-278417-CE-13
SEARCHED INDEXED
SERIALIZED FILED
OCT 4 2001
FBI - NEW YORK
48 *13* *2*

FD-794 (Rev. 2-8-96)

1. Classification 58A
☒ (AC) Criminal Case
☐ (AD) Criminal OCDETF Case
☐ (AF) FCI Case
☐ (BC) Informant/CW
☐ (BD) Informant/CW Providing Drug Info.

☐ (CF) Asset
☐ (DC) Group II UCO
☐ (DD) OCDETF Group II
☐ (E) Group I
☐ (F) FCI UCO

☐ (GA) SOG
☐ (GB) OPS
☐ (GC) Air Operation
☐ (H) SSG
☐ (J) FCI Lookout

Draft Request

2. Date

09/04/013. Request for: ☒ Advance ☐ Expense

4. Social Security No:

5. Payee Name:

6. File No:

58A NY 278417

16. Cat Item No

7. Description

8. Amount

01		Monthly	cast	
02			money order	
03				
04				
05				
06				
07				

9. Total \$

10. Justification: Monthly Used in ongoing, sensitive investigation

Asset/Informant File No.

Symbol No.

Payment/Code Name

Period Covered to

One Time Non-symbol Source Payment:

True Name:

DOB:

SSAN:

Date of Waiver: / /

11. Payment for reimbursable expense - forfeiture or drug related? ☐ Yes ☒ No

12. Requested by:

13. Telephone No:

14.

Approval

Date

Supervisor Initials: ATB

SAC/ASAC/AO/SAS Approval:

Supply Technician Approval:

Draft Approval Officer:

Procurement Authorization:

No.

15. Vendor No:

Group No.

17. Obligation No:

18. Cost Center:

19. Squad/RA:

20. Follow-up Date:

21. Document No:

22. CONF ☐ COMM ☐

23. Draft No:

24. Date:

25. Cashier:

26. Settlement of Advance: Prior month Advance Balance \$

This Advance \$

Less: Receipts \$

Funds Returned and/or Cash on Hand \$

Amount to be Reimbursed \$

27. Document No:

28. Draft No:

29. Date:

30. Cashier:

Shaded areas for draft office use only

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b7C
b7Eb6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 10/11/2001

To: New York

Att.: FMU

From: New York

Criminal Division, White Collar Branch, Squad C-14

Contact: SA [REDACTED]

Approved By: [REDACTED]

b6
b7C

Drafted By: [REDACTED]

nm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON; ET AL;
CFPO-EXECUTIVE BRANCH
OO:NY

Synopsis: Request authority for payment of [REDACTED] expenses for September and October, 2001, in ongoing investigation.

b7E

Enclosures: For FMU New York, are two invoices representing September and October 2001.

Details: Captioned investigation is a high priority, sensitive, public corruption investigation, in which the use of a [REDACTED] [REDACTED] is required. The following represents the case funds to date:

b7E

Total Case Authorization:
Balance Before This Payment:
Amount of This Payment:
Balance After Payment:

b7E

[REDACTED]

UNCLASSIFIED
VITH/TEXT
WITH/OUT TEXT
BY UG
DATE 11/8/01

28A-NY-278417-Sub-CE
SEARCHED INDEXED
SERIALIZED FILED
OCT 24 2001
NEW YORK

14 ④

FD-794 (Rev. 2-8-96)		1. Classification <u>58A</u> <input checked="" type="checkbox"/> (AC) Criminal Case <input type="checkbox"/> (AD) Criminal OCDEF Case <input type="checkbox"/> (AF) FCI Case <input type="checkbox"/> (BC) Informant/CW <input type="checkbox"/> (BD) Informant/CW Providing Drug Info. <input type="checkbox"/> (CF) Asset <input type="checkbox"/> (DC) Group II UCO <input type="checkbox"/> (DD) OCDEF Group II <input type="checkbox"/> (E) Group I <input type="checkbox"/> (F) FCI UCO <input type="checkbox"/> (GA) SOG <input type="checkbox"/> (GB) OPS <input type="checkbox"/> (GC) Air Operation <input type="checkbox"/> (H) SSG <input type="checkbox"/> (J) FCI Lookout	
Draft Request		2. Date <u>10/11/01</u>	
3. Request for: <input checked="" type="checkbox"/> Advance <input type="checkbox"/> Expense		4. Social Security No: _____	
5. Payee Name: _____		6. File No: <u>58A NY 278 417</u>	
16. Cat	Item No	7. Description	8. Amount
01		September	
02		October	
03		Money order fee	
04			
05			
06			
07			
9. Total \$ _____			
10. Justification: <u>Used in ongoing priority matter (2 months of invoices due to wire matter)</u>			
Asset/Informant File No. _____		Symbol No. _____	
Payment/Code Name _____		Period Covered _____ to _____	
One Time Non-symbol Source Payment:			
True Name: _____		DOB: ____/____/____ SSAN: _____	
Date of Waiver: ____/____/____			
11. Payment for reimbursable expense - forfeiture or drug related? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
12. Requested by: _____		13. Telephone No: _____	
14. Approval			Date
Supervisor Initials: <u>[Signature]</u> SAC/LASAC/AO/SAS Approval: _____ Supply Technician Approval: _____ Draft Approval Officer: _____ Procurement Authorization: _____ No. _____			<u>10/17/01</u> <u>(10/17/01)</u>
15. Vendor No: _____		Group No: _____	17. Obligation No: _____
18. Cost Center: _____		19. Squad/RA: _____	20. Follow-up Date: _____
21. Document No: _____		22. CONF <input type="checkbox"/> COMM <input type="checkbox"/>	
23. Draft No: _____		24. Date: _____	25. Cashier: _____
26. Settlement of Advance: Prior month Advance Balance: \$ _____ This Advance: \$ _____ Less Receipts: \$ _____ Funds Returned and/or Cash on Hand: \$ _____ Amount to be Reimbursed: \$ _____			
27. Document No: _____			
28. Draft No: _____		29. Date: _____	30. Cashier: _____

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b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: IMMEDIATE

Date: 09/07/2001

To: New York

Attn: FMU

From: New York

Squad C-14 Public Corruption

Contact: SA [REDACTED]

Approved By: [REDACTED] *DAK*

Drafted By: [REDACTED] nm

b6
b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S.
PRESIDENT; ET AL;
CFPO-EXECUTIVE BRANCH
OO:NY

Synopsis: Request authority for SA's [REDACTED]
[REDACTED] to travel to [REDACTED] RA, on
9/10/01, to conduct interview of a [REDACTED] Cooperating
Witness(CW) currently [REDACTED]
[REDACTED]

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Details: New York requests authority to travel to [REDACTED]
to interview a CW who may have information regarding [REDACTED]
[REDACTED] former U.S. President William J. Clinton. In as
much as this matter is a high priority, sensitive, investigation,
and due to the need to conduct the interview on a timely basis,
it is requested that SA's [REDACTED] travel to
[REDACTED] to conduct the interview. Furthermore, due to exigent
circumstances, and the complexity of the information which may be
provided, this interview should be conducted by New York Agents.
This interview is expected to be conducted on 9/11/01, and the
Agents will return to New York on 9/11/01.

b6
b7C
b7D
b7E

The Southern District of New York has also requested
that this interview be conducted by SA's [REDACTED]
and that the interview be completed on timely basis.

b6
b7C

SAC [REDACTED] was verbally advised of the above request
on 9/7/01, and authorized the travel request for SA's [REDACTED]
[REDACTED]

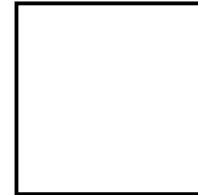
58A-NY-278417-CE Sub-15
SEARCHED INDEXED
SERIALIZED FILED
OCT 10 2001
ug *ug*

ug 11/9/01

To: New York From: New York
Re: 58A-NY-278417 (pending) 09/07/2001

The estimated cost of this trip (for two Agents) is as follows:

Round-trip Airfare:
Hotel, 1 Night:
M&IE:
Airport Parking:
Total Expense:



b7E

♦♦

WJC 11/11/17

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 11/01/2001

To: New York

Att.: FMU

From: New York

Criminal Division, White Collar Branch, Squad C-14

Contact: SA [REDACTED]

Approved By: [REDACTED]

Drafted By: [REDACTED]:nm

Case ID #: 58A-NY-278417 (Pending)

Title: WILLIAM J. CLINTON, FORMER
U.S. PRESIDENT, ET AL;
CFPO-EXECUTIVE BRANCH
OO:NY

Synopsis: Request authority for payment of [REDACTED] card invoice for [REDACTED] expenses incurred in the use of an [REDACTED] utilized in captioned matter.

Administrative: For information of NYO FMU, the attached [REDACTED] statement reflects charges which have been already been accounted for as they were invoiced on previous statements from [REDACTED]. The only charge attributed to the current [REDACTED] statement is the [REDACTED] replenishment charge. As such, the attached [REDACTED] statement is a photocopy due to the fact that the original [REDACTED] statement was used to cover a prior [REDACTED] invoice.

Details: Captioned matter, is a high priority, sensitive, public corruption investigation in which a [REDACTED] is being utilized. The following represents the [REDACTED] expenses incurred as a result of the operational use of the [REDACTED]

Total Authorization:
Balance Before This Payment:
Amount of Payment:
Balance After Payment:

--

RECEIVED
NOV 1 2001
11/9/01

58A-NY-278417- CG- 16

SEARCHED	INDEXED
SERIALIZED	FILED
2001	
FBI - NEW YORK	

FD-794 (Rev. 2-8-96)		1. Classification <u>58A</u> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> (AC) Criminal Case <input type="checkbox"/> (AD) Criminal OCDETF Case <input type="checkbox"/> (AP) FCI Case <input type="checkbox"/> (BC) Informant/CW <input type="checkbox"/> (BD) Informant/CW Providing Drug info. </div> <div style="width: 45%;"> <input type="checkbox"/> (CF) Asset <input type="checkbox"/> (DC) Group II UCO <input type="checkbox"/> (DD) OCDETF Group II <input type="checkbox"/> (E) Group I <input type="checkbox"/> (F) FCI UCO </div> <div style="width: 45%;"> <input type="checkbox"/> (GA) SOG <input type="checkbox"/> (GB) OPS <input type="checkbox"/> (GC) Air Operation <input type="checkbox"/> (H) SSG <input type="checkbox"/> (J) FCI Lookout </div> </div>	
Draft Request		2. Date <u>10/29/01</u>	
3. Request for: <input checked="" type="checkbox"/> Advance <input type="checkbox"/> Expense		4. Social Security No: _____	
5. Payee Name: _____		6. File No: <u>58A NY 278417</u>	
16. Cat	Item No	7. Description	8. Amount
01			
02		<u>kill</u>	
03		<u>See attached EC</u>	
04		<u>money order</u>	
05			
06			
07			
9. Total \$ _____			
10. Justification: <u>expenses incurred in ongoing, sensitive matter</u>			
Asset/Informant File No. _____ Symbol No. _____ Payment/Code Name _____ Period Covered _____ to _____ One Time Non-symbol Source Payment: _____ True Name: _____ DOB: ____/____/____ SSAN: _____ Date of Waiver: ____/____/____			
11. Payment for reimbursable expense - forfeiture or drug related? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
12. Requested by: _____		13. Telephone No: _____	
14. Approval			Date
Supervisor Initials: _____ SAC/ASAC/AO/SAS Approval: _____ Supply Technician Approval: _____ Draft Approval Officer: _____ Procurement Authorization: _____ No. _____			<u>11/2/01</u> <u>11/3/01</u>
15. Vendor No _____		Group No _____	17. Obligation No: _____
18. Cost Center: _____		19. Squad/RA: _____	20. Follow-up Date: _____
21. Document No. _____		22. CONF - COMM _____	
23. Draft No _____		24. Date: _____	25. Cashier: _____
26. Settlement of Advance: Prior month Advance Balance: \$ _____ This Advance: \$ _____ Less: Receipts: \$ _____ Funds Returned and/ or Cash on Hand: \$ _____ Amount to be Reimbursed: \$ _____			
27. Document No. _____			
28. Draft No. _____		29. Date: _____	30. Cashier: _____

b6
b7C
b7E

b7E

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 11/1/01

To: Finance Division

Attn: ~~CONFIDENTIAL~~ SERVICE

From: NEW YORK DIVISION

SQUAD C-14

SA [REDACTED]

Approved By: ASAC [REDACTED]

b6
b7C

Drafted By: [REDACTED]

Case ID #: 58A NY 2-78417

Title: ORIGINAL INVOICE

Synopsis: DUPLICATE OF AN ORIGINAL INVOICE.

Details: This is to certify that the original invoice was lost or never received by the agent. This will not result in a duplicate payment.



Statement

b6
b7C
b7E

[REDACTED]
Certifying Agent

♦♦

WFC 1062p-EC

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 03/20/2001

To: New York

Attn: ASAC [REDACTED]
Property Unit

b6
b7C

From: New York

C-14 Public Corruption

Contact: SA [REDACTED] (212) 384-3792

Approved By: [REDACTED] *lks*

Drafted By: [REDACTED] nm

b6
b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT;
[REDACTED]
MARC RICH - FUGITIVE (B); ET AL;
CFPO-EXECUTIVE BRANCH
OO:NY

Synopsis: Request approval for the [REDACTED]
[REDACTED] period, to support the investigation of
captioned matter.

Details: Request for the approval of the [REDACTED]
[REDACTED] period, from [REDACTED]
Captioned matter is a high priority, sensitive investigation
which requires the use of [REDACTED]

[REDACTED] The
investigation, which is focusing on allegations of bribery and/or
a quid pro quo in exchange for the pardon of Marc Rich and
others, currently has four agents assigned on a full time basis,
and one part-time Agent. [REDACTED]

b6
b7C
b7E

[REDACTED] The addition of
[REDACTED] will contribute to, and expedite, the agent's
ability to obtain and disseminate valuable information. Three
quotes obtained by SA [REDACTED] for the [REDACTED]
[REDACTED]

1. [REDACTED]

b7E

UNLOADED

WITH/TEXT *48*
WITH/OUT TEXT
BY *48* 1/8/02

Sol
58A-NY-278417-CE-17

48
1 7 02

48

To: New York From: New York
Re: 58A-NY-278417, 03/20/2001

Total

2.

Total

b7E

3.

Total

It is recommended that be utilized for
their lowest cost estimate, as well as their previous experience
 to the FBI NYO.

♦♦

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 12/01/2001

To: New York

Att.: FMU

From: New York

Criminal Division, White Collar Branch, Squad C-14

Contact: SA [REDACTED]

Approved By: [REDACTED]

b6
b7C

Drafted By: [REDACTED]

nm

Case ID #: 58A-NY-278417 (Pending)

Title: WILLIAM J. CLINTON, FORMER
U.S. PRESIDENT, ET AL;
CFPO-EXECUTIVE BRANCH
OO:NY

Synopsis: Request authority for payment of [REDACTED]
invoice for [REDACTED] utilized in captioned
investigation.

b7E

Details: Captioned matter is a high priority, sensitive, Public
Corruption investigation, in which a [REDACTED] is being
utilized. The following represents the expenses incurred as a
result of the use of the [REDACTED]

Total Authorization:
Balance Before This Payment:
Amount of Payment:
Balance After Payment:

b7E

♦♦

UPLOADED
WITH/TEXT ☒
WITH/OUT TEXT ☐
BY UG
DATE 1/8/02

58A-NY-278417 ^{Sub}CE-18
UG -UG
17 02

FD-794 (Rev. 2-8-96)		1. Classification <u>58A</u> <input checked="" type="checkbox"/> (AC) Criminal Case <input type="checkbox"/> (AD) Criminal OCDETF Case <input type="checkbox"/> (AF) FCI Case <input type="checkbox"/> (BC) Informant/CW <input type="checkbox"/> (BD) Informant/CW Providing Drug Info. <input type="checkbox"/> (CF) Asset <input type="checkbox"/> (DC) Group II UCO <input type="checkbox"/> (DD) OCDETF Group II <input type="checkbox"/> (E) Group I <input type="checkbox"/> (F) FCI UCO <input type="checkbox"/> (GA) SOG <input type="checkbox"/> (GB) OPS <input type="checkbox"/> (GC) Air Operation <input type="checkbox"/> (H) SSG <input type="checkbox"/> (J) FCI Lookout	
Draft Request		2. Date <u>12 / 10 / 01</u>	
3. Request for: (X) Advance () Expense		4. Social Security No: _____	
5. Payee Name _____		6. File No: <u>58A NY 278417</u>	
16. Cat	Item No	7. Description	8. Amount
01		<div style="border: 1px solid black; width: 400px; height: 100px; margin: 0 auto;"></div> <p><i>money order</i></p>	<div style="border: 1px solid black; width: 100px; height: 100px; margin: 0 auto;"></div>
02			
03			
04			
05			
06			
07			
9. Total \$ _____			
10. Justification: <u>used in ongoing investigation</u>			
Asset/Informant File No. _____ Symbol No. _____ Payment/Code Name _____ Period Covered _____ to _____ One Time Non-symbol Source Payment: True Name: _____ DOB: ____/____/____ SSAN: _____ Date of Waiver: ____/____/____			
11. Payment for reimbursable expense - forfeiture or drug related? ____ Yes <input checked="" type="checkbox"/> No			
12. Requested by: _____		13. Telephone No: _____	
14. Approval			Date
Supervisor Initials: _____ SAC / ASAC / AO / SAS Approval: _____ Supply Technician Approval: _____ Draft Approval Officer: _____ Procurement Authorization: _____ No. _____			_____ _____ _____ _____ _____
15. Vendor No _____		Group No _____	17. Obligation No _____
18. Cost Center _____		19. Squad/RA _____	20. Follow-up Date _____
21. Document No _____		22. CONF _____ COMM _____	
23. Draft No _____		24. Date _____	25. Cashier _____
26. Settlement of Advance: Prior month Advance Balance: \$ _____ This Advance: \$ _____ Less Receipts: \$ _____ Funds Returned and/or Cash on Hand: \$ _____ Amount to be Reimbursed: \$ _____			
27. Document No _____		28. Draft No _____	
29. Date _____		30. Cashier _____	

b6
b7C
b7Eb6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 12/27/2001

To: New York

Attn: FMU

From: New York

C-14 Public Corruption

Contact: [REDACTED]

Approved By: [REDACTED] 1/9/02

Drafted By: [REDACTED]

nm

b6

b7C

Case ID #:

58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S.
PRESIDENT, ET AL;
CFPO-EXECUTIVE BRANCH;
OO:NY

Synopsis: Request authority for payment of [REDACTED]
invoice for expenses incurred in captioned investigation.

b7E

Enclosure(s): For FMU, original [REDACTED] statement,
Duplicate of Original Invoice EC, and FD-794.

Details: Captioned matter is a high priority, sensitive, public
corruption investigation in which a [REDACTED] was utilized.
The following invoice represents expenses incurred as a result of
the use of the [REDACTED]

b7E

Total Authorization:
Balance Before This Payment
Amount of This Payment:
Balance After Payment:

[REDACTED]

1/15/02

Sub
58A-NY-278417-CC
19

FD-794 (Rev. 2-8-96)		1. Classification <u>58A</u>	
<input checked="checked" type="checkbox"/> (AC) Criminal Case <input type="checkbox"/> (AD) Criminal OCDETF Case <input type="checkbox"/> (AF) FCI Case <input type="checkbox"/> (BC) Informant/CW <input type="checkbox"/> (BD) Informant/CW Providing Drug info.	<input type="checkbox"/> (CF) Asset <input type="checkbox"/> (DC) Group II UCO <input type="checkbox"/> (DD) OCDETF Group II <input type="checkbox"/> (E) Group I <input type="checkbox"/> (F) FCI UCO	<input type="checkbox"/> (GA) SOG <input type="checkbox"/> (GB) OPS <input type="checkbox"/> (GC) Air Operation <input type="checkbox"/> (H) SSG <input type="checkbox"/> (J) FCI Lookout	
Draft Request		2. Date <u>12/31/01</u>	
3. Request for: <input checked="checked" type="checkbox"/> Advance <input type="checkbox"/> Expense		4. Social Security No: _____	
5. Payee Name: _____		6. File No: <u>58A NY 278417</u>	
16. Cat	Item No	7. Description	8. Amount
01			
02			
03		money order	
04			
05			
06			
07			
			9. Total \$ _____
10. Justification: <u>Expense incurred as a result of the one</u> <u>of an</u> _____ <u>in sensitive investigation</u>			
Asset/Informant File No. _____		Symbol No. _____	
Payment/Code Name _____		Period Covered _____ to _____	
One Time Non-symbol Source Payment:			
True Name: _____		DOB: ____/____/____ SSAN: _____	
Date of Waiver: ____/____/____			
11. Payment for reimbursable expense - forfeiture or drug related? <input type="checkbox"/> Yes <input type="checkbox"/> No			
12. Requested by: _____		13. Telephone No: _____	
14. Approval		Date	
Supervisor Initials: <u>[Signature]</u>		<u>1-300</u>	
SAC / ASAC / AO / SAS Approval: <u>[Signature]</u>		<u>1/9/02</u>	
Supply Technician Approval: _____		_____	
Draft Approval Officer: _____		_____	
Procurement Authorization: _____		No. _____	
15. Vendor No. _____		17. Obligation No. _____	
18. Cost Center _____		20. Follow-up Date: _____	
21. Document No. _____		22. CONF. COMM. _____	
23. Draft No. _____		25. Cashier: _____	
24. Date: _____		26. Settlement of Advance: Prior month Advance Balance: \$ _____	
		This Advance: \$ _____	
		Less: Receipts: \$ _____	
		Funds Returned and/or Cash on Hand: \$ _____	
		Amount to be Reimbursed: \$ _____	
27. Document No. _____		30. Cashier: _____	
28. Draft No. _____		29. Date: _____	

b6
b7C
b7E

b7E

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 1/4/02

To: Finance Division

Attn: CONFIDENTIAL SERVICE

From: NEW YORK DIVISION

SQUAD C-14 Public Corruption

SA [REDACTED]

Approved By: ASAC [REDACTED]

b6
b7C

Drafted By: [REDACTED] *vm*

Case ID #: 58A-NY-278417

Title: ORIGINAL INVOICE:

Synopsis: DUPLICATE OF AN ORIGINAL INVOICE.

Details: This is to certify that the original invoice was lost or never received by the agent. This will not result in a duplicate payment.

b6
b7C
b7E

Of [REDACTED] Agent

♦♦

UPLOADED

WITH/TEXT _____
WITH/OUT TEXT ☒
BY ya
DATE 10 11/31/00

58A-44-270417-26 Sub - 20 ①

SEARCHED	INDEXED
SERIALIZED <u>ya</u>	FILED <u>ya</u>
JAN 27 2002	
FBI — NEW YORK	
<u>2</u>	

NR
D

TRAVEL REQUEST FORM

Date: 05/04/01 Fiscal Year 2001 Travel
 Name of Traveler: [Redacted] FBIHQ Division: [Redacted]
 Field Office ID: NY Cost Code: 3540 Squad/RA Code: C-14 SSAN: [Redacted]
 Itinerary: Travel Period From: 05/06/01 to 05/07/01 b6
 Points of Travel From: NYO to [Redacted] (R/T) b7C
 To: NYO to [Redacted] b7E
 File Number: 58A-NY-278417
 Justification: SA [Redacted] requests expense payment for lodging while on official FBI duty

Continue on additional blank page if necessary.

(A) Source of Travel Funds: (Select a catalog)

☒ Division Budget

☒ TR11 SAC/Div. Operation/Management

☐ TR12 SAC/Div. Operation/Management - Assist Another Office

☐ TR13 SAC/Div. Meeting/Speech/GETA Training

☐ General Budget

☐ TR14 FBIHQ Regional Conference

☐ TR15 FBIHQ Special

☐ TR16 FBIHQ Commercial Training

☐ TR51 To/From Quantico FBI & Police Training

Travel Authorization No. [Redacted]

(B) Purpose of Travel:
 (See reverse to select an item number and description from a catalog)

111 Operational Travel

(Item No.) (Description)

- ☐ 1 - Conus
- ☐ 2 - Foreign
- ☐ 3 - Non Foreign

CAT	DESC	Estimated Expense	Actual Expense
TR	11 Air Fare	\$	\$
TR	12 Train Fare	\$	\$
TR	14 Airline Processing Fee	\$	\$
TR 11	21 Lodging	\$	\$
TR 11	22 M & IE	\$	\$
TR	31 P.O.A	\$	\$
TR	32 Miscellaneous	\$	\$
TR	35 Taxi	\$	\$
TR	36 Taxes (Lodging)	\$	\$
TR	37 Laundry	\$	\$
TR	41 Car Rental	\$	\$
11	Other - (Tolls)	\$	\$
Total		\$	\$

Transportation paid by GTA No. [Redacted]

Lodging paid by Purchase Order No. [Redacted]

Field Office Approval: SA [Redacted] Date: 05/03

Draft Approval Officer: [Redacted] Date Obligated: 05/03

Draft Number: [Redacted] Expense/Advance Draft Amount: \$ [Redacted]

Supplemental Draft Number: [Redacted] Amount: \$ [Redacted] Date: [Redacted]

Document Number: [Redacted] Cashier: [Redacted]

Distribution: White Original - Submit with SF-1012
 Yellow Copy - Draft Request File
 Draft Copy - Estimated Travel Control File

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/04/2001

To: New York

From: New York

White Collar Crime/Squad C-14/Public Corruption

Contact: SA [redacted] x3488

Approved By: [redacted]

Drafted By: [redacted]

mjm

b6

b7C

Case ID #: 58A-NY-278417-CE (Pending) B

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]
MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

OO: NY

Synopsis: To request authorization for travel.

Details: Squad C-14 is conducting a sensitive public corruption investigation concerning controversial pardons granted by former U.S. President WILLIAM CLINTON. An interview has been scheduled with an [redacted] year old witness at his home in [redacted]. [redacted] arrangements were made to accommodate the witness and conduct the interview at his home. SA [redacted] will travel to [redacted] via bucar with a federal prosecutor on the evening of 05/06/2001. Since the interview may be lengthy, SA [redacted] will stay overnight and conduct the interview on 05/07/2001.

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b7E

Costs for this trip are estimated to total less than [redacted]. Concurrence for interdivisional travel has been obtained from Boston Division. It is requested that SA [redacted] be authorized to travel to [redacted] for an investigative interview.

b6

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b7D

b7E

req_trav.cc

♦♦

58A-NY-278417

3

2001

[redacted]

b6

b7C

TRAVEL VOUCHER
(Read the Privacy Act
Statement on the
back)

1. DEPARTMENT OR ESTABLISHMENT,
BUREAU, DIVISION, OR OFFICE
FBI - DOJ

2. TYPE OF TRAVEL

☒ TEMPORARY DUTY
☐ PERMANENT CHANGE
OF STATION

3. VOUCHER NO.

4. SCHEDULE NO.

5. TRAVELER (PAYEE)
a. NAME (Last, first, middle initial)

b. SOCIAL SECURITY NUMBER

6. PERIOD OF TRAVEL

a. FROM 05/06/01 b. TO 05/07/01

c. MAILING ADDRESS (Include ZIP Code)

26 Federal Plaza
New York, New York 10278
Squad C-14

b6
b7C

d. OFFICE TELEPHONE NO.

212-384-3488

7. TRAVEL AUTHORIZATION

a. NUMBER(S) 3594359 b. DATE(S) 05/08/01

e. PRESENT DUTY STATION

New York

f. RESIDENCE (City and State)

New Jersey

10. CHECK NO.

8. TRAVEL ADVANCE

a. Outstanding
b. Amount to be applied
c. Amount due Government
(Attached: ☐ Check ☐ Cash)
d. Balance outstanding

9. CASH PAYMENT RECEIPT

a. DATE RECEIVED b. AMOUNT RECEIVED
c. PAYEE'S SIGNATURE

11. PAID BY

12. GOVERNMENT
TRANSPORTATION
REQUESTS, OR
TRANSPORTATION
TICKETS, IF PUR-
CHASED WITH CASH
(List by number below
and attach passenger
coupon; if cash is used
show claim on reverse
side.)

I hereby assign to the United States any right I may have against any parties in connection with reimbursable transportation charges described below, purchased under cash payment procedures (FPMR 101-7).

Traveler's initials

WJH

AGENT'S
VALUATION
OF TICKET

(a)

ISSUING
CARRIER
(Initials)

(b)

MODE,
CLASS OF
SERVICE
AND ACCOM-
MODATIONS

(c)

DATE
ISSUED

(d)

POINTS OF TRAVEL

FROM
(e)

TO
(f)

Actual Expenses
for
investigative
trip to

on 05/06/2001
to 05/07/2001
(see reverse
side)

New York, NY

(Roundtrip via
Bucar)

b6
b7C
b7E

13. I certify that this voucher is true and correct to the best of my knowledge and belief, and that payment or credit has not been received by me. When applicable, per diem claimed is based on the average cost of lodging incurred during the period covered by this voucher.

TRAVELER
SIGN HERE

DATE

05/17/01

AMOUNT
CLAIMED

\$

NOTE: Falsification of an item in an expense account works a forfeiture of claim (28 U.S.C. 2514) and may result in a fine of not more than \$10,000 or imprisonment for not more than 5 years or both (18 U.S.C. 287; I.d. 1001).

14. This voucher is approved. Long distance telephone calls, if any, are certified as necessary in the interest of the Government. (NOTE: If long distance telephone calls are included, the approving official must have been authorized in writing by the head of the department or agency to so certify (31 U.S.C. 680a).

APPROVING
OFFICIAL
SIGN HERE

DATE

17. FOR FINANCE OFFICE USE ONLY
COMPUTATION

a. DIFFER-
ENCES,
IF ANY
(Explain
and show
amount)

b. TOTAL VERIFIED CORRECT FOR
CHARGE TO APPROPRIATION

c. APPLIED TO TRAVEL ADVANCE
(Appropriation symbol):

d. NET TO TRAVELER

15. LAST PRECEDING VOUCHER PAID UNDER SAME TRAVEL AUTHORIZATION

a. VOUCHER NO. b. D.O. SYMBOL c. MONTH & YEAR

16. THIS VOUCHER IS CERTIFIED CORRECT AND PROPER FOR PAYMENT

AUTHORIZED
CERTIFYING
OFFICIAL
SIGN HERE

DATE

18. ACCOUNTING CLASSIFICATION

DATE		TIME (Hour and am/pm)	DESCRIPTION (Departure/arrival city, per diem computation, or other explanations of expense) (c)	BREAK-FAST (d)	LUNCH (e)	DINNER (f)	TOTAL (g)	MISCELLANEOUS SUBSISTENCE (h)	LODGING (i)	TOTAL SUBSISTENCE EXPENSE (j)	NO. OF MILES (k)	MILEAGE RATE (l)	SUBSISTENCE (m)	OTHER (n)
05/06	5:30 pm		Departed NYO for [redacted]											
05/06			3/4 Meal Per Diem per day) [redacted]											
05/06			Hotel Room Charge [redacted]											
05/06			3/4 Meal Per Diem per day) [redacted]											
05/06			State/City Taxes incurred											
05/06			Garage parking charge											
05/07	4:00 pm		Departed [redacted] for NYO											
											SUBTOTALS			
											TOTALS			

If additional space is required, continue on another SF 1012-A, BACK, leaving the front blank.

Noncompliance with the Privacy Act of 1974, the following information is provided: Solicitation of the information on this form is authorized by 5 U.S.C. Chap. 57 as implemented by the Federal Travel Regulations (FPMR 101-7), E.O. 11609 of July 22, 1971, E.O. 11012 of March 27, 1962, E.O. 9397 of November 22, 1943, and 28 U.S.C. 6011(b) and 6109. The primary purpose of the requested information is to determine payment or reimbursement to eligible individuals for allowable travel and/or relocation expenses incurred under appropriate administrative authorization, and to record and maintain costs for such reimbursements to the Government. The information will be used by officers and employees who have a need for the information in the performance of their official duties. The information may be disclosed to appropriate Federal, State, local or foreign agencies, when relevant to civil, criminal, or regulatory investigations or prosecutions, or when pursuant to a requirement by this agency in connection with the hiring or firing of an employee, the issuance of a security clearance, or investigations of the performance of official duty while in Government service. Your Social Security Account Number (SSN) is solicited under the authority of the Internal Revenue Code (26 U.S.C. 6011(b) and 6109) and E.O. 9397, November 22, 1943, for use as a tax payer and/or employee identification number; disclosure is MANDATORY on vouchers claiming travel and/or relocation allowance expense reimbursement which is, or may be, taxable income. Disclosure of your SSN and other requested information is voluntary in all other instances; however, failure to provide the information (other than SSN) required to support the claim may result in delay or loss of reimbursement.

Enter grand total of columns (l), (m), and (n), below and in Item 13 on the front of this form.

TOTAL AMOUNT CLAIMED

UPLOADED

WITH/TEXT _____
WITH/OUT TEXT J _____
BY ug _____
DATE 1/31/02 _____

57-M-27417-Sub-21
SEARCHED INDEXED
SERIALIZED FILED
JAN 29 2002
FBI — NEW-YORK

0
170
0

TRAVEL VOUCHER
(Read the Privacy Act
Statement on the
back)

1. DEPARTMENT OR ESTABLISHMENT,
BUREAU, DIVISION, OR OFFICE
FBI - DOJ

2. TYPE OF TRAVEL

☒ TEMPORARY DUTY
☐ PERMANENT CHANGE
OF STATION

3. VOUCHER NO.

4. SCHEDULE NO.

5. TRAVELER (PAYEE)
a. NAME (Last, first, middle initial)

b. SOCIAL SECURITY NUMBER

6. PERIOD OF TRAVEL

a. FROM 12/21/01 b. TO 12/21/01

c. MAILING ADDRESS (include ZIP Code)

26 Federal Plaza
New York, New York 10278
Squad C-14

b6
b7C

d. OFFICE TELEPHONE NO.

7. TRAVEL AUTHORIZATION

a. NUMBER(S) 3594285 b. DATE(S) 12/19/01

e. PRESENT DUTY STATION

New York

f. RESIDENCE (City and State)

New Jersey

10. CHECK NO.

8. TRAVEL ADVANCE

9. CASH PAYMENT RECEIPT

11. PAID BY

a. Outstanding

b. Amount to be applied

c. Amount due Government

(Attached: ☐ Check ☐ Cash)

d. Balance outstanding

a. DATE RECEIVED

b. AMOUNT RECEIVED

\$

c. PAYEE'S SIGNATURE

12. GOVERNMENT
TRANSPORTATION
REQUESTS, OR
TRANSPORTATION
TICKETS, IF PUR-
CHASED WITH CASH
(List by number below
and attach passenger
coupon; if cash is used
show claim on reverse
side.)

I hereby assign to the United States any right I may have against any parties in connection with reimbursable transportation charges described below, purchased under cash payment procedures (FPMR 101-7).

Traveler's Initials

AGENT'S
VALUATION
OF TICKET
(a)

ISSUING
CARRIER
(Initials)
(b)

MODE,
CLASS OF
SERVICE
AND ACCOM-
MODATIONS
(c)

DATE
ISSUED
(d)

POINTS OF TRAVEL

FROM
(e)

TO
(f)

Actual Expenses
for
investigative
trip to
on 12/21/2001
(see reverse
side)

New York, NY

(Roundtrip)

b7E

13. I certify that this voucher is true and correct to the best of my knowledge and belief, and that payment or credit has not been received by me. When applicable, per diem claimed is based on the average cost of lodging incurred during the period covered by this voucher.

TRAVELER
SIGN HERE

DATE

AMOUNT
CLAIMED

\$

NOTE: Falsification of an item in an expense account works a forfeiture of claim (28 U.S.C. 2514) and may result in a fine of not more than \$10,000 or imprisonment for not more than 5 years or both (18 U.S.C. 287; I.d. 1001).

14. This voucher is approved. Long distance telephone calls, if any, are certified as necessary in the interest of the Government. (NOTE: If long distance telephone calls are included, the approving official must have been authorized in writing by the head of the department or agency to so certify (31 U.S.C. 680a).

APPROVING
OFFICIAL
SIGN HERE

DATE

17. FOR FINANCE OFFICE USE ONLY
COMPUTATION

\$

a. DIFFER-
ENCES,
IF ANY:
(Explain
and show
amount)

b. TOTAL VERIFIED CORRECT FOR
CHARGE TO APPROPRIATION

\$

Certifier's Initials:
c. APPLIED TO TRAVEL ADVANCE
(Appropriation symbol):

\$

d. NET TO TRAVELER

\$

15. LAST PRECEDING VOUCHER PAID UNDER SAME TRAVEL AUTHORIZATION

a. VOUCHER NO.

b. D.O. SYMBOL

c. MONTH & YEAR

16. THIS VOUCHER IS CERTIFIED CORRECT AND PROPER FOR PAYMENT

AUTHORIZED
CERTIFYING
OFFICIAL
SIGN HERE

DATE

18. ACCOUNTING CLASSIFICATION

INSTRUCTIONS TO TRAVELER *(Unlisted items are self-explanatory)*

Col. (c) If the voucher includes per diem allowances for members of employee's immediate family, show members' names, ages, and relationship to employee and marital status of children *(unless information is shown on the travel authorization.)*

Complete only for actual expense travel

Col. (d) Show amount incurred for each meal, including tax and tips, and
(g) daily total meal cost.
(h) Show expenses, such as: laundry, cleaning and pressing of clothes, tips to bellboys, porters, etc. *(other than for meals)*.
(i) Complete for per diem and actual expense travel.
(j) Show total subsistence expense incurred for actual expense travel.
(m) Show per diem amount, limited to maximum rate, or if travel on actual expense, show the lesser of the amount from col. (j) or maximum rate.
(n) Show expenses, such as: taxi/limousine fares, air fare *(if purchased with cash)*, local or long distance telephone calls for Government business; car rental, relocation other than subsistence, etc.

Complete this PAGE
information of
if this is a
continuation
sheet. 1 PAGES

TRAVEL AUTHORIZATION
NO. 3594285

TRAVELER'S LAST NAME
McPHILLIPS

[illegible]

If additional space is required, continue on another SF 1012-A, BACK, leaving the front blank.

In compliance with the Privacy Act of 1974, the following information is provided: Solicitation of the information on this form is authorized by 5 U.S.C. Chap. 57 as implemented by the Federal Travel Regulations (FPMR 101-7), E.O. 11609 of July 22, 1971, E.O. 11012 of March 27, 1962, E.O. 8397 of November 22, 1943, and 26 U.S.C. 5011(b) and 6109. The primary purpose of the requested information is to determine payment or reimbursement to eligible individuals for allowable travel and/or relocation expenses incurred under appropriate administrative authorization and to record and maintain costs for such reimbursements to the Government. The information will be used by officers and employees who have a need for the information in the performance of their official duties. The information may be disclosed to appropriate Federal, State, local or foreign agencies, when relevant to

civil, criminal, or regulatory investigations or prosecutions, or when pursuant to a requirement by this agency in connection with the hiring or firing of an employee, the issuance of a security clearance, or investigations of the performance of official duty while in Government service. Your Social Security Account Number (SSN) is solicited under the authority of the Internal Revenue Code (26 U.S.C. 6011(b) and 6109) and E.O. 8397, November 22, 1943, for use as a tax payer and/or employee identification number; disclosure is MANDATORY on vouchers claiming travel and/or relocation allowance expense reimbursement which is, or may be, taxable income. Disclosure of your SSN and other requested information is voluntary in all other instances; however, failure to provide the information (other than SSN) required to support the claim may result in delay or loss of reimbursement.

Enter grand total of columns (l), (m), and (n), below and in Item 13 on the front of this form.

**TOTAL
AMOUNT
CLAIMED** ▶

TRAVEL REQUEST FORM

Date: 12/19/01 Fiscal Year: 02 Travel
 Name of Traveler: _____ FBIHQ Division: _____
 Field Office ID: _____ Cost Code: 3540 Squad/RA Code: C-14 SSAN: _____
 Itinerary: Travel Period From: 12/19/01 to 12/19/01
 Points of Travel From: _____ To: NYO to: _____ (round trip)
 File Number: 58A-NY-278417
 Justification: To request payment for travel to _____ for investigative interview.

Continue on additional blank page if necessary.

(A) Source of Travel Funds: (Select a catalog)

Division Budget

- ☒ TR11 SAC/Div. Operation/Management
☐ TR12 SAC/Div. Operation/Management - Assist Another Office
☐ TR13 SAC/Div. Meeting/Speech/GSTA Training

General Budget

- ☐ TR14 FBIHQ Regional Conference
☐ TR15 FBIHQ Special
☐ TR16 FBIHQ Commercial Training
☐ TR51 To/From Quantico FBI & Police Training

Travel Authorization No. _____

(B) Purpose of Travel

(See reverse to select an item number and description from a catalog)

111 Operational Travel
 (Item No.) (Description)

- ☐ 1 - Conus
☐ 2 - Foreign
☐ 3 - Non Foreign

CAT	DESC	Estimated Expense	Actual Expense
TR 11	11 Air Fare	\$ _____	\$ _____
TR	12 Train Fare	\$ _____	\$ _____
TR	14 Airline Processing Fee	\$ _____	\$ _____
TR	21 Lodging	\$ _____	\$ _____
TR	22 M & IE	\$ _____	\$ _____
TR	31 P.O.A	\$ _____	\$ _____
TR 11	32 Miscellaneous	\$ _____	\$ _____
TR 11	35 Taxi	\$ _____	\$ _____
TR	36 Taxes (Lodging)	\$ _____	\$ _____
TR	37 Laundry	\$ _____	\$ _____
TR	41 Car Rental	\$ _____	\$ _____
	Other - ()	\$ _____	\$ _____
Total		\$ _____	\$ _____

Transportation paid by GTA No. _____

Lodging paid by Purchase Order _____

Field Office Approval: SSA _____

Draft Approval Officer: _____

Draft Number: _____

Supplemental Draft Number: _____

Document Number: _____

Date: 12/19/01

Date Obligated: _____

Expense/Advance Draft Amount: \$ _____

Amount: \$ _____


Date: _____


Cashier: _____

Distribution: White Original - Submit with SF-1012
 Yellow Copy - Draft Request File
 Pink Copy - Estimated Travel Control File

UPLOADED

WITH/TEXT _____
WITH/CUT TEXT _____
BY QA _____
DATE 01/31/02 _____

58A-NY-278417 -CE- ^{Seal} 22 mp 

SEARCHED	INDEXED
SERIALIZED <u>49</u>	FILED <u>49</u>
JAN 29 2002	
FBI NEW YORK	
	<u>mp</u>

b6
b7C

TRAVEL VOUCHER
(Read the Privacy Act
Statement on the
back)

1. DEPARTMENT OR ESTABLISHMENT,
BUREAU, DIVISION, OR OFFICE
FBI - DOJ

2. TYPE OF TRAVEL

☒ TEMPORARY DUTY
☐ PERMANENT CHANGE
OF STATION

3. VOUCHER NO.

4. SCHEDULE NO.

5. TRAVELER (PAYEE)
a. NAME (Last, first, middle initial)

b. SOCIAL SECURITY NUMBER

6. PERIOD OF TRAVEL

a. FROM 01/28/02 b. TO 01/28/02

c. MAILING ADDRESS (Include ZIP Code)

26 Federal Plaza
New York, New York 10278
Squad C-14

b6
b7C
b7E

d. OFFICE TELEPHONE NO.

7. TRAVEL AUTHORIZATION

a. NUMBER(S) 3594299 b. DATE(S) 01/24/02

e. PRESENT DUTY STATION

New York

f. RESIDENCE (City and State)

New Jersey

10. CHECK NO.

8. TRAVEL ADVANCE

9. CASH PAYMENT RECEIPT

11. PAID BY

a. Outstanding

b. Amount to be applied

c. Amount due Government

(Attached: ☐ Check ☐ Cash)

d. Balance outstanding

a. DATE RECEIVED

b. AMOUNT RECEIVED

\$

c. PAYEE'S SIGNATURE

12. GOVERNMENT
TRANSPORTATION
REQUESTS, OR
TRANSPORTATION
TICKETS, IF PUR-
CHASED WITH CASH
(List by number below
and attach passenger
coupon; if cash is used
show claim on reverse
side.)

I hereby assign to the United States any right I may have against any parties in connection with reimbursable transportation charges described below, purchased under cash payment procedures (FPMR 101-7).

Traveler's Initials

AGENT'S
VALUATION
OF TICKET
(a)

ISSUING
CARRIER
(Initials)
(b)

MODE,
CLASS OF
SERVICE
AND ACCOM-
MODATIONS
(c)

DATE
ISSUED
(d)

POINTS OF TRAVEL

FROM
(e)

TO
(f)

Actual Expenses
for
investigative
trip to
on 01/28/2002
(see reverse
side)

New York, NY

(Roundtrip)

b6
b7C
b7E

13. I certify that this voucher is true and correct to the best of my knowledge and belief, and that payment or credit has not been received by me. When applicable, net claim claimed is based on the average cost of lodging incurred during the period covered by this voucher.

TRAVELER
SIGN HERE

DATE

01/31/02

AMOUNT
CLAIMED

\$

NOTE: Falsification of an item on an expense account warrants forfeiture of claim (28 U.S.C. 2514) and may result in a fine of not more than \$10,000 or imprisonment for not more than 5 years or both (18 U.S.C. 287; I.d. 1001).

14. This voucher is approved. Long distance telephone calls, if any, are certified as necessary in the interest of the Government. (NOTE: If long distance telephone calls are included, the approving official must have been authorized in writing by the head of the department or agency to so certify (31 U.S.C. 680a).

APPROVING
OFFICIAL
SIGN HERE

DATE

17. FOR FINANCE OFFICE USE ONLY
COMPUTATION

a. DIFFER-
ENCES,
IF ANY
(Explain
and show
amount)

b. TOTAL VERIFIED CORRECT FOR
CHARGE TO APPROPRIATION

c. APPLIED TO TRAVEL ADVANCE
(Appropriation symbol)

d. NET TO TRAVELER

15. LAST PRECEDING VOUCHER PAID UNDER SAME TRAVEL AUTHORIZATION

a. VOUCHER NO.

b. D.O. SYMBOL

c. MONTH & YEAR

16. THIS VOUCHER IS CERTIFIED CORRECT AND PROPER FOR PAYMENT

AUTHORIZED
CERTIFYING
OFFICIAL
SIGN HERE

DATE

18. ACCOUNTING CLASSIFICATION

INSTRUCTIONS TO TRAVELER (Unlisted items are self-explanatory)

Col. (c) If the voucher includes per diem allowances for members of employee's immediate family, show members' names, ages, and relationship to employee and marital status of children (unless information is shown on the travel authorization.)

Complete only for actual expense travel

Col. (d) Show amount incurred for each meal, including tax and tips, and
(g) daily total meal cost.
(h) Show expenses, such as: laundry, cleaning and pressing of clothes, tips to bellboys, porters, etc. (other than for meals).
(i) Complete for per diem and actual expense travel.
(j) Show total subsistence expense incurred for actual expense travel.
(m) Show per diem amount, limited to maximum rate, or if travel on actual expense, show the lesser of the amount from col. (j) or maximum rate.
(n) Show expenses, such as: taxi/limousine fares, air fare (if purchased with cash), local or long distance telephone calls for Government business, car rental, relocation other than subsistence, etc.

Complete this PAGE
information of
If this is a
continuation
sheet. 1 PAGES

TRAVEL AUTHORIZATION

NO. 3594299

TRAVELER'S LAST NAME

McPHILLIPS

[illegible]

In compliance with the Privacy Act of 1974, the following information is provided: Solicitation of the information on this form is authorized by 5 U.S.C. Chap. 57 as implemented by the Federal Travel Regulations (FPMR 101-7), E.O. 11609 of July 22, 1971, E.O. 11012 of March 27, 1982, E.O. 9387 of November 22, 1983, and 26 U.S.C. 6011(b) and 6109. The primary purpose of the requested information is to determine payment of reimbursement to eligible individuals for allowable travel and/or relocation expenses incurred under appropriate administrative authorization and to record and maintain costs for such reimbursements to the Government. The information will be used by officers and employees who have a need for the information in the performance of their official duties. The information may be disclosed to appropriate Federal, State, local or foreign agencies, when relevant to

civil, criminal, or regulatory investigations or prosecutions, or when pursuant to a requirement by this agency in connection with the hiring or firing of an employee, the issuance of a security clearance, or investigations of the performance of official duty while in Government service. Your Social Security Account Number (SSN) is solicited under the authority of the Internal Revenue Code (28 U.S.C. 8011(b) and 6109) and E.O. 9397, November 22, 1943, for use as a tax payer and/or employee identification number; disclosure is MANDATORY on vouchers claiming travel and/or relocation allowance expense reimbursement which is, or may be, taxable income. Disclosure of your SSN and other requested information is voluntary in all other instances; however, failure to provide the information (other than SSN) required to support the claim may result in delay or loss of reimbursement.

Enter grand total of columns (l), (m), and (n), below and in Item 13 on the front of this form.

01	TOTAL
02	AMOUNT
03	CLAIMED

TRAVEL REQUEST FORM

Date: 01/24/02 Year 01 Travel
 Name of Traveler: [Redacted] FBIHQ Division: [Redacted]
 Field Office ID: NY Cost Code: 3540 Squad/RA Code: C-14 SSAN: / /
 Itinerary: Travel Period From: 01/28/02 to 01/28/02
 Points of Travel From: NYD to [Redacted] (ROUND TRIP)
 To _____ to _____

b6
b7C
b7E

Justification: SA [Redacted] requests payment for operational expenses incurred while on official duty
 File Number: 58A-NY-278417

(Continue on additional blank page if necessary.)

(A) Source of Travel Funds: (Select a catalog)

Division Budget

- ☒ TR11 SAC/Div. Operation/Management
☐ TR12 SAC/Div. Operation/Management - Assist Another Office
☐ TR13 SAC/Div. Meeting/Speech/GETA Training

General Budget

- ☐ TR14 FBIHQ Regional Conference
☐ TR15 FBIHQ Special
☐ TR16 FBIHQ Commercial Training
☐ TR51 To/From Quantico FBI & Police Training

Travel Authorization No. _____

(B) Purpose of Travel:

(See reverse to select an item number and description from a catalog)

111 Operational Travel
 (Item No.) (Description)

- ☐ 1 - Conus
☐ 2 - Foreign
☐ 3 - Non Foreign

CAT	DESC		Estimated Expense	Actual Expense
TR 11	11	11 Air Fare	\$ [Redacted]	\$ [Redacted]
TR		12 Train Fare	\$ [Redacted]	\$ [Redacted]
TR		14 Airline Processing Fee	\$ [Redacted]	\$ [Redacted]
TR		21 Lodging	\$ [Redacted]	\$ [Redacted]
TR		22 M & IE	\$ [Redacted]	\$ [Redacted]
TR		31 P.O.A.	\$ [Redacted]	\$ [Redacted]
TR		32 Miscellaneous	\$ [Redacted]	\$ [Redacted]
TR		35 Taxi	\$ [Redacted]	\$ [Redacted]
TR		36 Taxes (Lodging)	\$ [Redacted]	\$ [Redacted]
TR		37 Laundry	\$ [Redacted]	\$ [Redacted]
TR		41 Car Rental	\$ [Redacted]	\$ [Redacted]
		Other - (Parking/Tolls)	\$ [Redacted]	\$ [Redacted]
		Total	\$ [Redacted]	\$ [Redacted]

b6
b7C
b7E

Transportation paid by GTA No. _____

Lodging paid by Purchase Order _____

Field Office Approval: _____

Draft Approval Officer: _____

Draft Number: _____

Supplemental Draft Number: _____

Document Number: _____

Date: 01/24/02

Date Obligated: _____

Expense/Advance Draft Amount: \$ _____

Amount: \$ _____

Date: _____

Cashier: _____

Distribution: White Original - Submit with SF-1012
 Yellow Copy - Draft Request File
 Pink Copy - Estimated Travel Control File

UPLOADED
WITH TEXT ✓
WITHOUT TEXT
BY ug
DATE 1/31/02

58A-NY-278412 ^{Sub} ^{CE} (K)

SEARCHED	INDEXED
SERIALIZED <u>ug</u>	FILED <u>ug</u>
1 11 2 2002	
FBI — NEW YORK	
<u>my</u>	

23

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 01/23/2002

To: New York

From: New York

White Collar Crime/Squad C-14/Public Corruption

Contact: SA [redacted]

Approved By: [redacted] *RJC/se*

Drafted By: [redacted] *mjm*

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B);
[redacted]
ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To request approval for travel to [redacted] for review and collection of records at [redacted]

Details: On 01/28/2002, [redacted] has scheduled a meeting at their [redacted] office for the FBI and the U.S. Attorney's Office (SDNY) to review and collect a large volume of records relating to the [redacted]. The records were obtained [redacted] after the Clinton administration and are being made available for investigators to review prior to copies being made for presentation to the grand jury.

Due to the sensitive nature of this investigation, it is requested that SA [redacted] be permitted to travel to [redacted] and conduct the review of these documents. In addition, AUSA [redacted] SDNY, has requested that SA [redacted] travel to [redacted] with him on 01/28/2002 to review the large volume of materials. The review and collection of documents is expected to not exceed more than one business day.

Authority is requested for SA [redacted] to travel to [redacted] on the morning of 01/28/2002. SA [redacted] is expected to return to the NYO on the evening of 01/28/2002. The

b6
b7C

b3
b6
b7C
b7E

b3
b6
b7C
b7E

To: New York From: New York
Re: 58A-NY-278417, 01/23/2002

cost of this travel, including airfare, parking, and taxi service,
is not expected to exceed [REDACTED]

[REDACTED] ravel.ec
♦♦

b3
b7E

UNLOADED

WITH/TEXT ☒
WITH/CONTENT ☐
BY ug
DATE 2/1/02

58A-NY-278417-CE-^{Sub}

SEARCHED	INDEXED
SERIALIZED	FILED
<u>ug</u>	<u>ug</u>
FEB 27 2002	
NEW YORK	
<u>mp</u>	

b6
b7c

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 10/05/2001

To: New York

Attn: Financial Management Unit

From: New York

White Collar Crime/Squad C-14/Public Corruption

Contact: SA [REDACTED]

Approved By: [REDACTED]

Drafted By: [REDACTED]

mjm

b6
b7C

Case ID #: 58A-NY-278417-CE (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[REDACTED] ET AL;

CFPO - EXECUTIVE BRANCH;

OO: NY

Synopsis: To submit travel documents to FMU and request payment for investigative travel.

Enclosure(s): (1) One FD-540; (2) One FD-1012; (3) One approved EC for travel; (4) Original receipts.

Details: On 09/10/2001, SA [REDACTED] with SA [REDACTED] (C-14) to conduct an interview of a source and review documents in the possession of the [REDACTED] Division. Due to the terrorist attacks that occurred on 09/11/2001, SA [REDACTED] and SA [REDACTED] were detained in [REDACTED] and forced to incur additional unexpected expenses. SA [REDACTED] and SA [REDACTED] took the first available flight back to New York from [REDACTED]. The additional expenses exceeded the estimated expenses as documented on the enclosed original FD-540. It is requested that SA [REDACTED] be paid the sum of [REDACTED] for costs incurred during investigative travel.

b6
b7C
b7E

pay.ec

TRAVEL VOUCHER
(Read the Privacy Act
Statement on the
back)

1. DEPARTMENT OR ESTABLISHMENT,
BUREAU, DIVISION, OR OFFICE

FBI - DOJ

2. TYPE OF TRAVEL

☒ TEMPORARY DUTY
☐ PERMANENT CHANGE
OF STATION

3. VOUCHER NO.

4. SCHEDULE NO.

5. TRAVELER (PAYEE)
a. NAME (Last, first, middle initial)

b. SOCIAL SECURITY NUMBER

6. PERIOD OF TRAVEL

a. FROM 09/10/01 b. TO 09/15/01

c. MAILING ADDRESS (Include ZIP Code)

26 Federal Plaza
New York, New York 10278
Squad C-14

b6
b7C

d. OFFICE TELEPHONE NO.

7. TRAVEL AUTHORIZATION

a. NUMBER(S) 3432997 b. DATE(S) 09/07/01

e. PRESENT DUTY STATION

New York

f. RESIDENCE (City and State)

New Jersey

10. CHECK NO.

8. TRAVEL ADVANCE

9. CASH PAYMENT RECEIPT

11. PAID BY

a. Outstanding

b. Amount to be applied

c. Amount due Government

(Attached: ☐ Check ☐ Cash)

d. Balance outstanding

a. DATE RECEIVED

b. AMOUNT RECEIVED

c. PAYEE'S SIGNATURE

12. GOVERNMENT
TRANSPORTATION
REQUESTS, OR
TRANSPORTATION
TICKETS, IF PUR-
CHASED WITH CASH
(List by number below
and attach passenger
coupon; if cash is used
show claim on reverse
side.)

I hereby assign to the United States any right I may have against any parties in connection with reimbursable transportation charges described below, purchased under cash payment procedures (FPMR 101-7).

Traveler's Initials

mp

AGENT'S
VALUATION
OF TICKET
(a)

ISSUING
CARRIER
(Initials)
(b)

MODE,
CLASS OF
SERVICE
AND ACCOM-
MODATIONS
(c)

DATE
ISSUED
(d)

POINTS OF TRAVEL

FROM
(e)

TO
(f)

New York, NY

(Roundtrip)

Actual Expenses
for
investigative
trip to ☐
on
09/10/2001 to
09/15/2001 (see
reverse side)

b6
b7C
b7E

13. I certify that this voucher is true and correct to the best of my knowledge and belief, and that payment or credit has not been received by me. When applicable, per diem claimed is based on the average cost of lodging in the area during the period covered by this voucher.

TRAVELER
SIGN HERE

DATE

10/05/01

AMOUNT
CLAIMED

\$

NOTE: Falsification of an item in an expense account works a forfeiture of claim (28 U.S.C. 2514) and may result in a fine of not more than \$10,000 or imprisonment for not more than 5 years or both (18 U.S.C. 287; i.d. 1001).

14. This voucher is approved. Long distance telephone calls, if any, are certified as necessary in the interest of the Government. (NOTE: If long distance telephone calls are included, the approving official must have been authorized in writing by the head of the department or agency to so certify (31 U.S.C. 680a).

APPROVING
OFFICIAL
SIGN HERE

DATE

17. FOR FINANCE OFFICE USE ONLY
COMPUTATION

a. DIFFER-
ENCES,
IF ANY
(Explain
and show
amount)

\$

b. TOTAL VERIFIED CORRECT FOR
CHARGE TO APPROPRIATION

\$

Certifier's Initials:

c. APPLIED TO TRAVEL ADVANCE
(Appropriation symbol):

\$

d. NET TO TRAVELER

\$

15. LAST PRECEDING VOUCHER PAID UNDER SAME TRAVEL AUTHORIZATION

a. VOUCHER NO.

b. D.O. SYMBOL

c. MONTH & YEAR

16. THIS VOUCHER IS CERTIFIED CORRECT AND PROPER FOR PAYMENT

AUTHORIZED
CERTIFYING
OFFICIAL
SIGN HERE

DATE

18. ACCOUNTING CLASSIFICATION

**SCHEDULE
OF
EXPENSES
AND
AMOUNTS
CLAIMED**

INSTRUCTIONS TO TRAVELER *(Unlisted items are self-explanatory)*

Col. (c) If the voucher includes per diem allowances for members of employee's immediate family, show members' names, ages, and relationship to employee and marital status of children *(unless information is shown on the travel authorization.)*

Complete only for actual expense travel

- Col. (d) Show amount incurred for each meal, including tax and tips, and daily total meal cost.
 (g) Show expenses, such as: laundry, cleaning and pressing of clothes, tips to bellboys, porters, etc. *(other than for meals).*
 (h) Complete for per diem and actual expense travel.
 (i) Show total subsistence expense incurred for actual expense travel.
 (m) Show per diem amount, limited to maximum rate, or if travel on actual expense, show the lesser of the amount from col. (i) or maximum rate.
 (n) Show expenses, such as: taxi/limousine fares, air fare *(if purchased with cash)*, local or long distance telephone calls for Government business, car rental, relocation other than subsistence, etc.

Complete this PAGE
 information of
 if this is a
 continuation
 sheet. 1PAGES

**TRAVEL AUTHORIZATION
NO. 3432997**

**TRAVELER'S LAST NAME
McPHILLIPS**

DATE 2001 (a)	TIME (Hour and am/pm) (b)	DESCRIPTION (Departure/arrival city, per diem computation, or other explanations of expense) (c)	ITEMIZED SUBSISTENCE EXPENSES							MILEAGE RATE: (k)	AMOUNT CLAIMED		
			MEALS				MISCELLANEOUS SUBSISTENCE (h)	LODGING (i)	TOTAL SUBSISTENCE EXPENSE (j)		MILEAGE (l)	SUBSISTENCE (m)	OTHER (n)
			BREAK-FAST (d)	LUNCH (e)	DINNER (f)	TOTAL (g)							
09/10		Roundtrip flight from											
09/15		NY to											
09/10		3/4 Meal Per Diem (Travel day)											
09/10		Hotel Room Charges											
09/14													
		(4 nights @ per night)											
09/11		Full Day Meal Per Diem											
09/13		x 3 days											
09/12		Hotel Daily Parking Fee											
09/14		3/4 Meal Per Diem (Travel day)											
09/10		State/City Taxes											
09/14		Incurred at Hotel											
09/10		Telephone surcharges											
09/14		at Hotel											
		(23 calls @ per call)											
09/15		Airport Parking Charge											
09/10		Taxi Charge											
										SUBTOTALS			
										TOTALS			

If additional space is required, continue on another SF 1012-A, BACK, leaving the front blank.

In compliance with the Privacy Act of 1974, the following information is provided: Solicitation of the information on this form is authorized by 5 U.S.C. Chap. 57 as implemented by the Federal Travel Regulations (FPMR 101-7), E.O. 11609 of July 22, 1971, E.O. 11012 of March 27, 1962; E.O. 9397 of November 22, 1943, and 26 U.S.C. 6011(b) and 6109. The primary purpose of the requested information is to determine payment or reimbursement to eligible individuals for allowable travel and/or relocation expenses incurred under appropriate administrative authorization and to record and maintain costs for such reimbursements to the Government. The information will be used by officers and employees who have a need for the information in the performance of their official duties. The information may be disclosed to appropriate Federal, State, local or foreign agencies, when relevant to

civil, criminal, or regulatory investigations or prosecutions, or when pursuant to a requirement by this agency in connection with the hiring or firing of an employee, the issuance of a security clearance, or investigations of the performance of official duty while in Government service. Your Social Security Account Number (SSN) is solicited under the authority of the Internal Revenue Code (26 U.S.C. 6011(b) and 6109) and E.O. 9397, November 22, 1943, for use as a tax payer and/or employee identification number; disclosure is MANDATORY on vouchers claiming travel and/or relocation allowance expense reimbursement which is, or may be, taxable income. Disclosure of your SSN and other requested information is voluntary in all other instances; however, failure to provide the information (other than SSN) required to support the claim may result in delay or loss of reimbursement.

Enter grand total of columns (l), (m), and (n), below and in Item 13 on the front of this form.

**TOTAL
AMOUNT
CLAIMED**

TRAVEL REQUEST FORM

Date: 09/07/01 Fiscal Year 01 Travel
 Name of Traveler: [Redacted] FBIHQ Division: [Redacted]
 Field Office ID: NY Cost Code: 3540 SSAN: [Redacted]
 Itinerary: Travel Period From: 09/10/01 to 09/11/01 Squad/RA Code: C-14
 Points of Travel From: NYO to [Redacted] b6
 To: NYO to [Redacted] b7C
 File Number: 58A-NY-27847 b7E

Justification: SA [Redacted] requests reimbursement for expenses incurred while on official FBI duty.

Continue on additional blank page if necessary.

(A) Source of Travel Funds: (Select a catalog)

Division Budget

- (☒) TR11 SAC/Div. Operation/Management
 () TR12 SAC/Div. Operation/Management - Assist Another Office
 () TR13 SAC/Div. Meeting/Speech/GETA Training

General Budget

- () TR14 FBIHQ Regional Conference
 () TR15 FBIHQ Special
 () TR16 FBIHQ Commercial Training
 () TR51 To/From Quantico FBI & Police Training

Travel Authorization No.: _____

(B) Purpose of Travel:

(See reverse to select an item number and description from a catalog)

11 Operational travel
 (Item No.) (Description)

- (☒) 1 - Domestic
 () 2 - Foreign

CAT	DESC		Estimated Expense	Actual Expense
TR 11	11	11 Air Fare	\$ [Redacted]	\$ [Redacted]
TR		12 Train Fare	\$	\$
TR 11	21	21 Lodging	\$	\$
TR 11	22	22 M & IE	\$	\$
TR		31 P.O.A. b6	\$	\$
TR 11	32	32 Miscellaneous b7C	\$	\$
TR		35 Taxi b7E	\$	\$
TR 11	36	36 Taxes	\$	\$
TR		37 Laundry	\$	\$
TR		41 Car Rental	\$	\$
		Other - ()	\$	\$
Total			\$ [Redacted]	\$ [Redacted]

Transportation paid by GTA No. _____

Lodging paid by Purchase Order No. _____

Field Office Approval: _____

Draft Approval Officer: _____

Draft Number: _____

Supplemental Draft Number: _____

Document Number: _____

Expense/Advance Draft Amount: \$ _____

Amount: \$ _____

Cashier: _____

Date: 9-7-01

Date Obligated: _____

Date: _____

Distribution: White Original - Submit with SF-1012
 Yellow Copy - Draft Request File
 Pink Copy - Estimated Travel Control File

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 02/11/2002

To: New York

Attn: FMU

From: New York

Squad C-14 Public Corruption

Contact: [REDACTED]

Approved By: [REDACTED] *[Signature]*

b6
b7C

Drafted By: [REDACTED] nm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER
U.S. PRESIDENT, ET AL;
CFPO-EXECUTIVE BRANCH
OO:NY

Synopsis: Request approval for reimbursement of expenses incurred in captioned investigation.

Enclosures: For FMU, are one copy of the FD-794, and two invoices from [REDACTED]

b7E

Details: For information of FMU, as part of this ongoing, sensitive investigation, copies of recorded conversations made by [REDACTED]

[REDACTED] were needed for review and Federal Grand Jury preparation by the case Agents. [REDACTED] requested [REDACTED] be provided in order for the copies to be made. The [REDACTED] were purchased by SA [REDACTED] using her personal credit card, through the Federal supplier, and were sent to [REDACTED]

b3
b6
b7C
b7D
b7E

[REDACTED] The NYO does not use or stock [REDACTED]
[REDACTED] Case fund expenditure is as follows:

Total Authorization:
Balance Before Payment:
Amount of This Payment:
Balance After Payment:

[REDACTED]

RECEIVED
DATE 3/4/02
BY *[Signature]*

58A-NY-278417 Sub-25
SEARCHED *[initials]* INDEXED *[initials]*
SERIALIZED *[initials]* FILED *[initials]*
FEB 20 2002
FBI - NEW YORK
(W)

FD-794 (Rev. 2-8-96)

1. Classification

58A

☒ (AC) Criminal Case
☐ (AD) Criminal OCDETF Case
☐ (AF) FCI Case
☐ (BC) Informant/CW
☐ (BD) Informant/CW Providing Drug Info.

☐ (CF) Asset
☐ (DC) Group II UCO
☐ (DD) OCDETF Group II
☐ (E) Group I
☐ (F) FCI UCO

☐ (GA) SOG
☐ (GB) OPS
☐ (GC) Air Operation
☐ (H) SSG
☐ (J) FCI Lookout

Draft Request

2. Date 01/31/02

3. Request for: () Advance (X) Expense

4. Social Security No:

5. Payee Name:

6. File No: 58A NY 278 4 17

16. Cat	Item No	7. Description	8. Amount
01			
02		BILL FOR	
03			
04		INVOICES 7278.31	b3
05		7277.457	b6
06			b7C
07			b7D
			b7E

9. Total \$

10. Justification:

needed to replace

has to

Asset/Informant File No.

Symbol No.

Payment/Code Name

Period Covered to

One Time Non-symbol Source Payment:

True Name:

DOB:

SSAN:

Date of Waiver: / /

b6

b7C

11. Payment for reimbursable expense - forfeiture or drug related? Yes ☒ No

12. Requested by

13. Telephone No:

14.

Approval

Date

Supervisor Initials:

SAC / ASAC / AO / SAS Approval:

Supply Technician Approval:

Draft Approval Officer:

Procurement Authorization:

No.

15. Vendor No.

Group No.

17. Obligation No.

18. Cost Center:

19. Squad/RA:

20. Follow-up Date:

21. Document No.

22. CONF

COMM

23. Draft No.

24. Date:

25. Cashier:

26. Settlement of Advance: Prior month Advance Balance: \$

This Advance: \$

Less: Receipts: \$

Funds Returned and/or Cash on Hand: \$

Amount to be Reimbursed: \$

27. Document No.

28. Draft No.

29. Date:

30. Cashier:

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1256617-0

Total Deleted Page(s) = 21

Page 10 ~ Referral/Consult;
Page 11 ~ Referral/Consult;
Page 12 ~ Referral/Consult;
Page 13 ~ Referral/Consult;
Page 14 ~ Referral/Consult;
Page 15 ~ Referral/Consult;
Page 18 ~ b5; b6; b7C;
Page 19 ~ b5; b6; b7C;
Page 20 ~ b5; b6; b7C;
Page 21 ~ b5; b6; b7C;
Page 22 ~ b5; b6; b7C;
Page 23 ~ b5; b6; b7C;
Page 25 ~ Referral/Consult;
Page 26 ~ Referral/Consult;
Page 27 ~ Referral/Consult;
Page 28 ~ Referral/Consult;
Page 29 ~ Referral/Consult;
Page 30 ~ Referral/Consult;
Page 31 ~ Referral/Consult;
Page 32 ~ Referral/Consult;
Page 33 ~ Referral/Consult;

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X Deleted Page(s) X
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UPLOADED
- WITH/TEXT ✓
WITH/OUT TEXT
BY ug
DATE 2/23/04

58A-NY-27847 - A - ^{Sub}
msc ug ug 1 mg
mg

b6
b7C

~~SECRET~~

(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 02/20/2004

To: New York

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted] /mjm

Approved By: [redacted] *MA*

b6
b7C

Drafted By: [redacted] *mjm*

Case ID #: 58A-NY-278417-A (Pending)

Title:

UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

b6
b7C

Synopsis: To request opening of subfile.

Details: Squad C-14 Rotor is requested to open a subfile (Sub A) to serve as a repository for ~~Secret~~ documents obtained in the above investigation.

♦♦

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT _____
BY ug
DATE 2/23/04

58A-NY-278417-A ^{SUB} 2

SEARCHED	INDEXED
SERIALIZED	FILED
MAR 8 2004	
FBI - NEW YORK	

MAC mp

[Redacted Box] mp

b6
b7C

~~SECRET~~

FEDERAL BUREAU OF INVESTIGATION

b6
b7C

Precedence: ROUTINE

Date: 02/20/2004

To: New York

From: New York

White Collar Crime/Squad C-14

Contact: SA [REDACTED]/mjm

Approved By: [REDACTED]

b6
b7C

Drafted By: [REDACTED] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[REDACTED]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

RESTRICTED DOCUMENT - DISSEMINATE TO PERSON(S) WITH ROLE

Synopsis: To provide results of investigative visit to [REDACTED] on
02/19/2004.

Details: On 08/13/2003, SA [REDACTED] visited the [REDACTED] with AUSA

[REDACTED]

b3
b6
b7C
b7E

The following general, relevant information was obtained
from a review of SA [REDACTED] notes from August of 2003. The
following information was derived from ~~SECRET~~ documents and notes
taken by SA [REDACTED]

b3
b6
b7C
b7E

[REDACTED]

To: New York From: New York
Re: 58A-NY-278417, 02/20/2004

~~SECRET~~

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

b3
b6
b7C
b7E

On 02/19/2004, SA [REDACTED] visited the [REDACTED]

[REDACTED]

b3
b6
b7C
b7E

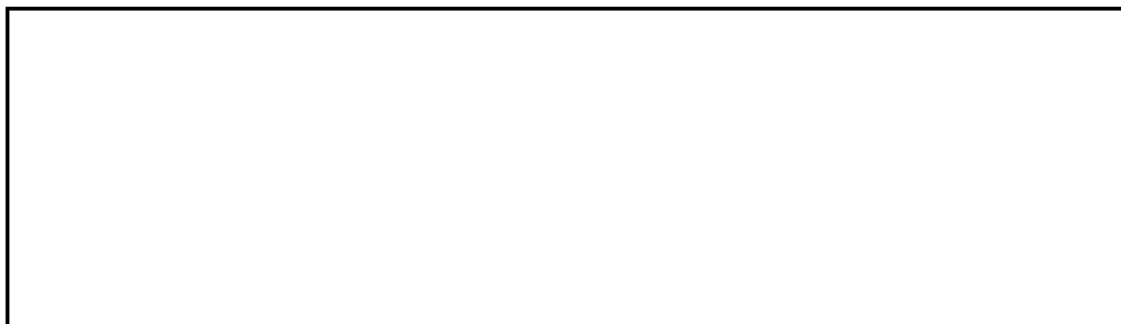
[REDACTED]

[REDACTED]

To: New York From: New York
Re: 58A-NY-278417, 02/20/2004

~~SECRET~~

•
b3
b6
b7C
b7E



When the notes are retrieved from the 02/19/2004 visit,
a more specific will follow.

♦♦

~~SECRET~~

back of page

UNLOADED

WITH/TEXT _____
WITH/OUT TEXT ✓
BY (S) 2/26/04
DATE 2/26/04

58A-NY-278417-A ^{SUB}

SEARCHED	INDEXED
SERIALIZED	FILED

msl

[Redacted Box] [Redacted Box] mp

4 (X) mp

b6
b7C

NSICG

FACSIMILE TRANSMITTAL

(SECURE)

b6
b7C

CLASSIFICATION:

- ☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL

FROM: [REDACTED]

Office of General Counsel

DATE:

*25 Feb 2004*NO. OF PAGES:
(INCL. FAX COVER
SHEET) *7*

FAX #

TO:

FAX #: *212-384-2600*ORGANIZATION: *FBI NY Office*ATTN: *SA* [REDACTED] *C-14*

TEL #/EXT.: [REDACTED]

PRIORITY:

- ☐ 1 HOUR
☐ 2-4 HOURS
☐ SAME DAY
☐ NEXT DAY

b6
b7C

IS RECEIVING FACILITY WITTING?

YES ☐NO ☐

SUBJECT:

MESSAGE/REMARKS/COMMENTS:

ORIGINATOR/OFFICE/TELEPHONE NO.:

b6
b7C

RELEASING OFFICIAL SIGNATURE:

b6
b7CCL BY [REDACTED]
CL REASON *1.6(c)*
DECL ON *1X*
DRV FROM *COL 2-32*

~~SECRET~~

back of page

~~SECRET~~

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT _____
BY up
DATE 3/1/04

58A-NY-278417-A sub-
APR 1 2004
MOC up
[Redacted Box] mp
[Redacted Box] mp
3-17
mp
6

b6
b7C

~~SECRET~~

FBI INFO.
CLASSIFIED BY: NSICG [REDACTED]
REASON: 1.4 (C)
DECLASSIFY ON: 12-31-2029
DATE: 09-13-2016

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 02/25/2004

To: New York

From: New York

White Collar Crime/Squad C-14

Contact: SA [REDACTED]/mjm

Approved By: [REDACTED]

b6
b7C

Drafted By: [REDACTED]

mjm

Case ID #: (U) ✓ 58A-NY-278417 (Pending)

Title: (U) UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6
b7C

[REDACTED]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: (U) To provide results of investigative visit to [REDACTED] on
02/19/2004.

b3
b7E

(U) ~~Derived From: G-1~~
~~Declassify On: X1~~

Details: (S) On 02/19/2004, SA [REDACTED] visited [REDACTED] and
reviewed documents regarding [REDACTED]
[REDACTED] At that time, SA [REDACTED] notes were classified as
"SECRET" and retained by [REDACTED] They were then faxed to the NYO
on 02/25/2004.

b3
b6
b7C
b7E

(U) The following general, relevant information was
obtained from a review of SA [REDACTED] notes from 02/19/2004.

(S) [REDACTED]
[REDACTED]

~~SECRET~~

~~SECRET~~

To: New York From: New York
Re: (U) 58A-NY-278417, 02/25/2004

(U)

~~(S)~~

[Redacted]

(U)

[Redacted]

(U)

[Redacted]

b3
b6
b7C
b7E

(U)

[Redacted]

(U)

~~(S)~~

[Redacted]

~~SECRET~~

~~SECRET~~

To: New York From: New York
Re: (U) 58A-NY-278417, 02/25/2004

(U)

b3
b6
b7C
b7E

(S) (U)

(U)

(U)

(S) (U)

(U)

(S) (U)

(U)

b3
b6
b7C
b7E

b3
b6
b7C
b7E

~~SECRET~~

~~SECRET~~

To: New York From: New York
Re: (U) 58A-NY-278417, 02/25/2004

[Redacted]

(U)

[Redacted]

[Redacted]

b3
b6
b7C
b7E

[Redacted]

(U)

(S)

[Redacted]

[Redacted]

(S)

[Redacted]

(U)

[Redacted]

♦♦

~~SECRET~~

Clear
Lead
31-04

58A-NY-278417-A -

SEARCHED	INDEXED
SERIALIZED	FILED
2004 MAR	



YONK

* Please put in
Sub A (Secret
sub file)
Thank

b6
b7C

~~SECRET~~

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/18/2004

To: New York

Attn: SA [REDACTED]
Squad C-14

From: Washington Field
CI-8

Contact: SA [REDACTED]

Approved By: [REDACTED] *FJB*

Drafted By: [REDACTED] *al*

Case ID #: (U) 58A-NY-278417 (Pending)-*414*

b6
b7C

Title: (U) UNSUB (S);
WILLIAM J. CLINTON-FORMER U.S. PRESIDENT;



MARC RICH - FUGATIVE (B);
ET AL;
CFPO-EXECUTIVE BRANCH;
OO: NY.

Synopsis: (U) To cover lead.

~~(S)~~ (U) ~~Derived From: G-3~~
~~Declassify On: X1~~

Administrative: (S) EXTREME CAUTION SHOULD BE UTILIZED IN THE
HANDLING OF INFORMATION PROVIDED BY [REDACTED] A SENSITIVE AND
RELIABLE FEDERAL BUREAU OF INVESTIGATION SOURCE OF CONTINUING VALUE,
AND SHOULD BE PROPERLY PARAPHRASED IN ALL COMMUNICATIONS.

b1
b3
b6
b7C
b7D

(U) Details: ~~(S)~~ Washington Field was in receipt of a 04/07/2003 EC
[REDACTED] making reference to [REDACTED]
(S) [REDACTED] Because of the extreme
sensitivity [REDACTED] Washington Field will provide a
synopsis of the serial.

~~SECRET~~

a104170c.ec

THIS DOCUMENT:	INITIALS	DATE
IS OK TO UP LOAD	<i>FJB</i>	<i>6/21/04</i>
WAS UPLOADED	<i>VR</i>	<i>6/24/04</i>

~~SECRET~~

To: New York From: Washington Field
Re: (U) 58A-NY-278417, 06/18/2004

(S)

b1
b3
b6
b7C
b7D

(U) ~~(S)~~ Washington Field considers this lead covered.

♦♦

~~SECRET~~

~~SECRET~~

back of page

~~SECRET~~

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1218760-0

Total Deleted Page(s) = 2
Page 10 ~ b3; b5; b6; b7C; b7E;
Page 11 ~ Duplicate;

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X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXXXXXXXX

~~SECRET~~

FBI

b6
b7C

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 7/31/89

1 TO : DIRECTOR, FBI
2 (HAND-CARRY TO SSA [redacted] FINANCIAL CRIMES
3 UNIT, DIVISION 6)

4 FROM : SAC, WMFO (196A-1563 SUB Y) (P) (C-9)

5 MARC RICH - FUGITIVE (B);
6 PINCUS GREEN - FUGITIVE (B);
7 ET AL;
8 FBW; MF; RICO;
9 INCOME TAX EVASION;
10 TRADING WITH THE ENEMY;
11 (OO:NY)

b6
b7C

12 In view of sensitive contents, hand-carry in sealed
13 envelope.

b3
b6
b7C
b7D
b7F
b7E

14 Re meeting between WMFO SA [redacted] and FBIHQ
15 SSA [redacted] 7/27/89, and WMFO TELETYPE to Director, 7/28/89.

16 PURPOSE: Authority is requested to monitor and/or
17 record conversations for a period of [redacted] between a sensitive
18 source with [redacted]

19 2-Bureau
20 2-WMFO
21 WMM:mt
(4) MT

b6
b7C
b7D
b7F

3-8-01
CLASSIFIED BY: [redacted]
REASON: 1.5 (C)
DECLASSIFY ON: X
01-081

196A-1563 Sub Y-1

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 31 1989	
FBI OFFICE	

Approved: _____

Transmitted _____

(Number) (Time)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

~~SECRET~~b3
b6
b7C
b7D
b7E
b7F

DETAILS: In view of the anticipated interception of conversations of [REDACTED] DEPARTMENT OF JUSTICE approval is being requested in this matter. Since 6/28/89, a reliable and sensitive [REDACTED] source known to [REDACTED]

b3
b6
b7C
b7D
b7E
b7F

[REDACTED] (U)
A meeting between source, [REDACTED]
[REDACTED]
[REDACTED] The meeting will be
surveilled by Bureau agents. [REDACTED]
[REDACTED]
[REDACTED] (U)

b3
b6
b7C
b7D
b7E
b7F

Source very reluctantly verbally agreed to record [REDACTED]
[REDACTED]
[REDACTED] (U)

b7D
b7F
b7E

Although the restrictions are unusual, WMFO concurs with source's restrictions. It is noted source and family will be in physical danger [REDACTED] if source's identity is disclosed. Also, several ongoing [REDACTED] will be in jeopardy and a highly valuable source will be lost if source's identity is disclosed. (S) (U)

~~SECRET~~

WMFO 196A-1563 SUB Y

~~SECRET~~

b7D
b7F
b7E

If approved, source will either [redacted]

(U)

U.S. ATTORNEY'S OPINION: On 7/25/89, AUSA [redacted]

b3
b5
b6
b7C
b7D
b7E
b7F

[redacted] U.S. Attorney [redacted]

~~CS~~ (U)

On 7/28/89, [redacted] advised that U.S. Attorney [redacted]

[redacted] ~~CS~~ (U) ~~ADMINISTRATIVE~~ source wearing or carrying the body recorder is [redacted] ~~CS~~

(U)

b7D
b7F

~~SECRET~~

~~SECRET~~
~~SECRET~~

FBI

b6
b7C

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 8/4/89

TO : DIRECTOR, FBI
(HAND CARRY TO SSA [redacted] FINANCIAL
CRIMES UNIT, DIVISION 6)

b6
b7C

FROM : SAC, WMFO (196A-1563 SUB Y) (P) (C-9)

MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

In view of sensitive contents, hand carry in sealed envelope.

Re meeting between WMFO SA [redacted] and FBIHQ
SSA [redacted] 7/27/89; WMFO teletype to Director, 7/28/89; and WMFO
telcall to FBIHQ, 8/3/89.

PURPOSE: Authority is requested to monitor and/or
record conversations for a period of [redacted] between a WMFO

[redacted]

[redacted]

(U)

2-FBIHQ
3-WMFO
WMM:mt
(5) *mt*

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

~~3-8-01~~
~~CLASSIFIED BY [redacted]~~
~~REASON: 1.5 (2)~~
~~DECLASSIFY ON: X 1~~

196A-1563 sub Y-2

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]

AUG 11 1989

Approved: _____

Transmitted _____
(Number) (Time)

[redacted] FIELD OFFICE

~~SECRET~~

WMFO 196A-1563 SUB Y

DETAILS: In view of the anticipated interception of conversations of [REDACTED] DEPARTMENT OF JUSTICE approval is being requested in this matter. Since 6/28/89, a reliable and sensitive [REDACTED] source known to

b3
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b7C
b7D
b7E
b7F

[REDACTED]

[REDACTED] ~~(S)~~ (U)

A [REDACTED]

b3
b6
b7C
b7D
b7E
b7F

[REDACTED]

[REDACTED] ~~(S)~~ (U)

Source is [REDACTED]

b3
b6
b7C
b7D
b7E
b7F

[REDACTED]

[REDACTED] ~~(S)~~ (U)

~~SECRET~~

WMFO 196A-1563 SUB Y

~~SECRET~~

Although the restrictions are unusual, WMFO concurs with source's restrictions. It is noted source and family will be in physical danger [redacted]

[redacted] if source's identity is disclosed. Also, several [redacted] will be in jeopardy and a highly valuable source will be lost if source's identity is disclosed. (S) (U)

b7D
b7F
b7E

If approved, [redacted]

(U)

U.S. ATTORNEY'S OPINION: On 7/25/89, AUSA [redacted]

b5
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b7C
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b7E
b7F

On 7/28/89, [redacted] advised U.S. Attorney [redacted]

b3
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b7C
b7D
b7E
b7F

On 8/3/89, [redacted]

b5
b6
b7C
b7D
b7E
b7F

ADMINISTRATIVE: The [redacted]

Source is [redacted] (S) (U)

(U)

~~SECRET~~

C-9

~~SECRET~~TRANSMIT VIA: Airtel
CLASSIFICATION: UnclasDATE: 8/4/89

FROM: Director, FBI

TO: SAC, WMFO (196A-1563 SUB Y) (C-9)
ADIC, NEW YORK (INFO)MARC RICH - FUGITIVE(B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO: NEW YORK3/20/91
CLASSIFIED BY [REDACTED]
REASON: 1.5
DECLASSIFY ON: X 1b6
b7C

Re New York teletype dated 7/31/89.

Pursuant to the provisions of the Attorney General's
Memorandum of November 7, 1983, to the Heads and Inspectors
General of Executive Departments and Agencies, entitled
"Procedures for Lawful, Warrantless Interceptions of Verbal
Communications," authorization was granted on [REDACTED]

b7E

[REDACTED] device, as described in
referenced communication. Authorization is for a period of [REDACTED]
[REDACTED] beginning on [REDACTED] and expiring [REDACTED] (S) (U)(U) You are to submit an FD-621 within 30 days of the
expiration of this authorization furnishing information regarding
use of this equipment in accordance with MIOG, Part II, Section
10-10.3(9) (S) (U)(U) In the event a renewal of this authority is deemed
warranted, submit your request with full justification at least
seven days prior to the expiration of the existing authority, in
compliance with MIOG, Part II, Section 10-10.3(9). (S) (U)(U) In addition, you should ensure that all persons
reasonably identified as having been monitored are included in
the field office and FBIHQ ELSUR indices, (MIOG, Part II, Section
10-10.5). Strict administrative controls must be established to
ensure these requirement are met. (S) (U)(U) As you know, this equipment is to be used only when a
consenting party is present. Bureau equipment should be afforded
appropriate security. You should keep the Bureau advised of
pertinent developments. (S) (U)

(U) 1 - Attn: WMFO [REDACTED] Elsur Coordinator

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISEb6
b7CALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-8-01 BY [REDACTED]196A-1563-SUB-2X1
SEARCHED [] INDEXED []
SERIALIZED [] FILED []
JUL 04 1989
FBI-WASH. METRO FIELD OFFICE
[REDACTED] [REDACTED]
~~SECRET~~

FBI/DOJ

AIRTEL

Federal Bureau of Investigation

FROM: SAC WMFO (196A-1563 SUB Y) (C-9)

DATE: 9/7/89

TO: Director, FBI (62-318) (U)
Attn: ELSUR Index~~SECRET~~

[ELSUR] (S) (U)

Enclosed for the ELSUR Index are # 4 ELSUR cards. (S) (U)
Case Title:MARC RICH-FUGITIVE (B);
PINCUS GREEN-FUGITIVE (B);
ET AL;
FBW; MF; RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO: NY☐ TITLE III ☐ FISA☐ TESUR Source # _____
☐ MISUR Source # _____
☐ CCTV Source # _____
☐ BEEPER Source # _____
☐ OTHER Source # _____

Specify technique _____

Approved by: ☐ USDC Judge _____ on _____ Date _____☐ FISC on _____ Date _____

EXTENSION DATE(S) _____ ; _____ ; _____ ; _____

☒ CONSENSUAL MONITORING (CM)☐ Telephone - # of cards _____ - CM # _____ ☒ Nontelephone - # of cards 4 - CM # [REDACTED]

ELSUR CARD SUBMISSION:

Principal Card(s) # Enclosures _____

List Target(s) only:

b7E

Proprietary Interest (PI) Card(s) # Enclosures _____
List all names, addresses, telephone numbers and etc. pertaining to PI.Overhear card(s) # Enclosures 4
List Name(s) only:3-8-01
CLASSIFIED BY [REDACTED]
REASON: 1.5 (C) 1
DECLASSIFY ON: X 1[REDACTED] (S) (U)
[REDACTED] (S) (U)
[REDACTED] (SA) (A) (u)

2 - Bureau (4 Enclosures)

3 - WMFO (1-66-779 SUB A) (S) (U)

1 - 196A-1563 SUB Y)

1 - [REDACTED] (S) (U)

b6
b7C
b7D
b7F
b7E

196A-1563 Sub Y-5

Searched _____

Serialized *nm*

Indexed _____

Filed *nm*ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.5 - ~~SECRET~~

AIRTEL

DECLASSIFICATION AUTHORITY DERIVED FROM:

FBI AUTOMATIC DECLASSIFICATION GUIDE

DATE 11-30-2016

BY: [REDACTED]

Federal Bureau of Investigation

b6
b7CFrom: SAC, ~~WMFO (196A-1563 SUB Y) (C-9)~~

Date: 9/7/89

To: DIRECTOR, FBI (S) (U)
Attn: ~~ELSUR~~ Index~~SECRET~~Subject: MARC RICH-FUGITIVE (B);
PINCUS GREEN-FUGITIVE (B);
ET AL;
FBW; ME; RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO: NEW YORK

CLASSIFIED BY [REDACTED]

REASON: 1.5 (C)

DECLASSIFY ON: X 1

b6
b7C~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~Re ~~WMFO teletype~~ to FBIHQ dated 7/31/89 and
Bu ~~airtel~~ to ~~WMFO~~ dated 8/4/89 captioned
as above.NOTE: If no confirming communication received from FBIHQ in response to
your request for use of a nontelephonic consensual monitoring device, mark below: (S) (U)

___ No confirming communication received from FBIHQ to date. (S) (U)

ReCommunication(s) requested/granted: (S) (U)

☒ Routine authority.
☐ Emergency authority. (S) (U)

The following information relates to the use of the equipment: (S) (U)

☐ Its use provided information which corroborated or assisted in
corroborating the allegation or suspicion.
☐ It was used, but no information of value was obtained.
☐ It was not used.
☒ (Only one of the above applies) (S) (U)Complete and submit within 30 days of expiration of each and every period
of authorization granted for nontelephonic consensual monitoring by either the DOJ or
FBIHQ-CID (whether an initial or a subsequent authorization), and for each extension
or renewal thereof. (S) (U)Transmit to FBIHQ in a sealed brown envelope labeled "Director, FBI, ~~ELSUR~~ (S) (U)
Index, FBIHQ."

2 - Bureau

1 -

(1) - WMFO 196A-1563

(Field Office Investigative File)

(Field Office Control File)

SUB Y

WMFO 196A-1563 SUB A

1 [REDACTED] (S) (U)

b6
b7C
b7E

DECLASSIFIED BY [REDACTED]

ON 9-8-01

SECRET

FBI/DOJ

~~SECRET~~

AIRTEL

Federal Bureau of Investigation
WMFO (196A-1563 SUB Y) (C-9)

From: SAC, _____

Date: 9/7/89

To: DIRECTOR, FBI
Attn: ELSUR Index~~SECRET~~Subject: MARC RICH-FUGITIVE (B);
PINCUS GREEN-FUGITIVE (B);
ET AL;
FBW; MF; RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO: NEW YORKDECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 11-30-2016 BY: _____CLASSIFIED BY _____
REASON: 1.5 (C)
DECLASSIFY ON: X 1b6
b7CRe WMFO teletype _____ to FBIHQ dated 8/4/89 and
Bu airtel _____ to WMFO dated 8/10/89 captioned
as above.NOTE: If no confirming communication received from FBIHQ in response to
your request for use of a nontelephonic consensual monitoring device, mark below: ~~(S)~~ (U)_____ No confirming communication received from FBIHQ to date. ~~(S)~~ (U)ReCommunication(s) requested/granted: ~~(S)~~ (U)☒ Routine authority.
☐ Emergency authority.ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISEThe following information relates to the use of the equipment ~~(S)~~ (U)☒ Its use provided information which corroborated or assisted in
corroborating the allegation or suspicion.
☐ It was used, but no information of value was obtained.
☐ It was not used.

(Only one of the above applies)

Complete and submit within 30 days of expiration of each and every period
of authorization granted for nontelephonic consensual monitoring by either the DOJ or
FBIHQ-CID (whether an initial or a subsequent authorization), and for each extension
or renewal thereof. ~~(S)~~ (U)Transmit to FBIHQ in a sealed brown envelope labeled "Director, FBI, ELSUR ~~(S)~~ (U)
Index, FBIHQ."2 - Bureau
① - WMFO 196A-1563 (Field Office Investigative File)
1 - SUB Y (Field Office Control File)

WMFO 196A-1563 SUB A

1- ~~(S)~~ (U)b6
b7C
b7E196A-1563 Sub Y - 7
Searched _____
Serialized 72
Indexed _____
Filed 72Classified by G-3
Declassify on OADR
~~SECRET~~

FBI/DOJ

~~SECRET~~

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 11-30-2016 BY:

~~SECRET~~

TRANSMIT VIA: Airtel
CLASSIFICATION: ~~Secret~~

DATE: 9/19/89b6
b7C

FROM: Director, FBI
TO: SAC, WMFO (196A-1563 SUB Y) (C-8)
MARC RICH - FUGITIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION
TRADING WITH THE ENEMY;
OO: NEW YORK

CLASSIFIED BY

REASON: 1.5 (C)

DECLASSIFY ON: X 1

Re WMFO teletype dated 9/13/89.

Pursuant to the provisions of the Attorney General's Memorandum of November 7, 1983, to the Heads and Inspectors General of Executive Departments and Agencies, entitled "Procedures for Lawful, Warrantless Interceptions of Verbal Communications," authorization was granted on

device, as described in referenced communication. Authorization is for a period of beginning on and expiring (S) (U)

Emergency authority for use of these devices, which was granted on is also confirmed. (S) (U)

b7E

You are to submit an FD-621 within 30 days of the expiration of this authorization furnishing information regarding use of this equipment in accordance with MIOG, Part II, Section 10-10.3(9) (S) (U)

In the event a renewal of this authority is deemed warranted, submit your request with full justification at least seven days prior to the expiration of the existing authority, in compliance with MIOG, Part II, Section 10-10.3(9). (S) (U)

In addition, you should ensure that all persons reasonably identified as having been monitored are included in the field office and FBIHQ ELSUR indices, (MIOG, Part II, Section 10-10.5). Strict administrative controls must be established to ensure these requirement are met. (S) (U)

As you know, this equipment is to be used only when a consenting party is present. Bureau equipment should be afforded appropriate security. You should keep the Bureau advised of pertinent developments. (S) (U)

1 - Attn: WMFO Elsur Coordinator

Classified by: G-3
Declassify on: OADR

DECLASSIFIED BY
ON 3-9-01

b6
b7C~~SECRET~~~~SECRET~~

196A-1563 Sub Y
no no

AIRTEL

Federal Bureau of Investigation

From: SAC, WMFO (196A-1563 SUB Y) (C-9)

Date: 9/13/89

To: DIRECTOR, FBI (S)
Attn: ELSUR Index

SECRET

Subject: MARC RICH-FUGITIVE (B);
PINCUS GREEN-FUGITIVE (B);
ET AL;
FBW; MF; RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO:NYDECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 11-30-2016 BY: []

CLASSIFIED BY []

REASON: 1.5 (C)

DECLASSIFY ON: X 1

b6
b7CALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISERe WMFO telcall SAC, WMFO on 9/6/89 and
WMFO teletype to FBIHQ to dated 9/12/89 captioned
as above.NOTE: If no confirming communication received from FBIHQ in response to
your request for use of a nontelephonic consensual monitoring device, mark below: (S) (U)☒ No confirming communication received from FBIHQ to date. (S) (U)

ReCommunication(s) requested/granted: (S) (U)

☐ Routine authority.
☒ Emergency authority. (S) (U)

The following information relates to the use of the equipment: (S) (U)

☒ Its use provided information which corroborated or assisted in
corroborating the allegation or suspicion.
☐ It was used, but no information of value was obtained.
☐ It was not used.

(Only one of the above applies)

Complete and submit within 30 days of expiration of each and every period
of authorization granted for nontelephonic consensual monitoring by either the DOJ or
FBIHQ-CID (whether an initial or a subsequent authorization), and for each extension
or renewal thereof. (S) (U)Transmit to FBIHQ in a sealed brown envelope labeled "Director, FBI ELSUR
Index, FBIHQ." (S) (U)

- 2 - Bureau
-
- ① - WMFO (196A-1563 SUB Y) (Field Office Investigative File)
-
- 1 - WMFO (66-779 SUB Y) (Field Office Control File)

1 - [] FILE STRIPPED

Date: 3/5/91

Initials: []

b6
b7C
b7E

SECRET

Searched

Serialized

Indexed

Filed

Classified by G-3
Declassify on OADR

BI/DOJ

Memorandum



To : ADIC, NEW YORK (196A-1774) P Date 7/24/89

From : SA (C-1)

b6
b7C

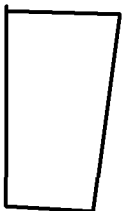
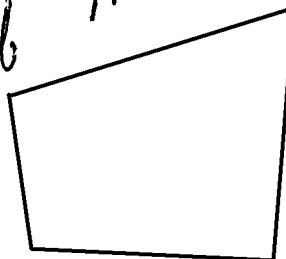
Subject : MARC RICH-FUG (B);
PINCUS GREEN-FUG (B);
FBW (A)
OO: NY

It is requested that a sub file be opened to the main file in accordance with recent Bureau regulations concerning fugitive cases.

*Rotar
Please
create new
sub A.*

196A-1774

*1-196A-1774
(1)-196A-1774 SUB A*



b6
b7C

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 02/12/2001

To: Investigative Services

Attn: SSA [REDACTED]
ISD, IRL
Room 7458

From: New York

C-3

Contact: SA [REDACTED]

b6
b7C

Approved By: [REDACTED]

Drafted By: [REDACTED]

Case ID #: 196A-NY-1774 (Pending)

Title: MARC RICH-FUG.(B);
PINCUS GREEN-FUG.(B);
FBW, MF, RICO, INCOME TAX EVASION,
TRADING WITH THE ENEMY

Synopsis: Interpol red notices were issued for subjects in 1987. Subjects received a Presidential pardon on 1/20/01. It is requested red notices be removed from Interpol.

Details: Subjects were indicted in the SDNY 9/19/83 and Interpol red notices were issued for both subjects in 1987. On 1/20/01, President Clinton granted Executive clemency and pardoned both Rich and Green.

Marc Rich was born 12/18/34 and was assigned Interpol file number 5031/87 and control number A-147/4-1987.

[REDACTED]

It is requested that red notices be removed from Interpol for both subjects.

b6 Per Interpol
b7C Per Interpol

♦♦

b6
b7C

① SubFug 1

Rednot

196A-1774-Fug 1-56

SEARCHED	INDEXED
SERIALIZED	FILED
FEB 12 2001	
FBI - NEW YORK	

0100 FBI WFO

FM WFO

DE FDTWFO 00040 8142043

ZNY ZEEEEE

Z 102047Z NOV 93

FM FBI WFO (196A-NY-1774) (P) (C-12)

TO FBI NEW YORK/PRIORITY/

BT

UNCLAS E F T O

CITE: 77352077

PAGE: NEW YORK SA [REDACTED] SQUAD C-12.

b6
b7C

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE
(C); FBO; MF; RICO; INCOME TAX EVASION; TRADING WITH THE
ENEMY: CO:NY.

RE NYO TELETYPE, 10/25/93; NUMEROUS TELCALLS OF WFO SA

[REDACTED] WITH NEW YORK SA [REDACTED] 11/4-9/93.

b6
b7C

REFERENCED TELETYPE REQUESTED INTERVIEW OF U.S DEPARTMENT
OF STATE (USDS) EMPLOYEE RAYMOND HESSE CONCERNING A POSSIBLE
RECENT SIGHTING OF FEDERAL FUGITIVE MARC RICH IN MOSCOW.
THIS INTERVIEW HAS BEEN DELAYED AS HESSE HAS BEEN ON OFFICIAL

196A-NY-1774 Pg 1-28

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY [REDACTED]
01-081

SEARCHED	EX
SERIAL	D
NOV 10 1993	
FBI	

①
Sub File 1

PAGE TWO DE FBIHQ 0000 000000 E F T O

TRAVEL IN PARIS.

RAYMOND HESSE, CHIEF OF FINANCIAL CRIMES FOR USDO, WAS
TELEPHONICALLY INTERVIEWED ON 11/10/93 BY UNFO SA [REDACTED]

b6
b7C

[REDACTED] DUE TO HESSE'S PENDING INTERNATIONAL TRAVEL SCHEDULE
AND TIME CONSTRAINTS. HESSE PROVIDED THE FOLLOWING
INFORMATION.

HESSE ADVISED HE TRAVELED TO MOSCOW, RUSSIA, FROM
FRANKFURT, WEST GERMANY, ON USDO BUSINESS ON 9/27/93. HESSE
ARRIVED AT THE MOSCOW AIRPORT HANDLING INTERNATIONAL FLIGHTS
ON THAT DATE AND CLEARED RUSSIAN CUSTOMS AT ABOUT 4:30PM.
HESSE WAS MET BY RUSSIAN OFFICIALS.

UPON LEAVING THE INTERNATIONAL ARRIVALS AREA, HESSE
OBSERVED A NUMBER OF LIMOUSINE DRIVERS AWAITING CLIENTS. THESE
LIMOUSINE DRIVERS WERE HOLDING UP SIGNS BEARING NAMES OF THEIR
CLIENTS. HESSE NOTED THAT ONE OF THE SIGNS BEING HELD UP BORE
THE NAME "MARC RICH". HESSE AND THE RUSSIAN OFFICIALS DECIDED
TO WAIT TO SEE IF RICH MET THE LIMOUSINE DRIVER. HESSE ADVISED
HE HAD NEVER MET RICH IN PERSON BUT HAD SEEN HIM IN AN NBC
NEWS INTERVIEW A COUPLE OF MONTHS BEFORE. AFTER A FEW MINUTES
OF WAITING, HESSE ADVISED A MAN HESSE BELIEVED TO BE MARC RICH
(BASED UPON THE NBC BROADCAST) MET THE LIMOUSINE DRIVER. RICH

196A-NY-1774

b6
b7C



Sub EUG 1

PAGE THREE DE NEWARK 0000 DISCLOSE E F T O

PREPARED TO BE ALONE WHEN HE MET THE LIMOUSINE DRIVER AND THE TWO MEN STARTED WALKING AWAY. HESSE DID NOT KNOW IF RICH FLEW INTO MOSCOW ON A COMMERCIAL FLIGHT OR A PRIVATE JET.

ONE OF THE RUSSIAN OFFICIALS WITH HESSE, ALEXI KOROVIN, BUREAU OF FINANCIAL CRIME, COMMENTED THAT AN UNANNOUNCED VISIT BY RICH TO MOSCOW WOULD NOT HAVE OCCURRED FOUR YEARS AGO DUE TO EXTREME TRAVEL RESTRICTIONS. KOROVIN CLAIMED THEY HAD KNOWLEDGE OF RICH'S REPUTATION BUT DID NOT KNOW HE WAS IN RUSSIA.

NOW, PEOPLE LIKE RICH CAN ENTER THE COUNTRY AT ANY TIME.

HESSE ADVISED THIS WAS HIS ONLY SIGHTING OF RICH. UPON HIS RETURN TO THE U.S., HESSE PROVIDED THE ABOVE INFORMATION TO FBIHQ SSA [REDACTED] CID. HESSE HAD NO CONTACT WITH ANYONE FROM THE SDNY.

b6
b7C

HESSE COULD NOT PROVIDE ANY ADDITIONAL INFORMATION.

WMFO WILL CONTINUE TO MAINTAIN SOURCE CONTACTS IN THIS MATTER.

BT

(00000)

NNNN

196A-NY-1774

Sub File 1

b6
b7C

b6
b7C

Per OGA Letter Dated: 04-25-2019

~~CONFIDENTIAL~~

0066 MRI 01185

RR RUCNFB FBINY LON MAD

DE REF. #0005 1681827

ZNY CCCCC

R 161709Z JUN 92

FM LEGAT BERN (196A-NY-1774) (P)

TO DIRECTOR FBI/ROUTINE/

FBI NEW YORK/ROUTINE/

LEGAT LONDON/ROUTINE/

LEGAT MADRID/ROUTINE/

BT

~~CONFIDENTIAL~~

CITE: //5550:BR263W.168.//

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE NOTED OTHERWISE.

b6
b7C

2/22/01
CLASSIFIED BY: [redacted]
REASON: 1.5
DECLASSIFY ON: X

#01-081
HQ original not
located 3/12/01

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B);
FBW;MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;
OO:NY.

THIS COMMUNICATION IS CLASSIFIED "~~CONFIDENTIAL~~" WHERE
INDICATED, OTHERWISE IT IS UNCLASSIFIED.

FOR INFORMATION NEW YORK AND OTHER RECIPIENTS, LEGAT BERN
RECEIVED COPY OF A [STATE DEPARTMENT CABLE FROM AMEMBASSY] (S) (U)

3

b6
b7C

6/16/92

C-12

~~CONFIDENTIAL~~

NY-1774-23

ARCHIVE	INDEX
REALIZE	FILED
JUN 16 1992	
FBI - NEW YORK	

Sub Fug 2

PAGE TWO DE BER 0005 ~~CONFIDENTIAL~~

(LONDON (CLASSIFIED ~~CONFIDENTIAL~~) IDENTIFIED AS LONDON 4928
DATED MAY 29, 1992 WHICH WAS SENT TO SECSTATE, EMBASSIES
MOSCOW, BONN, BERN, VIENNA, AND AMCONSULS FRANKFURT AND
ZURICH. THIS CABLE ADVISES OF RUMORS IN LONDON THAT FUGITIVE
OIL DEALER MARC RICH'S OIL DEALS ARE BEING INVESTIGATED [REDACTED]

[REDACTED] LONDON REPORTED THAT [REDACTED]
[REDACTED]
[REDACTED]

IN RESPONSE THERETO, [REDACTED] IS ALLEGED TO HAVE
ORDERED AN INVESTIGATION INTO [REDACTED]

[REDACTED] THE CABLE GOES ON TO SAY THAT RUMORS ARE IN LONDON
THAT [REDACTED]

b7D

[REDACTED] THE CABLE ALSO
STATES THAT THE ANALYST/SOURCE HAS A NUMBER OF [REDACTED]
[REDACTED]

[REDACTED] THE LONDON CABLE REQUESTS RECEIVING
EMBASSIES/CONSULATES TO PROVIDE ANY INFORMATION RELATED TO THE
ABOVE. ~~(S)~~ (U)

IN OTHER DEVELOPMENTS, LEGAT HAS RECEIVED SEVERAL PRESS

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ARTICLES CONCERNING RICH. THE APRIL, 1992 ISSUE OF "BILANZ", THE SWISS ECONOMICS MAGAZINE, REPORTS THAT RICH IS STILL INTENDING TO MOVE HIS RESIDENCE FROM THE CANTON OF ZUG TO NEARBY CANTON OF LUCERNE, TO THE VILLAGE OF MEGGEN, LOCATED ON LAKE LUCERNE. IN 1990, RICH BOUGHT THE LAKE FRONT VILLA ROSE AND THE VILLA HAS BEEN IN RENOVATION SINCE THAT TIME. THIS ARTICLE ALSO REPORTS THAT RICH HAD SOME CONFLICT WITH LUCERNE AUTHORITIES IN THAT HE OFFERED A LARGE SUM OF MONEY FOR THE CONSTRUCTION OF A NEW CONCERT HOUSE IN LUCERNE TO HOUSE THE LUCERNE MUSIC FESTIVAL, ON THE CONDITION THAT HE HAVE A VOICE IN THE PROGRAM OF THE LUCERNE MUSIC FESTIVAL. RICH WAS REPORTEDLY TOLD THAT HIS MONEY WAS OF COURSE WELCOME BUT THAT HE WOULD HAVE NO INFLUENCE OVER THE MUSIC FESTIVAL.

IN A ZURICH "SOCIETY" NEWSPAPER OF MAY 14, 1992 IT WAS REPORTED THAT RICH IS DIVORCING HIS WIFE DENISE RICH AND THAT HIS NEW "COMPANION" GISELA ROSSI IS TAKING COURSES IN THE JEWISH RELIGION AND CUSTOMS.

FINALLY, A BRIEF ARTICLE APPEARED IN ONE OF THE SWISS FINANCIAL NEWSPAPERS STATING THAT MARC RICH AND CO. ANNOUNCED THAT WILLY STROTHOTTE IS LEAVING THE RICH FIRM, BY MUTUAL

~~CONFIDENTIAL~~

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AGREEMENT, THE END OF JUNE, 1992. THE ARTICLE NOTES THAT THIS ACTION WAS THE RESULT OF DIFFERENCES IN MANAGEMENT PHILOSOPHIES.

A MAY 18, 1992 ARTICLE IN THE SWISS ECONOMICS WEEKLY "CASH" REPORTS ON THE EFFORTS OF MARC RICH AND CO. TO EXPAND THEIR ACTIVITIES IN THE ALUMINUM FIELD. THE ARTICLE DESCRIBES MARC RICH AND CO. AS THE FIFTH OR SIXTH LARGEST ALUMINUM PRODUCER IN THE WORLD AND NOTES EFFORTS BY RICH'S COMPANY TO MAKE MAJOR EXPANSIONS IN THE ALUMINUM INDUSTRY. THE ARTICLE REPORTS THAT MARC RICH AND CO. OR CLARENDON OBTAINED A 36 PER CENT OWNERSHIP IN A PLANNED 720 MILLION DOLLAR PROJECT IN VENEZUELA. CLARENDON IS REPORTEDLY PART OF A CONSORTIUM MADE UP OF THE ORGANIZATION DIEGO CISNEROS, REYNOLDS ALUMINUM AND A GOVERNMENT GROUP CALLED CVG. IN ADDITION, RICH OR CLARENDON HAS REPORTEDLY MADE AN OFFER TO ACQUIRE AN ALUMINUM PLANT IN FRANCE OWNED BY THE FRENCH ALUMINUM FIRM PECHINEY, WHICH OFFER WAS REPORTEDLY REJECTED. WILLY STROTHOTTE IS QUOTED AS SAYING THE NEGOTIATIONS ARE STILL IN PROGRESS. THIS IS CONSIDERED TO BE AN ESPECIALLY IMPORTANT ACQUISITION FOR RICH AS IT WOULD GIVE HIM A Foothold WITHIN THE EUROPEAN COMMUNITY IN THE ALUMINUM-PRODUCING INDUSTRY.

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WITH REGARD TO THE [REDACTED] WHO MADE
REMARKS TO HIGH LEVEL JUSTICE DEPARTMENT OFFICIALS DURING A
RECENT VISIT [REDACTED] TO THE EFFECT THAT THIS [REDACTED]

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[REDACTED]
[REDACTED] LEGAT PLANS TO MEET
WITH HIM ON [REDACTED] DURING AN "OFFICIAL" LUNCHEON.

LEGAT HAS ALSO RECEIVED INDIRECTLY THROUGH THE
AGRICULTURAL ATTACHE, EMBASSY BERN, REPORTS THAT [REDACTED]
[REDACTED] TRAVELS FREQUENTLY IN THE FORMER
[REDACTED] HAS RECEIVED NUMEROUS INQUIRIES FROM JOURNALISTS
AND GOVERNMENT OFFICIALS ABOUT RICH. APPARENTLY, RICH HAS
BEEN AND STILL IS VERY ACTIVE IN ROMANIA, FORMER SOVIET UNION,
BULGARIA AND OTHER COUNTRIES IN EASTERN EUROPE, BUT HAS MET
WITH A GREAT DEAL OF SUSPICION BECAUSE OF EITHER THE NATURE OF
HIS DEALINGS OR THE FACT THAT IT IS KNOWN HE IS A FUGITIVE
FROM THE UNITED STATES. LEGAT HOPES TO MEET PERSONALLY WITH
[REDACTED] THE BERN AGRICULTURAL ATTACHE WHEN [REDACTED]
FROM A TRIP OUTSIDE [REDACTED]
LEADS: LONDON AT LONDON:

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~~CONFIDENTIAL~~

PAGE SIX DE BER 0005 ~~C O N F I D E N T I A L~~

MAKE APPROPRIATE INQUIRIES TO DETERMINE AUTHOR OF ABOVE-
CITED AMEMBASSY LONDON CABLE AND CONTACT THAT OFFICER FOR ANY
FURTHER DETAILS AVAILABLE AS WELL AS ANY FEEDBACK OBTAINED
FROM THE CABLE HE SENT OUT.

LEGAT. BERN CONTINUING EFFORTS TO DEVELOP PERTINENT
INFORMATION RE SUBJECTS.

C BY ~~G-3~~; D - ~~GADR~~.

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DE BER #0002 1901501

ZNR UUUUU

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FM LEGAT BERN (196A-NY-1774) (P)

TO DIRECTOR FBI/IMMEDIATE/

FBI NEW YORK/IMMEDIATE/

BT

UNCLAS

SECTION ONE OF TWO SECTIONS

CITE: //5550:BR289W.190.//

PASS: FBIHQ: CID, WCC SECTION.

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B);

FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;

OO:NY.

REQUEST OF FBIHQ: FBIHQ IS REQUESTED TO FURNISH THE

INFORMATION SET FORTH BELOW TO OFFICE OF INTERNATIONAL

AFFAIRS, US. DOJ, ATTORNEYS

AND/OR

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① Sub File 2

7-8-92

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY
#01-081

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196A NY 1774-27

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JUL 08 1992

FBI - NEW YORK

PAGE TWO DE BER 0002 UNCLAS

REFERENCE IS MADE TO LEGAT, BERN TELCALL TO NEW YORK
7/7/92.

ON 7/7/92, CONSULAR OFFICER, U.S. EMBASSY, BERN ADVISED
LEGAT OF RECEIPT OF A TELEFAX FROM DEPARTMENT OF STATE (DOS)
WHICH INCLUDED A REQUEST FOR TRAVEL SUBMITTED TO DOS BY
DEPARTMENT OF JUSTICE, OFFICE OF INTERNATIONAL AFFAIRS (OIA).
THIS REQUEST REFLECTS THAT [REDACTED]

[REDACTED]
[REDACTED] SUBJECT RICH AND HIS
ATTORNEYS. THE REQUEST INDICATES THAT [REDACTED]

[REDACTED]
MARC RICH'S AMERICAN ATTORNEYS HAVE TOLD US THAT [REDACTED]

[REDACTED] HAS BEEN INFORMED AND APPROVES [REDACTED]
[REDACTED]

AMBASSADOR GILDENHORN REQUESTED LEGAT TO DETERMINE
FURTHER SPECIFICS OF THIS MATTER AND INSURE THAT [REDACTED]

[REDACTED] APPROVE OF THIS [REDACTED]

[REDACTED] RICH AND HIS ATTORNEYS [REDACTED]

LEGAT CONTACTED [REDACTED] OIA TO DETERMINE FURTHER
SPECIFICS AND BACKGROUND AND TO IDENTIFY HER POINT OF CONTACT

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PAGE THREE DE BER 0002 UNCLAS

[REDACTED] AS REQUESTED BY AMBASSADOR
GILDENHORN. [REDACTED] ADVISED LEGAT THAT SHE HAD BEEN IN
DIRECT CONTACT WITH [REDACTED]
[REDACTED] CONCERNING THIS MATTER. HIS
INITIAL RESPONSE WHEN CONTACTED WAS THAT [REDACTED]

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[REDACTED]

LEGAT NOTED IN THIS TELCALL THAT [REDACTED] WAS UNKNOWN TO
LEGAT AND A REVIEW OF [REDACTED]

[REDACTED]

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[REDACTED]
THE ABOVE WAS DISCUSSED WITH SA [REDACTED] NYO ON
7/7/92.

ON 7/8/92 LEGAT, AT THE REQUEST OF AMBASSADOR GILDENHORN
MET WITH [REDACTED]

[REDACTED] TO CLARIFY THE MATTER AND
OBTAIN ASSURANCES THAT ALL WAS IN FACT CLEAR [REDACTED]

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[REDACTED] BASED ON THIS MEETING, IT APPEARS CLEAR THAT
THERE HAVE BEEN A NUMBER OF POSSIBLE MISUNDERSTANDINGS AND
UNCLEAR COMMUNICATIONS AND THAT THERE ARE A NUMBER OF "GRAY
AREAS" INVOLVED HERE.

FIRSTLY, IT APPEARS THAT THIS WHOLE MATTER WAS INITIATED
BY MARC RICH'S ATTORNEYS, [REDACTED]

[REDACTED]
[REDACTED] REPRESENTING
MARC RICH.) NEITHER [REDACTED] WERE SURE OF THIS
FACT BUT INDICATED THEY WOULD CHECK WITH THEIR ASSOCIATES TO
DETERMINE WHOM [REDACTED] HAD CONTACTED AND EXACTLY IN WHAT
CONTEXT AND FOR WHAT PURPOSE. THE QUESTION WHETHER IT WAS IN
FACT RICH AND HIS ATTORNEYS WHO INITIATED [REDACTED]
IS IMPORTANT TO [REDACTED] BECAUSE IT RELATES TO [REDACTED]

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[REDACTED]

IN ESSENCE, [REDACTED]

[REDACTED]

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BOTH [REDACTED]

TENDED TO AGREE THAT IN ANY

CASE [REDACTED]

[REDACTED]

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[REDACTED]
[REDACTED] THEN RAISED THE QUESTION OF WHETHER IT MIGHT
NOT BE MORE PROPER FOR [REDACTED]

[REDACTED]
[REDACTED] LEGAT INDICATED
THAT HE THOUGHT THIS MIGHT BE UNDESIRABLE FROM THE STANDPOINT
OF RICH AND HIS ATTORNEYS AS WELL FROM THE SDNY. DURING THIS
AND OTHER DISCUSSION IT BECAME QUITE CLEAR THAT THERE WAS
CONSIDERABLE CONFUSION [REDACTED] AS TO EXACTLY WHO HAD TALKED
TO WHOM, WHAT WAS SAID AND/OR REPRESENTED TO WHOM, EXACTLY HOW

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[REDACTED] INTERPRETS THIS [REDACTED] AND WHAT, IF ANY,
CONDITIONS THEY OR RICH'S ATTORNEYS HAVE SET OR WISH TO SET [REDACTED]
[REDACTED] A COUPLE OF KEY PEOPLE [REDACTED] WHO
HAVE OBVIOUSLY BEEN INVOLVED ARE CURRENTLY ON VACATION AND
WERE NOT AVAILABLE TO CLARIFY THE SITUATION.

IT WAS AGREED THAT [REDACTED] WILL ATTEMPT TO CLARIFY THE
BACKGROUND [REDACTED] DETERMINE FROM RICH
AND/OR HIS ATTORNEYS [REDACTED]

BT

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O 081443Z JUL 92

FM LEGAT BERN (196A-NY-1774) (P)

TO DIRECTOR FBI/IMMEDIATE/

FBI NEW YORK/IMMEDIATE/

BT

UNCLAS

SECTION TWO OF TWO SECTIONS

CITE: //5550:BR289W.190.//

PASS: FBIHQ: CID, WCC SECTION.

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B);

FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;

OO:NY.

TEXT CONTINUES:

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IT WAS AGREED THAT

LEBAT/U.S. EMBASSY BERN WILL

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HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY
#01-081

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196A-NY-1774 Pg 2

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SERIALIZED	FILED
JUL 08 1992	
FBI - NEW YORK	

PAGE TWO DE BER 0003 UNCLAS

[REDACTED] TO WRITING TO INSURE THAT THERE ARE
NO MISUNDERSTANDINGS.

LEGAT'S COMMENTS: IT IS NOTED THAT LEGAT HAS GOTTEN
INVOLVED IN THIS MATTER PRIMARILY AT THE REQUEST OF AMBASSADOR
GILDENHORN WHO MUST ULTIMATELY GRANT CLEARANCE FOR THE
PROPOSED TRAVEL. AMBASSADOR GILDENHORN IS CONCERNED THAT [REDACTED]

[REDACTED] BECAUSE OF THE GREAT SENSITIVITY TO THIS CASE, THE
EXTREME NOTORIETY THAT IT HAS RECEIVED IN THE PAST AND THE
POTENTIAL IT HAS FOR INFLUENCING EITHER GOOD OR BAD [REDACTED]

[REDACTED] IN THE FUTURE. HE BELIEVES AND LEGAT CONCURS THAT
THE LIKELIHOOD IS GREAT THAT [REDACTED]

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[REDACTED]

IT IS OBVIOUS FROM LEGAT'S DISCUSSION WITH [REDACTED]

[REDACTED]

[REDACTED]

IT IS HOPED THAT

THIS WILL BE RECTIFIED BY THE END OF THIS WEEK.

AND WOULD COMMUNICATE SAME TO LEGAT
AND DIA, RESULTING IN A WRITTEN STATEMENT

ARE DESIRED BY SDNY OR DIA

[REDACTED] ADVISED THAT HE FELT CERTAIN THAT IF IN FACT
[REDACTED] BY RICH AND/OR HIS ATTORNEYS AND
THAT [REDACTED]

↑

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ONE FACTOR THAT OIA AND SDNY SHOULD CONSIDER IN
APPROACHING THIS MATTER WITH DUE CAUTION IS THE POSSIBILITY
THAT RICH OR HIS ATTORNEYS [REDACTED]

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[REDACTED] IT APPEARS BASED ON THE INFORMATION
AVAILABLE TO LEGAT THAT THERE IS THE SUGGESTION THAT THE SDNY
ATTORNEYS TRAVEL [REDACTED]

PAGE FIVE DE BER 0003 UNCLAS



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LEGAT

DOES NOT BELIEVE THE ABOVE SCENARIO TO BE HIGHLY LIKELY BUT IT CANNOT BE DISCOUNTED ENTIRELY EITHER, A FURTHER REASON FOR INSURING THAT [REDACTED] BE HANDLED ABOVE BOARD AND WITH THE PROPER AUTHORIZATIONS IN WRITING.

LEGAT WILL CLOSELY FOLLOW THIS MATTER AND FOLLO UP WITH



NEW YORK IS REQUESTED TO FURNISH ABOVE INFORMATION TO USA, SDNY AND OBTAIN ANY COMMENTS.

BT

#0003

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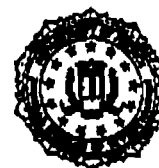
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DATE 2/26/01 BY [redacted]
#01-081

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SERIALIZED	FILED
JUN 18 1992	
FBI - NEW YORK	



Office of the Legal Attache
American Embassy
London, England



Secure Fax No.: 71 629 8246
Non-Secure Fax No.: 71 499 7944
Telephone Number: 071-499-9000, ext 2478

Page 1 of 3 pages

Date: July 13, 1992

☐ IMMEDIATE
☒ PRIORITY
☐ ROUTINE

☒ SECURE
☐ NON-SECURE
☒ CLASSIFICATION: ~~CONFIDENTIAL~~

TO: FBIHQ
NEW YORK

FILE NO: 196A-NY-1774

FAX NUMBER:

ATTN: CID, ATTENTION SSA [REDACTED] RM 5056

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FROM: LEGAL ATTACHE, LONDON
ORIGINATOR: DLAT [REDACTED]

FILE NO: 196A-NY-1774

SUBJECT: MARC RICH, ET AT ETC
FBW; OO NEW YORK

(2)

COMMENTS/MESSAGE: RE LONDON TELCALL TO SSA [REDACTED] FUGITIVE UNIT,
FBIHQ, 7/13/92.

THE FOLLOWING PAGES ARE A DRAFT OF A CABLE THAT WILL BE SENT
BY THE USEMBASSY LONDON CONCERNING RUMORS OF THE ARREST OF MARK RICH.

EFFORTS IN LONDON HAVE FAILED TO SUBSTANTIATE THE RUMORS,
HOWEVER AS IS SET FORTH IN THE FOLLOWING PAGES THE RUMORS HAVE ALLEGEDLY
HAD/ARE HAVING A SIGNIFICANT AFFECT WITHIN THE OIL COMMUNITY.

LONDON NOTES THERE HAS BEEN EXTENSIVE ACTIVITY RECENTLY
REGARDING RICH, SPECIFICALLY THE REQUESTS FOR PROVISIONAL ARREST WARRANTS
IN EASTERN EUROPE AND SPECULATES THAT THE REQUESTS FOR THE WARRANTS MAY
HAVE BECOME KNOWN IN THE INTERNATIONAL COMMUNITY AND MAY HAVE STIMULATED
THE ACTIVITY DESCRIBED HEREIN.

SOURCES IN LONDON HAVE NO INFORMATION IN ADDITION TO WHAT IS
IN THE CABLE. LONDON WILL CONTINUE TO FOLLOW THIS MATTER AND WILL REPORT
ANY SIGNIFICANT DEVELOPMENTS.

PLEASE NOTE THAT THE FOLLOWING PAGES ARE IN DRAFT FORM AND
THE INFORMATION CONTAINED THEREIN SHOULD HANDLED DISCREETLY UNTIL SUCH TIME
AS THE OFFICIAL CABLE IS SENT.

Approved [REDACTED]

appreciate confirmation or denial of this item. the department and other usg agencies may receive similar questions.

4. rich has run into problems in argentina and brazil for alleged shady dealings. there are rumors that rich is under suspicion in russia and rumania for payoffs to officials.

5. according to [redacted] source, [redacted]

[redacted]

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6. key rich [redacted] and [redacted] have left his organization. [redacted]

[redacted] who departed the rich organization a few weeks ago. [redacted] will take over [redacted] under the supervision of [redacted] who has been in [redacted]

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[redacted] into the rich organization.

7. [redacted] who follows the rich organization for a

[redacted]

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[redacted] end comment.

[redacted]

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U. 13 '92 09:30

CONFIDENTIAL

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1. pol:sp; 2. customs;jh; 3. just:ddalton; 4. treas:ms
econ, customs, justice

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ID: 5654

APV:

DRF:

CLR:

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AMEMBASSY LONDON
secstate washdc, immediate
fbi hq wash dc, immediate
depttreas washdc
info amembassy brasilia
amembassy bucharest
amembassy buenos aires
amembassy moscow
amembassy bern
amconsul zurich

dept pass customs and justice

dept for eb/oge and eur/ce

E.O.12356: DECL: OADR

TAGS: epet, pgov, opec, uk

SUBJECT: london rumors: has march rich been arrested?

ref: gallogly-tyson telcon 7/13/92

1. c - entire text.

2. begin summary: oil traders are spreading the rumor that indicted oil trader marc rich has been arrested by the usg. traders are seeking confirmation or clarification of this information and may approach the washington agencies. rumors of rich scandals in brazil, argentina, south africa, iran, libya rumania and russia continue to circulate in london. rich's organization has been hit by resignations and has been reorganized. there is speculation that rich's senior executives may seek to ease him out of the business. end summary.

3. we have been called by oil traders and analysts seeking confirmation of an item that appeared on trader's screens last friday. the item stated that indicted oil trader marc rich of zug, switzerland has been arrested by us customs. per reftelcon, would

ALL FBI INFORMATION
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DATE 2/26/01 BY

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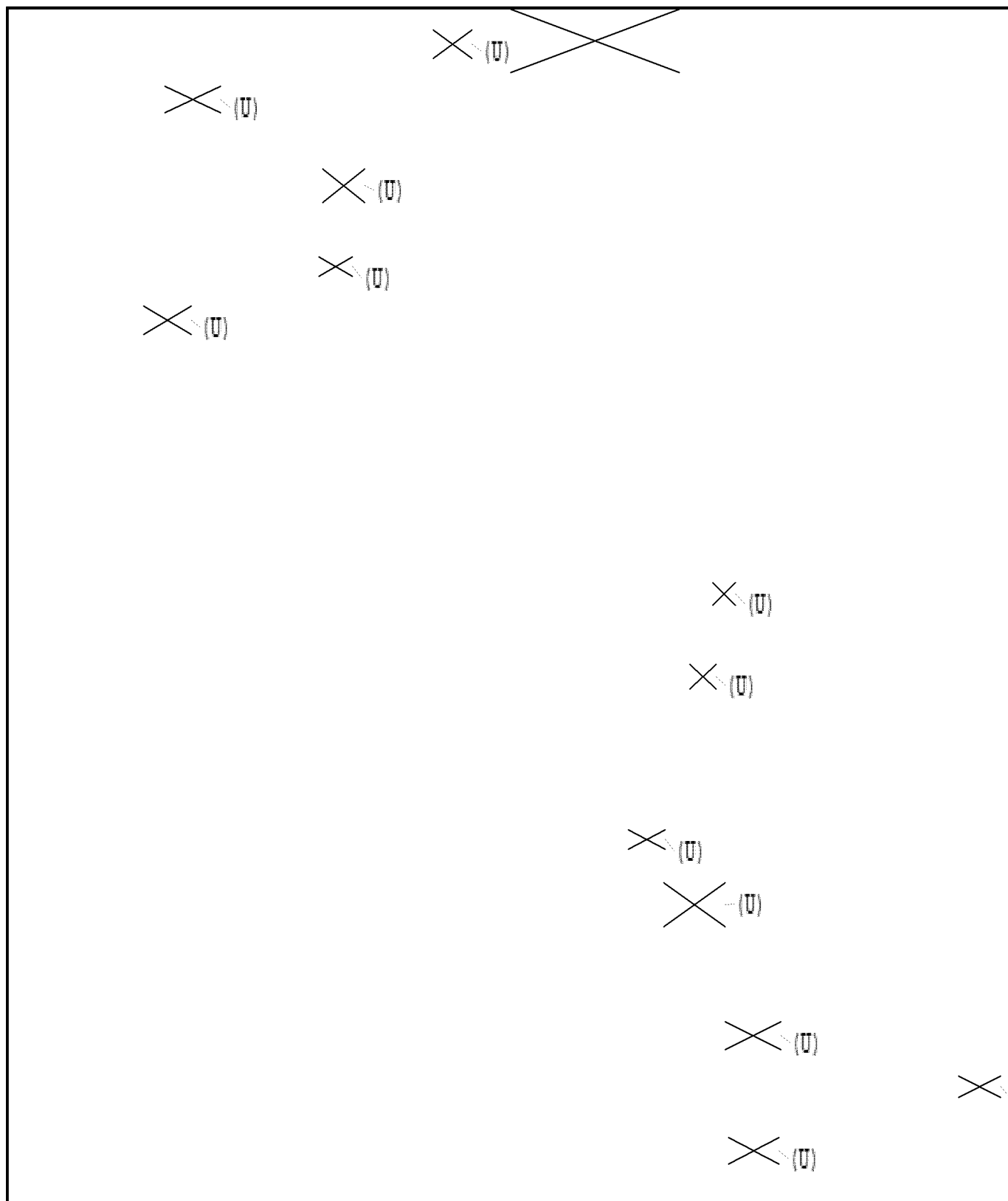
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DECLASSIFY ON: X 3, 6

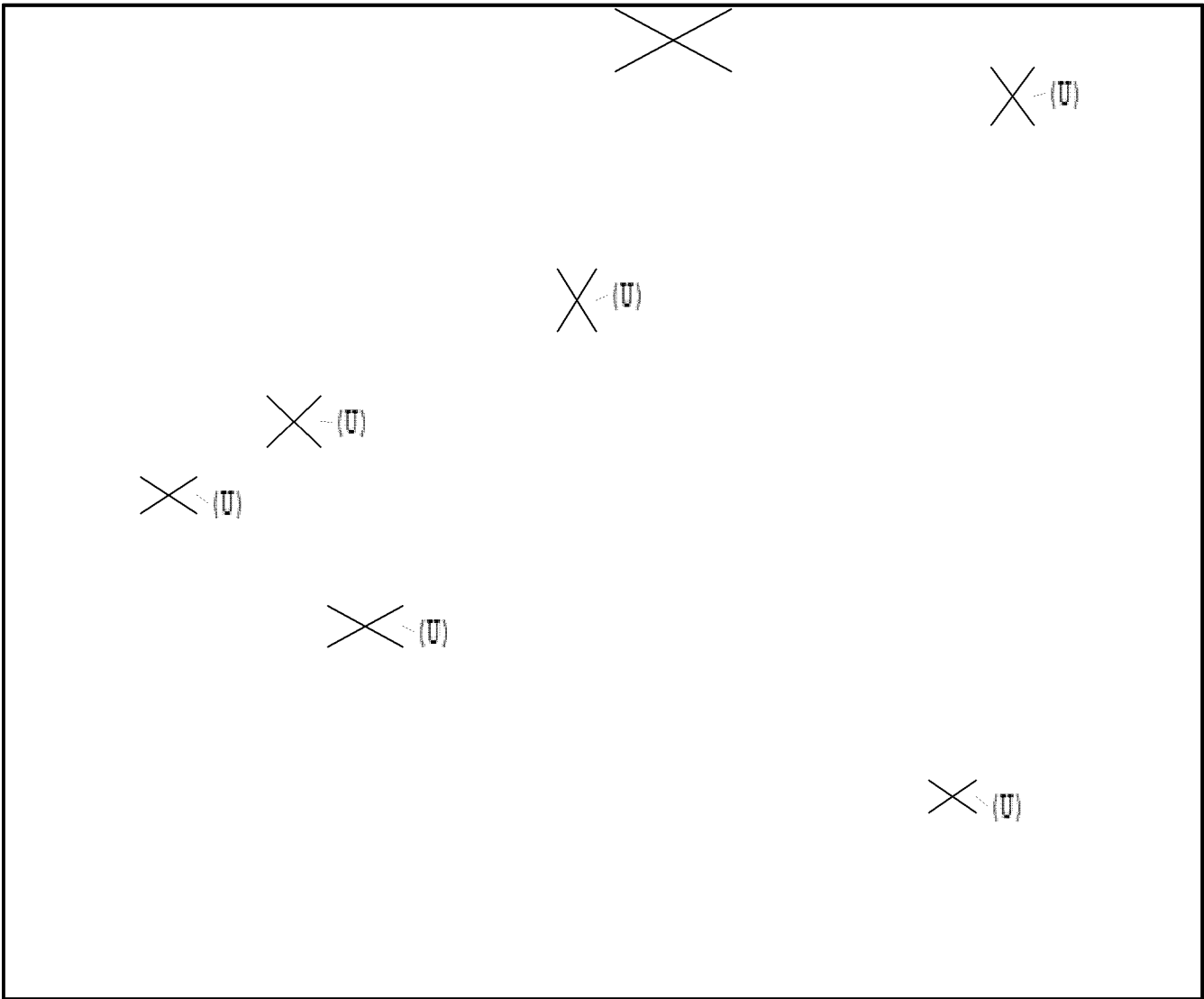
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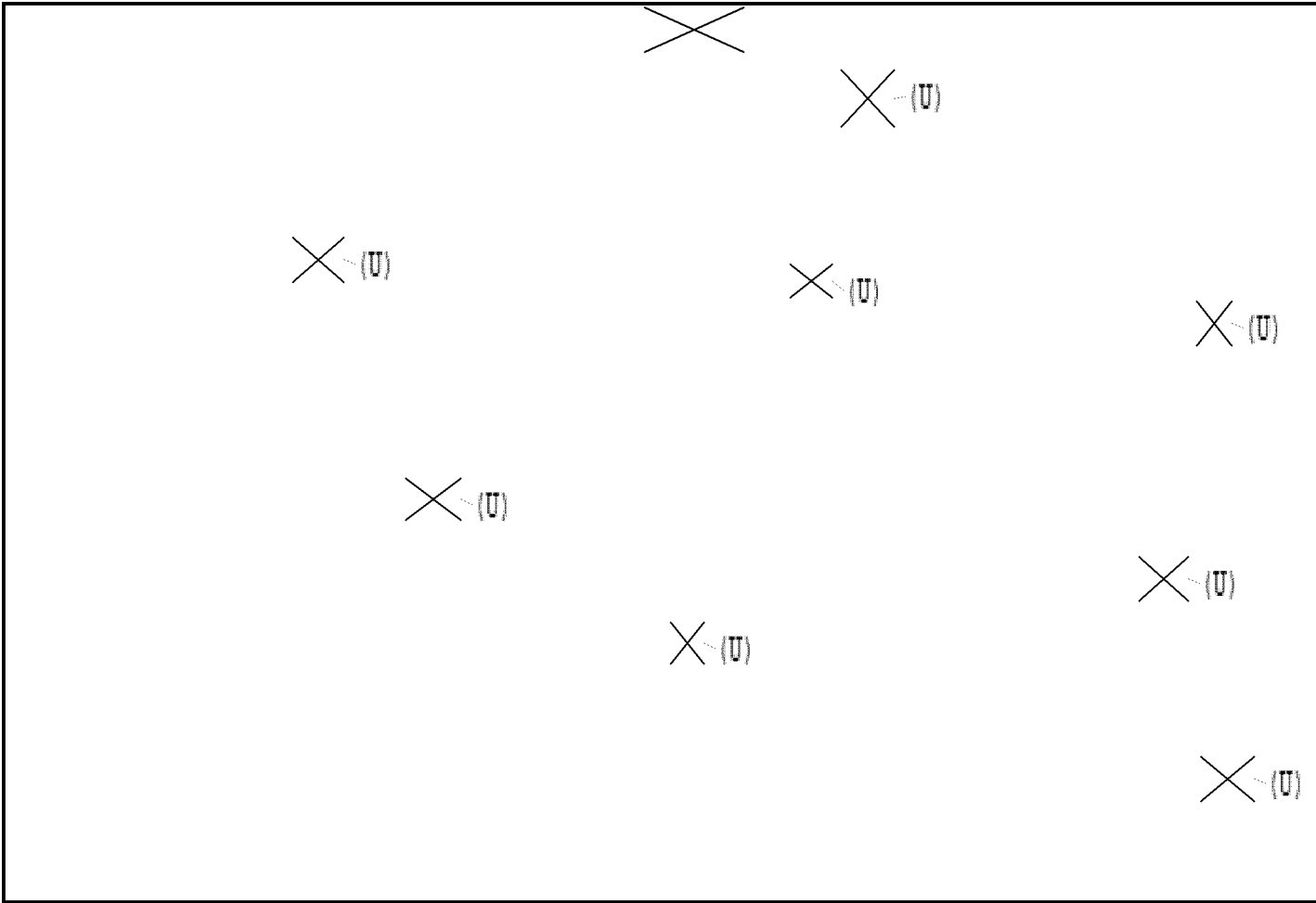
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196A-1774-95

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SEP 29 1991			
FBI-NEW YORK			



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U.S. Department of Justice



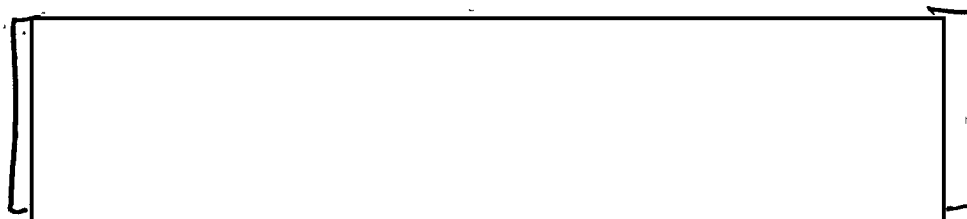
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Federal Bureau of Investigation

In Reply, Please Refer to
File No.

16320 N.W. 2nd Avenue
North Miami Beach, Florida 33169

September 23, 1994



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(U)

This document and attachments are classified
~~SECRET~~ in their entirety.

copies of photographs taken [redacted]

[redacted] is depicted in three photographs
conversing with various unidentified persons. (Exhibit 1) ~~(S)~~ (U)

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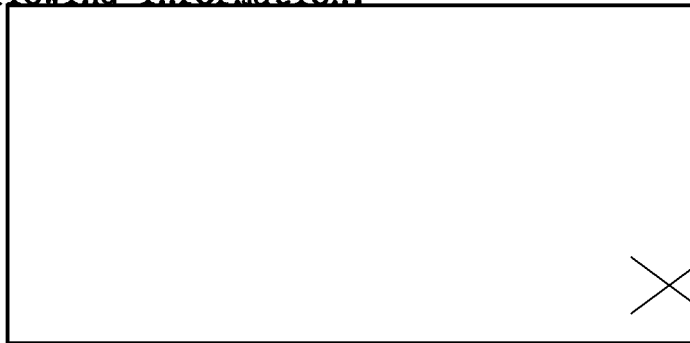
The photographs show [redacted]

~~(S)~~

(U)

A [redacted] in a position to provide sensitive
information furnished the following information:

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(U)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

2/21/01
CLASSIFIED BY: [redacted]
REASON: 1.5 (C) 1.6

DECLASSIFY ON: X
#01-081

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~~SECRET~~

Classified by: G-3
Declassify on: OADR

~~SECRET~~

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[REDACTED]

~~(S)~~ (U)

[REDACTED]

[REDACTED]

(Exhibit 2) ~~(S)~~ (U)

[REDACTED]

[REDACTED]

[REDACTED]

~~(S)~~ (U)

[REDACTED] reported that MARC RICH was
allegedly seen in [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED] (Field Comment:
These [REDACTED] are not included in this report but may be requested
from FBI Miami). ~~(S)~~ (U)

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FD-36 (Rev. 11-17-88)

FBI

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

~~SECRET~~

Date 9/23/94

TO : DIRECTOR, FBI (U)
(ATTN: NSD, [redacted]) (S)
FROM : SAC, MIAMI [redacted] (S) (U)
SUBJECT : [redacted] (S) (U)
(OO: MIAMI) (S) (U)

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This communication is classified ~~SECRET~~ in its entirety.

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[redacted] (S) (U)

Enclosed for Bureau are six copies of an LHM dated 9/23/94 captioned [redacted]

[redacted] (S) (U)
[redacted] Two copies of the LHM designated for New York for 196A-NY-1774, captioned "MARC RICH - FUGITIVE (B); ET AL; FBW-MAJOR FRAUD; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NY."

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b7C
b7E

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.~~

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b7C
b7E

2/21/01
CLASSIFIED BY: [redacted]
REASON: 1.5 (e/d) 1.6
DECLASSIFY ON: X
#01-081

- 5 - Bureau (Encls. 6)
 - (1 - [redacted]) (S) (U)
 - (2 - MARC RICH file)
- 2 - New York (196A-NY-1774) (MARC RICH)
- 1 - Miami

[redacted]
(8)

CLASSIFIED BY: G-3
DECLASSIFY ON: OADR

~~SECRET~~

96A-NY-1774-96
ARCHD
SERIALIZED
SEP 28 1994
NEW YORK

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

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b7E

~~SECRET~~

[redacted] ~~(S)~~ (U)

Sources: [redacted]

[redacted] who furnished

[redacted] that
reported that MARC RICH was reportedly seen in [redacted]

[redacted] ~~(S)~~ (U)

b3
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[redacted]
Consequently, Miami was also unable to identify the persons on

[redacted] ~~(S)~~ (U)

[redacted] Miami recommends that the LHM be disseminated [redacted]
[redacted] If appropriate, also to Treasury (OFAC) and the U.S.
Marshal Service, the latter under the premise that MARC RICH
may be [redacted] and/or can be
found [redacted] on occasion. ~~(S)~~ (U)

b3
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Miami conducting no further investigation of this
matter.

~~SECRET~~

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FOI/PA# 1218760-000

Total Deleted Page(s) = 25

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01-081
ALL INFORMATION
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DATE 12/01 B

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b7C

RICH, MARC

NO: 001

-DDN:

REC-NO: 001

TRUE:

FO: NY

CASE-NO: 196B-0001774

NAME TYPE:

MAIN REF: M

EVENT DATE:

INDEXED: 072381

OO:

OO-CASE-NO:

MODIFIED: 021987

VIOLATION: FBW

SPECIAL:

-SERIALS: 38,411,412,429P3

RACE: W SEX: M

ID-NO: SOC 496-34-3075

DOB: 121834

POB:

STREET NO:

NAME:

CITY:

ST:

COUNTRY:

ZIP:

LOC:

-MISCELLANEOUS: 5'9", DBA/MARC RICH & CO

<GO> NEXT INDEX

F8 - DELETE F10 - INDEX MENU

F1 - REQUERY

F3 - SUMMARY F7 - ADD ALIAS

F9 - MODIFY SHIFT-F10 - FOIMS

FEDERAL BUREAU OF INVESTIGATION
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FBI

10.18
Corrections

Made [redacted]

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UNCLAS

7/6/90

Area 10

1886/03
2028

[redacted]

/PRIORITY/

NEW YORK (196A-NY-1774) (P) (C-1)

/PRIORITY/

DIRECTOR FBI () () ()

/ROUTINE/

CHICAGO () () ()

BT

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CITE: //3540//

Corrections
p. 3, 4, 5, 7

196A-1774 517

SEARCHED INDEXED
SERIALIZED FILED

JUL - 9 19

[redacted]

- 1 - New York
- 1 - Supervisor (C-1)

[redacted]

(2)

ALL INFORMATION
HEREIN IS UNCLASS
DATE 2/23/01 BY
cl-081

b6 Per FBI
b7C Per FBI

Appr [redacted] Transmitted [redacted] Per 10X

NOTE [redacted] E ROUTE THIS DOCUMENT BACK TO THE WORD
PROCESSOR, NOT TO THE TELETYPE ROOM.

WP Initial [redacted] 7-6-90 13:10 PM

CITE: //3540//

PASS: HQ FOR SSA [REDACTED] FUGITIVE UNIT.

b6 Per FBI
b7C Per FBI

SUBJECT: MARC RICH - FUGITIVE(B); [REDACTED] - FUGITIVE(B); FBW,
MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; 00:NY.

FOR INFORMATION OF CHICAGO, SUBJECTS INDICTED IN SOUTHERN
DISTRICT OF NEW YORK (SDNY) ON FIFTY-ONE (51) COUNT INDICTMENT ON
SEPTEMBER 19, 1983. BOTH FLED THE UNITED STATES AND BEGAN OPERATING
FROM ZUG, SWITZERLAND, WHERE THEY ARE TODAY. THEIR COMPANIES ARE
WORLDWIDE AND ARE DEEPLY INVOLVED IN TRADING OIL, TIN AND OTHER

b6 Per USMS
b7C Per USMS
b7F Per USMS

b6 Per USMS
b7C Per USMS
b7E Per FBI
b7F Per USMS

COMMODITIES. RICH IS A BILLIONAIRE WHO ENJOYS SANCTITY IN
SWITZERLAND WITH [REDACTED] AS BULK OF INDICTMENT ARE TAX COUNTS.

ON JULY 5, 1990, [REDACTED] UNITED STATES MARSHALS
OFFICE, NEW YORK, NEW YORK CONTACTED THE NEW YORK OFFICE AND ADVISED
THERE WOULD BE A MEETING [REDACTED] OFFICE IN
NEW YORK TO DISCUSS THE SUBJECTS.

ON THE SAME DATE, THE MEETING TOOK PLACE ATTENDED BY [REDACTED]

[REDACTED]
[REDACTED]
[REDACTED] FURNISHED THE FOLLOWING:

b6 Per USMS/FBI
b7C Per USMS/FBI
b7E Per FBI
b7F Per USMS

[] SAID HE HAS RECENTLY BEEN IN TOUCH WITH [] MARCO INTERNATIONAL CORPORATION, 350 FIFTH AVENUE, NEW YORK, NEW YORK, WHO IS SCHEDULED TO SURRENDER IN THE NORTHERN DISTRICT OF ILLINOIS TO BEGIN A SIX (6) MONTH SENTENCE ON A BRIBERY CASE HANDLED BY THE FBI IN CHICAGO. [] WHO MAY OR MAY NOT KNOW THE SUBJECTS PERSONALLY, WAS CLOSELY ACQUAINTED WITH ONE [] A MARC RICH COMPANY EMPLOYEE IN ARGENTINA UNTIL KORMAN'S DEATH. [] LEARNED FROM EITHER KORMAN [] THAT RICH HAS A YACHT BERTHED AT PIER ONE IN DUBROVNIK, YUGOSLAVIA WHICH IS A SIXTY-FIVE (65) FOOT VESSEL, WHITE IN COLOR WITH A BLUE STRIPE. THE VESSEL IS NAMED

b6 Per USMS
b7C Per USMS
b7F Per USMS

b6 Per USMS
b7C Per USMS
b7F Per USMS

HAPPICOAT AND SLEEPS EIGHT (8) PERSONS. [] SAID RICH, AND
POSSIBLY [] MAY BE ON THE VESSEL DURING THE LATTER PART OF AUGUST,
1990. [] SAID HE IS MAKING AN EFFORT THROUGH THE COAST GUARD AND
STATE DEPARTMENT TO DETERMINE MORE INFORMATION ABOUT THE VESSEL AND
UNITED STATES JURISDICTION ON THE HIGH SEAS. [] IS ALSO
MAINTAINING CONTACT WITH [] FOR A MORE DEFINITE DATE AND, IF THE
UNITED STATES HAS JURISDICTION, THEN THE COAST GUARD WILL ARREST
RICH AND/OR [] ON THE HIGH SEAS ^{if} ~~IS~~ THEY ARE ABOARD THAT VESSEL.

IT WAS MADE KNOWN TO [] THAT RICH, AND POSSIBLY []
RENOUNCED THEIR UNITED STATES CITIZENSHIP AFTER THEIR INDICTMENT.

b6 Per USMS/FBI
b7C Per USMS/FBI
b7F Per USMS

[] ADVISED, ACCORDING TO THE STATE DEPARTMENT, BOTH MAY STILL BE CITIZENS AND STILL SUBJECT TO UNITED STATES LAWS SINCE THE RENUNCIATION TOOK PLACE APPARENTLY AS A RESULT OF THEIR CRIMINAL INDICTMENT.

[] ADVISED THAT ASSISTANT UNITED STATES ATTORNEY (AUSA) []

[] SDNY, HANDLING THE RICH CASE HAS BEEN APPRISED OF THE ABOVE.

FOR THE INFORMATION OF THE BUREAU, TO ADDRESS THE QUESTION OF WHY THE UNITED STATES MARSHALS ARE INVOLVED IN THE CAPTIONED CASE,

[] SAID HE HAS WORKED THE CASE FOR YEARS BECAUSE THE IRS ASKED THE ASSISTANCE OF THE UNITED STATES MARSHALS IN LOCATING AND ARRESTING

b6 Per USMS/FBI
b7C Per USMS/FBI
b7F Per USMS

RICH AND [REDACTED]

THIS INFORMATION IS BEING FURNISHED TO CHICAGO SINCE [REDACTED]
[REDACTED] WAS A SUBJECT IN A BUREAU CASE YET TO COMMENCE SERVICE OF HIS
SENTENCE.

NEW YORK OFFICE WILL MAINTAIN CONTACT WITH [REDACTED] AND AUSA [REDACTED]
CONCERNING THIS MATTER.

b6
b7C

Memorandum



~~SECRET~~

To : SAC, II 196A-NY-1774 (P)

Date 10/14/92

From : SSA [redacted] (C-12)

b6
b7C

Subject: MARC RICH, ET AL;
FBW; MF;

b6
b7C

It is requested that a "Top Secret" administrative sub file be opened which will be maintained in the NYO Security Officer's safe. [redacted]

It should also be noted that this sub file will contain a "Top Secret" document [redacted]

(S) (U)

b3
b7E

- ① - 196A-NY-1774
- 1 - 196A-NY-1774 (new sub file)
- 1 - SA [redacted]
- 1 - SA [redacted]
- 1 - SUPV. C-12

~~SECRET~~

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(5)

ALL INFORMATION CONTAINED
HERE IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

CLASSIFIED BY [redacted]
REASON: 1.5 (C)
DECLASSIFY ON: X1

2-20-01 01-081

196A-NY-1774-636

SEARCHED	[redacted]
SERIALIZED	[redacted]
OCT 14 1992	
FBI - NEW YORK	

[redacted]

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 02-02-2022 BY [REDACTED]

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b7C

~~CONFIDENTIAL~~

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PP RUCNFB FBI NY

DE BER #0002 2841413

ZNY CCCCC

P 111326Z OCT 91

FM LEGAT BERN (196A-NY-1774) (P)

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK/PRIORITY/

BT

~~CONFIDENTIAL~~

CITE: //5550:BER391.284 11 OCT 91//

PASS: ATTN: DID, WCC SECTION.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B)

FBW: MF, INCOME TAX EVASION; TRADING WITH THE ENEMY; CC: NY.

UNCLASSIFIED EXCEPT AS NOTED.

FOR INFORMATION FBIHQ AND NEW YORK, LEGAT RECEIVED COPIES OF
TWO RECENT STATE DEPARTMENT CABLES RELATING TO SUBJECT RICH, BOTH
CLASSIFIED ~~CONFIDENTIAL~~ BY STATE ³ WHICH IS REASON FOR THE ~~AS~~
"CONFIDENTIAL" CLASSIFICATION OF THIS COMMUNICATION. (X) (C) (U)

STATE CABLE 12356, DATED 10/2/91 WAS SENT TO AMCONSUL (C) (U)

b6
b7C

196A-NY-1774-548

CLASSIFIED BY [REDACTED]
REASON: 1.5 (C) (U)
DECLASSIFY ON: X1
01-081 2-20-01

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WHERE SHOWN OTHERWISE

~~CONFIDENTIAL~~

SEARCHED	INDEXED
SERIALIZED	FILED
OCT 11 1991	

~~CONFIDENTIAL~~

PAGE TWO DE BER 0002 ~~CONFIDENTIAL~~

ST. PETERSBURG (FORMERLY LENINGRAD) EMBASSY, MOSCOW, AND AMCONSUL ZURICH. IT REFERS TO LENINGRAD CABLE 4435, WHICH APPARENTLY REFERS TO A RECENT CONTACT BY MARC RICH WITH THE U. S. CONSULATE IN LENINGRAD. STATE 12356 READS AS FOLLOWS: "CONSULATE GENERAL OFFICERS SHOULD POLITELY BUT FIRMLY RESIST ANY EFFORT TO INVOLVE THE CONSULATE GENERAL, DIRECTLY OR INDIRECTLY, IN ANY VENTURE OR OTHER ACTIVITY CONNECTED WITH FORMER RPT FORMER AMCIT MARC RICH OR HIS ASSOCIATES. (S) (U)

INFORMATION PROVIDED BY WERNER IN PARA 2 OF REFTEL IS ONLY PARTIALLY CORRECT. RICH IS THE SUBJECT OF A LONG STANDING ARREST WARRANT FOR SECURITIES FRAUD AND TAXATION QUESTIONS. TO AVOID EXTRADITION, HE RENOUNCED HIS U. S. CITIZENSHIP AT OUR CONSULATE GENERAL IN ZURICH. RICH HAS SINCE BECOME A SPANISH CITIZEN AND NOW RESIDES IN ZUG SWITZERLAND. L CONFIRMS THAT FUGITIVE WARRANTS FOR RICH ARE STILL ACTIVE. (S) (U)

SHOULD CONSULATE GENERAL RECEIVE FURTHER INFORMATION ABOUT RICH'S WHEREABOUTS OR ACTIVITIES, PLEASE REPORT THEM TO THE DEPARTMENT BY IMMEDIATE CABLE SUGGED BY L/LEI. (S) (U)

CONSULATE ZURICH IS SENDING THE FOLLOWING MESSAGE TO STATE, ST. PETERSBURG AND MOSCOW: (S) (U)

"CONSULATE CAN CONFIRM THAT MONDAY, 30 SEPTEMBER 1991, MARC (S) (U)

~~CONFIDENTIAL~~

~~CONFIDENTIAL~~

PAGE THREE DE BER 0002 ~~CONFIDENTIAL~~

RICH WAS IN ZURICH, AT A SWISS-AMERICAN CHAMBER OF COMMERCE LUNCHEON. SWISS AMERICAN CHAMBER EXECUTIVE DIRECTOR WALTER DIGGELMANN CONFIRMED THAT RICH COMES ABOUT ONCE A YEAR TO THESE LUNCHEONS. DIGGELMANN ADDED THAT RICH HAS SOUGHT ACCEPTABILITY IN SWITZERLAND SINCE HIS LEGAL PROBLEMS OF SOME YEARS AGO AND SUBSEQUENT NOTORIETY BY MAKING CHARITABLE DONATIONS AND THROUGH SOME PUBLIC RELATIONS EFFORTS SUCH AS THESE OCCASIONAL LUNCHEON APPEARANCES. ~~(C)~~ (U)

RICH'S BUSINESS ADDRESS IN ZUG IS MARC RICH 7 CO AG, BAARERSTASSE 37, 6304 ZUG; TEL: (042) 22 77 22, FAX: (042) 21 07 91. ~~(C)~~ (U)

ALSO, FOR INFORMATION FBIHQ AND NEW YORK, THE FOLLOWING SHORT ARTICLE APPEARED IN A SWISS BUSINESS MAGAZINE (AUGUST EDITION) CALLED "POLITIK AND WIRTSCHAFT" (POLITICS AND ECONOMICS), AS TRANSLATED FROM THE GERMAN: (U).

FOUR, RICH RICH: IF U. S. LABOR UNIONS ASK FOR HIS ASSISTANCE IN ZUG, THE MEDIA REPORTS ABOUT IT - EVEN THOUGH HE DOES NOT HAVE ANYTHING TO DO WITH THE CONCERNED COMPANY, THE RAVENSWOOD ALUMINUM CORPORATION. IF THE "WALL STREET JOURNAL" REPORTS ABOUT HIS COMPANIES SUPPORTING CUBA IN MINING URANIUM,

~~CONFIDENTIAL~~

~~CONFIDENTIAL~~

PAGE FOUR DE BER 0002 ~~C O N F I D E N T I A L~~

THE NEWS GOES AROUND THE WORLD - EVEN THOUGH THEY DO NOT TELL THE TRUTH. NEVERTHELESS, DENIALS SOUND COCK-AND-BULL IF, ON ONE HAND, THE PERSONAL INVOLVEMENT OF A RICH SUBSIDIARY AND THE RAC IS EVIDENT AND, ON THE OTHER HAND, THE DISCUSSIONS WITH CUBA'S GOVERNMENT WERE FIRST CONFIRMED AND DENIED LATER ON. RICH IS UNDOUBTEDLY A SUCCESSFUL MANAGER BUT HE NEEDS ADDITIONAL TRAINING IN PR. (U).

FBIHQ AND/OR NYO MAY WISH TO CONTACT STATE DEPARTMENT FOR A COPY OF LENINGRAD/ST. PETERSBURG CABLE 4435 FOR DETAILS OF RICH'S ACTIVITIES IN THE SOVIET UNION. (S) (U)

INVESTIGATION CONTINUING.

BT

#0002

NNNN

~~CONFIDENTIAL~~

Memorandum



To : SAC, NEW YORK (196A-NY-1774) ^{Date} 2/25/92

From : SA [redacted] (C-12)

Subject : MARC RICH - Fug (B);
PINCUS GREEN - Fug (B);
FBW, MF, RICO

OO: NY

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b7C

on 2/25/92, [redacted] Deputy
Chief, Criminal Division, USA, SDNY,
currently assigned The Rich case advised
The following:

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Deputy chief [redacted] explained [redacted]

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b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-20-01 BY [redacted]

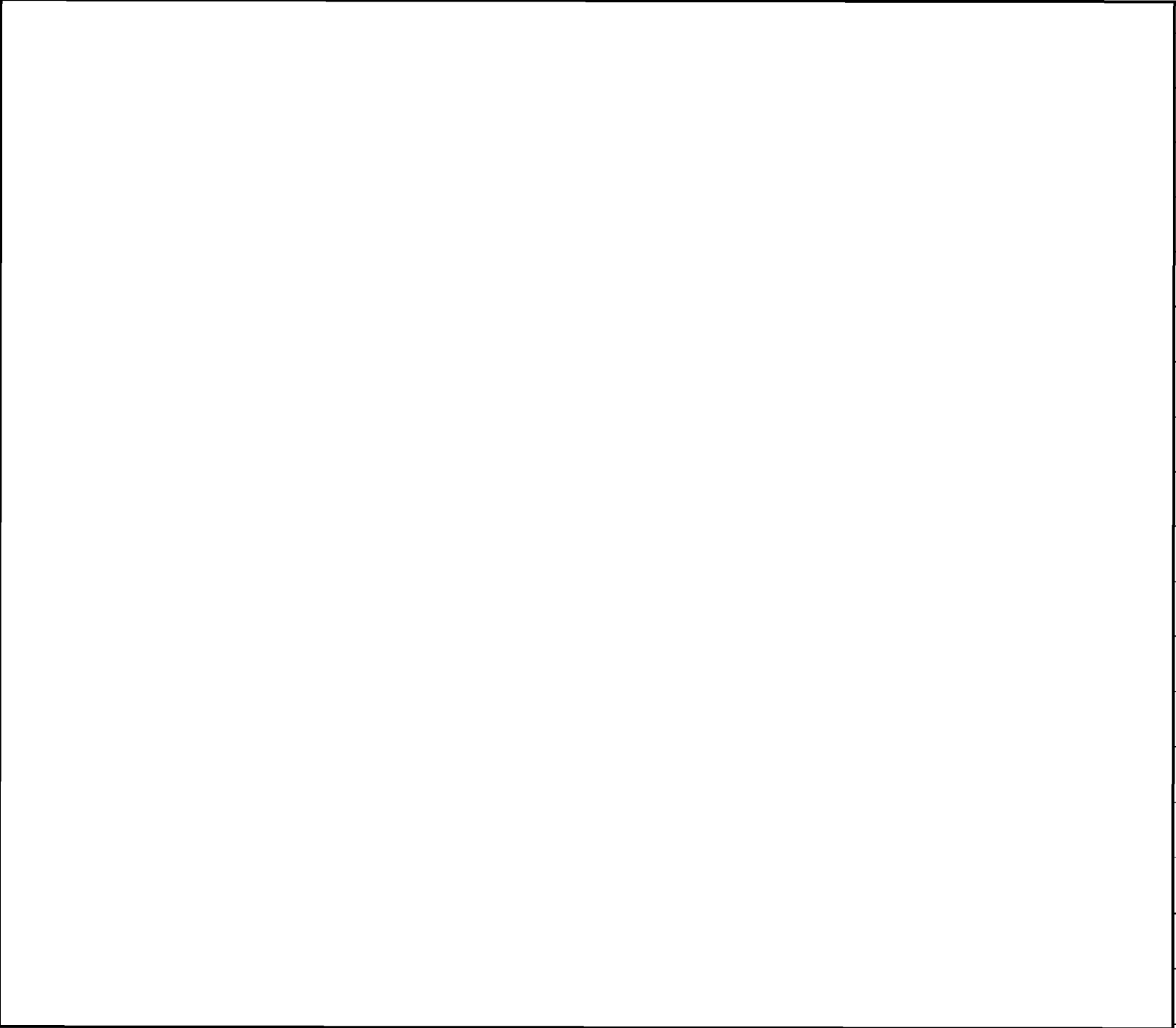
01-081

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196A-NY-1774

SEARCHED	[redacted]	[redacted]
SERIALIZED	[redacted]	[redacted]
FEB 28 1992		
FBI-NEW YORK		

[redacted]



2*

Memorandum



To: SAC, NEW YORK (196A-NY-1774) P Date 3/2/92

From: SA [redacted] (C-12)

b6
b7C

Subject: MARC RICH - FUG. (B);
PINCUS GREEN - FUG. (B);
FBW, MF, RICO, INCOME
TAX EVASION, TRADING WITH
THE ENEMY
OO: NY

[redacted] Deputy chief, Criminal
Division, USA SDNY. 791-1057 was
furnished [redacted]

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-20-01 BY [redacted]

01-081

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196A-1774-576

SEARCHED	[redacted]
SERIALIZED	[redacted]
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FBI - NEW YORK	

[redacted]

[Redacted]

Deputy Chief

[Redacted]

advised

[Redacted]

[Redacted]

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TRANSMIT VIA:

☒ Teletype
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☐ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 2/19/92

FM FBI NEW YORK (196A-NY-1774) (P)/ROUTINE/

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3540//

PASS: ECONOMIC CRIMES UNIT.

SUBJECT: MARC RICH - FUG (B);

PINCUS GREEN - FUG (B);

FBW, MF, RICO, INCOME

TAX EVASION, TRADING WITH

THE ENEMY

OO:NY

FOR INFORMATION OF THE BUREAU, ON 2/18/92, [REDACTED]
 DEPUTY CHIEF, CRIMINAL DIVISION, UNITED STATES ATTORNEY'S
 OFFICE, SOUTHERN DISTRICT OF NEW YORK, CURRENTLY ASSIGNED THE
 CAPTIONED MATTER, ADVISED THAT [REDACTED]

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 b7C

FEB 24 1992

Approved: [REDACTED] Original filename: [REDACTED]

Time Received: [REDACTED] Telprep filename: [REDACTED]

MRI/JULIAN DATE: 1006 051 ISN: 041

FOX DATE & TIME OF ACCEPTANCE: 2001

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HEREIN IS UNCLAS
DATE 2-20-01 BY

01-081

^PAGE 2 NEW YORK (196A-NY-1774) (P)

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AUSA

ADVISED

BT

Memorandum



~~SECRET~~

To : SAC, NEW YORK (196A-NY-1774) p Date 2/19/92

From : SA [redacted] (C-13) b6 b7C

Subject : MARC RICH - FUG (B);
PINCUS GREEN - FUG. (B);
FBW, MF, RICO

OO: NY

b6
b7C

On 2/11/92, SA [redacted] Met with [redacted], Deputy chief, Criminal Div., SDNY, 791-1056 to discuss [redacted] [redacted] for a prosecutive opinion.

Prior to The discussion The subject of Marc Rich came up as AUSA [redacted] has been assigned The case for The last four or five years. [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

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196A-NY-1774-580

SEARCHED	[redacted]
SERIALIZED	[redacted]
FEB 19 1992	
FBI-NEW YORK	

CLASSIFIED BY [redacted]
REASON FOR CLASSIFICATION [redacted]
DECLASSIFY ON: 2-20-01 01-081

DECLASSIFY ON: 2-23-01 [redacted]

① - Fug. sub File

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TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 2/27/92

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR ECONOMIC CRIMES UNIT.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN -
 FUGITIVE (B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH
 THE ENEMY; OO:NY.

RENYTEL TO BUREAU DATED 2/19/92.

1 - NEW YORK

1 - SUPERVISOR C-12

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196A-1774-581
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3/5/92

Approved: _____ Original filename: _____

Time Received: _____ Telprep filename: _____

MRI/JULIAN DATE: 16451063 ISN: 057FOX DATE & TIME OF ACCEPTANCE: 2137

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 DATE 08-01-91 BY 61081

^PAGE 2

196A-NY-1774

UNCLAS

ON 2/25/92, [REDACTED] DEPUTY CHIEF, CRIMINAL DIVISION,
UNITED STATES ATTORNEY'S OFFICE, SOUTHERN DISTRICT OF NEW
YORK, CURRENTLY ASSIGNED THE CAPTIONED MATTER FURNISHED THE
FOLLOWING:

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[REDACTED]

[REDACTED]

b5

DEPUTY CHIEF [REDACTED] SAID [REDACTED]

[REDACTED]

b5
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b7C

[REDACTED]

[REDACTED]

b5

[REDACTED]

DEPUTY CHIEF [REDACTED] SAID SOME SUGGESTIONS HAVE BEEN MADE

[REDACTED]

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^PAGE 3

196A-NY-1774

UNCLAS

[REDACTED]

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[REDACTED]

BT

Memorandum

RT



To: SAC, NEW YORK (196A-NY-1774)P Date 3/20/92

From: SA [redacted] (C-12)

b6
b7C

Subject: MARC RICH - FUG.(B);
PINCUS GREEN - FUG.(B);
FBW, MF, RICO, INCOME
TAX EVASION, TRADING WITH
THE ENEMY
OO: NY

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b7C

On 3/20/92, [redacted] Deputy
Chief, USA's Office, SDNY, 791-1057,
furnished the following:

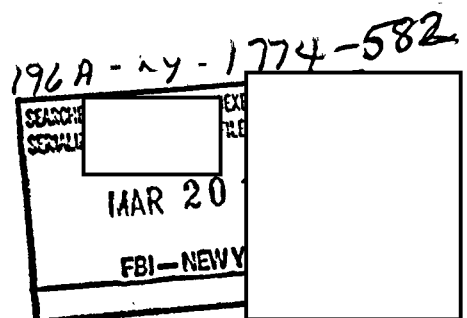
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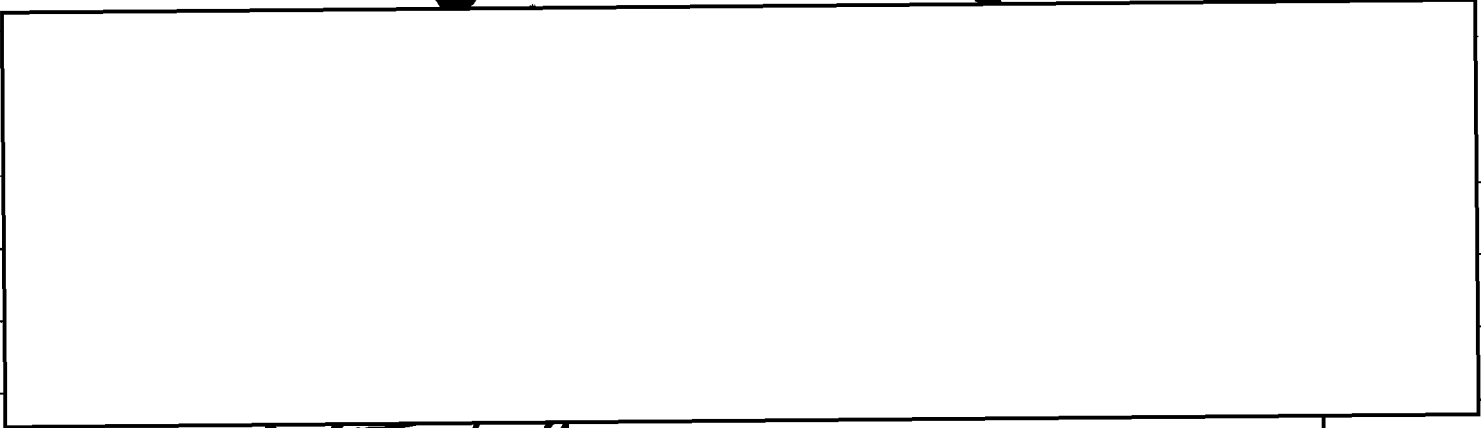
Deputy Chief [redacted] paid [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-20-01 BY [redacted]

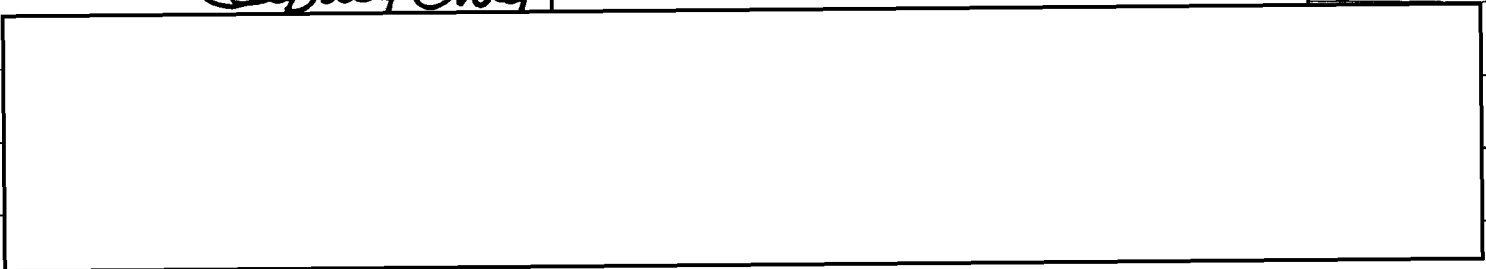
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Deputy Chief



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FEDERAL BUREAU OF INVESTIGATION
FOI/PA
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FOI/PA# 1218760-000

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Page 6 ~ Duplicate;

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FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 5/18/92

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/

FBI WMFO/ROUTINE/

LEGAT BERN/ROUTINE/

BT

UNCLAS

CITE: //3540//

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B);
 FRAUD BY WIRE; MAIL FRAUD; RICO; INCOME TAX INVASION;
 TRADING WITH THE ENEMY; OO:NEW YORK.

REFERENCE LEGAT BERN TELETYPE TO THE BUREAU DATED
 DECEMBER 6, 1991; AND WASHINGTON METROPOLITAN FIELD OFFICE

1 - New York

1 - Supervisor C-12

(2)

196A-1774-590
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Original filename: _____

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MRI/JULIAN DATE: 1681/141ISN: 044FOX DATE & TIME OF ACCEPTANCE: 2/28

ALL INFORMATION CONTAINED

DATE 2-26-01

01-081

^PAGE 2 196A-NY-1774 UNCLAS

(WMFO) TELETYPE TO THE BUREAU DATED MAY 5, 1992.

BASED ON INFORMATION PROVIDED IN REFERENCED WMFO
TELETYPE, RICHARD C. STEINER, FORMER CHIEF OF INTERPOL IN THE
UNITED STATES MADE REFERENCE TO RED NOTICES ~~TOWARDS~~ AND THE
FACT THEY APPARENTLY HAVE NOT BEEN DISSEMINATED TO EVERY
POSSIBLE COUNTRY ^{Where} ~~FOR~~ MARK RICH AND PINCUS GREEN TRAVEL.
STEINER ALSO MENTIONED THE FACT THAT RED NOTICES SHOULD BE
UPDATED PERIODICALLY.

LEGAT BERN MENTIONED IN THE REFERENCED TELETYPE OF
DECEMBER 6, 1991 THAT THERE ARE POSSIBLE CONTACTS IN

[REDACTED]

b7D

LEADS:

LEGAT BERN:

REPORT TO THE NEW YORK OFFICE (NYO) THE STATUS OF
DEVELOPMENT OF THE [REDACTED]

[REDACTED]

b7D

WMFO DIVISION: AT WASHINGTON, D.C.:

WILL CONTACT INTERPOL, WASHINGTON D.C. AND DETERMINE WHAT
COUNTRIES HAVE RECEIVED THE RED NOTICES OF RICH AND GREEN.

^PAGE 3 196A-NY-1774 UNCLAS

DETERMINE WHAT IS NECESSARY TO INCREASE THE DISSEMINATION
TO ALL MAJOR COUNTRIES WHERE RICH AND GREEN MIGHT TRAVEL.

DETERMINE WHAT IS NECESSARY TO UPDATE THE RED NOTICES
SINCE THE NYO CAN FURNISH A MORE CURRENT PHOTOGRAPH OF RICH
FOR HIS RED NOTICE.

BT

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FOI/PA# 1218760-000

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Page 5 ~ Duplicate;

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196A-1774-592

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Serialized	
Indexed	
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FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 5/13/92

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR FUGITIVE/GOVERNMENT RESERVATION CRIME UNIT.

and Economic Crimes Unit, WCC Section.

SUBJECT: MARC RICH - FUG (B); PINCUS GREEN - FUG (B); FBW,
 MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO: NY.

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 b7C

ON MAY 12, 1992, A MEETING TOOK PLACE WITH [REDACTED]
 DEPUTY CHIEF, CRIMINAL DIVISION, US ATTORNEY'S OFFICE,
 SOUTHERN DISTRICT OF NEW YORK, AND HE ADVISED THE FOLLOWING:

[REDACTED]

[REDACTED]

②-NEW YORK
 1-SUPV. C-12

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[REDACTED]

Approved: [REDACTED]

Original filename: [REDACTED]

Time Received: [REDACTED]

Telprep filename: [REDACTED]

MRI/JULIAN DATE: 1810/140ISN: 061

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FOX DATE & TIME OF ACCEPTANCE: 2225

01-081

HEREIN IS UNCLAS
 DATE 9-20-01 BY [REDACTED]

^PAGE 2, NY 196A-NY-1774

UNCLAS

DEPUTY CHIEF

ADVISED

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BT

Deputy Chief

Further advised

()

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b7C



Memorandum

To : SAC, NEW YORK (196A-NY-1774) P

Date 6/8/92

From : SA [redacted] (C-12)

b6
b7C

Subject : MARC RICH-FUGITIVE (B);
PINCUS GREEN-FUGITIVE (B);
FBW, MF, RICO, INCOME TAX
EVASION, TRADING WITH THE
ENEMY
(OO:NY)

ReNYteletype to Bureau dated 5/13/92.

MARC RICH and PINCUS GREEN were indicted in the SDNY on 9/19/83 in a 51 count indictment charging both with the above violations. Both fled the U.S. and began residing in Zug, Switzerland where they began building the MARC RICH companies which dealt in commodities such as oil, grains and metals. RICH continues business today worldwide and resides in Zug. GREEN has recently retired from the companies and continues his residence there also.

RICH reportedly supplies and trades with Russia in commodities regularly. It is alleged he travels there from time to time on business. As a result of information received by the NYO a provional arrest warrant is filed in Moscow for RICH.

AUSA [redacted] SDNY, currently assigned the captioned case advised [redacted]

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AUSA [redacted] said [redacted]

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 02-20-01 BY [redacted]

Approved
6/8/92 -
contingent upon related
FBI HQ and DOJ
authority

196A-NY-1774

SEARCHED	INDEXED
SERIALIZED	FILED
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FBI - NEW YORK	

-600

196A-NY-1774

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[REDACTED]

A previous teletype has been sent to the Bureau and there have been several telephone calls concerning this matter to the Fugitive/ Government Reservation Crimes Unit. A final teletype will be sent advising the Bureau on or about 6/8/92.

It is requested that SA [REDACTED] be granted authority to travel [REDACTED]

[REDACTED]

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FEDERAL BUREAU OF INVESTIGATION
FOI/PA
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FOI/PA# 1218760-000

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FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ AIRTEL

PRECEDENCE:

☐ Immediate
☒ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 8/5/92

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/PRIORITY/

LEGAT BERN/PRIORITY/

BT

UNCLAS

CITE: //3540//

b6
b7C

PASS: HQ FOR SSA [REDACTED] FUGITIVE/GOVERNMENT RESERVATION
 CRIMES UNIT, AND SSA [REDACTED] ECONOMIC CRIMES UNIT.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE
 (B); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE
 ENEMY; OO: NY.

ON AUGUST 4, 1992, [REDACTED] DEPUTY CHIEF, CRIMINAL

①-NEW YORK

1-SUPV. C-12

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Corrections made
 196. 1774-6/2/11/92
 Searched
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 Filed

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Approved: [REDACTED] Original filename: [REDACTED]

Time Received: [REDACTED] Telprep filename: [REDACTED]

MRI/JULIAN DATE: 1602/223ISN: 072FOX DATE & TIME OF ACCEPTANCE: 2335

ALL INFORMATION CONTAINED

01-081

DATE 2-20-01 B

^PAGE 2 NY 196A-NY-1774

UNCLAS

DIVISION, UNITED STATES ATTORNEY'S OFFICE, SOUTHERN DISTRICT
OF NEW YORK (SDNY), CURRENTLY ASSIGNED THE MARC RICH-PINCUS
GREEN CASE, FURNISHED THE FOLLOWING:

[REDACTED]

[REDACTED]

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[REDACTED]

[REDACTED]

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[REDACTED]

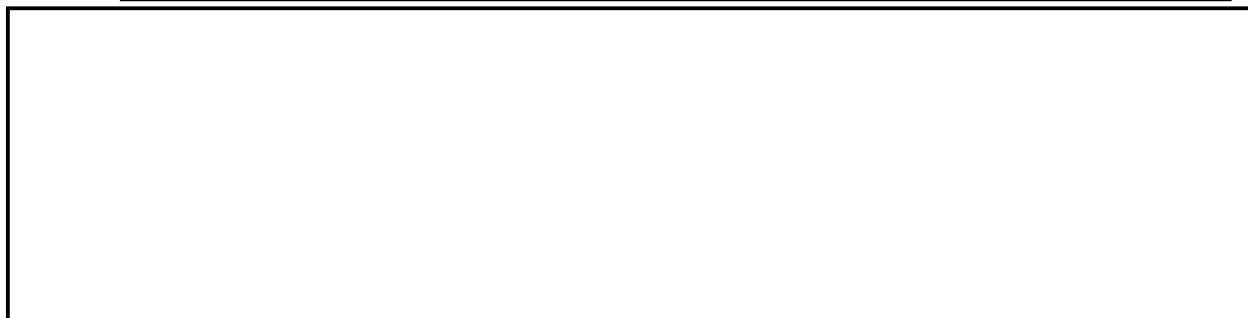
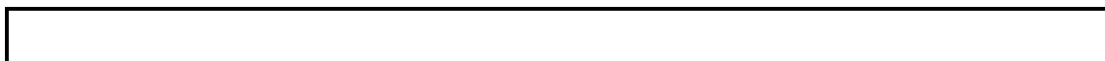
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^PAGE 3 NY 196A-NY-1774

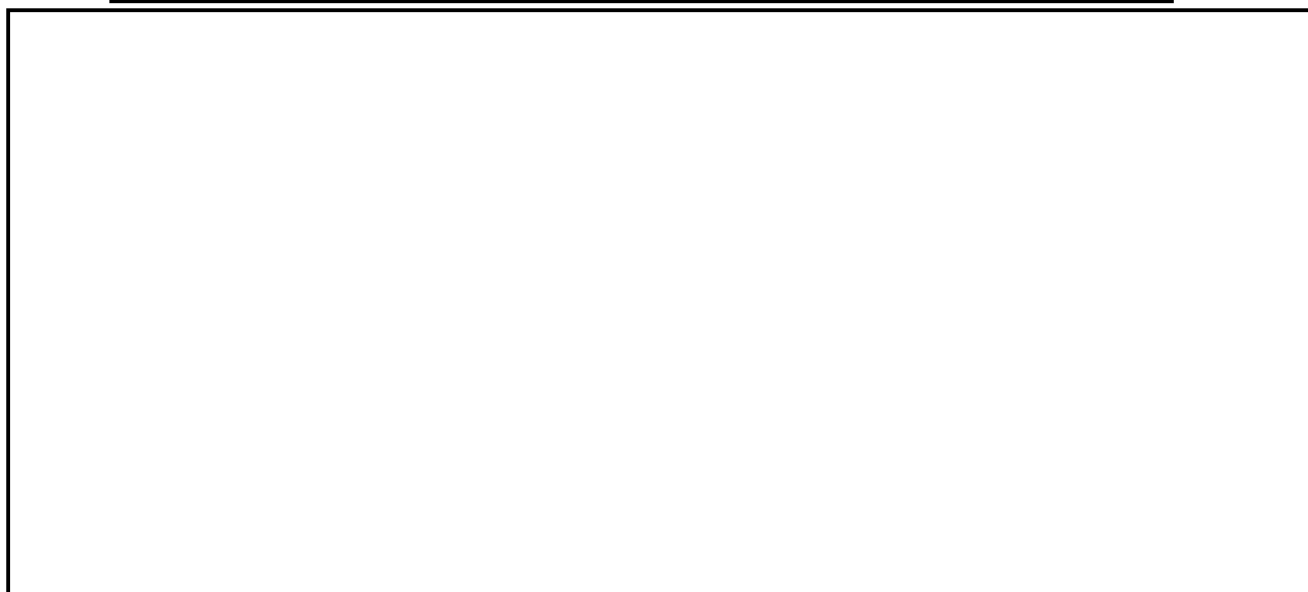
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^PAGE 4 NY 196A-NY-1774

UNCLAS

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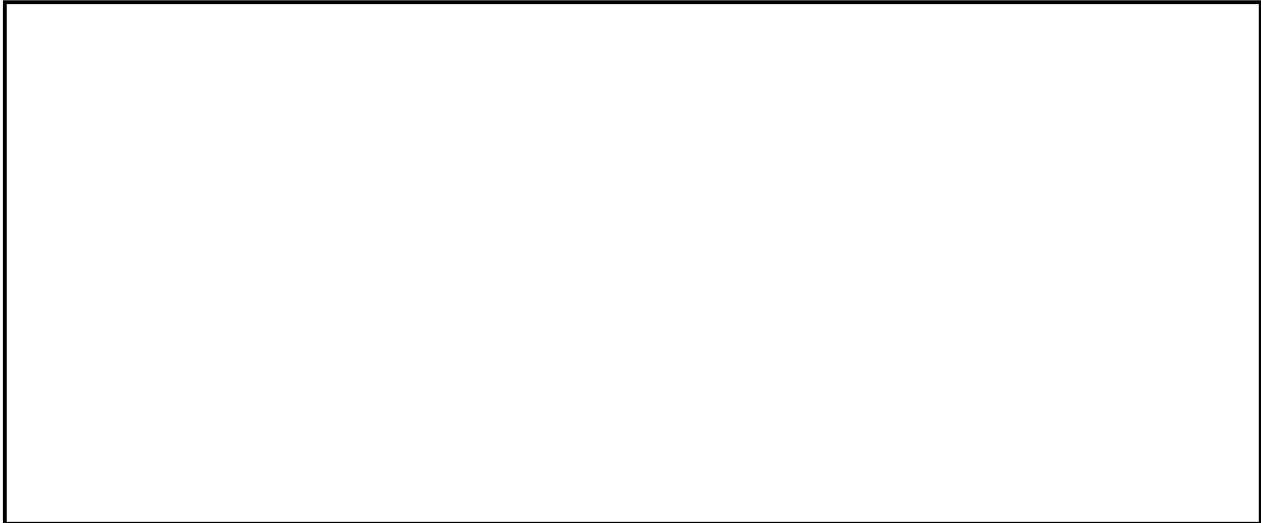
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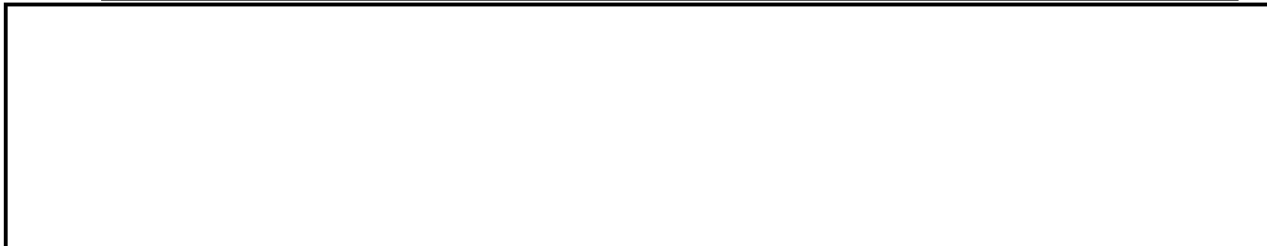
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^PAGE 5 NY 196A-NY-1774

UNCLAS



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[REDACTED]

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[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

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NY WILL CONTINUE EFFORTS TO ^{Arrest} RICH AND GREEN BUT WILL
MAINTAIN CONTACT WITH AUSA [REDACTED] IN THE EVENT THE ATTORNEYS
FOR RICH AND GREEN BEGIN NEGOTIATIONS FOR THEIR SURRENDER.

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BT

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Memorandum



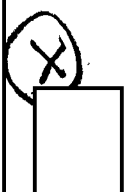
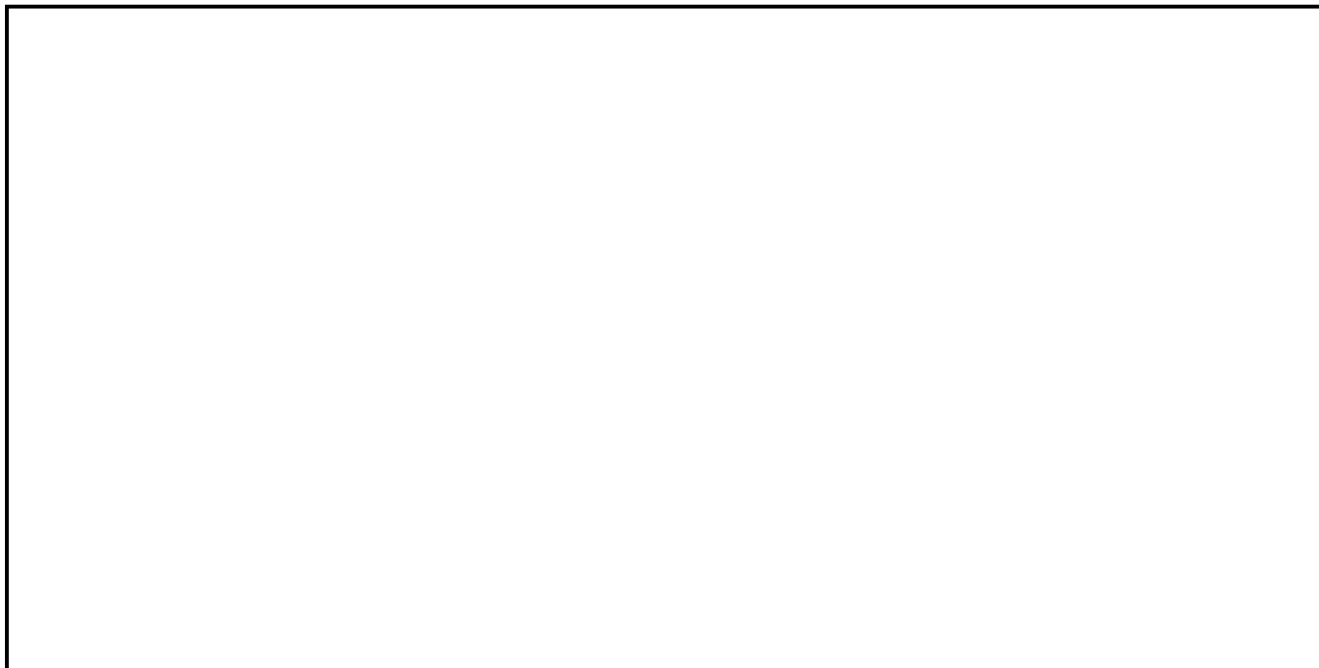
To: SAC, NEWYORK (196A-NY-1774) P Date: 6/1/94

From: SA [redacted] (C-12)

b6
b7C

Subject: MARC RICH - FUG.(LB);
PINCUS GREEN - FUG.(LB);
FBW, MF, RICO, INCOME
TAX EVASION, TRADING WITH
THE ENEMY
OO: NY

b5 Per CRM



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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY [redacted]

196B-1774-677

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 08 1994	
FBI - NEW YORK	

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VZCZCWM0035

PP HQ BA NY

DE WM #0035 2092101

ZNR UUUUU

P 282049Z JUL 89

FM FBI WMFO (196A-1563 SUB A) (P) (C-9)

TO DIRECTOR FBI/PRIORITY/

FBI BALTIMORE/PRIORITY/

ADIC NEW YORK (196A-1774)/PRIORITY/

BT

UNCLAS E F T O

SECTION ONE OF THREE

UNCLAS E F T O

CITE: //3920//

PASS: FBIHQ: HANDCARRY TO SSA [redacted] FINANCIAL CRIMES

b6
b7C

UNIT, DIVISION SIX; NEW YORK: SA [redacted] C-1

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.~~

~~CLASSIFIED BY: [redacted]
REASON: 1.5 (C, D)
DECLASSIFY ON: X 01-081-1-b~~

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B); ET

AL; FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;

OO: NY.

196A-1563 Sub A-1

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Source File*

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8/1/89

SEARCHED
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JUL 1989

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PAGE TWO DE WM 0035 UNCLAS

IN VIEW OF SENSITIVE CONTENTS, HANDCARRY IN SEALED ENVELOPE.

RE WMFO TELCALLS TO NEW YORK, JUNE 28, 1989 THROUGH JULY 27, 1989, AND MEETINGS BETWEEN WMFO AND FBIHQ, JULY 21, 1989 AND JULY 27, 1989.

FOR INFORMATION OF RECEIVING OFFICES, SINCE JUNE 28, 1989, A VERY RELIABLE AND SENSITIVE SOURCE HAS BEEN CONTACTED ALMOST

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PAGE THREE DE WM 0035 UNCLAS

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DURING LATER

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ON JULY 6, 1969,

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PAGE FOUR DE WM 0035 UNCLAS

[REDACTED]

[REDACTED] ~~(S)~~ (U)

FOLLOWING THE

[REDACTED]

[REDACTED] ~~(S)~~ (U)

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[REDACTED]

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SOURCE REFUSED TO

[REDACTED] ~~(S)~~ (U)

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PAGE FIVE DE WM 0035 UNCLAS

[REDACTED]

[REDACTED] ~~(S)~~ (U)

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ON

[REDACTED]

SOURCE PROVIDED

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PAGE SIX IE WM 0035 UNCLAS

PUBLIC RECORD INFORMATION AVAILABLE TO WMFO AND WMFO FILES

CONFIRM

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PHYSICAL OBSERVATION AND PUBLIC RECORDS CONFIRMED THE

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MARYLAND DEPARTMENT OF MOTOR VEHICLES (DMV) RECORDS NOTE

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PP HQ BA NY

DE WM #0036 2092106

ZNR UUUUU

P 282049Z JUL 89

FM FBI WMFO (196A-1563 SUB A) (P) (C-9)

TO DIRECTOR FBI/PRIORITY/

FBI BALTIMORE/PRIORITY/

ADIC NEW YORK (196A-1774)/PRIORITY/

BT

UNCLAS E F T O

UNCLAS E F T O

SECTION TWO OF THREE

CITE: //3920//

PASS: FBIHQ: HANDCARRY TO SSA [REDACTED] FINANCIAL CRIMES

UNIT, DIVISION SIX; NEW YORK: SA [REDACTED] C-1.

b6
b7C

SUBJECT: MARC RICH-FUGITIVE (B); PINCL'S GREEN-FLGITIVE (B); ET
AL; FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;
OO: NY.

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(U) PAGE TWO DE WM 0036 UNCLAS

[REDACTED]

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SOURCE WAS

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[REDACTED]

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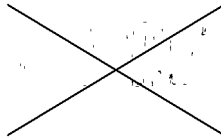
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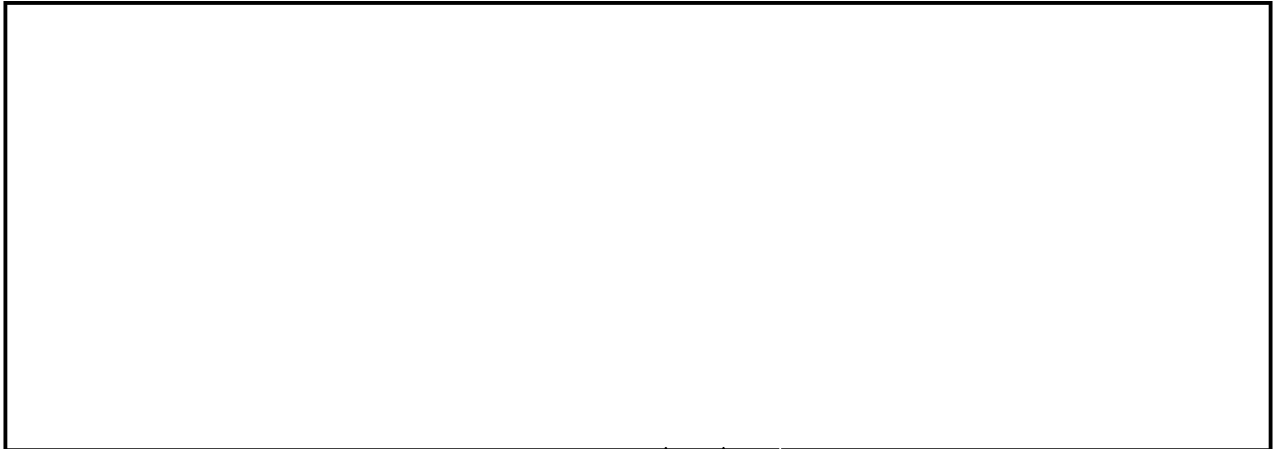
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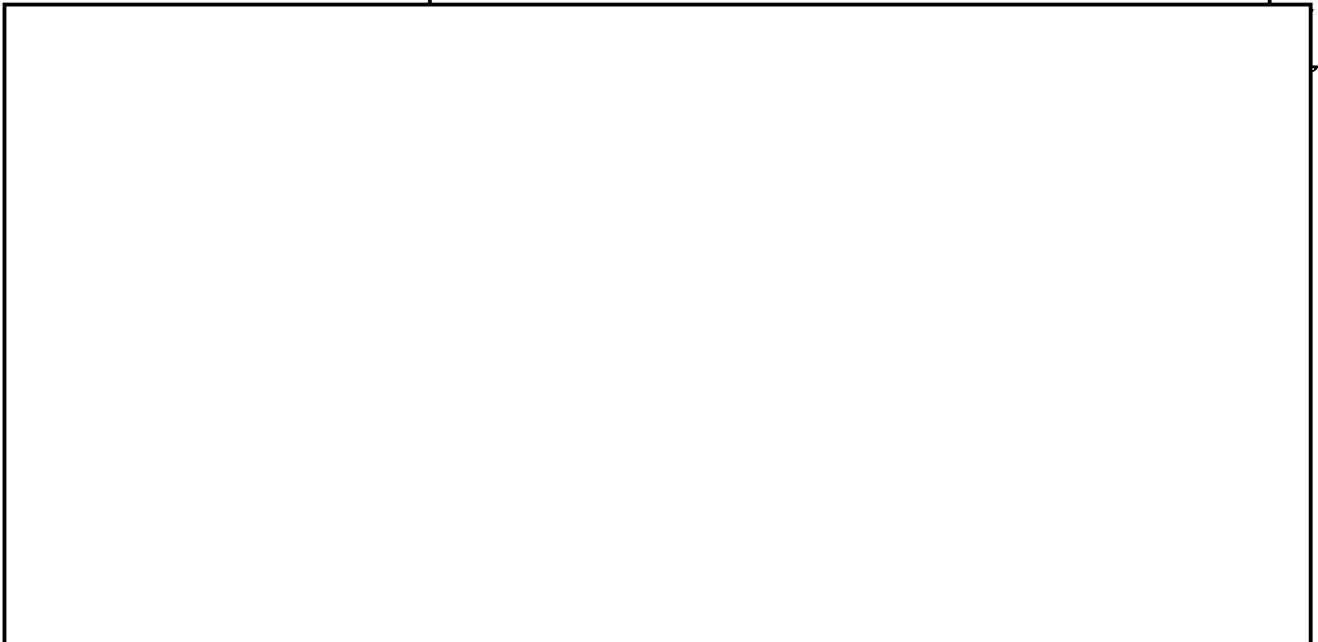
PAGE THREE DE WM 0036 UNCLAS



~~(S)~~ (U)

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IN REGARD TO THE



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~~SECRET~~

PAGE FOUR DE, WM 0036 UNCLAS

b6
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MARYLAND DMV RECORDS, WMFO, AND BALTIMORE INDICES ARE
NEGATIVE CONCERNING [REDACTED] MARYLAND TELEPHONE AND CRISSCROSS
DIRECTORIES VERIFY TELEPHONE NUMBERS FOR [REDACTED] THE RESIDENCE
LISTED FOR [REDACTED] IS LOCATED IN [REDACTED]
[REDACTED] THE ADDRESS APPEARS TO BE EITHER [REDACTED]
[REDACTED] THE IDENTITY OF THE OCCUPANT OF THE
[REDACTED] HAS NOT BEEN DETERMINED. ~~(S)~~ (U)

ADMINISTRATIVE:

SOURCE PROVIDING THE ABOVE INFORMATION IS [REDACTED] ~~(S)~~ (U)

THE ABOVE INFORMATION SHOULD BE CONSIDERED AS SINGULAR IN
NATURE. [REDACTED] IMPROPER HANDLING WILL
DISCLOSE SOURCE'S IDENTITY [REDACTED]

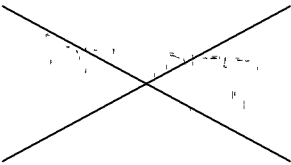
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[REDACTED]
[REDACTED] FBIHQ AND NEW YORK ARE AWARE ~~(S)~~ THE ABOVE SOURCE HAS BEEN
PROVIDING INFORMATION [REDACTED]

[REDACTED]

~~(S)~~ (U)

~~SECRET~~



PAGE FIVE DE WM 0036 UNCLAS

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[REDACTED]

[REDACTED] BECAUSE OF SOURCE'S
PROVEN VALUE, IT IS IMPERATIVE SOURCE'S IDENTITY BE PROTECTED. ~~(S)~~ (U)

SOURCE HAS

[REDACTED]

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[REDACTED]

[REDACTED] ~~(S)~~ (U)

IN LATE 1986,

[REDACTED]

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[REDACTED]

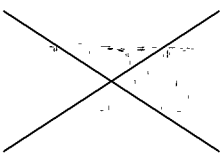
[REDACTED] ~~(S)~~ (U)

DURING

[REDACTED]

[REDACTED] ~~(S)~~ (U)

~~SECRET~~



PAGE SIX DE WM 0036 UNCLAS

b7D
b7E
b7F

[REDACTED]

(U) [REDACTED] (~~S~~)

BASED ON THE ABOVE, IT IS WMFO'S ANALYSIS THAT [REDACTED]

(U) [REDACTED] COULD BE: (~~S~~)

1) [REDACTED]

[REDACTED] (~~S~~)

(U) 2) [REDACTED]

b3
b7D [REDACTED]

b7E [REDACTED] (~~S~~) (U)

b7F 3) [REDACTED]

[REDACTED] (~~S~~) (U)

4) [REDACTED]

[REDACTED]

(U) [REDACTED] (~~S~~)

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#0036

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VZCZCWM0037

PP HQ BA NY

DE WM #0037 2092111

ZNR UUUUU

P 282049Z JUL 89

FM FBI WMFO (196A-1563 SUB A) (P) (C-9)

TO DIRECTOR FBI/PRIORITY/

FBI BALTIMORE/PRIORITY/

ADIC NEW YORK (196A-1774)/PRIORITY/

BT

UNCLAS E F T O

UNCLAS E F T O

SECTION THREE OF THREE

CITE: //3920//

PASS: FBIHQ: HANDCARRY TO SSA [REDACTED] FINANCIAL CRIMES

UNIT, DIVISION SIX; NEW YORK; SA [REDACTED] C-1.

b6
b7C

SUBJECT: MARC RIC- FUGITIVE (B); PINCUS GREEN- FUGITIVE (B); ET
AL; FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;
OO: NY.

-13-
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(U)

PAGE TWO DE WM 0037 UNCLAS

5)

[REDACTED]

~~(S)~~

SOURCE VERY RELUCTANTLY VERBALLY AGREED TO

[REDACTED]

[REDACTED]

b5
b6
b7C
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[REDACTED]

~~(S)~~ (U)

THE FOLLOWING IS THE BASIS FOR THE ANALYSIS THAT THE

[REDACTED]

~~(S)~~ (U)

IN

[REDACTED]

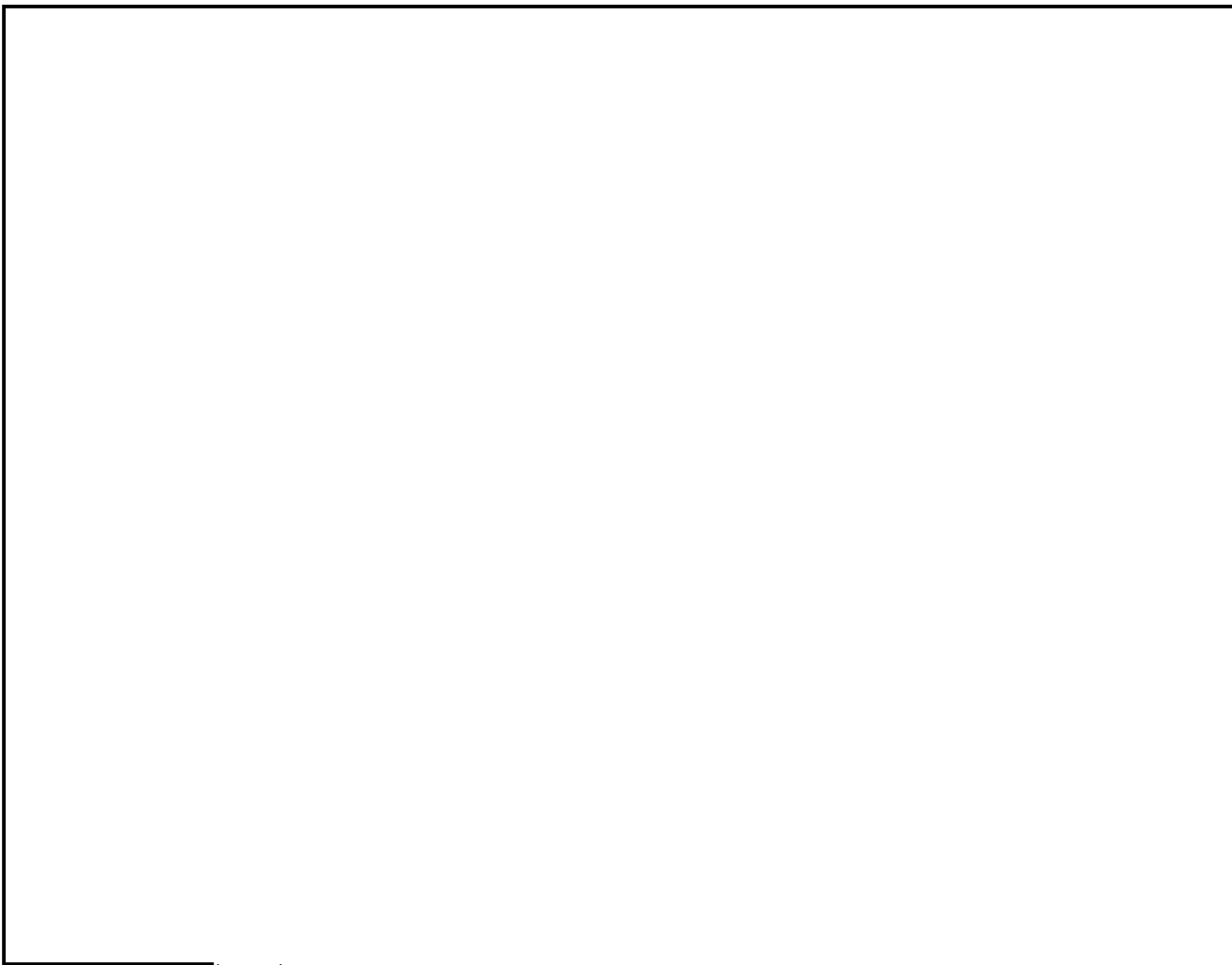
SOURCE PROVIDED INFORMATION THAT

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PAGE THREE DE WM 0037 UNCLAS



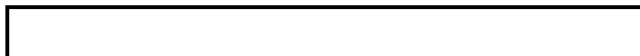
b3
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IN REGARD TO THE POSSIBLE



IT IS NOTED



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PAGE FOUR DE WM 0037 UNCLAS

[REDACTED]

b3
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[REDACTED] ~~(S)~~ (U)

AT THIS TIME, NEITHER

[REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

WMFO PLANS TO

[REDACTED]

[REDACTED]

b6
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[REDACTED]

[REDACTED] ~~(S)~~ (U)

THE ABOVE IS PROVIDED FOR INFORMATION OF RECEIVING OFFICES.

BT

#0037

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FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1218760-000

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Page 4 ~ b3; b6; b7C; b7E;
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Page 38 ~ b3; b6; b7C; b7E;
Page 39 ~ b3; b6; b7C; b7E;
Page 40 ~ b3; b6; b7C; b7E;
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X For this Page X
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MEMORANDUM

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b7C

~~SECRET~~

TO: SAC, (196A-1563 SUB A)

9/5/89

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached for the file are [REDACTED]

b3
b6
b7C
b7E

~~CLASSIFIED BY [REDACTED]
REASON: 1.5 (C)
DECLASSIFY ON: X 1~~

b6
b7C

1-WF 196A-1563 SUB A (Enc. 2)

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

~~SECRET~~

196A-1563 Sub A 8X2

SEARCHED	INDEXED
SERIALIZED	FILED
SEP 5 1989	
FBI - WASH. METRO FIELD OFFICE	

b6
b7C

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-27-01 BY [REDACTED]~~

b6
b7C

b6
b7C

~~SECRET~~

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 9/12/89

1 TO : DIRECTOR, FBI
2 (HAND CARRY TO SECTION CHIEF LARRY POTTS, DIVISION 6)

3 FROM : SAC, WMFO (196A-1563 SUB A) (P) (C-9)

4 MARC RICH - FUGITIVE (B);
5 PINCUS GREEN - FUGITIVE (B);
6 ET AL;
7 FBW; MF; RICO;
8 INCOME TAX EVASION;
9 TRADING WITH THE ENEMY;
10 (OO:NY)

11 This communication is classified "~~SECRET~~" in its
12 entirety.

13 Re WMFO teletype to Director, 7/28/89; WMFO airtels to
14 Director, 7/31/89, 8/4/89, 8/18/89, 8/23/89, 8/28/89, 8/30/89,
15 9/1/89 and 9/6/89; FBIHQ conference, 8/30/89, attended by
16 Assistant Director WILLIAM BAKER, WMFO SAC W. DOUGLAS GOW, U.S.
17 Attorney JAY STEPHENS and others; 9/5/89 telcall from FBIHQ to
18 ASAC [REDACTED] 9/5/89 telcalls between SSA [REDACTED]
19 [REDACTED] Financial Crimes Unit, and WMFO SA [REDACTED] and
20 9/8/89 and 9/12/89 telcalls between WMFO SSA [REDACTED] and
21 SSAs [REDACTED] and [REDACTED]

22 Enclosed are an original and four copies of a
23 Letterhead Memorandum (LHM) not suitable for dissemination,
24 except to the highest level U.S. Government officials. WMFO will
25 provide a copy of the enclosed LHM to U.S. Attorney for the
26 District of Columbia JAY B. STEPHENS.

27 2-Bureau (Enc. 5)

28 2-WMFO

b6
b7C

~~CLASSIFIED BY C-2~~
~~DECLASSIFY ON OADR~~

(4)

2 copies of LHM Hand Carried
to AUSA [REDACTED] on 9/12/89

2-2701

CLASSIFIED BY [REDACTED]
REASON: 1.5
DECLASSIFY ON: X-1

Approved: [REDACTED]

Transmitted

b6
b7C

(Num [REDACTED])

Per [REDACTED]

~~SECRET~~

196A-1563 SUB A

SEARCHED	INDEXED
SERIALIZED	FILED
OCT 1989	
FBI - WMFO	FIELD OFFICE

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

~~SECRET~~

WMFO 196A-1563 SUB A

For information of the Bureau the facts and circumstances relative to [REDACTED] were presented to the District of Columbia U.S. Attorney's Office on 9/8/89. On 9/12/89, the U.S. Attorney's Office approved closing the matter at this time based on the fact that there is no evidence of a criminal scheme. WMFO is conducting no further investigation into this matter. [REDACTED]

b5
b6
b7C
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b7F

[REDACTED] (S)

ADMINISTRATIVE:

Source providing the above information is [REDACTED] (S) (U)

b7D
b7F

~~CLASSIFIED BY G-3;
DECLASSIFY ON OADR~~

2*

~~SECRET~~

b6
b7C

U.S. Department of Justice



Federal Bureau of Investigation

In Reply, Please Refer to
File No.

Falls Church, Virginia 22043
September 12, 1989

[redacted]

(S) (U) b3
b6
b7C
b7E

The following is classified "~~SECRET~~" in its entirety.

Since June 28, 1989, a very sensitive and reliable confidential source (source) of the FEDERAL BUREAU OF INVESTIGATION (FBI) has been providing information to Special Agents (SAs) of the Washington Metropolitan Field Office (WMFO) concerning [redacted]

b6
b7C
b7D
b7F

[redacted]

[redacted]

(S) (U)

On June 28, 1989, [redacted] known to source

[redacted]

(U)

(S)

On July 6, 1989, [redacted]

[redacted]

b3
b6
b7C
b7D
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b7F

[redacted]

(S) (U)

5-Bureau
(2)-WMFO

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

Classified by G-3
Declassify on: OADR
~~SECRET~~

3-5-01
CLASSIFIED BY: [redacted]
REASON: 1.5 (C)
DECLASSIFY ON: X

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

~~SECRET~~

RE: [redacted]

~~(S)~~ (U)

[redacted] told source that [redacted]

b6
b7C
b7D
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~~(S)~~ (U)

On July 18, 1989, source was contacted by [redacted]

b6
b7C
b7D
b7F

~~(S)~~ (U)

Regarding the [redacted]

b6
b7C
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b7F

~~(S)~~ (U)

On [redacted]

met with source [redacted]

~~(S)~~ (U)

~~SECRET~~

~~SECRET~~

RE:

[REDACTED]

~~(S)~~ (U)

b6
b7C
b7D
b7F

[REDACTED]

[REDACTED]

~~(S)~~ (U)

During the

[REDACTED]

[REDACTED]

b6
b7C
b7D
b7F

[REDACTED]

~~(S)~~ (U)

During the

[REDACTED]

source was advised by

[REDACTED]

b6
b7C
b7D
b7F

[REDACTED]

~~(S)~~ (U)

On

[REDACTED]

[REDACTED]

[REDACTED]

and source. ~~(S)~~ (U)

The FBI provided surveillance coverage of
An FBI Special Agent, [REDACTED]

[REDACTED]

b6
b7C
b7D
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b7F

[REDACTED]

~~(S)~~ (U)

Prior to the

[REDACTED]

[REDACTED]

~~(S)~~ (U)

~~SECRET~~

~~SECRET~~

RE:

[REDACTED]

~~(S)~~ (U)

[REDACTED]

b6
b7C
b7D
b7E
b7F

[REDACTED] ~~(S)~~ (U)

When

[REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

[REDACTED]

[REDACTED]

b6
b7C
b7D
b7E
b7F

[REDACTED] ~~(S)~~ (U)

[REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

~~SECRET~~

RE: [redacted] ~~SECRET~~ (S) (U)

b6
b7C
b7D
b7E
b7F

[redacted]
[redacted] (S) (U)

[redacted]
[redacted] (S) (U)

After source

[redacted]
[redacted] (S) (U)

b6
b7C
b7D
b7F

Within hours of

[redacted]

[redacted] (S) (U)

On August 17, 1989, source was telephonically contacted

~~SECRET~~

(S) (U)

~~SECRET~~

RE: [REDACTED]

~~(S)~~ (U)

[REDACTED]

~~(S)~~ (U)

Source had a subsequent [REDACTED]

[REDACTED]

~~(S)~~ (U)

On [REDACTED] source spoke [REDACTED]

[REDACTED]

~~(S)~~ (U)

On [REDACTED] source [REDACTED]

[REDACTED]

~~(S)~~ (U)

Source advised [REDACTED]

[REDACTED]

~~(S)~~ (U)

[REDACTED] questioned source as to whether source, [REDACTED]

[REDACTED]

~~(S)~~ (U)

~~SECRET~~

b6
b7C
b7D
b7F

b3
b6
b7C
b7D
b7E
b7F

~~SECRET~~

RE: [REDACTED]

~~(S)~~ (U)

During the [REDACTED]

b6
b7C
b7D
b7E
b7F

~~(S)~~ (U)

Source advised [REDACTED]

b3
b6
b7C
b7D
b7E
b7F

~~(S)~~ (U)

[REDACTED] advised source and [REDACTED]

b6
b7C
b7D
b7E
b7F

~~(S)~~ (U)

During evening of [REDACTED] source received a
telephone call from [REDACTED]

b6
b7C
b7D
b7F

~~SECRET~~ (U)

~~(S)~~ (U)

~~SECRET~~

RE:

[REDACTED] ~~(S)~~ (U)

b6
b7C
b7D
b7F

[REDACTED]

[REDACTED] ~~(S)~~ (U)

On [REDACTED] source learned [REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

In addition to the [REDACTED]

b6
b7C
b7D
b7F

[REDACTED]

[REDACTED] ~~(S)~~ (U)

On [REDACTED]

[REDACTED]

b6
b7C
b7D
b7F

[REDACTED] ~~(S)~~ (U)

The following day [REDACTED] source was telephonically contacted by [REDACTED]

[REDACTED]

~~SECRET~~

~~(S)~~ (U)

b6
b7C
b7D
b7F

~~SECRET~~

RE: [redacted]

~~(S)~~ (U)

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b7D
b7F

~~(S)~~ (U)

Source recontacted [redacted]

~~(S)~~ (U)

On [redacted] source was telephonically contacted

b6
b7C
b7D
b7F

~~(S)~~ (U)

During the same call, source spoke with [redacted]

b6
b7C
b7D
b7F

~~(S)~~ (U)

During a subsequent telephone discussion [redacted]

~~SECRET~~

~~(S)~~ (U)

b6
b7C
b7D
b7F

~~SECRET~~

RE

[redacted] ~~(S)~~ (U)

[redacted]

b6
b7C
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b7F

[redacted] ~~(S)~~ (U)

On [redacted] the meeting between [redacted] source

[redacted] ~~(S)~~ (U)

On [redacted] source [redacted]

[redacted]

[redacted] ~~(S)~~ (U)

On [redacted] source [redacted]

b6
b7C
b7D
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[redacted]

[redacted] ~~(S)~~ (U)

On [redacted] source [redacted]

[redacted]

~~SECRET~~

~~(S)~~ (U)
b6
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b7E
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~~SECRET~~

RE

[REDACTED] ~~(S)~~ (U)

[REDACTED]

b3
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b7E
b7F

[REDACTED] ~~(S)~~ (U)

[REDACTED]

[REDACTED]

b6
b7C
b7D
b7E
b7F

[REDACTED] ~~(S)~~ (U)

Source

[REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

Source

[REDACTED]

[REDACTED]

b6
b7C
b7D
b7F

[REDACTED] ~~(S)~~ (U)

With regard to

[REDACTED]

[REDACTED]

~~(S)~~ (U)

~~SECRET~~

~~SECRET~~

RE

[REDACTED] ~~(S)~~ (U)

[REDACTED]

b6
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b7D
b7F

[REDACTED] ~~(S)~~ (U)

Information provided by

[REDACTED]

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b7F

[REDACTED] ~~(S)~~ (U)

On September 8, 1989, the facts of this matter were discussed with U.S. Attorney for the District of Columbia JAY B. STEPHENS and Chief of the Criminal Division for the District of Columbia U.S. Attorney's Office [REDACTED]. They advised that prior to rendering an opinion on the matter, they wished to review and discuss it further among themselves.

b6
b7C

On September 12, 1989, AUSA [REDACTED] contacted WMFO Supervisory Special Agent [REDACTED] and advised that at the present time the U.S. Attorney's Office saw no evidence of a criminal scheme. AUSA [REDACTED]

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[REDACTED] Based on this, AUSA [REDACTED] advised that the U.S. Attorney's Office approved closing the matter at this time. In view of this, WMFO will conduct no further investigation into this matter.

~~SECRET~~

b6
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MEMORANDUM

~~SECRET~~

TO: SAC, (196A-1563 SUB A)

8/15/89

FROM: [REDACTED] SA [REDACTED] (C-9)

SUBJECT: MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

b6
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IN VIEW OF SENSITIVE CONTENTS, AFFORD APPROPRIATE
SECURITY.

Re WMFO Airtel to FBIHQ which is attached.

On [REDACTED]



b3
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The following assignments are being made:



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b6
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~~9-5-01~~
~~CLASSIFIED BY [REDACTED]~~
~~SON: 1.5 [REDACTED]~~
~~CLASSIFY ON: X 1~~

② (196A-1563 Sub A)
15- Designated Agents

b6
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196A-1563 sub A

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 16 1989	
FBI - WASH. METRO FIELD OFFICE	

-10

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 8/27/01 BY [REDACTED]

~~SECRET~~



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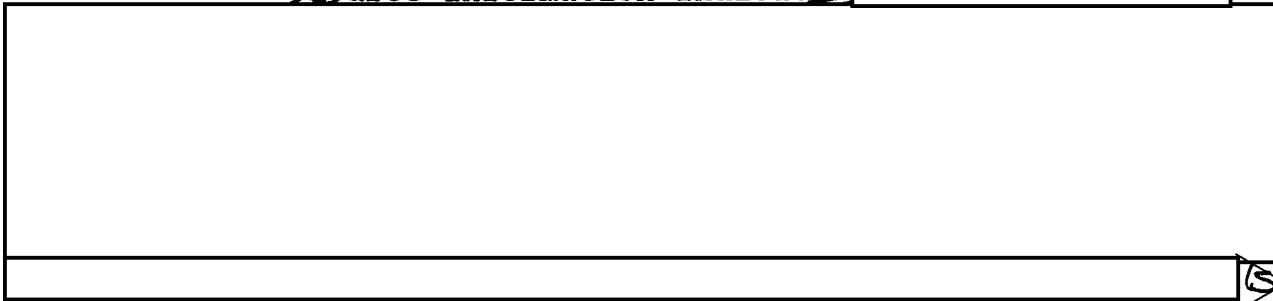
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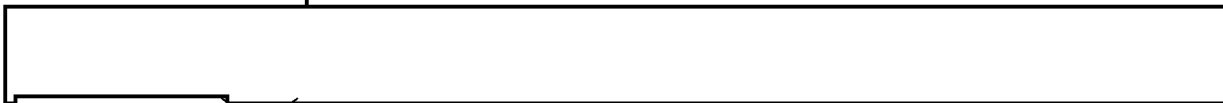
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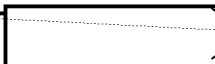
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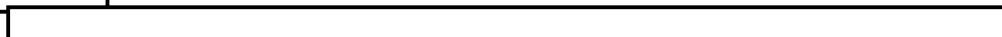


b7E

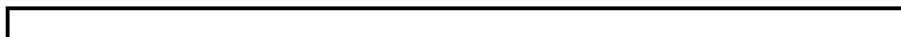
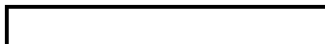
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b7E



b7E

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~~SECRET~~

Any changes and final assignments will be made at a
briefing at [REDACTED]

b7E

~~SECRET~~

~~SECRET~~

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AIRTEL

FROM: SAC, WMFO (196A-1563 SUB Y) (P) (C-9)

TO: DIRECTOR, FBI (HANDCARRY TO SSA [REDACTED] FINANCIAL
CRIMES UNIT, DIVISION 6)

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B);
ET AL; FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

b6
b7C

IN VIEW OF SENSITIVE CONTENTS, HANDCARRY IN SEALED ENVELOPE.

RE MEETING BETWEEN WMFO SA [REDACTED] AND FBIHQ
SSA [REDACTED] 7/27/89; WMFO TELETYPE TO DIRECTOR, 7/28/89; AND WMFO
TELCALL TO FBIHQ, 8/3/89

PURPOSE: AUTHORITY IS REQUESTED TO MONITOR AND/OR
RECORD CONVERSATIONS FOR A PERIOD OF 30 DAYS [REDACTED]

[REDACTED]

b3
b6
b7C
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DETAILS: IN VIEW OF THE ANTICIPATED INTERCEPTION OF
CONVERSATIONS [REDACTED]

[REDACTED]

b3
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b7F

2-FBIHQ
3-WMFO
[REDACTED]

b6
b7C

2-27-01
CLASSIFIED BY: [REDACTED]
REASON: 1.5 (C)
DECLASSIFY ON: X1

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

~~SECRET~~

~~SECRET~~

[REDACTED]

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[REDACTED] ~~(S)~~ (U)

SOURCE [REDACTED]

[REDACTED]

b7D
b7E
b7F

[REDACTED] ~~(S)~~ (U)

ALTHOUGH [REDACTED]

[REDACTED]

b3
b7D
b7E
b7F

IF APPROVED [REDACTED]

[REDACTED]

b7E

(U)

~~(S)~~

U.S. ATTORNEY'S OPINION: ON 7/25/89, AUSA [REDACTED]
[REDACTED] CRIMINAL DIVISION, U.S. ATTORNEY'S OFFICE FOR THE
DISTRICT OF COLUMBIA. WAS ADVISED OF THE ABOVE MATTER [REDACTED]

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[REDACTED]

[REDACTED] ~~(S)~~ (U)

~~SECRET~~

~~SECRET~~

ON 7/28/89. [REDACTED] ADVISED THAT U.S. ATTORNEY JAY STEPHENS [REDACTED]

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[REDACTED]

[REDACTED] (~~S~~) (U)

ON 8/3/89. [REDACTED] WAS RECONTACTED AND ADVISED OF [REDACTED]

[REDACTED]

[REDACTED] (~~S~~) (U)

ADMINISTRATIVE:

(U) [REDACTED] IS [REDACTED]

SOURCE IS [REDACTED] (~~S~~)

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b7E
b7F

~~SECRET~~

b6
b7C

MEMORANDUM

~~SECRET~~

TO: SAC, (196A-1563 SUB A) 8/15/89

FROM: SA [redacted] (C-9)

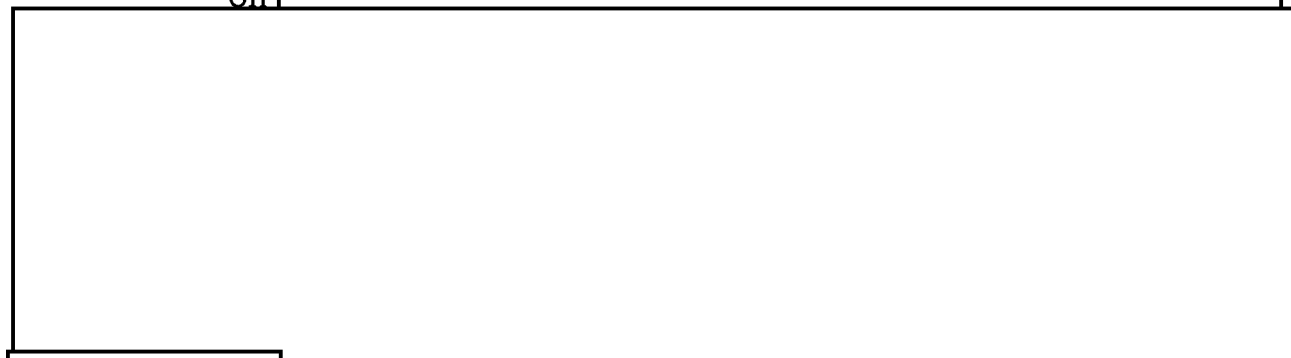
b6
b7C

SUBJECT: MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

IN VIEW OF SENSITIVE CONTENTS, AFFORD APPROPRIATE
SECURITY.

On [redacted]

b3
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[redacted] (S) (U)

WMFO'S Special Operations Group (C-12) is requested to
provide [redacted]

b7D
b7E
b7F

[redacted] (S) (U)

b6
b7C

2-WMFO
[redacted]

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/27/01 BY [redacted]~~

SA [redacted] advised
on 8/15/89 and attached
briefing [redacted]

196A-1563 Sub A-10X1

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 18 1989	

b6
b7C

3-5-01
CLASSIFIED BY:
REASON: 1.5 (C) (U)
DECLASSIFY ON: X1

~~SECRET~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

AIRTEL

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 03-06-2017 BY: []

Federal Bureau of Investigation

~~SECRET~~b6
b7CFrom: SAC, ~~WMFO (196A-1563 SUB Y) (C-9)~~

Date: 9/7/89

To: DIRECTOR, FBI
Attn: [ELSUR] Index~~SECRET~~Subject: MARC RICH-FUGITIVE (B);
PINCUS GREEN-FUGITIVE (B);
ET AL;
FBW; ME; RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO: NEW YORK~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~CLASSIFIED BY []
REASON: 1.5 (C)
DECLASSIFY ON: X 1b6
b7CRe ~~WMFO teletype~~ to FBIHQ dated 7/31/89 and
Bu ~~airtel~~ to ~~WMFO~~ dated 8/4/89 captioned
as above.NOTE: If no confirming communication received from FBIHQ in response to
your request for use of a nontelephonic consensual monitoring device, mark below: ~~(S)~~ (U)___ No confirming communication received from FBIHQ to date. ~~(S)~~ (U)ReCommunication(s) requested/granted: ~~(S)~~ (U)X Routine authority.
___ Emergency authority. ~~(S)~~ (U)The following information relates to the use of the equipment: ~~(S)~~ (U)___ Its use provided information which corroborated or assisted in
corroborating the allegation or suspicion.
___ It was used, but no information of value was obtained.
___ It was not used.
X (Only one of the above applies) ~~(S)~~ (U)Complete and submit within 30 days of expiration of each and every period
of authorization granted for nontelephonic consensual monitoring by either the DOJ or
FBIHQ-CID (whether an initial or a subsequent authorization), and for each extension
or renewal thereof. ~~(S)~~ (U)Transmit to FBIHQ in a sealed brown envelope labeled "Director, FBI, [ELSUR] Index, FBIHQ." ~~(S)~~ (U)

2 - Bureau

①-WMFO 196A-1563 (Field Office Investigative File)
SUB Y (Field Office Control File)

WMFO 196A-1563 SUB A

1 [] ~~(S)~~ (U) b7ED. []
ON 2-27-01

Searched

Serialized

Indexed

Filed

Classified by G-3
Declassify on OADR
~~SECRET~~~~SECRET~~

FBI/DOJ

b6
b7Cb6
b7C

AIRTEL

Federal Bureau of Investigation
WMFO (196A-1563 SUB Y) (C-9)

9/7/89

From: SAC, _____

Date: _____

To: DIRECTOR, FBI (S) (U)
Attn: [ELSUR] Index~~SECRET~~~~SECRET~~DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 03-06-2017 BY: _____Subject: MARC RICH-FUGITIVE (B);
PINCUS GREEN-FUGITIVE (B);
ET AL;
FBW; MF; RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO: NEW YORKCLASSIFIED BY _____
REASON: 1.5 (C)
DECLASSIFY ON: Xb6
b7CRe WMFO teletype _____ to FBIHQ dated 8/4/89 and
Bu airtel _____ to WMFO dated 8/10/89 captioned
as above.NOTE: If no confirming communication received from FBIHQ in response to
your request for use of a nontelephonic consensual monitoring device, mark below: (S) (U)

_____ No confirming communication received from FBIHQ to date. (S) (U)

ReCommunication(s) requested/granted: (S) (U)

☒ Routine authority.
☐ Emergency authority. (S) (U)

The following information relates to the use of the equipment: (S) (U)

☒ Its use provided information which corroborated or assisted in
corroborating the allegation or suspicion.
☐ It was used, but no information of value was obtained.
☐ It was not used.
(Only one of the above applies) (S) (U)Complete and submit within 30 days of expiration of each and every period
of authorization granted for nontelephonic consensual monitoring by either the DOJ or
FBIHQ-CID (whether an initial or a subsequent authorization), and for each extension
or renewal thereof. (S) (U)Transmit to FBIHQ in a sealed brown envelope labeled "Director, FBI, [ELSUR] (S) (U)
Index, FBIHQ." 196A-1563 Sub A-12

2 - Bureau

1 - WMFO 196A-1563 (Field Office Investigative File)
1 - SUB Y (Field Office Control File)

1 - WMFO 196A-1563 SUB A

1 - [] (S) (U)

b7E

b6
b7C

Searched _____

Serialized _____

Indexed _____

Filed _____

b6
b7C~~Classified by G-3~~
~~Declassify on OADR~~
~~SECRET~~

FBI/DOJ

~~SECRET~~

b6
b7C

~~SECRET~~

MEMORANDUM

TO: SAC, (196A-1563 SUB A) 10/16/89

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Re WMFO Airtel and LHM to Bureau, 9/12/89, and R/S of
SAC GOW, 10/10/89.

Referenced Airtel noted on 9/12/89 that U.S Attorney
JAY STEPHENS, District of Columbia, advised his office saw no
evidence of a criminal scheme at the present time [REDACTED]

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[REDACTED] In view of USA STEPHENS
decision, FBIHQ instructed that no further investigation
would be conducted in this matter by WMFO. (S) (U)

Although no additional investigation has been conducted
by WMFO, source [REDACTED]

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2-WMFO

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b7C

196A-1563 Sub A-13

SEARCHED	INDEXED
SERIALIZED	FILED
OCT 16 1989	
FBI - WASHINGTON	

~~SECRET~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

2-26-01
CLASSIFIED BY [REDACTED]
REASON: 1.5
DECLASSIFY ON: X 1

~~SECRET~~

WF 196A-1563 SUB A
PAGE TWO

Source [REDACTED]

[REDACTED]
[REDACTED] ~~(S)~~ (U)

In preparation [REDACTED]

[REDACTED]

b6
b7C
b7D
b7F

(U)

[REDACTED] ~~(S)~~

On [REDACTED]

source [REDACTED]

[REDACTED]
[REDACTED] ~~(S)~~ (U)

The above is furnished for information.

~~SECRET~~

FD-36 (Rev. 8-29-85)

b6
b7C

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☒ ~~SECRET~~
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

~~SECRET~~

Date 10/23/89

b6
b7C

TO : ADIC, NEW YORK (196A-1774)
(HANDCARRY TO SA [REDACTED] SQUAD C-1)

FROM : SAC, WMFO (196A-1563 SUB A) (P) (C-9)

MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO:NY

This communication is classified ~~"SECRET"~~ in its
entirety.

Re WMFO Teletype to Director, 7/28/89; WMFO Airtels to
Director, 7/31/89, 8/4/89, 8/18/89, 8/23/89, 8/28/89, 8/30/89,
9/1/89, and 9/12/89; FBIHQ conference attended by Assistant
Director WILLIAM BAKER, WMFO SAC W. DOUGLAS GOW, U.S. Attorney
JAY B. STEPHENS and others; WMFO telcalls of ASAC [REDACTED]
[REDACTED] to Assistant Director WILLIAM BAKER, 8/22 through
9/12/89; and various telcalls between WMFO SA [REDACTED]
and NY SA [REDACTED] 7/28/89 through 9/28/89.

Enclosed for New York is one copy of a Letter Head
Memorandum (LHM) NOT SUITABLE FOR DISSEMINATION other than to the
highest level U.S. Government officials. The LHM contains
detailed singular source information prepared pursuant to FBIHQ
instructions. This information is necessary to properly describe
events and involvement of participants for dissemination
to the highest level U.S. Government officials only. The LHM
summarizes information that has been provided to [REDACTED] reliable
and sensitive source concerning [REDACTED]

2-NEW YORK (Enc. 1)

(2)-WMFO

(4 [REDACTED])

Classified by G-3

Declassify on: OADR

2-27-01
CLASSIFIED BY [REDACTED]

REASON: 1.5 (E)
DECLASSIFY ON: X

~~SECRET~~

196A-1563 Sub A
SEARCHED [REDACTED] INDEXED [REDACTED]
SERIALIZED [REDACTED] FILED [REDACTED]

OCT 27 1989

FBI - [REDACTED]
Pd [REDACTED]

Approved: [REDACTED]

Transmitted [REDACTED]

(Number) (Time)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

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b7C

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b7D
b7F

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b6
b7C

WF 196A - 1563 SUB A
PAGE TWO

~~SECRET~~

This LHM is provided to New York for the benefit of the New York case agent to document events transpiring in the [redacted] which will have an impact on captioned investigation. Information contained in the LHM is [redacted]

[redacted] According to FBIHQ, other high level government officials including [redacted] [redacted] have been briefed concerning this matter. ~~(S)~~ (U)

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It is imperative this document receive appropriate security.

Refer any inquiries to WMFO SA [redacted]

b6
b7C

ADMINISTRATIVE:

[redacted] is [redacted]

Source is [redacted] The information contained in the enclosed LHM is singular in nature and, if disseminated, WILL DISCLOSE SOURCE'S IDENTITY [redacted]

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b7D
b7E
b7F

~~(a)~~
C and E by G-3. OADR.

~~SECRET~~

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☒ ~~SECRET~~
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

~~SECRET~~

Date 10/23/89

1 TO : ADIC, NEW YORK (NY 12A-1470) (P)
2 (HANDCARRY TO SA [REDACTED] SQUAD C-7) b6
3 FROM : SAC, WMFO (196A-1563 SUB A) (P) (C-9) b7C

4 "MEUS"; DRUGS - IDTO; (OO:NY) (NY 12A-1470) (WF196A-1563)

5
6 MARC RICH - FUGITIVE (B);
7 PINCUS GREEN - FUGITIVE (B);
8 ET AL;
9 FBW; MF; RICO; INCOME TAX EVASION;
10 TRADING WITH THE ENEMY;
11 OO:NY (NY 196A-1774) (WF 196A-1563)

12 This communication is classified "~~SECRET~~" in its
13 entirety.

14 Re WMFO Teletype to Director, 7/28/89; WMFO Airtels to
15 Director, 7/31/89, 8/4/89, 8/18/89, 8/23/89, 8/28/89, 8/30/89,
16 9/1/89, and 9/12/89; FBIHQ conference attended by Assistant
17 Director WILLIAM BAKER, WMFO SAC W. DOUGLAS GOW, U.S. Attorney
18 JAY B. STEPHENS and others; WMFO telcalls of ASAC [REDACTED] b6
19 [REDACTED] to Assistant Director WILLIAM BAKER, 8/22 through b7C
20 9/12/89; and various telcalls between WMFO and New York
21 7/28/89 through 9/28/89.

Enclosed for New York is one copy of a Letter Head
Memorandum (LHM) NOT SUITABLE FOR DISSEMINATION other than to the
highest level U.S. Government officials. The LHM contains
detailed singular source information prepared pursuant to FBIHQ
instructions. This information is necessary to properly describe

2-NEW YORK (Enc. 1)
2-WMFO

Classified by G-3
Declassify on: OADR

196A-1563 SUB A-15

(4) 27-01
CLASSIFIED BY [REDACTED]
REASON: 1.5 (S)
DECLASSIFY ON: X

~~SECRET~~

b6
b7C

OCT 23 1989

Approved: [REDACTED]

Transmitted [REDACTED]

(Number) (Time)

Per [REDACTED]

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

WF 196A - 1563 SUB A
PAGE TWO

~~SECRET~~

events and involvement of participants for dissemination to the highest level U.S. Government officials only. The LHM summarizes information provided to [] by a sensitive and reliable source concerning []

b6
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b7F

[] This LHM is provided to New York for the benefit of the New York case agent to document events transpiring in the [] which will have an impact on captioned investigation. Information contained in the LHM is []

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b7F

[] According to FBIHQ, other high level government officials including [] have been briefed concerning this matter. (S) (U)

In view of []

[]

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[] It is imperative this document receive appropriate security.

Refer any inquiries to WMFO SA []

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b7C

ADMINISTRATIVE:

[] is []

Source is [] The information contained in the enclosed LHM is singular in nature and, if disseminated, WILL DISCLOSE SOURCE'S IDENTITY []

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b7E
b7F

C and E by ~~C-3~~. OADR.

~~SECRET~~

b6
b7C

Memorandum



To : SAC, WMFO (196A-1563 SUB A)

Date

10/3/89

From : SA [redacted] (C-8)

b6
b7C

~~SECRET~~

Subject : MARC RICH-FUGITIVE (B);
PINCUS GREEN-FUGITIVE (B);
ET AL;
FBW; MF; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
00:NY

On [redacted]

[redacted] pertaining to the above-captioned matter. [redacted]

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~~(S)~~ (U)

Initially, [redacted]

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At the conclusion [redacted]

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~~(S)~~ (U)

12/10/1
CLASSIFIED BY [redacted]
REASON: 1.5 (C)
DECLASSIFY ON: X

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SPECIAL AGENT-FBI

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b7C

cc:
1-196A-1563 SUB A
1-[redacted] WMFO

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-27-01 BY [redacted]~~

196A-1563 SUB A

SEARCHED	INDEXED
SERIALIZED	FILED
OCT 8 1989	

~~SECRET~~

b6
b7C

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b7C

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☒ ~~SECRET~~
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 10/29/89

TO : DIRECTOR, FBI (HANDCARRY TO SECTION CHIEF LARRY POTTS,
DIVISION SIX)

FROM : SAC, WMFO (WF 196A-1563 SUB A) (P) (C-9)

SUBJECT: MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY) (NY 196A-1774)

This communication is classified "~~SECRET~~" in its
entirety.

Re WMFO Airtel and LHM to FBIHQ, 9/12/89.

Referenced Airtel noted on 9/12/89 that U.S Attorney
JAY STEPHENS, District of Columbia, advised his office saw no
evidence of a criminal scheme at the present time [REDACTED]

[REDACTED] In view
of USA STEPHENS decision, FBIHQ instructed that no further
investigation be conducted in this matter by WMFO. (S) (U)

Although no additional investigation concerning [REDACTED]

2-Bureau
②-WMFO

~~SECRET~~

CLASSIFIED BY [REDACTED]
REASON: 1.5
DECLASSIFY ON: X 1

OCT 30 1989

Approved: [REDACTED]

Transmitted [REDACTED]

(Number)

(Time)

Per [REDACTED]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

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b6
b7C

~~SECRET~~

[REDACTED]

[REDACTED] ~~(S)~~ (U)

Source [REDACTED]

[REDACTED] ~~(S)~~ (U)

In preparation [REDACTED]

[REDACTED]

(U) [REDACTED] ~~(S)~~

On [REDACTED]

source [REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

On [REDACTED] source was contacted [REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

The above is furnished for information.

ADMINISTRATIVE:

(U) Source is [REDACTED] ~~(S)~~ Information from source is singular in nature. [REDACTED]

[REDACTED]

C and E by G-3 OADR.

~~SECRET~~

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b7C

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

~~SECRET~~

Date 11/27/89

TO : DIRECTOR, FBI (HAND CARRY TO SECTION CHIEF LARRY POTTS,
DIVISION 6)

FROM : SAC, WMFO (196A-1563 SUB A) (P) (C-9)

MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO:NY

This communication is classified ~~"SECRET"~~ in its
~~entirety.~~

b6
b7C

Re WMFO Teletype to Director, 7/28/89; Airtels to
Director, 8/18/89, 8/23/89, 8/28/89, 8/30/89, 9/1/89, 9/12/89
and 10/29/89; and 11/20/89 telcall between FBIHQ SSA [REDACTED]
Financial Crimes Unit, and WMFO SA [REDACTED]

Enclosed are an original and four copies of a Letter
Head Memorandum (LHM) not suitable for dissemination except to
the highest level U.S. Government officials. The LHM is being
submitted pursuant to FBIHQ request during referenced telcall.

During referenced telcall, it was noted FBIHQ requested
the LHM for dissemination to [REDACTED]

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2-BUREAU (Enc. 5)
2-WMFO

Classified by G-3
Declassify on: OADR
~~SECRET~~

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2-27-01
CLASSIFIED BY: [REDACTED]
REASON: 1.5 (C)
DECLASSIFY ON: X 1

196A-1563 Sub A

b6
b7C

Approved: [REDACTED]

Transmitted [REDACTED]

(Number) (Time)

Per [REDACTED]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

~~SECRET~~

[REDACTED]

[REDACTED] ~~(S)~~ (U)

As noted in previous communications and discussions
with FBIHQ officials concerning [REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

Based upon previous discussions with FBIHQ officials,

[REDACTED]

[REDACTED] ~~(S)~~ (U)

ADMINISTRATIVE:

Source is [REDACTED] ~~(S)~~ (U)

At the request of [REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

~~C and E by G-3. OADR:~~

~~SECRET~~

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b7D
b7F



U.S. Department of Justice

~~SECRET~~

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

Falls Church, Virginia 22043
November 22, 1989

b3
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b7E

[redacted]

~~(S)~~ (U)

~~The following is classified "SECRET" in its entirety.~~

Since June 28, 1989, a very sensitive and reliable
confidential source (source) of the FEDERAL BUREAU OF
INVESTIGATION (FBI) has been providing information to Special
Agents (SAs) of the Washington Metropolitan Field Office (WMFO)
concerning [redacted]

[redacted]

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[redacted] ~~(S)~~ (U)

On [redacted] source [redacted]

[redacted]

b6
b7C
b7D
b7F

[redacted] ~~(S)~~ (U)

Source advised [redacted]

[redacted]

b6
b7C
b7D
b7F

[redacted] ~~(S)~~ (U)

In preparation [redacted]

[redacted]

b6
b7C
b7D
b7F

[redacted] ~~(S)~~ (U)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

3-6-01
CLASSIFIED BY [redacted]
REASON: 1.5
DECLASSIFY ON: X 116

~~SECRET~~

~~SECRET~~
DECLASSIFIED BY [redacted]
ON 2-27-01

b6
b7C

RE:

~~SECRET~~

~~SECRET~~

Source advised

b6
b7C
b7D
b7F

~~(S)~~ (U)


~~SECRET~~

2*

~~SECRET~~

196A-1563 Sub A-19

SEARCHED	INDEXED
SERIALIZED <i>nb</i>	FILED <i>nb</i>
JUN 10 1966	
FBI - WASH. METRO	



b6
b7C

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5

b6
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U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

Falls Church, Virginia 22043
January 9, 1990

[redacted]

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~~(S)~~ (U)

The following is classified "~~SECRET~~" in its entirety.

Since June 28, 1989, a very sensitive and reliable
confidential source (source) of the FEDERAL BUREAU OF
INVESTIGATION (FBI) has been providing information to Special
Agents (SAs) of the Washington Metropolitan Field Office (WMFO)
concerning [redacted]

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[redacted]

[redacted] ~~(S)~~ (U)

On [redacted] source advised [redacted]

[redacted]

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[redacted] ~~(S)~~ (U)

Source [redacted]

[redacted]

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[redacted] ~~(S)~~ (U)

~~SECRET~~

1

3-6-01
CLASSIFIED BY [redacted]
REASON: 1.5 (S)
DECLASSIFY ON: X.1

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

Source

~~(S)~~ (U)

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~~SECRET~~

2*

FD-36 (Rev. 8-29-85)

b6
b7C

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

~~SECRET~~

Date 1/9/90

TO : DIRECTOR, FBI (HAND CARRY TO SECTION CHIEF LARRY POTTS,
DIVISION 6)

FROM : SAC, WMFO (196A-1563 SUB A) (P) (C-9)

MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO:NY

This communication is classified "~~SECRET~~" in its
entirety.

Re WMFO Teletype to Director, 7/28/89; Airtels to
Director, 8/18/89, 8/23/89, 8/28/89, 8/30/89, 9/1/89, 9/12/89
and 10/29/89; and 11/27/89.

Enclosed are an original and four copies of a Letter
Head Memorandum (LHM) not suitable for dissemination except to
the highest level U.S. Government officials. The LHM is being
submitted pursuant to FBIHQ request to be kept apprised of
developments.

b7D
b7F

2-BUREAU (Enc. 5)

2-WMFO
WMM/ms

Classified by [REDACTED]
Declassify on: OADR
~~SECRET~~

2-28-01

CLASSIFIED BY: [REDACTED]

REASON: 1.5 (E28)

DECLASSIFY ON: X 1/6

Approved: [REDACTED]

Transmitted

Per

(Number) (Time)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

196A-1563 Sub A-20

b6
b7C

WF 196A-1563 SUB A
PAGE TWO

~~SECRET~~

ADMINISTRATIVE:

Source is

[REDACTED]

~~(S)~~ (U)

At the request of

[REDACTED]

[REDACTED]

[REDACTED]

~~(S)~~ (U)

~~C and E by C-3. OADR.~~

b6
b7C
b7D
b7F

~~SECRET~~

b6
b7C

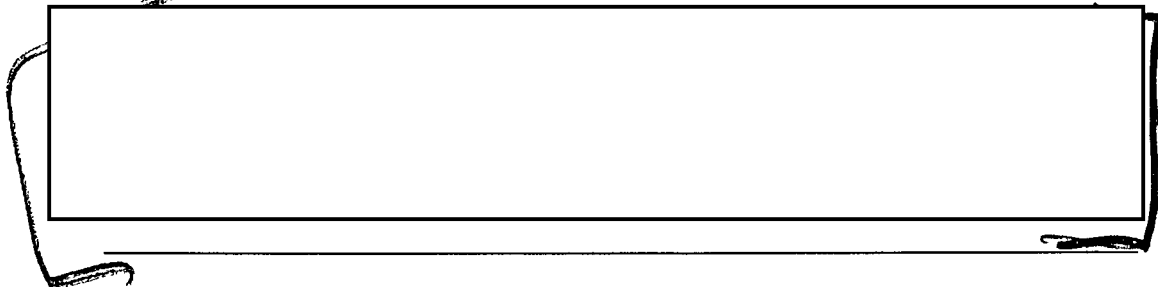


U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

Falls Church, Virginia 22043
January 25, 1990

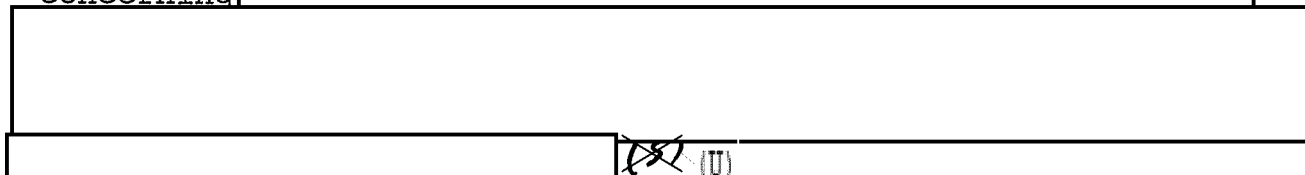


b3
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The following is classified "~~SECRET~~" in its entirety.

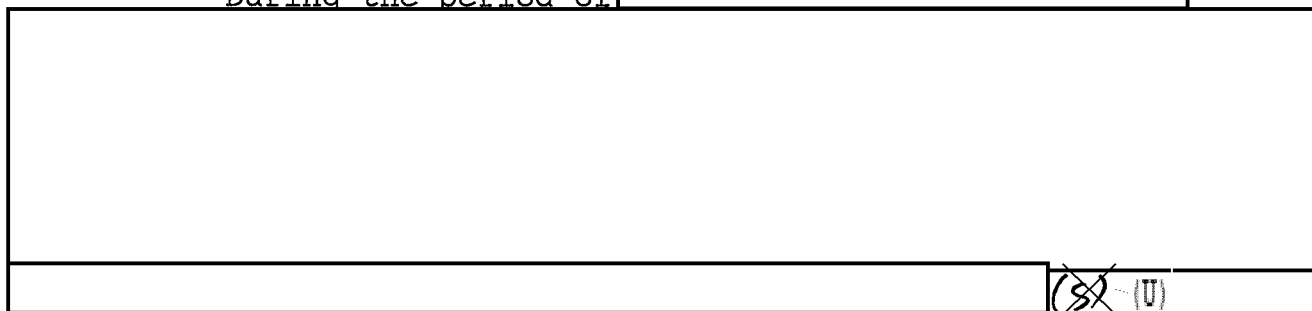
Since June 28, 1989, a very sensitive and reliable confidential source (source) of the FEDERAL BUREAU OF INVESTIGATION (FBI) has been providing information to Special Agents (SAs) of the Washington Metropolitan Field Office (WMFO) concerning [REDACTED]

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During the period of [REDACTED]

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b7C

3-6-01
CLASSIFIED BY: [REDACTED]
REASON: 1.5 (C)
DECLASSIFY ON: X.1

~~SECRET~~
1*

196A-1563 Sub A-21

SEARCHED	INDEXED
SERIALIZED	FILED
2 1990	
FBI - WASHINGTON	

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

FBI [REDACTED] (U) C-9

TO DIRECTOR FBI [REDACTED] (U)

FBI NEW YORK/PRIORITY/

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b7F

BT

~~SECRET~~

CITE: //3920//

PAGE: FBIHQ. SSA [REDACTED] (S) (U) INFORMANT UNIT, DIVISION SIX, AND

SSA [REDACTED] DIVISION FIVE. NEW YORK. SA [REDACTED]
[REDACTED] SQUAD I-6, AND SSA [REDACTED] SQUAD C-14.

b6
b7C

SUBJECT: [REDACTED] (S) (U)

b7D
b7F

THIS COMMUNICATION IS CLASSIFIED "~~SECRET~~" IN ITS ENTIRETY.

FOR INFORMATION OF FBIHQ AND NEW YORK, SOURCE ADVISED [REDACTED]

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196A-1563 Sub A-22

SEARCH
SERIAL

ED

JAN 25 1990

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b6
b7C

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN OTHERWISE~~

2-28-01
CLASSIFIED BY [REDACTED]
REASON: 1.5 (C,)
DECLASSIFY ON: X, 1

~~SECRET~~

b7D
b7F

^PAGE TWO DE

~~(S)~~ (U)
~~(S) SECRET~~

b7D
b7E
b7F

~~(S)~~ (U)

CAPTIONED SOURCE

b7D
b7E
b7F

~~(S)~~ (U)

SOURCE

b7D
b7E
b7F

~~(S)~~ (U)

SINCE SOURCE

~~(S)~~ (U)

~~SECRET~~

b7D
b7F

^PAGE THREE DE



~~(S)~~ ~~(U)~~
~~(SECRET)~~



b7D
b7E
b7F



~~(S)~~ ~~(U)~~



b6
b7C

~~SECRET~~

b6
b7C

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

~~SECRET~~

Date 1/25/90

TO : DIRECTOR, FBI (HAND CARRY TO SECTION CHIEF LARRY POTTS,
DIVISION 6)

FROM : SAC, WMFO (196A-1563 SUB A) (P) (C-9)

MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO:NY

This communication is classified "~~SECRET~~" in its
~~entirety.~~

Re WMFO Teletype to Director, 7/28/89; Airtels to
Director, 8/18/89, 8/23/89, 8/28/89, 8/30/89, 9/1/89, 9/12/89
and 10/29/89, 11/27/89 and 1/9/90.

b7D
b7F

Enclosed is one copy of WMFO teletype dated 1/24/90
captioned with [REDACTED] which is self
explanatory. This teletype is NOT SUITABLE FOR DISSEMINATION. (S)

Also enclosed are an original and four copies of a
Letter Head Memorandum (LHM) not suitable for dissemination
except to the highest level U.S. Government officials. The LHM is
being submitted pursuant to FBIHQ request to be kept apprised of
developments.

The enclosed WMFO teletype notes that source in
captioned matter [REDACTED] (S)

b7D
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2-BUREAU (Enc. 6)

2-WMFO (Enc. 2)

Classified by G-3
Declassify on: OADR

b6
b7C

b6
b7C

~~SECRET~~

CLASSIFIED BY: [REDACTED]

REASON: 1.5 (C)

DECLASSIFY ON: X

Approved: [REDACTED]

Transmitted [REDACTED]

(Number) (Time)

Per [REDACTED]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

-23

196A-1563 sub A

~~SECRET~~

[REDACTED]
[REDACTED] ~~(S)~~ (U)

b7D
b7E
b7F

After being advised [REDACTED]

[REDACTED]
[REDACTED] ~~(S)~~ (U)

b6
b7C
b7D
b7E
b7F

Source received a subsequent telephone call [REDACTED]

[REDACTED]
[REDACTED] ~~(S)~~ (U)

b7D
b7E
b7F

ADMINISTRATIVE:

b7D
b7F

Source is [REDACTED] ~~(S)~~ (U)

At the request of [REDACTED]

[REDACTED]
[REDACTED] ~~(S)~~ (U)

b6
b7C
b7D
b7F

In regard to [REDACTED]

[REDACTED]
[REDACTED] ~~(S)~~ (U)

b7D
b7E
b7F

As FBIHQ is aware, [REDACTED]

[REDACTED]

b6
b7C
b7D
b7E
b7F

~~SECRET~~

WF 196A-1563 SUB A
PAGE THREE

~~SECRET~~

b6
b7C
b7D
b7E
b7F

~~(S)~~ (U)

FBIHQ will be kept apprised of developments.

~~C and E by G-3. OADR.~~

~~SECRET~~

3*

~~SECRET~~

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b7C

/HEAD/REF 1
0002 MRI 01636

PF FBIWMFO

DE FBIWMFO #0017 0731849

ZNR UUUUU

(U) P 141847Z MAR 90

FM FBI [REDACTED] (S) (F) (C-9)

(U) TO DIRECTOR FBI [REDACTED] PRIORITY/

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[REDACTED]
UNCLAS

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CITE: //3920//

PASS: FBIHQ. UNIT CHIEF [REDACTED] AND SSA [REDACTED]

(U) [REDACTED] CRIMINAL INFORMANT UNIT, DIVISION SIX; SECTION CHIEF LARRY POTTS,
WHITE COLLAR CRIME, DIVISION SIX.

b6
b7C

SUBJECT: [REDACTED] (S) (U)

b7D
b7F

RE WF TELETYPE TO DIRECTOR AND NEW YORK, FEBRUARY 23, 1990;

(U) TELCALLS TO SSA [REDACTED] FINANCIAL CRIMES UNIT, AND SSA [REDACTED]

[REDACTED] CRIMINAL INFORMANT UNIT, MARCH 13, 1990; AND MARCH 13,
1990, MEETING ATTENDED BY UNIT CHIEF [REDACTED] SSA [REDACTED] AND

b6
b7C

WMFO SA [REDACTED]

AS NOTED IN REFERENCED TELETYPE AND DURING REFERENCED (S) (U)

b6
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b7D
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196A-WF-1562

24

24

(S)

2-28-01

CLASSIFIED BY: [REDACTED]
REASON: 1.5 (C)
DECLASSIFY ON: X

~~SECRET~~

SERIALIZED

INDEXED

FILED

MAR 15 1990

FBI - WASH. METRO FIELD

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

~~SECRET~~

PAGE TWO DE FBIWMFO 2017 UNCLAS

TELCALLS AND MEETINGS, CAPTIONED SOURCE [REDACTED]

b7D
b7E
b7F

~~(S)~~ (U)

FOR THE INFORMATION OF FBIHQ, DURING EVENING OF MARCH 12,
1990, CAPTIONED SOURCE ADVISED SOURCE [REDACTED]

b7D
b7F

~~(S)~~ (U)

SOURCE ADVISED [REDACTED]

b3
b6
b7C
b7D
b7E
b7F

~~(S)~~ (U)

~~SECRET~~

~~SECRET~~

PAGE THREE DE FBIWMFO 0017 UNCLAS

b6
b7C
b7D
~~(S)~~ (U) b7F

SOURCE ADVISED

b6
b7C
b7D
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b7F

~~(S)~~ (U)

DURING RECENT TELEPHONE DISCUSSIONS WITH

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b7C
b7D
b7F

~~(S)~~ (U)

~~SECRET~~

~~SECRET~~

PAGE FOUR DE FBIWMFO 0017 UNCLAS

[REDACTED]

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b7C
b7D
b7F

[REDACTED] ~~(S)~~ (U)

FOR INFORMATION OF FBIHQ, WMFO HAS AN ONGOING INVESTIGATION

[REDACTED]

b6
b7C
b7D
b7F

[REDACTED] ~~(S)~~ (U)

THE ABOVE IS FURNISHED FOR INFORMATION OF FBIHQ.

WARNING: INFORMATION FROM [REDACTED] ~~(S)~~ (U) IS HIGHLY SINGULAR IN
NATURE AND DISCLOSURE COULD REVEAL SOURCE'S IDENTITY. SOURCE IS
A HIGH LEVEL INFORMANT [REDACTED] ~~(S)~~ (U)

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~~SECRET~~

~~SECRET~~

PAGE FIVE DE FBIWMFO 0017 UNCLAS

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#0017

b6
b7C

~~SECRET~~

~~SECRET~~b6
b7CTRANSMIT VIA: AIRTEL
CLASSIFICATION: ~~SECRET~~DATE: 2/12/90FROM: Director, FBI
TO: SAC, WMFO (196A-1563 Sub A) (P) (C-9)MARC RICH-FUGITIVE (B);
PINCUS GREEN-FUGITIVE(B),
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO: NEW YORK

~~This communication is classified "SECRET" in its
entirety.~~

Reference WMFO teletype to Director dated 7/28/89, and
airtels to the Director dated 8/18/89, 8/23/89, 8/30/89, 9/1/89,
9/12/89, 10/29/89, 11/27/89, 1/9/90, and 1/25/90.

The Letterhead Memorandum (LHM) provided by WMFO with
the 1/9/90 airtel was provided to the Counterterrorism Section
(CTS), the Department of Justice, as well as the White-Collar
Crimes Section (WCCS), as was the information provided in the LHM
dated 1/25/90.

WMFO should direct future communications concerning the
hostage aspect of this case to the CTS and the information
concerning the fugitives, Rich and Green, be provided to the
WCCS.

~~CLASSIFIED BY: G-3
DECLASSIFY ON: OADR~~

UCFN ☒ Pos ☐

GENERAL INDICES:

☐ Automated SearchWF: ☐ Pos ☐ Neg AX: ☐ Pos ☐ Neg☐ Manual SearchWF: ☐ Pos ☐ Neg AX: ☐ Pos ☐ Neg~~SECRET~~DECLASSIFIED BY
ON 2-28-01b6
b7C

196-1563 Sub A-25

SEARCHED <u> </u>	INDEXED <u> </u>
SERIALIZED <u> </u>	FILED <u> </u>
FEB 15 1990	
FBI-WASH METRO FIELD	

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SECRET

READ REF
2009 MRI 01603

FF RUEHFE EBIWMO

DE EBINY #0031 1420516

2NY 55556

P 212220Z MAY 90

FM FBI NEW YORK (156A-1774) (P)

TO DIRECTOR FBI/PRIORITY/

b3
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b7F

(S) (U)
PRIORITY/

156A-1563-1A, SSA [REDACTED] AND FINANCIAL CRIMES UNIT.

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b7C

FROM NEW YORK TAG 00, WMFO.

THIS COMMUNICATION IS CLASSIFIED "~~SECRET~~" IN ITS ENTIRETY. NO
DISSEMINATION. NO DISSEMINATION ABROAD (S WFO WMFO).
EXCEPTIVE INTELLIGENCE INFORMATION.

[REDACTED] (S) (U)

OF INFORMATION. [REDACTED]

b7D
b7F

[REDACTED]

(S) (U)
[REDACTED]

FILE STRIPPED

Date: 3/6/91

Initial: [REDACTED]

2-28-01
CLASSIFIED BY: [REDACTED]
REASON: 1.5
DECLASSIFY ON: X1, 6
01-081

196A-1563 Sub A-26

SEARCHED	[REDACTED]	INDEXED	[REDACTED]
SERIALIZED	[REDACTED]	FILED	[REDACTED]
MAY 22 1990			
FBI - WASH. METRO FIE			

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

SECRET

~~SECRET~~

SOURCE: UNITED STATES (US) CITIZEN, WHO HAS FURNISHED RELIABLE
INFORMATION IN THE PAST AND WHO IS IN CONTACT WITH [REDACTED]

b7D
b7F

DETAILS: 1. MARC RICH, A FUGITIVE FROM UNITED STATES FEDERAL
CRIMINAL CHARGES OBTAINED [REDACTED]

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b7F

~~BY G-2 DELL BN SADR~~

ADMINISTRATIVE

WARNING: SOURCE OF THIS INTELLIGENCE IS [REDACTED]

b7D
b7E
b7F

THIS INFORMATION IS HIGHLY SINGULAR IN NATURE.

[REDACTED] IS REQUESTED TO PROVIDE A COPY OF THIS COMMUNICATION TO [REDACTED]

THIS INFORMATION WAS OBTAINED DIRECTLY FROM [REDACTED]

~~SECRET~~

b6
b7C

1964-1563 Sub A-26

3/6/91

PAGE THREE DE PENNY 0051 ~~SECRET~~

WARNING: INFORMATION FROM [REDACTED] ~~(S)~~ (U) IS HIGHLY SINGULAR IN NATURE
AND DISCLOSURES COULD REVEAL SOURCE'S IDENTITY. SOURCE IS A HIGH
LEVEL INFORMANT [REDACTED]

~~SECRET~~

WARNING: DISSEMINATION OF THIS INFORMATION TO [REDACTED]

b6
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WARNING: STATEMENT TO BE ADDED TO ANY DISSEMINATION: INFORMATION ON
THIS DOCUMENT SHOULD NOT BE PROVIDED TO ANY OTHER AGENCY WITHOUT
PRIOR BUREAU APPROVAL.

WARNING: STATEMENT TO BE ADDED TO ANY DISSEMINATION: INFORMATION
ON THIS DOCUMENT SHOULD NOT BE PROVIDED TO [REDACTED]

b6
b7C

196A-1563 Sub A 26

3/6/91

b6
b7C

~~SECRET~~ SECRET