Dear Mr. Greenewald:

The enclosed documents were reviewed under the Freedom of Information Act (FOIA), Title 5, United States Code, Section 552. Below you will find check boxes under the appropriate statute headings which indicate the types of exemptions asserted to protect information which is exempt from disclosure. The appropriate exemptions are noted on the enclosed pages next to redacted information. In addition, a deleted page information sheet was inserted to indicate where pages were withheld entirely and identify which exemptions were applied. The checked exemption boxes used to withhold information are further explained in the enclosed Explanation of Exemptions.

Section 552

☐ (b)(1) ☐ (b)(2) ☐ (b)(3) ☐ (b)(4) ☐ (b)(5) ☐ (b)(6) # (b)(7)(C) # (b)(7)(D) # (b)(7)(E) # (b)(7)(F) # (b)(7)(G) # (b)(7)(H) # (b)(7)(I) # (b)(7)(J) # (b)(7)(K) # (b)(7)(L) # (b)(7)(M) # (b)(7)(N) # (b)(7)(O) # (b)(7)(P) # (b)(7)(Q) # (b)(7)(R) # (b)(7)(S) # (b)(7)(T) # (b)(7)(U) # (b)(7)(V) # (b)(7)(W) # (b)(7)(X) # (b)(7)(Y) # (b)(7)(Z)

Section 552a

☐ (d)(5) ☐ (j)(2) ☐ (k)(1) ☐ (k)(2) ☐ (k)(3) ☐ (k)(4) ☐ (k)(5) ☐ (k)(6) ☐ (k)(7)

50 U.S.C. 3024(j)(1)

298 pages were reviewed and 266 pages are being released.

Please see the paragraphs below for relevant information specific to your request as well as the enclosed FBI FOIPA Addendum for standard responses applicable to all requests.

☑ Documents were located which originated with, or contained information concerning, other Government Agencies [OGAs].

☑ This information has been referred to the OGA for review and direct response to you.

☑ We are consulting with another agency. The FBI will correspond with you regarding this information when the consultation is completed.

Please refer to the enclosed FBI FOIPA Addendum for additional standard responses applicable to your request. “Part 1” of the Addendum includes standard responses that apply to all requests. “Part 2” includes additional standard responses that apply to all requests for records about yourself or any third party individuals. “Part 3” includes general information about FBI records that you may find useful. Also enclosed is our Explanation of Exemptions.
For questions regarding our determinations, visit the www.fbi.gov/foia website under “Contact Us.” The FOIPA Request Number listed above has been assigned to your request. Please use this number in all correspondence concerning your request.

You may file an appeal by writing to the Director, Office of Information Policy (OIP), United States Department of Justice, Sixth Floor, 441 G Street, NW, Washington, D.C. 20001, or you may submit an appeal through OIP’s FOIA online portal by creating an account on the following website: https://www.foiaonline.gov/foiaonline/action/public/home. Your appeal must be postmarked or electronically transmitted within ninety (90) days from the date of this letter in order to be considered timely. If you submit your appeal by mail, both the letter and the envelope should be clearly marked “Freedom of Information Act Appeal.” Please cite the FOIPA Request Number assigned to your request so it may be easily identified.

You may seek dispute resolution services by contacting the Office of Government Information Services (OGIS). The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, e-mail at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769. Alternatively, you may contact the FBI’s FOIA Public Liaison by emailing foipaqequestions@fbi.gov. If you submit your dispute resolution correspondence by email, the subject heading should clearly state “Dispute Resolution Services.” Please also cite the FOIPA Request Number assigned to your request so it may be easily identified.

☑ See additional information which follows.

Sincerely,

David M. Hardy
Section Chief
Record/Information Dissemination Section
Information Management Division

The enclosed documents represent the second interim release of information responsive to your Freedom of Information Act (FOIA) request.

You were previously advised we were consulting with other agencies concerning information related to your FOIA request. A portion of that information has been returned to the FBI and is included with this release.

Inquiries regarding your OGA direct referral may be directed to the following agency:

Department of Energy
Office of Management
Director FOIA, Division ME-73, FOIA Officer
1000 Independence Ave. SW
MA-90, Room 1G-051
Washington, DC  20585

Information compiled for law enforcement purposes was withheld per FOIA exemption (b)(7)(E).

Duplicate copies of the same document were not processed.

This material is being provided to you at no charge.

Enclosures
FBI FOIPA Addendum

As referenced in our letter responding to your Freedom of Information/Privacy Acts (FOIPA) request, the FBI FOIPA Addendum provides information applicable to your request. Part 1 of the Addendum includes standard responses that apply to all requests. Part 2 includes standard responses that apply to requests for records about individuals to the extent your request seeks the listed information. Part 3 includes general information about FBI records, searches, and programs.

Part 1: The standard responses below apply to all requests:

(i) 5 U.S.C. § 552(c). Congress excluded three categories of law enforcement and national security records from the requirements of the FOIPA [5 U.S.C. § 552(c)]. FBI responses are limited to those records subject to the requirements of the FOIPA. Additional information about the FBI and the FOIPA can be found on the www.fbi.gov/foia website.

(ii) Intelligence Records. To the extent your request seeks records of intelligence sources, methods, or activities, the FBI can neither confirm nor deny the existence of records pursuant to FOIA exemptions (b)(1), (b)(3), and as applicable to requests for records about individuals, PA exemption (j)(2) [5 U.S.C. §§ 552/552a (b)(1), (b)(3), and (j)(2)]. The mere acknowledgment of the existence or nonexistence of such records is itself a classified fact protected by FOIA exemption (b)(1) and/or would reveal intelligence sources, methods, or activities protected by exemption (b)(3) [50 USC § 3024(i)(1)]. This is a standard response and should not be read to indicate that any such records do or do not exist.

Part 2: The standard responses below apply to all requests for records on individuals:

(i) Requests for Records about any Individual—Watch Lists. The FBI can neither confirm nor deny the existence of any individual’s name on a watch list pursuant to FOIA exemption (b)(7)(E) and PA exemption (j)(2) [5 U.S.C. §§ 552/552a (b)(7)(E), (j)(2)]. This is a standard response and should not be read to indicate that watch list records do or do not exist.

(ii) Requests for Records about any Individual—Witness Security Program Records. The FBI can neither confirm nor deny the existence of records which could identify any participant in the Witness Security Program pursuant to FOIA exemption (b)(3) and PA exemption (j)(2) [5 U.S.C. §§ 552/552a (b)(3), 18 U.S.C. 3521, and (j)(2)]. This is a standard response and should not be read to indicate that such records do or do not exist.

(iii) Requests for Records for Incarcerated Individuals. The FBI can neither confirm nor deny the existence of records which could reasonably be expected to endanger the life or physical safety of any incarcerated individual pursuant to FOIA exemptions (b)(7)(E), (b)(7)(F), and PA exemption (j)(2) [5 U.S.C. §§ 552/552a (b)(7)(E), (b)(7)(F), and (j)(2)]. This is a standard response and should not be read to indicate that such records do or do not exist.

Part 3: General Information:

(i) Record Searches. The Record/Information Dissemination Section (RIDS) searches for reasonably described records by searching systems or locations where responsive records would reasonably be found. A standard search normally consists of a search for main files in the Central Records System (CRS), an extensive system of records consisting of applicant, investigative, intelligence, personnel, administrative, and general files compiled by the FBI per its law enforcement, intelligence, and administrative functions. The CRS spans the entire FBI organization, comprising records of FBI Headquarters, FBI Field Offices, and FBI Legal Attaché Offices (Legats) worldwide; Electronic Surveillance (ELSUR) records are included in the CRS. Unless specifically requested, a standard search does not include references, administrative records of previous FOIPA requests, or civil litigation files. For additional information about our record searches, visit www.fbi.gov/services/information-management/foipa/requesting-fbi-records.

(ii) FBI Records. Founded in 1908, the FBI carries out a dual law enforcement and national security mission. As part of this dual mission, the FBI creates and maintains records on various subjects; however, the FBI does not maintain records on every person, subject, or entity.

(iii) Requests for Criminal History Records or Rap Sheets. The Criminal Justice Information Services (CJIS) Division provides Identity History Summary Checks – often referred to as a criminal history record or rap sheet. These criminal history records are not the same as material in an investigative “FBI file.” An Identity History Summary Check is a listing of information taken from fingerprint cards and documents submitted to the FBI in connection with arrests, federal employment, naturalization, or military service. For a fee, individuals can request a copy of their Identity History Summary Check. Forms and directions can be accessed at www.fbi.gov/about-us/cjis/identity-history-summary-checks. Additionally, requests can be submitted electronically at www.edo.cjis.gov. For additional information, please contact CJIS directly at (304) 625-5590.

(iv) National Name Check Program (NNCP). The mission of NNCP is to analyze and report information in response to name check requests received from federal agencies, for the purpose of protecting the United States from foreign and domestic threats to national security. Please be advised that this is a service provided to other federal agencies. Private Citizens cannot request a name check.
EXPLANATION OF EXEMPTIONS

SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552

(b)(1) specifically authorized under criteria established by an Executive order to be kept secret in the interest of national defense or foreign policy and (B) are in fact properly classified to such Executive order;

(b)(2) related solely to the internal personnel rules and practices of an agency;

(b)(3) specifically exempted from disclosure by statute (other than section 552b of this title), provided that such statute (A) requires that the matters be withheld from the public in such a manner as to leave no discretion on issue, or (B) establishes particular criteria for withholding or refers to particular types of matters to be withheld;

(b)(4) trade secrets and commercial or financial information obtained from a person and privileged or confidential;

(b)(5) inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with the agency;

(b)(6) personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy;

(b)(7) records or information compiled for law enforcement purposes, but only to the extent that the production of such law enforcement records or information (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, including a State, local, or foreign agency or authority or any private institution which furnished information on a confidential basis, and, in the case of record or information compiled by a criminal law enforcement authority in the course of a criminal investigation, or by an agency conducting a lawful national security intelligence investigation, information furnished by a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law, or (F) could reasonably be expected to endanger the life or physical safety of any individual;

(b)(8) contained in or related to examination, operating, or condition reports prepared by, on behalf of, or for the use of an agency responsible for the regulation or supervision of financial institutions; or

(b)(9) geological and geophysical information and data, including maps, concerning wells.

SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552a

(d)(5) information compiled in reasonable anticipation of a civil action proceeding;

(j)(2) material reporting investigative efforts pertaining to the enforcement of criminal law including efforts to prevent, control, or reduce crime or apprehend criminals;

(k)(1) information which is currently and properly classified pursuant to an Executive order in the interest of the national defense or foreign policy, for example, information involving intelligence sources or methods;

(k)(2) investigatory material compiled for law enforcement purposes, other than criminal, which did not result in loss of a right, benefit or privilege under Federal programs, or which would identify a source who furnished information pursuant to a promise that his/her identity would be held in confidence;

(k)(3) material maintained in connection with providing protective services to the President of the United States or any other individual pursuant to the authority of Title 18, United States Code, Section 3056;

(k)(4) required by statute to be maintained and used solely as statistical records;

(k)(5) investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment or for access to classified information, the disclosure of which would reveal the identity of the person who furnished information pursuant to a promise that his/her identity would be held in confidence;

(k)(6) testing or examination material used to determine individual qualifications for appointment or promotion in Federal Government service the release of which would compromise the testing or examination process;

(k)(7) material used to determine potential for promotion in the armed services, the disclosure of which would reveal the identity of the person who furnished the material pursuant to a promise that his/her identity would be held in confidence.

FBI/DOJ
This document is made available through the declassification efforts and research of John Greenewald, Jr., creator of:

The Black Vault

The Black Vault is the largest online Freedom of Information Act (FOIA) document clearinghouse in the world. The research efforts here are responsible for the declassification of hundreds of thousands of pages released by the U.S. Government & Military.

Discover the Truth at: http://www.theblackvault.com
FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE: HOUSTON
OFFICE OF ORIGIN: FBIHQ
DATE: 12/05/2003
INVESTIGATIVE PERIOD: 12/05/2003

TITLE OF CASE:
SAMUEL WRIGHT BODMAN, III

REPORT MADE BY: IA
TYPED BY: crd

CHARACTER OF CASE:
LEVEL II - PAS

BUDED: 12/05/2003.

-P (All HO investigation completed) -

ADMINISTRATIVE: On 12/05/2003, a search of FOIMS indices failed to locate any identifiable information regarding the candidate, Samuel Wright Bodman, III.
UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to: FBIHQ

Report of: IA Office: Houston
Date: 12/05/2003
Case ID #: 161L-HQ-1337020
Title: SAMUEL WRIGHT BODMAN, III

Character: LEVEL II - PRESIDENTIAL APPOINTMENT REQUIRING SENATE CONFIRMATION
Synopsis: Results of arrest and United States Attorney's Office checks.

Details:
Houston investigation is complete.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
ARREST

The following investigation was conducted by Investigative Analyst (IA), at Houston, Texas.

On 12/05/2003, a search of the computerized records of the Harris County Information Management System (JIMS), the Texas Crime Information Center (TCIC) and the National Crime Information Center (NCIC), were conducted on the candidate, Samuel Wright Bodman, III. All checks met with negative results on both wanted and criminal history records.

All arrests for felony, Class A and Class B Misdemeanor offenses within the State of Texas, are recorded in TCIC. Class C Misdemeanor offenses (i.e. disorderly conduct, public intoxication) are filed in individual local municipal and justice of peace courts whose records are not part of any central automated system.
UNITED STATES ATTORNEYS OFFICE

The following investigation was conducted by IA, at Houston, Texas.

On 12/05/2003, United States Attorney's Office (USAO), Southern District of Texas, 910 Travis, Suite 1500, Houston, Texas, telephone (713) 567-9332, advised that a computerized search of the USAO's pending and closed, civil and criminal files disclosed no current or past reference to the candidate, Samuel Wright Bodman, III.
REFERENCE: Bureau EC from Administrative Services, PSS 161B-HQ-1337020 to Boston, File number 161B-HQ-1337020, Serial 35, the initiation of Full Field Background Investigation on captioned candidate.

- P -

ADMINISTRATIVE:

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

No derogatory information developed.

No outstanding investigation remains at Boston in this matter.
A review of Boston's general and computer indices regarding the candidate, candidate's spouse, and candidate's listed relatives, located in the Boston area, contained no unfavorable information.

A review of NCIC, Massachusetts Board of Probation, and Massachusetts Registry of Motor Vehicles contained no unfavorable information.

A review of the United States Attorney's office was conducted and also contained no unfavorable information regarding the candidate. Former residence verified and two neighbors commented favorably.

DETAILS:
ADDRESS VERIFICATION-NEIGHBORHOOD

40 Battery Street
Boston, MA 02190

On 12/01/2003, [Name redacted] advised she had lived at 40 Battery Street since 1991. She advised the candidate resided at 40 Battery Street for several years, exact dates unknown, and departed Boston in 2001. She was moderately acquainted with the candidate and described the candidate as quiet, friendly and a very good neighbor. She knew nothing of a derogatory nature about the candidate's character, associates, reputation, or loyalty to the United States Government. According to [Name redacted], the candidate is financially responsible. [Name redacted] was not aware of anything which could be used to compromise, coerce or discredit the candidate and would absolutely endorse him for any position of trust. [Name redacted] advised she was not in a position to provide any further information on the candidate's recent history, due to the fact that the candidate had not resided in Boston, since 2001.

On 12/02/2003, [Name redacted] advised the candidate resided at 40 Battery Street, Boston, MA for several years, exact dates unknown, until the candidate departed in 2001. [Name redacted] reported the candidate departed the Boston metropolitan area during 2001 in order to take a cabinet position with the Bush Administration in Washington, DC. [Name redacted] has not had substantial contact with the candidate for several years but described the candidate's character as impeccable. [Name redacted] described the candidate as extremely intelligent and capable. According to [Name redacted], the candidate's work ethic, reputation and loyalty to the United States Government are beyond reproach. [Name redacted] had no information to indicate the candidate had ever abused alcohol, used or experimented with any illegal drugs or abused prescription drugs. According to [Name redacted], the candidate is financially responsible. The candidate had not expressed any biases or prejudices toward any group or individual based on race, sex, age, religion, national origin, color or handicap.

[Name redacted] was unaware of any information which could be used to influence, coerce, pressure, or compromise the candidate in any way or that could have an adverse impact on the candidate's judgement, stability, discretion, trustworthiness, or responsibility.
highly recommended the candidate for a position of trust and confidence with the United States Government.
U.S. ATTORNEY'S OFFICE

On November 17, 2003, [Clerk, Docketing Section, United States Attorney's Office, District of Massachusetts, Boston, Massachusetts, advised there was no prosecutive action, either civil or criminal, on the candidate, SAMUEL WRIGHT BODMAN, III.}
Criminal History Systems Board

Adult record not found in Board of Probation File on CJIS for:

Name: BODMAN, SAMUEL
Job: 11/26/38
Today's date: 11/13/03
Today's time: 1320

This indicates that there have been no adult court appearances recorded in the Massachusetts Probation Central File Automated Database or this name and date of birth.

Please check that the name referenced above matches the name and date of birth of the person whose record you requested. IT IS YOUR RESPONSIBILITY TO ENSURE THAT THE RESPONSE CORRESPONDS WITH THE REQUEST. If there is a discrepancy please contact the CORI Unit at (617)660-4640.

REQUESTED BY: [Redacted]
COMPLETED BY: [Redacted]
**License Inquiry**

**License #:** 579015127
**Status:** Act/NRE
**ST:** MA
**SS#:** 329327756

**Name:**
- **L:** Bodman
- **F:** Samuel
- **M:** W
- **DOB:** 11/26/1938

**Sex:** M
**Height:** 6'0"
**Organ Donor:** No
**Drivers Ed:** No
**Military:** No
**Restriction:** None
**Time:** To

**Address:**
- **Mail Addr:** 3025 Whitehaven St, City: Washington, State: DC, Zip: 20008
- **Resid Addr:** 40 Battery St, City: Boston, State: MA, Zip: 02122
- **Building/Apartment:** N/A

**Previous Names:**
- **L:** Bodman
- **F:** Samuel
- **M:** W
- **DOB:** 11/26/1938

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**Massachusetts Registry of Motor Vehicles**

**Keys Used:** 579015127

**OLN:** 579015127
**ST:** DC
**NAME:**
- **L:** Bodman
- **F:** Samuel
- **M:** W
- **DOB:** 11/26/1938

**Corporation/Company:**

**Status:** Act/NRE

**Folder:**

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**End of History**
FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE: WFO/SQUAD A-2  
OFFICE OF ORIGIN: FBIHQ  
DATE: 12/11/2003  
INVESTIGATIVE PERIOD: 11/26/2003-12/10/2003

TITLE OF CASE: SAMUEL WRIGHT BODMAN

REPORT MADE BY: PSS  
TYPED BY: dbg

CHARACTER OF CASE: LEVEL II PAS


-CLOSED-

ADMINISTRATIVE: BUDED: 12/05/2003

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promises of confidentiality, both limited and unlimited have been noted where granted.

This report is submitted past the BUDED due to an administrative delinquency of an Investigative Analyst on Squad A-2.

---

DO NOT WRITE IN SPACES BELOW

APPROVED: [Signature] SPECIAL AGENT IN CHARGE

COPIES MADE:

- FBIHQ (161L-HQ-1337020)
- SIGBIU, ROOM 8360
- ATTENTION: PSS

1 - WFO (161L-HQ-1337020)

DISSEMINATION RECORD OF ATTACHED REPORT

Agency
Request Recd.
Date Fwd.
How Fwd.
By

Notations

IL1L-HQ-1337020-47
United States Park Police Service/DC records were checked regarding BODMAN. Department of Commerce Offices IG/SY/OPF records were also checked regarding BODMAN.

- CLOSED -

DETAILS:

WFO investigation is complete.
On November 26, 2003, Investigative Analyst (IA) caused a search to be made of the files at the United States Park Police Department, Washington, D.C., concerning SAMUEL WRIGHT BODMAN.

On December 4, 2003, Computer Clerk, advised that no identifiable Adult Criminal records could be located concerning BODMAN.
On December 1, 2003, Investigative Analyst (IA) requested a search to be made of the records at the above agency concerning SAMUEL WRIGHT BODMAN, date of birth: November 26, 1938, SSAN: 329-32-7756.

On December 2, 2003, Office of the Inspector General, advised a complaint was filed via the U.S. Department of Commerce Hotline on Sunday, October 5, 2003. The complainant, alleged Deputy Secretary BODMAN was not suited for managing day to day operations for the Department of Commerce.

Further advised no investigation was required regarding this matter.
RECORD CHECK

U.S. DEPARTMENT OF COMMERCE
Office of Security
14th Street & Constitution Avenue, Northwest
Washington, D.C.

On December 1, 2003, Investigative Analyst (IA) requested a search to be made of the records at the above agency concerning SAMUEL WRIGHT BODMAN, date of birth: November 26, 1938, SSAN: 329-32-7756.


No additional information was available.

The OPF contained the following pertinent details:

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<td>WASHINGTON, D.C.</td>
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No additional information was available.
ARREST

On November 19, 2003, ILLINOIS STATE POLICE, Bureau of Identification, Joliet, Illinois, advised IA [redacted] that a search of their arrest records regarding SAMUEL WRIGHT BODMAN III was negative.

On November 21, 2003, CHICAGO POLICE DEPARTMENT, advised IA [redacted] that a search of their arrest records regarding SAMUEL WRIGHT BODMAN III was negative.

On November 25, 2003, Record Clerk [redacted] at NORTHFIELD POLICE DEPARTMENT, advised IA [redacted] that a search of their arrest records regarding SAMUEL WRIGHT BODMAN III was negative.
UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: IA
Date: 12/09/2003
Case ID #: 161L-HQ-1337020
Title: SAMUEL WRIGHT BODMAN III
Character: LEVEL II - PAS
Synopsis: Lead covered. Results of background investigation.

DETAILS:
The following investigation was conducted by IA

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

- P -

ADMINISTRATIVE:

On 11/28/2003 a search of Chicago's ACS indices regarding the candidate and the candidate's relatives was negative.

Where appropriate, Privacy Act (e)(3) data was furnished to persons interviewed. Express promises of confidentiality, both limited and unlimited, have been noted where granted.

As all leads for Chicago have been handled, Chicago considers this lead covered and is conducting no further investigation.
Honorable Alberto R. Gonzales
Counsel to the President
The White House
Washington, D.C.

Dear Mr. Gonzales:

Based on a request received from your office dated November 3, 2003 a Level II limited update background investigation was initiated concerning Mr. Samuel Wright-Bodman. In June, 2001, Mr. Bodman underwent a background investigation by the FBI.

Enclosed is a summary memorandum which Mr. Bodman's interview, and other investigative results comprise. In addition, a copy of an interview providing details of information contained in the summary memorandum is enclosed. The enclosed summary memorandum covers the period since June, 2001.

Additional investigation is being conducted and your office will be advised upon its completion. Should you have any questions, they may be directed to Supervisory Personnel Security Specialists telephone number 202-324-2568.

Sincerely yours,

Enclosures (2)
BGK:bgk (3)
PARTIAL
NOTE: This case was opened on 11/10/03. Mr. Bodman is currently employed by the United States Department of Commerce, Washington, D.C., as a Deputy Secretary. He is being investigated for a Presidential appointment as Deputy Secretary of Treasury, which requires Senate confirmation. A complaint was filed through Commerce hotline alleging that Mr. Bodman was not suited for managing the Department of Commerce, however, no investigation was conducted.

Mr. Bodman resigned from Cabot Corporation during the course of the previous background investigation but no investigation was conducted relative to his resignation. Since he was subsequently confirmed to the Deputy Secretary position without it being addressed, no investigation was conducted during this background investigation.

Investigation is complete except for SY at Department of Commerce (WF-A2).

Sent to the White House: Interview of Samuel Wright Bodman from WF report dated 12/5/03.
This summary memorandum contains the partial results of a Level II limited update background investigation concerning Mr. Bodman and covers the period since June, 2001. Inquiries were conducted in the United States as to Mr. Bodman's character, loyalty, and general standing, but no inquiries were made as to the sources of his income.

Additional investigation is being conducted.

Biographical Data

Previous investigation determined Mr. Bodman was born on November 26, 1938, in Chicago, Illinois.

Since July 2001, Mr. Bodman has resided at 3025 Whitehaven Street, Northwest, Washington, D.C., with his wife, .

Mr. Bodman has also relocated to Greenwich, Connecticut, and has relocated to Charlottesville, Virginia.

Employment

During June, 2001, Mr. Bodman indicated that although he had left his prior employment at Cabot Corporation, he was traveling to different Cabot Corporations locations while waiting for confirmation to his current position to say goodbye to various employees.

Since July, 2001, Mr. Bodman has continued to be employed by the United States Department of Commerce (USDOC), Washington, D.C., as a Deputy Secretary.

Interviews

The following individuals, consisting of supervisors, colleagues, and professional associates, were interviewed. They provided favorable comments concerning Mr. Bodman's character, associates, reputation, and loyalty. They stated they are unaware of any illegal drug use or alcohol or prescription drug abuse by
Samuel Wright Bodman

Mr. Bodman, nor have they ever known him to exhibit any type of bias or prejudice against any class of citizen or any type of religious, racial or ethnic group. They also commented that they believe Mr. Bodman lives within his financial means. None of the individuals contacted was aware of any information concerning Mr. Bodman that could be used to compromise or coerce him. They recommended him for a position of trust:

- USDOC, Washington, D.C.;
- USDOC, Washington, D.C.;
- USDOC, Washington, D.C.;
- USDOC, Washington, D.C.;
- USDOC, Washington, D.C.;
- USDOC, Washington, D.C.;
- USDOC, Washington, D.C.; and
- USDOC, Washington, D.C.

Also, two neighbors were interviewed. They provided no unfavorable information and recommended Mr. Bodman for a position of trust with the Federal government.

Financial Responsibility

A search of computerized credit records, which was conducted at FBI Headquarters in November, 2003, disclosed no pertinent information concerning Mr. Bodman.

Law Enforcement Agency Checks

Information has been received from appropriate law enforcement agencies indicating their files contain no pertinent information concerning Mr. Bodman.
Miscellaneous

A check of the records of Choicepoint, a public source information database, conducted at FBI headquarters, disclosed no pertinent information concerning Mr. Bodman.

A check of the records of LEXIS, a computerized public records retrieval service concerning criminal and civil litigation, conducted at FBI headquarters, revealed no pertinent information concerning Mr. Bodman.

A check of the records of NEXIS, a computerized news retrieval service, conducted at FBI headquarters, revealed no pertinent information concerning Mr. Bodman.

A representative of USDOC, Office of the Inspector General, Washington, D.C., advised that on October 5, 2003, a complaint was filed via the USDOC Hotline alleging that Deputy Secretary Bodman was not suited for managing day to day operations for the Department of Commerce. The representative advised no investigation was required regarding this matter.

Agency Checks

During the course of this background investigation, the records of the following entities were checked and found to contain either no record or no pertinent information concerning Mr. Bodman, unless otherwise noted in this summary memorandum:

- Defense Clearance and Investigations Index;
- Central Intelligence Agency;
- Office of Personnel Management;
- Public Integrity Section and appropriate United States Attorneys,
- Department of Justice;
- United States Secret Service;
- and the White House Office.

An additional check remains outstanding.

Various indices of the FBI, including but not limited to the central index maintained at FBI Headquarters, the index of the Criminal Justice Information Services Division, the indices of appropriate field offices and other appropriate computer data bases, were searched for Mr. Bodman and his close relatives. Fingerprints and other known identifying data were searched for Mr. Bodman. Close relatives were searched by name and other known identifying data
Samuel Wright Bodman

only. All searches conducted were negative with exceptions as noted hereinafter:

Mr. Bodman was the subject of a background investigation conducted by the FBI in July, 2001 in connection with his employment at the White House.

It should be noted that results of the above indices searches reveal only data entered into those indices as of the date each was searched. However, it should also be noted that some delays may occur as to the entry of such data.
SAMUEL WRIGHT BODMAN, III, the appointee, Secretary of Commerce, 14 Constitution Avenue, N.W., Room 5838, Washington, D.C., telephone (202) 482-8376, was advised of the official identity of the interviewing Agent and the purpose of the interview, that being to insure that complete and accurate current information is available and obtained concerning the appointee to assist the Federal Bureau of Investigation (FBI) in conducting a thorough background investigation of the appointee for the position with the Federal Government for which the appointee is being considered. The appointee was advised of the scope of the questions asked during this interview would go back to the appointee's last investigation which was completed in June, 2001. The appointee provided the following information:

The appointee was shown a copy of the Standard Form-86 (SF-86) and supplement both dated November 4, 2003, in which the appointee has completed and signed. Each of the appointee's answers to the questions on the SF-86 were reviewed with the appointee to insure its accuracy and completeness. The appointee advised his written responses to each of the questions on the SF-86 and supplement which he had completed were accurate and correct to the best of his knowledge and recollection.

The appointee stated that regarding his current residence at 3025 Whitehaven Street, N.W., Washington, D.C., the exact move in date he could not supply. The appointee stated that he actually purchased the property in March, 2001 but due to minor renovation work such as painting, etc, he did not move into the Whitehaven Street address on a full-time basis until July, 2001. The appointee stated that between March and July, 2001 he would stay at the Whitehaven Street address a couple of days a week and he would stay also at his residence in Boston, Massachusetts, the rest of the time. The appointee stated that he listed July, 2001 as the move in date because that is when he moved in on a full-time basis.

The appointee stated that he indicated on his SF-86 that he left the CABOT CORPORATION in March, 2001, and began with the United States Department of Commerce in July, 2001. The appointee stated that between March and July he was waiting for final confirmation to his current position and that he was traveling to the different CABOT locations to say good-bye to the employees at the different locations. The appointee stated that also during that time he was preparing for his new job at the Department of Commerce.
The appointee advised that he has not used, sold, purchased, manufactured, trafficked in, produced, transferred, shipped, received, or sold any illegal drug substance including marijuana. The appointee stated that he has not abused prescription drugs or alcohol.

The appointee advised that he has not had any financial problems which resulted in, but were not limited to, property being repossessed, debts placed for collection, filing for bankruptcy, nor has he been the subject of a tax lien or legal judgment rendered concerning debt or have any delinquent student loans.

The appointee advised that he has not been involved in any civil matter as a plaintiff or defendant.

The appointee advised that he has not been in any criminal matters as suspect or subject, nor has he ever been arrested for, charged with, or convicted of any criminal offense.

The appointee advised that he is not involved in any organization which advocates the use of force to overthrow the United States Government, or any involvement in the commission of sabotage, espionage, or the assistance of others in terrorism.

The appointee advised that he has not been denied employment, dismissed from any employment or left any employment under unfavorable circumstances or been denied any security clearance by any agency within the Federal Government.

The appointee advised that he has not had any personal or continuing contacts with representatives of any foreign nation outside the scope of his duties.

The appointee advised he has not received any type of psychological or psychiatric treatment or counseling.

The appointee advised he has not been the subject of any professional complaints or non-judicial disciplinary action to the best of his knowledge.

The appointee advised he has not been involved with any business or investment circumstances that could involve, or have involved conflict of interest allegations.
The appointee advised that he is not the member of any organization which restricts membership based upon sex, race, religion or national origin.

The appointee advised that he is current on all Federal, state and local tax obligations. The appointee advised he has not paid back taxes or nor has he paid delinquent taxes.

The appointee advised that he is not concealing any activity or conduct that could be used to influence, pressure, coerce or compromise him in anyway, or that could have an adverse impact on his character, judgment, stability, discretion, trustworthiness or responsibility.

The appointee advised that his oral responses to the above questions are true and correct to the best of knowledge and recollection. The appointee advised he is not aware of anything that could, would, or should prevent him from receiving a position with the United States Government or could be used to coerce or compromise him if he were to receive such a position.
Honorable Alberto R. Gonzales
Counsel to the President
The White House
Washington, D.C.

Dear Mr. Gonzales:

Reference is made to my letter to your office dated December 12, 2003, which furnished the partial results of a limited update background investigation concerning Mr. Samuel Wright Bodman.

Enclosed is a summary memorandum containing the results of an additional inquiry in this matter, and a copy of your November 3, 2003 request, which has been initialed and dated by a representative of my Unit.

Receipt of this communication completes our investigation. Should you have any questions, they may be directed to Supervisory Personnel Security Specialists telephone number 202-324-2568.

Special Inquiry and General Background Investigations Unit

Enclosures (2)
Booklet (3)
CLOSED

Dissem. to: D0E/058
Dissem. on: 11/14/03
Reg. Rec’d: 12/13/03
Dissem. by: ———
Honorable Alberto R. Gonzales

NOTE: Mr. Bodman was granted a top secret clearance on 8/29/01. Investigation is complete.

FBI(1) WF A-2
The information in this summary memorandum supplements the information contained in the summary memorandum dated December 12, 2003, and completes the investigation.

Agency Checks

A review of the records at United States Department of Commerce (USDOC), Office of Security, Washington, D.C., indicated that Mr. Bodman was granted a top secret clearance by USDOC on August 29, 2001.
SAMUEL WRIGHT BODMAN

The information in this summary memorandum supplements the information contained in the summary memorandum dated December 12, 2003, and completes the investigation.

Agency Checks

A review of the records at United States Department of Commerce (USDOC), Office of Security, Washington, D.C., indicated that Mr. Bodman was granted a top secret clearance by USDOC on August 29, 2001.
FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1419042-0

Total Deleted Page(s) = 29
Page 3 ~ Referral/Consult;
Page 4 ~ Referral/Consult;
Page 5 ~ Referral/Consult;
Page 6 ~ Referral/Consult;
Page 7 ~ Referral/Consult;
Page 8 ~ Referral/Consult;
Page 9 ~ Referral/Consult;
Page 10 ~ Referral/Consult;
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Page 22 ~ Referral/Consult;
Page 23 ~ Referral/Consult;
Page 24 ~ Referral/Consult;
Page 25 ~ Referral/Consult;
Page 26 ~ Referral/Consult;
Page 28 ~ b7E;
Page 38 ~ b3; b7E;
Page 41 ~ Referral/Consult;
Page 234 ~ b6; b7C;
Page 241 ~ Referral/Direct;

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NAME CHECK

Run 1 Page 1

Subject:

Search: BODMAN, SAMUEL WRIGHT

Agency: OFFICE OF PERSONNEL MANAGEMENT/RFP TYPE-1

CIDN: 0206002422

RETURN TO:

SUPERVISOR

FILE REVIEW: R# PROD UTD

SEARCH DOB: 11-26-1938

RULES.....: ATP,N,A,N,Y,A,N,N

REASON CODE: N

BODMAN, SAMUEL, W

Entry date: 03/21/2001

161A-HQ-1337020

* MORE THAN 1 SECTION/SERIAL FOUND FOR CASE.
* SECTION 1 OF FILE CHARGED OUT ON 20050719
* PHONE: 202-324-3205 ROOM: 10861 BLDG: JEH

BODMAN, SAMUEL, WRIGHT

Entry date: 03/21/2001

161A-HQ-1337020

* FILE IS CHARGED OUT ON 20050719
* PHONE: 202-324-3205 ROOM: 10861 BLDG: JEH

SECRET

SCANNED BY DocLab (RMD)

DATE:

LAST SERIAL:
AGCY: OPM1
TAPE: FBI013
RUN DATE: 11/25/2005
PRT DATE: 07/19/2006
CIDN: 0206002422

NAME: BODMAN SAMUEL WRIGHT
AKA:
DOB: 11/26/1938
POB: IL
SOC: 329-32-7756
SEX: U
RACE: U
ADDR:

XXX
MISC: XXX-XXXXXXXXXXXX
XXX-XXXXXXXXXXXX
UTD: 0000

FBI CENTRAL RECORDS SYSTEM
RESPONSE TO AN AUTOMATED INDICES RECORD CHECK
DOES NOT INCLUDE A FINGERPRINT CHECK

SEQUENCE NO: 1
ORI NO: USOPM0000
TYPE OF SEARCH: 2
Precedence: DEADLINE 12/22/2004

To: Albany
   Baltimore
   Boston
   Bridgetown
   Chicago
   Cincinnati
   Columbia
   Detroit
   Houston
   Miami
   New Haven
   Newark
   Richmond
   San Francisco
   Washington Field

Attn: Squad A-1
     Squad A-2

From: Administrative Services
       Special Inquiry and General Background Investigations
       Unit (SIGBIU), Room 10861
       Contact: PSS Ext 3205

Approved By: [Signature]
Drafted By: [Signature]

Case ID #: 161A-HQ-1337020 (Pending)

Title: SAMUEL WRIGHT BODMAN, III
       LEVEL I-PAS

Synopsis: Initiation of a full-field background investigation
          (FFI) on captioned candidate.


RECEIVING OFFICES ARE TO ENSURE THAT A COPY OF THIS EC
IS PROVIDED TO EACH INDIVIDUAL CONDUCTING INVESTIGATION IN
CAPTIONED MATTER. ADDITIONALLY, WHEN SENDING FOLLOW-UP LEADS TO
OTHER FIELD OFFICES NOT KNOWN TO BE INVOLVED IN CAPTIONED MATTER,
ENSURE THAT A COPY OF THIS EC IS PROVIDED TO THOSE FIELD OFFICES
AND THAT THIS EC SERIAL IS REFERENCED.

Field offices should also refer to the investigative
guidelines set forth in MIOG, Part I, Section 161, and Part II.
To: Albany  From: Administrative Services  
Re: 161A-HQ-1337020, 12/15/2004

Ensure to conduct investigation as set forth under the "Leads" section herein and/or as marked on the enclosed SF-86.

Field offices are reminded that neither the investigative status of a background investigation nor any deadlines are to be disclosed to the candidate or any interviewees. Candidates making inquiries of the status of their investigation are to be referred to the client entity.

Direct results/questions to PSS and advise SIGBIU (PSS and appropriate field offices of any derogatory information in accordance with MIOG, Part II, Section 17-5.1(1). If Bude will not be met, telephonically advise PSS and set forth reason(s) in Administrative Section of investigative report. SIGBIU facsimile numbers are (202) 324-6617 and (202) 324-6618.

Enclosure(s): Being forwarded to each field office, (either scanned or) with a hard copy of this EC via Bureau mail, is one copy each of the following: candidate's SF-86, dated 12/13/2004, Authorization for Release of Information, dated 12/13/2004, and Authorization for Release of Medical Information, dated 12/13/2004.

Details: Bureau has been requested by the WH to conduct a Level I FFI of candidate who is being considered for a Presidential Appointment with Senate confirmation.
To: Albany  From: Administrative Services
Re: 161A-HQ-1337020, 12/15/2004

LEAD(s):

Set Lead 1: (Action)

ALBANY

AT ALBANY, NY

Review EC and enclosures, and conduct appropriate investigation.

Conduct indices on candidate.

Conduct arrest and US Attorney's Office checks.

During inquiries at the Cornell University for verification of candidate's education, review financial aid office records, check disciplinary records, and campus police. Include dates of attendance, degree received, major, GPA/class standing or specifically state it if not available.

Set Lead 2: (Action)

BALTIMORE

AT BALTIMORE, MD

Review EC and enclosures, and conduct appropriate investigation.

Conduct IG/SY/OPF at Department of Commerce.

Set Lead 3: (Action)

BOSTON

AT BOSTON, MA

Review EC and enclosures, and conduct appropriate investigation.

Conduct indices on candidate and relative.

Conduct arrest, US Attorney's Office and dmv checks.

Conduct securities and exchange commission and federal deposit insurance corporation or equivalent at a state level concerning candidate's employment with Fidelity Investments as president and coo.
To: Albany  From: Administrative Services
Re: 161A-HQ-1337020, 12/15/2004

Conduct neighborhood investigation, interview listed verifier and at least two neighbors. Interview landlord and verify dates of residence. If the landlord is no on premises and property is administered by a management company, check records at that location.

Conduct employment investigation, interview supervisor, coworkers and review personnel file for each employment. If employments are listed in personnel files, or otherwise developed, which are not included on the SF-86, set leads to verify them and also to ask candidate concerning these employments. In review of personnel files include all pertinent information, exact dates of employment, official job title and whether eligible for rehire. For each individual interviewed provide complete name, official job title, place of employment, and address of employment using no abbreviations.

Interview references.

Interview general counsel concerning candidate's employment at Fidelity Investments to determine if candidate was individually or in his official capacity as president and coo named in a civil/law suit.

Set Lead 4: (Action)

BRIDGETOWN

AT BRIDGETOWN, BARBADOS

Review EC and enclosures, and conduct appropriate investigation.

Conduct criminal and security checks in Bahamas.

Set Lead 5: (Action)

CHICAGO

AT CHICAGO, IL

Review EC and enclosures, and conduct appropriate investigation.

Conduct indices on candidate and relative.

Conduct arrest and US Attorney's Office checks.

Conduct employment investigation, interview supervisor, coworkers and review personnel file. If employments are listed
To: Albany  
From: Administrative Services  
Re: 161A-HQ-1337020, 12/15/2004  

in personnel files, or otherwise developed, which are not included on the SF-86, set leads to verify them and also to ask candidate concerning these employments. In review of personnel files include all pertinent information, exact dates of employment, official job title and whether eligible for rehire. For each individual interviewed provide complete name, official job title, place of employment, and address of employment using no abbreviations.

Set Lead 6: (Action)

CINCINNATI  
AT CINCINNATI, OH  
Review EC and enclosures, and conduct appropriate investigation.

Conduct indices on candidate.

Conduct arrest and US Attorney's Office checks.

Conduct employment investigation, interview supervisor, coworkers and review personnel file for each employment. If employments are listed in personnel files, or otherwise developed, which are not included on the SF-86, set leads to verify them and also to ask candidate concerning these employments. In review of personnel files include all pertinent information, exact dates of employment, official job title and whether eligible for rehire. For each individual interviewed provide complete name, official job title, place of employment, and address of employment using no abbreviations.

Set Lead 7: (Action)

COLUMBIA  
AT COLUMBIA, SC  
Review EC and enclosures, and conduct appropriate investigation.

Interview reference.

Set Lead 8: (Action)

DETROIT  
AT DETROIT, MI
To: Albany  From: Administrative Services  
Re: 161A-HQ-1337020, 12/15/2004

Review EC and enclosures, and conduct appropriate investigation.

Conduct indices on candidate and relative.

Set Lead 9: (Action)

HONOLULU
AT HONOLULU, HI

Review EC and enclosures, and conduct appropriate investigation.

Conduct indices on candidate.

Conduct arrest and US Attorney's Office checks.

Set Lead 10: (Action)

HOUSTON
AT HOUSTON, TX

Review EC and enclosures, and conduct appropriate investigation.

Conduct indices on candidate.

Conduct arrest and US Attorney's Office checks.

Set Lead 11: (Action)

MIAMI
AT MIAMI, FL

Review EC and enclosures, and conduct appropriate investigation.

Conduct indices on candidate.

Conduct arrest and US Attorney's Office checks.

Set Lead 12: (Action)

NEW HAVEN
AT NEW HAVEN, CT
To: Albany  From: Administrative Services
Re: 161A-HQ-1337020, 12/15/2004

Review EC and enclosures, and conduct appropriate investigation.

Conduct indices on candidate and relative.

Set Lead 13: (Action)

NEWARK
AT NEWARK, NJ

Review EC and enclosures, and conduct appropriate investigation.

Conduct indices on candidate.

Conduct arrest and US Attorney's Office checks.

Set Lead 14: (Action)

RICHMOND
AT RICHMOND, VA

Review EC and enclosures, and conduct appropriate investigation.

Conduct indices on candidate and relative.

Set Lead 15: (Action)

SAN FRANCISCO
AT SAN FRANCISCO, CA

Review EC and enclosures, and conduct appropriate investigation.

Conduct indices on candidate.

Conduct arrest and US Attorney's Office checks.

Conduct employment investigation; interview supervisor, coworkers and review personnel file. If employments are listed in personnel files, or otherwise developed, which are not included on the SF-86, set leads to verify them and also to ask candidate concerning these employments. In review of personnel files include all pertinent information, exact dates of employment, official job title and whether eligible for rehire. For each individual interviewed provide complete name, official
job title, place of employment, and address of employment using no abbreviations.

Set Lead 16: (Action)

WASHINGTON FIELD

AT WASHINGTON, DC

LEADS FOR SQUAD A-1

Review EC and enclosures, and conduct appropriate investigation.

Conduct "initial" candidate interview as noted in the Administrative Section, above.

Have candidate discuss his unemployment activities from 03/2001 to 07/2001. If candidate was unemployed have him to provide the name of someone to verify those dates. Set lead to interview this individual. If candidate was employed, set lead to interview former supervisor, coworkers and review personnel file.

Have candidate discuss question #17a regarding your foreign activities.

Have candidate discuss question #18a regarding your foreign countries you have visited.

Have candidate discuss question #26 regarding your investigations record.

Have candidate discuss supplemental question #1S and have him to list the names of ALL corporations, firms, partnership or other business enterprises, and all nonprofit organizations with which he has been affiliated as an officer, owner, director, trustee, partner, advisor, attorney or consultant. Please note it is necessary to provide the names of major clients and regardless if they present a potential/appearance of/interest with the prospective appointment conflict or not and set appropriate leads to interview clients to determine quality of his work. NOTE: IF CANDIDATE PROVIDE ADDITIONAL ORGANIZATIONS THAT HE WAS AFFILIATED WITH PLEASE HAVE SOMEONE TO VERIFY THOSE ORGANIZATIONS. IF YOU ARE UNSURE, CONTACT PSS IMMEDIATELY.

Please note: since candidate was a financial advisor to several companies determine if he has a broker's license or equivalent. Set lead to verify license.

Unemployed 3/9-7/01
To: Albany From: Administrative Services
Re: 161A-HQ-1337020, 12/15/2004

Have candidate discuss supplemental question 25. If candidate indicates that he has a summer home, vacation home, family farm, etc, set leads for law enforcement agency checks and US Attorney's Office checks. If candidate spends a considerable amount of time at this location, set appropriate leads for a neighborhood investigation.

Conduct neighborhood investigation, interview listed verifier and at least two neighbors. Interview landlord and verify dates of residence. If the landlord is not on premises and property is administered by a management company, check records at that location.

Conduct employment investigation, interview supervisor, coworkers and review personnel file for each employment. If employments are listed in personnel files, or otherwise developed, which are not included on the SF-86, set leads to verify them and also to ask candidate concerning these employments. In review of personnel files include all pertinent information, exact dates of employment, official job title and whether eligible for rehire. For each individual interviewed provide complete name, official job title, place of employment, and address of employment using no abbreviations.

Interview two independently developed references.

Altogether interview at least 20 persons knowledgeable of the candidate.

Set Lead 17: (Action)

WASHINGTON FIELD

AT WASHINGTON, DC

LEADS FOR SQUAD A-2

Review EC and enclosures, and conduct appropriate investigation.

Conduct indices on candidate and relatives.

Conduct arrest, US Attorney's Office, DOJ, USSS, DOJ-Public Integrity Section, White House Office, IG/SI at Securities and Exchange Commission, IG/SV at Federal Deposit Insurance Corporation, IG/SY/OFF at Department of Treasury, IG/SY/OFF at Department of Commerce.
To: Albany  From: Administrative Services
Re: 161A-HQ-1337020, 12/15/2004

**
TO: NEXIS -
FROM: SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS, 4383,
SUBJECT: SAMUEL WRIGHT BODMAN
BUDED: 12/16/2004

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE
BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS
BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED
TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION
CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS
REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR
NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED
TO THE SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS,
VIA ROUTING SLIP
MARKED 'URGENT'.

RESULT
NAME: SAMUEL WRIGHT BODMAN
DOB: 11/26/1938
POB: CHICAGO, IL
SSAN: 329-32-7756
CURRENT RESIDENCE: 3025 WHITEHAVEN ST
WASHINGTON, DC 20008
EMPLOYMENT: US DEPARTMENT OF TREASURY
1500 PENNSYLVANIA AVE WASHINGTON, DC 202

CHECK CONDUCTED BY: [Signature], ON 12/14/04
USING THE [DATABASE(S)] AND THE FOLLOWING PARAMETERS:
FEDERAL BUREAU OF INVESTIGATION
REQUEST FOR CREDIT CHECK

URGENT

TO: CREDIT REPORT OFFICE
FBIHQ, ROOM 4356
RETURN TO: ROOM 4371 EXT.

FROM: FACILITY SECURITY UNIT, NSD
ATTN: ___________________________ ROOM _______ EXT. _______

PERSONNEL SECURITY UNIT, NSD
ATTN: ___________________________ ROOM _______ EXT. _______

INDUSTRIAL SECURITY UNIT, NSD
ATTN: ___________________________ ROOM _______ EXT. _______

BUREAU APPLICANT EMPLOYMENT UNIT, ASD
ATTN: ___________________________ ROOM _______ EXT. _______

SPECIAL INQUIRY AND GENERAL BACKGROUND UNIT, NSD
ATTN: ___________________________ ROOM _______ EXT. _______

RESPONSE CRITERIA
5 WORK DAYS  3 WORK DAYS  24 HOURS

SUBJECT'S NAME BODMAN SAMUEL WRIGHT
LAST SUFFIX FIRST MIDDLE

DATE OF BIRTH (DOB): 11/26/1938
SOCIAL SECURITY ACCOUNT NUMBER: 329-32-7756
SUBJECT'S CURRENT ADDRESS OR LAST KNOWN U.S. ADDRESS:

3025 WHITEHAVEN ST WASHINGTON

NUMBER STREET CITY

DC 20008

STATE ZIP CODE

ENCLOSURE FBI/DOJ

b6 b7c
SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS (SIGBIU)
FEDERAL BUREAU OF INVESTIGATION
RECORDS/OPERATIONS SECTIONS

DATE: 12/14/2004
BUDED: 12/16/2004

**********************************************************************
X SERVICE UNIT, ROOM 7361
X RETURN TO: ROOM 4371, EXT.
**********************************************************************
SCOPE OF SEARCH: SECURE DATA INFORMATION SYSTEM
**********************************************************************
TYPE OF SEARCH REQUESTED: ALL REFERENCES (SECURITY & CRIMINAL)
**********************************************************************
FR UTD

SPECIAL INSTRUCTIONS: X SIX WAY PHONETIC

RELATIVES: FR UTD

SPECIAL INSTRUCTIONS: X THREE WAY SEARCH

RELATIONSHIP | NAME | DOB | LOCALITIES
-------------|------|-----|----------
CHILD        |      |     | MI        
CHILD        |      |     | MA        
CHILD        |      |     | CT        
STEPCHILD    |      |     | DC        
STEPCHILD    |      |     | VA        
BROTHER      |      |     | IL        
CURRENT SPOUSE |    |     | DC        

175
12/15/04

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           UNI Record Browse

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V=ViewUNI

Priority:03  Agency: SPIN Tape: 121504  Searched: 20041215  Current Result: I
Name: BODMAN, SAMUEL WRIGHT  CIDN: 0412151165  Ext
Aka:  Nbr UNI records: 2

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4AU

16,003
Date: 12/20/2004
Time: 14:58:18

Name Check Program 3.0
Query Request Status
UNI Record Browse

UNI Record Browse

Type Action code, and press Enter.
V=ViewUNI

Priority: 03 Agency: SPIN Tape: 121504 Searched: 20041215 Current Result: H
Name: [Redacted]
DOB: [Redacted]
Aka: [Redacted]
Sex: U Race: U Soc: [Redacted]

Nbr UNI records: 7

Current Result: H

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UNI Record Browse

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V=ViewUNI

Priority: 03 Agency: SPIN Tape: 121504 Searched: 20041215
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Aka: Nbr UNI records: 7

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F1=Help F3=Exit F4=Prompt F7=Bkwd F8=Fwd F11=NextPg F12=Cancel

4A0 16,003
THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE RM VIA ROUTING SLIP MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT NAME: SAMUEL WRIGHT BODMAN
DOB: 11/26/1938
POB: CHICAGO, IL
SSAN: 329-32-7756
CURRENT ADDRESS: 3025 WHITEHAVEN ST
WASHINGTON, DC 20008
EMPLOYMENT: US DEPARTMENT OF TREASURY
1500 PENNSYLVANIA AVE WASHINGTON, DC 202

CLOSE RELATIVES

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CHECK CONDUCTED BY: __________________, ON ______
*****WARNING - THE FOLLOWING IS AN NCIC PROTECTION ORDER RECORD. DO NOT SEARCH, DETAIN, OR ARREST BASED SOLELY ON THIS RECORD. CONTACT ENTERING AGENCY TO CONFIRM STATUS AND TERMS OF PROTECTION ORDER*****

*****THE SUBJECT OF THIS RECORD IS PROHIBITED FROM RECEIVING OR POSSESSING A FIREARM UNDER FEDERAL LAW (TITLE 18, U.S.C., SECTION 922)*****

MKE/PROTECTION ORDER

PCO/SEE THE MISCELLANEOUS FIELD FOR COMMENTS REGARDING THE TERMS AND CONDITIONS PCO/OF THE ORDER.

ORI IS PROTECTION ORDER REGISTRY 860 722-1623

THE SUBJECT IS PROHIBITED FROM POSSESSING PCO/OTHER WEAPON. AND/OR PURCHASING PCO/FIREARM OR NIC/H085170531
NO IDENTIFIABLE RECORD IN THE NCIC INTERSTATE IDENTIFICATION INDEX (III) FOR NAM/BODMAN, SAMUEL WRIGHT, DOB/19381126, SEX/M, RAC/U, PUR/J.

NOTICE -- A LARGE NUMBER OF RECORDS FOR PERSONS BORN PRIOR TO 1956 ARE NOT AUTOMATED AT THE FBI. IF A SEARCH OF THE NONAUTOMATED FILES IS DESIRED, A FINGERPRINT CARD SHOULD BE SUBMITTED.

END
IDENT CHECK  ROOM 11262B TUBE J1, ATTN:  b6  b7c
FROM: SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS UNIT, DIV 3, RM
EXT:  ATTN: PSS  0  b6  b7c
SUBJECT: SAMUEL WRIGHT BODMAN  BUDED: 12/16/2004  URGENT
BUREAU FILE NUMBER: 161A--*

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE
BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS
BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED
TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION
CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS
REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR
NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED
TO THE SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS UNIT, RM 4371
VIA ROUTING SLIP MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:
RESULT NAME: SAMUEL WRIGHT BODMAN
DOB: 11/26/1938
POB: CHICAGO, IL
SSAN: 329-32-7756
CURRENT ADDRESS: 3025 WHITEHAVEN ST
WASHINGTON, DC 20008
EMPLOYMENT: US DEPARTMENT OF TREASURY
1500 PENNSYLVANIA AVE WASHINGTON, DC 202

CLOSE RELATIVES

RESULT NAME
DOB RESIDENCE

CHECK CONDUCTED BY:  fdj  ON 12/14/04
Precedence: DEADLINE 12/22/2004

To: Administrative Services
From: Detroit

Date: 12/15/2004

To: SIGBIU, Room 10861

From: Detroit

Contact: ISS

Approved By: Emu

Drafted By: H:thl

Case ID #: 161A-HQ-1337020 (Pending)

Title: SAMUEL WRIGHT BODMAN, II
LEVEL I - PAS

Synopsis: Background investigation regarding captioned appointee.

Administrative: All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

FBI Detroit's general indices are negative regarding the appointee, and the

Details: All investigation in the Detroit Division is completed.

**
Reference: Bureau EC to Albany dated 12/15/04.

Administrative:

Richmond indices negative regarding candidate and candidate's relative.
FEDERAL BUREAU OF INVESTIGATION

Precedence: IMMEDIATE                  Date: 12/17/2004

To: Administrative Services
    International Operations

From: Bridgetown
    Nassau, Bahamas
    Contact: A/ALAT ______________________ (242)322-1181,x4702

Approved By: ______________________ b6
Drafted By: ______________________ b7C

Case ID #: 161A-HQ-1337020 (Pending)

Title: SAMUEL WRIGHT BODMAN, III;
       LEVEL I-PAS

Synopsis: Security checks have been completed as requested. All inquiries revealed no criminal or derogatory information.

Details: On December 16, 2004, the undersigned requested criminal and security checks be conducted on Samuel Wright Bodman, III, Drug Enforcement Administration, and United States Consulate were all queried for any information or contact with the candidate. All inquiries met with negative results.

With the information provided by above mentioned agencies, Bridgetown considers this lead covered.
LEAD(s):

Set Lead 1: (Discretionary)

ADMINISTRATIVE SERVICES
AT WASHINGTON, D.C.

A criminal and security check of the candidate was conducted with the Drug Enforcement Administration, and United States Consulate. These checks revealed no derogatory information. Bridgetown considers this lead covered.

Set Lead 2: (Info)

INTERNATIONAL OPERATIONS
AT WASHINGTON, D.C.

Read and clear.
# FEDERAL BUREAU OF INVESTIGATION

**REPORTING OFFICE** | **OFFICE OF ORIGIN** | **DATE** | **INVESTIGATIVE PERIOD**
---|---|---|---

**TITLE OF CASE**
SAMUEL WRIGHT BODMAN, III

**REPORT MADE BY**
SA

**TYPOED BY**
lgc

**CHARACTER OF CASE**
LEVEL I-PAS

**BUDED:**
12/22/2004

**REFERENCES:**
FBIHQ EC TO SF, 12/15/2004
- PENDING (San Francisco investigation completed)

**ADMINISTRATIVE:**
San Francisco's automated and manual indices are negative for candidate.

---

**APPROVED**
Mark J. Marshal

**DO NOT WRITE IN SPACES BELOW**

**DISSEMINATION RECORD OF ATTACHED REPORT**

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**Notations**
0840

COVER PAGE
UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

San Francisco investigation is completed.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
The following investigation was conducted by Special Agent
San Francisco Division
At Richmond, California

EMPLOYMENT

CHEVRON RESEARCH CORPORATION
100 CHEVRON WAY
RICHMOND, CALIFORNIA 94802
JUNE 1961 - SEPTEMBER 1961

An employment check was conducted regarding the above employment. ChevronTexaco, Richmond Technology Center, telephone supervises human resources related matters online but could not locate any record of the candidate's employment. Paper and archived records were also checked by others in her department but with no success. In her opinion, the records were most likely destroyed or lost due to their age, or the candidate may have been employed as a contractor, in which case, the candidate was not their employee. When asked about other employees who may have worked with the candidate during the above timeframe, she stated that to her knowledge, the most senior employee within this division had a tenure of thirty-seven years and thus, would have started working after the candidate had left the Chevron Research Corporation. A more exhaustive search for employees who worked with the candidate could be accomplished if more time was allowed for the search.
The following investigation was conducted by ISS

LAW ENFORCEMENT AGENCIES

AT CONCORD, CALIFORNIA:

On December 16, 2004, ISS reviewed the records of the Contra Costa County Sheriff's Office, which covers the entire county, including Richmond and is located at FBI, Concord and was unable to locate any record identifiable with SAMUEL WRIGHT BODMAN III, born November 26, 1938.

AT MARTINEZ, CALIFORNIA:

On December 16, 2004, Contra Costa County Sheriff's Office, reviewed their records and was unable to locate any record regarding SAMUEL WRIGHT BODMAN III, born November 26, 1938.

UNITED STATES ATTORNEY'S OFFICE

AT SAN FRANCISCO, CALIFORNIA:

On December 16, 2004, United States Attorney's Office, advised there is no indication of Federal Prosecutive Action (civil or criminal, in closed or current files) concerning SAMUEL WRIGHT BODMAN III, born November 26, 1938.
LAW ENFORCEMENT AGENCIES

The following investigation was conducted by IA

AT RICHMOND, CALIFORNIA:

On December 16, 2004, Police reviewed the records of the Richmond, California, Police Department. No identifiable arrest record could be located regarding Candidate SAMUEL W. BODMAN, born November 26, 1938.
**FEDERAL BUREAU OF INVESTIGATION**

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**BUDED:**

12/22/2005

**REFERENCE:**

ASD EC to Albany dated 12/15/2004

- PENDING (All CI investigations completed)

**ADMINISTRATIVE:**

The person interviewed was furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

A check of Cincinnati electronic and manual indices are negative for the candidate.

**APPROVED**

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Notations

COVER PAGE
A local records check of the Cincinnati Police Division and Hamilton County Sheriff's Office, both Cincinnati, Ohio, revealed no record identifiable with candidate. A check of records at the United States Attorney's Office, Southern District of Ohio, disclosed no current or past reference to candidate. A representative from Proctor & Gamble (P&G) advised that it was not possible to verify candidate employment and review personnel files. P&G does not maintain employment and personnel files for the time period candidate listed for employment.

Cincinnati investigation is complete.

- P -
The following investigation was conducted by Special Agent on December 20, 2004:

Procter & Gamble (P&G), Two Proctor & Gamble Plaza, Cincinnati, Ohio 45202, telephone number (513) 983-2757, was contacted. P&G did not have any personnel files on record for candidate. advised that the candidate appears to have been a temporary employee working for P&G over the summer as a college intern. Personnel records of college interns that do not return for full time employment are purged after several years. further advised that P&G moved its Human Resources Department to Costa Rica, several years ago. Currently it is P&G policy to maintain personnel files for only seven years.
On 12/15/2004, a review of the computerized records of the Hamilton County Justice Center, Cincinnati, Ohio, a repository for arrest records for the Cincinnati Police Division and Hamilton County Sheriff's Office, both Cincinnati, Ohio, revealed no record identifiable with Samuel Wright Bodman, III, born November 26, 1938, Social Security Account Number 329-32-7756.

OTHER AGENCY CHECKS

United States Attorney's Office
Southern District of Ohio
Cincinnati, Ohio

On December 16, 2004, a review of computerized records was conducted at the U.S. Attorney's Office, Southern District of Ohio at Cincinnati, Ohio and the search of pending and closed civil and criminal dockets disclosed no current or past reference to Samuel Wright Bodman, III, born November 26, 1938.

- P -


The interviewee was advised of the Privacy Act and declined confidentiality as reflected in the details of his interview.

Columbia indices negative regarding candidate, all relatives, and references residing in SC.
UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Office: b6 b7C
Date: 161A-HQ-1337020
Case ID #:
Title: SAMUEL WRIGHT BODMAN, III

Character: LEVEL I - PRESIDENTIAL APPOINTMENT WITH SENATE CONFIRMATION

Synopsis: a listed reference for candidate, was interviewed on December 19, 2004. a friend of the candidate since college in the mid 1950s, believes candidate to be of the highest character, extremely well qualified for a Secretary position, and recommends his appointment.

- P -

DETAILS:
The following investigation was conducted by SA on December 19, 2004:

REFERENCE:

was advised the official identity of the interviewing agent by a display of credentials and was advised the nature of the investigation. after being advised the provisions of the privacy act, declined confidentiality and provided the following information voluntarily:

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
and the candidate first met as freshman at Cornell University in the mid 1950s and have remained close friends ever since. Both studied chemical engineering and over the course of their professional lives been involved in the oil business although never with the same companies. Described the relationship as one of friendship rather than business. And the candidate were last together during a vacation in approximately October 2003, however, they speak regularly on the telephone.

believes the candidate to be of the highest moral character, "an outstanding family man, straight forward, and completely honest." He considers him to be of exceptional ability in his chosen field as well as an, "accomplished businessman." noted that the candidate was an outstanding student during their college years and now enjoys an excellent reputation in the business community for his ability and strong work ethic.

Over the years has met a number of the candidates friends and business associates, many of whom are fellow graduates of Cornell. In his experience all are, "fine Americans."

The candidate has never displayed any behavior which would cause to question the candidates loyalty to the United States or its' form of government, nor has he been given cause to believe that the candidate harbors bias against any ethnic or religious groups. Based on observation and reputation the candidate has always handled his financial affairs in a responsible manner.

has never observed or known the candidate to abuse alcohol or prescription drugs or, use, sell, purchase, manufacture, possess or distribute illegal drugs.

When specifically asked, advised that he is not aware of any activity in the candidate's background which could be used to coerce, compromise, influence, pressure and/or would impact negatively on candidate's character, reputation, judgement, discretion, trustworthiness, loyalty, responsibility or suitability for a position of trust with the U.S. Government.

In conclusion, stated that he believes that the candidate, based on his professional abilities and personal traits, is extremely well qualified for a position in the government and highly recommends his selection.


**FEDERAL BUREAU OF INVESTIGATION**

**Precedence:** DEADLINE 12/22/2004           **Date:** 12/20/2004

**To:** Administrative Services  **Attn:** PS

Special Inquiry and General Background Investigations Unit (SIGBIU), Room 10861

**From:** Miami

Squad S-3

Contact: ISS

**Approved By:** J

**Drafted By:** lj

**Case ID #:** 161A-HQ-1337020/P (Pending)

**Title:** SAMUEL WRIGHT BODMAN, III

LEVEL I - PAS

**Synopsis:** Records at the Miami Office of the United States Attorney did not reflect any information regarding the candidate.

**Reference:** 161A-HQ-1337020 Serial 54

**Administrative:** Re Administrative Services re to Miami, dated 12/15/2004.

**Details:** On December 20, 2004, writer contacted Office of the United States Attorney (USA), Southern District of Florida, and was advised there is no federal prosecutive action (civil or criminal) in closed or current files involving the candidate.

Miami investigation complete.

★★

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(Rev. 01-31-2003)
**FEDERAL BUREAU OF INVESTIGATION**

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**BUDED:**


**REFERENCE:**


**ADMINISTRATIVE:**

The individuals contacted were furnished the provisions of the Privacy Act. Express promises of confidentiality have not been granted.

For the information of the Bureau, Albany's General and ACS indices were searched on December 20, 2004 by regarding candidate, residing within the Albany Division, which met with negative results.

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2-BUREAU (161A-HQ-1337020)-6

ATTN: b6

1-ALBANY (161A-HQ-1337020) b7c

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**Notations**

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**COVER PAGE**
UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to: BUREAU

Report of: SA
Date: 12/21/2004
Case ID #: 161A-HQ-1337020
Title: SAMUEL WRIGHT BODMAN, III

Character: LEVEL I - PAS


DETAILS:

EDUCATION:

CORNELL UNIVERSITY
726 UNIVERSITY AVENUE
ITHACA, NEW YORK
SEPTEMBER, 1961 - JUNE, 1965

On December 21, 2004, Office of the University Registrar, B07 Day Hall, Cornell University, Ithaca, New York, was contacted regarding the candidate. Stated candidate attended Cornell University from the Fall of 1956 to the Spring of 1961. Candidate was awarded a Bachelor of Science Degree in Chemical Engineering on June 12, 1961. Cornell University records identify candidate's full name as SAMUEL WRIGHT BODMAN, III, Social Security Number 329-32-7758.
On December 21, 2004, Office of the Bursar, 260 Day Hall, Cornell University, Ithaca, NY stated candidate received no financial aid and had paid his bill in full.

On December 21, 2004, Office of the Judicial Administrator, 500 Day Hall, Cornell University, Ithaca, NY, advised a review of Cornell University records revealed no information regarding the candidate.

ARREST:

On December 15, 2004, New York State Police Records Center, Albany, New York, advised that a search of their files revealed no criminal record identifiable regarding candidate.

On December 20, 2004, Cornell University Police Department, Ithaca, New York, telephone number 607-255-6685, was contacted regarding the candidate. Conducted a local arrest check and advised that a review of records at the Cornell University Police Department revealed no arrests regarding candidate.

On December 20, 2004, Ithaca Police Department, Ithaca, New York, telephone number 607-272-3245, was contacted regarding candidate. Conducted a local arrest check and advised that a review of records at the Ithaca Police Department revealed no arrests regarding candidate.

On December 20, 2004, Tompkins County Sheriff's Office, Ithaca, New York, telephone number 607-257-1345, was contacted regarding the candidate. Conducted a local arrest check and advised that a review of records at the Tompkins County Sheriff's Office revealed no arrests regarding candidate.

MISCELLANEOUS:

On December 20, 2004, reviewed the computerized records of the New York State Department of Motor Vehicles and determined that candidate does not have a driver's license or a driving record in New York State.
On December 21, 2004, the United States Attorney's Office, Northern District of New York, Syracuse, New York, was contacted by IA regarding the candidate.

Advised after a search of their files, it was determined that there are no records, either criminal or civil, and no disciplinary actions taken against the candidate.
FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 12/21/2004

To: Administrative Services
Attn: Special Inquiry and General Background Investigations Unit (SIGBIU), Room 10861

From: Miami Squad S-3
Contact: ISS

Approved By: b6
Drafted By: alj

Case ID #: 161A-HQ-1337020 (Pending)

Title: SAMUEL WRIGHT BODMAN, III
LEVEL I - PAS

Synopsis: Miami indices and arrest checks were negative regarding the candidate.

Reference: 161A-HQ-1337020 Serial 54


Details: A review of Miami manual and automated indices did not reflect any information regarding SAMUEL WRIGHT BODMAN, III.

Criminal checks were conducted at the National Crime Information Center (NCIC) and the Florida Crime Information Center (FCIC), both with negative results regarding the candidate, SAMUEL WRIGHT BODMAN, Date of Birth (DOB): November 26, 1938.

Local criminal checks regarding the candidate are still pending at Palm Beach, Florida.
REFERENCE:

Re 161A-HQ-1337020 Serial 54

ADMINISTRATIVE:

Miami indices reflect negative results regarding the candidate.

Miami investigation complete.
UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:  
Date: 12/20/2004  
Case ID #: 161A-HQ-1337020  
Title: SAMUEL WRIGHT BODMAN, III

Character: BI-PAS  
Synopsis: Arrest and United States Attorney's Office (USAO) checks were conducted.

ARREST

The following arrest checks were conducted, all with negative results, regarding the candidate:

On December 20, 2004, at the National Crime Information Center (NCIC), Florida Crime Information Center (FCIC), and the Palm Beach County Automated Law Enforcement Management System (PALMS).

USAO

On December 20, 2004, ISS was telephonically contacted the USAO, Southern District of Florida. ISS was advised that no file could be located which indicated federal prosecutive action, civil or criminal, in closed or current files, regarding the candidate.
FEDERAL BUREAU OF INVESTIGATION

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DEADLINE: 12/22/2004

- P -

ADMINISTRATIVE: All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

Newark indices/FOMIS negative re candidate.

All Newark leads covered.

APPROVED

SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

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DISSEMINATION RECORD OF ATTACHED REPORT

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Notations

A* COVER PAGE
United States Attorney's Office:

On 12/20/2004, Office of the United States Attorney, District of New Jersey, Newark, New Jersey, advised Special Agent (SA) that the files of that department do not reflect any record of prosecutive action, civil or criminal, concerning the candidate, SAMUEL WRIGHT BODMAN, III, Date of Birth (DOB): 11/26/1938, Social Security Account Number (SSAN): 329-32-7756.

Arrest Checks:

On 12/20/2004, Piscataway, New Jersey Police Department advised Special Agent(SA) that the files of that department contained no arrest information identifiable with the candidate, SAMUEL WRIGHT BODMAN, III.
FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE | OFFICE OF ORIGIN | DATE | INVESTIGATIVE PERIOD
--- | --- | --- | ---
HOUSTON | FBIHQ | 12/20/2004 | 12/20/2004

TITLE OF CASE
SAMUEL WRIGHT BODMAN, III

REPORT MADE BY
ISS b6 b7c

CHARACTER OF CASE
LEVEL I-PAS

BUDED: 12/22/2004


- P (All HO investigation completed) -

ADMINISTRATIVE: On 12/20/2004, a search of FOIMS indices failed to locate any identifiable information on the candidate, Samuel Wright Bodman, III
UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to: FBIHQ

Report of: ISS

Date: 12/20/2004

Case ID #: 161A-HQ-1337020

Title: SAMUEL WRIGHT BODMAN, III

Character: LEVEL I - PRESIDENTIAL APPOINTMENT REQUIRING SENATE CONFIRMATION

Synopsis: Provide results of arrest, property and United States Attorney's Office checks.

Details:

Houston investigation is complete.
ARREST

The following investigation was conducted by Investigative Support Specialist (ISS), at Houston, Texas.

On 12/20/2004, a search of the computerized records of the Harris County Justice Information Management System (JIMS), the Texas Crime Information Center (TCIC) and the National Crime Information Center (NCIC), were conducted on the candidate, Samuel Wright Bodman, III. All checks met with negative results on both wanted and criminal history records.

All arrests for felony, Class A and Class B Misdemeanor offenses within the State of Texas, are recorded in TCIC. Class C Misdemeanor offenses (i.e. disorderly conduct, public intoxication) are filed in individual local municipal and justice of peace courts whose records are not part of any central automated system.
PROPERTY

The following investigation was conducted by ISS at Houston, Texas.

On 12/20/2004, a search of the records with the Harris County Appraisal District revealed the following information. Candidate, Samuel W. Bodman III and are the owners of property located at This property is listed as a single family residence and has an appraised value of $1,533,400.
The following investigation was conducted by ISS at Houston, Texas.

On 12/20/2004, United States Attorney's Office (USAO), Southern District of Texas, 910 Travis, Suite 1500, Houston, Texas, telephone (713) 567-9738, advised that a computerized search of the USAO's pending and closed, civil and criminal files disclosed no current or past reference to the candidate, Samuel W. Bodman, III.
FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE PAST     Date: 12/22/2004

To: Houston

From: Administrative Services
Special Inquiry and General Background Investigations Unit (SIGBIU), Room 10861

Contact: Personnel Security Specialist Ext. 3661

Approved By: 

Drafted By: 

Case ID #: 161A-HQ-1337020 (Pending)

Title: SAMUEL WRIGHT BODMAN III
       PAS-LEVEL 1

Synopsis: Set forth leads, and background information, for receiving field office to contact

Administrative: Buded in captioned matter is 12/22/04. Deadline for completing and providing to SIGBIU results of investigation requested herein in COB 12/22/04.

Reference: 161A-HQ-1337020 serial 2

Details: Information necessary for receiving field office to conduct requested investigation is set forth herein, and/or has been previously provided with, referenced serials.

Set Lead 1: (ACTION)

HOUSTON

AT HOUSTON, TX

Investigation revealed that Mr. Bodman was an investor with several others, in a company called Carcon. This investor was indicted during the Bank of Credit and Commerce International (BCCI) investigation and fled the country. BCCI investigation was one of the largest bank fraud investigation which involved drug money laundering to finance terrorism and other frauds.

Interview [Name], former investor of Carcon, to discuss this BCCI investigation and the following questions: (1) have Mr. [Name] provide the names/whereabouts/phone numbers of additional investors in Carcon (2) nature of the company (3) how
To: Houston

Administrative Services

Re: 161A-HQ-1337020 12/22/2004

was Mr. Bodman involved with Carcon (4) whereabouts of another former Carcon investor, set leads to interview. (4) investigation disclosed that Mr. Bodman was an investor with in Carcon: Ask if he knows his involvement in Carcon and if Mr. Bodman had any type of personal/business relationship with Submit results to SIGBIU by COB 12/22/04.

PSS
FEDERAL BUREAU OF INVESTIGATION

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TITLE OF CASE
SAMUEL WRIGHT BODMAN III

REPORT MADE BY
PSS

CHARACTER OF CASE
LEVEL I - PAS


ADMINISTRATIVE: BUDED 12/22/2004

All individuals were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality, both limited and unlimited, have not been granted.

APPROVED

SPECIAL AGENT IN CHARGE

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COPIES MADE:
2-BUREAU (161A-HQ-1337020) -68
PSS
1-WFO

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Notations

COVER PAGE
UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Appointee was interviewed. Employments were verified and commented favorably. Residence was verified.

(RUC)
FEDERAL BUREAU OF INVESTIGATION

SAMUEL WRIGHT BODMAN, the appointee, Deputy Secretary, United States Department of Treasury, 1500 Pennsylvania Avenue, NW, Suite 3000, Washington, D.C., telephone number 202-622-1080, was advised of the official identity of the interviewing Agent, and the purpose of the interview, that being to insure the complete, accurate, and current information is available and obtained concerning the appointee to assist the Federal Bureau of Investigation (FBI) in conducting a thorough background investigation of the appointee for the position with the Federal Government for which the appointee is being considered. The appointee was advised of the scope of the questions asked during this interview would cover the appointee's entire adult life since age 18. The appointee was advised of the scope of the counseling questioning would be the same as the scope of the background investigation the FBI will conduct, that being the appointee's adult life since age 18. The appointee voluntarily provided the following information:

The appointee was shown a copy of the Standard Form 86 (SF-86) and supplement dated December 13, 2004, which the appointee had completed and signed. Each of the appointee's answers to the questions on the SF-86 and supplement were reviewed with the appointee to insure it's accuracy and completeness. The appointee advised that the written responses to each of the questions on the SF-86 and supplement which he had completed were accurate and correct to the best of his knowledge and recollection.

The appointee advised that he indicated on his SF-86 that he left the CABOT CORPORATION in March, 2001, and began with the United States Department of Commerce, in July, 2001. The appointee stated that between March and July, 2001, he was unemployed and was waiting for his final confirmation to his position at the United States Department of Commerce. The appointee stated that he took the opportunity to travel to the different CABOT locations to say goodbye to the employees at the different locations. The appointee stated that also during that time, he was preparing for his new job at the Department of Commerce.

Investigation on 12/16/2004 at Washington, D.C.

File # 161A-HQ-1337020 Date dictated 12/20/2004

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
The appointee advised that regarding foreign property possessions that he does own a home in Harbour Island, in the Bahamas.

The appointee stated that regarding the various investigations indicated on his SF-86, that they are all background investigations for security clearances for various positions and committees. The appointee stated that in March, 2001, he was investigated by the FBI for his position in the Department of Commerce. In December, 2003, he was investigated once again by the FBI for his current position at the Department of Treasury. The appointee stated that in 1990, he had a security background check done by the Department of State due to the fact that he was on the Executive Commission Member at MIT. The appointee stated in 1987, as a Trustee of the MITRE CORPORATION, he had a security background investigation conducted.

The appointee stated that he does not have a broker's license or an equivalent to a broker's license.

The appointee added that regarding his answer to question 17c, contact with foreign government, he has throughout the years due to his business both with CABOT and also with the Department of Commerce and Department of Treasury, he has had contact with representatives of foreign governments. The appointee stated, however it was nothing outside the scope of his duties and it was never any long term contact.

The appointee added that regarding question 18a, he has had extensive overseas travel, both business and pleasure. The appointee reiterated that his response would be the same as for question 17c, that he has had contact with representatives of foreign countries but nothing outside the scope of his duties and nothing on a long term basis.

The appointee stated that regarding question 1s on his supplement, that he does not hold any positions whatsoever in any of the companies listed. The appointee stated that the only thing that he possibly would have that he had when he came to the United States Department of Commerce all of his stock at the CABOT CORPORATION was placed in a blind trust. The appointee stated that due to the fact that the stock was in a blind trust, he is not sure if he even has it anymore.
The appointee reiterated that he does not currently hold any positions whatsoever in any of the companies or any of the trusteeships that he listed on question 1s of his supplement.

The appointee advised that he has not used, sold, purchased, manufactured, trafficked in, produced, transferred, shipped, or received any illegal drug substance, including marijuana. The appointee stated he has never abused prescription drugs or alcohol.

The appointee advised that he has not had any financial problems which resulted in, but were not limited to, property being repossessed, debts placed for collection, filing for bankruptcy, nor has he been the subject of a tax lien or legal judgement rendered concerning a debt, or had any delinquent student loans.

The appointee advised that he has not been involved in any civil matter as a plaintiff or a defendant other than his divorce.

The appointee stated that regarding the divorce that his former wife made numerous allegations against the appointee during the divorce. The appointee stated, however that he was still an executive at the CABOT CORPORATION during that time span, and that the CABOT CORPORATION did look into all the allegations and found that there was no basis for any of the allegations.

The appointee advised that he has not been involved in any criminal matters as a suspect or subject, nor has he ever been arrested for, or charged with, or convicted of any criminal offense.

The appointee advised he is not involved in any organization which advocates the use of force to overthrow the United States Government or any involvement in the commission of sabotage, espionage, or the assistance of others in terrorism.

The appointee advised that he has not been denied employment, dismissed from any employment, or left any employment under unfavorable circumstances, or been denied any security clearance by any agency within the Federal Government.
The appointee advised that he has not had any personal or continuing contact with representatives of any foreign nation outside the scope of his duties. The appointee stated, however that due to his extensive overseas business travel he has had contact with representatives of foreign countries, however none of it has ever been long term nor has any of it ever been on a continuous basis.

The appointee advised he has not received any type of psychological or psychiatric treatment or counseling.

The appointee advised that he has not been the subject of any professional complaints or non-judicial disciplinary action to the best of his knowledge. The appointee did state however that when he was with the CABOT CORPORATION, he was named in a suit by a former employee regarding discrimination in a pay scale. However, the appointee stated he was interviewed regarding the incident but was never made officially aware of the outcome of the suit and reiterated that due to his position in CABOT he was named as one of the parties.

The appointee advised that he has not been involved in any business or investment circumstances that could involve, or have involved, conflict of interest allegations.

The appointee advised he is not a member of any organization which restricts membership based upon sex, race, religion, or national origin.

The appointee advised that he is current on all of his Federal, state, and local tax obligations. The appointee advised he has not paid back taxes nor has he paid delinquent taxes.

The appointee advised that he is not concealing any activity or conduct that could be used to influence, pressure, coerce, or compromise him in any way, or that could have an adverse impact on his character, judgement, stability, discretion, trustworthiness, or responsibility.

The appointee advised that his oral responses to the above questions are true and correct to the best of his knowledge or recollection. The appointee advised that he is not aware of anything that could, would, or should prevent him from receiving a position with the United States Government or can be used to coerce or compromise him if he were to receive such a position.
SAMUEL WRIGHT BODMAN, the appointee, Deputy Secretary, United States Department of Treasury, 1500 Pennsylvania Avenue, NW, Washington, D.C., telephone 202-622-1080, was advised of the official identity of the interviewing Agent and the purpose of the interview.

The appointee stated that he was not aware of the fact that there was a complaint filed against him while he was at the U.S. Department of Commerce. The appointee stated he had no knowledge, whatsoever, regarding the hotline allegation that he was not suited for managing day-to-day operations. He stated he could not make any comment, whatsoever, regarding the issue since he was not aware of the issue.

The appointee stated that regarding an individual by the name of , he was not sure exactly who this individual was. The appointee stated that several years ago on behalf of his company and also on his personal behalf, he did make some investments in a company in Houston, Texas. The appointee stated that also making investments in the same company was an individual with an Arabic sounding name but the appointee was not sure if is the same individual. The appointee stated that he never had any contact with nor did he ever meet the individual that was making the investments in the company in Houston, Texas, nor to the best of his recollection has he ever met anybody by the name of .

The appointee stated that regarding CARCON, he has no continuing contact with anybody connected with that company and to the best of his knowledge, he believes the company is out of business. The appointee stated he has no information regarding a company called HARKON.

Investigation on at Washington, D.C.

File # 161A-HQ-1337020 Date dictated 12/21/2004 by SA
SAMUEL WRIGHT BODMAN, III, the appointee, Deputy Secretary, United States Department of Treasury, was advised of the official identity of the interviewing Agent and the purpose of the interview.

SAMUEL BODMAN stated that the company CARCON was an oil service business in Houston, Texas. BODMAN stated that CARCON was founded by [blurred]. BODMAN stated that he did not have any current contact information for [blurred]. BODMAN stated he did not have any readily available.

SAMUEL BODMAN stated that both [blurred] are friends of his. BODMAN stated that he did invest in [blurred]and that he was not sure of the exact amount of investment but stated from his memory he would estimate he invested somewhere between $100,000 to $200,000. BODMAN stated that he did not know how many investors there were in CARCON. BODMAN stated that CARCON is no longer in business. He stated that he believes that CARCON was sold to AMERICAN OIL AND GAS but he was not sure. BODMAN elaborated that [blurred] had many different ventures and that he, BODMAN, could not keep track of the current status of all of them and that is why he could not say positive what has exactly happened to CARCON but he knows it is no longer in existence. BODMAN stated that in view of the fact that it is no longer in existence, therefore there are no investors and therefore he does not have any contact with any "investors" in CARCON.

SAMUEL BODMAN, III, stated that he does not know what HARKON is.

SAMUEL BODMAN, III, stated that he is not familiar with C.T. CORPORATION at 101 Federal Street, Boston, Massachusetts. BODMAN stated that if he had more details such as the date that he was supposedly an officer and the president of C.T. CORPORATION, he may be able to supply more information. BODMAN elaborated that during his employments both at FIDELITY and also with CABOT that both companies had many subsidiaries and as an officer in either of those companies, FIDELITY or CABOT, his name was placed as being an officer and/or president of one of the subsidiaries. BODMAN stated
that C.T. may have been a subsidiary of one of the two companies but he simply does not recall.
EMPLOYMENT

United States Department of the Treasury (USDT)
1500 Pennsylvania Avenue, N. W.
Suite 3000
Washington, D.C.
February, 2004 - Present

The following investigation was conducted by Special Agent [Redacted] on December 16, 2004, regarding the appointee, SAMUEL WRIGHT BODMAN:

[Redacted] USDT, advised that he has known the appointee for about two years. [Redacted] stated that his association with the appointee is strictly on a professional basis. [Redacted] described the appointee as being very competent, trustworthy, intelligent, dedicated, loyal and honest.

Office of Terrorism and Financial Intelligence, advised that he has known the appointee since the early part of 2004. [Redacted] stated that his association with the appointee is strictly professional. [Redacted] stated that the appointee is his immediate Supervisor. [Redacted] described the appointee as "a gem." [Redacted] stated that the appointee is competent, thorough, honest, trustworthy and dependable.

[Redacted] advised that she has known the appointee since February, 2004. [Redacted] stated that she is the appointee's Executive Assistant, and has gotten to know the appointee very well. [Redacted] stated that her association with the appointee is mainly professional, however, she has been to the appointee's home and has met the appointee's wife. [Redacted] described the appointee as being an excellent Supervisor who is competent, compassionate, honest, trustworthy and dependable.

[Redacted] advised that she has known the appointee since June, 2002. [Redacted] stated that her association with the appointee is mainly professional, but she knows the appointee also on a semi-social basis. [Redacted] described the appointee as being a very likeable individual who is very hardworking, competent,
trustworthy, and very easy to get along with.

advised that he has known the appointee since February, 2004. stated that his association with the appointee is strictly on a professional basis. described the appointee as being a very competent, trustworthy, dependable, and likeable individual.

advised that he has known the appointee since February, 2004. stated that his association with the appointee is strictly professional. stated that he has regular contact with the appointee who he described as being a delightful person, who is very competent, open, trustworthy and dependable.

advised that he has known the appointee since February, 2004. stated that his association with the appointee semi-socially. stated that he would describe the appointee as "top-notch," who is very honest, trustworthy, competent, and very easy to work with.

While being interviewed, all of the above listed individuals stated that they know of nothing derogatory about the appointee. None of the individuals contacted had any knowledge of the appointee ever using, selling, purchasing, manufacturing, trafficking in, producing, transferring, shipping, or receiving any illegal drug substance, including marijuana. All of the above listed individuals stated they have no knowledge of the appointee ever abusing prescription drugs or alcohol.

According to all of the above listed individuals, there is no reason to question the appointee’s character, associates, reputation or loyalty to the United States Government. All of the above listed individuals stated that they would have no concerns whatsoever with the appointee
being around or handling classified or sensitive documents.

According to all of the above individuals, the appointee is in good physical condition, is emotionally and financially stable, and is an unbiased person. None of the individuals contacted were aware of anything that could be used to blackmail or coerce the appointee. In closing, all of the above listed individuals stated that they would recommend the appointee for a position of trust with the United States Government.
EMPLOYMENT

United States (U.S.) Department of Commerce
14th and Constitution Avenue, NW
Washington, D.C.
July, 2001 - February, 2004

The following investigation was conducted by Special Agent (SA) [Redacted] on December 20, 2004, regarding the appointee, SAMUEL WRIGHT BODMAN III:

[Redacted] U.S. Department of Commerce, advised that he has known the appointee probably for about 14 years. [Redacted] stated that his association with the appointee is mainly on a professional basis but he does know the appointee semi-socially. [Redacted] stated that the appointee was the Deputy Secretary of Commerce when the appointee worked at the U.S. Department of Commerce.

[Redacted] described the appointee as being an extremely hardworking, competent, intelligent, likeable, trustworthy and reliable individual.

[Redacted] advised that she has known the appointee since 2001. [Redacted] stated that she was the appointee's [Redacted] when the appointee was the Deputy Secretary of Commerce from July, 2001 through February, 2004. [Redacted] stated that her association with the appointee is both professional and also semi-social. [Redacted] stated she has maintained contact with the appointee since he left the Department of Commerce just under a year ago.

[Redacted] describes the appointee as being one of the better bosses she has ever had, a person who is very pleasant, considerate, conscientious, intelligent, and very hardworking.

[Redacted] advised that she has known the appointee since approximately the beginning of 2003. [Redacted] stated she worked closely with the appointee while the appointee was with the U.S. Department of Commerce. [Redacted] stated she has seen the appointee at several occasions since the appointee left the Commerce in
February, 2004. [redacted] stated that her association with the appointee is mainly professional. [redacted] described the appointee as a person who is very intelligent, hardworking, competent and a very pleasant and honest individual.

[redacted] of the National Oceanic and Atmospheric Administration, advised that he has known the appointee probably for over 40 years. [redacted] stated that when he was a student at the Massachusetts Institute of Technology (MIT), the appointee also was a student there. [redacted] stated that the appointee was one year ahead of him at MIT but yet they were good friends. [redacted] stated that over the years he and the appointee have remained close friends both professionally and socially. [redacted] stated that he feels that the appointee is one of the more competent, reliable, trustworthy, and intelligent individuals. [redacted] stated that even though the appointee left the Department of Commerce, he has had lunch with the appointee on numerous times. They have been at each other's home and do remain in a very close relationship.

[redacted] advised that she has known the appointee since late 2000. [redacted] stated her association with the appointee is strictly on a professional basis through the Department of Commerce. [redacted] added that she would describe the appointee as being a very polite individual who is enthusiastic, trustworthy, dependable, and a very honest and well-respected individual.

While being interviewed, all the above listed individuals stated they knew of nothing derogatory about the appointee. None of the individuals contacted had any knowledge of the appointee ever using, selling, purchasing, manufacturing, trafficking in, producing, transferring, shipping, or receiving any illegal drug substance, including marijuana. All of the above listed individuals stated they had no knowledge of the appointee ever abusing prescription drugs or alcohol.
According to all of the above listed individuals, there is no reason to question the appointee's character, associates, reputation or loyalty to the U.S. Government. All of the above listed individuals stated they would have no concerns whatsoever with the appointee being around or handling classified or sensitive material.

All of the above listed individuals added that they feel the appointee is in good physical condition, is emotionally and financially stable, and is an unbiased person. None of the individuals contacted was aware of anything that could be used to blackmail or coerce the appointee.

In closing, all advise that they would recommend the appointee for a position of trust and confidence with the U.S. Government.
EMPLOYMENT

United States (US) Department of Commerce
14th and Constitution Avenue, N. W.
Washington, D.C.
July, 2001 - February, 2004

The following investigation was conducted by Special Agent (SA) [redacted] on December 20, 2004, regarding the appointee, SAMUEL WRIGHT BODMAN:

[redacted] advised that he has known the appointee for approximately three years. [redacted] stated that as a matter of fact he is the individual who replaced the appointee as [redacted] when the appointee moved from the Department of Commerce to the Department of Treasury.

[redacted] stated that his association with the appointee is both professional and social. [redacted] described the appointee as being a very energetic individual who is hardworking, trustworthy, dependable, likeable, and very easy to get along with. [redacted] stated that he knows of nothing derogatory about the appointee nor has he ever heard of anything derogatory about the appointee.

[redacted] added he has no knowledge of the appointee ever using, selling, purchasing, manufacturing, trafficking in, producing, transferring, shipping, or receiving any illegal drug substance, including marijuana. [redacted] stated that he has no knowledge of the appointee ever abusing prescription drugs or alcohol. [redacted] further advised that he has no knowledge that the appointee has illegally used a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official; while possessing a security clearance; or while in a position directly and immediately affecting the public safety.

According to [redacted] there is no reason to question the appointee's character, associates, reputation, or loyalty to the US Government. [redacted] added that he would have no concerns whatsoever with the appointee being around or handling classified or sensitive documents.
added that in his opinion the appointee is in good physical condition, is emotionally and financially stable, and is an unbiased person. stated that he is unaware of anything that could be used to blackmail or coerce the appointee. In closing stated that he would enthusiastically recommend the appointee for a position of trust and confidence with the US Government.
RESIDENCE

3025 Whitehaven Street, N. W.
Washington, D.C.
July, 2001 - Present

The following investigation was conducted by Special on December 16, 2004, regarding the appointee, SAMUEL WRIGHT BODMAN:

advised that he has known the appointee for probably close to four years. stated that the appointee lives at 3025 Whitehaven Street, N. W., and that he, first met the appointee when the appointee moved into that address. stated he was not sure of the exact date that the appointee moved in, but added that it was approximately three and a half or four years ago.

described the appointee as being an excellent neighbor, was very thoughtful, intelligent, trustworthy, dedicated, and a likeable person. stated that his association with the appointee is strictly on a professional basis.

advised that she has known the appointee for about three and a half or four years. stated that she first met the appointee when he moved into his property at 3025 Whitehaven Street, N. W., Washington, D.C. stated that she does know the appointee strictly on a social basis.

stated that she has been to the appointee’s home on numerous occasions for dinner, and that the appointee and his wife have been in her home for dinner. described the appointee as being a very intelligent, likeable, and trustworthy individual.

While being interviewed, both and stated they know nothing derogatory about the appointee. Neither individual had any knowledge of the appointee using, selling, purchasing, manufacturing, trafficking in, producing, transferring, shipping, or receiving any illegal drug substance, including marijuana.
Both individuals indicated they had no knowledge of the appointee ever abusing prescription drugs or alcohol.

According to the two above listed individuals, there is no reason to question the appointee's character, associates, reputation or loyalty to the United States Government. Neither individual had any concern whatsoever with the appointee being around or handling classified or sensitive documents.

Both added that they feel the appointee is in good physical condition, is emotionally and financially stable, and is an unbiased person. Neither individual was aware of anything that could be used to blackmail or coerce the appointee. Both stated they would recommend the appointee for a position of trust with the United States Government.
**FEDERAL BUREAU OF INVESTIGATION**

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- CLOSED -

ADMINISTRATIVE: BUDED: 12/22/2004

Where appropriate, Privacy Act(e)(3) data was furnished to persons interviewed. Express promise of confidentiality, both limited and unlimited have been noted where granted.

Electronic and General Indices check concerning the following SAMUEL WRIGHT BODMAN, III, (applicant) and [relative] revealed negative results.

APPROVED

SPECIAL AGENT IN CHARGE

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SIGBIU, ROOM 10861

ATTN b6 b7C

1 - WFO (161A-HQ-1337020)

DISSEMINATION RECORD OF ATTACHED REPORT

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Cover Page
UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:  
Report of: b6  
Date: 12/22/2004  
Office: WFO  
Case ID #: 161A-HQ-1337020  
Title: SAMUEL WRIGHT BODMAN, III  
Character: Level I PA/PAS  
Synopsis: PK PD DC, USAO/DC, MPD, DOT IG/SY/OPF, USAOVA, DOC IG/SY, FDIC IG/SY, SECURITIES AND EXCHANGE COMMISSION, D.C. RECORDER OF DEEDS, WH, USSS, DMV DC, AND DOJ RECORDS WERE CHECKED CONCERNING BODMAN.

- CLOSED -

DETAILS

WFO INVESTIGATION IS COMPLETE.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
LAW ENFORCEMENT AGENCY CHECK

NATIONAL PARK SERVICE
United States Park Police Department
1100 Ohio Drive, Southwest
Washington, D.C.

On December 17, 2004, Investigative Analyst (IA) caused a search to be made of the files at the United States Park Police Department, Washington, D.C., concerning SAMUEL WRIGHT BODMAN III.

On December 21, 2004, advised that no identifiable Adult Criminal records could be located concerning BODMAN.

On December 16, 2004, U.S. Attorneys Office, Narcotics, Public Corruption, Economic Crime, Transnational and Major Crimes Unit, advised that no identifiable record could be located regarding BODMAN.

On December 16, 2004, U.S. Attorneys Office, Civil Division, advised that no identifiable record could be located regarding BODMAN.

It should be noted that the index system of the Civil Division, U.S. Attorneys Office USAO contains only names of plaintiffs, except in civil actions brought by the United States against a particular defendant. Suits against government employees who are represented by the United States Attorney would be filed by plaintiffs name and docket number.

No additional information was located.
On December 21, 2004, [redacted] caused a search to be made of the records of the Washington Area Law Enforcement System (WALES), Metropolitan Police Department, Washington, D.C., located at the FBI/WFO Communication Center concerning SAMUEL WRIGHT BODMAN, III, DOB: November 26, 1938. No identifiable record was located concerning BODMAN, III.
RECORD CHECK

DEPARTMENT OF TREASURY (DOT)
Office of Inspector General
1500 Pennsylvania Avenue, N.W.
Washington, D.C.

On December 17, 2004, Investigative Analyst (IA) contacted Office of Inspector General, concerning SAMUEL WRIGHT BODMAN, III.

On the same date advised that a search of DOT files revealed no record.

No additional information was available.
OFFICIAL PERSONNEL FOLDER (OPF)

DEPARTMENT OF TREASURY
Human Resources Division
1500 Pennsylvania Avenue, N.W.
Washington, D.C.

On December 17, 2004, Investigative Analyst (IA) reviewed the Official Personnel Folder (OPF) of SAMUEL WRIGHT BODMAN, III and obtained the following information:

July 19, 2004: Senate confirms BODMAN as Deputy Secretary of Commerce

July 25, 2001: Excepted Appointment, Deputy Secretary of Commerce, $145,000

February 13, 2004: BODMAN resigns as Deputy Secretary of Commerce

February 12, 2004: Senate confirms BODMAN as Deputy Secretary of Treasury

February 16, 2004: Termination

February 17, 2004: Excepted Appointment; Department of Treasury, Deputy Secretary of Treasury; $157,000

No additional information was available.
RECORD CHECK

DEPARTMENT OF TREASURY
Office of Security
1500 Pennsylvania Avenue, N.W.
Washington, D.C.

On December 17, 2004, Investigative Support Specialist (ISS) received a request to obtain security and/or clearance information for SAMUEL WRIGHT BODMAN, III.


No additional information was available.

On December 22, 2004, conducted a search of all pending/closed civil and criminal indexes. The search disclosed the following:

A search of the database, which covers the period from 1985 to the present and encompasses the Alexandria, Newport News, Norfolk and Richmond regional area in addition to the United States Magistrate Courts, disclosed no record concerning BODMAN.

further advised that an additional search of their Index Card System which covers the period prior to 1985 revealed no record concerning BODMAN.
RECORD CHECK

U.S. DEPARTMENT OF COMMERCE
Office of the Inspector General
14th & Constitution Avenue, Northwest
Washington, D.C.

On December 17, 2004, Investigative Analyst (IA) requested a search to be made of the records at the above agency concerning SAMUEL WRIGHT BODMAN II, date of birth: November 26, 1938, SSAN: 329-32-7756.

On December 21, 2004 Office of the Inspector General, Program Analyst, advised no record was located concerning BODMAN.
RECORD CHECK

U.S. DEPARTMENT OF COMMERCE
Office of Security
14th Street & Constitution Avenue, Northwest
Washington, D.C.

On December 17, 2004, Investigative Analyst (IA) requested a search to be made of the records at the above agency concerning SAMUEL WRIGHT BODMAN III, date of birth: November 26, 1938, SSAN: 329-32-7756.


No additional information was available.
WFO-161A-HQ-1337020
DOM:dom
1

RECORD CHECK

FEDERAL DEPOSIT INSURANCE CORPORATION

Office of Inspector General
1730 Pennsylvania Avenue, Northwest
Washington, D.C.

On December 15, 2004, Investigative Support Specialist requested records be searched at the above agency for information regarding SAMUEL WRIGHT BODMAN, III, born November 26, 1938, with social security number 329327756.

On December 16, 2004, Investigative Specialist, FDIC, advised that no record was found concerning BODMAN.
RECORD CHECK

FEDERAL DEPOSIT INSURANCE CORPORATION

Security Office
1730 Pennsylvania Avenue, Northwest
Washington, D.C.

On December 15, 2004, Investigative Support Specialist requested a search of the records at the above agency for information regarding SAMUEL WRIGHT BODMAN III, date of birth: November 26, 1938 and social security number 329327756.

On December 21, 2004, FDIC, advised ISS that no record was found concerning BODMAN. No additional information was obtained.
RECORD CHECK

FEDERAL DEPOSIT INSURANCE CORPORATION

Office of Inspector General
1730 Pennsylvania Avenue, Northwest
Washington, D.C.

On December 15, 2004, Investigative Support Specialist requested records be searched at the above agency for information regarding SAMUEL WRIGHT BODMAN, III, born November 26, 1938, with social security number 329327756.

On December 16, 2004, FDIC advised ISS that no record was found concerning BODMAN.
U.S. SECURITIES AND EXCHANGE COMMISSION (SEC)
Office of Security
450 5th Street, Northwest,
Washington, D. C. (WDC)

On December 15, 2004, Investigative Support Specialist requested a search of the records at the above agency for information regarding SAMUEL WRIGHT BODMAN, III.

On December 16, 2004 advised ISS that no security information was located concerning BODMAN.

A review of the records concerning BODMAN's District of Columbia property located at 3025 Whitehaven Street, Northwest, Washington, D.C., also known as Square 2147, Lot #0046, determined no liens, judgements and/or restrictive covenants were filed against Mr. BODMAN.

Further review of the records revealed a Deed of Trust (document #2001038823) was made on April 11, 2001, between (surviving tenant of LEWIS T. PRESTON who died on or about May 4, 1995, in the District of Columbia) and SAMUEL W. BODMAN and husband and wife in the amount of ten dollars ($10.00).

No additional or pertinent information was available concerning BODMAN's property.
On December 17, 2004, Investigative Support Specialist (ISS) contacted Executive Clerk’s Office, concerning SAMUEL WRIGHT BODMAN, III. On the same date advised the following:

On June 18, 2001, BODMAN was nominated to serve as the Deputy Secretary of Commerce; confirmed on July 19, 2001; and appointed July 25, 2001.

On December 9, 2003, BODMAN was nominated to serve as Deputy Secretary of the Treasury; confirmed on February 12, 2004; and appointed February 17, 2004.

Also advised that the President announced his intention to appoint BODMAN Secretary of Energy, however, no announcement date was available.

No additional information was available.
RECORD CHECK

UNITED STATES SECRET SERVICE
Investigative Support Division
950 H Street, N.W.
Washington, D.C.

The following Investigation was conducted by Investigative Support Specialist (ISS) on December 17, 2004, ISS submitted a request to the United States Secret Service for any information concerning SAMUEL WRIGHT BODMAN, III.

On the same date advised that a query of the Master Central Index System disclosed that BODMAN is a White House Pass Holder with no criminal record.

No additional information was available.

A review of the ten year record request disclosed that the above named individual(s) has no motor vehicle operators permit and/or driver record in the data communications system computer files.
On December 17, 2004, Investigative Analyst (IA) initiated a search of the records at the Public Integrity Section in an effort to locate any information regarding, SAMUEL WRIGHT BODMAN, III.

On the same date, advised a review of the integrity files revealed no identifiable record concerning BODMAN or any affiliations.
On December 16, 2004, Investigative Support Specialist (ISS) received and initiated an inquiry at the National Association of Securities Dealers (NASD) regarding any broker's license concerning SAMUEL WRIGHT BODMAN, III.

NASD, provided for review to ISS a copy of the records for BODMAN, Central Registration Depository (CRD)# 877895.

A review of the records disclosed that the NASD WebCRD records indicate the following registration history for SAMUEL WRIGHT BODMAN, III, Social Security Account Number 329-32-7756:

05/10/1970 - 03/30/1987 Fidelity Distributors Corporation (CRD # 6848)

01/18/1979 - 04/09/1987 Fidelity Brokerage Services, LLC (CRD # 7784)

07/21/1986 - 04/09/1987 Fidelity Investments Institutional Services Company, Incorporated (CRD # 17507)

No additional data was obtained.
RECORD CHECK

U.S. SECURITIES AND EXCHANGE COMMISSION (SEC)
Enforcement Division
450 5th Street, Northwest
Washington, D.C.

On December 15, 2004, Investigative Support Speciali... requested records to be searched at the above agency, to locate any information concerning SAMUEL WRIGHT BODMAN III born November 26, 1938 with social security number 329327756. An additional search was requested concerning Fidelity Investments, 82 Devonshire Street, Boston, Massachusetts. BODMAN was President/COO, June 1970 - December 1986.

On December 20, 2004 Enforcement Division advised ISS... that no record was found regarding BODMAN or Fidelity Investments.

No additional information was provided.
FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 12/22/2004  Date: 12/21/2004

To:  Administrative Services  Attn:  SIGBIUL, Room 10861
From:  New Haven Communications Unit

Contact:  

Approved By:  
Drafted By:  

Case ID #:  161A-HQ-1337020 (Pending)
Title:  SAMUEL WRIGHT BODMAN, III LEVEL I-PAS

Synopsis: Indices conducted at New Haven with negative results.
Reference:  161A-HQ-1337020 Serial 54
Details: A check of the New Haven office indices proved negative for the candidate and listed relative.
LEAD(s):

Set Lead 1: (Info)

ADMINISTRATIVE SERVICES

AT WASHINGTON D.C.

Lead at New Haven completed.
FEDERAL BUREAU OF INVESTIGATION

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<td>RE FBIHQ EC TO BOSTON DATED 12/15/2004</td>
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- PENDING (ALL BS Investigative Support Specialist leads completed)

ADMINISTRATIVE: A review of Boston's general and computer indices conducted by Support Service Technician, failed to locate any identifiable information regarding the appointee.

DISSEMINATION RECORD OF ATTACHED REPORT

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Notations

COVER PAGE
UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: ISS  
Date: 12/20/2004  
Case ID #: 161A-HQ-1337020  
Title: SAMUEL WRIGHT BODMAN, III

Character: LEVEL 1 - PRESIDENTIAL APPOINTEE
SENATE CONFIRMATION

Synopsis: Massachusetts Criminal negative; Massachusetts United
States Attorney Check negative; Massachusetts Registry
of Motor Vehicles positive.

DETAILS:

Boston Investigative Support Specialist leads
completed.
MASSACHUSETTS CRIMINAL

On 12/7/04 NCIC Operator conducted a computerized search of the Massachusetts Criminal History Systems Board, which includes arrest data from all city, county, and town governments in the Commonwealth of Massachusetts. No identifiable information was located regarding appointee, SAMUEL WRIGHT BODMAN, DOB 11/26/1938.
UNITED STATES ATTORNEY CHECK

On 12/20/04, Docketing Section, United States Attorney's Office, District of Massachusetts, Boston, Massachusetts, advised there is no civil or criminal prosecutorial action filed against appointee, SAMUEL WRIGHT BODMAN, III, DOB 11/26/1938.
REGISTRY OF MOTOR VEHICLES (RMV)

On 12/17/04 the files of the Massachusetts Registry of Motor Vehicles were queried. The results revealed that the appointee has an expired Massachusetts driver's license which expired on 11/26/2004. The driver history for the appointee revealed the following incidents:

1. 6/19/1986, No Inspection Sticker, Tisbury, Massachusetts. Appointee was held responsible for this violation and fine was paid.

2. 5/13/1993, No Inspection Sticker, Weston, Massachusetts. Appointee was held responsible for this violation and fine was paid.

3. 9/1/1996, No Inspection Sticker, Tisbury, Massachusetts. Appointee was held responsible for this violation and fine was paid.
FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 12/22/2004
Date: 12/22/2004

To: Administrative Services
Attn: PSS
SIGBIU - Room 10861

From: Chicago
CR-1
Contact: ISS

Approved By: ISS

Drafted By: ISS

Case ID #: 161A-HQ-1337020

Title: SAMUEL WRIGHT BODMAN, III
LEVEL I-PAS

Synopsis: Lead covered. Results of background investigation.

Reference: 161A-HQ-1337020 Serial 54

Details:

ARREST:

On December 20, 2004, Alpha Clerk at Chicago Police Department advised ISS at a search of their arrest records regarding Samuel Wright Bodman, III was negative.

On December 17, 2004, Illinois State Police, Bureau of Identification, Joliet, advised ISS that a search of their arrest records regarding Samuel Wright Bodman, III was negative.

On December 20, 2004, Record Clerk at Northfield Police Department advised ISS that a search of their arrest records regarding Samuel Wright Bodman, III was negative.

UNITED STATES ATTORNEY'S OFFICE:

On December 21, 2004, Record Clerk at the U.S. Attorney's Office 219 S. Dearborn, Chicago, Illinois advised ISS that a search of their civil and criminal records including both pending and closed cases regarding the candidate Samuel Wright Bodman, III was negative.
To: Administrative Services  From: Chicago
Re: 161A-HQ-1337020, 12/22/2004

EMPLOYMENT:

PURE OIL CO. (Research Laboratory)
Crystal Lake, IL
06/1959 - 09/1959
06/1958 - 09/1958

On December 15, 2004, A/SSA conducted various database checks to locate this business in Crystal Lake, Illinois with negative results. Pure Oil Company appears no longer exists. Based on the information provided.

INDICES:

A search of Chicago's ACS Indices regarding the candidate and the candidate's relative was negative.

Chicago considers lead 5 serial 54 covered and is conducting no further investigation.
To: Administrative Services  From: Chicago
Re: 161A-HQ-1337020,  12/22/2004

LEAD(s):

Set Lead 1:  (Info)

ADMINISTRATIVE SERVICES
AT WASHINGTON, D.C.

Read and clear.

TITLE OF CASE
SAMUEL WRIGHT BODMAN, III

REPORT MADE BY
SAMUEL WRIGHT BODMAN, III

TYPED BY
b6 nbb

CHARACTER OF CASE
BACKGROUND INVESTIGATION
LEVEL I - PAS

BUDED: 12/22/2004


ADMINISTRATIVE:

All persons interviewed herein were apprised of the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

No derogatory information developed as a result of this investigation.

Note differences in dates of employment at the Massachusetts Institute of Technology as reported versus dates detailed in candidate's SF-86. Due to noted differences, candidate's education was re-verified. Additionally, possibility exists that listed references may have not been entirely forthcoming with information pertaining to the complaint, finding, and settlement as determined by the
Massachusetts Commission Against Discrimination as reported in previous communications.

No personnel file record review was conducted at FIDELITY INVESTMENTS due to the candidate's employment pre-dates electronic storage and records are destroyed within seven years of an employee leaving the company.

Report of CABOT CORPORATION employment verification, interview of General Counsel, and record review to be sent under separately per telephone conversation with PSS on 12/22/04.

ENCLOSURES:

1. FD-340c containing true copy of Massachusetts Securities Division report.

2. FD-340c containing Massachusetts Institute of Technology transcript.

3. FD-340 containing original notes from interview of

4. FD-340 containing original notes from interview of

5. FD-340 containing original notes from interview of

6. FD-340 containing original notes from interview of FIDELITY INVESTMENTS.

7. FD-340 containing original notes from interview of FIDELITY INVESTMENTS.
On 12/21/2004, was interviewed at the FBI Boston Field Office located at One Center Plaza, Boston, Massachusetts, in reference to the nomination of SAMUEL WRIGHT BODMAN, III (hereafter referred to as BODMAN). After being advised of the identity of the interviewing Agents and the provisions of the Privacy Act of 1974, elected not to invoke confidentiality and therefore an express promise of confidentiality was not granted. then voluntarily provided the following information:

now retired, has known BODMAN since the early 1980's when he was the Director of BODMAN's company, CABOT CORPORATION (CABOT). He recalled being interviewed by the FBI about two years ago when BODMAN was nominated to the position of Deputy Secretary of Commerce.

estimated that he has known BODMAN professionally and socially for approximately 20 years. He last saw BODMAN in Washington, D.C., sometime in February or March of last year and recalled that he and his wife had dinner with BODMAN and his wife during their visit. Since that visit, he has not spoken with BODMAN by telephone as he knows that his present occupation as Deputy Secretary of the Treasury keeps him extremely busy.

With regard to BODMAN's character, he stated that BODMAN's relations with friends and associates have always been reliable and straightforward. He emphasized that BODMAN is "highly reliable" and is a man of "high integrity." He has been comfortable talking with BODMAN about his personal family decisions and described him as a "highly discrete" person. BODMAN was held in high regard by his former co-workers at CABOT and recalled many being "devastated" when he left the company for his position with the government.

To his knowledge, BODMAN has never associated with any types of persons, groups, organizations, or movements of a disreputable nature. He described BODMAN as a man of high intellect, energy, and as having a "bevy of very close friends."
recalled BODMAN having a close association with business and education and the MASSACHUSETTS INSTITUTE OF TECHNOLOGY (MIT). The only person he knows who was not happy with BODMAN was BODMAN's ex-wife.

described BODMAN's reputation and standing in the community as "quite high." He knows BODMAN to have been the Chairman of the Board for the GARDNER MUSEUM, and had heard rumors to the effect that BODMAN was, at one time, being considered for the position of President of MIT.

Although he knows BODMAN to have traveled the world extensively for business on behalf of CABOT and has met with numerous representatives of foreign countries, he does not know of any actions of or statements made by BODMAN which could be seen as sympathetic to other foreign governments. He stated that BODMAN is a "very loyal" United States citizen and has no reason to doubt BODMAN's loyalty to the United States or its constituted form of government.

With regard to BODMAN's qualifications and ability, believes that BODMAN is one of two of the most competent managers he has ever worked with. The other was manager was CHUCK DALTON, now deceased and former president of a company located in Fitchburg, Massachusetts. He characterized BODMAN as an "excellent" and "outstanding" leader who consistently displays intellect, makes thoughtful decisions, is sensitive to various situations, and worked well with the CABOT Board of Directors. He could not recall any occasion to question BODMAN's suitability for the position of Secretary of Energy and did not know of any instance involving of BODMAN having any issues with delinquency, misconduct, criminal/disgraceful conduct, or being deceptive. He has never known BODMAN to refuse to furnish testimony required by civil service rules and did know if BODMAN had ever had to testify under such rules in the past.

has never witnessed an overuse of intoxicating beverages, but knows BODMAN to occasionally have a drink of scotch and added that BODMAN is "not a heavy drinker."

has never known BODMAN to abuse alcohol/prescription drugs, or use/possess any illegal drugs/controlled substances, or been involved in the illegal purchase, manufacture, trafficking, shipping, receiving, or sale of any illegal drugs/controlled substances. Additionally, he has
never BODMAN to habitually use drugs/intoxicating beverages, or act in a manner that could be construed as criminal, dishonest, immoral, or sexually perverted.

At no time has he witnessed or known of any of BODMAN's behavior, activities, or associates which would tend to show that he is not reliable or trustworthy. Could not recall any situation where BODMAN has made any deliberate misrepresentations, falsifications, or omission of material facts.

Could not recall BODMAN having ever been sick, other than the occasional cold, and did not know of any illness or mental condition of a nature which may cause a significant defect in judgement or reliability. He knows BODMAN to have had a long-standing professional relationship with his physician at MASSACHUSETTS GENERAL HOSPITAL.

There are no facts known to which furnish a reason to believe that BODMAN may be subjected to coercion, influence, or pressure which may cause him to act contrary to the best interests of national security.

Has no knowledge of BODMAN having committed any acts of sabotage, treason, espionage, terrorism, sedition, or conspiring with others to commit the same.

Despite BODMAN's extensive dealings in capital ventures with numerous foreign governments and global travel for pleasure, did not know of any established or continuance of a sympathetic relationship with individuals, groups, or foreign nations whose interests may be inimical of the United States or advocates the overthrow of the United States government by unconstitutional means including force or violence. He also could not recall any attempt by BODMAN to perform duties so as to serve the interests of another government in preference to the interests of the United States.

Did not know if BODMAN owned any properties overseas, but is aware of his vacation homes in Palm Beach, Florida, and on the island of Martha's Vineyard, Massachusetts.

BODMAN has no membership known to that has the specific intent in furthering aims of associations or movements which unlawfully advocate or practice the commission of acts of
force or violence in order to prevent others from exercising their rights under the United States Constitution.

[Signature]
did not know of any time when BODMAN has intentionally, or in an unauthorized manner, disclosed security information to any person prohibited by law. BODMAN has never displayed a willful disregard of security regulations. [Signature] added that he was the former Chief Executive Officer (CEO) of a company charged with handling classified information and material for a sensitive government project and therefore takes the matter of security very seriously.

Although he knows BODMAN to routinely testify before Congress (especially on budget issues), [Signature] does not know of any instance when BODMAN refused, upon ground of constitutional privilege against self-incrimination, to testify before a congressional committee regarding charges of disloyalty or other misconduct.

[Signature] has no knowledge or witnessed BODMAN exhibiting bias or prejudice against any class of citizens, or any religious, or ethnic group. He noted that the only "sticky" issue for BODMAN was his divorce and is the only example he can recall of a situation where BODMAN had a "personal confrontation" with another person, which in the aforementioned situation was BODMAN's ex-wife.

[Signature] recommended the candidate for a position of trust and confidence within the United States government and stated, "he could not think of anyone better for the job of Secretary of Energy."
FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/21/2004

number to the President of THE WORLD BANK, 1818 H Street NW, Washington D.C., telephone number 202-458-2252, was interviewed in reference to the nomination of SAMUEL WRIGHT BODMAN III (BODMAN) for United States Secretary of Energy. The interview was conducted at the office keeps at HARVARD BUSINESS SCHOOL (HARVARD), Gallatin Hall, Boston, Massachusetts (MA), telephone number 617-495-6002, e-mail address , home telephone number is . After being advised of the identity of the Agents and the nature of the interview, provided the following information:

is a Canadian citizen. To his knowledge has never been issued a Canadian Social Insurance Number. holds a United States work authorization permit. held his position as of HARVARD from 1980 through 1996. Although is no longer employed by HARVARD, the university provides him an office where he has averaged one to two days per week of his time for the past nine years. consults with HARVARD's current dean on a regular basis, but does not attend administrative meetings at the university.

In 1990 was asked to be a member of CABOT CORPORATION's (CABOT) board of directors by BODMAN when BODMAN was successfully acting as CABOT's chief executive officer (CEO). remains a member of CABOT's board to this day.

As to the President of THE WORLD BANK, averages two days per week at THE WORLD BANK where his focus has been on spending time with the rebuilding the top management team. also spends time consulting with Boston, MA mayor, THOMAS M. MENINO, primarily on issues revolving around health care.

has known BODMAN for approximately twenty-five years. stated that he knew BODMAN "really well" and considered BODMAN a "real friend." knew of BODMAN from BODMAN's employment with FIDELITY INVESTMENTS (FIDELITY). and BODMAN were also both business professionals in the Boston, MA area and interacted occasionally as a result. After BODMAN's first wife died, BODMAN dated a woman who was a member of the HARVARD

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faculty. Because of that relationship, often saw BODMAN at HARVARD. That woman eventually became BODMAN's second wife and subsequently his former spouse.

and BODMAN also both attend an annual Kentucky Derby day sponsored by a family in Wellesley, MA. receives calls from BODMAN approximately four times per year to discuss issues that relate to position at THE WORLD BANK. Approximately one year prior to the interview attended BODMAN's swearing in as United States Deputy Secretary of Treasury in Washington D.C. Approximately one week prior to the interview had dinner with BODMAN to celebrate BODMAN's presidential nomination for United States Secretary of Energy.

recalled having been interviewed by the FBI regarding BODMAN approximately four years prior when BODMAN was the subject of a background investigation for the position of United States Deputy Secretary of Commerce. An Agent followed up that interview with a second telephonic interview with.

After teaching at CORNELL UNIVERSITY and MASSACHUSETTS INSTITUTE OF TECHNOLOGY (MIT), BODMAN pursued venture capital opportunities in Boston, MA which led him to the number two position at FIDELITY. When BODMAN came to the realization that the number one position at FIDELITY was likely to be succeeded by the daughter of FIDELITY's top manager, BODMAN moved to CABOT where he became CEO until accepting his position as United States Deputy Secretary of Commerce.

BODMAN's first wife died in her early thirties. BODMAN was left to raise three children. BODMAN eventually remarried, divorced and is currently married to his third wife.

BODMAN was described as a very smart individual who takes his work very seriously and is "squared away." He was characterized as a "good guy" who is honest and possesses strong Midwestern values. BODMAN also embraces a community oriented quality.

Having had an education in the sciences, BODMAN approaches life with a sense of curiosity and seems to enjoy puzzles. expanded on this by providing an example of BODMAN's direction with the research and development of CABOT's carbon black by-products. BODMAN identified that carbon black could produce multiple products. He subsequently aspired to
identify which commodities could yield the greatest value to the corporation. identified CABOT's carbon black production as the corporation's primary "cash cow," producing one half of the world's market. provided another example of BODMAN's breadth of analytical thinking by describing how BODMAN stepped back from the actual uses of carbon black to identify how CABOT could more efficiently execute the drilling process used to acquire carbon black.

is perceived as a caring person. Employees enjoy working for him because of the support and loyalty he provides. stressed that this is not to be interpreted that BODMAN is a push-over. To the contrary, BODMAN has made decisions to close production plants around the world and has maintained the respect of his employees. provided the example that CABOT's corporate offices are constructed with industrial decor to resemble the factories in which the majority of CABOT's employees spend their time. BODMAN believed this would keep management cognizant of the CABOT employees who perform the day to day labor.

When first asked BODMAN to join his staff in Washington D.C. many of BODMAN's friends urged against the move. Many believed BODMAN was successful in the business community, but that he would not be able to incorporate his business flair into the world of politics. BODMAN has survived the political aspect of his current position and is expected to be successful as the United States Secretary of Energy. recommended BODMAN for the position of United States Secretary of Energy. stated that BODMAN, "has the balls to say what is for the good of the country."

BODMAN's formal education in chemistry combined with his understanding of the industry and background in energy resources will contribute to his success. believed that the Department of Energy included work with atomic energy and its potential use relating to weapons. BODMAN is not believed to have experience in that specific field.

believed that BODMAN is a trustworthy individual, again citing his Midwestern roots. BODMAN maintains allegiance to the United States and is not known to support foreign interests or acts to disrupt or overthrow the United States government. provided an example of when he was in a meeting with BODMAN. During the meeting the topic of CABOT providing materials to the military for anti-tank weapons was
Continuation of FD-302 of ____________ ..1--------- , On 12/20/2004 , Page 4

BODMAN has not misused information technologies and has not provided information to individuals who are not entitled to the information.

BODMAN conducts business internationally and therefore interacts with a multitude of foreign nationals who is not a United States citizen, associates with BODMAN on a semi-regular basis. CABOT has approximately thirty offices and plants around the world, however most of the offices are managed by individuals who are citizens of the respective country in which CABOT operates. BODMAN is not known to conduct any personal banking outside of the United States.

BODMAN has not had financial problems. He is financially well off and lives within his means. While performing as the CEO at CABOT, BODMAN refused to accept increases of his earnings.

BODMAN has no reason to be influenced or blackmailed in a position such as United States Secretary of Energy. He has not falsified any records. He complies with the law and has moral beliefs. BODMAN expects his employees and associates to operate with the same beliefs.

BODMAN no longer has any affiliation with CABOT or any other industry which may be considered a conflict of interest in serving as the United States Secretary of Energy. BODMAN resigned from all boards and business interests when he initially began serving the United States government. BODMAN may still be involved in some capacity with the ISABELLA STEWART GARDNER MUSEUM in Boston, MA.

described BODMAN as a "controlled person" who is able to handle the stresses of business and politics and maintain focus of how his decisions impact others. provided an example of how MAYOR MENINO expressed concern to BODMAN of the safety and security of liquid nitrogen gas ( LNG ) tankers entering the Boston, MA harbor. As a result of BODMAN's mutual concern, BODMAN assembled a group to assess the safety of tanker entries. He also contracted a safety specialist from Washington D.C. to ensure that the best measures were being made.

BODMAN has not had involvement in any criminal activity or civil actions outside of the divorce with his former spouse. was deposed during the divorce proceedings, although he
had no knowledge of BODMAN's personal relationships. During the
deposition was asked questions about BODMAN's wife and her
reason for leaving HARVARD, which attorney determined
was not relevant to the divorce proceedings. BODMAN's wife also
alleged that BODMAN was involved in wrongdoing at CABOT. The
allegations were determined to have no merit. BODMAN had no legal
issues while serving his business interests. specifically
noted that BODMAN never discussed CABOT gossip.

BODMAN drinks socially, but is not known to abuse
alcohol. has not observed BODMAN in an intoxicated state.
When and BODMAN last had dinner together,
purchased a bottle of champagne to celebrate BODMAN's recent
nomination. BODMAN had a glass of champagne.

BODMAN has not been alleged to have been involved in an
abusive relationship or engaged in extramarital affairs.

BODMAN does not use illegal drugs or abuse prescription
medication.

BODMAN does not have any mental or emotional illnesses.

provided an example of how BODMAN had made a concerted
effort to bring individuals of diverse religions and cultures into
high level positions at CABOT.

was unable to identify any individuals who may
be able to contribute negative feedback regarding BODMAN. noted that some individuals with smaller CABOT holdings, who have
served on the board in the past, have alleged that business
opportunities they have brought up in board meetings have been
realized by CABOT with no credit given to those individuals.

was unable to provide additional details regarding this
claim, including but not limited to names of individuals. believed that these individuals' claims did not have merit and were
not directed specifically at BODMAN, but CABOT in general.

referred to a separate allegation in which
BODMAN was accused of providing funds to an employee in Texas who
was not entitled to the income. was unable to provide
additional details regarding this claim, including but not limited
to names of individuals. believed that these claims did
not have merit.

was furnished the appropriate provisions of the Privacy Act and elected not to invoke confidentiality. Therefore, express promise of confidentiality was not granted.
On December 20, 2004, [redacted] was interviewed at the SOMERSET CLUB located at 42 Beacon Street, Boston, Massachusetts, in reference to the nomination of SAMUEL W. BODMAN, III. (hereafter referred to as BODMAN). After being advised of the identity of the interviewing Agents and the provisions of the Privacy Act of 1974, [redacted] elected not to invoke confidentiality and therefore an express promise of confidentiality was not granted. Then voluntarily provided the following information:

[redacted] is a former business colleague and longtime friend of Bodman. He has known the candidate for approximately 33 years. First met the candidate in 1971 when they both worked together at FIDELITY Investments in Boston, Massachusetts. They were coworkers for approximately 16 years. Circa 1987, BODMAN left FIDELITY for CABOT CORPORATION where he became its Chief Executive Officer (CEO). Continued his business relationship with BODMAN after his departure from FIDELITY because he became a member of CABOT's Board of Directors, in part due to his relationship with BODMAN.

Before leaving FIDELITY in 1989, [redacted] held a variety of high-level positions in the company including [redacted]. After leaving CABOT, [redacted] became the [redacted] of ALLMERICA FINANCIAL before retiring. [redacted] is still on CABOT's Board of Directors.

Since meeting 33 years ago [redacted] has come to know BODMAN extremely well both socially and professionally. He considers BODMAN a long-time friend. He has observed BODMAN in a wide-variety of situations, and has first-hand knowledge of the various aspects of the BODMAN's personal and professional life.

[redacted] described BODMAN's character as being "ethical, articulate, forthright, and bright." BODMAN shows "natural leadership" abilities. He is very reliable and responsible. These qualities are evident in the fact that he was able to show exceptional leadership in expanding CABOT CORPORATION. BODMAN has always been trustworthy, loyal, and honest. [redacted] noted that BODMAN displayed an ability to keep confidences and was discrete in a wide-variety of business dealings.

Regarding BODMAN's associates, [redacted] noted that professionally BODMAN did not engage in disreputable business ventures. He ran CABOT Corporation in a highly ethical manner. [redacted] noted that it would be unwise to deal with disreputable individuals or engage in marginal business practices because of the harm to large companies like FIDELITY would outweigh any potential gain. [redacted] was asked directly whether he knew of any instances in which CABOT CORPORATION, under the candidate's leadership, was involved with any business entities that were of a questionable nature. [redacted] new of no such instances.
Regarding BODMAN's reputation, in the business community he had an excellent reputation both at FIDELITY and at CABOT. His conduct, behavior and judgement was always proper. He was able to adapt appropriately to any given situation and was not aware of any conduct that would embarrass the United States Government. His reputation among his social peers was also reputable.

Regarding BODMAN's qualifications and ability, stressed that BODMAN was well-qualified to represent the United States in an official capacity. In addition to his business acumen, he has had extensive contact with foreign government officials via CABOT CORPORATION. During these contacts, noted that the candidate adapted well to the appropriate customs of the individuals with whom he was working.

Regarding BODMAN's suitability for employment, knew of no behavior or instances that indicated BODMAN was involved in the following: (1) delinquency or misconduct in prior employment; (2) criminal, dishonest, infamous, or notoriously; (3) intentional false statement or deception or fraud in examination or appointment; (4) habitual use of intoxicating beverages to excess; (5) abuse of narcotics, drugs or other controlled substances; (6) use/possess illegal drugs/controlled substances, or been involved in the illegal purchase, manufacture, trafficking, shipping, receiving, or sale of any illegal drugs/controlled substances; (7) reasonable doubt of loyalty to the United States; (8) refusal to furnish testimony required by civil service rules; (9) acts of sabotage, treason, sedition, espionage, terrorism, or conspiring with others to commit the same; and (10) statutory disqualification (e.g. conviction of certain offenses).

Regarding BODMAN's use of alcohol, had been with him many times when he consumed alcohol both in professional and social situations. In those instances, the candidate's alcohol use was no different than what one would expect in business situations. In social situations, there was no evidence of alcohol abuse. Additionally, he could not recall a situation where BODMAN may be subjected to coercion, influence, or pressure which may cause him to act contrary to the best interests of the national security.

When asked if, generally aware of any civil proceedings involving BODMAN from a personal standpoint and as a member of CABOT's Board of Directors, he stated that it was probable that BODMAN had been involved in business related litigation as an officer of the company, but could not recall specific instances. knew that BODMAN had been divorced and that his former wife alleged that BODMAN had misused CABOT resources. The Board of Directors subsequently hired an outside "independent" investigator to review the allegations. The investigator found that the allegations were baseless, recalled that the former wife recanted the allegations at some point during the consultant's investigation.

then posed the following hypothetical questions to the interviewing Agents, "What happens if an individual makes allegations against a candidate that were baseless?" and "How would the FBI investigate such an allegation?" and "Would it harm the candidate's chance of passing the background investigation?" was provided a general explanation of the process of investigating such instances. then interrupted the explanation and advised that his
question was merely hypothetical and he did not "want to get too far astray" from the purpose of the interview.

was then asked generally about any civil proceedings in relation to allegations of sexual harassment or other related issues or proceedings while the candidate was at FIDELITY or CABOT or while was on its Board of Directors. knew of no such instances.

BODMAN has never exhibited any type of financial irresponsibility or appeared to live above his means. He believed that BODMAN still belongs, or used to belong, to the following clubs: (1) SOMERSET CLUB, located in Boston, Massachusetts; (2) BROOKLINE COUNTRY CLUB, Brookline, Massachusetts; (3) and an unknown golf club in Florida. He did not know if BODMAN owned or held interest in any properties overseas.

Regarding security issues did not know of any behavior or activity that would indicate that the BODMAN would harm the United States. BODMAN is loyal to the United States and does not have any affiliations that indicate a desire to harm the country. To the best of his knowledge, BODMAN never engaged in any activity that could be construed as being disloyal or having a willful disregard for security regulations, and has never disclosed sensitive or classified information to a person prohibited by law.

would highly recommend BODMAN for a position of trust within the United States Government.
On 12/22/04, Employee Relations, Fidelity Investments (FIDELITY), Two Contra Way, Building T2W, Merrimack, New Hampshire, 03054, business telephone number (603) 791-1097, cellular telephone number was interviewed at his office concerning the verification of employment for SAMUEL WRIGHT BODMAN, III. After being advised of the identity of the interviewing Agents and the nature of the interview, voluntarily provided the following information:

SAMUEL WRIGHT BODMAN, III, was employed by FIDELITY from 1970 until either late 1986 or early 1987. Although has never met BODMAN, he is aware that BODMAN was in charge of FMR COMPANY, the mutual fund company owned by FIDELITY.

Based on review of FIDELITY's record system, no personnel file exists for BODMAN as his employment pre-dates electronic filing. Additionally, FIDELITY's policy and normal procedure is to destroy the records of all employees who have left the company after a period of seven years. added that the same record search results were obtained after similar checks for BODMAN were conducted in/around 2001 when he first entered government service and were requested by the FBI.

Although FIDELITY attempts to adhere to the file destruction policy, admitted that there are at least two other possibilities concerning BODMAN's file. First, his record may have been placed onto a microfiche which was destroyed, or second, his file is in a "dusty box" that has not been indexed.

Prior to and in preparation for the interview spoke with FIDELITY's whose employment overlapped BODMAN's by approximately two years. told him that he thinks very highly of BODMAN.

Additionally, on or about 12/21/04, spoke with former FIDELITY General Counsel who was in that position during BODMAN's tenure. told him that nothing came to his mind relative to BODMAN being involved with any complaints or a party to any civil litigation.

Investigation on 12/22/04 at Merrimack, NH

File # 161A-HO-1337020 Date dictated 12/22/04

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
On 12/22/2004, Fidelity Investments (FIDELITY), 82 Devonshire Street, Boston, Massachusetts, 02109, business telephone (617) 563-7244 was telephonically interviewed concerning SAMUEL WRIGHT BODMAN, III. After being advised of the identity of the interviewing agent and the nature of the interview, voluntarily provided the following information:

SAMUEL WRIGHT BODMAN, III, was employed with FIDELITY until approximately 1986 or 1987. Because of the length in time since BODMAN's employment with FIDELITY, does not believe BODMAN'S personnel files still exist.

has been employed with FIDELITY since 1996, when she held the position of In 1997, became , the position she presently holds. employment with FIDELITY did not overlap with BODMAN'S employment. has no personal experience working with BODMAN.

Based on speaking with co-workers and associates of BODMAN'S, to include former FIDELITY General Counsel, stated that BODMAN had a good reputation. believes that because BODMAN's reputation around FIDELITY was quite good, there would be absolutely no reason not to re-hire BODMAN if the opportunity presented itself.

In either 2001 or 2002, was contacted by telephone number with the Washington, D.C. and Houston based law firm, ANDREW and KURTH, regarding whether BODMAN had been involved in or party to any civil proceedings. indicated that was compiling information to help BODMAN fill out forms.

has no personal knowledge of any civil litigation involving or naming BODMAN as a party. To further research whether BODMAN was involved in any civil litigation while employed with FIDELITY, contacted FIDELITY's former general counsel and an attorney with the firm GOODWIN and PROCTOR. Both were not aware of and...
could not recall any situation in which BODMAN was a named defendant in any civil litigation.
EMLOYMENT

The following investigation was conducted by Special Agent

On December 20, 2004, Human Resources Payroll Service Center, Massachusetts Institute of Technology (MIT), 77 Massachusetts Avenue, Building E19-115, Cambridge, Massachusetts, telephone (617) 253-5925, advised that computerized records showed the candidate was employed by MIT. The candidate's employment spanned several periods with an initial hire date of 02/01/1962. This period of employment had no official end date. The records showed a second hire date of 07/01/1972, which indicated was most likely a temporary hire for the academic year 1972-1973. The third and final hire date shown was 09/01/1973. Records showed an ending date for employment on 05/31/1984. Throughout the candidate's periods of employment, the candidate held the various titles of Visiting Instructor, Instructor, and Associate Professor Without Tenure, all within the Department of Chemical Engineering. The computer system does not keep a record of information regarding supervisors or co-workers. Advised that the names of possible supervisors or co-workers could be found by computer searches for individuals who worked in the same department during the same time period, but also advised that the distribution of such information was not covered by the signed Authorization for Release of Information provided by investigators.

On December 20, 2004, MITPD, 120 Massachusetts Avenue, Cambridge, Massachusetts, (617) 253-9898, related that the department possessed no incident reports involving the candidate.
EDUCATION

Massachusetts Institute of Technology
Cambridge, Massachusetts
September 1961 - February 1965

The following investigation was conducted by Special Agent ___________.

On December 20, 2004, the Academic Records, Office of the Registrar, Massachusetts Institute of Technology (MIT), 77 Massachusetts Avenue, Building 5-119, Cambridge, Massachusetts, telephone (617) 258-6443, provided an official transcript for the candidate. The transcript acknowledges a Bachelor's of Chemical Engineering from the Cornell University in 1961. The candidate was enrolled in 1961 in a doctor of philosophy program, changed to a doctor of science on 11/09/1964, and received a degree as a doctor of science from MIT on 02/02/1965. The candidate scored a grade of "A" in all classes noted on the transcript. advised that financial information and student employment information concerning MIT students is maintained by Student Financial Services. advised that student disciplinary records are maintained by the Office of Student Discipline. advised that the MIT Police Department (MITPD) maintained reports for on-campus incidents requiring police involvement.

On December 20, 2004, Student Financial Services, MIT, 77 Massachusetts Avenue, Cambridge, Massachusetts, telephone (617) 253-4007, advised that the candidate had no outstanding financial obligations to the university.

On December 20, 2004, Student Employment Office, Student Financial Services, MIT, 77 Massachusetts Avenue, Cambridge, Massachusetts, telephone (617) 253-4973, advised that records of student employment are only kept from 1975 onward, ten years after the candidate had graduated.

On December 20, 2004, Office of Student Discipline, Massachusetts Institute of Technology, 77 Massachusetts Avenue, Building W32-131, Cambridge, Massachusetts, telephone (617) 253-7848, advised that MIT possessed no records of disciplinary action against the candidate.

On December 20, 2004, MITPD, 120 Massachusetts Avenue, Cambridge, Massachusetts, (617) 253-9898, related that the department possessed no incident reports involving the candidate.
COMMONWEALTH OF MASSACHUSETTS
SECURITIES DIVISION CHECKS

The following investigative activity was conducted by Special Agent [name redacted] on 12/20/04:

telephone (617) 878-3402, advised that there are no criminal disclosures, regulatory actions, customer complaints, or other current/historical disclosures on file for SAMUEL WRIGHT BODMAN, III, social security account number 329-32-7756.
City of Boston, Corporations Division
McCormack Building
One Ashburton Place, 17th Floor
Boston, Massachusetts

On December 22, 2004, found no City of Boston records regarding Carcon Corporation or American Oil and Gas Corporation.
PERSONNEL FILE REVIEW
CABOT CORPORATION

The following investigative activity was conducted on 12/22/04 by Special Agent [Redacted] at the offices of the CABOT CORPORATION located at Two Seaport Lane, Suite 1300, Boston, Massachusetts:

Noteworthy documents/records observed within the file:

1. Form M-4 in name of SAMUEL W. BODMAN, SSN 329-32-7756 dated 12/21/1995 reflecting an address of 350 North Street, Apartment 805, Boston, MA, 02113

2. Form W-4 reflecting similar information as described above.

3. Supplementary Application dated 01/01/1987 as effective date of hire for SAMUEL W. BODMAN, DOB 11/26/1938. Also lists address of 24 Chestnut Street, Boston, MA, 02108 and emergency contacts [Redacted].

4. Letter dated 11/13/1986 addressed to SAMUEL BODMAN from [Redacted] reflecting salary of $400,000 per annum.

5. Personnel record reflecting title change to President and Chief Operating Officer.

6. Copy of e-mail dated 06/05/00 to [Redacted] from [Redacted] directing a salary change for SAMUEL BODMAN from $750,000 annually to $825,000 annually effective 06/01/00.


Documents not located within file: Performance reviews and Termination/Resignation documentation
On 12/22/04, CABOT CORPORATION (CABOT), Two Seaport Lane, Suite 1300, Boston, Massachusetts, business telephone number (617) 342-6175, was interviewed at his office. After being advised of the identity of the interviewing Agents, the nature of the interview, and the provisions of the Privacy Act of 1974, did not invoke confidentiality and no express promise of confidentiality was granted. Then voluntarily provided the following information:


has been employed with CABOT for approximately ten years and has served in his present position for the last two years. Of the time he has been with the company, he worked with BODMAN for approximately seven years.

The complaint filed in 1994 involving former employee did not have any knowledge whether or not consulted with the CABOT Board of Directors concerning the filing of the complaint, the finding, or the settlement of damages. added that company policy did not require the Board of Directors to be notified of a complaint or finding and did not have to authorize any settlements.

stated that he would be "surprised" if the Board of Directors were involved as he recalled that other cases involving greater issues and higher dollar amounts were handled without the Board's approval.

Since BODMAN's departure from the company on 03/31/01, there have been no complaints, civil proceedings, or other litigation naming BODMAN as a party.

While BODMAN was employed with CABOT, knew him to be an excellent representative of the company, to have high ethical standards, and to be highly recommended for a position of trust. added that BODMAN's resignation was specifically done in order for him to take his initial position with the United States government and would be re-hired by CABOT.
On December 22, 2004, SA and Background Task Force, FBIHQ, Washington, D.C., conducted the following investigation:

Public References Department, SECURITIES AND EXCHANGE COMMISSION (SEC), 450 Fifth Street NW, Washington, D.C., telephone 202-942-4150, advised CARCON was a privately held company and was only required to file a Regulation D application, allowing for the issuance and sale of securities to purchasers if they qualify as accredited investors with the SEC. Additional information on CARCON could only be attained from the Secretary of State in the State in which the corporation was registered. No further information was available from the SEC.
FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE PAST

To: Houston

From: Administrative Services
Special Inquiry and General Background Investigations
Unit (SIGBIU), Room 10861

Contact: Personnel Security Specialist Ext. 3205

Approved By: _

Drafted By: 

Case ID #: 161A-HQ-1337020 (Pending)

Title: SAMUEL WRIGHT BODMAN III
PAS-LEVEL 1

Synopsis: Set forth leads, and background information, for receiving field office to check state corporate records regarding Carcon, Harkon and CT Corporation System concerning these corporations.

Administrative: Buded in captioned matter is 12/30/04. Deadline for completing and providing to SIGBIU results of investigation requested herein in COB 12/30/04.

Reference: 161A-HQ-1337020 serial 2

Details: Information necessary for receiving field office to conduct requested investigation is set forth herein, and/or has been previously provided with, referenced serials.

Set Lead 1: (ACTION)

HOUSTON

AT HOUSTON, TX

Check state corporate records. Submit results to SIGBIU by COB 12/30/04.

PSS 

161A-HQ-1337020

(12/31/1995)
Precedence:  DEADLINE PAST  
Date:  12/28/2004

To:  San Antonio

From:  Administrative Services  
Special Inquiry and General Background Investigations  
Unit (SIGBIU), Room 10861

Contact:  Personnel Security Specialist  
3205

Approved By:  

Drafted By:  

Case ID #:  161A-HQ-1337020  (Pending)

Title:  SAMUEL WRIGHT BODMAN III  
PAS-LEVEL 1

Synopsis:  Set forth leads, and background information, for receiving field office to check state corporate records regarding Carcon, Harkon and CT Corporation System concerning these corporations.

Administrative:  Budoed in captioned matter is 12/29/04. Deadline for completing and providing to SIGBIU results of investigation requested herein in COB 12/29/04.

Reference:  161A-HQ-1337020 serial 2

Details:  Information necessary for receiving field office to conduct requested investigation is set forth herein, and/or has been previously provided with, referenced serials.

Set Lead 1:  (ACTION)

SAN ANTONIO

AT SAN ANTONIO, TX

Check state corporate records. Submit results to SIGBIU by COB 12/29/04.
FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE | OFFICE OF ORIGIN | DATE | INVESTIGATIVE PERIOD

TITLE OF CASE
SAMUEL WRIGHT BODMAN III

REPORT MADE BY | TYPED BY
SA | lah

CHARACTER OF CASE
PAS - LEVEL I

BUDED: IMMEDIATE

- Pending (All Houston investigation complete) -

ADMINISTRATIVE: All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

Final report was collated by Squad A-1 Support Services Technician (SST)

APPROVED
SPECIAL AGENT
IN CHARGE

DO NOT WRITE IN SPACES BELOW

DISSEMINATION RECORD OF ATTACHED REPORT

Agency
Request Recd.
Date Fwd.
How Fwd.
By

COVER PAGE
UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: SA
Date: 12/28/2004
Case ID #: 161A-HQ-1337020
Title: SAMUEL WRIGHT BODMAN III

Character: PRESIDENTIAL APPOINTMENT REQUIRING SENATE CONFIRMATION - LEVEL I
Synopsis: Interviews of conducted and results submitted.

- P -

DETAILS: All Houston investigation complete.

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[Although full background investigation questions were asked of the interviewee, per SA FBIHQ, SA is omitting interviewee's responses to these questions. SA advised that FBIHQ is concerned only with the responses to questions contained within the lead assigned to Houston Division]

advised that he has known candidate SAMUEL WRIGHT BODMAN III personally and professionally since the two began a business relationship in 1975 or 1976. CARCON is the name of a company started by interviewee and in the mid-1980's. The name of the company was created from the last names of survivors as the name of CARCON. He resides at a work address, is described as a close friend, whom interviewee sees on occasion, and as a very good custodian of CARCON's records.

SAMUEL WRIGHT BODMAN, III was an initial investor in the CARCON company. CARCON is now part of KINDRED MORGAN (KMI), New York Stock Exchange (NYSE) stock symbol KMI. CARCON was started as an investment vehicle/fund designed to enter into "gas pipeline investments." CARCON identified AMERICAN OIL & GAS (AOG) as an undercapitalized company and began identifying investors interested in contributing capital to acquire AOG. Approximately ten to twelve investors were identified and approximately ten million dollars were raised. was one of these investors. CARCON was acquired by AOG in a reverse merger that financially benefitted both companies. AOG eventually merged with CANADA ENERGY and after several other acquisitions and mergers became what is known today as KMI.
Interviewee stated that [redacted] was very active in Houston around the time of his investment in CARCON. Interviewee has never met [redacted] and to his knowledge neither has BODMAN. [redacted] invested in CARCON through the Houston law firm BAKER & BOTTS, L.L.P., One Shell Plaza, 910 Louisiana Street, Houston, TX 77002-4995, telephone (713) 229-1234. [redacted] is reported to have been interested in specifically making some energy related investments. BAKER & BOTTS, L.L.P. also represented CARCON at the time of the investment; this was how [redacted] reportedly became aware of the investment opportunity in CARCON.

[redacted] is described as a very good investor in that he did not have a controlling share of the investment in CARCON, and did not participate in the CARCON investor meetings. Interviewee clarified that this was beneficial because it allowed CARCON management to focus on its business responsibilities without focusing on answering to [redacted] as an investor.

In 1989 CABOT CORP. and CARCON became involved in a business relationship while BODMAN served as the CEO of CABOT CORP. The relationship involved investment in a CABOT CORP. pipeline. The investment was profitable for both CARCON and CABOT CORP. Interviewee could not state whether or not BODMAN was still a CARCON investor at the time of this transaction.

[redacted] concluded the interview stating that BODMAN has been a success at every position in his career. He is a great scientist, and business person, with a great knowledge of the energy industry. He is personally very pleased to see BODMAN "taking on this challenge."
FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/27/2004

social security account number:

work address 510 Bering Drive suite 300, Houston, TX 77057, telephone 713-974-8890; residence:

provided the following information:

founded the CARCON company with:

social security account number:

date of birth:

work address, Bank One Center 910 Travis Street, suite 1930, Houston, TX 77002-5809, telephone (713) 655-0366, e-mail:

in November of 1984. served as the:

of CARCON. CARCON was a company created as an investment vehicle for gas pipeline investments.

CARCON did not begin to raise money until early 1985. CARCON was initially funded by three individuals who made "small" investments, SAMUEL WRIGHT BODMAN III currently of Washington D.C., of Austin, TX, and of Dallas, TX. did not know off hand what the amount of these initial investments were. These individuals were acquaintances of approached BODMAN about the CARCON investment opportunity. BODMAN had previously made a financially lucrative investment with and decided to invest with him again in CARCON. described the initial investment by the three men as "seed" money and "first round financing" for CARCON. At some point in the history of CARCON, BODMAN served on its Board of Directors. did not specify the dates of BODMAN's service on the CARCON Board of Directors, and interviewer did not ask. In BODMAN's capacity on the Board of Directors, described him as "very well prepared and highly valuable" in his asking of relevant and important questions associated with CARCON's investments.

In 1986 CARCON began "second round financing" of approximately $8 to $10 million from approximately 15-20 investors for a larger CARCON investment. Specifically this was the acquisition or reverse merger of CARCON with AMERICAN OIL & GAS.

Investigation on 12/23/2004 at Houston, Texas

File # 161A-HQ-1337020 Date dictated 12/27/2004

by SA

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The family" was one of the 15-20 investors. The family" made a comparatively small investment in CARCON, described in the "hundreds of thousands of dollars."

When began CARCON their goal was to make it an extremely attractive investment. It was for this reason that CARCON utilized the accounting firm ARTHUR ANDERSON, investing firm GOLDMAN SACHS, and law firm BAKER BOTTS, L.L.P. as partner consultants to CARCON. was a managing partner at BAKER BOTTS, L.L.P. who managed energy investment deals for clients including the Saudi Royal family. contacts included the family. stated that neither he, nor BODMAN, to his knowledge, had any relationship with the family and in fact had never even met any member of the family. The family investment in CARCON was facilitated by who introduced the opportunity to the family based upon knowledge obtained in his capacity at BAKER BOTTS, L.L.P. and the family's desired investment strategy. managed the family investment in CARCON.

, who has never met a member of the family, stated that through colleagues and acquaintances he heard that a man who he thinks to be bought a home in the 1980's in an exclusive Houston neighborhood in order to have a place to stay on visits to the area for medical treatments. estimates that the man he thinks is in his 40's during the mid 1980's.

, left BAKER BOTTS, L.L.P. to join the ENRON CORPORATION, but left ENRON before the company "blew up" because he reportedly suspected that there was "something wrong" with the company. is retired and currently resides at three locations, telephone, mailing address or home address,

\[\text{described a friend, but does not see him very often anymore due to the fact that usually resides away from Houston.}\]
FD-302 (Rev. 10-6-95)

- 1 -

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/28/2004

social security account number

date of birth

residence addresses

include:

television

mailing address

or home address

The following information:

name, provided

a retired attorney, was a partner of the
Houston office of BAKER BOTTS, L.L.P. in the mid-1980's when
made an investment in the CARCON CORPORATION.
The interviewee insisted the proper spelling of the name to be
as opposed to . The family owns the
NATIONAL COMMERCIAL BANK OF SAUDI ARABIA (NCBSA), and
also went by , served as the principal for the family in regard to its commercial banking interests in the United
States. NCBSA has maintained, and continues to maintain, an office
and staff based in NEW YORK CITY.

The family put up the principal equity for the
TEXAS COMMERCE BANK, which is now CHASE BANK, and the TEXAS
REPUBLIC BANK. These banks were part of a project by developer
. The family also owned MAIN BANK and
maintained a house in Houston, Texas. was indicted in
absentia during the BANK OF CREDIT AND COMMERCE INTERNATIONAL
(BCCI) investigation. At the time of the indictment was not
residing in the United States and was advised by his legal counsel
at the NEW YORK CITY office of SULLIVAN CROMWELL, L.L.P. to not
return to the United States until there was an opportunity to
resolve issues surrounding his indictment. According to the
interviewee, the indictment was eventually settled in favor of
but as a result of what perceived as unfair
treatment he lost interest in investing in the United States.
BAKER BOTTS, L.L.P. continued to advise during the period he
divested the family's U.S. based investments.

Prior to indictment, MIKE SKELTON was a member of the family's NCBSA staff in NEW YORK. SKELTON is now
deceased. SKELTON and formed the NIMUR COMPANY together for
the purpose of petroleum exploration during a period of federal

Investigation on 12/28/2004 at Houston, Texas

File # 161A-HQ-1337020 Date dictated 12/28/2004

by SA

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deregulation in the United States. SKELTON managed NIMUR on behalf of [ ] who remained relatively uninvolved with NIMUR as a result of his many competing responsibilities with NCBSA. SKELTON learned that CARCON was going to acquire an interest in a pipeline company, AMERICAN OIL & GAS. CARCON was founded to invest in unregulated intrastate gas pipelines, as opposed to interstate pipelines which were still federally regulated. NIMUR was interested in learning more about the pipeline industry and saw an investment with CARCON as an opportunity to acquire industry knowledge to be utilized for future development or investment opportunities. SKELTON was responsible for the NIMUR investment in CARCON on behalf of [ ]

[ ] stated that ANDREWS KURTH, L.L.P. was another law firm which worked with BAKER BOTTS, L.L.P. on the CARCON and AMERICAN OIL & GAS deal.

BAKER BOTTS, L.L.P. went on to represent SAMUEL WRIGHT BODMAN III when he became Chief Executive Officer of CABOT CORP. Specifically, [ ] mentioned a CABOT and CARCON/AMERICAN OIL & GAS joint investment that occurred while BODMAN was both the CEO of CABOT and an investor in CARCON, which was part of AMERICAN OIL & GAS at that time. The publically scrutinized transaction was managed for BODMAN and CABOT by BAKER BOTTS, L.L.P. in order to avoid any improprieties, and was ultimately successful. ANDREWS KURTH, L.L.P. represented AMERICAN OIL & GAS/CARCON throughout the transaction. HARRY BARBER, formerly of BAKER BOTTS, L.L.P., served as general counsel of CABOT during the CARCON/AMERICAN OIL & GAS/CABOT pipeline business venture. BARBER is now deceased.

[ ] stated that to his knowledge BODMAN does not [ ] personally or professionally.
Precedence: ROUTINE

To: Administrative Services

Washington Field

From: Baltimore

Squad 15

Contact: SA

Approved By: [Signature]

Drafted By: glwm

Date: 12/16/2004

Case ID #: 161A-HQ-1337020-79 (Pending)

Title: SAMUEL WRIGHT BODMAN, III;

LEVEL I - PAS

Synopsis: Request to cover a developed lead regarding an employment check.

Details: On December 16, 2004, the Department of Commerce, Washington, D. C., advised that the candidate's personnel records are housed at the Department of Treasury, Office of Human Resources. The address is 1500 Pennsylvania Avenue, N. W., Room 1445, Washington, D. C. The contact person is [Name].
LEAD(s):

Set Lead 1: (Action)

ADMINISTRATIVE SERVICES
AT WASHINGTON, D. C.
Read and clear.

Set Lead 2: (Action)

WASHINGTON FIELD
AT WASHINGTON, D. C.

Contact _________ to set up b6
an appointment to review the candidate's personnel records at b7c
the Department of Treasury, Office of Human Resources, 1500
Pennsylvania Avenue, N. W., Room 1445, Washington, D. C.

♦♦
**FEDERAL BUREAU OF INVESTIGATION**

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<th>DATE</th>
<th>INVESTIGATIVE PERIOD</th>
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**TITLE OF CASE**
SAMUEL WRIGHT BODMAN III

**REPORT MADE BY**
IA b6

**TYPED BY**
RYM b7C

**CHARACTER OF CASE**
PAS - LEVEL I

**BUDGED:** 12/30/2004

**REFERENCE:** FBIHQ EC to Houston, dated 12/28/2004.

**ADMINISTRATIVE:** All persons interviewed were furnished the appropriate provisions of the Privacy Act. Expressed promises of confidentiality have not been granted.

**APPROVED**

**SPECIAL AGENT IN CHARGE**

**DISSEMINATION RECORD OF ATTACHED REPORT**

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Notations

3/20

COVER PAGE
UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to: FBIHQ

Report of: IA

Date: 12/29/2004

Case ID #: 161A-HQ-1337020

Title: SAMUEL WRIGHT BODMAN, III

Character: PRESIDENTIAL APPOINTMENT WITH SENATE CONFIRMATION - LEVEL 1

Synopsis: State corporate records search and no derogatory information found.

- P -

Houston investigation is completed.

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RECORDS OF CORPORATION

The following investigation was conducted by Investigative Support Specialist (ISS) [redacted] at Houston, Texas.

On December 29, 2004, a search of the ChoicePoint database Texas Corporation & Limited Partnership Records was conducted regarding Carcon, Harkon and CT Corporation System. Information found was not related nor showed any connection to the candidate, SAMUEL WRIGHT BODMAN, III.

On December 29, 2004, ELENA ALEXANDER, Texas Secretary Of State, Austin, Texas, telephone number 512-463-5555, advised the following: CT Corporation System is usually listed as the Registered Agent and/or Point of Contact for various companies. According to their records CT Corporation System is listed as such for over 1,000 companies within the State of Texas, however, their records show no listing of the candidate, SAMUEL WRIGHT BODMAN, III, under CT Corporation System.
Honorable Alberto R. Gonzales
Counsel to the President
The White House
Washington, D.C.

Dear Mr. Gonzales:

Based on a request received from your office dated December 13, 2004, a Level I background investigation was conducted concerning Mr. Samuel Wright Bodman, III. Mr. Bodman underwent several background investigations by the FBI, the most recent having been in December 2003. Mr. Bodman also underwent two background investigations by the Defense Security Service, the most recent having been in October 1993.

Enclosed is a summary memorandum which Mr. Bodman's interview, other investigative results and pertinent portions of his prior background investigations comprise. In addition, copies of seven complete interviews providing details of information contained in the summary memorandum and a copy of your December 13, 2004, request, initialed and dated by a representative of my Unit are enclosed.

The names and identifying data of certain individuals have been excised from the enclosed summary in order to protect their identities in the event there is a need to interview them in the future and they request confidentiality. No change is made to the substance of the summary.

This completes our investigation. Should you have any questions, they may be directed to Supervisory Personnel Security Specialist telephone number 202-324-2568.

Sincerely yours,

Special Inquiry and General Background Investigations Unit
NOTE: This case was opened on December 15, 2004. Mr. Bodman is currently employed by the United States Department of Treasury, Washington, D.C., as Deputy Secretary. He is being investigated for a Presidential appointment to the Secretary of Energy, which requires Senate confirmation. Mr. Bodman advised he had no knowledge regarding the hotline allegation that he was not suited for managing day-to-day operations. He also advised he has no recollection of advised there was a separation allegation in which Mr. Bodman was accused of providing funds to an employee in Texas who was not entitled to the income.

Investigation is complete.


The following name was excised:

The following footnote corresponds to information located in the Agency Checks section of the summary:

161B-HQ-1337030
This summary memorandum contains the results of a Level I background investigation concerning Mr. Bodman, which, unless otherwise indicated, addressed his entire adult life. Inquiries were conducted in the United States and Bahamas as to Mr. Bodman's character, loyalty, and general standing, but no inquiries were made as to the sources of his income.

Birth

Mr. Bodman was born on November 26, 1938, in Chicago, Illinois.

Education

Mr. Bodman attended Cornell University, Ithaca, New York, from the Fall 1956, to the Spring 1961, receiving a Bachelor of Science degree.

Mr. Bodman attended Massachusetts Institute of Technology, Boston, Massachusetts, from September 1961, to February 1965, receiving a Doctorate of Science degree.

Military Service

Mr. Bodman has indicated no prior military service.

Employment

While pursuing an education, Mr. Bodman was employed as an intern in Cincinnati, Ohio and Crystal Lake, Illinois. These employments could not be verified.

June 1961, to September 1961
Chevron Research Corporation, Richmond, California, as an engineer. This employment could not be verified.
Samuel Wright Bodman, III

February 1962, to May 1984
(exact dates not verified)
Massachusetts Institute of Technology, Cambridge, Massachusetts, initially as visiting instructor, subsequently as instructor and finally as an associate professor.

June 1970, to December 1986
(exact dates not provided)
Fidelity Investments, Boston, Massachusetts, as President and Chief Operating Officer

January 1987, to March 2001
Cabot Corporation, Boston, Massachusetts, as President and Chief Executive Officer (CEO)

July 2001, to February 2004
United States Department of Commerce, Washington, D.C., as Deputy Secretary

February 2004, to the present
United States Department of the Treasury, Washington, D.C., as Deputy Secretary

In addition to the above employments, Mr. Bodman also serves on the Board of Directors for Westvaco Incorporated, Stamford, Connecticut; Cabot Micro Electronics Corporation, Aurora, Illinois; Cabot Oil and Gas Corporation, Houston, Texas; and Security Capital Group, Incorporated, Santa Fe, New Mexico; and is a trustee of the French Library and Cultural Center, Boston, Massachusetts.

During March 2001, to July 2001, Mr. Bodman indicated that although he had left his prior employment at Cabot Corporation, he was traveling to different Cabot Corporation locations while waiting for confirmation to his position as Deputy Secretary and to say goodbye to various employees.

Family Status

Mr. Bodman is married to the former __________. He has indicated that his wife is a United States citizen. They and his stepchild, __________, reside at 3025 Whitehaven Street, Northwest, Washington, D.C.
Samuel Wright Bodman, III

Mr. Bodman's parents, Lina Lindsey Bodman and Samuel Wright Bodman, Jr., are deceased. In addition to his wife and his stepchild, Mr. Bodman has listed the following living close relatives:

Child
Child
Child
Stepchild
Brother

Interviews

Twenty-three persons, consisting of current and former supervisors and coworkers, present neighbors, references, professional associates, and social acquaintances, were interviewed. They provided favorable comments concerning Mr. Bodman's character, associates, reputation, and loyalty.

All persons interviewed during the course of this background investigation stated they are unaware of any illegal drug use or alcohol or prescription drug abuse by Mr. Bodman, nor have they ever known him to exhibit any type of bias or prejudice against any class of citizen or any type of religious, racial or ethnic group. They also commented that they believe Mr. Bodman lives within his financial means. None of the individuals contacted was aware of any information concerning Mr. Bodman that could be used to compromise or coerce him. All persons interviewed recommended him for a position of trust and responsibility.

Among those interviewed are the following:

Samuel Wright Bodman, III

Washington, D.C.;

United States Department of Commerce (USDOC), Washington, D.C.;

Cabot Corporation, Boston, Massachusetts;

USDOC, Washington, D.C.; and

Fidelity Investments, Merrimack, New Hampshire.

Financial Responsibility

A search of computerized credit records, which was conducted at FBI Headquarters in December, 2004, disclosed no pertinent information concerning Mr. Bodman.

Law Enforcement Agency Checks

Information has been received from appropriate law enforcement agencies indicating their files contain no pertinent information concerning Mr. Bodman.

Miscellaneous

A check of the records of ChoicePoint, a public source information database, conducted at FBI Headquarters, disclosed no pertinent information concerning Mr. Bodman.

A check of the records of LEXIS, a computerized public records retrieval service concerning criminal and civil litigation, conducted at FBI Headquarters, revealed no pertinent information concerning Mr. Bodman.

A check of the records of NEXIS, a computerized news retrieval service, conducted at FBI Headquarters, revealed no pertinent information concerning Mr. Bodman.
Samuel Wright Bodman, III

A review of the records of National Association of Securities Dealers, Rockville, Maryland, disclosed Mr. Bodman maintained his broker's license from May 1970, to April 1987, and revealed no pertinent information concerning him.

During a routine interview with the FBI on December 16, 2004, Mr. Bodman advised he has had contact with representatives of foreign governments throughout the years due to his business with Cabot, the Department of Commerce, the Department of the Treasury, and pleasure trips. Mr. Bodman stated, however, it was nothing outside the scope of his duties and it was never any long term contact. Mr. Bodman advised that he has not been the subject of any professional complaints or non-judicial disciplinary action to the best of his knowledge. Mr. Bodman did state, however, that when he was with the Cabot Corporation, he was named in a suit by a former employee regarding discrimination in a pay scale. However, Mr. Bodman stated he was interviewed regarding the incident but was never made officially aware of the outcome of the suit and reiterated that due to his position in Cabot he was named as one of the parties.

As previously reported in his 2003, background investigation, a representative of USDOC, Office of the Inspector General, Washington, D.C., advised that on October 5, 2003, a complaint was filed via the USDOC Hotline alleging that Deputy Secretary Bodman was not suited for managing day to day operations for the Department of Commerce. The representative advised no investigation was required regarding this matter.

During a subsequent interview conducted by the FBI on December 21, 2004, Mr. Bodman stated that he was not aware of the fact that there was a complaint filed against him while he was at the U.S. Department of Commerce. Mr. Bodman stated he had no knowledge, whatsoever, regarding the hotline allegation that he was not suited for managing day-to-day operations. He stated he could not make any comment, whatsoever, regarding the issue since he was not aware of the issue.

On December 20, 2004, [blank] to the President, The World Bank, Washington, D.C., advised he was unable to identify any individuals who may be able to contribute negative feedback regarding Mr. Bodman.
noted that some individuals with smaller Cabot holdings, who have served on the board in the past, have alleged that business opportunities they have brought up in board meetings have been realized by Cabot with no credit given to those individuals. believed that these individuals' claims did not have merit and were not directed specifically at Mr. Bodman, but Cabot in general.

referred to a separate allegation in which Mr. Bodman was accused of providing funds to an employee in Texas who was not entitled to the income. was unable to provide additional details regarding this claim, including but not limited to names of individuals. Mr. Bodman believed that these claims did not have merit.

Agency Checks

Mr. Bodman was the subject of two background investigations conducted by the Defense Security Service (DSS), in March 1987, and October 1993. Pertinent portions of those investigations are incorporated in this summary memorandum. During the course of those investigations, fourteen individuals were interviewed and provided favorable information concerning Mr. Bodman.

In the 1993, DSS background investigation, Mr. Bodman stated that all his foreign travel was in his official capacity as a Representative of a Foreign Interest (RFI) while employed as the CEO and Chairman of the board at Cabot Corporation and executive committee member at MIT. Mr. Bodman stated that his duties as a RFI included evaluating business performance, encouraging growth and investment and recommending/making management changes. His compensation for RFI duties was included in his salary from Cabot Corporation. Mr. Bodman stated that he does not have any personal financial investment/ownership in foreign entities.

During the course of this background investigation, the records of the following entities were checked and found to contain either no record or no pertinent information concerning Mr. Bodman, unless otherwise noted in this summary memorandum:

Commonwealth of Massachusetts Securities;
Central Intelligence Agency;
Defense Clearance and Investigations Index;
Samuel Wright Bodman, III

Department of Commerce;
Department of the Treasury;
Federal Deposit Insurance Corporation;
Office of Personnel Management;
Public Integrity Section and appropriate
United States Attorneys,
Department of Justice;
Securities and Exchange Commission;
United States Secret Service;
and the White House Office.

Various indices of the FBI, including but not limited
to the central index maintained at FBI Headquarters, the index of
the Criminal Justice Information Services Division, the indices
of appropriate field offices and other appropriate computer data
bases were searched regarding Mr. Bodman and his close relatives
by name and other known identifying data only. All searches were
negative with exceptions as noted hereinafter:

Mr. Bodman was the subject of two background
investigations conducted by the FBI in
June 2001, and December 2003, in
connection with Presidential appointments.
The results of those background investigations
are incorporated into this summary memorandum.
During the course of these background
investigations, thirty-five persons provided
favorable comments concerning Mr. Bodman.
During the course of the 2001, background
investigation, records of the Suffolk
County Probate and Family Court, Boston,
Massachusetts, disclosed that on April 29,
1997, Mr. Bodman, plaintiff, and __________
defendant, were granted
a divorce nisi for the cause of irretrievable
breakdown of marital bond. Further review
of those court records indicated that
alleged that
Mr. Bodman was verbally abusive toward
her and that he was involved in a romantic
affair with another woman. The records also
contained information provided by
Samuel Wright Bodman, III

concerning one incident of alleged physical abuse on the part of Mr. Bodman. In the records, Mr. Bodman alleged that he was set in her ways and her manner and commitment to her work. The relationship between Mr. Bodman’s three children from his first marriage and his current wife was that of tolerance that evolved into conflict during which he allegedly struck one of his children during the first year of marriage.

On April 11, 2001, Cabot Corporation, Boston, Massachusetts, advised that she was an employee of the company for four years and then for two years she was Mr. Bodman’s assistant at Cabot Corporation, Boston, Massachusetts. She advised that in October 2000, she stopped working for him to work with the person who was scheduled to be the next CEO. She stated that she and Mr. Bodman were like oil and water in terms of personal style and individual sense of humor, but she had the highest regard for him. She stated that he treated her with respect and their relationship became much warmer as Mr. Bodman continued to be more sensitive to others. She advised that they are different politically. She advised that she was buying a house and Mr. Bodman asked her if she was paying cash or going through a bank. When she stated that she was going through a bank, Mr. Bodman responded that the only problem with going through a bank was that she would have to pay interest. She stated she was unaware as to how he could believe that middle class people could buy a house with cash and assumed his wealth left him somewhat out of touch.
Samuel Wright Bodman, III

described Mr. Bodman as a highly scrupulous individual and with great integrity and recommended him for a position of trust with the United States government. Also during the course of the 2001 background investigation, On April 14, 2001, AN INDIVIDUAL, WHO REQUESTED THAT HIS/HER IDENTITY BE PROTECTED FROM ANYONE OUTSIDE THE FBI,
In April 2001, three other individuals, all of whom worked with Mr. Bodman, advised they were also aware of the situation in India. They advised that Mr. Bodman chose personnel based on the perception of how a country works and that his selection of the individual in India was based on the politics of the region. One individual stated that when Mr. Bodman later disassociated himself from the individual it showed he was able to accept criticism for his choices. Another said Mr. Bodman made every effort to be fair in the India situation.
but that he does not put up with or tolerate discrimination. Another said Mr. Bodman pushes the envelope in asking their foreign interests to maintain a policy of non-discrimination despite the customs of the local culture. Four individuals advised that during the course of Mr. Bodman's divorce from ________ made many public allegations against Mr. Bodman that concerned his behavior in both his professional and personal lives. One individual stated that ________ alleged Mr. Bodman misused Cabot funds and Cabot business arrangements to his own advantage and was aware that the Cabot board hired a retired judge to independently investigate her allegations as they related to Cabot and believed the investigator found nothing improper. On April 16, 2001, ________ of the Cabot Board of Directors Audit Committee, stated that the suggestion to hire an independent investigator came from Mr. Bodman himself and, further, that Mr. Bodman requested the Board to interview his wife. ________ stated that ________ refused to be interviewed. On April 12, 2001, ________ also on the Board of Directors, also stated that Mr. Bodman urged the Board to hire the investigator. He said that all of Mrs. Bodman's allegations disappeared under scrutiny. All four of these individuals recommended Mr. Bodman for the position of trust and responsibility.
Samuel Wright Bodman, III

On April 24, 2001, a second individual, who requested that his/her identity be protected from anyone outside the FBI,
Samuel Wright Bodman, III
Independent of a separate allegation from a protected source, the FBI has identified a possible link between an INDIVIDUAL (IND1), who co-invested with Mr. Bodman in the company Carcon circa 1984 - 1986, and financial activities supporting Osama Bin Laden. From approximately 1984, to 1986, Mr. Bodman, along with roughly 20 other investors, contributed financially to Carcon, a company created for gas pipeline investments. The IND1 was one of the original 20 investors. As previously reported in his 2001 background investigation, Mr. Bodman was questioned regarding the nature of his relationship with IND1 and he advised that he had never heard of this individual.

During a subsequent interview conducted by the FBI on December 21, 2004, Mr. Bodman indicated that he did not have any recollection of IND1. Mr. Bodman stated he made some investments in Carcon in Houston, Texas, and also recalled that an individual possessing an Arabic sounding name also invested in the same company. Mr. Bodman stated that he never had any direct contact with IND1, who made the investment in company, Carcon. Mr. Bodman stated that Carcon was founded by his associates, Bodman advised he invested between $100,000 and $200,000 in Carcon. Mr. Bodman was unaware of the number of investors in Carcon, however, he noted that Carcon was not currently in business. Mr. Bodman believed Carcon was sold to American Oil
and Gas, but he was not sure. Mr. Bodman indicated Mr.
engaged in many different investment ventures and he
was unable to keep track of the current status of all of them.
Mr. Bodman stated he could not positively say what exactly
happened to Carcon, since the company was no longer in existence.
He has not maintained contact with any of its former investors.

Houston, Texas, was interviewed on
December 22, 2004, and he advised he was one of the two founding
partners of Carcon. advised Carcon was created in
order to capitalize on gas pipeline investments. Carcon was
eventually acquired by American Oil & Gas in 1986. Mr.
described the relationship between Mr. Bodman and
Carcon as multifaceted and he could not recall the nature of Mr.
Bodman's relationship with Carcon exactly around the time that
IND1 made his/her investment in Carcon. described Mr. Bodman as both an investor in Carcon and he was one of the
board of directors who later approved joint investments between
Carcon and Cabot Corporation. stated Mr. Bodman
was either an advisor or initial investor in Carcon. Mr.
advised he had a professional and personal
relationship with Mr. Bodman that began in 1975, or 1976. Mr.
does not know IND1 personally or professionally and to
his knowledge nor did Mr. Bodman. stated this
individual became involved with Carcon as an investor through his
attorney at Baker Botts, L.L.P. in Houston, Texas. Mr.
advised he only knew of this individual as being a one
time former investor who was interested in an energy investment.
He never met him. advised that he felt this
individual was an ideal investor in that IND1 never appeared at
investor meetings or asked questions. In other words, this
individual appeared interested only in the profits from the
investment and he/she allowed the board of directors to operate
as they best determined.

of Carcon, Houston, Texas, was
interviewed on December 22, 2004, and he advised that Carcon was
a company created in November of 1984, by both he and Mr.
advised Carcon was initially funded by three of their
acquaintances who made small investments. They agreed to invest
in Carcon after they were approached by who cited
earlier successful ventures. described the initial
investment by the three men as seed money and first round
financing for Carcon, but could not recall the exact amount of
these initial investments. [ ] indicated that Mr. Bodman served on the board of directors of Carcon. [ ] further advised that in 1986, Carcon began second round financing of approximately eight to ten million dollars from approximately 15-20 investors. The investment capital was to facilitate the anticipated acquisition or reverse merger of Carcon with American Oil and Gas. [ ] stated that IND1's investment was among that of the 15-20 other investors. IND1 made a comparatively small investment in Carcon, described as several hundred thousand dollars. [ ] elaborated that when he and [ ] began Carcon their goal was to make it an extremely attractive investment by utilizing the accounting firm Arthur Anderson, investing firm Goldman Sachs, and law firm Baker Botts, L.L.P. as partners consultants to Carcon. [ ] identified [ ] at Baker Botts, L.L.P. who managed energy investment deals for clients who included members of the Saudi Royal family, as well as IND1 and IND1's family. [ ] stated that neither he, [ ] nor Mr. Bodman had ever met IND1 or any member of IND1's family. [ ] further advised that IND1's investment in Carcon was facilitated and managed by [ ] Houston, Texas, was interviewed on December 28, 2004, and he advised that he was a partner of the Houston office of Baker Botts, L.L.P. in the mid-1980's when IND1 made an investment in the Carcon Corporation. IND1 was indicted during the Bank of Credit and Commerce International (BCCI) investigation. According to [ ] the indictment was eventually "settled in favor" of IND1. Prior to IND1's indictment, IND1 employed Mike Skelton as a member of his/her staff in New York. Mr. Skelton is now deceased. Mr. Skelton and IND1 formed the Nimur Company together for the purpose of exploiting petroleum exploration during a period of federal deregulation in the United States. Mr. Skelton managed Nimur on behalf of IND1, who remained relatively uninvolved with Nimur. Mr. Skelton learned that Carcon was going to acquire an interest in a pipeline company, American Oil & Gas. Nimur was interested in learning more about the pipeline industry and saw an investment with Carcon as an opportunity to acquire industry knowledge to be utilized for future development or investment opportunities. Mr. Skelton was responsible for the Nimur investment in Carcon on behalf of IND1. [ ] stated that Andrews Kurth, L.L.P. was another law firm which worked with Baker Botts, L.L.P. on the Carcon and American Oil & Gas deal. Baker Botts, L.L.P. went on to represent Mr. Bodman when he
Samuel Wright Bodman, III

became Chief Executive Officer of Cabot Corporation. Mr. Bodman stated that to his knowledge Mr. Bodman does not know personally or professionally.

During the 2004 investigation, a check of the records of the Texas Comptroller of Public Accounts, Houston, Texas, disclosed Carcon Corporation, 700 Louisiana, 3440 Republic Bank Center, Houston, Texas 77002-2702, incorporated on November 30, 1984, reflected the status as "not in good standing" possibly due to it's 1988 dissolution.

Also during the previous investigation a review of the MCAD records indicated that on August 10, 1994, the complainant, filed a complaint with the Commonwealth of Massachusetts Commission charging Cabot Corporation with unlawful discrimination on the basis of sex in violation of Massachusetts General laws. The complainant alleged that she was discriminated against generally in terms and conditions of her employment due to her sex, compensated at a lower rate than males working in the same or similar positions, and constructively discharged from her job as a direct result of the discrimination. Concerning the complaint of general discrimination in terms and conditions of her employment, the Hearing Commissioner found that four incidents cited of alleged discrimination had occurred well before six months of the filing date and were time-barred. A last incident was discussed in his findings concerning the complaint of constructive discharge. Concerning the complaint of discrimination based on unequal compensation the commissioner found that had established that she was a victim of and entitled to judgement. On the third complaint of constructive discharge, he found that the working environment was not intolerable and that had failed to make a case of constructive discharge. Damages of $227,748, plus
Samuel Wright Bodman, III

interest, in back pay and $150,000, plus interest, for emotional distress were awarded to

A review of the hearing transcripts in this matter revealed offered as proof of discrimination several discussions with senior Cabot officials, including Mr. Bodman, in which they stated that female employees were not effective in certain roles and in foreign countries due to cultural differences and that Cabot acceded to prejudices held by others; that she was required to work with an image consultant; that she was required to attend a leadership course (although she ultimately did not); and the restructuring of work assignments. In addition, transcripts revealed that two female Cabot employees testified on behalf of One employee alleged she was subject to "sexually offensive" conduct by Mr. Bodman and another Cabot manager, specifically that Mr. Bodman, during two separate conversations, placed his hand on her thigh and placed his arm around her. On both occasions others were present. The others did not testify to any actions by Mr. Bodman but offered confirmation of several incidents cited by in her testimony.

Cabot Corporation, Boston, Massachusetts, was interviewed on December 22, 2004, and he advised the complaint filed in 1994, involving former employee was probably settled by then General Counsel did not have any knowledge whether or not consulted with the Cabot Board of Directors concerning the filing of the complaint, the finding, or the settlement of damages. added company policy did not require the Board of Directors to be notified of a complaint or finding and did not have to authorize any settlements. stated that he would be surprised if the
Samuel Wright Bodman, III

Board of Directors were involved as he recalled that other cases involving greater issues and higher dollar amounts were handled without the Board's approval. Advised since Mr. Bodman's departure from the company in 2001, there have been no complaints, civil proceedings, or other litigation naming Mr. Bodman as a party.

On June 5, 2001, the FBI interviewed of Cabot Corporation, and of Cabot Corporation, and Sarah Chapin Columbia, Attorney, the law firm of Choate, Hall and Stewart, all of Boston, Massachusetts in regards to the afore-mentioned matters. It should be noted attempts to locate the original FBI interview reports of these individuals were unsuccessful.

On May 31, 2001, Topeka, Kansas, advised he was the for the Cabot Corporation from 1991, to mid 1993, and that Mr. Bodman was the Chief Executive Officer/Chairman of Cabot Corporation during that time.

stated Mr. Bodman was one of many people that interviewed him for employment at the Cabot Corporation.

stated that Mr. Bodman was a no-nonsense business person who was very driven. stated that Mr. Bodman came with a Massachusetts Institute of Technology business background, he had a "technical" bent to his style and that he met people well. stated Mr. Bodman accomplished tasks on time and was somewhat cold. stated he did not build a personal relationship with Mr. Bodman while he worked for him because their styles and personalities were so different. stated that Mr. Bodman was extremely loyal to the Cabot Corporation, the Board, and the shareholders and that his reputation
Samuel Wright Bodman, III

was that of a hard-nosed, driven executive, and his ability was very high. When was asked if he would recommend Mr. Bodman, stated he would recommend Mr. Bodman, but felt there were other capable people he would recommend much more readily.

stated that he and Mr. Bodman were different in their personalities and never got to be close, however, they both decided it would be better if he were to go elsewhere. was asked if there was ever an event in which someone tried to run him down with a car.

stated that someone had distorted the facts. stated he was the victim of a hit and run, but neither Mr. Bodman nor Cabot Corporation had anything to do with it.

stated he was always treated fairly and he knew of nothing at Cabot that he would consider illegal.

On May 30, 2001, Howard Blauvelt, Keswick, Virginia, advised he met Mr. Bodman while they both served as directors for Cabot Oil and Gas (COG), a subsidiary of Cabot Corporation. Mr. Blauvelt advised that he and Mr. Bodman would meet during approximately twelve board meetings for COG over a span of two to three years. Mr. Blauvelt advised that these board meetings took place seven or eight years ago. He advised that he has not had any social contact since the aforementioned board meetings with Mr. Bodman. Mr. Blauvelt described Mr. Bodman as a very intelligent man who is a very capable individual. Mr. Blauvelt stated he has no information whatsoever regarding allegations of improprieties by Mr. Bodman's misuse of Cabot funds. Mr. Blauvelt stated that Mr. Bodman's character is impeccable. He advised his experience with
Samuel Wright Bodman, III

Mr. Bodman as a director leads him to believe that Mr. Bodman is qualified to undertake a position of importance within the United States Government.

On May 30, 2001, Judge Harold R. Tyler, a retired Federal New York Judge hired by Cabot Corporation Board of Directors New York, New York, was interviewed as he had been identified as the person who investigated allegations of improprieties by Mr. Bodman's former spouse. He advised that he has no independent memory relating to an investigation that he is reported to have conducted regarding allegations regarding Mr. Bodman misusing Cabot funds. Judge Tyler stated that he consulted with his employer of the law firm of Patterson, Belknap, Webb, and Tyler LLP, who reviewed past records. Judge Tyler stated the records indicated that Mr. Bodman was listed as a witness giving a deposition in a case but that file is no longer available. He could provide no additional information.

Mr. Bodman advised that his contact with foreign government officials was ceremonial or for negotiation or oversight of interest for Cabot. Mr. Bodman stated he could not recall any names accept for the mayor of Shanghai, who is now the Premier of the People Republic of China. Mr. Bodman stated all other travel was related to Cabot's business or pleasure. Mr. Bodman stated he could not recall the foreign nationals and he did not travel alone with foreign nationals and at no time was he ever approached by a foreign national. Mr. Bodman advised he has never asked to meet with any foreign national or official.
in which there was not relevant business issue to discussed or meeting related to his agenda.

Mr. Bodman advised his brother, James Bodman, has a company for which he traveled aboard in Asia. Mr. Bodman stated he has never received any type of counseling, psychological or psychiatric treatment except for marital counseling. (Due to the nature of the counseling, no additional investigation was conducted concerning it.)

It should be noted that a technical fingerprint search concerning Mr. Bodman was conducted during his 2001, FBI investigation resulting in no record located.

It should be noted that results of the above indices searches reveal only data entered into those indices as of the date each was searched. However, it should also be noted that some delays may occur as to the entry of such data.
Date: December 30, 2004

To: PSS
SIGBIU, Room 10861

From: A-1 Support Services Technician (SST)

Subject: 1614-HQ-1337020
Samuel Wright Bodman III

Details: Attached are three FD-340's containing the interview/investigative notes of SA re: the background investigation conducted by Houston.
Universal Case File Number: 161A - HQ - 1337020

Field Office Acquiring Evidence: Houston Division

Serial # of Originating Document: 

Date Received: 12/28/04

From: 
(Name of Contributor)

(Address of Contributor)

(City and State)

By: 
(Name of Special Agent)

To Be Returned: ☐ Yes ☑ No

Receipt Given: ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure: ☐ Yes ☑ No

Title:

Samuel Wright Bedman III

- FBI HQ Special

Reference: 
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

interview w/ Samuel Wright Bedman III

in re: Samuel Wright Bedman III

SPW IVA LEOO SPECIAL
of CARCON

- investor

- did Samuel Wright Badman, III have a connection, know, interaction with

b6

Baker Botts act as an intermediary to the entire transaction?

- Did Baker Botts represent American Oil & Gas, as well as CARCON and

b7c

- How did end his relationship w/CARCON?

divorced himself of the investment?

- after indictment Baker Botts advised them

Michelson group asked to liquidate American Oil & Gas investment

Cobot rep. by GB

Am Oil & Gas by Andrew Kuett

Mike Sheehan died (kidney failure)
Put up primary entity of Texas Commerce Bank now Chase Bank

" " Republic Bank

acquired Main Bank, head housed in Houston, TX developer.

turn of phrase

is what he went by

Principal

family

New commercial bank of Saudi Arabia

(website) - staff based in NY, office in NY.

Caught up in BCCI scandal (indicted in

absentia), eventually indictment was settled.

Because of this he lost interest in investing in U.S.
investment.
- Mike Skelton, staff of Matrion, Nimer founded petroleum exploration co., Mike ran it.

- Learned [Cercan] was going to acquire an interest in a pipeline [co.]. Wanted to learn more about the pipeline industry during a period of deregulation of the pipeline industry.

- Cercan was founded to invest in an unregulated pipeline (interest), so wasn't regulated at the federal level.

- Mike Skelton facilitated the investment in Cercan.

- Andrews Kuritz, other law firm working w/ Baker Botts on Cercan.

- Embedded investment staff in NY that works for the Nat'l Commercial Bank of Saudi Arabia.
Bolman was Baker Botts client for long time
a retired attorney, was a partner of the Houston office of BAKER BOTTS, L.L.P. in the mid-1980's when he made an investment in the CARCON CORPORATION. The interviewee insisted the proper spelling of the name to be BACON, as opposed to BACON. The family owns the NATIONAL COMMERCIAL BANK OF SAUDI ARABIA (NCBSA), and who also went by served as the principal for the family in regard to its commercial banking interests in the United States. NCBSA has maintained, and continues to maintain, an office and staff based in NEW YORK CITY.

The family put up the principle equity for the TEXAS COMMERCE BANK, which is now CHASE BANK, and the TEXAS REPUBLIC BANK. These banks were part of a project by developer . The family also owned MAIN BANK and maintained a house in Houston, Texas. was indicted in absentia during the BANK OF CREDIT AND COMMERCE INTERNATIONAL (BCCI) investigation. At the time of the indictment was not residing in the United States and was advised by his legal counsel at the NEW YORK CITY office of SULLIVAN CROMWELL, L.L.P. to not return to the United States until there was an opportunity to resolve issues surrounding his indictment. According to the interviewee, the indictment was eventually settled in favor of but as a result of what perceived as unfair treatment he lost interest in investing in the United States. BAKER BOTTS, L.L.P. continued to advise during the period he divested the family's U.S. based investments.

Prior to indictment, MIKE SKELTON was a member of the family's NCBSA staff in NEW YORK. SKELTON is now deceased. SKELTON and formed the NIMUR COMPANY together.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency;
Continuation of FD-302 of 

for the purpose of petroleum exploration during a period of federal deregulation in the United States. SKELTON managed NIMUR on behalf of who remained relatively uninvolved with NIMUR as a result of his many competing responsibilities with NCBSA. SKELTON learned that CARCON was going to acquire an interest in a pipeline company, AMERICAN OIL & GAS. CARCON was founded to invest in unregulated intrastate gas pipelines, as opposed to interstate pipelines which were still federally regulated. NIMUR was interested in learning more about the pipeline industry and saw an investment with CARCON as an opportunity to acquire industry knowledge to be utilized for future development or investment opportunities. SKELTON was responsible for the NIMUR investment in CARCON on behalf of 

stated that ANDREWS KURTH, L.L.P. was another law firm which worked with BAKER BOTTS, L.L.P. on the CARCON and AMERICAN OIL & GAS deal. BAKER BOTTS, L.L.P. went on to represent SAMUEL WRIGHT BODMAN III when he became Chief Executive Officer of CABOT CORP. Specifically, mentioned a CABOT and CARCON/AMERICAN OIL & GAS joint investment that occurred while BODMAN was both the CEO of CABOT and an investor in CARCON, which was part of AMERICAN OIL & GAS at that time. The publically scrutinized transaction was managed for BODMAN and CABOT by BAKER BOTTS, L.L.P. in order to avoid any improprieties, and was ultimately successful. ANDREWS KURTH, L.L.P. represented AMERICAN OIL & GAS/CARCON throughout the transaction. HARRY BARBER, formerly of BAKER BOTTS, L.L.P., served as general counsel of CABOT during the CARCON/AMERICAN OIL & GAS/CABOT pipeline business venture. BARBER is now deceased. 

stated that to his knowledge BODMAN does not know personally or professionally.
Universal Case File Number 161A - HQ - 1337020

Field Office Acquiring Evidence Houston Division

Serial # of Originating Document

DateReceived 12/22/04

From __________________________________________

(Name of Contributor)

_________________________________________

(Address of Contributor)

________________________________________

(City and State)

By ____________________________

(Name of Special Agent)

To Be Returned □ Yes □ No

Receipt Given □ Yes □ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure □ Yes □ No

Title: Samuel Wright Bodman III

-FBI HQ Special

Reference: ____________________________________________

(Communication Enclosing Material)

Description: □ Original notes re interview of

________________________________________

in re: b6

________________________________________

Samuel Wright Bodman III SPN/NA LEO SPE/EL

________________________________________
Interview of

- Career Nov. '84

raised $ in '85

- included financing in '85, small group of people

1st round

- Sam Fedman - very successful investment 2/2 on earlier investment

- used $ in '85

2nd round

- $0-9,10M investors

- 15-20 investors for larger deal in '86

- "American Oil & Gas" reverse merger

- did not know

- Partner of firm Ed Botto knew through family

- royal family

- family came in several hundred $1, not a million

- has been told

- had health problems, so came with (in his 40's during 3rd ministry) Houston for treatment

- Partner retired
left letter's office to join Enron. Left Enron before blow up because suspected something was wrong at Enron.

Part time reside in Houston. Apt in Houston, home in Maine, home wife's ranch near Ft. Worth. Apt. phone # in Houston

House in Albany, TX.

Still friends, but doesn't see them much.

"Boman was on Board of Directors of Carco - very well prepared, highly reliable, "

b6

b7c
FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/27/2004

Social security account number____________________ work address 510 Bering Drive, suite 300, Houston, TX 77057, telephone 713-974-8890;

residence__________________________ provided the following information:

(b6) founded the CARCOM company with ______________________________ social security account number____________________ date of birth__________________________ work address, Bank One Center 910 Travis Street, suite 1930, Houston, TX 77002-5809, telephone (713) 655-0366, e-mail__________________________ residence,__________________________ in November of 1984. ______________________________ served as the ______________________________ of CARCON. CARCON was a company created as an investment vehicle for gas pipeline investments.

CARCON did not begin to raise money until early 1985. CARCON was initially funded by three individuals who made "small" investments. SAMUEL WRIGHT BODMAN III currently of Washington D.C., of Austin, TX, and ______________________________ of Dallas, TX. ______________________________ did not know off hand what the amount of these initial investments were. These ______________________________ individuals were acquaintances of ______________________________ approached BODMAN about the CARCOM investment opportunity. BODMAN had previously made a financially lucrative investment with ______________________________ and decided to invest with him again in CARCON. ______________________________ described the initial investment by the three men as "seed" money and "first round financing" for CARCON. At some point in the history of CARCOM BODMAN served on its Board of Directors. ______________________________ did not specify the dates of BODMAN's service on the CARCOM Board of Directors, and interviewer did not ask. In BODMAN's capacity on the Board of Directors, ______________________________ described him as "very well prepared" and highly valuable" in his asking of relevant and important questions associated with CARCON's investments.

In 1986 CARCON began "second round financing" of approximately $8 to $10 million from approximately 15-20 investors for a larger CARCON investment. Specifically this was the acquisition or reverse merger of CARCON with AMERICAN OIL & GAS. The ______________________________ family" ______________________________ was one of the 15-20 investors. The ______________________________ family" made a

Investigation on 12/23/2004 at Houston, Texas

File # 161A-HQ-1337020

by SA ______________________________

Date dictated 12/27/2004

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency;
comparatively small investment in CARCON, described in the "hundreds of thousands of dollars."

When began CARCON their goal was to make it an extremely attractive investment. It was for this reason that CARCON utilized the accounting firm ARTHUR ANDERSON, investing firm GOLDMAN SACHS, and law firm BAKER BOTTS, L.L.P. as partner consultants to CARCON. was a managing partner at BAKER BOTTS, L.L.P. who managed energy investment deals for clients including the Saudi Royal family. contacts included the family. stated that neither he nor BODMAN, to his knowledge, had any relationship with the family and in fact had never even met any member of the family. The family investment in CARCON was facilitated by who introduced the opportunity to the family based upon knowledge obtained in his capacity at BAKER BOTTS, L.L.P. and the family's desired investment strategy. managed the family investment in CARCON.

who has never met a member of the family, stated that through colleagues and acquaintances he heard that a man who he thinks is bought a home in the 1980's in an exclusive Houston neighborhood in order to have a place to stay on visits to the area for medical treatments. estimates that the man he thinks is was in his 40's during the mid 1980's.

left BAKER BOTTS, L.L.P. to join the ENRON CORPORATION, but left ENRON before the company "blew up" because he reportedly suspected that there was "something wrong" with the company. is retired and currently resides at three locations, described as a friend, but does not see him very often any more due to the fact that usually resides away from Houston.
From: [Name] (ASD) (FBI)  
Sent: Thursday, December 23, 2004 1:03 PM  
To: [Name] (HO) (FBI)  
Subject: RE: Re: Follow-up questions  

**UNCLASSIFIED**  
**NON-RECORD**  

See if you can work with these questions. Thanks.  

1. What happened to American Oil and Gas?  
2. Was there any relationship between Cabot Corporation and Carcon or American Oil and Gas? Are these 3 linked somehow?  
3. What was Samuel Bodman's role in each of these 3 companies?  
4. What was Bodman's investment $ amount in Carcon and American oil and Gas? Is he still a shareholder?  
5. What was the nature of the merger? Was it a stock swap where Bodman would now be a shareholder in American Oil and Gas after the merger, or did he cash out?  
6. Did Baker Botts represent American Oil and Gas, as well as, Carcon?  
7. Who and how many venture capitalist firms like Arthur Anderson, were involved with Carcon?

-----Original Message-----  
From: [Name] (HO) (FBI)  
Sent: Thursday, December 23, 2004 10:57 AM  
To: [Name] (ASD) (FBI)  
Cc: [Name] (HO) (FBI)  
Subject: Re: Follow-up questions  
Importance: High  

**UNCLASSIFIED**  
**NON-RECORD**  

Please send me all of the follow-up questions ASAP that we discussed this morning, and that you have come up with in the meanwhile, for (if I can locate him) based on my interviews thus far in re: Carcon, American Oil and Gas, etc.

I know we discussed this morning, but I would like all of your thoughts on paper to ensure that I don't miss anything. The ASAC from white collar is the only person available to assist me today, and has expressed a willingness to assist with these interviews, so go ahead and put together a "wish list" of questions that a lawyer like myself would not understand, but an accountant would, and I'll make sure I'm in good company when I conduct the re-interviews. I'm trying to pre-empt the need to return to these individuals repeatedly due to the mere fact that I am not experienced enough in this area to ask the right follow-up questions the first time. The ASAC from WC is coming up to my office in the next hour to discuss.

12/23/2004
If you have any questions tonight or over the weekend, get me on my cell ___ Happy Holidays...

----Original Message-----

Per our ongoing dialogue today, I am forwarding you the following summary in order to provide the results of my 12/22/04 interview on behalf of the lead for SAMUEL WRIGHT BODMAN III (Case ID # 161A-HQ-1337020). The formal response will be forwarded as soon as possible pending Supervisor approval.

CARCON was started in November of 1984. It did not begin to raise money until early 1985. CARCON was initially funded by three individuals who made "small" investments. SAMUEL WRIGHT BODMAN III (currently of Washington D.C. [of Austin, TX], and of Dallas, TX), _____ did not know off-hand what the amount of these initial investments were. These individuals were acquaintances of the founders of CARCON). BODMAN had previously made a financially lucrative investment with and decided to invest in CARCON when approached by as a result of their previous success. _____ described the initial investment by the three men as "seed" money and "first round financing" for CARCON. BODMAN served on the Board of Directors of CARCON [at some point this occurred, S did not get exact dates, specifics associated with BODMAN's role on the Board of Directors of CARCON] and CONNORS described him as "very well prepared" and highly valuable" in his asking of relevant and important questions associated with CARCON's investments.

In 1986 CARCON began "second round financing" of approximately $8 to $10 million from approximately 15-20 investors for a larger CARCON investment. Specifically this was the acquisition or reverse merger of CARCON with AMERICAN OIL & GAS. The _____ family" was one of the 15-20 investors. The _____ family" made a comparatively small investment in CARCON, described in the "hundreds of thousands of dollars."

When _____ began CARCON their goal was to make it an extremely attractive investment. It was for this reason that they utilized the accounting firm ARTHUR ANDERSON, investing firm GOLDMAN SACHS, and law firm BAKER BOTTS, L.L.P. as partner consultants to CARCON...
was a managing partner at BAKER BOTTS, L.L.P. who managed energy investment
deals for clients including the Saudi Royal family. Contacts included the
family, who stated that neither he, nor BODMAN, to his knowledge, had any
relationship with the family and in fact had never even met any member of the family. The
family investment in CARCON was facilitated by, who introduced the opportunity
to the family based upon his knowledge obtained in his capacity at BAKER BOTTS, L.L.P. and the family's
desired investment strategy managed the family investment in CARCON.

who has never met a member of the family, stated that through colleagues and
acquaintances he heard that a man who he thinks bought a home in an
exclusive Houston neighborhood in order to have a place to stay on visits to the area for medical
treatments estimates that the man he thinks was in his 40's during the mid 1980's.

left BAKER BOTTS, L.L.P. to join the ENRON CORP., but left ENRON before the company
"blew up" because he reportedly suspected that there was "something wrong" at ENRON
date of birth, social security account # is retired and currently resides at three
locations

describe as a friend, but does not see him very often any more.
Universal Case File Number 161A - HQ - 1337020

Field Office Acquiring Evidence Houston Division

Serial # of Originating Document

Date Received 12/23/04

From 
(Name of Contributor)

(Address of Contributor)

(City and State)

By SA 
(name of Special Agent)

To Be Returned □ Yes ☑ No
Receipt Given □ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure □ Yes ☑ No

Title: Samuel Wright Badman III - FBI HQ Special

Reference: 
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

in re: Samuel Wright Badman III

SPW JNA LEMO SPECIAL
910 Travis St. suit 1930
Houston, TX 77002-5809

Character

Mr. Cockman friend since '75 or '76, business relationship that
dw. into friendship. Highly intelligent; very of his word;
intelligent & hardworking; very successful in many ways.

Personal friend, firm "beyond approach". Very for America,
very accomplished in many areas.

- Cornell grad, MIT PhD chem engineering - taught at
  MIT very well thought of.
- 5 yr. Venture cap. arms of fidelity, when candidate vet
  launched into an $100m investment.
- Pres. Cubot corp., left 4½ yrs ago after 15 yrs
  there. Me convinced, apt. from a
dep. fee. of Commerce.

Very active at "Commerce" and "Treasury". Fam is
retired from the business world. Very highly recommended.
- Belong to non-resident member of the "Austin Country Club."
- Member of a private club in DC - no knowledge of name
- Can't answer whether or not in part has been involved in other clubs or orgs. - some civic charities when lived in Boston.

Reputation

- Highest standing roommate of [Name], when at Cornell.

Integrity

- No knowledge of sympathy/loyalty to foreign ports. 110% loyal to America.

Quals & Abbos

- Chem. Engineer w/ highest honors
- LNG in Boston
Cancer - investment in the mid-80's

was name basis.

Jim was a personal investor in '85.

- as part of "Kinder Morgan" today

(KML) - stock ticker

"American Oil and Gas" Morgan Tree eventually

- gas pipeline investment

- '89

- can't recall whether or not he was a

personal investor at that time in Cancer.

- if not personal investor, then investment

was "a lot less."

Cancer + American Oil (a few shares held over 60)

close friend, he's occasionally - keeps very good

records.

- Cancer + American Oil 075 (hated share holder was GE)
- Badman has been a success at every position in his career.
- Great scientist, bonus person, great knowledge of the energy industry.
- Personally very pleased to see him taking on this challenge.

- never been indicted.

- Baker Botts L.L.P.
  01 Shell Plaza
  910 Louisiana St.
  Houston, TX 77002-4995
  (713) 229-1254
social security account number

date of birth

work address, Bank

One Center 910 Travis Street, suite 1930 Houston, TX 77002-5809, telephone (713) 655-0366, e-mail

residence, provided the following information:

[Although full background investigation questions were asked of the interviewee, per SA FBIHQ SA is omitting interviewee's responses to these questions. SA advisied that FBIHQ is concerned only with the responses to questions contained within the lead assigned to Houston Division]

advised that he has known candidate SAMUEL WRIGHT BODMAN III personally and professionally since the two began a business relationship in 1975 or 1976. CARCON is the name of a company started by interviewee and in the mid-1980's. The name of the company was created from the last names of served as the CARCOM. He resides at telephone suite is described as a close friend, whom interviewee sees on occasion, and as a very good custodian of CARCON's records.

SAMUEL WRIGHT BODEN, III was an initial investor in the CARCON company. CARCON is now part of KINDRED MORGAN (KMI), New York Stock Exchange (NYSE) stock symbol KMI. CARCON was started as an investment vehicle/fund designed to enter into "gas pipeline investments." CARCON identified AMERICAN OIL & GAS (AOG) as an undervalued company and began identifying investors interested in contributing capital to acquire AOG. Approximately ten to twelve investors were identified and ten million dollars were raised. was one of these investors. CARCON was acquired by AOG in a reverse merger that financially benefitted both companies. AOG eventually merged with CANADA ENERGY and after several other acquisitions and mergers became what is known today as KMI.

Investigation on 12/22/2004 at Houston

File # 161A-HQ-1337020

by SA

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency;
Interviewee stated that [deleted] was very active in Houston around the time of his investment in CARCON. Interviewee has never met [deleted] and to his knowledge neither has BODMAN. [deleted] invested in CARCON through the Houston law firm BAKER & BOTTS, L.L.P., One Shell Plaza, 910 Louisiana Street, Houston, TX 77002-4995, telephone (713) 229-1234. [deleted] is reported to have been interested in specifically making some energy related investments. BAKER & BOTTS, L.L.P. also represented CARCON at the time of the [deleted] investment; this was how [deleted] reportedly became aware of the investment opportunity in CARCON.

[deleted] is described as a very good investor in that he did not have a controlling share of the investment in CARCON, and did not participate in the CARCON investor meetings. Interviewee clarified that this was beneficial because it allowed CARCON management to focus on its business responsibilities without focusing on answering to [deleted] as an investor.

In 1989 CABOT CORP. and CARCON became involved in a business relationship while BODMAN served as the CEO of CABOT CORP. The relationship involved investment in a CABOT CORP. pipeline. The investment was profitable for both CARCON and CABOT CORP. Interviewee could not state whether or not BODMAN was still a CARCON investor at the time of this transaction.

[deleted] concluded the interview stating that BODMAN has been a success at every position in his career. He is a great scientist, business person, with a great knowledge of the energy industry. He is personally very pleased to see BODMAN "taking on this challenge."
Full Background Investigation

Candidate: Samuel Wright Bodman III

Date: 12/22/2004

Interview: David M. Carmichael

SS#: 455-58-4666

DOB: 10/23/1948

Hm Add/#: __________________________________________________

Wk Add/#: 910 Travis St. suite 1930, Houston, TX 77002-5809

Met: SA

"AS FAR AS YOU KNOW..."

Character-
1- Actions and statements - general attitude
2- Trustworthiness
3- Reliability
4- Discretion or lack thereof

Associates-
5- Persons, businesses, groups/organizations associated
   (disreputable or disloyal nature?)

Reputation-
6- General standing in community
7- Professional reputation
8- Personal reputation

Loyalty-
9- Attitude and allegiance toward U.S. and Government - any sympathies with foreign government

Qualifications and ability-
10- Capacity or competence to perform well in occupation or field of employment.
   a- Legal ability
   b- Types of cases handles
   c- Trial experience
   d- Courtroom demeanor
   e- Reputation for fairness
   f- Temperament
   g- Ability to weigh conflicting testimony and make factual determinations
   h- Written Skills
   i- Oral Skills

Principal suitability and security standards for Federal Employment-
11- Delinquency or misconduct in prior employment?
12- Criminal, dishonest, disgraceful conduct? N
13- Intentional false statement or deception or fraud in examination or appointment? Y
14- Habitual use of intoxicating beverages to excess? N
15- A) Abuse of alcohol or prescription drugs? N  B) Used or sold illegal drugs? Y
16- Reasonable doubt of loyalty to the U.S.? N
17- Refusal to furnish testimony required by civil service rules?

Security -
18- Any behavior, activities, or associations that show not reliable or trustworthy? Y
19- Deliberate misrepresentations, falsifications, or omission of material facts? N
20- Criminal, dishonest, immoral, or disgraceful conduct, habitual use of intoxicants to excess, drug
   addiction, or sexual perversion? N
21- Any illness, including any mental condition, or of a nature that may cause significant defect in the
   judgement or reliability of candidate? N
22- Any facts which furnish reason to believe that the individual may be subjected to coercion, influence, or
   pressure which may cause the person to act contrary to the best interests of the national security? N
23- Commission of any act of sabotage, espionage, treason, terrorism or sedition, or attempts, threat, or preparation - conspiring with, or aiding or abetting, another to commit or attempt to commit any act of sabotage, espionage, treason, terrorism or sedition? N

24- Establishing or continuing a sympathetic association with a saboteur, spy, traitor, seditionist, anarchist, terrorist or revolutionist, or with an espionage or other secret agent or representative of a foreign nation whose interests may be contrary to the interests of the U.S., or with any person who advocates the use of force or violence to overthrow the Government of the U.S. or the alteration of the form of Government of the U.S. by unconstitutional means? N

25- Advocacy of use of force or violence to overthrow the Government of the U.S., or of the alteration of the form of Government of the U.S. by unconstitutional means? Y

26- Knowing membership, with specific intent of furthering the aims of, or adherence to and active participation in, any foreign or domestic organization, association, movement, group, or combination of persons which unlawfully advocates or practices the commission of acts of force or violence to prevent others from exercising their rights under the Constitution or laws of the United States? N

27- Intentional, unauthorized disclosure to any person of security information, or of other information, disclosure that is prohibited by law, or willful violation or disregard of security regulations? N

28- Performing or attempting to perform duties, or otherwise acting, so as to serve the interests of another Government in preference to the interests of the U.S.? N

29- Refusal, on the ground of constitutional privilege against self-incrimination, to testify before a congressional committee regarding charges of alleged disloyalty or other misconduct? Y

Financial
30- Lifestyle or spending habits consistent with means? N
31- Financially responsible? Y

Background
32- Anything in background that could be used to influence, pressure, coerce, or compromise candidate in any way, or that could have an adverse impact on character, judgement, stability, discretion, trustworthiness, or responsibility? Y

Bias
33- Any bias or prejudice against any class of citizens or any religious, racial, or ethnic group, - degree to which judgement would be affected? No

Suitable for employment? Yes
Access to sensitive information? Yes
Recommend? Yes
Confidentiality?
REST A  ENDW  CLASS C  Exp Date 10/30/08

Current Status
Clear
*** No Warrant Record ***
****** Start Out Of State History *******
No Out Of State History
******* End Out Of State History *******
End Of MSG

Output msg 221, from lid# for fbhb 12/22/04 12:06
Disseminated on tlets for criminal justice purposes only
**THIS MESSAGE IS FROM THE TCIC 2000 SYSTEM.**

OUTPUT MSG 224, FROM TCC# FOR FBHB 12/22/04 12:16
DISSEMINATED ON TLETS FOR CRIMINAL JUSTICE PURPOSES ONLY
NO RECORD ON FILE

CRIME RECORDS SERVICE DPS AUSTIN TX 12/22/2004
Universal Case File Number: 161-A-HQ1337070

Field Office Acquiring Evidence: FO

Serial # of Originating Document: 

Date Received: 12-16-84

From: Samuel W. Bodman

1500 Pennsylvania NW

WDC

(Name of Contributor)

Address of Contributor)

(Name of Special Agent)

To Be Returned: Yes

Receipt Given: No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure

Yes No

Title:

Samuel Wright Bodman III

Level I - PAS

Reference: (Communication Enclosing Material)

Description: Original notes re interview of

Samuel W. Bodman
unemployment

3/01 - 7/01 - travel to say
   good bye

17 A. - house in Bahamas

(-) nothing outside scope
   no longer own

18 A. - business - pleasure

26. - 3. FBI
   security / background

15.

broker's lie or memo
   no

25
Name: Samuel Bodman
Location: U.S. Treasury
Date: 12-16-04
Time: 11:00

A. Drugs/Alcohol: NO
B. Finances: NO
C. Civil Suits: NO
D. Criminal History: NO
E. Terrorism: NO
F. Employment: NO
G. Contact with Representatives of Foreign Government: NO
H. Counseling: NO
I. Professional Complaints: NO, settled, noted, deposed
J. Conflict of Interest/Investments: NO, aware, directed
K. Membership: NO
M. Compromise/Blackmail: NO

Additional Comments:
1st wife - died; remained, divorced, accused, nothing (Caucasian)
last 86 - estimated date
2/3/01 - 7/01  unemployment

19-A. Harbour Island 7 house Bahamas
17-C business - none long term
18-A business - none pleasure

Background check

supplemental is

None current

Cobot stock blind trust

No broken ties or aegi.

SF-86
12-13-04
FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1419042-0

Total Deleted Page(s) = 2
Page 1 ~ Duplicate;
Page 2 ~ Duplicate;

XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
X Deleted Page(s)    X
X No Duplication Fee X
X For this Page      X
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
Precedence: ROUTINE                     Date: 08/14/2008
To: CIRG                                  Attn: NCAVC
From: Washington Field                   CR-6
Contact: (202)278-2188

Approved By:                               Drafted By:

Case ID #: (Pending) (Pending)

Title: NEW CASE REQUEST;
       THREATENING LETTERS;
       WFO

Synopsis: To request a new case be opened and assigned.

Details: On 5/20/2008, Secretary of Energy, Samuel W. Bodman received threatening email from accusing the Secretary of covering up the murder of Stanley Meyer.

Department of Energy has requested continuous threat assessments and BAU is requested to provide along with investigative and interview strategies/suggestions. SSA of BAU 1 has been the initial individual assigned to this case.

It is requested that the NCAVC open and assign a case, which should be captioned as follows:

SAMUEL W. BODMAN - VICTIM
Department of Energy
Washington, D.C.
5/20/2008
Threatening Letters

LEAD(s):
Set Lead 1: (Action)

CIRG

AT NCAVC, VA

That the NCAVC open and assign a case as listed in

Case ID:  Serial: 1699

Closed  Opened  Assigned  9/17/08
9/17/08 9/14/08
the details of this communication.
To: Federal Bureau of Investigation
Attn: EADSU (Room 4965)

From: Office of Counsel to the President

Subject's full name: SAMUEL WRIGHT BODMAN III

Other names used (including birth, prior married, and nicknames): NA

Social Security Number: 329-32-7756
Date of birth: 11/26/38
Place of birth: CHICAGO IL

Permanent address: 40 BATTERY ST BOSTON MA 02109

Current employer(s): CABOT CORPORATION

SUBJECT'S CONSENT: I hereby authorize the FBI to provide the information specified below to the OPE.

[Signature] 3/14/01

Request of FBI (Use of this form to request information developed by the FBI or contained in FBI files requires the subject's consent. Exceptions will only be permitted as authorized by the Attorney General/Deputy Attorney General.)

☐ Name check (EADSU) ☐ Copy of previous report (EADSU)
☐ Expanded name check (SIGBIU)
☒ Full field investigation (SIGBIU) ☐ Level 1 ☐ Level 2 ☐ Level 3
☐ 5-year reinvestigation (SIGBIU) ☐ Level 2 ☐ Level 3
☐ Limited update investigation (SIGBIU)
☐ Other (specify)

The applicant is being considered for:

☐ Presidential appointment ☑ Position requiring Senate confirmation
☐ White House staff position
☐ Access: ☐ Detailee/other government employee ☐ Contractor ☐ Intern ☐ Volunteer
☐ Presidential recognition
☐ Other (specify)

Attachments: ☑ SF-86 ☑ SF-86 Supplement ☑ Fingerprint Card ☐ Other

Remarks/ Special instructions: Department of Commerce

I certify, subject to 18 U.S.C. § 1001, that the above is sought for official purposes only and I understand that obtaining this information under false pretenses or any unauthorized disclosure may be a violation of the Privacy Act, 5 U.S.C. § 552a.

[Signature]

Requested by:

This request has been reviewed and approved by the Counsel's Office.

[Signature] 3-19-01
MEMORANDUM FOR PROSPECTIVE APPOINTEES

FROM: ALBERTO R. GONZALES
COUNSEL TO THE PRESIDENT

This memorandum confirms in writing your express consent for the Federal Bureau of Investigation to investigate your background in connection with the consideration of your application for Federal employment.

You should be aware that the authority to collect this background information is based on the President's executive powers in Article II of the Constitution and the Attorney General authority under Title 28, U.S. Code, Section 533 (3). The information will be used to assess your suitability for Federal employment and/or obtain necessary clearances to assist you in your work. The background information, which includes a review of FBI files, will be disclosed to staff of the Office of Counsel to the President and to another Federal agency(s), to assist in the process of assessing your suitability for Federal employment and/or obtaining security clearances for you. Willfully making a false statement, or concealing a material fact, may constitute a violation of Title 18, U.S. Code, Section 1001.

If you provide any information which indicates a violation of law, whether civil, criminal or regulatory in nature, it will be referred to the appropriate Federal, state, local or foreign agency. Information may also be disclosed as provided in the FBI Privacy Act system notice, Justice/FBI-002, 63 Federal Register 5671.

By volunteering information concerning activities protected by the First Amendment, it will be assumed that you are expressly authorizing the maintenance of this information in the records of any Federal agency.

The FBI background investigation will include the collection and use of relevant information in the files of various Federal agencies and it is necessary that you authorize the disclosure of such information to the FBI if you wish to be considered for Federal employment and/or appropriate security clearances.

If you consent to such a background investigation and to the disclosure of relevant information by other Federal agencies to the FBI, please sign your name below and return this original memorandum of consent to me.

Thank you.

Name (please print or type) [Signature]  
Signature [Signature]  Date [Signature]

[Signature]  [Signature]  Date 3/14/01
QUESTIONNAIRE FOR
NATIONAL SECURITY POSITIONS

Part 1

Investigating Agency Use Only

Codes
Case Number

Agency Use Only (Complete items A through P using instructions provided by the Investigating agency).

A Type of Investigation
B Extra Coverage
C Sensitivity Level
D Access
E Nature of Action Code
F Date of Action Month Day Year

G Geographic Location
H Position Code
I Position Title

J Location of Official Personnel Folder
K Location of Official Personnel Folder
L Location of Security Folder

M Other Address
ZIP Code

N OPAC-ALC Number
O Accounting Data and/or Agency Case Number

P Requesting Official Name and Title Signature Telephone Number Date

Persons completing this form should begin with the questions below.

1 FULL NAME If you have only initials in your name, use them and state (io). If you have no middle name, enter "NMN".

Last Name Bodman
First Name Samuel
Middle Name Wright
Jr., Jr., etc. III

2 DATE OF BIRTH

Month/Day/Year 11/26/38

3 PLACE OF BIRTH - Use the two letter code for the State.

City Chicago
County Cook
State IL
Country (if not in the United States) 329-32-7756

4 SOCIAL SECURITY


5 OTHER NAMES USED Give other names you used and the period of time you used them (for example: your maiden name, name(s) by a former marriage, former name(s), alias(es), or nickname(s)). If the other name is your maiden name, put "nee" in front of it.

Name #1
Month/Year
Month/Year
Name #3
Month/Year
Month/Year
Name #2
Month/Year
Month/Year
Name #4
Month/Year
Month/Year

6 OTHER IDENTIFYING INFORMATION

Height (feet and inches) 6' 0"
Weight (pounds) 205
Hair Color Blonde
Eye Color Blue
Sex (Mark one box) Female X Male

7 TELEPHONE NUMBERS
Work (Include Area Code and extension) 617-342-6060
Home (Include Area Code) 617-367-3940

8 CITIZENSHIP

Mark the box at the right that reflects your current citizenship status, and follow its instructions.

X I am a U.S. citizen or national by birth in the U.S. or U.S. territory/possession. (Answer items b and d)

I am a U.S. citizen, but I was NOT born in the U.S. (Answer items b, c and d)

I am not a U.S. citizen. (Answer items b and e)

Lina Hervel Lindsey

9 UNITED STATES CITIZENSHIP If you are a U.S. citizen, but were not born in the U.S., provide information about one or more of the following proofs of your citizenship.

Naturalization Certificate (Where were you naturalized?)

Court City
City State
State Certificate Number Month/Day/Year Issued

Citizenship Certificate (Where was the certificate issued?)
City
City State
State Certificate Number Month/Day/Year Issued

State Department Form 240 - Report of Birth Abroad of a Citizen of the United States
Give the date the form was prepared and give an explanation if needed.
Month/Day/Year Explanation

U.S. Passport
This may be either a current or previous U.S. Passport.

Passport Number Month/Day/Year Issued

DUAL CITIZENSHIP If you are (or were) a dual citizen of the United States and another country, provide the name of that country in the space to the right.

Country

ALIEN If you are an alien, provide the following information:

Place You Entered the United States City
City State
Date You Entered U.S. Month Day Year
Alien Registration Number Country(ies) of Citizenship

-


WHERE YOU HAVE LIVED

List the places where you have lived, beginning with the most recent (#1) and working back 7 years. All periods must be accounted for in your list. Be sure to indicate the actual physical location of your residence: do not use a post office box as an address, do not list a permanent address when you were actually living at a school address, etc. Be sure to specify your location as closely as possible: for example, do not list only your base or ship, list your barracks number or home port. You may omit temporary military duty locations under 90 days (list your permanent address instead), and you should use your APO/FPO address if you lived overseas.

For any address in the last five years, list a person who knew you at that address, and who preferably still lives in that area (do not list people for residences completely outside this 5-year period, and do not list your spouse, former spouses, or other relatives). Also for addresses in the last five years, if the address is "General Delivery" a Rural or Star Route, or may be difficult to locate, provide directions for locating the residence on an attached continuation sheet.

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Street Address</th>
<th>Apt. #</th>
<th>City (Country)</th>
<th>State</th>
<th>ZIP Code</th>
<th>Telephone Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>#1 01/96</td>
<td>To Present</td>
<td>40 Battery Street</td>
<td>612</td>
<td>Boston</td>
<td>MA</td>
<td>02109</td>
<td></td>
</tr>
<tr>
<td>Name of Person Who Knows You</td>
<td>Street Address</td>
<td>Apt. #</td>
<td>City (Country)</td>
<td>State</td>
<td>ZIP Code</td>
<td>Telephone Number</td>
<td></td>
</tr>
<tr>
<td>#2 02/94</td>
<td>To 01/96</td>
<td>350 North Street</td>
<td>804</td>
<td>Boston</td>
<td>MA</td>
<td>02109</td>
<td></td>
</tr>
<tr>
<td>Name of Person Who Knows You</td>
<td>Street Address</td>
<td>Apt. #</td>
<td>City (Country)</td>
<td>State</td>
<td>ZIP Code</td>
<td>Telephone Number</td>
<td></td>
</tr>
<tr>
<td>#3 09/94</td>
<td>To 09/94</td>
<td>1430 Massachusetts Avenue</td>
<td>5th fl Cambridge</td>
<td>MA</td>
<td>02138</td>
<td>617</td>
<td></td>
</tr>
<tr>
<td>Name of Person Who Knows You</td>
<td>Street Address</td>
<td>Apt. #</td>
<td>City (Country)</td>
<td>State</td>
<td>ZIP Code</td>
<td>Telephone Number</td>
<td></td>
</tr>
<tr>
<td>#4 To</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Name of Person Who Knows You</td>
<td>Street Address</td>
<td>Apt. #</td>
<td>City (Country)</td>
<td>State</td>
<td>ZIP Code</td>
<td>Telephone Number</td>
<td></td>
</tr>
<tr>
<td>#5 To</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Name of Person Who Knows You</td>
<td>Street Address</td>
<td>Apt. #</td>
<td>City (Country)</td>
<td>State</td>
<td>ZIP Code</td>
<td>Telephone Number</td>
<td></td>
</tr>
</tbody>
</table>

WHERE YOU WENT TO SCHOOL

List the schools you have attended, beyond Junior High School, beginning with the most recent (#1) and working back 7 years. List College or University degrees and the dates they were received. All of your education occurred more than 7 years ago, list your most recent education beyond high school, no matter when that education occurred.

- Use one of the following codes in the "Code" block:
  1 - High School
  2 - College/University/Military College
  3 - Vocational/Technical/Trade School

For schools you attended in the past 3 years, list a person who knew you at school (an instructor, student, etc.). Do not list people for education completely outside this 3-year period.

For correspondence schools and extension classes, provide the address where the records are maintained.

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Code</th>
<th>Name of School</th>
<th>Degree/Diploma/Other</th>
<th>Month/Year Awarded</th>
<th>Street Address and City (County) of School</th>
<th>State</th>
<th>ZIP Code</th>
<th>Telephone Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>#1 09/61</td>
<td>To 06/65</td>
<td>2</td>
<td>MIT</td>
<td>Sc.D</td>
<td>06/65</td>
<td>77 Massachusetts Avenue, Cambridge</td>
<td>MA</td>
<td>02139</td>
<td></td>
</tr>
<tr>
<td>Name of Person Who Knew You</td>
<td>Street Address</td>
<td>Apt. #</td>
<td>City (Country)</td>
<td>State</td>
<td>ZIP Code</td>
<td>Telephone Number</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>#2 09/56</td>
<td>To 06/61</td>
<td>2</td>
<td>Cornell University</td>
<td>B. CH. E.</td>
<td>MA</td>
<td>01890</td>
<td>06/1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Name of Person Who Knew You</td>
<td>Street Address</td>
<td>Apt. #</td>
<td>City (Country)</td>
<td>State</td>
<td>ZIP Code</td>
<td>Telephone Number</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>#3 To</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Name of Person Who Knew You</td>
<td>Street Address</td>
<td>Apt. #</td>
<td>City (Country)</td>
<td>State</td>
<td>ZIP Code</td>
<td>Telephone Number</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Enter your Social Security Number before going to the next page

329-32-7755
YOUR EMPLOYMENT ACTIVITIES

List your employment activities, beginning with the present (#1) and working back 7 years. You should list all full-time work, part-time work, military service, temporary military duty locations over 90 days, self-employment, other paid work, and all periods of unemployment. The entire 7-year period must be accounted for without breaks, but you need not list employments before your 16th birthday. EXCEPTION: Show all Federal civilian service, whether it occurred within the last 7 years or not.

- **Code.** Use one of the codes listed below to identify the type of employment:
  1. Active military duty stations
  2. National Guard/Reserve employment
  3. U.S.P.H.S. Commissioned Corps employment
  4. Other Federal employment and/or name of person who can verify
  5. State Government (Non-Federal employment)
  6. Self-employment (Include business name and/or name of person who can verify)
  7. Unemployment (Include name of person who can verify)
  8. Federal Contractor (List Contractor, not Federal agency)
  9. Other

- **Employer/Verifier Name.** List the business name of your employer or the name of the person who can verify your self-employment or unemployment in this block. If military service is being listed, include your duty location or home port here as well as your branch of service. You should provide separate listings to reflect changes in your military duty locations or home ports.

- **Previous Periods of Activity.** Complete these lines if you worked for an employer on more than one occasion at the same location. After entering the most recent period of employment in the initial numbered block, provide previous periods of employment at the same location on the additional lines provided. For example, if you worked at XY Plumbing in Denver, CO, during 3 separate periods of time, you would enter dates and information concerning the most recent period of employment first, and provide dates, position titles, and supervisors for the two previous periods of employment on the lines below that information.

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Code</th>
<th>Employer/Verifier Name/Military Duty Location</th>
<th>Your Position Title/Military Rank</th>
</tr>
</thead>
<tbody>
<tr>
<td>To Present</td>
<td></td>
<td>9</td>
<td>Cabot Corporation</td>
<td>Chairman, CEO</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Two Seaport Lane, Suite 1300</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Street Address of Job Location (if different than Employer's Address)</td>
<td>City (Country) Boston MA 02110 (617)345-0100</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Supervisor's Name &amp; Street Address (if different than Job Location)</td>
<td>City (Country) State ZIP Code Telephone Number</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>N/A</td>
<td></td>
</tr>
</tbody>
</table>

**Previous Periods of Activity (Block #1)**

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Position Title</th>
<th>Supervisor</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Position Title</th>
<th>Supervisor</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Previous Periods of Activity (Block #2)**

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Code</th>
<th>Employer/Verifier Name/Military Duty Location</th>
<th>Your Position Title/Military Rank</th>
</tr>
</thead>
<tbody>
<tr>
<td>To Present</td>
<td></td>
<td>9</td>
<td>Fidelity Investments</td>
<td>President, COO</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>82 Devonshire Street</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Street Address of Job Location (if different than Employer's Address)</td>
<td>City (Country) Boston MA 02109 (617)563-7000</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Supervisor's Name &amp; Street Address (if different than Job Location)</td>
<td>City (Country) State ZIP Code Telephone Number</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>N/A</td>
<td></td>
</tr>
</tbody>
</table>

**Previous Periods of Activity (Block #3)**

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Position Title</th>
<th>Supervisor</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Position Title</th>
<th>Supervisor</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Position Title</th>
<th>Supervisor</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Enter your Social Security Number before going to the next page———> 329-32-7756
YOUR EMPLOYMENT ACTIVITIES (CONTINUED)

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Code</th>
<th>Employer/Verifier Name/Military Duty Location</th>
<th>Your Position Title/Military Rank</th>
</tr>
</thead>
<tbody>
<tr>
<td>#4</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Employer's/Verifier's Street Address</td>
<td>City (Country)</td>
<td>State</td>
<td>ZIP Code</td>
<td>Telephone Number</td>
</tr>
<tr>
<td>Street Address of Job Location (if different than Employer's Address)</td>
<td>City (Country)</td>
<td>State</td>
<td>ZIP Code</td>
<td>Telephone Number</td>
</tr>
<tr>
<td>Supervisor's Name &amp; Street Address (if different than Job Location)</td>
<td>City (Country)</td>
<td>State</td>
<td>ZIP Code</td>
<td>Telephone Number</td>
</tr>
</tbody>
</table>

PREVIOUS PERIODS OF ACTIVITY (Block #4)

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Position Title</th>
<th>Supervisor</th>
</tr>
</thead>
<tbody>
<tr>
<td>#4 To</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

PREVIOUS PERIODS OF ACTIVITY (Block #5)

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Position Title</th>
<th>Supervisor</th>
</tr>
</thead>
<tbody>
<tr>
<td>#5 To</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

PREVIOUS PERIODS OF ACTIVITY (Block #6)

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Position Title</th>
<th>Supervisor</th>
</tr>
</thead>
<tbody>
<tr>
<td>#6 To</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

12 PEOPLE WHO KNOW YOU WELL
List three people who know you well and live in the United States. They should be good friends, peers, colleagues, college roommates, etc., whose combined association with you covers as well as possible the last 7 years. Do not list your spouse, former spouses, or other relatives, and try not to list anyone who is listed elsewhere on this form.

Name #1
Allmerica Financial, 440 Lincoln Street
Home or Work Address

Name #2
Greylock Management Company, One Federal Street
Home or Work Address

Name #3
Harvard Business School, Fowler House #32
Home or Work Address

Enter your Social Security Number before going to the next page—329-32-7756
**YOUR SPOUSE**

Mark one box to show your current marital status and provide information about your spouse(s) in items a. and/or b.

- 1 - Never married
- 2 - Married
- 3 - Separated
- 4 - Legally Separated
- 5 - Divorced
- 6 - Widowed

**Current Spouse** Complete the following about your current spouse only.

<table>
<thead>
<tr>
<th>Full Name</th>
<th>Date of Birth</th>
<th>Place of Birth (include country if outside the U.S.)</th>
<th>Social Security Number</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Buffalo, NY</td>
<td></td>
</tr>
</tbody>
</table>

Other names used (specify maiden name, names by other marriages, etc., and show dates used for each name)

**Former Spouse(s)**. Complete the following about your former spouse(s), use blank sheets if needed.

<table>
<thead>
<tr>
<th>Full Name</th>
<th>Date of Birth</th>
<th>Place of Birth (include country if outside the U.S.)</th>
<th>Social Security Number</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**YOUR RELATIVES AND ASSOCIATES**

Give the full name, correct code, and other requested information for each of your relatives and associates, living or dead, specified below.

- 1 - Mother (first)
- 2 - Father (second)
- 3 - Stepmother
- 4 - Stepfather
- 5 - Foster parent
- 6 - Child (adopted also)
- 7 - Stepchild
- 8 - Brother
- 9 - Sister
- 10 - Stepbrother
- 11 - Stepsister
- 12 - Half-brother
- 13 - Half-sister
- 14 - Father-in-law
- 15 - Mother-in-law
- 16 - Guardian
- 17 - Other Relative
- 18 - Associate

*Code 17 (Other Relative) - include only foreign national relatives not listed in 1 - 16 with whom you or your spouse are bound by affection, obligation, or close and continuing contact. Code 18 (Associates) - include only foreign national associates with whom you or your spouse are bound by affection, obligation, or close and continuing contact.

<table>
<thead>
<tr>
<th>Full Name (If deceased, check box on the left before entering name)</th>
<th>Code</th>
<th>Date of Birth Month/Day/Year</th>
<th>Country of Birth</th>
<th>Country(ies) of Citizenship</th>
<th>Current Street Address and City (country) of Living Relatives</th>
<th>State</th>
</tr>
</thead>
<tbody>
<tr>
<td>X Lina Lindsey Bodman</td>
<td>1</td>
<td>03/12/07</td>
<td>USA</td>
<td>USA</td>
<td></td>
<td></td>
</tr>
<tr>
<td>X Samuel Wright Bodman Jr.</td>
<td>2</td>
<td>01/04/09</td>
<td>USA</td>
<td>USA</td>
<td>24 Chestnut Street, Boston MA 02109</td>
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<tr>
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<td>USA</td>
<td>USA</td>
<td></td>
<td>CA</td>
</tr>
</tbody>
</table>

Enter your Social Security Number before going to the next page: 329-32-7756
CITIZENSHIP OF YOUR RELATIVES AND ASSOCIATES

If your mother, father, sister, brother, child, or current spouse or person with whom you have a spouse-like relationship is a U.S. citizen by other than birth, or an alien residing in the U.S., provide the nature of the individual's relationship to you (Spouse, Spouse-like, Mother, etc.), and the individual's name and date of birth on the first line. This information is needed to pair it accurately with information in items 13 and 14.

On the second line, provide the individual's naturalization certificate or alien registration number and use one of the document codes below to identify proof of citizenship status. Provide additional information on that line as requested.

1. Naturalization Certificate: Provide the date issued and the location where the person was naturalized (Court, City and State).
2. Citizenship Certificate: Provide the date and location issued (City and State).
3. Alien Registration: Provide the date and place where the person entered the U.S. (City and State).
4. Other: Provide an explanation in the "Additional Information" block.

<table>
<thead>
<tr>
<th>#1</th>
<th>Association</th>
<th>Name</th>
<th>Date of Birth (Month/Day/Year)</th>
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<tbody>
<tr>
<td>Certificate/Registration #</td>
<td>Document Code</td>
<td>Additional Information</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>#2</th>
<th>Association</th>
<th>Name</th>
<th>Date of Birth (Month/Day/Year)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Certificate/Registration #</td>
<td>Document Code</td>
<td>Additional Information</td>
<td></td>
</tr>
</tbody>
</table>

YOUR MILITARY HISTORY

A. Have you served in the United States military? Yes No X

B. Have you served in the United States Merchant Marine? Yes No X

List all of your military service below, including service in Reserve, National Guard, and U.S. Merchant Marine. Start with the most recent period of service (#1) and work backward. If you had a break in service, each separate period should be listed.

- Code. Use one of the codes listed below to identify your branch of service:
  1. Air Force
  2. Army
  3. Navy
  4. Marine Corps
  5. Coast Guard
  6. Merchant Marine
  7. National Guard

- OME. Mark "O" block for Officer or "E" block for Enlisted.
- Status. "X" the appropriate block for the status of your service during the time that you served. If your service was in the National Guard, do not use an "X": use the two-letter code for the state to mark the block.
- Country. If your service was with other than the U.S. Armed Forces, identify the country for which you served.

<table>
<thead>
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<th>Month/Year</th>
<th>Month/Year</th>
<th>Code</th>
<th>Service/Certificate #</th>
<th>O E</th>
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<th>Active Reserve</th>
<th>Inactive Reserve</th>
<th>National Guard</th>
<th>Country</th>
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<td></td>
<td></td>
<td>Active</td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

YOUR FOREIGN ACTIVITIES

A. Do you have any foreign property, business connections, or financial interests? Yes No X

B. Are you now or have you ever been employed by or acted as a consultant for a foreign government, firm, or agency? Yes No X

C. Have you ever had any contact with a foreign government, its establishments (embassies or consulates), or its representatives, whether inside or outside the U.S., other than on official U.S. Government business? (Does not include routine visa applications and border crossing contacts.) Yes No X

D. In the last 7 years, have you had an active passport that was issued by a foreign government? Yes No X

If you answered "Yes" to a, b, c, or d above, explain in the space below: provide inclusive dates, names of firms and/or governments involved, and an explanation of your involvement.

FOREIGN COUNTRIES YOU HAVE VISITED

List foreign countries you have visited, except on travel under official Government orders, beginning with the most current (#1) and working back 7 years. (Travel as a dependent or contractor must be listed.)

- Use one of these codes to indicate the purpose of your visit: 1 - Business 2 - Pleasure 3 - Education 4 - Other
- Include short trips to Canada or Mexico. If you have lived near a border and have made short (one day or less) trips to the neighboring country, you do not need to list each trip. Instead, provide the time period, the code, the country, and a note ("Many Short Trips").
- Do not repeat travel covered in items 9, 10, or 11.

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Code</th>
<th>Country</th>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Code</th>
<th>Country</th>
</tr>
</thead>
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</tr>
</tbody>
</table>

This concludes Part 1 of this form. If you have used Page 9, continuation sheets, or blank sheets to complete any of the questions in Part 1, give the number for those questions in the space to the right: 17 and 18

Enter your Social Security Number before going to the next page 329-32-7756
17. YOUR FOREIGN ACTIVITIES

a. Do you have any foreign property, business connections, or financial interests?

My wife and I own a vacation home on Harbour Island, Bahamas.

c. Have you ever had any contact with a foreign government, its establishments (embassies or consulates), or its representatives, whether inside or outside the U.S., other than on official U.S. Government business? (Does not include routine visa applications and border crossing contacts.)

As Cabot Corporation’s Chairman and Chief Executive Officer, I have, from time to time, met with senior members of foreign governments. These contacts are summarized below:

<table>
<thead>
<tr>
<th>Month/Year to Month/Year</th>
<th>Government</th>
<th>Explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td>11/00 12/00</td>
<td>1. Australia</td>
<td>1. Visit Government of Victoria State</td>
</tr>
<tr>
<td></td>
<td>2. Hong Kong</td>
<td>2. Visit Cabot’s government landlord</td>
</tr>
<tr>
<td></td>
<td>3. China</td>
<td>3. Visit Mayors of Nanjing and Shanghai</td>
</tr>
<tr>
<td>04/00 04/00</td>
<td>Indonesia</td>
<td>See Minister of Industry and Trade regarding antidumping</td>
</tr>
<tr>
<td>06/99 06/99</td>
<td>England</td>
<td>Stanlow 50th – MP’s present</td>
</tr>
<tr>
<td>01/99 01/99</td>
<td>China</td>
<td>See Premier Zhu Rong Ji in Beijing</td>
</tr>
<tr>
<td>01/98 01/98</td>
<td>Trinidad and Tobago</td>
<td>Prime Minister regarding LNG</td>
</tr>
<tr>
<td>09/97 10/97</td>
<td>1. China</td>
<td>1. Visit Cabot partners in Shanghai</td>
</tr>
<tr>
<td></td>
<td>2. Indonesia</td>
<td>2. See Minister of Industry and Trade regarding Antidumping</td>
</tr>
<tr>
<td>10/96 10/96</td>
<td>China</td>
<td>Visit Cabot Partners in Shanghai</td>
</tr>
<tr>
<td>09/95 09/95</td>
<td>1. China, 2. Indonesia</td>
<td>1. Visit Cabot Partners in Shanghai for Startup of plant. 2. Visit Minister of Industry and Trade regarding expansion</td>
</tr>
<tr>
<td>10/94 10/94</td>
<td>Czech Republic</td>
<td>Opening of Cabot’s Joint Venture Plant attended by various government officials</td>
</tr>
<tr>
<td>04/94 05/94</td>
<td>India</td>
<td>Visit with Indian Government Officials regarding increasing Cabot’s investment</td>
</tr>
<tr>
<td>09/93 09/93</td>
<td>Trinidad and Tobago</td>
<td>Discussion of LNG with Government</td>
</tr>
</tbody>
</table>
17. YOUR FOREIGN ACTIVITIES
   c. Continued

<table>
<thead>
<tr>
<th>Month/Year to Month/Year</th>
<th>Government</th>
<th>Explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td>08/93 08/93</td>
<td>1. Indonesia 2. China</td>
<td>1. Discuss expansion in Indonesia 2. Discuss Shanghai Plant expansion</td>
</tr>
<tr>
<td>07/93 07/93</td>
<td>England, Wales</td>
<td>Cabot Board – M.P. present</td>
</tr>
<tr>
<td>12/92 12/92</td>
<td>Indonesia</td>
<td>Meet with Minister of Industry and Trade in Boston</td>
</tr>
<tr>
<td>07/92 07/92</td>
<td>China</td>
<td>Opening of Plant in Shanghai; meet with government in Beijing</td>
</tr>
<tr>
<td>06/92 06/92</td>
<td>Wales</td>
<td>Open Wales Plant – M.P. present</td>
</tr>
<tr>
<td>04/91 05/91</td>
<td>Hong Kong</td>
<td>Government officials present for Plant opening</td>
</tr>
<tr>
<td>12/89 12/89</td>
<td>Nigeria</td>
<td>Negotiate LNG Contract with Government</td>
</tr>
<tr>
<td>05/88 05/88</td>
<td>China</td>
<td>Sign Joint Venture agreement with Mayor of Shanghai</td>
</tr>
<tr>
<td>03/88 03/88</td>
<td>Algeria</td>
<td>Sign Settlement Agreement with Sonatrach</td>
</tr>
<tr>
<td>09/87 09/87</td>
<td>France</td>
<td>Meet with Algerian officials regarding settlement of LNG dispute</td>
</tr>
<tr>
<td>08/87 09/87</td>
<td>Algeria</td>
<td>Settlement of LNG dispute</td>
</tr>
</tbody>
</table>
18. FOREIGN COUNTRIES YOU HAVE VISITED

<table>
<thead>
<tr>
<th>Month/Year To</th>
<th>Month/Year</th>
<th>Code</th>
<th>Country</th>
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<tr>
<td>#1 01/01</td>
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<td>Brazil, Argentina</td>
</tr>
<tr>
<td>#2 01/01</td>
<td>01/01</td>
<td>1</td>
<td>Mexico</td>
</tr>
<tr>
<td>#3 12/00</td>
<td>12/00</td>
<td>2</td>
<td>Bahamas</td>
</tr>
<tr>
<td>#4 11/00</td>
<td>12/00</td>
<td>1,2</td>
<td>New Zealand, Australia, Hong Kong, China</td>
</tr>
<tr>
<td>#5 06/00</td>
<td>07/00</td>
<td>1,2</td>
<td>France, Spain, Ireland, England</td>
</tr>
<tr>
<td>#6 04/00</td>
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<td>India, Indonesia, Malaysia</td>
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<td>Bahamas</td>
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<td>#8 10/99</td>
<td>10/99</td>
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<td>Malaysia</td>
</tr>
<tr>
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<td>England</td>
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<td>Brazil, Argentina</td>
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<td>England, Italy</td>
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<td>China, Japan</td>
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<td>Germany, Switzerland, Italy</td>
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<tr>
<td>1</td>
<td>Trinidad and Tobago</td>
<td>09/93</td>
<td>09/93</td>
</tr>
<tr>
<td>1</td>
<td>Indonesia, Japan, Hong Kong, China</td>
<td>08/93</td>
<td>08/93</td>
</tr>
<tr>
<td>1, 2</td>
<td>England, Wales, Italy</td>
<td>07/93</td>
<td>07/93</td>
</tr>
<tr>
<td>2</td>
<td>Canada</td>
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</tr>
<tr>
<td>1</td>
<td>Brazil, Argentina</td>
<td>11/92</td>
<td>11/92</td>
</tr>
<tr>
<td>1</td>
<td>France, Italy, Netherlands</td>
<td>11/92</td>
<td>11/92</td>
</tr>
<tr>
<td>2</td>
<td>Mexico</td>
<td>09/92</td>
<td>09/92</td>
</tr>
<tr>
<td>1</td>
<td>China</td>
<td>07/92</td>
<td>07/92</td>
</tr>
<tr>
<td>1, 2</td>
<td>Wales</td>
<td>06/92</td>
<td>06/21</td>
</tr>
<tr>
<td>2</td>
<td>Mexico</td>
<td>05/92</td>
<td>05/92</td>
</tr>
<tr>
<td>1</td>
<td>Mexico</td>
<td>03/92</td>
<td>03/92</td>
</tr>
<tr>
<td>1</td>
<td>Netherlands, France, Germany, Belgium</td>
<td>02/93</td>
<td>03/93</td>
</tr>
<tr>
<td>1</td>
<td>Japan</td>
<td>10/91</td>
<td>10/91</td>
</tr>
<tr>
<td>1</td>
<td>Germany, Wales, England</td>
<td>09/91</td>
<td>09/91</td>
</tr>
<tr>
<td>1</td>
<td>Italy, France</td>
<td>05/91</td>
<td>05/91</td>
</tr>
<tr>
<td>1</td>
<td>Hong Kong, Indonesia</td>
<td>05/91</td>
<td>05/91</td>
</tr>
<tr>
<td>2</td>
<td>Canada</td>
<td>04/91</td>
<td>04/91</td>
</tr>
</tbody>
</table>
18. FOREIGN COUNTRIES YOU HAVE VISITED (continued)

<table>
<thead>
<tr>
<th>#</th>
<th>Date 1</th>
<th>Date 2</th>
<th>Count</th>
<th>Country/Regions Visited</th>
</tr>
</thead>
<tbody>
<tr>
<td>#63</td>
<td>04/91</td>
<td>04/91</td>
<td>1</td>
<td>France</td>
</tr>
<tr>
<td>#64</td>
<td>02/91</td>
<td>02/91</td>
<td>2</td>
<td>Bahamas</td>
</tr>
<tr>
<td>#65</td>
<td>10/90</td>
<td>11/90</td>
<td>1</td>
<td>Brazil</td>
</tr>
<tr>
<td>#66</td>
<td>07/90</td>
<td>07/90</td>
<td>1</td>
<td>England, Italy</td>
</tr>
<tr>
<td>#67</td>
<td>06/90</td>
<td>06/90</td>
<td>2</td>
<td>Bahamas</td>
</tr>
<tr>
<td>#68</td>
<td>12/89</td>
<td>12/89</td>
<td>1</td>
<td>Nigeria</td>
</tr>
<tr>
<td>#69</td>
<td>09/89</td>
<td>09/89</td>
<td>1</td>
<td>France, Netherlands</td>
</tr>
<tr>
<td>#70</td>
<td>07/89</td>
<td>07/89</td>
<td>1</td>
<td>Germany</td>
</tr>
<tr>
<td>#71</td>
<td>03/89</td>
<td>03/89</td>
<td>1</td>
<td>Japan, Hong Kong</td>
</tr>
<tr>
<td>#72</td>
<td>01/89</td>
<td>01/89</td>
<td>2</td>
<td>Canada</td>
</tr>
<tr>
<td>#73</td>
<td>09/88</td>
<td>09/88</td>
<td>1</td>
<td>France</td>
</tr>
<tr>
<td>#74</td>
<td>07/88</td>
<td>07/88</td>
<td>1</td>
<td>England, France, Spain</td>
</tr>
<tr>
<td>#75</td>
<td>05/88</td>
<td>05/88</td>
<td>1</td>
<td>China</td>
</tr>
<tr>
<td>#76</td>
<td>03/88</td>
<td>03/88</td>
<td>1</td>
<td>Algeria</td>
</tr>
<tr>
<td>#77</td>
<td>11/87</td>
<td>11/87</td>
<td>1</td>
<td>Argentina, Brazil</td>
</tr>
<tr>
<td>#78</td>
<td>09/87</td>
<td>09/87</td>
<td>1</td>
<td>France</td>
</tr>
<tr>
<td>#79</td>
<td>08/97</td>
<td>09/87</td>
<td>1</td>
<td>Algeria</td>
</tr>
<tr>
<td>#80</td>
<td>07/87</td>
<td>07/87</td>
<td>1</td>
<td>Japan, Australia, Singapore, Malaysia, India, France</td>
</tr>
<tr>
<td>#81</td>
<td>06/87</td>
<td>07/87</td>
<td>1</td>
<td>France</td>
</tr>
<tr>
<td>#82</td>
<td>06/87</td>
<td>06/87</td>
<td>1</td>
<td>France, Italy</td>
</tr>
<tr>
<td>#83</td>
<td>05/87</td>
<td>05/87</td>
<td>1</td>
<td>France, Netherlands, England, Belgium, Germany</td>
</tr>
<tr>
<td>#84</td>
<td>04/87</td>
<td>04/87</td>
<td>1</td>
<td>Canada</td>
</tr>
<tr>
<td>#85</td>
<td>01/87</td>
<td>01/87</td>
<td>2</td>
<td>Canada</td>
</tr>
<tr>
<td>1986</td>
<td></td>
<td></td>
<td></td>
<td>No Foreign Trips</td>
</tr>
</tbody>
</table>
Part 2

19. YOUR MILITARY RECORD

Have you ever received other than an honorable discharge from the military? If "Yes," provide the date of discharge and type of discharge below.

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Type of Discharge</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>X</td>
</tr>
</tbody>
</table>

20. YOUR SELECTIVE SERVICE RECORD

   - Yes

b. Have you registered with the Selective Service System? If "Yes," provide your registration number. If "No," show the reason for your legal exemption below.
   - Registration Number
   - Legal Exemption Explanation

Yes No

21. YOUR MEDICAL RECORD

In the last 7 years, have you consulted with a mental health professional (psychiatrist, psychologist, counselor, etc.) or have you consulted with another health care provider about a mental health related condition?

- Yes

If you answered "Yes," provide the dates of treatment and the name and address of the therapist or doctor below, unless the consultation(s) involved only marital, family, or grief counseling, not related to violence by you.

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Name/Address of Therapist or Doctor</th>
<th>State</th>
<th>ZIP Code</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

22. YOUR EMPLOYMENT RECORD

Has any of the following happened to you in the last 7 years? If "Yes," begin with the most recent occurrence and go backward, providing date fired, quit, or left, and other information requested.

- Yes

Use the following codes and explain the reason your employment was ended:

1. Fired from a job
2. Quit a job after being told you'd be fired
3. Left a job by mutual agreement following allegations of misconduct
4. Left a job by mutual agreement following allegations of unsatisfactory performance
5. Left a job for other reasons under unfavorable circumstances

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Code</th>
<th>Specify Reason</th>
<th>Employer's Name and Address (Include city/County if outside U.S.)</th>
<th>State</th>
<th>ZIP Code</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

23. YOUR POLICE RECORD

For this item, report information regardless of whether the record in your case has been "sealed" or otherwise stricken from the court record. The single exception to this requirement is for certain convictions under the Federal Controlled Substances Act for which the court issued an expungement order under the authority of 21 U.S.C. 844 or 18 U.S.C. 3607.

- Yes

- a. Have you ever been charged with or convicted of any felony offense? (Include those under Uniform Code of Military Justice)
- b. Have you ever been charged with or convicted of a firearms or explosives offense?
- c. Have you currently any charges pending against you for any criminal offense?
- d. Have you ever been charged with or convicted of any offense(s) related to alcohol or drugs?
- e. In the last 7 years, have you been subject to court martial or other disciplinary proceedings under the Uniform Code of Military Justice? (Include non-judicial, Captain's mast, etc.)
- f. In the last 7 years, have you been arrested for, charged with, or convicted of any offense(s) not listed in response to a, b, c, d, e, or f above? (Leave out traffic lines of less than $150 unless the violation was alcohol or drug related.)

If you answered "Yes" to a, b, c, d, e, or f above, explain below. Under "Offense," do not list specific penalty codes, list the actual offense or violation (for example, arson, theft, etc.).

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Offense</th>
<th>Action Taken</th>
<th>Law Enforcement Authority/Court (Include City and County if outside U.S.)</th>
<th>State</th>
<th>ZIP Code</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Enter your Social Security Number before going to the next page 329-32-7756
24. **YOUR USE OF ILLEGAL DRUGS AND DRUG ACTIVITY**

   The following questions pertain to the illegal use of drugs or drug activity. You are required to answer the questions fully and truthfully, and your failure to do so could be grounds for an adverse employment decision or action against you, but neither your truthful responses nor information derived from your responses will be used as evidence against you in any subsequent criminal proceeding.

   a. Since the age of 16 or in the last 7 years, whichever is shorter, have you **illegally** used any controlled substance, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroin, etc.), amphetamines, depressants (barbiturates, methaqualone, tranquilizers, etc.), hallucinogens (LSD, PCP, etc.), or prescription drugs?

   b. Have you ever illegally used a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official; while possessing a security clearance; or while in a position directly and immediately affecting the public safety?

   c. In the last 7 years, have you been involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for your own intended profit or that of another?

   If you answered "Yes" to a, b, or c above, provide the date(s), identify the controlled substance(s) and/or prescription drugs used, and the number of times each was used.

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Controlled Substance/Prescription Drug Used</th>
<th>Number of Times Used</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

25. **YOUR USE OF ALCOHOL**

   In the last 7 years, has your use of alcoholic beverages (such as liquor, beer, wine) resulted in any alcohol-related treatment or counseling (such as for alcohol abuse or alcoholism)?

   If you answered "Yes," provide the dates of treatment and the name and address of the counselor or doctor below. Do not repeat information reported in response to item 21 above.

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Month/Year</th>
<th>Name/Address of Counselor or Doctor</th>
<th>State</th>
<th>ZIP Code</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

26. **YOUR INVESTIGATIONS RECORD**

   a. Has the United States Government ever investigated your background and/or granted you a security clearance? If "Yes," use the codes that follow to provide the requested information below. If "No," or you don't know or can't recall if you were investigated and cleared, check the "No" box.

<table>
<thead>
<tr>
<th>Month Near Agency Other Agency</th>
<th>Clearance Code</th>
<th>Month/Year</th>
<th>Agency Code</th>
<th>Other Agency</th>
<th>Clearance Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>Approx 1987</td>
<td>I was a Trustee of Mitre Corp.</td>
<td>3(?)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Approx 1990</td>
<td>Exec. Comm. Member at MIT</td>
<td>3(?)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

   b. To your knowledge, have you ever had a clearance or access authorization denied, suspended, or revoked, or have you ever been debarred from government employment? If "Yes," give date of action and agency. Note: An administrative downgrade or termination of a security clearance is not a revocation.

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Department or Agency Taking Action</th>
<th>Month/Year</th>
<th>Department or Agency Taking Action</th>
</tr>
</thead>
</table>

27. **YOUR FINANCIAL RECORD**

   a. In the last 7 years, have you filed a petition under any chapter of the bankruptcy code (to include Chapter 13)?

   b. In the last 7 years, have you had your wages garnished or had any property repossessed for any reason?

   c. In the last 7 years, have you had a lien placed against your property for failing to pay taxes or other debts?

   d. In the last 7 years, have you had any judgments against you that have not been paid?

   If you answered "Yes" to a, b, c, or d, provide the information requested below.

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Type of Action</th>
<th>Amount</th>
<th>Name Action Occurred Under</th>
<th>Name/Address of Court or Agency Handling Case</th>
<th>State</th>
<th>ZIP Code</th>
</tr>
</thead>
</table>

Enter your Social Security Number before going to the next page 329-32-7756
28 YOUR FINANCIAL DELINQUENCIES

a. In the last 7 years, have you been over 180 days delinquent on any debt(s)?

Yes No

b. Are you currently over 90 days delinquent on any debt(s)?

If you answered "Yes" to a or b, provide the information requested below:

<table>
<thead>
<tr>
<th>Incurred Month/Year</th>
<th>Satisfied Month/Year</th>
<th>Amount</th>
<th>Type of Loan or Obligation and Account Number</th>
<th>Name/Address of Creditor or Obligee</th>
<th>State</th>
<th>ZIP Code</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

29 PUBLIC RECORD CIVIL COURT ACTIONS

In the last 7 years, have you been a party to any public record civil court actions not listed elsewhere on this form?

Yes No

If you answered "Yes," provide the information about the public record civil court action requested below:

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Nature of Action</th>
<th>Result of Action</th>
<th>Name of Parties Involved</th>
<th>Court (Include City and county/country if outside U.S.)</th>
<th>State</th>
<th>ZIP Code</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

30 YOUR ASSOCIATION RECORD

a. Have you ever been an officer or a member or made a contribution to an organization dedicated to the violent overthrow of the United States Government and which engages in illegal activities to that end, knowing that the organization engages in such activities with the specific intent to further such activities?

b. Have you ever knowingly engaged in any acts or activities designed to overthrow the United States Government by force?

If you answered "Yes" to a or b, explain in the space below.

Continuation Space

Use the continuation sheet(s) (SF86A) for additional answers to items 9, 10, and 11. Use the space below to continue answers to all other items and any information you would like to add. If more space is needed than is provided below, use a blank sheet(s) of paper. Start each sheet with your name and Social Security Number. Before each answer, identify the number of the item.

Certification That My Answers Are True

My statements on this form, and any attachments to it, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both. (See section 1001 of title 18, United States Code).

Signature (Sign in ink) ___________________________ Date ____________

March 15, 2001

Enter your Social Security Number before going to the next page 329-32-7756
UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I Authorize any investigator, special agent, or other duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain any information relating to my activities from individuals, schools, residential management agents, employers, criminal justice agencies, credit bureaus, consumer reporting agencies, collection agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, criminal history record information, and financial and credit information. I authorize the Federal agency conducting my investigation to disclose the record of my background investigation to the requesting agency for the purpose of making a determination of suitability or eligibility for a security clearance.

I Understand that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, a separate specific release will be needed, and I may be contacted for such a release at a later date. Where a separate release is requested for information relating to mental health treatment or counseling, the release will contain a list of the specific questions, relevant to the job description, which the doctor or therapist will be asked.

I Further Authorize any investigator, special agent, or other duly accredited representative of the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, the Defense Investigative Service, and any other authorized Federal agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for access to classified information and/or for assignment to, or retention in a sensitive National Security position, in accordance with 5 U.S.C. 9101. I understand that I may request a copy of such records as may be available to me under the law.

I Authorize custodians of records and sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I Understand that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for five (5) years from the date signed or upon the termination of my affiliation with the Federal Government, whichever is sooner.

Read, sign and date the release on the next page if you answered "Yes" to question 21.

Signature (Sign in ink) Full Name (Type or Print Legibly)  Date Signed
Samuel W. Bodman III March 15, 2001

Other Names Used

Social Security Number 329-32-7756

Current Address (Street, City) State ZIP Code Home Telephone Number (Include Area Code)
40 Battery Street MA 02109 (617)367-3940
### 1S. Please list names of all corporations, firms, partnerships or other business enterprises, and all nonprofit organizations, and other institutions with which you are now, or during the past five years have been, affiliated as an officer, owner, director, trustee, partner, advisor, attorney or consultant. In addition, please provide the names of any other organizations with which you were affiliated prior to the past five years that might present a potential conflict or appearance of conflict of interest with your prospective appointment. (Please note that in the case of an attorney's client listing, it is only necessary to provide the names of major clients and those that might present a potential conflict or appearance of conflict of interest with the prospective appointment).

See Attached.

### 2S. Please list all your interests in real property, other than a personal residence, setting forth the nature of your interest, the type of property and the address.

None

### 3S. Have you or any firm, company or other entity with which you have been associated ever been convicted of a violation of any Federal, state, county, or municipal law, regulation or ordinance? If so, please provide full details.

No

### 4S. Have you or any firm, company or other entity with which you have been associated ever been the subject of Federal, state or local investigation for possible violation of a criminal statute? If so, please provide full details.

NO

### 5S. Have you ever been involved in civil or criminal litigation, or in administrative or legislative proceedings of any kind, either as a plaintiff, defendant, respondent, witness or party in interest? If so, please give full details identifying dates, issues litigated and the location where the civil action is recorded.

No, other than divorce proceeding referred to in SF86

\[\text{[Signature]}\]
6. Have you ever been disciplined or cited for a breach of ethics or unprofessional conduct by, or been the subject of a complaint to, any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please provide full details.

No

7. Have you ever run for political office, served on a political committee or been identified in a public way with a particular organization, candidate or issue? Have any complaints been lodged against you or your political committee with the Federal Election Commission or state or local election authorities? If so, please describe.

No

8. Are you currently, or have you ever been a member or office holder in any club or organization that restricts or restricted membership on the basis of sex, race, color, religion, national origin, age or handicap? If so, provide the name, address and dates of membership for each.

No

9. Please identify any adults (18 years or older) currently living with you who are not members of your immediate family. Provide the names of those individuals, dates and places of birth, and whether or not they are United States citizens.

None

10. Is there anything in your personal life that could be used by someone to coerce or blackmail you? Is there anything in your life that could cause an embarrassment to you or to the President if publicly known? If so, please provide full details.

No

I understand that the information being provided on this supplement to the SF-88 is to be considered part of the original SF-88 dated March 15, 2001, and a false statement on this form is punishable by law.

Signature

Type Full Name

Date
SUPPLEMENT TO STANDARD FORM 86 (SF-86)

18.

Directorships of Public Companies

Cabot Corporation
John Hancock Financial Services
Thermo Electron Corporation
Cabot Microelectronics Corporation
Cabot Oil and Gas Corporation
Security Capital Group, Inc.
Westvaco, Inc.

Trusteeships of Non Profit Organizations

Massachusetts Institute of Technology
Isabella Stewart Gardner Museum
New England Aquarium
French Library and Cultural Center
MITRE Corporation
Babson College
Northeastern University
Disclosure and Authorization
Pertaining to Consumer Reports
Pursuant to the Fair Credit Reporting Act

This is a release for the Federal Bureau of Investigation and/or the White House, acting on President George W. Bush's behalf, to obtain one or more consumer/credit reports about you in connection with consideration of your appointment to a position within the Executive Branch, or in the course of your employment with the Federal Government. One or more reports about you may be obtained for employment purposes, including evaluating your fitness for employment, promotion, reassignment, retention, or access to classified information.

I, S A M U E L W B O D M A N (print or type full name), hereby authorize the Federal Bureau of Investigation and/or the White House, acting on President George W. Bush's behalf, to obtain such reports from any consumer/credit reporting agency for employment purposes.

Signature

[Signature]

Date

14 March 01

Social Security Number

[Number]
UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF MEDICAL INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

Instructions for Completing this Release

This is a release for the investigator to ask your health practitioner(s) the three questions below concerning your mental health consultations. Your signature will allow the practitioner(s) to answer only these questions.

I am seeking assignment to or retention in a position with the Federal government which requires access to classified national security information or special nuclear information or material. As part of the clearance process, I hereby authorize the investigator, special agent; or duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain the following information relating to my mental health consultations:

Does the person under investigation have a condition or treatment that could impair his/her judgment or reliability, particularly in the context of safeguarding classified national security information or special nuclear information or material?

If so, please describe the nature of the condition and the extent and duration of the impairment or treatment.

What is the prognosis?

I understand the information released pursuant to this release is for use by the Federal Government only for purposes provided in the Standard Form 86 and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for 1 year from the date signed or upon termination of my affiliation with the Federal Government, whichever is sooner.

<table>
<thead>
<tr>
<th>Signature (Sign in ink)</th>
<th>Full Name (Type or Print Legibly)</th>
<th>Date Signed</th>
</tr>
</thead>
<tbody>
<tr>
<td>[Signature]</td>
<td>Samuel W. Bodman III</td>
<td>March 15, 2001</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Other Names Used</th>
<th>Social Security Number</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>329-32-7756</td>
</tr>
</tbody>
</table>

<table>
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<tr>
<th>Current Address (Street, City)</th>
<th>State</th>
<th>ZIP Code</th>
<th>Home Telephone Number (Include Area Code)</th>
</tr>
</thead>
<tbody>
<tr>
<td>40 Battery Street</td>
<td>MA</td>
<td>02109</td>
<td>(617) 367-3940</td>
</tr>
</tbody>
</table>