

Bates Nos. 2018-08-116:
008796 – 008803
Submitter Notice Process
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(b)(6) Weekly Report July 12 2017

From: (b)(6)

To: (b)(6)

Date: Wed, 12 Jul 2017 18:32:06 -0400

Attachments: (b)(6) Weekly Report July 12 2017.docx (33.38 kB)

Hi (b)(6) – My weekly report is attached. Please let me know if you have questions.

Thanks,

(b)(6)

Bates No. 2018-08-116: 008805
Withheld in Full Pursuant to
(b)(5), with Portions Withheld
Pursuant to (b)(6)

[No Subject]

From: (b)(6), (b)(7)(C)
To: (b)(6), (b)(7)(C)
Date: Thu, 13 Jul 2017 11:09:32 -0400

Privileged Communication

(b)(6), (b)(7)(C)

Does this look okay? I don't want to misrepresent any conversations your office has had with them?

(b)(5), (b)(6), (b)(7)(A), (b)(7)(C)



(b)(5), (b)(6), (b)(7)(C)

RE:

From: (b)(6), (b)(7)(C)
To: (b)(6), (b)(7)(C)
Date: Fri, 14 Jul 2017 09:50:05 -0400

Thanks for taking a look.

From: (b)(6), (b)(7)(C)
Sent: Friday, July 14, 2017 9:39 AM
To: (b)(6), (b)(7)(C)
Subject: RE:

Privileged and Confidential

(b)(6), (b)(7)(C) I have one suggestion below, but otherwise wholly defer to Enforcement on this issue. I don't know that (b)(5)
(b)(5)

Thanks.

(b)(6), (b)(7)(C)

From: (b)(6), (b)(7)(C)
Sent: Thursday, July 13, 2017 11:10 AM
To: (b)(6), (b)(7)(C)
Subject:

Privileged Communication

(b)(6), (b)(7)(C)

Does this look okay? I don't want to misrepresent any conversations your office has had with them?

(b)(5), (b)(6), (b)(7)(A), (b)(7)(C)

(b)(5), (b)(6), (b)(7)(C)



(b)(6) Weekly Report July 19 2017

From: (b)(6)
To: (b)(6)
Date: Wed, 19 Jul 2017 16:18:03 -0400
Attachments: (b)(6) Weekly Report July 19 2017.docx (34.49 kB)

Hi (b)(6) – My weekly report is attached. Thanks!

(b)(6)

Bates Nos. 2018-08-116:

008811 – 008812

Withheld in Full Pursuant to
(b)(5), with Portions Withheld
Pursuant to (b)(6)

(b)(6) Weekly Report July 26 2017

From: (b)(6)
To: (b)(6)
Date: Wed, 26 Jul 2017 15:24:30 -0400
Attachments: (b)(6) Weekly Report July 26 2017.docx (35.17 kB)

Hi (b)(6) – Here is my weekly. Please let me know if you have questions.

Thanks,
(b)(6)

Bates Nos. 2018-08-116:

008814 – 008815

Withheld in Full Pursuant to
(b)(5), with Portions Withheld
Pursuant to (b)(6)

RE: CAASA Implementation -- Ru/Ukr Directives & associated materials

From: (b)(6)
To: "Demske, Susan" (b)(6)
Cc: "Tuchband, Matthew" (b)(6)
Date: Wed, 13 Sep 2017 13:24:09 -0400
Attachments: 79 FR 63021.pdf (247.13 kB)

(b)(6), my apologies, I thought I left you a copy of this yesterday.

(b)(6)
Regulatory Affairs Division
OFAC
(b)(6)

From: Demske, Susan
Sent: Wednesday, September 13, 2017 1:17 PM
To: (b)(6)
Cc: Tuchband, Matthew; (b)(6)
Subject: RE: CAASA Implementation -- Ru/Ukr Directives & associated materials

At least some of the directives made it into an FRN. Adding (b)(6) who may be able to find it.

From: (b)(6)
Sent: Wednesday, September 13, 2017 1:11 PM
To: (b)(6)
Cc: Demske, Susan; Tuchband, Matthew; (b)(6)
Subject: RE: CAASA Implementation -- Ru/Ukr Directives & associated materials

Thanks, (b)(6) We'll take a look before we circulate to OFAC. (b)(5)
(b)(5)? I was trying to find out what we did previously when we issued the directives and when we amended them, but it doesn't look like those made it into the FR. Is that correct? I was trying to see if we did them as a rulemaking or as a FRN and I don't see them at all.

(b)(6)

From: (b)(6)
Sent: Wednesday, September 13, 2017 1:02 PM
To: (b)(6)
Cc: Demske, Susan (b)(6); Tuchband, Matthew (b)(6)
Subject: RE: CAASA Implementation -- Ru/Ukr Directives & associated materials

Privileged and Confidential
Pre-decisional and Deliberative

Hi (b)(6),

Here are draft Directives 1 and 2, with clearance from Matthew, checking the second box on the timeline. (b)(5)
(b)(5) We've thought about this a fair amount and think it works, but please let us know if you see any holes and please feel free to invite tight scrutiny from OFAC. Please feel free to circulate these with your draft guidance. Thanks!

Separately, in talking with Matthew we think OFAC should (b)(5)
(b)(5) We're guessing Reg Affairs may want to take the lead on that process, but if you would like Counsel to prepare a federal register draft please let us know.

Thanks,
(b)(6)

From: (b)(6)
Sent: Tuesday, September 12, 2017 5:10 PM
To: Swindells, Felicia (b)(6)
(b)(6) Demske, Susan (b)(6) Manfull, Alexandre (b)(6)
(b)(6) Dondarski, Michael <(b)(6)> (b)(6)
Subject: CAASA Implementation -- Ru/Ukr Directives & associated materials

All,

As mentioned during our meeting today, REG, with input from OCC, has worked to come up with a notional timeline for drafting/clearing the necessary items for the 60 day Russia rollout (directives, FAQs, If asked). Please see attached. Feel free to let us know if you have any edits/modifications or concerns & we can adjust if need be.

Thanks,

(b)(6)

and agency review period for the project's Draft EIS.

Yvette G. Taylor,

Regional Administrator, FTA Region IV.

[FR Doc. 2014-24923 Filed 10-20-14; 8:45 am]

BILLING CODE P

DEPARTMENT OF THE TREASURY

Open Meeting of the Federal Advisory Committee on Insurance

AGENCY: Departmental Offices, Department of the Treasury.

ACTION: Notice of open meeting.

SUMMARY: This notice announces that the Department of the Treasury's Federal Advisory Committee on Insurance will convene a meeting on Thursday, November 6, 2014, in the Cash Room, 1500 Pennsylvania Avenue NW., Washington, DC 20220, from 1:00 to 4:00 p.m. Eastern Time. The meeting is open to the public, and the site is accessible to individuals with disabilities.

DATES: The meeting will be held on Thursday, November 6, 2014, from 1:00 to 4:00 p.m. Eastern Time.

ADDRESSES: The Federal Advisory Committee on Insurance meeting will be held in the Cash Room, 1500 Pennsylvania Avenue NW., Washington, DC 20220. The meeting will be open to the public. Because the meeting will be held in a secured facility, members of the public who plan to attend the meeting must either:

1. Register online. Attendees may visit <http://www.cvent.com/d/v4qbz1?ct=6128d144-9ad5-45f5-910c-c7b44560aae0&RefID=FACI+General+Registration> and fill out a secure online registration form. A valid email address will be required to complete online registration.

(Note: online registration will close at 5:00 p.m. Eastern Time on Monday, November 3, 2014.)

2. Contact the Federal Insurance Office, at (202) 622-3277, by 5:00 p.m. Eastern Time on Wednesday, November 5, 2014, and provide registration information.

FOR FURTHER INFORMATION CONTACT: Michael J. Newman, Senior Policy Advisor to the Federal Insurance Office, Room 1317, Department of the Treasury, 1500 Pennsylvania Avenue NW., Washington, DC 20220, at (202) 622-3277 (this is not a toll-free number). Persons who have difficulty hearing or speaking may access this number via TTY by calling the toll-free Federal Relay Service at (800) 877-8339.

SUPPLEMENTARY INFORMATION: Notice of this meeting is provided in accordance with the Federal Advisory Committee Act, 5 U.S.C. App. II, 10(a)(2), through implementing regulations at 41 CFR 102-3.150.

Public Comment: Members of the public wishing to comment on the business of the Federal Advisory Committee on Insurance are invited to submit written statements by any of the following methods:

Electronic Statements

- Send electronic comments to faci@treasury.gov.

Paper Statements

- Send paper statements in triplicate to the Federal Advisory Committee on Insurance, c/o Michael J. Newman, Room 1317, Department of the Treasury, 1500 Pennsylvania Avenue NW., Washington, DC 20220.

The Department of the Treasury will post all statements on its Web site <http://www.treasury.gov/initiatives/fio/Pages/faci.aspx> without change, including any business or personal information provided such as names, addresses, email addresses, or telephone numbers. The Department of the Treasury will also make such statements available for public inspection and copying in the Department of the Treasury's Library, 1500 Pennsylvania Avenue NW., Washington, DC 20220, on official business days between the hours of 10:00 a.m. and 5:00 p.m. Eastern Time. You can make an appointment to inspect statements by telephoning (202) 622-0990. All statements received, including attachments and other supporting materials, are part of the public record and subject to public disclosure. You should submit only information that you wish to make available publicly.

Tentative Agenda/Topics for Discussion: This is a periodic meeting of the Federal Advisory Committee on Insurance. In this meeting, the Federal Advisory Committee on Insurance will discuss developments in the market for cyber insurance and the National Institute of Standards and Technology Cybersecurity Framework, issues related to affordability of personal automobile insurance, and an update on work relating to international supervisory standards for insurers.

Michael T. McRaith,
 Director, Federal Insurance Office.

[FR Doc. 2014-24990 Filed 10-20-14; 8:45 am]

BILLING CODE 4810-25-P

DEPARTMENT OF THE TREASURY

Office of Foreign Assets Control

Sanctions Actions Pursuant to Executive Orders 13660, 13661 and 13662

AGENCY: Office of Foreign Assets Control, Treasury.

ACTION: Notice.

SUMMARY: The Department of the Treasury's Office of Foreign Assets Control (OFAC) is providing additional notice of the following actions, which were taken between March 20, 2014 and October 6, 2014 to address the national emergency declared by the President with respect to situation in Ukraine: (1) Blocking of property and interests in property of certain persons pursuant to Executive Orders (E.O.s) 13660 or 13661 (the names of these persons have been added to OFAC's Specially Designated Nationals and Blocked Persons List (SDN List)); (2) sectoral determinations by the Secretary of the Treasury pursuant to E.O. 13662; (3) Original Directive 1 (July 16, 2014) under E.O. 13662 and determinations that certain persons are subject to Original Directive 1 (the names of these persons have been added to the Sectoral Sanctions Identifications List (SSI List)) (this directive has been superseded as noted below and is being provided for historical reference purposes only); (4) Original Directive 2 (July 16, 2014) under E.O. 13662 and a determination that certain persons are subject to Original Directive 2 (the names of these persons have been added to the SSI List) (this directive has been superseded as noted below and is being provided for historical reference purposes only); (5) Directive 1 (as amended) (September 12, 2014) under E.O. 13662 and a determination that certain persons are subject to Directive 1 (as amended) (the names of these persons have been added to the SSI List); (6) Directive 2 (as amended) (September 12, 2014) under E.O. 13662 and a determination that certain persons are subject to Directive 2 (as amended) (the names of these persons have been added to the SSI List); (7) Directive 3 (September 12, 2014) under E.O. 13662 and a determination that certain persons are subject to Directive 3 (the names of these persons have been added to the SSI List); (8) Directive 4 (September 12, 2014) under E.O. 13662 and a determination that certain persons are subject to Directive 4 (the names of these persons have been added to the SSI List); (9) General License 1 (July 16, 2014) (this general license has been

superseded as noted below and is being provided for historical reference purposes only); (10) General License 1A (September 12, 2014); (11) General License 2 (September 12, 2014) (this general license was time limited, has expired as noted below, and is being provided for historical reference purposes only); and (12) General License 3 (October 6, 2014).

DATES: The blockings of the property and interests in property of the individuals and entities identified in this notice were effective on July 16, 2014, July 29, 2014, and September 12, 2014 as specified below. Original Directives 1 and 2 were effective on July 16, 2014 until they were superseded by amended Directives 1 and 2 on September 12, 2014. The determinations that the persons identified in this notice were subject to Original Directive 1 or 2 were effective on July 16, 2014 and July 29, 2014, as specified below. Amended Directives 1 and 2, Directives 3 and 4, and the determinations that the persons identified in this notice are subject to such directives were effective on September 12, 2014. General License 1 was effective on July 16, 2014 until it was superseded by General License 1A on September 12, 2014. General Licenses 1A was effective on September 12, 2014. General License 2 was effective on September 12, 2014, but was time limited and expired on September 26, 2014. General License 3 was effective on October 6, 2014.

FOR FURTHER INFORMATION CONTACT:

Associate Director for Global Targeting, tel.: 202/622-2420, Assistant Director for Licensing, tel.: 202/622-2480, Assistant Director for Policy, tel.: 202/622-6746, Assistant Director for Regulatory Affairs, tel.: 202/622-4855, Assistant Director for Sanctions Compliance & Evaluation, tel.: 202/622-2490, Office of Foreign Assets Control, or Chief Counsel (Foreign Assets Control), tel.: 202/622-2410, Office of the General Counsel, Department of the Treasury (not toll free numbers).

SUPPLEMENTARY INFORMATION:

Electronic and Facsimile Availability

The SDN List and additional information concerning OFAC sanctions programs are available from OFAC's Web site (www.treasury.gov/ofac). A complete listing of persons determined to be subject to one or more directives under E.O. 13662, as discussed in detail in this Notice, can be found in the SSI List at http://www.treasury.gov/resource-center/sanctions/SDN-List/Pages/ssi_list.aspx. Certain general information pertaining to OFAC's sanctions programs is available via

facsimile through a 24-hour fax-on-demand service, tel.: 202/622-0077.

Background

On March 6, 2014, the President issued E.O. 13660 pursuant to, *inter alia*, the International Emergency Economic Powers Act, 50 U.S.C. 1701-1706 (IEEPA). In E.O. 13660, the President declared a national emergency to address the threat to the national security and foreign policy of the United States constituted by the actions and policies of persons, including persons who have asserted governmental authority in the Crimean region without authorization of the Government of Ukraine, that undermine democratic processes and institution in Ukraine, that threaten Ukraine's peace, security, stability, sovereignty, and territorial integrity, and that contribute to the misappropriation of Ukraine's assets. E.O. 13660 blocks, with certain exceptions, all property and interests in property that are in or that come within the United States or the possession or control of United States persons of persons determined by the Secretary of the Treasury, in consultation with the Secretary of State, to meet certain criteria set forth in E.O. 13660.

On March 16, 2014, the President issued E.O. 13661 pursuant to, *inter alia*, IEEPA, to expand the national emergency declared in E.O. 13660 in order to address the actions and policies of the Government of the Russian Federation with respect to Ukraine, including the deployment of Russian Federation military forces in the Crimea region of Ukraine. E.O. 13661 blocks, with certain exceptions, all property and interests in property that are in or that come within the United States or the possession or control of United States persons of persons listed on the Annex to E.O. 13661 or that are determined by the Secretary of the Treasury, in consultation with the Secretary of State, to meet certain criteria set forth in E.O. 13661.

On March 20, 2014, the President issued E.O. 13662, pursuant to, *inter alia*, IEEPA, to further expand the national emergency declared in E.O. 13660 in order to address the continued actions and policies of the Government of the Russian Federation, including its purported annexation of Crimea and its use of force in Ukraine. E.O. 13662 blocks, with certain exceptions, all property and interests in property that are in or that come within the United States or the possession or control of United States persons of persons determined by the Secretary of the Treasury, in consultation with the Secretary of State, to operate in such

sectors of the Russian Federation economy as may be determined by the Secretary of the Treasury, in consultation with the Secretary of State, or to meet certain other criteria set forth in E.O. 13662.

Pursuant to E.O. 13660, E.O. 13661, and E.O. 13662, the following actions were taken between March 20, 2014, and October 6, 2014, by the Secretary of the Treasury or the Director of the Office of Foreign Assets Control, in consultation with the Department of State:

Blocking of Property and Interests in Property Pursuant to E.O. 13660 or E.O. 13661

On July 16, 2014, the Director of OFAC, in consultation with the Department of State, determined that the following individual and three entities meet one or more of the criteria set forth in E.O. 13660 and the property and interests in property of these persons therefore are blocked pursuant to E.O. 13660:

Individual

BORODAI, Aleksandr (a.k.a. BORODAI, Alexander); DOB 25 Jul 1972; nationality Russia [UKRAINE]

Entities

1. LUHANSK PEOPLE'S REPUBLIC (a.k.a. LUGANSK PEOPLE'S REPUBLIC; a.k.a. PEOPLE'S REPUBLIC OF LUHANSK), Luhansk Region, Ukraine [UKRAINE]
2. DONETSK PEOPLE'S REPUBLIC, Donetsk Region, Ukraine [UKRAINE]
3. FEODOSIYA ENTERPRISE (a.k.a. FEODOSIA OIL PRODUCTS SUPPLY CO.; a.k.a. FEODOSIYA ENTERPRISE ON PROVIDING OIL PRODUCTS; a.k.a. FEODOSIYSKE COMPANY FOR THE OIL; a.k.a. THEODOSIYA OIL TERMINAL), Feodosiya, Geologicheskaya str. 2, Crimea 98107, Ukraine; Feodosia, Str. Geological 2, Crimea 98107, Ukraine [UKRAINE]

On July 16, 2014, the Director of OFAC, in consultation with the Department of State, determined that the following four individuals and eight entities meet one or more of the criteria set forth in E.O. 13661 and the property and interests in property of these persons therefore are blocked pursuant to E.O. 13661:

Individuals

1. BESEDA, Sergey (a.k.a. BESEDA, Sergei; a.k.a. BESEDA, Sergei Orestovoch); DOB 1954; Commander of the Fifth Service of

- the FSB; Commander of the Service for Operational Information and International Communications of the FSB; FSB Colonel General; Colonel-General [UKRAINE–EO13661]
2. NEVEROV, Sergei Ivanovich (a.k.a. NEVEROV, Sergei; a.k.a. NEVEROV, Sergey); DOB 21 Dec 1961; POB Tashtagol, Russia; Deputy Chairman of the State Duma of the Russian Federation; Member of the State Duma Committee on Housing Policy and Housing and Communal Services [UKRAINE–EO13661]
 3. SAVELYEV, Oleg Genrikhovich; DOB 27 Oct 1965; POB St. Petersburg, Russia; Minister for Crimean Affairs [UKRAINE–EO13661]
 4. SHCHEGOLEV, Igor (a.k.a. SHCHYOGOLEV, Igor Olegovich); DOB 10 Nov 1965; POB Vinnitsa, Ukraine; alt. POB Vinnytsia, Ukraine; Aide to the President of the Russian Federation [UKRAINE–EO13661]
- Entities*
1. FEDERAL STATE UNITARY ENTERPRISE STATE RESEARCH AND PRODUCTION ENTERPRISE BAZALT (a.k.a. FEDERAL STATE UNITARY ENTERPRISE, STATE RESEARCH AND PRODUCTION ENTERPRISE BAZALT; a.k.a. FSUE SRPE BAZALT; a.k.a. STATE RESEARCH AND PRODUCTION ENTERPRISE BAZALT), 32 Velyaminovskaya, Moscow 105318, Russia; Web site www.bazalt.ru; Email Address moscow@bazalt.ru [UKRAINE–EO13661]
 2. JOINT STOCK COMPANY CONCERN RADIO–ELECTRONIC TECHNOLOGIES (a.k.a. CONCERN RADIO–ELECTRONIC TECHNOLOGIES; a.k.a. “KRET”), 20/1 Korp. 1 ul. Goncharnaya, Moscow 109240, Russia; Web site <http://www.kret.com>; Registration ID 1097746084666 [UKRAINE–EO13661]
 3. JOINT STOCK COMPANY CONCERN SOZVEZDIE (a.k.a. JSC CONCERN SOZVEZDIE), 14 Plekhanovskaya Street, Voronezh, Russia; 14 ul. Plekhanovskaya, Voronezh, Voronezhskaya obl. 394018, Russia; Registration ID 1053600445337 [UKRAINE–EO13661]
 4. JOINT STOCK COMPANY MILITARY–INDUSTRIAL CORPORATION NPO MASHINOSTROYENIA (a.k.a. JOINT STOCK COMPANY MILITARY INDUSTRIAL CONSORTIUM NPO MASHINOSTROYENIA; a.k.a. JSC MIC NPO MASHINOSTROYENIA; a.k.a. MIC NPO MASHINOSTROYENIA JSC; a.k.a. MIC NPO MASHINOSTROYENIYA JSC; a.k.a. MILITARY INDUSTRIAL CORPORATION NPO MASHINOSTROYENIA OAO; a.k.a. OPEN JOINT STOCK COMPANY MILITARY INDUSTRIAL CORPORATION SCIENTIFIC AND PRODUCTION MACHINE BUILDING ASSOCIATION; a.k.a. VOENNO–PROMYSHLENNAYA KORPORATSIYA NAUCHNO–PROIZVODSTVENNOE OBEDINENIE MASHINOSTROYENIYA OAO; a.k.a. VPK NPO MASHINOSTROYENIYA), 33, Gagarina St., Reutov-town, Moscow Region 143966, Russia; 33 Gagarin Street, Reutov, Moscow Region 143966, Russia; 33 Gagarina ul., Reutov, Moskovskaya obl 143966, Russia; Web site www.npomash.ru; Email Address export@npomash.ru; alt. Email Address vpk@npomash.ru; Registration ID 1075012001492 (Russia); Tax ID No. 5012039795 (Russia); Government Gazette Number 07501739 (Russia) [UKRAINE–EO13661]
 5. JOINT–STOCK COMPANY CONCERN ALMAZ–ANTEY (a.k.a. ALMAZ–ANTEY CORP; a.k.a. ALMAZ–ANTEY DEFENSE CORPORATION; a.k.a. ALMAZ–ANTEY JSC; f.k.a. OTKRYTOE AKTSIONERNOE OBSHCHESTVO KONTSEKSN PVO ALMAZ ANTEI), 41 ul. Vereiskaya, Moscow 121471, Russia; Web site almaz-antey.ru; Email Address antey@almaz-antey.ru [UKRAINE–EO13661]
 6. KALASHNIKOV CONCERN (a.k.a. CONCERN KALASHNIKOV; a.k.a. IZHEVSKIY MASHINOSTROITEL’NYI ZAVOD OAO; f.k.a. IZHMASH R&D CENTER; f.k.a. JSC NPO IZHMASH; f.k.a. NPO IZHMASH OAO; a.k.a. OJSC CONCERN KALASHNIKOV; f.k.a. OJSC IZHMASH; f.k.a. SCIENTIFIC PRODUCTION ASSOCIATION IZHMASH JOINT STOCK COMPANY), 3, Derjabin Pr., Izhevsk, Udmurt Republic 426006, Russia; Registration ID 1111832003018 [UKRAINE–EO13661]
 7. KONSTRUKTORSKOE BYURO PRIBOROSTROYENIYA OTKRYTOE AKTSIONERNOE OBSHCHESTVO (a.k.a. INSTRUMENT DESIGN BUREAU; a.k.a. JSC KBP INSTRUMENT DESIGN BUREAU; a.k.a. KBP INSTRUMENT DESIGN BUREAU; a.k.a. KBP INSTRUMENT DESIGN BUREAU JOINT STOCK COMPANY; a.k.a. “KBP OAO”), 59 Shcheglovskaya Zaseka ul., Tula 300001, Russia; Web site www.kbptula.ru; Email Address kbkedr@tula.net; Registration ID 1117154036911 (Russia); Government Gazette Number 07515747 (Russia) [UKRAINE–EO13661]
 8. URALVAGONZAVOD (a.k.a. NAUCHNO–PROIZVODSTVENNAYA KORPORATSIYA URALVAGONZAVOD OAO; a.k.a. NPK URALVAGONZAVOD; a.k.a. NPK URALVAGONZAVOD OAO; a.k.a. OJSC RESEARCH AND PRODUCTION CORPORATION URALVAGONZAVOD; a.k.a. RESEARCH AND PRODUCTION CORPORATION URALVAGONZAVOD; a.k.a. RESEARCH AND PRODUCTION CORPORATION URALVAGONZAVOD OAO; a.k.a. URALVAGONZAVOD CORPORATION; a.k.a. “UVZ”), 28, Vostochnoye shosse, Nizhni Tagil, Sverdlovsk region 622007, Russia; 28 Vostochnoye shosse, Nizhni Tagil, Sverdlovskaya oblast 622007, Russia; 40, Bolshaya Yakimanka Street, Moscow 119049, Russia; Vostochnoye Shosse, 28, Nizhny Tagil 622007, Russia; Web site <http://www.uvz.ru/>; alt. Web site <http://uralvagonzavod.com/>; Email Address web@uvz.ru [UKRAINE–EO13661]
- On July 29, 2014, the Director of OFAC, in consultation with the Department of State, determined that the following entity meets one or more of the criteria set forth in E.O. 13661 and the property and interests in property of this entity therefore are blocked pursuant to E.O. 13661:
- Entity*
- UNITED SHIPBUILDING CORPORATION (a.k.a. OBEDINENNAYA SUDOSTROITELNAYA KORPORATSIYA OAO; a.k.a. OJSC UNITED SHIPBUILDING CORPORATION; a.k.a. UNITED SHIPBUILDING CORPORATION JOINT STOCK COMPANY; a.k.a. “OSK OAO”), 90, Marata ul., St. Petersburg 191119, Russia; 11, Sadovaya-Kudrinskaya str., Moscow 123242, Russia; Web site <http://www.oaosk.ru/>; Email Address info@oaosk.ru [UKRAINE–EO13661]
- On September 12, 2014, the Director of OFAC, in consultation with the Department of State, determined that the following five entities meet one or more of the criteria set forth in E.O. 13661 and the property and interests in

property of these entities therefore are blocked pursuant to E.O. 13661:

Entities

1. OAO 'DOLGOPRUDNY RESEARCH PRODUCTION ENTERPRISE' (a.k.a. DOLGOPRUDNENSKOYE NPP OAO; a.k.a. DOLGOPRUDNY; a.k.a. DOLGOPRUDNY RESEARCH PRODUCTION ENTERPRISE; a.k.a. OTKRYTOE AKTSIONERNOE OBSHCHESTVO DOIGOPRUDNENSKOE NAUCHNO PROIZVODSTVENNOE PREDPRIYATIE), 1 Pl. Sobina, Dolgoprudny, Moskovskaya obl. 141700, Russia; Proshchad Sobina 1, Dolgoprudny 141700, Russia; Email Address dnpp@orc.ru; Registration ID 1025001202544; Tax ID No. 5008000322; Government Gazette Number 07504318 [UKRAINE-EO13661]
2. KALININ MACHINE PLANT JSC (a.k.a. KALININ MACHINE-BUILDING PLANT OPEN JOINT-STOCK COMPANY; a.k.a. KALININ MACHINERY PLANT-BRD; a.k.a. MASHINOSTROITEL'NYI ZAVOD IM. M.I. KALININA, G. YEKATERINBURG OAO; a.k.a. MZIK OAO; a.k.a. OPEN-END JOINT-STOCK COMPANY 'KALININ MACHINERY PLANT. YEKATERINBURG'; a.k.a. OTKRYTOE AKTSIONERNOE OBSHCHESTVO MASHINOSTROITELNY ZAVOD IM.M.I.KALININA, G.EKATERINBURG), 18 prospekt Kosmonavtov, Ekaterinburg, Sverdlovskaya obl. 620017, Russia; Email Address info@zik.ru [UKRAINE-EO13661]
3. MYTISHCHINSKI MASHINOSTROITELNY ZAVOD, OAO (a.k.a. JSC MYTISHCHINSKI MACHINE-BUILDING PLANT; a.k.a. OTKRYTOE AKTSIONERNOE OBSHCHESTVO 'MYTISHCHINSKI MASHINOSTROITELNY ZAVOD'), 4 ul. Kolontsova Mytishchi, Mytishchinski Raion, Moskovskayaobl 141009, Russia; UL. Koloncova, d.4, Mytishi, Moscow region 141009, Russia; Web site www.mmzavod.ru; Email Address mmzavod@mail.ru; Registration ID 1095029003860 (Russia); Government Gazette Number 61540868 (Russia) [UKRAINE-EO13661]
4. JSC V. TIKHOMIROV SCIENTIFIC RESEARCH INSTITUTE OF INSTRUMENT DESIGN (a.k.a. JSC NIIP; f.k.a. OTKRYTOE AKTSIONERNOE OBSHCHESTVO NAUCHNO ISSLEDOVATELSKI INSTITUT PRIBOROSTROENIYA

- IMENI V.V. TIKHOMIROVA), 3 Ul. Gagarina, Zhukovskiy, Moskovskaya Obl 140180, Russia; Gagarin Str, 3, Zhukovskiy 140180, Russia; Web site <http://www.niip.ru>; Email Address niip@niip.ru; Registration ID 1025001627859; Government Gazette Number 13185231 (Russia) [UKRAINE-EO13661]
5. JOINT STOCK COMPANY ALMAZ-ANTEY AIR DEFENSE CONCERN MAIN SYSTEM DESIGN BUREAU NAMED BY ACADEMICIAN A.A. RASPLETIN (a.k.a. A.A. RASPLETIN MAIN SYSTEM DESIGN BUREAU; a.k.a. ALMAZ-ANTEY GSKB; a.k.a. ALMAZ-ANTEY GSKB IMENI ACADEMICIAN A.A. RASPLETIN; a.k.a. ALMAZ-ANTEY MSDB; a.k.a. ALMAZ-ANTEY PVO 'AIR DEFENSE' CONCERN LEAD SYSTEMS DESIGN BUREAU OAO 'OPEN JOINT-STOCK COMPANY' IMENI ACADEMICIAN A.A. RASPLETIN; a.k.a. GOLOVNOYE SISTEMNOYE KONSTRUKTORSKOYE BYURO OPEN JOINT-STOCK COMPANY OF ALMAZ-ANTEY PVO CONCERN IMENI ACADEMICIAN A.A. RASPLETIN; a.k.a. JSC 'ALMAZ-ANTEY' MSDB; f.k.a. OTKRYTOE AKTSIONERNOE OBSHCHESTVO NAUCHNO PROIZVODSTVENNOE OBEDINENIE ALMAZ IMENI AKADEMIKA A.A. RASPLETINA; a.k.a. "GSKB"), 16-80, Leningradsky Prospekt, Moscow 125190, Russia; Web site <http://www.raspletin.ru/>; Email Address info@raspletin.ru; alt. Email Address almaz_zakupki@mail.ru [UKRAINE-EO13661]

Sectoral Determinations by the Secretary of the Treasury Pursuant to E.O. 13662

July 16, 2014 Determination pursuant to Section 1(a)(i) of E.O. 13662: On July 16, 2014, the Secretary of the Treasury made the following determination:

Section 1(a) of Executive Order 13662 of March 20, 2014 ("Blocking Property of Additional Persons Contributing to the Situation in Ukraine") (E.O. 13662) imposes economic sanctions on any person determined by the Secretary of the Treasury, in consultation with the Secretary of State, to operate in such sectors of the Russian Federation economy as may be determined, pursuant to section 1(a)(i) of the order, by the Secretary of the Treasury, in consultation with the Secretary of State.

To further address the extraordinary threat to the national security and foreign policy of the United States

described in E.O. 13662, and in consultation with the Secretary of State, I hereby determine that section 1(a)(i) shall apply to the financial services and energy sectors of the Russian Federation economy. Any person I or my designee subsequently determine, in consultation with the Secretary of State, operates in such sectors shall be subject to sanctions pursuant to section 1(a)(i).

September 12, 2014 Determination pursuant to Section 1(a)(i) of E.O. 13662: On September 12, 2014, the Secretary of the Treasury made the following determination:

Section 1(a) of Executive Order 13662 of March 20, 2014 ("Blocking Property of Additional Persons Contributing to the Situation in Ukraine") (E.O. 13662) imposes economic sanctions on any person determined by the Secretary of the Treasury, in consultation with the Secretary of State, to operate in such sectors of the Russian Federation economy as may be determined, pursuant to section 1(a)(i) of the order, by the Secretary of the Treasury, in consultation with the Secretary of State.

To further address the extraordinary threat to the national security and foreign policy of the United States described in E.O. 13662, and in consultation with the Secretary of State, I hereby determine that section 1(a)(i) shall apply to the defense and related materiel sector of the Russian Federation economy. Any person I or my designee subsequently determine, in consultation with the Secretary of State, operates in this sector shall be subject to sanctions pursuant to section 1(a)(i).

Original Directive 1 (July 16, 2014) Under E.O. 13662 and the Names of Persons Determined To Be Subject to Original Directive 1

Note to Original Directive 1: On September 12, 2014, Original Directive 1 under E.O. 13662 was superseded by an amended version of Directive 1, which is included later in this **Federal Register** Notice. The text of, and actions taken pursuant to, Original Directive 1 are included here for historical reference purposes only.

Original Directive 1 (July 16, 2014): On July 16, 2014, the Director of OFAC, in consultation with the Department of State, made the following determination:

Pursuant to sections 1(a)(i), 1(b), and 8 of Executive Order 13662 of March 20, 2014, and 31 CFR part 589 and following the Secretary of the Treasury's determination under Section 1(a)(i) of Executive Order 13662 with respect to the financial services sector of the Russian Federation economy, I hereby determine that the persons on the

attached list operate in the Russian financial services sector. The following transactions by U.S. persons or within the United States are hereby prohibited: transacting in, providing financing for, or otherwise dealing in new debt of longer than 90 days maturity or new equity for these persons, their property, or their interests in property. All other transactions with these persons or involving any property in which one or more of these persons has an interest are permitted, provided such transactions do not otherwise involve property or interests in property of a person blocked pursuant to Executive Orders 13660, 13661, or 13662, or any other sanctions programs implemented by the Office of Foreign Assets Control.

Entities determined on July 16, 2014 to be subject to Original Directive 1: On July 16, 2014, the Director of OFAC, in consultation with the Department of State, determined that the following persons operate in the financial services sector of the Russian Federation economy and that they shall be subject to the prohibitions of Original Directive 1:

1. GAZPROMBANK OAO (a.k.a. GAZPROMBANK GAS INDUSTRY OJSC; a.k.a. GAZPROMBANK OJSC; a.k.a. GAZPROMBANK OPEN JOINT STOCK COMPANY; a.k.a. GAZPROMBANK OTKRYTOE AKTSIONERNOE OBSHCHESTVO; a.k.a. GPB, OAO; a.k.a. GPB, OJSC), 16, Building 1, Nametkina St., Moscow 117420, Russia; 63, Novocheremushkinskaya St., Moscow 117418, Russia; SWIFT/ BIC GAZPRUMM; Web site www.gazprombank.ru; Email Address mailbox@gazprombank.ru; Registration ID 1027700167110; Tax ID No. 7744001497; Government Gazette Number 09807684 [UKRAINE-EO13662]
2. VNESHNECONOMBANK (a.k.a. BANK FOR DEVELOPMENT AND FOREIGN ECONOMIC AFFAIRS (VNESHNECONOMBANK) STATE CORPORATION; a.k.a. BANK RAZVITIYA I VNESHNEKONOMICHESKOI DEYATELNOSTI (VNESHNECONOMBANK) GOSUDARSTVENNAYA KORPORATSIYA; a.k.a. "VEB"), 9 Akademika Sakharova prospekt, Moscow 107996, Russia; SWIFT/ BIC BFEA RU MM; Web site <http://www.veb.ru>; Email Address info@veb.ru; BIK (RU) 044525060; [UKRAINE-EO13662]

Entities determined on July 29, 2014 to be subject to Original Directive 1: On July 29, 2014, the Director of OFAC, in

consultation with the Department of State, determined that the following persons operate in the financial services sector of the Russian Federation economy and that they shall be subject to the prohibitions of Original Directive 1:

1. BANK OF MOSCOW (f.k.a. AKTSIONERNY KOMMERCHESKI BANK BANK MOSKVY, OTKRYTOE AKTSIONERNOE OBSHCHESTVO; a.k.a. JOINT STOCK COMMERCIAL BANK—BANK OF MOSCOW, OPEN JOINT STOCK COMPANY), 8/15 Korp. 3 ul. Rozhdestvenka, Moscow 107996, Russia; Bld 3 8/15, Rozhdestvenka St., Moscow 107996, Russia; SWIFT/BIC MOSW RU MM; Web site www.bm.ru; Email Address holmogorov_ss@mmbank.ru; alt. Email Address info@mmbank.ru; BIK (RU) 044525219; Registration ID 1027700159497; Government Gazette Number 29292940 [UKRAINE-EO13662]
2. RUSSIAN AGRICULTURAL BANK (f.k.a. OTKRYTOE AKTSIONERNOE ROSSISKI SELSKOKHOZYAISTVENNY BANK; a.k.a. ROSSELKHOZBANK; a.k.a. ROSSIYSKI SELSKOKHOZYAISTVENNY BANK OAO; a.k.a. RUSSIAN AGRICULTURAL BANK OAO), 3, Gagarinsky Pereulok, Moscow 119034, Russia; 3 Gagarinsky per., Moscow 119034, Russia; SWIFT/ BIC RUAG RU MM; Web site <http://www.rshb.ru>; Email Address office@rshb.ru; Registration ID 1027700342890; Government Gazette Number 52750822 [UKRAINE-EO13662]
3. VTB BANK OAO (f.k.a. BANK VNESHNEY TORGOVLI ROSSIYSKOY FEDERATSII, CLOSED JOINT-STOCK COMPANY; f.k.a. BANK VNESHNEY TORGOVLI RSFSR; f.k.a. BANK VNESHNEY TORGOVLI, JOINT-STOCK COMPANY; f.k.a. BANK VNESHNEY TORGOVLI, OPEN JOINT-STOCK COMPANY; a.k.a. BANK VTB OAO; a.k.a. BANK VTB, OPEN JOINT-STOCK COMPANY; a.k.a. JSC VTB BANK; f.k.a. VNESHTORGBANK; f.k.a. VNESHTORGBANK ROSSII, CLOSED JOINT-STOCK COMPANY; a.k.a. VTB BANK, OPEN JOINT-STOCK COMPANY), 29, Bolshaya Morskaya str., St. Petersburg 190000, Russia; 37 Plyushchikha ul., Moscow 119121, Russia; 43, Vorontsovskaya str., Moscow 109044, Russia; SWIFT/

BIC VTBRUMM; Web site www.vtb.com; Registration ID 1027739609391 (Russia); Tax ID No. 7702070139 (Russia); Government Gazette Number 00032520 (Russia); License 1000 (Russia) [UKRAINE-EO13662]

Original Directive 2 (July 16, 2014) Under E.O. 13662 and the Names of Persons Determined To Be Subject to Original Directive 2

Note to Original Directive 2: On September 12, 2014, Original Directive 2 under E.O. 13662 was superseded by an amended version of Directive 2, which is included later in this **Federal Register** Notice. The text of, and actions taken pursuant to, Original Directive 2 are included here for historical reference purposes only.

Original Directive 2 (July 16, 2014): On July 16, 2014, the Director of OFAC, in consultation with the Department of State, made the following determination:

Pursuant to sections 1(a)(i), 1(b), and 8 of Executive Order 13662 of March 20, 2014, and 31 CFR part 589 and following the Secretary of the Treasury's determination under Section 1(a)(i) of Executive Order 13662 with respect to the energy sector of the Russian Federation economy, I hereby determine that the persons on the attached list operate in the Russian energy sector. The following transactions by U.S. persons or within the United States are hereby prohibited: transacting in, providing financing for, or otherwise dealing in new debt of longer than 90 days maturity for these persons, their property, or their interests in property. All other transactions with these persons or involving any property in which one or more of these persons has an interest are permitted, provided such transactions do not otherwise involve property or interests in property of a person blocked pursuant to Executive Orders 13660, 13661, or 13662, or any other sanctions programs implemented by the Office of Foreign Assets Control.

Entities determined on July 16, 2014 to be subject to Original Directive 2: On July 16, 2014, the Director of OFAC, in consultation with the Department of State, determined that the following persons operate in the energy sector of the Russian Federation economy and that they shall be subject to the prohibitions of Original Directive 2:

1. OAO NOVATEK (a.k.a. FINANSOVO-INVESTITSIONNAYA KOMPANIYA NOVAFININVEST OAO; a.k.a. NOVATEK), 2, Udaltsova Street, Moscow 119415, Russia; 22 A, Pobedy Street, Tarko-

- Sale, Yamalo-Nenets Autonomous District 629580, Russia; 22a Pobedy ul., Tarko-Sale, Purovski raion, Tyumenskaya Oblast 629850, Russia; Email Address novatek@novatek.ru; Registration ID 1026303117642 (Russia); Government Gazette Number 33556474 (Russia) [UKRAINE—EO13662]
2. OPEN JOINT-STOCK COMPANY ROSNEFT OIL COMPANY (a.k.a. OAO ROSNEFT OIL COMPANY; a.k.a. OIL COMPANY ROSNEFT; a.k.a. OJSC ROSNEFT OIL COMPANY; a.k.a. ROSNEFT; a.k.a. ROSNEFT OIL COMPANY), 26/1 Sofiyskaya Embankment, Moscow 115035, Russia; Web site www.rosneft.com; alt. Web site www.rosneft.ru; Email Address postman@rosneft.ru; Registration ID 1027700043502 (Russia); Tax ID No. 7706107510 (Russia); Government Gazette Number 00044428 (Russia) [UKRAINE—EO13662]

Directive 1 (as Amended) (September 12, 2014) Under E.O. 13662 and the Names of Persons Determined To Be Subject to Directive 1 (as Amended)

Note to Directive 1 (as amended): Original Directive 1, which was issued on July 16, 2014, and which is superseded by this version, prohibited these same activities but involving debt of longer than 90 days maturity or equity if that debt or equity was issued on or after the date a person was determined to be subject to Original Directive 1. This amended version of Directive 1 also reflects technical and other non-substantive changes.

Directive 1 (as amended) (September 12, 2014): Pursuant to sections 1(a)(i), 1(b), and 8 of Executive Order 13662 of March 20, 2014 “Blocking Property of Additional Persons Contributing to the Situation in Ukraine” (the Order) and 31 CFR 589.802, and following the Secretary of the Treasury’s determination under section 1(a)(i) of the Order with respect to the financial services sector of the Russian Federation economy, the Director of the Office of Foreign Assets Control has determined, in consultation with the Department of State, that the following activities by a U.S. person or within the United States are prohibited, except to the extent provided by law or unless licensed or otherwise authorized by the Office of Foreign Assets Control: (1) All transactions in, provision of financing for, and other dealings in new debt of longer than 30 days maturity or new equity of persons determined to be subject to this Directive, their property, or their interests in property; and (2) all

activities related to debt or equity issued before the date of this Directive 1 (as amended) that would have been prohibited by the prior version of this Directive 1. All other activities with these persons or involving their property or interests in property are permitted, provided such activities are not otherwise prohibited pursuant to Executive Orders 13660, 13661, or 13662 or any other sanctions program implemented by the Office of Foreign Assets Control.

Except to the extent otherwise provided by law or unless licensed or otherwise authorized by the Office of Foreign Assets Control, the following are also prohibited: (1) Any transaction that evades or avoids, has the purpose of evading or avoiding, causes a violation of, or attempts to violate any of the prohibitions contained in this Directive; and (2) any conspiracy formed to violate any of the prohibitions in this Directive.

Entities determined on September 12, 2014 to be subject to Directive 1 (as amended): On September 12, 2014, the Director of OFAC, in consultation with the Department of State, determined that the following persons, who had previously been determined to operate in the financial services sector of the Russian Federation economy, shall be subject to the prohibitions of Directive 1 (as amended):

1. RUSSIAN AGRICULTURAL BANK (f.k.a. OTKRYTOE AKTSIONERNOE ROSSISKI SELSKOKHOZYAISTVENNY BANK; a.k.a. ROSSELKHOZBANK; a.k.a. ROSSIYSKI SELSKOKHOZYAISTVENNY BANK OAO; a.k.a. RUSSIAN AGRICULTURAL BANK OAO), 3, Gagarinsky Pereulok, Moscow 119034, Russia; 3 Gagarinsky per., Moscow 119034, Russia; SWIFT/BIC RUAG RU MM; Web site <http://www.rshb.ru>; Email Address office@rshb.ru; Registration ID 1027700342890; Government Gazette Number 52750822 [UKRAINE—EO13662]
2. VTB BANK OAO (f.k.a. BANK VNESHNEY TORGOVLI ROSSIYSKOY FEDERATSII, CLOSED JOINT-STOCK COMPANY; f.k.a. BANK VNESHNEY TORGOVLI RSFSR; f.k.a. BANK VNESHNEY TORGOVLI, JOINT-STOCK COMPANY; f.k.a. BANK VNESHNEY TORGOVLI, OPEN JOINT-STOCK COMPANY; a.k.a. BANK VTB OAO; a.k.a. BANK VTB, OPEN JOINT-STOCK COMPANY; a.k.a. JSC VTB BANK; f.k.a.

VNESHTORGBANK; f.k.a. VNESHNEE KONOMICHESKOI DEYATELNOSTI (VNESHEKONOMBANK) GOSUDARSTVENNAYA KORPORATSIYA; a.k.a. “VEB”), 9 Akademika Sakharova prospekt, Moscow 107996, Russia; SWIFT/BIC BFEA RU MM; Web site <http://www.veb.ru>; Email Address info@veb.ru; BIK (RU) 044525060 [UKRAINE—EO13662]

3. GAZPROMBANK OAO (a.k.a. GAZPROMBANK GAS INDUSTRY OJSC; a.k.a. GAZPROMBANK OJSC; a.k.a. GAZPROMBANK OPEN JOINT STOCK COMPANY; a.k.a. GAZPROMBANK OTKRYTOE AKTSIONERNOE OBSHCHESTVO; a.k.a. GPB, OAO; a.k.a. GPB, OJSC), 16, Building 1, Nametkina St., Moscow 117420, Russia; 63, Novochemushkinskaya St., Moscow 117418, Russia; SWIFT/BIC GAZPRUMM; Web site www.gazprombank.ru; Email Address mailbox@gazprombank.ru; Registration ID 1027700167110; Tax ID No. 7744001497; Government Gazette Number 09807684 [UKRAINE—EO13662]
4. VNESHNEE KONOMBANK (a.k.a. BANK FOR DEVELOPMENT AND FOREIGN ECONOMIC AFFAIRS (VNESHNEE KONOMBANK) STATE CORPORATION; a.k.a. BANK RAZVITIYA I VNESHNEE KONOMICHESKOI DEYATELNOSTI (VNESHEKONOMBANK) GOSUDARSTVENNAYA KORPORATSIYA; a.k.a. “VEB”), 9 Akademika Sakharova prospekt, Moscow 107996, Russia; SWIFT/BIC BFEA RU MM; Web site <http://www.veb.ru>; Email Address info@veb.ru; BIK (RU) 044525060 [UKRAINE—EO13662]
5. BANK OF MOSCOW (f.k.a. AKTSIONERNY KOMMERCHESKI BANK BANK MOSKVY, OTKRYTOE AKTSIONERNOE OBSHCHESTVO; a.k.a. JOINT STOCK COMMERCIAL BANK—BANK OF MOSCOW, OPEN JOINT STOCK COMPANY), 8/15 Korp. 3 ul. Rozhdestvenka, Moscow 107996, Russia; Bld 3 8/15, Rozhdestvenka St., Moscow 107996, Russia; SWIFT/BIC MOSW RU MM; Web site www.bic.moscow; Email Address holmogorov_ss@mmbank.ru; alt. Email Address info@mmbank.ru; BIK (RU)

044525219; Registration ID 1027700159497; Government Gazette Number 29292940 [UKRAINE–EO13662]

On September 12, 2014, the Director of OFAC, in consultation with the Department of State, determined that the following person (a) operates in the financial services sector of the Russian Federation economy and (b) shall be subject to the prohibitions of Directive 1 (as amended):

SBERBANK OF RUSSIA (f.k.a. OTKRYTOE AKTSIONERNOE OBSHCHESTVO SBERBANK ROSSII; a.k.a. SBERBANK ROSSII; f.k.a. SBERBANK ROSSII OAO), 19 ul. Vavilova, Moscow 117997, Russia; SWIFT/BIC SABRRUMM; Web site www.sberbank.ru; Email Address sbrf@sbrf.ru [UKRAINE–EO13662]

Directive 2 (as Amended) (September 12, 2014) Under E.O. 13662 and the Names of Persons Determined To Be Subject to Directive 2 (as Amended)

Note to Directive 2 (as amended): Original Directive 2, which was issued on July 16, 2014, and which is superseded by this version, prohibited these same activities for debt issued on or after July 16, 2014. This amended version of Directive 2 also reflects technical and other non-substantive edits.

Directive 2 (as amended) (September 12, 2014): Pursuant to sections 1(a)(i), 1(b), and 8 of Executive Order 13662 of March 20, 2014 “Blocking Property of Additional Persons Contributing to the Situation in Ukraine” (the Order) and 31 CFR 589.802, and following the Secretary of the Treasury’s determination under section 1(a)(i) of the Order with respect to the energy sector of the Russian Federation economy, the Director of the Office of Foreign Assets Control has determined, in consultation with the Department of State, that the following activities by a U.S. person or within the United States are prohibited, except to the extent provided by law or unless licensed or otherwise authorized by the Office of Foreign Assets Control: (1) All transactions in, provision of financing for, and other dealings in new debt of longer than 90 days maturity of persons determined to be subject to this Directive, their property, or their interests in property; and (2) all activities related to debt issued before the date of this Directive 2 (as amended) that would have been prohibited by the prior version of this Directive 2. All other activities with these persons or involving their property or interests in property are permitted, provided such

activities are not otherwise prohibited pursuant to Executive Orders 13660, 13661, or 13662 or any other sanctions program implemented by the Office of Foreign Assets Control.

Except to the extent otherwise provided by law or unless licensed or otherwise authorized by the Office of Foreign Assets Control, the following are also prohibited: (1) Any transaction that evades or avoids, has the purpose of evading or avoiding, causes a violation of, or attempts to violate any of the prohibitions contained in this Directive; and (2) any conspiracy formed to violate any of the prohibitions in this Directive.

Entities determined on September 12, 2014, to be subject to Directive 2 (as amended): On September 12, 2014, the Director of OFAC, in consultation with the Department of State, determined that the following persons, who had previously been determined to operate in the energy sector of the Russian Federation economy, shall be subject to the prohibitions of Directive 2 (as amended):

1. OPEN JOINT-STOCK COMPANY ROSNEFT OIL COMPANY (a.k.a. OAO ROSNEFT OIL COMPANY; a.k.a. OIL COMPANY ROSNEFT; a.k.a. OJSC ROSNEFT OIL COMPANY; a.k.a. ROSNEFT; a.k.a. ROSNEFT OIL COMPANY), 26/1 Sofiyskaya Embankment, Moscow 115035, Russia; Web site www.rosneft.com; alt. Web site www.rosneft.ru; Email Address postman@rosneft.ru; Registration ID 1027700043502 (Russia); Tax ID No. 7706107510 (Russia); Government Gazette Number 00044428 (Russia) [UKRAINE–EO13662]
2. OAO NOVATEK (a.k.a. FINANSOVO-INVESTITSIONNAYA KOMPANIYA NOVAFININVEST OAO; a.k.a. NOVATEK), 2, Udaltsova Street, Moscow 119415, Russia; 22 A, Pobedy Street, Tarko-Sale, Yamalo-Nenets Autonomous District 629580, Russia; 22a Pobedy ul., Tarko-Sale, Purovski raion, Tyumenskaya Oblast 629850, Russia; Email Address novatek@novatek.ru; Registration ID 1026303117642 (Russia); Government Gazette Number 33556474 (Russia) [UKRAINE–EO13662]

On September 12, 2014, the Director of OFAC, in consultation with the Department of State, determined that the following persons (a) operate in the energy sector of the Russian Federation economy and (b) shall be subject to the prohibitions of Directive 2 (as amended):

1. OJSC GAZPROM NEFT (a.k.a. GAZPROM NEFT OAO; a.k.a. JSC GAZPROM NEFT; a.k.a. OPEN JOINT-STOCK COMPANY GAZPROM NEFT; f.k.a. SIBIRSKAYA NEFTYANAYA KOMPANIYA OAO), Let. A. Galernaya, 5, ul. St. Petersburg 190000, Russia; Ul. Pochtamtstkaya, 3–5, St. Petersburg 190000, Russia; 3–5 Pochtamtstkaya St., St. Petersburg 190000, Russia; 125 A. Profsoyuznaya Street, Moscow 117647, Russia; Web site <http://www.gazprom-neft.com/>; Email Address info@gazprom-neft.ru; alt. Email Address pr@gazprom-neft.ru; alt. Email Address shareholders@gazprom-neft.ru; alt. Email Address ir@gazprom-neft.ru; Registration ID 1025501701686; Tax ID No. 5504036333; Government Gazette Number 42045241 [UKRAINE–EO13662]
2. AK TRANSNEFT OAO (a.k.a. AKTSIONERNAYA KOMPANIYA PO TRANSPORTUNEFTI TRANSNEFT OAO; a.k.a. OAO AK TRANSNEFT; a.k.a. OIL TRANSPORTING JOINT-STOCK COMPANY TRANSNEFT; a.k.a. TRANSNEFT; a.k.a. TRANSNEFT OJSC; a.k.a. TRANSNEFT, JSC), 57 B. Polyanka ul., Moscow 119180, Russia; 57 Bolshaya. Polyanka, Moscow 119180, Russia; Web site www.transneft.ru; Email Address transneft@ak.transneft.ru; Registration ID 1027700049486; Tax ID No. 7706061801; Government Gazette Number 00044463 [UKRAINE–EO13662]

Directive 3 (September 12, 2014) Under E.O. 13662 and the Names of Persons Determined To Be Subject to Directive 3

Directive 3 (September 12, 2014): Pursuant to sections 1(a)(i), 1(b), and 8 of Executive Order 13662 of March 20, 2014 “Blocking Property of Additional Persons Contributing to the Situation in Ukraine” (the Order) and 31 CFR 589.802, and following the Secretary of the Treasury’s determination under section 1(a)(i) of the Order with respect to the defense and related materiel sector of the Russian Federation economy, the Director of the Office of Foreign Assets Control has determined, in consultation with the Department of State, that the following activities by a U.S. person or within the United States are prohibited, except to the extent provided by law or unless licensed or otherwise authorized by the Office of Foreign Assets Control: all transactions in, provision of

financing for, and other dealings in new debt of longer than 30 days maturity of persons determined to be subject to this Directive, their property, or their interests in property. All other activities with these persons or involving their property or interests in property are permitted, provided such activities are not otherwise prohibited pursuant to Executive Orders 13660, 13661, or 13662 or any other sanctions program implemented by the Office of Foreign Assets Control.

Except to the extent otherwise provided by law or unless licensed or otherwise authorized by the Office of Foreign Assets Control, the following are also prohibited: (1) any transaction that evades or avoids, has the purpose of evading or avoiding, causes a violation of, or attempts to violate any of the prohibitions contained in this Directive; and (2) any conspiracy formed to violate any of the prohibitions in this Directive.

Entity determined on September 12, 2014, to be subject to Directive 3: On September 12, 2014, the Director of OFAC, in consultation with the Department of State, determined that the following person (a) operates in the defense and related materiel sector of the Russian Federation economy and (b) shall be subject to the prohibitions of new Directive 3:

ROSTEC (a.k.a. ROSTEC STATE CORPORATION; a.k.a. RUSSIAN TECHNOLOGIES; a.k.a. RUSSIAN TECHNOLOGIES STATE CORPORATION FOR ASSISTANCE TO DEVELOPMENT, PRODUCTION AND EXPORT OF ADVANCED TECHNOLOGY INDUSTRIAL PRODUCT; a.k.a. STATE CORPORATION FOR ASSISTANCE TO DEVELOPMENT, PRODUCTION AND EXPORT OF ADVANCED TECHNOLOGY INDUSTRIAL PRODUCT ROSTEKHNOLOGII; a.k.a. STATE CORPORATION ROSTEKHNOLOGII), 24 Usacheva ul., Moscow 119048, Russia; 21 Gogolevsky Blvd., Moscow 119991, Russia; Web site www.rostec.ru; Email Address info@rostec.ru; Registration ID 1077799030847 (Russia); Tax ID No. 7704274402 (Russia); Government Gazette Number 94137372 (Russia) [UKRAINE-EO13662].

Directive 4 (September 12, 2014) Under E.O. 13662 and the Names of Persons Determined To Be Subject to Directive 4

Directive 4 (September 12, 2014): Pursuant to sections 1(a)(i), 1(b), and 8 of Executive Order 13662 of March 20, 2014 "Blocking Property of Additional Persons Contributing to the Situation in Ukraine" (the Order) and 31 CFR 589.802, and following the Secretary of the Treasury's determination under section 1(a)(i) of the Order with respect to the energy sector of the Russian Federation economy, the Director of the Office of Foreign Assets Control has determined, in consultation with the Department of State, that the following activities by a U.S. person or within the United States are prohibited, except to the extent provided by law or unless licensed or otherwise authorized by the Office of Foreign Assets Control: the provision, exportation, or reexportation, directly or indirectly, of goods, services (except for financial services), or technology in support of exploration or production for deepwater, Arctic offshore, or shale projects that have the potential to produce oil in the Russian Federation, or in maritime area claimed by the Russian Federation and extending from its territory, and that involve any person determined to be subject to this Directive, its property, or its interests in property.

Except to the extent otherwise provided by law or unless licensed or otherwise authorized by the Office of Foreign Assets Control, the following are also prohibited: (1) Any transaction that evades or avoids, has the purpose of evading or avoiding, causes a violation of, or attempts to violate any of the prohibitions contained in this Directive; and (2) any conspiracy formed to violate any of the prohibitions in this Directive.

Entities determined on September 12, 2014, to be subject to Directive 4: On September 12, 2014, the Director of OFAC, in consultation with the Department of State, determined that the following person, who had previously been determined to operate in the energy sector of the Russian Federation economy, shall be subject to the prohibitions of Directive 4:

OPEN JOINT-STOCK COMPANY ROSNEFT OIL COMPANY (a.k.a. OAO ROSNEFT OIL COMPANY; a.k.a. OIL COMPANY ROSNEFT; a.k.a. OJSC ROSNEFT OIL COMPANY; a.k.a. ROSNEFT; a.k.a. ROSNEFT OIL COMPANY), 26/1 Sofiyskaya Embankment, Moscow 115035, Russia; Web site www.rosneft.com; alt. Web site

www.rosneft.ru; Email Address postman@rosneft.ru; Registration ID 1027700043502 (Russia); Tax ID No. 7706107510 (Russia); Government Gazette Number 00044428 (Russia) [UKRAINE-EO13662]

On September 12, 2014, the Director of OFAC, in consultation with the Department of State, determined that the following persons (a) Operate in the energy sector of the Russian Federation economy and (b) shall be subject to the prohibitions of Directive 4:

- OJSC GAZPROM NEFT (a.k.a. GAZPROM NEFT OAO; a.k.a. JSC GAZPROM NEFT; a.k.a. OPEN JOINT-STOCK COMPANY GAZPROM NEFT; f.k.a. SIBIRSKAYA NEFTYANAYA KOMPANIYA OAO), Let. A. Galernaya, 5, ul. St. Petersburg 190000, Russia; Ul. Pochtamtorskaya, 3-5, St. Petersburg 190000, Russia; 3-5 Pochtamtorskaya St., St. Petersburg 190000, Russia; 125 A. Profsoyuznaya Street, Moscow 117647, Russia; Web site <http://www.gazprom-neft.com/>; Email Address info@gazprom-neft.ru; alt. Email Address pr@gazprom-neft.ru; alt. Email Address shareholders@gazprom-neft.ru; alt. Email Address ir@gazprom-neft.ru; Registration ID 1025501701686; Tax ID No. 5504036333; Government Gazette Number 42045241 [UKRAINE-EO13662]
- LUKOIL OAO (a.k.a. LUKOIL; a.k.a. LUKOIL OIL COMPANY; a.k.a. NEFTYANAYA KOMPANIYA LUKOIL OOO; a.k.a. NK LUKOIL OAO), 11 Sretenski boulevard, Moscow 101000, Russia; Web site www.lukoil.ru; Email Address info@lukoil.ru; Registration ID 1027700035769; Tax ID No. 7708004767; Government Gazette Number 00044434 [UKRAINE-EO13662]
- OPEN JOINT STOCK COMPANY GAZPROM (a.k.a. GAZPROM OAO; a.k.a. OAO GAZPROM), 16 Nametkina St., Moscow, Russia GSP-7, 117997, Russia; 16 Nametkina ul., Moscow 117991, Russia; Web site www.gazprom.ru; Email Address gazprom@gazprom.ru; Registration ID 1027700070518 (Russia); Tax ID No. 7736050003 (Russia); Government Gazette Number 00040778 (Russia) [UKRAINE-EO13662]
- SURGUTNEFTEGAS (a.k.a. OPEN JOINT STOCK COMPANY SURGUTNEFTEGAS; a.k.a. OTKRYTOE AKTSIONERNOE OBSHCHESTVO SURGUTNEFTEGAZ; a.k.a.

SURGUTNEFTEGAS OAO; a.k.a. SURGUTNEFTEGAS OJSC; a.k.a. SURGUTNEFTEGAZ OAO), ul. Grigoriya Kukuyevitskogo, 1, bld. 1, Khanty-Mansiysky Autonomous Okrug—Yugra, the city of Surgut, Tyumenskaya Oblast 628415, Russia; korp. 1 1 Grigoriya Kukuevitskogo ul., Surgut, Tyumenskaya oblast 628404, Russia; Street Kukuevitskogo 1, Surgut, Tyumen Region 628415, Russia; Web site www.surgutneftegas.ru; Email Address secretary@surgutneftegas.ru [UKRAINE—EO13662]

General License No. 1 (Superseded on September 12, 2014)

Note to General License No. 1: On July 16, 2014, OFAC issued General License 1 authorizing certain transactions involving certain derivative products that were otherwise prohibited by Original Directives 1 or 2 under E.O. 13662. On September 12, 2014, General License No. 1 was replaced and superseded in its entirety by General License No. 1A, which is included later in this **Federal Register** Notice. The text of General License No. 1 is included here for historical reference purposes only.

General License No. 1: Authorizing Certain Transactions Related to Derivatives Under Directive 1 and Directive 2 of Executive Order 13662: (a) All transactions by U.S. persons, wherever they are located, and transactions within the United States involving derivative products whose value is linked to an underlying asset that constitutes (1) debt with a maturity of longer than 90 days or equity issued on or after July 16, 2014 by a person identified in Directive 1 pursuant to Executive Order 13662 or (2) debt with a maturity of longer than 90 days issued on or after July 16, 2014 by a person identified in Directive 2 pursuant to Executive Order 13662, are authorized.

(b) This general license does not authorize the holding, purchasing, or selling of underlying assets otherwise prohibited by Directive 1 and Directive 2 pursuant to Executive Order 13662 by U.S. persons, wherever they are located, or within the United States.

General License No. 1A

Note to General License No. 1: A prior version of this license, General License 1, which was issued in July 16, 2014, and which is superseded by this version, authorized certain transactions involving certain derivative products

that were otherwise prohibited by Original Directives 1 or 2 under E.O. 13662. This amended version, General License 1A, which OFAC issued on September 12, 2014, updates this authorization to cover the amended versions of Directives 1 and 2 as well as Directive 3 and also reflects technical and other non-substantive changes.

General License No. 1A: Authorizing Certain Transactions Related to Derivatives Prohibited by Directives 1, 2, and 3 Under Executive Order 13662: (a) All transactions by U.S. persons, wherever located, and transactions within the United States involving derivative products whose value is linked to an underlying asset that constitutes (1) new debt with a maturity of longer than 30 days or new equity issued by a person subject to Directive 1 under Executive Order 13662, (2) new debt with a maturity of longer than 90 days issued by a person subject to Directive 2 under Executive Order 13662, or (3) new debt with a maturity of longer than 30 days issued by a person subject to Directive 3 under Executive Order 13662, are authorized.

(b) This general license does not authorize the holding, purchasing, or selling of underlying assets otherwise prohibited by Directives 1, 2, or 3 under Executive Order 13662 by U.S. persons, wherever they are located, or within the United States.

(c) Effective September 12, 2014, General License No. 1, dated July 16, 2014, is replaced and superseded in its entirety by this General License No. 1A.

General License No. 2

Note to General License No. 2: On September 12, 2014, OFAC issued General License No. 2 authorizing certain transactions otherwise prohibited by Directive 4 under E.O. 13662. Under the terms of General License No. 2, the authorization contained therein expired at 12:01 a.m. eastern daylight time on September 26, 2014. General License No. 2, therefore, is no longer effective, and its text is included here for historical reference purposes only.

General License No. 2: Authorizing Certain Activities Prohibited by Directive 4 Under Executive Order 13662 Necessary To Wind Down Operations: (a) Except as provided in paragraph (b) of this general license, all activities prohibited by Directive 4 under Executive Order 13662 of March 20, 2014, that are ordinarily incident and necessary to the wind down of operations, contracts, or other agreements involving persons

determined to be subject to Directive 4 under Executive Order 13662 and that were in effect prior to September 12, 2014, are authorized through 12:01 a.m. eastern daylight time, September 26, 2014.

(b) This general license does not authorize any new provision, exportation, or reexportation of goods, services (except for financial services), or technology except as needed to cease operations involving projects covered by Directive 4 under Executive Order 13662. This general license does not authorize any transactions or dealings otherwise prohibited by any other Directive under Executive Order 13662 or any part of 31 CFR Chapter V.

(c) U.S. persons participating in transactions authorized by this general license are required, within 10 business days after the wind-down activities conclude, to file a detailed report, including the parties involved, the type and scope of activities conducted, and the dates of the activities, with the Office of Foreign Assets Control, Licensing Division, U.S. Department of the Treasury, 1500 Pennsylvania Avenue NW., Annex, Washington, DC 20220.

General License No. 3

On October 6, 2014, OFAC issued General License No. 3, which authorizes certain transactions otherwise prohibited by Directive 1 under E.O. 13662.

General License No. 3: Authorizing Transactions Involving Certain Entities Otherwise Prohibited by Directive 1 Under Executive Order 13662: (a) Except as provided in paragraph (b), all transactions prohibited by Directive 1 under Executive Order 13662 for a financial institution named in paragraph (c), or any entity in which such financial institution owns, directly or indirectly, a 50 percent or greater interest, are authorized.

(b) This general license does not authorize otherwise prohibited transactions with other persons subject to any Directive under Executive Order 13662, or any other transactions prohibited pursuant to any part of 31 CFR Chapter V.

(c) The financial institution(s) are: DenizBank A.Ş.

Dated: October 14, 2014.

John E. Smith,
Acting Director, Office of Foreign Assets Control.

[FR Doc. 2014-24988 Filed 10-20-14; 8:45 am]

BILLING CODE 4810-AL-P

Fwd: Trump Administration's 'Solid' Guidance on Russia Sanctions

From: (b)(6)
To: "Gacki, Andrea" (b)(6)
Date: Tue, 07 Nov 2017 05:40:58 -0500

From: (b)(6)
Date: November 6, 2017 at 10:07:19 PM GMT+1
To: (b)(6)
Subject: Trump Administration's 'Solid' Guidance on Russia Sanctions

FYI

[Trump Administration's 'Solid' Guidance on Russia Sanctions](#)

Nov. 6, 2017

A potentially significant Russia sanctions action flew under the radar and escaped broad notice in the wake of the fallout from special prosecutor Robert Mueller's investigation last week.

The US Treasury's Office of Foreign Assets Control (OFAC) and the US State Department released guidance on implementation of the Countering America's Adversaries Through Sanctions Act of 2017 (CAATSA). These went beyond the Obama administration's actions to implement congressional sanctions on Russia in 2014, by creating significant risk for anyone seeking to do business with designated Russians or in Russian frontier energy projects (shale, Arctic offshore or deep-sea oil).

Our initial take is that the administration's guidance is solid and strong. Despite being forward-leaning, the guidance provided by OFAC and the State Department was carefully crafted to preserve sanctions unity with the European Union (EU), arguably the most critical factor in the current sanctions regime.

OFAC's guidance on Section 228 of CAATSA lays the groundwork to implement a full-scale secondary sanctions regime for designated individuals and entities—specially designated nationals, or SDNs, the term of art for full Treasury blocking sanctions—under the Russia sanctions program. (Appropriately, this does not apply to those under sectoral—e.g., financial or defense technology—sanctions.)

Secondary sanctions may appear a somewhat artificial construct, but the policy upshot is this: it effectively means that it doesn't matter what kind of business you are doing with an SDN, if you know you are doing it, and it meets significance thresholds, then you can be designated yourself. Section 228 was originally intended to target sanctions evaders, but OFAC's guidance goes well beyond just evasion and effectively makes Russian SDNs as toxic as SDNs in the Hezbollah, Iran, and North Korea programs.

State Department guidance on Sections 225 and 232 set out a powerful set of measures at the US government's disposal to prevent development of frontier Russian oil projects already sanctioned by the United States and the EU, and to impair Russia's ability to construct and finance new (not existing) gas export pipelines.

Similarly, OFAC guidance on Section 226 lays out the considerations and conditions for imposing sanctions on foreign financial institutions for significant transactions with Russian SDNs. Although these provisions may never be used, this guidance goes well beyond the Obama administration's effective disregard for the sanctions contained in the Ukraine Freedom Support Act of 2014, and suggests that this administration—at least part of it—may be more willing to use the threat of such powerful sanctions measures than the previous one.

As we had recommended earlier this year [in our brief on the CAATSA](#), last week's guidance also makes clear that pipeline-related sanctions in Section 232 will not include projects already underway and will not be implemented absent coordination with EU allies. Additionally, parts of the guidance on Sections 225 and 226 suggest both are unlikely to be used dramatically at present.

However, by going out with such broad guidance, including details, and most importantly, by signaling a full-scope use of Section 228's broad powers, OFAC and the US State Department have sent a message of caution to the business community that it ought to be wary of doing business with designated Russians, including cronies like Gennady Timchenko and defense sector operators like Kalashnikov.

The administration has yet to issue implementing regulations, which will contain more information on how broadly this law will be interpreted. More immediately, the public, and especially the business community, should pay careful attention over the next four to six weeks for another US "sanctions maintenance" action against Russia, timed, as has been usual, with the six-month EU decision on rolling over its existing sectoral sanctions. Any CAATSA-related sanctions imposed in the next package would move the Russia sanctions program into a new phase and signal that the United States, in coordination with the EU, is prepared to use these expansive authorities to push Moscow into serious discussions on implementing its Minsk commitments to end the war in eastern Ukraine and to restore Ukraine's territorial integrity.

Notwithstanding the delay on issuing some guidance and reports, Congress ought to be gratified by these actions, though it is

2018-08-116: 008827

likely to hold judgment until the administration develops a track record of action, particularly regarding Russia's defense and intelligence sectors, as we discussed previously.

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Daniel Fried is a distinguished fellow in the Atlantic Council's Future Europe Initiative and Dinu Patriciu Eurasia Center.

Re: Trump Administration's 'Solid' Guidance on Russia Sanctions

From: (b)(6)
To: (b)(6)
Date: Tue, 07 Nov 2017 06:13:59 -0500

Dan Fried Seal of Approval! Gotta be proud of that. (BOT okay good too :))

From: (b)(6)
Date: November 7, 2017 at 12:41:50 PM GMT+2
To: (b)(6)
Subject: Re: Trump Administration's 'Solid' Guidance on Russia Sanctions

Thanks, (b)(6). Much appreciated

From: (b)(6)
Date: November 6, 2017 at 10:07:19 PM GMT+1
To: (b)(6)
Subject: Trump Administration's 'Solid' Guidance on Russia Sanctions

FYI

[Trump Administration's 'Solid' Guidance on Russia Sanctions](#)
Nov. 6, 2017

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Re: Trump Administration's 'Solid' Guidance on Russia Sanctions

From: "Swindells, Felicia" (b)(6)
To: (b)(6) (b)(6) "Thannhauser, Sara" (b)(6)
Cc: "Gacki, Andrea" (b)(6) (b)(6) "Demske, Susan"
Date: Tue, 07 Nov 2017 08:02:11 -0500

Thanks (b)(6). Adding Susan and (b)(6) given the reference to the regulations.

From: (b)(6)
Date: November 7, 2017 at 5:43:32 AM EST
To: Swindells, Felicia (b)(6) Thannhauser, Sara (b)(6)
Cc: Gacki, Andrea (b)(6)
Subject: Fwd: Trump Administration's 'Solid' Guidance on Russia Sanctions

FYI - looks like Dan Fried was pleased with our guidance.

From: (b)(6)
Date: November 6, 2017 at 10:07:19 PM GMT+1
To: (b)(6)
Subject: Trump Administration's 'Solid' Guidance on Russia Sanctions

FYI

[Trump Administration's 'Solid' Guidance on Russia Sanctions](#)
Nov. 6, 2017

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2018-08-116: 008831

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Re: Trump Administration's 'Solid' Guidance on Russia Sanctions

From: "Swindells, Felicia" (b)(6)
To: (b)(6) (b)(6)
(b)(6) "Demske, Susan" (b)(6)
"Thannhauser, Sara" (b)(6)
Date: Tue, 07 Nov 2017 08:03:33 -0500

Thanks (b)(6). Defer to you and John but it might be worth flagging this for the TFI FO. Adding Susan and (b)(6) given the reference to issuance of regs.

From: (b)(6)
Date: November 7, 2017 at 7:15:24 AM EST
To: (b)(6) Thannhauser, Sara (b)(6) Swindells, Felicia
Subject: FW: Trump Administration's 'Solid' Guidance on Russia Sanctions

Just FYI if you hadn't seen yesterday's Dan Fried/Brian O'Toole piece.

Trump Administration's 'Solid' Guidance on Russia Sanctions BY BRIAN O'TOOLE AND DANIEL FRIED

A potentially significant Russia sanctions action flew under the radar and escaped broad notice in the wake of the fallout from special prosecutor Robert Mueller's investigation last week.

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-----Original Message-----

From: Smith, John

Sent: Monday, November 06, 2017 4:48 PM

To: (b)(6)

Subject: FW: Trump Administration's 'Solid' Guidance on Russia Sanctions

-----Original Message-----

From: Daniel Fried (b)(6)

Sent: Monday, November 06, 2017 3:26 PM

To: Smith, John

Subject: Trump Administration's 'Solid' Guidance on Russia Sanctions

<https://hyperlink.services.treasury.gov/agency.do?origin=http://www.atlanticcouncil.org/blogs/new-atlanticist/trump-administrations-solid-guidance-on-russia-sanctions>

Sent from my iPhone

CLASSIFIED PROCUREMENTS: WHICH STATE COMPANIES WILL BUY GOLDEN TOILETS AT TAXPAYERS' EXPENSE?

From: (b)(6)

To: (b)(6)

(b)(6)

Date: Wed, 13 Dec 2017 06:50:06 -0500

Attachments: Classified Procurements Which State Companies Will Buy Golden Toilets at Taxpayers Expense.docx (21.51 kB)

CLASSIFIED PROCUREMENTS: WHICH STATE COMPANIES WILL BUY GOLDEN TOILETS AT TAXPAYERS' EXPENSE?

The State Duma plans to allow some state structures not to publish their state purchases. Russiangate analyzed the list and found out who and what for can hide his spending now.

In total, there are 126 state structures and companies that can decide not to disclose their spending. The main security department – the FSB – is also here, as well as the Foreign Intelligence Service, and the main department of the Ministry of Defense.

Among the banks, there are the structures of Yuri Kovalchuk (part of the close entourage of the Russian President). Moreover, banks registered or having offices in the Crimea can decide not to disclose the relevant information. For example, the bank RNKB, which belongs to the Federal Property Management Agency: it was established after the events of 2014 and conducts operations on the territory of the republic. Also, the list includes the banks owned by the Rothenberg brothers (also part of the President's inner circle).

There are banks which are already on the list of organizations against which the US Ministry of Finance imposed sanctions for their actions in the Crimea. One of them is the bank TAATTA registered in Yakutia. Nobody was interested in the bank's activities until it got into the American sanctions list. It turned out that it issues bank guarantees to the FSB, the governments of the Krasnoyarsk Territory and the Rostov Region.

The Bank for International Settlements is also an interesting one on the list. It was established by JSC Forward. The latter, in turn, is a member of PJSC RBC – RosBusinessConsulting. As usual, the structure ends in an offshore zone: at the moment, RBC is owned by JSC Cotel Project and offshore company Halverston Holdings Limited in the British Virgin Islands. Sotol is associated with the structures of Grigory Berezkin, the owner of the ESN.

In the transport list, there is the terminal A in Sheremetyevo, the Crimean railway, oil carriers. In the military-industrial complex, there are mainly subsidiaries of Rostech (Sergei Chemezov). Also among the "lucky ones" one can find transport and logistics companies of the Crimean businessman Dmitry Purim.

The list revealed a few more interesting details. For example, the plant Massandra and the sanatorium Nizhnyaya Oreanda belong to the President's Administration. Also, Alexander Zaldostanov, the leader of Night Wolves and Gennady Prigozhin – "Putin's chief" and the head of the "troll factory" in St. Petersburg received preferences.

The full list of companies is available below. [NOTE: Due to the size and formatting of the list, I included it as part of the attached Word document. (b)(6)]

<https://en.russiangate.com/business/classified-procurements-which-state-companies-will-buy-golden-toilets-at-taxpayers-expense/>

CLASSIFIED PROCUREMENTS: WHICH STATE COMPANIES WILL BUY GOLDEN TOILETS AT TAXPAYERS' EXPENSE?

The State Duma plans to allow some state structures not to publish their state purchases. Russiangate analyzed the list and found out who and what for can hide his spending now.

12.12.2017

In total, there are 126 state structures and companies that can decide not to disclose their spending. The main security department – the FSB – is also here, as well as the Foreign Intelligence Service, and the main department of the Ministry of Defense.

Among the banks, there are the structures of Yuri Kovalchuk (part of the close entourage of the Russian President). Moreover, banks registered or having offices in the Crimea can decide not to disclose the relevant information. For example, the bank RNKB, which belongs to the Federal Property Management Agency: it was established after the events of 2014 and conducts operations on the territory of the republic. Also, the list includes the banks owned by the Rothenberg brothers (also part of the President's inner circle).

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The full list of companies is available below:

Company	Profile	Owners/whi in the list
The FSB of Russia	State structures	Alexander Bortnikov
SVR, Russia's foreign intelligence service	State structures	Mikhail Fradkov
Main Directorate of the Central Command of Russian Armed Forces	State structures	Shoygu
Federal Autonomous Organization Glavosekspertiza Rossii	State structures	Government of Russia, manager – Igor Manylov
Autonomous Organization Shareholder Bank Rossiya	Banks and companies of bank groups	Yuri Kovalchuk
Autonomous Organization ABR Management	Banks and companies of bank groups	Yuri Kovalchuk
CJSC ZEST	Banks and companies of bank groups	Yuri Kovalchuk, leasing company of the AB Rossiya group
IK ABROS LLC	Banks and companies of bank groups	Yuri Kovalchuk, close to SK SOGAZ, the company announced on withdrawal from the AB Rossiya group
PJSC Mosoblbank	Banks and companies of bank groups	under the US sanctions
PAO RNKB-bank	Banks and companies of bank groups	Rosimuschestvo, registered in the Crimea
JSC CB Rublev	Banks and companies of bank groups	has a branch in Sevastopol

Company	Profile	Owners/whi in the list
JSC Bank ChBRR	Banks and companies of bank groups	Black Sea Bank for Development and Reconstruction is registered in Crimea, in Simferopol
PJSC Bank VVB	Banks and companies of bank groups	registered in Sevastopol
PJSC CB Verkhnevolzhsky	Banks and companies of bank groups	founder of the VVB bank
JSC Genbank	Banks and companies of bank groups	Simferopol, the Crimea
JSC Investcapitalbank	Banks and companies of bank groups	registered in Ufa, belongs to the Rotenbergs
Inresbank LLC	Banks and companies of bank groups	belongs to the Rotenbergs
JSC CB IS-bank	Banks and companies of bank groups	has a branch in Simferopol
PJSC krayinvestbank	Banks and companies of bank groups	Belongs to RNKB (Rosimuschestvo), registered in the Krasnodar Territory, has a representative office in Simferopol
PJSC CB RFA	Banks and companies of bank groups	Bank
JSC Sevastopolsky Morskoy Bank	Banks and companies of bank groups	works in the Crimea
JSC CB Severny Credit	Banks and companies of bank groups	belongs to the government of the Vologda region, has a branch in Simferopol

Company	Profile	Owners/whi in the list
JSC SMP-bank	Banks and companies of bank groups	belongs to Rotenbergs
JSC Sobinbank	Banks and companies of bank groups	belongs to Yuri Kovalchuk, the founders - Rusagro, Lukoil, Alrosa, the Rocket and Space Corporation Energia named after Korolev
BANK TAATTA JSC	Banks and companies of bank groups	Yakutia, issues guarantees to the FSB, the Krasnoyarsk Territory, and the Rostov Region. The Bank is on the list of organizations against which the US Treasury imposed sanctions for actions in the Crimea
CMRBANK LLC	Banks and companies of bank groups	The Bank
HALVERSTON HOLDINGS LIMITED	null	null
OJSC OCB Novator	Banks and companies of bank groups	Yekaterinburg, Federal Property Management Agency, Almaz-Antey Concern
PJSC MAB Tempbank	Banks and companies of bank groups	Moscow, has a branch in Simferopol, under US sanctions
Avia Grupp LLC	Transport & logistics	belongs to the NAO A-group, based in Sheremetyevo and Pulkovo, business aviation)
Avia Grupp Nord LLC	Transport & logistics	belongs to Avia Grupp LLC
SUE RK Universal-avia	Transport & logistics	belongs to the Ministry of Transport of the Republic of Crimea
FSUE KZhD	Transport & logistics	Crimean Railway, Federal Agency of Railway Transport
AG Terminal LLC	Transport & logistics	Arsen Avakov

Company	Profile	Owners/whi in the list
Oboronlogistika LLC	Transport & logistics	JSC Oboronstroy, the Ministry of Defense. Engaged in logistics of ferries between Russia and the Crimean peninsula
Roskhimtrade LLC	Transport & logistics	probably, it is one of the companies of Sergey Govorkov and Viktor Roenko, registered in the Krasnodar Territory
JSC Sovmortrans	Transport & logistics	according to the Unified State Register of Legal Entities, the founders include several offshore companies, Ukrainian and Russian ports, Rostech subsidiaries
PJSC Sovfrakht	null	The company is engaged in cargo transportation, its director Dmitry Yurievich Purim is a native of Simferopol. According to the issuer, the company has three owners - Transcapital LLC (51.17%, Lucia Purim and Elena Ivanova, CEO - Konstantin Yurievich Purim), LLC IP Fusion Limited (28.81%, Cyprus), LLC Sovfrakht-Vostok (6.55%)
Trans-flot LLC	Transport & logistics	not identified
Transoil LLC	Transport & logistics	NAO Transoil Holding, St. Petersburg. Belongs to Irina Feoktistova
Transpetrochart LLC	Transport & logistics	The oil carrier, St. Petersburg, belongs to German Malyk and LLC Selandia Lines
Transservis LLC	Transport & logistics	not identified
UK Sovfrakht LLC	Transport & logistics	Moscow, CEO - Dmitry Purim
Koksokhimtrans LLC	Transport & logistics	Moscow, previously the company was managed by Sovfrakht. One of the owners Elena Sovonnikova owns LLC Crimea Invest Alushta (construction of buildings). The general director is Marina Luneva, previously managed the Tamas ferry terminal and the Yeisk seaport

Company	Profile	Owners/whi in the list
Sakhatrans LLC	Transport & logistics	Yakutia, belongs to Gennady Timchenko
State Corporation Rostech	Defense industry	Sergey Chemezov
JSC Nauchno-proizvodstvennaya korporatsiya Uralvagonzavod	Defense industry	Rosimuschestvo
JSC Rosoboronexport	Defense industry	Rostech, Sergey Chemezov
JSC Izhevsky mekhanichesky zavod	Defense industry	Rostech, managing company - OJSC Concern Kalashnikov
JSC RSK MiG	Defense industry	PJSC Obyedinennaya Aviastroitelnaya Korporatsiya, President - Yuri Slyusar
JSC Vertolety Rossii	Defense industry	Oboronprom, Obyedinennaya Dvigatelistroitelnaya Korporatsiya, Rostech
PJSC Kompaniya Sukhoi	Defense industry	PJSC Obyedinennaya Aviastroitelnaya Korporatsiya
JSC Korporatsiya Takticheskoye Raketnoye Vooruzheniye	Defense industry	Rosimuschestvo
PJSC Tupolev	Defense industry	PJSC Obyedinennaya Aviastroitelnaya Korporatsiya
PJSC Obyedinennaya Aviastroitelnaya Korporatsiya	Defense industry	Rosimuschestvo, PJSC Nauchno-Proizvodstvennaya Korporatsiya Irkut, Evgeny Yurchenko, Nikolai Savitskykh, CJSC Beta-IR
JS Concern VKO Almaz-Antey	Defense industry	Rosimuschestvo, CEO – Yan Novikov
OJSC Concern Izhmash	Defense industry	ceased operations on December 22, 2016
OJSC Concern Kalashnikov	Defense industry	Izhevsk, Rostekh (51%), TransComplectHolding LLC (49%, Alexey Krivoruchko and an unknown company, formerly owned by Iskandar Makhmudov)

Company	Profile	Owners/whi in the list
OJSC Concern Sozvezdiye	Defense industry	Voronezh, scientific developments, belongs to Rosimushestvo
JSC OPK Oboronprom	Defense industry	Rostech
Molot-Oruzhiye LLC	Defense industry	Kirov Region, the founder - OJSC Vyatsko-Polyansky Mashinostroitelny Zavod Molot (Rostech)
JSC FNTsP Titan-Barrikady	Defense industry	Volgograd, JSC Korporatsiya Moskovsky Institut Teplotekhniki
JSC NPO Visokotochniye Kompleksy	Defense industry	Rostech
JSC NPO Splav	Defense industry	Tula, Rostech
OJSC Concern RTI Sistemy	Defense industry	null
OJSC Obyedinennaya Sudostroitelnaya Korporatsiya	Defense industry	St. Petersburg, Rosimushchestov
JSC Admiralteyskiye Verfi	Defense industry	St. Petersburg, JSC Zapadny Center Sudostroyeniya, JSC UAC, Rosimushchestvo
JSC CS Zvezdochka	Defense industry	Severodvinsk, JSC Severny Center Sudostroyeniya i Sudoremonta, JSC USC
JSC Obyedinennaya Dvigatelistroitel'naya Korporatsiya	Defense industry	Rostech, Oboronprom
JSC Obyedinennaya Priborostroitel'naya Korporatsiya	Defense industry	Rostech
OJSC Uranis-Radiosistemy	Defense industry	Sevastopol
Ardis-Podshipnik LLC	Defense industry	concluded a state contract in 2016 with a subsidiary of the Ministry of Defense

Company	Profile	Owners/whi in the list
PJSC NPO Almaz	Defense industry	belongs to the concern Almaz-Antey
JSC VPK NPO Mashinostroyeniya	Defense industry	Corporation Takticheskoye Raketnoye Vooruzheniye, Rosimuschestvo
JSC NPO Bazalt	Defense industry	Rostech
JSC NIIP imeni V.V. Tikomirova	Defense industry	Rosimuschestvo
CJSC TD Vityaz	Defense industry	Izhevsk. CEO - Sergey Fedorov, belongs to Alexei Popov (a mass founder). Probably, the front company: the main details coincide with those of a lot of different companies. At the address indicated as the main one, there is also the Izhevsk Mechanical Plant
JSC KBP	Defense industry	Tula, Rostech
JSC Concern Radioelektronniyeologii	Defense industry	Moscow, Rostech
JSC Strotransgaz	Construction, engineering and machine-building companies	Moscow, the founder - Gazprom. Has representative offices in Macedonia, Damascus (Syria), UAE, Turkmenistan, Czech Republic
SMT-K LLC	Construction, engineering and machine-building companies	Owner - Dmitry Purim
Stroytransgaz LLC	Construction, engineering and machine-building companies	founder - Gazprombank
STG-ECO LLC	Construction, engineering and machine-building companies	St. Petersburg, the founder - Stroitransgaz and a Cyprus offshore company
STGM LLC	Construction, engineering and machine-building companies	founders: Stroytransgaz (52%), Solsky Limited (BVA) (33%), PJSC Sibur Holding (Leonid Mikhelson, Gennady Timchenko)

Company	Profile	Owners/whi in the list
STC LLC	Construction, engineering and machine-building companies	unidentified
JSC Institut Stroyproekt	Construction, engineering and machine-building companies	St. Petersburg, is engaged in the design of bridges, has a representation in the Crimea
JSC Institut Giproststroy most - Sankt-Peterburg	Construction, engineering and machine-building companies	subsidiary of Institut Giproststroy most LLC
PJSC Mostotrest	Construction, engineering and machine-building companies	JSC TFK Finans, the structure of Yakunin
Stroygazmontazh LLC	Construction, engineering and machine-building companies	Arkady Rotenberg
OJSC VO Technopromexport	Construction, engineering and machine-building companies	Rostech
FKU Uprдор Taman	Construction, engineering and machine-building companies	Federal Road Agency
OJSC Volgogradneftemash	Construction, engineering and machine-building companies	Boris Rotenberg
PJSC DNPP (Dolgoprudnenskoye Nauchno-Proizvodstvennoye Predpriyatiye)	Construction, engineering and machine-building companies	Almaz-Antey
OJSC Sudostroitelny Zavod Zaliv	Construction, engineering and machine-building companies	Crimea, Kerch, owner - Artem Mirokhin
Sudostroitelny Zavod Zaliv LLC	Construction, engineering and machine-building companies	Crimea, Kerch. Owners - Sergey Kolotygin, Andrey Zherdev, Nikolay Peresadko

Company	Profile	Owners/whi in the list
FSUE Sudostroitelny Zaliv More	Construction, engineering and machine-building companies	Crimea, Theodosia. Founder - Minister of Industry and Trade of the Russian Federation
Karst LLC	Construction, engineering and machine-building companies	St. Petersburg, owner of Ader Global GmbH (Germany)
Gefest-M LLC	Construction, engineering and machine-building companies	null
SGM-Most LLC	Construction, engineering and machine-building companies	Moscow, the contractor for the construction of the Kerch Bridge
KPSK LLC	Construction, engineering and machine-building companies	null
PJSC MZIK	Construction, engineering and machine-building companies	Machine-Building Plant named after Kalinin, Ekaterinburg. Belongs to the concern Almaz-Antey
OJSC MMZ	Construction, engineering and machine-building companies	Mytishchi Machine Building Plant, managed by the Kalashnikov Concern
OJSC NNK	Oil companies	an oil producing company in Tatarstan, owned by LLC Nurlatskaya Petroleum Company (Rustem Zaripov, Research and Investment Center)
OJSC NNK-Primornefteprodukt	Oil companies	Vladivostok, JSC
SUE RK Chernomorneftegaz	SUE RK Chernomorneftegaz	Crimea, belongs to the Ministry of Fuel and Energy of the Republic
Akvanika LLC	Companies which invested in business in the Crimea and	LLC Volga Grup, Gennady Timchenko

Company	Profile	Owners/whi in the list
JSC ZShV Novy Svet	Sevastopol, the Region's SUEs Companies which invested in business in the Crimea and Sevastopol, the Region's SUEs	Ministry of Property and Land of the Republic of Crimea
FSUE PJSC Massandra	Companies which invested in business in the Crimea and Sevastopol, the Region's SUEs	Presidential Administration
SUE RK Agrarnaya Kompaniya Magarach	Companies which invested in business in the Crimea and Sevastopol, the Region's SUEs	Ministry of Agriculture of the Republic of Crimea, in the process of liquidation since February 2017
SUE RK Kinostudia Yalta-Film	Companies which invested in business in the Crimea and Sevastopol, the Region's SUEs	Yalta, Ministry of Culture of the Republic of Crimea
SUE RK Krynskiye Morskiye Porty	Companies which invested in business in the Crimea and Sevastopol, the Region's SUEs	Kerch, Ministry of Transport of the Republic of Crimea
SUE RK FOZ (Feodosiysky Optichesky Zavod)	Companies which invested in business in the Crimea and Sevastopol, the Region's SUEs	Feodosia, Ministry of Industrial Policy of the Republic of Crimea

Company	Profile	Owners/whi in the list
MANO Nochniye Volki	Companies which invested in business in the Crimea and Sevastopol, the Region's SUEs	Moscow, CEO - Alexander Zaldostanov
Baik V. Centr LLC	Companies which invested in business in the Crimea and Sevastopol, the Region's SUEs	Moscow, founder - Alexander Zaldostanov
FSBE Sanatory Nizhnyaya Oreanda	Companies which invested in business in the Crimea and Sevastopol, the Region's SUEs	Yalta, belongs to the Presidential Administration
PPO FSUE KMP KPP PRMTK Pervichnaya profsoyuznaya prganizatsiya filiala FGUP RK Krymskiye Mosrkiye Porty Kerchensky Rybny Port proffesionalnogo soyuza robotnkiov morskogo transporta Respubliki Krym)	Companies which invested in business in the Crimea and Sevastopol, the Region's SUEs	Kerch
Holding Ohrannikh Struktur Volk	Companies which invested in business in the Crimea and Sevastopol, the Region's SUEs	Moscow, belongs to Private Security Company Volk77, the founder of which is Alexander Zaldostanov
Kombinat Pitaniya Konkord LLC	Other	St. Petersburg, the CEO -
Konkord Management and Consulting LLC	Other	St. Petersburg, the CEO -
Volga Grupp LLC	Other	Gennady Timchenko

Company	Profile	Owners/whi in the list
ANO PO KSI	Other	concludes government contracts with PJSC Tupolev, the Ministry of Defense, the Central Base Customs (Federal Customs Service). Suspected of organizing cyber attacks in the US
Avuar LLC	Unidentified	null
Solid LLC	Unidentified	Probably, it is associated with the companies of Tatneft
DSK LLC	Unidentified	null
COR LLC	Unidentified	Suspected of organizing cyber attacks in the US
Em Es Grupp Invest LLC	Unidentified	closed on December 8, 2017, the investment company had mass addresses and founders. In 2010, its founders were Igor Makarov and Igor Shlykov, the owners of the airline Meridian, 19% of which belonged to the international association against drug trafficking

<https://en.russiagate.com/business/classified-procurements-which-state-companies-will-buy-golden-toilets-at-taxpayers-expense/>

RE: PLI prep call

From: "Smith, Bradley" (b)(6)
To: "Gacki, Andrea" (b)(6)
Date: Wed, 13 Dec 2017 16:20:59 -0500
Attachments: PLI 2017 Sanctions slides.pptx (1.4 MB)

Here's what Kay sent me (though I'm kind of going my own way).

From: Gacki, Andrea
Sent: Wednesday, December 13, 2017 4:19 PM
To: Smith, Bradley
Subject: FW: PLI prep call

Also just fyi:

From: Marquardt, Paul [<mailto:pmarquardt@cgsh.com>]
Sent: Tuesday, December 12, 2017 5:11 PM
To: (b)(6); Gacki, Andrea
Subject: PLI prep call

Here are the slides – any suggestions welcome. I'll probably try to have a few questions in reserve if we need them too.

Best, Paul

Paul Marquardt

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Bates Nos. 2018-08-116:
008850 – 008890
Submitter Notice Process
Initiated

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Pending Consultation with DHS