

RE: Items for CAATSA Briefing Today

From: (b)(6)
To: (b)(6)
Cc: "Swindells, Felicia" (b)(6)
Date: Tue, 27 Feb 2018 14:54:48 -0500
Attachments: Combined R-U Press Releases.pdf (4.69 MB)

Sorry for the delay, attached are the Russia-Ukraine press releases in one PDF file.

From: (b)(6)
Sent: Tuesday, February 27, 2018 2:43 PM
To: (b)(6)
Cc: Swindells, Felicia; (b)(6)
Subject: RE: Items for CAATSA Briefing Today

On #7, I do not anticipate that anything will be said or shared at this hearing on SWIFT.

With regards to the requirements of Section 318, we have limited information that we can share, but we are in the process of scheduling the briefing with appropriate congressional staff. If Sigal is ok with the text that (b)(6) showed her today, I will send out the scheduling emails this afternoon.

(b)(6) Senior Advisor, Office of Foreign Assets Control, U.S. Treasury Department, (b)(6) (O), (b)(6) (M)

From: (b)(6)
Sent: Tuesday, February 27, 2018 2:41 PM
To: (b)(6)
Cc: Swindells, Felicia (b)(6)
Subject: Items for CAATSA Briefing Today

(b)(6) - SPM asked for the following items in the 1230PM CAATSA walk through meeting. I've bolded ones that are attached here (or answered in line), and the remaining items (should just be the Russia releases and the CAATSA chart) will come shortly on a rolling basis.

Thanks,

(b)(6)

1. Chart summarizing the CAATSA section, who it is delegated to, and how our designations (in Iran, Russia and DPRK programs align with the CAATSA provisions)
2. All press releases for Iran, Russia, and DPRK related actions since the start of the administration
 - a. Breakdown of 97 Iran-related sanctions under this Administration:
 - i. 60 were under WMD authorities (E.O. 13382 – i.e. in connection with Iran's ballistic missile program or in support of others previously designated for activity in connection with Iran's ballistic missile program) – addresses similar type of activity as described in Section 104 of CAATSA
 - ii. 8 were done under human rights abuses or censorship activities – addressing similar type of activity as described in Section 106 of CAATSA
3. SSI List – contains 290 entities, identified under E.O. 13662
4. WH Press Secretary Statement on Notpetya and Associated Press Guidance
5. Matrix for upcoming Russia and Cyber designations – just for reference - Sent to IOC, (b)(6) (b)(6) and Luke

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Ballman

6. **Section 318 Briefing – (b)(6) will provide an update on what will be said and shared at this hearing separately**
7. Section 316 and 317 reports – These are State-led reports that are late; SPM just wants to see them and review at some point (will not get them before the hearing and not necessary to).
8. **SPM Opening Statement (SPM yesterday version, which I provided hard copy in the meeting)**



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Treasury Designates Four Individuals Involved in Violating Ukrainian Sovereignty

3/17/2014

Sanctions Target Two Crimea-Based Separatist Leaders, Leader of Ukrainian Choice, and Former Ukrainian President Victor Yanukovich

WASHINGTON – The U.S. Department of the Treasury’s Office of Foreign Assets Control (OFAC) today designated Crimea-based separatist leaders Sergey Aksyonov, and Vladimir Konstantinov, leader of Ukrainian Choice Viktor Medvedchuk, and former President of Ukraine Viktor Yanukovich pursuant to Executive Order (E.O.) 13660, which targets persons contributing to the current situation in Ukraine. Aksyonov, Konstantinov, Medvedchuk, and Yanukovich are being designated for their role in actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine. They are also being designated for their role in actions or policies that undermine democratic processes or institutions in Ukraine. Medvedchuk is also being designated because he has materially assisted, sponsored, or provided financial, material, or technological support to Yanukovich and because he is a leader of an entity that has, or whose members have, engaged in actions or policies that undermine democratic processes or institutions in Ukraine and actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine.

“President Obama has been crystal clear that we will impose costs on those who undermine the sovereignty and territorial integrity of Ukraine, and today’s actions follow through on that commitment,” said Under Secretary for Terrorism and Financial Intelligence David S. Cohen. “The steps taken by the separatist leaders designated today and former President Yanukovich violate Ukraine’s sovereignty and territorial integrity. While we continue to urge a de-escalation of the situation in Ukraine, the conduct of those sanctioned today cannot go unanswered.”

Aksyonov claims to be the Prime Minister of Crimea and has rejected the authority of the legitimate government in Kyiv. He has appealed to Moscow to send troops to Ukraine. Aksyonov has also announced that local security forces including the police and the army, which are under Kyiv's command, would be brought under his control.

Konstantinov has been acting as the speaker of the Crimean parliament, which on March 11, 2014, declared independence from Ukraine. Konstantinov signed the declaration, and he has stated that he intends to draft a constitution for the so-called Republic of Crimea. Consistent with this position, Konstantinov declared on March 7, 2014 that Ukrainian troops remaining in Crimea should leave Crimea unless they were willing to renounce their loyalty to Kyiv and serve the region's new administration.

In the accelerated run-up to the March 16 referendum on Crimea joining the Russian Federation, which violated the Ukrainian constitution, Konstantinov has stated that Crimea will never rejoin Ukraine and that it will adopt the Russian ruble as its official currency soon after the referendum. He has also stated that he hopes that Crimea will remain a parliamentary republic with broad powers if it joins Russia, and that all state institutions, including the border service, army, navy, and security service, will report to Russia in the event that Crimea joins it.

Viktor Medvedchuk is the leader of Ukrainian Choice, a group through which he has been stirring conflict in Kherson, a province just north of Crimea, through advertising campaigns designed to pit supporters and foes of Russia's attempt to annex Crimea against one another. A long-time proxy and close personal friend of Russian President Vladimir Putin, he acted as a liaison between Yanukovich and Putin. Medvedchuk and Andriy Kluyev, the former Secretary of National Security and Defense Council of Ukraine, were involved in the development of the scenario that led to clashes outside the Presidential Administration in Kyiv on December 1, 2013.

After first abandoning Kyiv and ultimately fleeing to Russia, Yanukovich called upon Putin to send Russian troops into Ukraine. The government of Yanukovich has engaged in the improper use of force and weapons against protesters in Kyiv and other cities in Ukraine, leading to numerous injuries, tortures, and deaths.

As a result of Treasury's action, any assets of the persons designated today that are within U.S. jurisdiction must be frozen. Additionally, U.S. persons are generally prohibited from conducting any transactions with these four individuals.

Identifying Information

Name: Sergey Valeryevich AKSYONOV

AKA: Serhiy Valeryevich AKSYONOV

AKA: Sergey AKSYONOV

A.K.A: Sergei AKSYONOV

AKA: Serhiy AKSYONOV

AKA: Sergei AKSENOV

DOB: November 26, 1972

POB: Balti, Moldova

Name: Vladimir Andreyevich KONSTANTINOV

DOB: November 19, 1956

POB: Vladimirovka, Moldova

Name: Viktor MEDVEDCHUK

DOB: August 7, 1954

POB: Pochyot, Krasnoyarsk Krai, Russia

Name: Viktor Fedorovich YANUKOVYCH

DOB: July 9, 1950

POB: Yenakiyev, Donetsk Region, Ukraine

Alt. POB: Makiivka, Donbas, Ukraine

Title: Former President of Ukraine



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Treasury Sanctions Russian Officials, Members Of The Russian Leadership's Inner Circle, And An Entity For Involvement In The Situation In Ukraine

3/20/2014

Sanctions Target Russian Government Officials, the Inner Circle that Supports Them, and Bank Rossiya, the Personal Bank for Officials of the Russian Federation

WASHINGTON – The U.S. Department of the Treasury's Office of Foreign Assets Control (OFAC) today designated sixteen Russian government officials, members of the Russian leadership's inner circle, including a Russian bank pursuant to Executive Order (E.O.) 13661, which was signed by President Obama on March 16, 2014. E.O. 13661 authorizes sanctions on, among others, officials of the Russian Government and any individual or entity that is owned or controlled by, that has acted for or on behalf of, or that has provided material or other support to, a senior Russian government official.

The sixteen individuals being sanctioned as Russian government officials are: Viktor Ozerov, Vladimir Dzhubarov, Evgeni Bushmin, Nikolai Ryzhkov, Sergei Zheleznyak, Sergei Mironov, Aleksandr Tootonov, Oleg Panteleev, Sergey Naryshkin, Victor Ivanov, Igor Sergun, Sergei Ivanov, Alexei Gromov, Andrei Fursenko, Vladimir Yakunin, and Vladimir Kozhin

Those being designated for acting for or on behalf of or materially assisting, sponsoring, or providing financial, material, or technological support for, or goods or services to or in support of, a senior official of the Government of the Russian Federation are: Gennady Timchenko, Arkady Rotenberg, Boris Rotenberg, Yuri Kovalchuk and Bank Rossiya. In addition to being designated for providing material support to Russian government officials, Bank Rossiya is also being designated for being controlled by designated inner circle member Kovalchuk.

"With its currency near an all-time low, its stock market down twenty percent this year and a marked rise in interest rates, Russia has already started to bear the economic costs of its unlawful effort to undermine Ukraine's security, stability, and sovereignty," said Under Secretary for Terrorism and Financial Intelligence David S. Cohen. "As President Obama has made clear, we will continue to impose costs in direct response to Russia's provocative acts, even as we have made clear there is a path to de-escalate the situation in Ukraine that respects Ukraine's sovereignty and territorial integrity and takes account of Russia's legitimate interests."

Russian Government Officials and Members of the Inner Circle:

Government Officials

The following sixteen individuals are being designated because they are officials of the Russian government. Although not the basis for the designation, several are also very close advisors to senior Russian government officials.

Viktor Ozerov is the Chairman of the Security and Defense Committee of the Federation Council of the Russian Federation. On March 1, 2014, Ozerov supported Russian President Vladimir Putin's appeal regarding the use of the Russian Armed Forces in Ukraine.

Vladimir Dzhubarov is the First Deputy Chairman of the International Affairs Committee of the Federation Council of the Russian Federation. On March 1, 2014, Dzhubarov supported the Putin's appeal regarding the use of the Russian Armed Forces in Ukraine.

Evgeni Bushmin is the Deputy Speaker of the Federation Council of the Russian Federation. On March 1, 2014, Bushmin publicly supported the deployment of Russian forces in Ukraine.

Nikolai Ryzhkov is a Senator in the Russian Upper House of Parliament (Federation Council). Ryzhkov publicly supported the deployment of Russian forces in Ukraine.

Sergei Zheleznyak is the Deputy Speaker of the State Duma of the Russian Federation.

Sergei Mironov is a Member of the Council of the State Duma, a Member of the State Duma Committee on Housing Policy and Housing and Communal Services, and Leader of the Fair Russia Faction in the Duma of the Russian Federation.

Aleksandr Tootonov is a Member of the Committee on Culture, Science, and Information, Federation Council of the Russian Federation. On March 1, 2014, Tootonov publicly supported the deployment of Russian forces in Ukraine.

Oleg Panteleev is the First Deputy Chairman of the Committee on Parliamentary Issues. On March 1, 2014, Panteleev publicly supported the deployment of Russian forces in Ukraine.

Sergey Naryshkin has been the Chairman of the Government Duma of the Federal Gathering of the Russian Federation since December, 2011. Additionally, he is a member of the National Security Council of the Russian Federation and of the United Russia party.

Victor Ivanov has been director of the Federal Drug Control Service (FSKN) of the Russian Federation since May 15, 2008; he was appointed as a member of the Security Council of the Russian Federation on May 25, 2008. Ivanov has served in a number of other government positions prior to that; he was Assistant to the President of the Russian Federation from 2004 - 2008; and Deputy Chief of the Administration of the Russian Federation from 2000 - 2004. Ivanov joined the KGB in 1977 and eventually rose to become the Deputy Director of the Federal Security Service. Ivanov is a close ally of Putin and served alongside Putin as the chief of staff of the St. Petersburg Mayor's office in 1994 when Putin was first deputy head of the city's administration.

Igor Sergun is the head of Russia's military intelligence service (GRU) and is Deputy Chief of the General Staff.

Sergei Ivanov is the Chief of Staff of the Presidential Executive Office.

Alexei Gromov is the First Deputy Chief of Staff of the Presidential Executive Office.

Andrei Fursenko is an aide to the President of the Russian Federation and has been in that position since May 21, 2012. Fursenko has held a number of positions in the Government of the Russian Federation since 2001, including Minister of Education and Science from 2004 - 2012. Although not being designated for being a member of the Russian leadership's inner circle, Fursenko first met Putin in 1993 and they remain closely associated.

Vladimir Yakunin was appointed as chairman of the board of the Russian state-owned company Russian Railways on June 15, 2005; he has remained as head of the company ever since. Yakunin is being designated because of his official position in the Russian government, but he is also a close confidant of Putin. Yakunin regularly consults with Putin on issues regarding the Russian Railways company. In addition, Yakunin accompanies Putin on many domestic and international visits. Yakunin met Putin while both were working in St. Petersburg. Yakunin decided to create a business center in the city and contacted Putin for his support. In addition, Yakunin became a member of the board of the Baltic Maritime Steamship Company on Putin's instructions. Yakunin and Putin were also neighbors in the elite dacha community on the shore of Lake Komsomolsk and they served as cofounders of the Ozero Dacha Cooperative in November 1996.

Vladimir Kozhin was appointed the Head of Administration under the President of the Russian Federation by Putin on January 21, 2000. He has served continuously in that position until the present time. Kozhin is responsible for overseeing a staff of 60,000, over a hundred enterprises and

institutions including the Kremlin and several other government buildings, and over four thousand vehicles. Kohzin's positions have been variously referred to as Head of Administration, Head of the Presidential Affairs Office, Head of the Presidential Business Management Directorate of the Russian Federation, and head of the Presidential Property Management Directorate.

Members of the Inner Circle

The following individuals are being designated because each is controlled by, has acted for or on behalf of, or has provided material or other support to, a senior Russian government official.

Gennady Timchenko is one of the founders of Gunvor, one of the world's largest independent commodity trading companies involved in the oil and energy markets. Timchenko's activities in the energy sector have been directly linked to Putin. Putin has investments in Gunvor and may have access to Gunvor funds.

Arkady Rotenberg and **Boris Rotenberg** have provided support to Putin's pet projects by receiving and executing high price contracts for the Sochi Olympic Games and state-controlled Gazprom. They have made billions of dollars in contracts for Gazprom and the Sochi Winter Olympics awarded to them by Putin. Both brothers have amassed enormous amounts of wealth during the years of Putin's rule in Russia. The Rotenberg brothers received approximately \$7 billion in contracts for the Sochi Olympic Games and their personal wealth has increased by \$2.5 billion in the last two years alone.

Yuri Kovalchuk is the largest single shareholder of Bank Rossiya and is also the personal banker for senior officials of the Russian Federation including Putin. Kovalchuk is a close advisor to President Putin and has been referred to as one of his "cashiers."

The following entity is being designated because it is controlled by, has acted for or on behalf of, or has provided material or other support to, senior Russian government officials.

Bank Rossiya (ОАО АБ РОССИЯ) is the personal bank for senior officials of the Russian Federation. Bank Rossiya's shareholders include members of Putin's inner circle associated with the Ozero Dacha Cooperative, a housing community in which they live. Bank Rossiya is also controlled by Kovalchuk, designated today. Bank Rossiya is ranked as the 17th largest bank in Russia with assets of approximately \$10 billion, and it maintains numerous correspondent relationships with banks in the United States, Europe, and elsewhere. The bank reports providing a wide range of retail and corporate services, many of which relate to the oil, gas, and energy sectors.

As a result of Treasury's action, any assets of the persons designated today that are within U.S. jurisdiction must be frozen. Additionally, transactions by U.S. persons or within the United States involving the individuals and entity designated today are generally prohibited.

Identifying Information

Name: Viktor Alekseevich Ozerov

DOB: January 5, 1958
POB: Abakan, Khakassia, Russia
Chairman of the Security and Defense Committee of the Federation Council of the Russian Federation

Name: Vladimir Michailovich Dzhabarov
AKA: Vladimir Dzhabarov
DOB: September 29, 1952
Title: First Deputy Chairman of the International Affairs Committee of the Federation Council of the Russian Federation

Name: Evgeni Viktorovich Bushmin
AKA: Evgeny Bushmin
AKA: Yevgeny Bushmin
DOB: October 10, 1958
POB: Lopatino, Sergachiisky Region, Russia
Title: Deputy Speaker of the Federation Council of the Russian Federation

Name: Nikolai Ivanovich Ryzhkov
AKA: Nikolai Ryzhkov
DOB: September 28, 1929
POB: Duleevka, Donetsk Region, Ukraine
Title: Member of the Committee for Federal Issues, Regional Politics and the North of the Federation Council of the Russian Federation
Title: Senator in the Russian Upper House of Parliament

Name: Sergei Vladimirovich Zheleznyak
AKA: Sergei Zheleznyak
AKA: Sergey Zheleznyak
DOB: July 30, 1970
POB: Saint Petersburg, Russia
Title: Deputy Speaker of the State Duma of the Russian Federation

Name: Sergei Mikhailovich Mironov
AKA: Sergei Mironov
DOB: February 14, 1953
POB: Pushkin, Saint Petersburg, Russia
Member of the Council of the State Duma, Member of the State Duma Committee on Housing Policy and Housing and Communal Services, and
Leader of the Fair Russia Faction in the Duma of the Russian Federation

Name: Aleksandr Borisovich Totoonov

AKA: Alexander B. Totoonov
AKA: Alexander Totoonov
DOB: March 3, 1957
POB: Ordzhonikidze, North Ossetia, Russia
POB: Vladikavkaz, North Ossetia, Russia
Title: Member of the Committee on Culture, Science, and Information, Federation Council of the Russian Federation

Name: Oleg Evgenevich Panteleev
AKA: Oleg Panteleev
DOB: July 21, 1952
POB: Zhitnikovskoe, Kurgan Region, Russia
Title: First Deputy Chairman of the Committee on Parliamentary Issues

Name: Sergey Yevgenyevich Naryshkin
AKA: Sergei Naryshkin
DOB: October 27, 1954
POB: Saint Petersburg, Russia

Name: Victor Petrovich Ivanov
AKA: Viktor Ivanov
DOB: May 12, 1950
alt. DOB: 1952
POB: Novgorod, Russia

Name: Igor Dmitrievich Sergun
DOB: March 28, 1957
Title: Lieutenant General; Chief of the Main Directorate of the General Staff (GRU), Deputy Chief of the General Staff

Name: Sergei Ivanov
AKA: Sergei Ivanov
DOB: January 31, 1953
POB: Saint Petersburg, Russia
Title: Chief of Staff of the Presidential Executive Office

Name: Alexei Gromov
DOB: 1960
POB: Zagorsk (Sergiev, Posad), Moscow Region, Russia

Title: First Deputy Chief of Staff of the Presidential Executive Office
Title: Presidential Administration Deputy Chief of Staff
Title: First Deputy Presidential Chief of Staff

Name: Andrei Alexandrovich Fursenko
AKA: Andrei Fursenko
AKA: Andrey Fursenko
DOB: July 17, 1949
POB: Saint Petersburg, Russia
Title: Aide to the President of the Russian Federation

Name: Vladimir Ivanovich Yakunin
DOB: June 30, 1948
POB: Zakharovo Village, Gus-Khrustalnyy Rayon, Vladimir Oblast, Russia
alt. POB: Melenki, Vladimir Oblast, Russia

Name: Vladimir Igorevich Kozhin
DOB: February 28, 1959
POB: Troitsk, Chelyabinsk Oblast, Russia

Name: Gennady Timchenko
AKA: Gennadiy Nikolayevich Timchenko
AKA: Gennady Nikolayevich Timchenko
AKA: Guennadi Timtchenko
Address: Geneva, Switzerland
DOB: November 9, 1952
POB: Leninakan, Armenia
alt. POB: Gyumri, Armenia
Nationality: Finland, Russia, Armenia

Name: Arkady Rotenberg
DOB: December 15, 1951
POB: Saint Petersburg, Russia

Name: Boris Rotenberg
DOB: January 3, 1957
POB: Saint Petersburg Russia

Name: Yuri Valentinovich Kovalchuk

AKA: Yury Valentinovich Kovalchuk
DOB: July 25, 1951
POB: Saint Petersburg, Russia

Name: Bank Rossiya
FKA: Aktsionerny BANK Russian Federation
Address: 2 Liter A Pl. Rastrelli, Saint Petersbrug, 191124, Russia
E-mail: bank@abr.ru
Web Site: www.abr.ru
SWIFT/BIC: ROSY RU 2P



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Treasury Designates Seven Individuals And One Entity Contributing To The Situation In Ukraine

4/11/2014

*Sanctions Target Crimean Separatists, a former Ukrainian Official,
and a Crimea-based Gas Company*

WASHINGTON – The U.S. Department of the Treasury's Office of Foreign Assets Control (OFAC) today designated Crimean separatist leaders Pyotr Zima, Aleksei Chaliy, Rustam Temirgaliev, Yuriy Zherebtsov, Mikhail Malyshev, and Valery Medvedev, former Ukrainian official Sergey Tsekov, and Crimea-based gas company Chernomorneftegaz pursuant to Executive Order (E.O.) 13660. These individuals and this entity are being sanctioned for being responsible for or complicit in, or having engaged in, actions or policies that undermine democratic processes or institutions in Ukraine, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine, or misappropriation of state assets of Ukraine or of an economically significant entity in Ukraine; or having asserted governmental authority over any part or region of Ukraine without the authorization of the Government of Ukraine.

"Crimea is occupied territory. We will continue to impose costs on those involved in ongoing violations of Ukraine's sovereignty and territorial integrity," said Under Secretary for Terrorism and Financial Intelligence David S. Cohen.

Crimean Separatists

Pyotr Zima was appointed as de facto head of the Security Service of Ukraine's main directorate in Crimea by the presidium of the Crimean parliament in March 2014. Zima was subsequently dismissed from his duties by interim Ukrainian President Oleksandr Turchynov for taking an oath of loyalty to the new Crimean authorities, and the Prosecutor-General's Office of Ukraine received permission from a Ukrainian district court to detain Zima for colluding with Sergei Aksenov

and Vladimir Konstantinov to commit crimes under Article 109 Part 1 of the Ukrainian Criminal Code. Aksenov and Konstantinov were designated on March 17, 2014 pursuant to E.O. 13660. Zima is being designated pursuant to E.O. 13660 because he has asserted governmental authority over a part or region of Ukraine without the authorization of the Government of Ukraine.

Aleksei Chaliy is the de facto Chairman of the Coordinating Council for the establishment of the Sevastopol municipal administration, and signed the March 16, 2014 agreement between the Russian Federation and the "Republic of Crimea" to unite Crimea and the city of Sevastopol with Russia. Chaliy is being designated pursuant to E.O. 13660 because he has asserted governmental authority over any part or region of Ukraine without the authorization of the Government of Ukraine; and because he is responsible for or complicit in, or has engaged in, directly or indirectly, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine.

As de facto Deputy Chairman of the Council of Ministers of Crimea, **Rustam Temirgaliev** ran the unauthorized March 16, 2014 referendum in Crimea.

As de facto counselor to the Speaker of the Crimean parliament, the Rada, **Yuriy Zherebtsov** was one of the main organizers of the unauthorized March 16 referendum in Crimea, which paved the way for Russia's illegal annexation of Crimea. On March 9, 2014, Zherebtsov signed a letter on behalf of the authorities of "the Autonomous Republic of Crimea" requesting the commander of the military base in Yevpatoria, Crimea to disarm his troops and surrender the base to the Black Sea Fleet of the Russian Federation.

Mikhail Malyshev is the de facto Chair of the Crimea Electoral Commission, which administered the unauthorized March 16, 2014 referendum in Crimea.

Valery Medvedev is the de facto Chair of the Sevastopol Electoral Commission, which administered the unauthorized March 16, 2014 referendum for the city of Sevastopol.

Temirgaliev, Zherebtsov, Malyshev, and Medvedev are being designated pursuant to E.O. 13660 because they are responsible for or complicit in, or have engaged in, directly or indirectly, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine. Zherebtsov is also being designated because he is responsible for or complicit in, or has engaged in, directly or indirectly, actions or policies that undermine democratic processes or institutions in Ukraine.

Former Ukrainian Official

Sergey Tsekov was the Vice Speaker of Ukraine's parliament, the Verkhovna Rada, and was responsible for facilitating the unauthorized referendum that paved the way for Russia's illegal annexation of Crimea. Tsekov is being designated pursuant to E.O. 13660 because he is responsible for or complicit in, or has engaged in, directly or indirectly, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine.

Crimean Entity

On March 18, 2014 the Crimean parliament passed a resolution to seize the Crimean assets of a subsidiary of a Ukrainian state-owned gas company which has drilling rigs off Crimea's west coast and in the Sea of Azov. The assets were transferred to an entity with the same name, **Chernomorneftegaz**, and same address. The parliament's resolution said the takeover would include ownership of the region's "continental shelf and the exclusive (maritime) economic zone." Chernomorneftegaz is being designated pursuant to E.O. 13660 because it is complicit in the misappropriation of state assets of Ukraine or of an economically significant entity in Ukraine.

As a result of Treasury's action, any assets of the persons designated today that are within U.S. jurisdiction must be frozen. Additionally, transactions by U.S. persons or with the United States involving these individuals and entity are generally prohibited.

Identifying Information

Name: Pyotr Anatoliyovich Zima
AKA: Petr Anatolyevich Zima
AKA: Petro Zyma
DOB: 29 March 1965
POB: Russia

Address: 18 Ulitsa D. Ulyanova, Apartment 110, Simferopol, Crimea, Ukraine
Title: Head of the Crimean SBU (Security Service of Ukraine)

Name: Aleksei Mikhailovich Chaliy
AKA.: Aleksey Mikhailovich Chaliy
AKA.: Aleksey Mykhaylovych Chaliy
AKA.: Oleksiy Mikhailovich Chaliy
AKA.: Aleksey Mikhailovich Chaly
AKA.: Aleksei Mikhailovich Chaliy
AKA.: Alexei Chaly
AKA.: Aleksei Chaliy
AKA.: Alexei Chaliy
DOB: 13 June 1961
POB: Sevastopol, Ukraine
Title: Mayor of Sevastopol
Title: Chairman of the Coordination Council for the Establishment of the Sevastopol Municipal Administration

Name: Rustam Ilmirovich Temirgaliev
DOB: 15 August 1976
POB: Ulan-Ude, Russian Federation
Title: Deputy Chairman of the Council of Ministers of Crimea
Title: Crimean Deputy Prime Minister


Name: Yuriy Gennadyevich Zherebtsov
AKA: Yury Zherebtsov
DOB: 19 November 1969
POB: Odessa, Ukraine
Title: Counselor to the Speaker of the Crimean Rada

Name: Mikhail Grigorevich Malyshev
DOB: 10 October 1955
POB: Simferopol, Crimea, Ukraine
Address: 15/9 Ulitsa Turgeneva, Apt. 9, Simferopol, Crimea, Ukraine
Title: Chair of the Crimea Electoral Commission

Name: Valery Kirillovich Medvedev
DOB: 21 August 1946
POB: Russia
Address: 22 Ulitsa Oktyabrskoi Revolutsii, Building 9, Apt. 14, Sevastopol, Crimea, Ukraine
Title: Chair of the Sevastopol Electoral Commission

Name: Sergey Pavlovich Tsekov
DOB: 28 September 1953
POB: Simferopol, Crimea, Ukraine

Name: Chernomorneftegaz
AKA: Chomomornaftogaz
AKA: NJSC Chomomornaftogaz
Address: Kirova / per. Sovnarkomovskaya, 52/1, Simferopol, Crimea, 95000, Ukraine.

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Announcement Of Additional Treasury Sanctions On Russian Government Officials And Entities

4/28/2014

Sanctions Target Seven Russian Government Officials, Including Members of the Russian Leadership's Inner Circle, and 17 Entities

WASHINGTON – The U.S. Department of the Treasury today designated seven Russian government officials, including two key members of the Russian leadership's inner circle, and 17 entities pursuant to Executive Order (E.O.) 13661. E.O. 13661 authorizes sanctions on, among others, officials of the Russian Government and any individual or entity that is owned or controlled by, that has acted for or on behalf of, or that has provided material or other support to, a senior Russian government official.

“In the April 17 Geneva Joint Statement, Russia agreed to take concrete steps to deescalate the situation in Ukraine, but has thus far utterly refused to do so,” said Under Secretary for Terrorism and Financial Intelligence David S. Cohen. “From the very outset of Russia’s illegitimate and unlawful actions in Ukraine, we have been clear: The United States,

acting on its own and alongside our international partners, will impose increasing costs on Russia if it persists in its efforts to destabilize Ukraine and will hold Russia accountable for its provocative actions."

Russian Government Officials

The following individuals are being designated because they are officials of the Russian government.

Oleg Belavantsev was appointed Russia's Presidential Envoy to Crimea on March 21, 2014, by President Putin.

Sergei Chemezov was appointed by a presidential decree on November 26, 2007 as the Director General of the State Corporation for Promoting Development, Manufacturing and Export of Russian Technologies High-Tech Industrial Products, also known as Rostec. Rostec is a Russian state-owned holding company and has not been sanctioned. Chemezov is a trusted ally of President Putin, whom he has known since the 1980s when they lived in the same apartment complex in East Germany. Sergei Chemezov was one of the Russian Government's nominees for the Board of Directors of Rosneft, a Russian state-owned oil company. He was selected for the Rosneft Board on June 20, 2013.

Dmitry Kozak is a Deputy Prime Minister of the Russian Federation, a position that he has held since October 2008, and to which he was reappointed by presidential decree in May 2012. Kozak has served in a number of capacities in the Russian Federation since 1999, including as Chief of the Government Staff and Minister of Regional Development.

Evgeniy Murov is the Director of Russia's Federal Protective Service and an Army General. Murov has worked in Russian state security services since 1971 and became Head and Director of the Federal Protective Service in May 2000.

Aleksei Pushkov has been a Deputy of the State Duma since December 4, 2011. He is also the Chairman of the State Duma Committee on International Affairs.

Igor Sechin is the President and Chairman of the Management Board for Rosneft, Russia's leading petroleum company, and one of the world's largest publicly-traded oil companies. Rosneft is a state-owned company and has not been sanctioned. Sechin was formerly the Deputy Prime Minister of the Russian Federation from 2008 until 2012. Additionally, from 2004 until 2008, Sechin was the Deputy Chief of Staff for President Putin. Sechin has shown utter loyalty to Vladimir Putin - a key component to his current standing.

Vyacheslav Volodin is the First Deputy Chief of Staff of the Presidential Executive Office. Russian President Vladimir Putin's decision to move into Crimea is believed to have been based on consultations with his closest advisors, including Volodin.

Entities

The following entities are being designated because they are owned or controlled by persons (either individuals or entities) whose property and interests in property are blocked pursuant to E.O. 13661.

InvestCapitalBank and **SMP Bank** are controlled by Arkady and Boris Rotenberg who were designated on March 20, 2014 pursuant to E.O. 13661 for acting for or on behalf of or materially assisting, sponsoring, or providing financial, material, or technological support for, or goods and services to or in support of, a senior official of the Government of the Russian Federation.

Stroygazmontazh (SGM Group) is a gas pipeline construction company owned or controlled by Arkady Rotenberg. Rotenberg created SGM Group in 2008 after acquiring multiple Gazprom contractors.

The **Volga Group** is being designated for being owned or controlled by Gennaddy Timchenko. Timchenko was designated on March 20, 2014 pursuant to E.O. 13661 for acting for or on behalf of or materially assisting, sponsoring, or providing financial, material, or technological support for, or goods and services to or in support of, a senior official of the Government of the Russian Federation. Timchenko is the sole shareholder of the Volga Group, an investment strategy group that holds interest in a variety of assets on behalf of Timchenko.

Transoil is a Russia-based rail freight operator that specializes in the transportation of oil and oil products. Transoil is designated for being owned or controlled by the Volga Group and Timchenko.

Aquanika (Russkoye Vremya LLC) is a Russia-based mineral water and soft drink company. Aquanika is being designated because it is owned or controlled by the Volga Group and Timchenko. Aquanika produces drinks under several trade names including Aquanika.

Sakhatrans LLC is a transportation company engaged in the construction of the bulk terminal for coal and iron ore exports in Muchka Bay near Vanino in Russia's far east. Sakhatrans LLC is designated for being owned or controlled by the Volga Group and Timchenko.

Avia Group LLC is involved in ground infrastructure for the Business Aviation Center at Sheremetyevo International Airport in Moscow offering aircraft maintenance services, including aircraft storage and organization support services for flight operations. Avia Group LLC is designated for being owned or controlled by the Volga Group and Timchenko.

Avia Group Nord LLC provides management services for corporate aviation at Pulkovo International Airport in Saint Petersburg, Russia. Avia Group Nord LLC is designated for being owned or controlled by the Volga Group and Timchenko.

Stroytransgaz Holding is a holding company for construction assets. Stroytransgaz Holding is designated for being owned or controlled by the Volga Group and Timchenko.

Stroytransgaz Group is a Russian construction group, comprising a number of business entities that specialize in different aspects of the construction industry. Stroytransgaz Group is designated for being owned or controlled by the Volga Group and Timchenko.

Stroytransgaz OJSC is an electricity construction company. Stroytransgaz OJSC is designated for being owned or controlled by the Stroytransgaz Group, the Volga Group, and Timchenko.

Stroytransgaz-M LLC is an industrial construction company focused on oil, gas, petrochemical, and other civil engineering projects. Stroytransgaz-M LLC is designated for being owned or controlled by the Stroytransgaz Group, the Volga Group, and Timchenko.

Stroytransgaz LLC is an infrastructure construction company. Stroytransgaz LLC is designated for being owned or controlled by the Stroytransgaz Group, the Volga Group, and Timchenko.

The Limited Liability Company Investment Company Abros is owned or controlled by Bank Rossiya. Bank Rossiya was designated on March 20, 2014 pursuant to E.O. 13661 for acting for or on behalf of or materially assisting, sponsoring, or providing financial, material, or technological support for, or goods and services to or in support of, a senior official of the Government of the Russian Federation. Bank Rossiya was also designated for being owned or controlled by Yuri Kovalchuk, who was designated on March 20, 2014 pursuant to E.O. 13661.

CJSC Zest is being designated for being owned or controlled by Bank Rossiya.

JSB Sobinbank is being designated for being owned or controlled by Bank Rossiya.

As a result of today's action, any assets of the individuals and entities designated today that are within U.S. jurisdiction must be frozen. Additionally, transactions by U.S. persons or within the United States involving the individuals and entities designated today are generally prohibited.

Identifying Information

Name: Oleg Evgenyevich Belavencev
AKA: Oleg Belaventsev
DOB: 15 Sep 1949
Title: Russian Presidential Envoy to the Crimean District
Title: Member of the Russian Security Council

Name: Sergei Chemezov
AKA: Sergey Viktorovich Chemezov
DOB: 20 Aug 1952
POB: Cheremkhovo, Irkutsk, Russia

Name: Dmitry Kozak
DOB: 07 Nov 1958
POB: Kirovograd, Ukraine
Title: Deputy Prime Minister of the Russian Federation

Name: Evgeniy Alekseyevich Murov
AKA: Evgeny Murov
AKA: Yevgeniy Murov
AKA: Yevgeny Murov
DOB: 18 Nov 1945
POB: Zvenigorod, Moscow, Russia
Title: Director of the Federal Protective Service of the Russian Federation
Title: Army General

Name: Aleksei Konstantinovich Pushkov
AKA: Alexei Pushkov
DOB: 10 Aug 1954
Title: Chairman of State Duma Committee on International Affairs

Name: Igor Sechin
DOB: 07 Sep 1960
POB: St. Petersburg, Russia

Name: Vyachesla Volodin
DOB: 04 Feb 1964
POB: Alexeyevka, Khvalynsk district, Saratov, Russia
Title: First Deputy Chief of Staff of the Presidential Executive Office

Name: InvestCapitalBank
AKA: Open Joint Stock Company InvestCapitalBank
AKA: OJSC InvestCapitalBank
AKA: InvestKapitalBank
Address: 100/1, Dostoevskogo Street, Ufa 450077, Bashkortostan Republic, Russian Federation
Website: <http://www.investcapitalbank.ru>
Swift/BIC: INAKRU41
License #: 2377

Name: SMP Bank
AKA: SMP Bank Open Joint-Stock Company
AKA: Bank Severny Morskoy Put
Address: 71/11 Sadovnicheskaya Street, Moscow 115035
Russian Federation
Email: smpbank@smpbank.ru
SWIFT/BIC: SMBKRUMM
Reuters: BSMP
BIK(RU): 044583503
Reg. No. 3368
Website: www.smpbank.ru

Name: Stroygazmontazh
AKA: Limited Liability Company Stroygazmontazh
AKA: Stroygazmontazh Corporation
AKA: SGM

Website: www.oosgm.com
Website: www.oosgm.ru
Address: 53 Prospekt Vernadskogo
Moscow 119415, Russian Federation
Email: info@oosgm.ru

Name: Volga Group
AKA: Volga Group Investments
FKA: Volga Resources
FKA: Volga Resources Group
Address: 3, rue de la Reine L-2418, Luxembourg
Address: Russia

Name: Transoil
AKA: Limited Liability Company Transoil
FKA: Obshchestvo S Ogranichennoi Otvetstvennostyu Transoil
AKA: Transoil LLC
AKA: Transoil SNG Ltd.
Address: 18A Petrogradskaya nab., St. Petersburg 197046, Russia
Website: <http://www.transoil-spb.ru>
Website: <http://transoil.com>
Email Address: info@toil.spb.ru
Registration ID: 1037835069986

Name: Aquanika
AKA: Aquanika LLC
AKA: LLC Russkoye Vremya
AKA: Obshchestvo S Ogranichennoi Otvetstvennostyu Russkoe Vremya
AKA: Russkoe Vremya OOO
AKA: Russkoye Vremya LLC
Address: 47A, Sevastopolskiy Ave., of. 304, Moscow 117186, Russia
Address: 1/2 Rodnikovaya ul., Savasleika s., Kulebaski raion, Nizhegorodskaya oblast 607007, Russia
Website: <http://www.aquanika.com>
Website: <http://aquanikacompany.ru>
Email Address: office@aquanika.com

Registration ID: 1075247000036

Name: Sakhatrans LLC
AKA: Obshchestvo S Ogranichennoi Otvetstvennostyu Sakha (Yakutskaya) Transportnaya Kompaniya
AKA: Sakhatrans OOO
Address: 14 ul. Molodezhnaya Rabochi Pos. Vanino, 682860 Vaninski, Raion Khabarovski Krai, Russia

Name: Avia Group LLC
AKA: Avia Group LTD
Address: Terminal Aeroport Sheremetyevo Khimki, 141400 Moskovskaya obl., Russia
Website: <http://www.avia-group.su/>

Name: Avia Group Nord LLC
Address: 17 A, Stratoyava St., Saint Petersburg, Russia
Website: <http://www.ag-nord.ru>

Name: Stroytransgaz Holding
AKA: STG Holding Limited
AKA: STG Holdings Limited
AKA: Stroytransgaz Holding Limited
AKA: "STGH"
Address: 33 Stasinou Street, Office 2 2003, Nicosia Strovolos, Cyprus

Name: Stroytransgaz Group
AKA: Stroytransgaz
AKA: "STG Group"
Address: 3 Begovaya Street, Building #1, Moscow 125284, Russia
Website: www.stroytransgaz.ru

Name: Stroytransgaz OJSC
AKA: OAO Stroytransgaz
Address: House 58, Novocheremushkinskaya St., Moscow 117418, Russia

Name: Stroytransgaz-M LLC

Address: 26th Meeting of the Communist Party Street, House 2V, Novy Urengoy, Tyumenskaya Oblast, Yamalo-Nenetsky Autonomous Region 629305, Russia

Name: Stroytransgaz LLC
AKA: OOO Stroytransgaz
Address: House 65, Novocheremushkinskaya, Moscow 117418, Russia

Name: The Limited Liability Company Investment Company Abros
AKA: LLC IC Abros
Address: 2 Liter a Pl. Rastrelli, St. Petersburg 191124, Russia
Government Gazette Number: 72426791
Telephone: 7812 3358979

Name: CJSC Zest
AKA: ZEST Leasing
Address: pr. Medikov 5, of. 301, St. Petersburg, Russia
Address: 2 Liter a Pl. Rastrelli, St. Petersburg 191124, Russia
Website: <http://www.zest-leasing.ru>
Registration ID: 1027809190507
Government Gazette Number: 44323193

Name: JSB Sobinbank
AKA: Sobinbank
Address: 15 Korp. 56 D. 4 Etazh ul. Rochdelskaya, Moscow 123022, Russia
Address: 15/56 Rochdelskaya Street, Moscow 123022, Russia
Swift Code: SWIFT/BIC SBBARUMM
Website: <http://www.sobinbank.ru>
Registration ID: 1027739051009
Government Gazette Number: 09610355

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Treasury Sanctions Additional Individuals For Threatening The Territorial Integrity Of Ukraine

6/20/2014

Sanctions Target Seven Separatists in Ukraine

WASHINGTON – The U.S. Department of the Treasury today sanctioned seven separatists in Ukraine responsible for or complicit in action threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine, and/or asserting governmental authority over a part or without the authorization of the Government of Ukraine. These actions were taken pursuant to Executive Order (E.O.) 13660.

“The United States will continue to take action to hold accountable those persons engaged in efforts to destabilize Crimea and eastern Ukraine,” Secretary for Terrorism and Financial Intelligence David S. Cohen. “These individuals have all contributed to attempts to illegally undermine government in Kyiv, notably by falsely proclaiming leadership positions and fomenting violent unrest.”

Vyacheslav Ponomaryov, a separatist leader, has declared that the rebels have adopted a “take-no-prisoners” approach with future clashes security forces, claiming his men will “kill them all.” He also publically claimed his men would take all necessary measures to disrupt the U May. Ponomaryov is the former self-proclaimed “people’s mayor” of Slovyansk who declared himself mayor after leading a group of armed assault on the Slovyansk mayor’s office in April. The town of Slovyansk has since become one of the centers of the separatist movement, over by armed pro-Russia rebels.

Denis Pushilin is a leader of a group calling itself the “Donetsk People’s Republic,” which has seized government buildings across eastern itself to be a sovereign state, and requested that it be allowed to join the Russian Federation. Pushilin has overseen an uprising that has seized police stations, and other buildings in towns across Ukraine’s Donetsk region. Pushilin stated that he and his followers would not release the seized until the Ukrainian government vacated its government buildings and the Donetsk region got an independence referendum. At a May Pushilin also stated that civilian and military authorities independent of Kyiv would be formed in the Donetsk region following the results of referendum on the region’s status in May. He further stated that the presence of any Ukrainian military remaining in the Donetsk region after announcement of the referendum’s results would be considered illegal.

Andrey Purgin describes himself as the co-head of a council running the separatist government in Donetsk. He advocated for the illegitimate federalization of Ukraine and took part in the storming of the Donetsk regional administration building earlier this year. Purgin founded the “Republic of Donetsk” organization in December 2005. The group’s activities were forbidden by a Ukrainian court, which considered them to be a territorial disintegration of Ukraine.

Igor Girkin (who is also known as Igor Strelkov) is the self-described “commander-in-chief of the Donetsk People’s Republic” who controls separatists in Slovyansk. Girkin is responsible for the abduction of military observers in Ukraine, and an attack on the Slovyansk Internal Affairs and the 25th Air Mobile Brigade from whom he stole a large cache of weapons.

Valery Bolotov has proclaimed himself governor of the separatist-controlled Luhansk region and has publically declared war on the government. He took direction from Girkin to hold a sham referendum in Luhansk in May.

Sergei Menyailo is the de facto “acting governor” of Sevastopol. He assisted in the formation of Sevastopol’s self-defense squads, which were facilitating Russia’s occupation of the Crimea region of Ukraine and were later entered into the ranks of the Russian military. Menyailo is p

established to ensure the effectiveness of Russian federal executive bodies in Crimea.

Valery Kaurov is the self-described "president of Novorossiya" and has called on Russian President Vladimir Putin to deploy troops to the territory described as Novorossiya. He has supported separatist activities in Ukraine's Donetsk and Lugansk territories.

As a result of today's action, any assets of the individuals designated today that are within U.S. jurisdiction must be frozen. Additionally, transactions with persons or within the United States involving the individuals designated today are generally prohibited.

Identifying Information

Name: Vyacheslav Ponomaryov
AKA: Vyacheslav Ponomarev
AKA: Vachislav Ponomaryov
DOB: 2 May 1965

Name: Denis Pushilin
AKA: Denis Pushylin
AKA: Denys Pushylin
AKA: Denis Volodymyrovych Pushylin
POB: Makeevka, Ukraine
DOB: 9 May 1981

Name: Andrey Yevgenyevich Purgin
AKA: Andrei Purgin
AKA: Andrej Purgin
AKA: Andriy Purgin
AKA: Andriy Purgyn
AKA: Andriy Purhin
DOB: 26 Jan, 1972

Name: Igor Vsevolodovich Girkin
AKA: Igor Strelok
AKA: Igor Ivanovich Strelkov
AKA: Ihor Strelkov
DOB: 17 Dec 1970
Citizenship: Russian
Passport: 4506460961
Address: Shenskurskiy Passage (Proyezd), House 8-6, Apartment 136, Moscow, Russia

Name: Valery Bolotov
AKA: Valeriy Bolotov
AKA: Valeri Bolotov
DOB: 1970
Alt DOB: 1971

Name: Sergei Ivanovich Menyailo
AKA: Sergei Menyailo
AKA: Sergey Menyailo
DOB: 22 Aug 1960
POB: Alagir, North Ossetia, Russia
Title: Acting Governor of Sevastopol

Name: Valery Vladimirovich Kaurov
AKA: Valerii Volodymyrovych Kaurov
AKA: Valery Kaurov
AKA: Valeriy Kaurov
DOB: 2 Apr 1956
POB: Odessa, Ukraine

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Announcement of Treasury Sanctions on Entities Within the Financial Services and Energy Sectors of Russia, Against Arms or Related Materiel Entities, and those Undermining Ukraine's Sovereignty

7/16/2014

*Actions Implement Executive Order 13662 against
Two Russian Financial Institutions and Two Energy Firms.*

*Actions also Target Eight Defense Technology Entities, Three Separatists, One Entity Complicit in the Misappropriation of Ukrainian State Assets,
and Four Russian Government Officials*

WASHINGTON – In response to Russia’s continued attempts to destabilize eastern Ukraine and its ongoing occupation of Crimea, the U.S. Department of the Treasury today imposed a broad-based package of sanctions on entities in the financial services, energy, and arms or related materiel sectors of Russia, and on those undermining Ukraine’s sovereignty or misappropriating Ukrainian property. More specifically:

- Treasury imposed sanctions that prohibit U.S. persons from providing new financing to two major Russian financial institutions (Gazprombank OAO and VEB) and two Russian energy firms (OAO Novatek and Rosneft), limiting their access to U.S. capital markets;

- Treasury designated eight Russian arms firms, which are responsible for the production of a range of materiel that includes small arms, mortar shells, and tanks;
- Treasury designated the "Luhansk People's Republic" and the "Donetsk People's Republic," which have asserted governmental authority over parts of Ukraine without the authorization of the Government of Ukraine; and Aleksandr Borodai, the self-declared "prime minister" of the Donetsk People's Republic, for threatening the peace, security, stability, sovereignty, and territorial integrity of Ukraine;
- Treasury designated Feodosiya Enterprises, a key shipping facility in the Crimean peninsula, because it is complicit in the misappropriation of state assets of Ukraine; and
- Treasury designated four Russian government officials, including Sergey Beseda, a senior Russian Federal Security Service official.

These actions do more than build upon previous steps to impose costs on separatists and the Russian government. By imposing sanctions on entities within the financial services and energy sectors, Treasury has increased the cost of economic isolation for key Russian firms that value their access to medium- and long-term U.S. sources of financing. By designating firms in the arms or related materiel sector, Treasury has cut these firms off from the U.S. financial system and the U.S. economy.

"Russia has continued to destabilize Ukraine and provide support for the separatists, despite its statements to the contrary," said Under Secretary for Terrorism and Financial Intelligence David S. Cohen. "Because Russia has failed to meet the basic standards of international conduct, we are acting today to open Russia's financial services and energy sectors to sanctions and limit the access of two key Russian banks and two key energy firms to U.S. sources of financing, and to impose blocking sanctions against eight arms firms and a set of senior Russian officials."

Identification of the Financial Services Sector of Russia and the Prohibition of Certain Types of Activities with Two Russian Banks Pursuant to E.O. 13662

Today, Treasury Secretary Jacob J. Lew determined that persons operating within Russia's financial services sector may now be subject to targeted sanctions. Following Secretary Lew's determination, Treasury imposed measures prohibiting U.S. persons and persons within the United States from transacting in, providing financing for, or otherwise dealing in new debt of longer than 90 days maturity or new equity for Gazprombank OAO and VEB, their property, or their interests in property. As a practical matter, this step will close the medium- and long-term U.S. dollar lending window to these banks, and will impose additional significant costs on the Russian Government for its continued activities in Ukraine.

We have not blocked the property or interests in property of these banks, nor have we prohibited transactions with them beyond these specific restrictions. However, the scope of the prohibited transaction types and the number of financial institutions may be expanded under E.O. 13662 if we decide to do so.

Gazprombank OAO is a Russian financial institution, which was originally established to provide financial services to companies in Russia's energy industry, but has expanded to provide services to more than 45,000 companies and 3 million private individuals. Gazprombank OAO has more than 40 branches across Russia, and a number of international subsidiaries. Gazprombank OAO specializes in the private banking and corporate financing services.

VEB is a Russian state-owned financial institution that acts as a development bank and payment agent for the Russian government. It was formed June 8, 2007, pursuant to Russian federal law and is the legal successor to Vnesheconombank of the USSR, which was a specialized

Russian state bank. VEB's supervisory board is chaired by the Russian prime minister, and the chairman of the bank is appointed by the Russian president. VEB acts as an agent for the Russian Government for the purposes of accounting, servicing, and repaying the sovereign debts of the former USSR and Russia; accounting, servicing, and repaying government loans issued by the former USSR and Russia to foreign borrowers; collecting debts from legal entities of Russia and municipal governments; providing and executing state guarantees of Russia and monitoring projects implemented by Russia with involvement of international financial institutions.

Identification of the Energy Sector of Russia and the Prohibition of Certain Types of Activities with Two Russian Energy Firms Pursuant to E.O. 13662

Today, Secretary Lew determined that persons operating within Russia's energy sector may now be subject to targeted sanctions. Following Secretary Lew's determination, Treasury imposed measures that prohibit U.S. persons and persons within the United States from transacting in, providing financing for, or otherwise dealing in new debt of longer than 90 days maturity for Russian energy firms OAO Novatek and Rosneft, their property, or their interests in property. As with the financial institutions, we have not blocked the property or interests in property of these companies, nor prohibited transactions with them beyond these specific restrictions. However, the scope of the prohibited transaction types and the number of energy companies may be expanded under the authorities of E.O. 13662, if the Russian government does not take steps to de-escalate the situation in Ukraine.

OAO Novatek is Russia's largest independent natural gas producer.

Rosneft is Russia's largest petroleum company and third largest gas producer. Treasury designated Rosneft's President and Chairman of the Board, Igor Sechin, on April 28, 2014, pursuant to E.O. 13661.

Imposition of Sanctions on Eight Firms Pursuant to E.O. 13661 for Operating in the Arms or Related Materiel Sector in the Russian Federation

Treasury today has also designated and blocked the assets of Almaz-Antey, Federal State Unitary Enterprise State Research and Production Enterprise Bazalt, JSC Concern Sozvezdie, JSC MIC NPO Mashinostroyeniya, Kalashnikov Concern, KBP Instrument Design Bureau, Radio-Electronic Technologies, and Uralvagonzavod pursuant to E.O. 13661 for operating in the arms or related materiel sector in the Russian Federation. The designated firms are responsible for the production of a range of materiel, from small arms to mortar shells to tanks. As a result of today's action under E.O. 13661, any assets of the entities designated that are within U.S. jurisdiction must be frozen. In addition, transactions by U.S. persons or within the United States involving the entities designated today under E.O. 13661 are generally prohibited.

Almaz-Antey manufactures surface-to-air missile systems currently used by the Russian military.

Federal State Unitary Enterprise State Research and Production Enterprise Bazalt ("Bazalt") is involved in the design and construction of air launched ordnance, rocket propelled grenades, and armored fighting vehicle ammunition. Bazalt's manufacturing interests include aerial bombs, mortar shells, grenades, anti-tank and anti-saboteur grenade launchers, and projectiles of all types and calibers.

JSC Concern Sozvezdie develops and produces high-tech control and communication systems, radio electronic warfare and special equipment for military forces. JSC Concern Sozvezdie focuses on three primary lines of production: military-oriented production, dual-purpose products, and dual-purpose products and professional communication and control systems. JSC Concern Sozvezdie's main activities include producing and developing materiel including automated control systems and communication systems for the tactical sector, automated control systems and communication systems for anti-missile defense and air defense systems, and automated communication systems and electronic warfare tools.

The company produces systems and devices related to electronic warfare, such as small manpack and portable jammers. The products are designed to disrupt enemy army operations, to reduce staff and equipment loss, and to improve the operation of armed forces by radio jamming of enemy weapon control systems based on radio frequencies.

JSC MIC NPO Mashinostroyenia is a leading Russian rocketry company responsible for defense programs involving cruise missile complexes capable of surface, underwater, and ground platform-based launches. JSC MIC NPO Mashinostroyenia's involvement in Russia's strategic nuclear forces includes the manufacture of intercontinental ballistic rockets and the development of space systems, spacecraft, and automatic and manned orbital stations in support of Russia's Ministry of Defense.

Kalashnikov Concern produces a number of military weapons, including multiple grades and versions of assault rifles, grenade launchers, sniper rifles, military shotguns, and aircraft cannons. Kalashnikov Concern is the largest firearms producer in Russia and is a subsidiary of Russia's State Corporation of Russian Technologies (Rostec).

KBP Instrument Design Bureau is a research and production center that creates precision-guided weapons. KBP Instrument Design Bureau develops antitank missile systems and assault armaments, weapon systems for main battle tanks and lightly armored vehicles, guided artillery projectiles and guided missile systems, short-range air defense systems, gun armament and associated ammunition, combat small arms, and hunting/sporting guns.

Radio-Electronic Technologies designs and produces electronic warfare equipment including weapons-control systems, friend-or-foe identification (IFF) systems, avionics, operational and tactical systems, electronic warfare and intelligence equipment, special measuring instrumentation, and plugs, electric connectors, and cable products.

Uralvagonzavod is a Russian government-owned company that builds a variety of military equipment, including tanks.

Imposition of Sanctions on Two Entities and One Individual Pursuant to E.O. 13660

The following two entities and one individual are being designated and blocked today pursuant to E.O. 13660 for threatening the peace, security, stability, sovereignty, or territorial integrity of Ukraine.

The Treasury Department has determined that the **Luhansk People's Republic** (LPR) has asserted governmental authority over a part or region of Ukraine without the authorization of the Government of Ukraine. The self-proclaimed LPR has asserted governmental authority through a number of actions, including issuing a declaration of martial law; banning the sale of corporate rights, production facilities, companies, and other assets; controlling the border guard checkpoints of Izvarino, Dolzhanskoye, and Krasnyi Partizansk on the Ukrainian-Russian border; and, shooting down a Ukrainian military transport jet as it attempted to land in Luhansk. The LPR also elected a leader and passed its own constitution on May 11, 2014.

The Treasury Department has also determined the **Donetsk People's Republic** to have asserted governmental authority over a part or region of Ukraine without the authorization of the Government of Ukraine. The militia in the self-proclaimed Donetsk People's Republic is defying the peaceful settlement regime, continues combat operations, and has seized and occupies regional government buildings, using them as its headquarters and firing positions. Igor Strelkov, the leader of the militia of the Donetsk People's Republic, rejected Ukrainian President Petro Poroshenko's peace plan.

Aleksandr Borodai is the self-declared "prime minister" of the "Donetsk People's Republic" in Ukraine and has vowed to expel Ukraine's army from his new domain and resist any bid to recapture the city. Borodai was in Crimea as an advisor to Sergei Aksyonov, the separatist prime minister who oversaw the territory's purported annexation by Russia. Treasury designated Aksyonov pursuant to E.O. 13660 on March 17, 2014.

Imposition of Sanctions on Crimean Entity Pursuant to E.O. 13660

The following Crimea-based entity is being designated pursuant to E.O. 13660 for being complicit in the misappropriation of state assets of Ukraine.

Feodosiya Enterprise operates a key shipping facility in the Crimean peninsula for oil imports and exports. Prior to Russia's purported annexation of Crimea, **Feodosiya Enterprise** had been controlled by the Ukrainian state-controlled gas company Naftogaz. On March 25, 2014, the separatist self-styled "Crimean Parliament" nationalized **Feodosiya Enterprise** and decreed that the self-styled "Crimean Ministry of Fuel and Energy" would be the governing body of **Feodosiya Enterprise**. The separatist "Minister of Fuel and Energy" asserting authority in occupied Crimea has confirmed that, as of April 15, 2014, separatist authorities in Crimea were provided with fuel by Russian oil companies using the storage facility operated by **Feodosiya Enterprise**.

Imposition of Sanctions on Four Individuals Pursuant to E.O. 13661

The following four individuals are being designated today pursuant to E.O. 13661 for their status as Russian government officials.

Sergey Besesda heads Russia's Federal Security Service (FSB)'s Fifth Service, also known as the Service for Operational Information and International Communications.

Oleg Savelyev is Russia's Minister for Crimean Affairs. In this capacity, Savelyev is responsible for the integration of occupied Crimea into the Russian Federation following Russia's purported annexation of Crimea from Ukraine.

Sergei Neverov is the Deputy Chairman of the State Duma of the Russian Federation. Neverov is responsible for initiating legislation to integrate the purportedly annexed Ukrainian region of Crimea into the Russian Federation.

Igor Shchegolev is an Aide to the President of the Russian Federation.

As a result of today's actions under E.O. 13660 and E.O. 13661, any assets of the entities or individuals designated that are within U.S. jurisdiction must be frozen. Additionally, transactions by U.S. persons or within the United States involving the entities or individuals designated today under E.O. 13660 or E.O. 13661 are generally prohibited.

For identifying information on the individuals and entities named in this release, please click [here](#).

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Announcement of Additional Treasury Sanctions on Russian Financial Institutions and on a Defense Technology Entity

7/29/2014

Actions Target Three Russian State-Owned Banks, and one Russian State-Owned Defense Technology Entity

WASHINGTON – In response to Russia’s continued efforts to destabilize eastern Ukraine, the U.S. Department of the Treasury today imposed prohibitions on additional entities operating within the financial services sector of the Russian economy pursuant to Executive Order (E.O.) 13662. Specifically, Treasury imposed sanctions that prohibit U.S. persons from providing new financing to three major Russian financial institutions, limiting their access to U.S. capital markets. Treasury today has also designated one Russian state-owned defense technology firm pursuant to Executive Order (E.O.) 13661. These measures coincide with actions taken to suspend U.S. export credit and development finance to Russia.

"In light of Russia’s continuing support for separatists in Ukraine, we took additional steps today to further increase financial pressure on the Russian government," said Under Secretary for Terrorism and Financial Intelligence David S. Cohen. "These actions, along with actions announced today by the European Union,

significantly increase the costs to Russia for its efforts to undermine Ukraine's sovereignty. We are prepared to continue to expand these sanctions if Russia refuses to change course."

Prohibition of Certain Types of Activities with Three Russian State-Owned Financial Institutions Pursuant to E.O. 13662

Treasury today imposed measures prohibiting U.S. persons and persons within the United States from transacting in, providing financing for, or otherwise dealing in new debt of longer than 90 days maturity or new equity for Bank of Moscow, Russian Agricultural Bank, and VTB Bank OAO, their property, or their interests in property. As a practical matter, this step will severely limit these banks' access to medium- and long-term U.S. dollar financing, and will impose additional significant costs on the Russian Government for its continued activities in Ukraine.

We have not blocked the property or interests in property of these banks, nor prohibited transactions with them beyond these specific restrictions. However, the scope of prohibited activities and the number of sanctioned financial institutions may be expanded under the authority of E.O. 13662 if we decide to do so.

Bank of Moscow is a Russian state-owned financial institution—through its parent bank, VTB Bank OAO—with 148 sub-offices located in all administrative districts of Moscow.

Russian Agricultural Bank (A.K.A. Rosselkhozbank) is a state-owned bank, which acts as a Russian government agent offering a full range of financial services to clients. With a network of 78 regional branches and more than 1,500 additional offices covering Russia, it has the second-largest regional branch network in the Russia.

VTB Bank OAO is a state-owned bank, and, together with its subsidiaries ("the VTB Group"), is Russia's second-largest banking group. The VTB Group has more than 1,600 offices in Russia, and operates more than 30 banks in 23 countries across Europe, Asia, and Africa. The VTB Group offers financial services including retail, corporate and investment banking; brokering and other stock-market services; insurance; asset management for pension and unit funds; and leasing. VTB Bank's shares are traded on the Moscow Exchange and on the London Stock Exchange.

Imposition of Sanctions on One Russian State-Owned Firm Pursuant to E.O. 13661 for Operating in the Arms or Related Materiel Sector in Russia

Treasury today has also designated and blocked the assets of United Shipbuilding Corporation, pursuant to E.O. 13661, for operating in the arms or related materiel sector in Russia.

United Shipbuilding Corporation, which was established pursuant to a March 21, 2007 presidential order, is a Russian state-owned company that manufactures, among other things, ordnance and accessories, and is engaged in shipbuilding, repair, and maintenance. United Shipbuilding Corporation designs and constructs ships for the Russian Navy and is the largest shipbuilding company in Russia. This addition expands upon the list of eight defense technology firms designated on July 16.

As a result of today's action under E.O. 13661, any assets of the entity designated that are within U.S. jurisdiction must be frozen. In addition, transactions by U.S. persons or within the United States involving the entity designated today under E.O. 13661 are generally prohibited.

For identifying information on the entities named in this release, please click [here](#).

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Announcement of Expanded Treasury Sanctions within the Russian Financial Services, Energy and Defense or Related Materiel Sectors

9/12/2014

Actions Target Largest Russian Bank, Deepens Existing Sanctions on Russian Financial Institutions, Expands Sanctions in Russia's Energy Sector, and Targets Additional Energy- and Defense-Related Russian Entities

WASHINGTON – Due to continued Russian efforts to destabilize eastern Ukraine, Treasury Secretary Jacob J. Lew today determined that persons operating within Russia’s defense and related materiel sector may now be subject to targeted sanctions under Executive Order 13662. In addition, the U.S. Department of the Treasury today extended targeted financial sanctions to Russia’s largest bank, deepened existing sanctions on Russian financial institutions, expanded sanctions in Russia’s energy sector, and increased the number of sanctioned Russian entities in the energy and defense sectors.

- Treasury Secretary Jacob J. Lew has made a determination that persons operating within Russia’s defense and related materiel sector may now be subject to targeted sanctions under Executive Order 13662. Following Secretary Lew’s determination, Treasury has imposed sanctions that prohibit transactions by U.S.

persons or within the United States involving new debt of greater than 30 days maturity issued by Rostec, a major Russian conglomerate that operates in the defense and related materiel sector.

- Treasury has added Russia's largest bank, Sberbank of Russia, to the existing prohibitions on U.S. persons providing equity or certain long-term debt financing. In addition, we have tightened the debt financing restrictions by reducing from 90 days to 30 days the maturity period for new debt issued by the six Russian banks subject to this restriction. These banks are Bank of Moscow, Gazprombank OAO, Russian Agricultural Bank, Sberbank, VEB, and VTB Bank.
- Treasury has designated and blocked the assets of five Russian state-owned defense technology firms – OAO 'Dolgoprudny Research Production Enterprise,' Mytishchinski Mashinostroitelny Zavod OAO, Kalinin Machine Plant JSC, Almaz-Antey GSKB, and JSC NIIP – for operating in the arms or related materiel sector in Russia.
- Treasury has also imposed sanctions that prohibit the exportation of goods, services (not including financial services), or technology in support of exploration or production for Russian deepwater, Arctic offshore, or shale projects that have the potential to produce oil, to five Russian energy companies – Gazprom, Gazprom Neft, Lukoil, Surgutneftegas, and Rosneft – involved in these types of projects. This measure complements restrictions administered by the Commerce Department and is similar to new EU measures published today. U.S. persons have until September 26, 2014 to wind down applicable transactions with these entities pursuant to a general license that Treasury's Office of Foreign Assets Control issued today.
- Treasury has also imposed sanctions that prohibit transactions in, provision of financing for, or other dealings in new debt of greater than 90 days maturity issued by two additional Russian energy companies – Gazprom Neft and Transneft.

"Today's actions demonstrate our determination to increase the costs on Russia as long as it continues to violate Ukraine's territorial integrity and sovereignty," said Under Secretary for Terrorism and Financial Intelligence David S. Cohen. "The United States, in close cooperation with the European Union, will impose ever-increasing sanctions that further Russia's isolation from the global financial system unless Russia abandons its current path and genuinely works toward a negotiated diplomatic resolution to the crisis."

Despite the severity of these actions, Treasury maintains significant scope to expand these sanctions, and impose additional sanctions, against individuals and entities under the authorities of Executive Orders (E.O.) 13660, 13661 and 13662 should the Russian Government not take steps to de-escalate the situation in Ukraine.

Imposition of Sanctions on Several Russian State-Owned Firms Pursuant to E.O. 13661 and E.O. 13662 for Operation in the Defense or Related Materiel Sector in Russia

Treasury today has also imposed new sanctions and strengthened existing sanctions targeting firms operating in Russia's defense sector.

Determination about Russia's Defense and Related Materiel Sector and Imposition of Sanctions against Rostec. Treasury Secretary Jacob J. Lew today made a determination under E.O. 13662 that persons operating within Russia's defense and related materiel sector may now be subject to targeted sanctions. Following Secretary Lew's determination, Treasury issued a new directive that imposes sanctions on Rostec, a major Russian conglomerate that operates in the defense

and related materiel sector. Directive 3 pursuant to E.O. 13662 prohibits transactions in, provision of financing for, and other dealings in new debt of greater than 30 days maturity issued by Rostec, and its 50 percent or more owned subsidiaries, effectively cutting it off from U.S. debt financing.

- Rostec is a Russia-based state-owned holding company for Russia's defense industry. Rostec produces, develops, manufactures, and exports civil, military, and dual-purpose high-technology goods, and is involved in the manufacturing of weapons and military equipment. Rostec-held subsidiaries manufacture and export military products valued in the billions. Treasury designated Rostec's Director General, Sergei Viktorovich Chemezov, on April 28, 2014, pursuant to E.O. 13661.

Designation of Additional Defense Technology Companies under E.O. 13661. Treasury has also designated and blocked the assets of five Russian defense firms under E.O. 13661 for operating in the arms and related materiel sector in the Russian Federation. The firms designated today under E.O. 13661 include OAO 'Dolgoprudny Research Production Enterprise,' Mytishchinski Mashinostroitelny Zavod OAO, Kalinin Machine Plant JSC, Almaz-Antey GSKB, and JSC NIIP. The designated firms are responsible for the production of a range of materiel, from small arms to mortar shells to tanks. As a result of today's actions under E.O. 13661, any assets of these entities that are within U.S. jurisdiction must be frozen. Additionally, transactions by U.S. persons or within the United States involving these entities are generally prohibited.

- OAO 'Dolgoprudny Research Production Enterprise' is a Russia-based company, which is primarily engaged in the production of weapons and ammunition, including the Buk missile system, known in the West as "Gadfly" or SA-11 or SA-17.
- Mytishchinski Mashinostroitelny Zavod, OAO is a Moscow-based company that has produced weaponry and equipment focusing primarily on anti-aircraft missile systems and chassis for tracked military vehicles.
- Kalinin Machine Plant JSC is a Russia-based, state-run company involved in the production of special purpose products such as weapons, ammunition, and combat anti-air missile system facilities for the Ministry of Defense of the Russian Federation. Kalinin Machine Plant JSC produces artillery guns for infantry and anti-air defense and specializes in the production of launchers and anti-air missiles.
- Almaz-Antey GSKB is a Moscow-based subsidiary of the Almaz-Antey Concern, which was designated under E.O. 13661 on July 16, 2014. Almaz-Antey GSKB designs and manufactures air defense systems for the Russian Ministry of Defense.
- JSC NIIP is a Zhukovski-based Russian defense industrial firm owned by the Almaz-Antey Concern. JSC NIIP develops anti-aircraft defense systems, including on-board radar systems for MiG and Sukhoi fighters, and anti-aircraft missile systems for land forces, including the KUB and BUK systems.

Expansion of Prohibition of Certain Types of Activities with Several Russian State-Owned Financial Institutions Pursuant to E.O. 13662

Treasury today has imposed new sanctions and strengthened existing sanctions in Russia's financial sector.

Imposition of Sanctions against Sberbank of Russia and Lowering of Allowable Maturity for New Debt Issuance for Sanctioned Financial Institutions. Treasury has also modified Directive 1 pursuant to E.O. 13662 to lower the allowable maturity for new debt from 90 to 30 days, and has added Sberbank to the list of entities subject to the restrictions in Directive 1. Directive 1 pursuant to E.O. 13662 now prohibits transactions in, provision of financing for, or other dealings in new debt

of greater than 30 days maturity and new equity of the banks listed under this Directive, by U.S. persons or within the United States. As a practical matter, this step will further remove access to U.S. dollar financing for these financial institutions, and impose additional significant costs on the Russian Government for its continued provocations.

- Sberbank of Russia is Russia's largest financial institution. Sberbank accounts for approximately one-quarter of Russian banking assets and one-third of its banking capital.

Prohibition of Certain Types of Activities with Several Russian State-Owned Energy Companies Pursuant to E.O. 13662

Treasury today has imposed new sanctions and strengthened existing sanctions targeting firms operating in Russia's energy sector.

Prohibition on Goods, Services, and Technology for Certain Energy Sector Activities. New Directive 4 issued pursuant to E.O. 13662 prohibits the provision, exportation, or reexportation of goods, services (except for financial services), or technology by U.S. persons or from the United States in support of exploration or production for deepwater, Arctic offshore, or shale projects that have the potential to produce oil in the Russian Federation, or in maritime area claimed by the Russian Federation and extending from its territory, and that involve five listed Russian energy companies: Gazprom, Gazprom Neft, Lukoil, Surgutneftegas, and Rosneft. Treasury initially imposed sanctions against Rosneft, Russia's largest petroleum company and third-largest gas producer, pursuant to E.O. 13662 on July 17, 2014. Today's step, which complements Commerce Department restrictions and is similar to new EU measures published today, will impede Russia's ability to develop so-called frontier or unconventional oil resources, areas in which Russian firms are heavily dependent on U.S. and western technology. While these sanctions do not target or interfere with the current supply of energy from Russia or prevent Russian companies from selling oil and gas to any country, they make it difficult for Russia to develop long-term, technically challenging future projects.

- OAO Gazprom is a Russia-based, government-owned global energy company engaged in gas exploration, production, transportation, storage, processing, and sales. It is one of the largest joint stock companies in Russia.
- Gazprom Neft is an integrated Russian oil company engaged in the exploration, development, production, transportation, and sale of crude oil and gas, and is also involved in oil refining, marketing of petroleum products, oil field services, and construction and development of exploration wells. Gazprom Neft is majority owned by Gazprom.
- Lukoil OAO is a Russia-based integrated oil and gas company. Lukoil is engaged in the business of oil exploration, production, refining, marketing, and distribution. The company is an owner of refineries, gas processing, petrochemical plants, and gas station networks located in Russia and abroad.
- Surgutneftegas is a Russian oil company involved in oil and gas production and exploration, gas processing, power generation, output and marketing of petroleum products, petrochemicals and gas products.

Imposition of Sanctions against Gazprom Neft and Transneft. Treasury has added two Russian energy companies, Gazprom Neft and Transneft, to the prohibitions under Directive 2 pursuant to E.O. 13662. Transactions in, provision of financing for, and other dealings in new debt of greater than 90 days maturity for these two companies, and their 50 percent or more owned subsidiaries, by U.S. persons or within the United States are prohibited. This sanction will impair their ability to raise financing in U.S. dollars, which is critical for their exploration and development of new oil fields.

- Gazprom Neft (see above).
- AK Transneft OAO is Russia's government-owned pipeline company. The company provides services for oil and oil products transportation via trunk pipelines systems within the Russian Federation and abroad.

For identifying information on the entities named in this release, please click [here](#).

For links to the Directives referenced in this release, please click [here](#).

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Treasury Targets Additional Ukrainian Separatists and Russian Individuals and Entities

12/19/2014

*Action Targets 24 Ukrainian and Russian Separatist Leaders,
a Financier, and the Militias or Entities they Lead
Complements Action Taken by the President to Ban Investment in and Trade with Crimea*

WASHINGTON – In light of the continued conflict in eastern Ukraine and Russia’s continued disregard for its obligations under the Minsk agreements, which fuels the continued conflict, the U.S. Department of the Treasury today imposed sanctions pursuant to Executive Order (E.O.) 13660 on 24 Ukrainian and Russian-backed separatists and the militias or entities they lead or support. The individuals and entities sanctioned today were designated for being responsible for, or complicit in, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine, or for being militias or entities, and/or asserting governmental authority over a part or region of Ukraine without the authorization of the Government of Ukraine.

This action complements the step taken by President Obama today to issue an E.O. that prohibits new investment in the Crimea region of Ukraine by U.S. persons; the importation of any goods, services, or technology from the Crimea region of Ukraine into the United States; the exportation,

reexportation, sale, or supply of any goods, services, or technology from the United States to the Crimea region of Ukraine; and any approval, financing, facilitation, or guarantee by a U.S. person of any such transaction by a foreign person. This new E.O. also authorizes the Secretary of the Treasury, in consultation with the Secretary of State, to impose sanctions on any person determined to be operating in the Crimea region of Ukraine, to be a leader of an entity operating in the Crimea region of Ukraine, or to be owned or controlled by, or to provide material support to any person designated under this E.O. Treasury's Office of Foreign Assets Control (OFAC) is simultaneously issuing a general license to authorize the sale of agricultural commodities, medicine, and medical supplies to the Crimea region of Ukraine.

Both of these actions complement recent measures taken by the European Union. Our efforts respond to actions by Russia, and the separatists Russia supports, which destabilize and undermine the territorial integrity of Ukraine. These actions also reflect the ongoing close collaboration between the United States and European Union on our respective sanctions programs. As the President has said, our goal is to promote a diplomatic solution that provides a lasting resolution to the conflict and helps to promote growth and stability in Ukraine and regionally, including in Russia. In this context, the President has continued to call on Russia's leadership to implement the Minsk agreements and to reach a lasting and comprehensive resolution to the conflict that respects Ukraine's sovereignty and territorial integrity.

"These actions target people who are undermining peace and stability in Ukraine and impose additional costs on Russia for its destabilizing actions," said Under Secretary for Terrorism and Financial Intelligence David S. Cohen. "Alongside our partners in Europe and around the world, we call on Russia to end its occupation and attempted annexation of Crimea, to cease its support to separatists in eastern Ukraine, and to implement the obligations it signed up to under the Minsk agreements. The ball is in Russia's court; if it implements its commitments and abides by international law, sanctions could be rolled back."

Vladimir Antyufeyev was appointed "deputy prime minister" of the so-called Donetsk People's Republic in July 2014. He was engaged in separatist "governmental" activities on behalf of the so-called Donetsk People's Republic and was responsible for its domestic security and law enforcement. Antyufeyev is being designated because he has acted or purported to act for or on behalf of the so-called Donetsk People's Republic and was responsible for or complicit in, or has engaged in, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine.

Fedor Berezin served as the deputy to Igor Girkin (a.k.a. Igor Strelkov), "defense minister" of the so-called Donetsk People's Republic. Berezin continues to play a leadership role in the separatist region's armed forces following Girkin's August 2014 resignation. Berezin is being designated because he is responsible for actions that threaten the territorial integrity of Ukraine, has acted on behalf of the so-called Donetsk People's Republic, and is a leader of the so-called Donetsk People's Republic.

Igor Bezler is a former Russian military officer who has actively coordinated subversion activities in Ukrainian territory. Bezler served in the Main Intelligence Directorate (GRU) of the General Staff of the Russian Federation Armed Forces until 2002 and was then sent to Ukraine. In April, Bezler ordered the arrest and kidnapping of OSCE representatives. Bezler is being designated because he is responsible for or complicit in, or has engaged in, actions or policies that threaten the peace security, stability, sovereignty, or territorial integrity of Ukraine.

The Donbass People's Militia is being designated because of the group's engagement in actions and policies that threaten the peace, security, stability, sovereignty, and territorial integrity of Ukraine. The Donbass People's Militia is an armed pro-Russian separatist group of rebels fighting

Government of Ukraine forces in eastern Ukraine and threatening the stability and security of Ukraine in the Donetsk region. This militia seized control of several government buildings in eastern Ukraine in early April 2014 and under the command of its former leader, Pavel Gubarev, took over the regional government building in Donetsk while Gubarev proclaimed himself the "people's governor." Its forces have been involved in organizing "referendums" on Donetsk's future without the involvement of the Government of Ukraine.

Pavel Gubarev was the self-proclaimed "governor" of the so-called Donetsk People's Republic, an entity that was designated pursuant to E.O. 13660 on July 16, 2014. Gubarev has been described as one of the three most prominent leaders of the separatists in southeast Ukraine. In March 2014, Gubarev was detained by Ukraine's Security Service during a media conference in Donetsk and then moved to a detention center in Kyiv. He is facing charges of separatism, organizing mass disorder, and infringing Ukrainian territorial integrity. Gubarev is being designated because he is responsible for or complicit in, or has engaged in, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine and is a leader of an entity that has, or whose members have, engaged in actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine.

Alexei Karyakin is speaker of the self-proclaimed Luhansk People's Republic Council of Ministers. Karyakin signed a memorandum uniting the so-called Donetsk and Luhansk People's Republics on May 24, 2014. On June 20, 2014 Karyakin addressed a letter to Belarusian President Lukashenka and the national assembly of Belarus requesting that Belarus recognize the independence of the so-called Luhansk People's Republic. On June 25, 2014 he declared the separatist regions' intent to seek accession to the Customs Union of Russia, Belarus, and Kazakhstan. Karyakin is being designated because he is responsible for actions that threaten the territorial integrity of Ukraine and has asserted governmental authority over a region of Ukraine.

Alexander Khryakov was the self-proclaimed "information and mass communications minister" of the so-called Donetsk People's Republic and was responsible for directing its pro-separatist propaganda activities. Khryakov is now a member of the council for the so-called Donetsk People's Republic. Khryakov is being designated because he has acted or purported to act for or on behalf of the so-called Donetsk People's Republic, and is responsible for or complicit in, or has engaged in, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine.

Nikolai Kozitsyn is described as one of the first-hand organizers and coordinators of the separatist actions in Ukraine and is responsible for forming separatist groups on the territory of Ukraine. He also provides separatists with weapons and sends them to Ukraine. Kozitsyn is being designated because he is responsible for or complicit in, or has engaged in, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine.

Konstantin Malofeyev funds separatist activities in eastern Ukraine and is closely linked with Aleksandr Borodai, Igor Girkin (a.k.a. Igot Strelkov), and the so-called Donetsk People's Republic, which have all been previously sanctioned as Specially Designated Nationals (SDNs). Malofeyev is one of the main sources of financing for Russians promoting separatism in Crimea. Malofeyev is being designated because he is responsible for or complicit in, or has engaged in, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine and has materially assisted, sponsored, or provided financial, material, or technological support for, or goods or services to or in support of the so-called Donetsk People's Republic.

Malofeyev owns the **Marshall Capital Fund**, which is a Russian equity investment group. The Marshall Capital Fund is being designated because it is owned or controlled by Malofeyev.

Aleksey Mozgovy is described as the commander of a separatist force in Ukraine's Luhansk region. He is responsible for training separatists to fight against the Government of Ukraine forces and has called for regions in Ukraine's southeast to unite in order to achieve their separatist objectives. Mozgovy is being designated because he is responsible for or complicit in, or has engaged in, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine and is a leader of an entity that has, or whose members have, engaged in actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine.

Dmitry Neklyudov was appointed "deputy minister of interior of the so-called Republic of Crimea on May 5, 2014. Neklyudov is being designated because he has asserted governmental authority over a region of Ukraine without the authorization of the Government of Ukraine.

The **Novorossiya Party** was created to unite all supporters for the establishment of an independent federal state of Novorossiya and to withdraw all southeastern lands in Ukraine from the authority of Kyiv. The Novorossiya Party is being designated because it has engaged in actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine.

Oplot is one of the militias that is attempting to assert control over the Donetsk and Luhansk regions of Ukraine. In April, its members occupied the Donetsk city council building, and the militia pushed for the holding of a "referendum" on the territorial status of the Donetsk Region. Oplot is being designated because it is responsible for or complicit in, or has engaged in, actions or policies that undermine democratic processes or institutions in Ukraine, and actions or policies that threaten the peace security, stability, sovereignty, or territorial integrity of Ukraine.

Igor Plotnitsky, an ex-Soviet army officer, was "elected" head of the so-called Luhansk People's Republic in unrecognized "elections" that took place on November 2, 2014, which also included ballots from polling stations in Russia. Plotnitsky became the leader of the separatists in Luhansk in February 2014. In April, he became the first commander of the so-called Zarya (Dawn) separatist battalion and in May 2014, he took the position of Defense Minister of the so-called Luhansk People's Republic. Plotnitsky is being designated because he is responsible for or complicit in, or has engaged in, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine and has asserted governmental authority over a part or region of Ukraine without the authorization of the Government of Ukraine.

Natalia Poklonskaya was appointed as the de facto prosecutor of the so-called Republic of Crimea in May 2014 by Russian President Vladimir Putin. A Ukraine court in Kyiv ordered Poklonskaya's detention on suspicion of subverting Ukraine's constitutional system and seizing state power. She is accused of having accepted an illegal offer to head the Crimean prosecutor's office and has illegally taken office. Poklonskaya is being designated because she has asserted governmental authority over a part or region of Ukraine without the authorization of the Government of Ukraine.

Miroslav Rudenko is described as an official of the government of the so-called Donetsk People's Republic, and he is also the deputy commander of its defense forces. He rejected Ukrainian President Poroshenko's unilateral cease-fire offer as a "tactical ploy" and refused to negotiate on the organization of the Ukrainian presidential elections in Donetsk. Rudenko is being designated because he is responsible for

actions that threaten the territorial integrity of Ukraine, has acted on behalf of the so-called Donetsk People's Republic, and is a leader of the so-called Donetsk People's Republic.

Petr Savchenko was the "minister of taxes and duties" of the so-called Donetsk Peoples Republic and is now a member of its council. Savchenko is being designated because he is responsible for or complicit in, or has engaged in, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine.

Savchenko is the Chief Executive, Principal and Senior Executive of **PROFAKTOR, TOV**, which specializes in accounting, auditing and bookkeeping in Ukraine. PROFAKTOR, TOV is being designated because it is owned or controlled by, or has acted or purported to act for or on behalf, directly or indirectly, of Savchenko.

Oleh Tsaryov is a leader of the separatist South-East Movement and chairman of the parliament of the union of the so-called Donetsk and Luhansk People's Republics. Tsaryov is additionally a member of the Committee for Public Support for the Inhabitants of Southeast Ukraine, operating under the auspices of the Russian parliament, providing volunteers and military material to separatists in eastern Ukraine. Tsaryov is being designated because he is responsible for actions that threaten the territorial integrity of Ukraine and has asserted governmental authority over a region of Ukraine.

The **South-East Movement** (Yugo-Vostok Movement) promotes the creation of a separate pro-Russian state in southeast Ukraine and was banned in Ukraine in August 2014. The South-East Movement is being designated because it has engaged in actions which threaten the territorial integrity of Ukraine, and for being controlled by Tsaryov.

Alexander Zakharchenko was declared the winner of a recent unrecognized election in the so-called Donetsk People's Republic. Ukrainian President Petro Poroshenko denounced the November 2, 2014 "elections" in the so-called Donetsk and Luhansk People's Republics as an "electoral farce" and stated that they violated the September 5, 2014 Minsk Accord. Prior to claiming the position of prime minister, Zakharchenko was a commander in the insurgency against the government of Ukraine. He is being designated because he is responsible for actions that threaten the territorial integrity of Ukraine, has acted on behalf of the so-called Donetsk People's Republic, and is a leader of the so-called Donetsk People's Republic.

The **Night Wolves** biker group had its members serve in the Crimean self-defense forces as early as February 2014, which supported local Crimeans against the Government of Ukraine. In March 2014, the Night Wolves conducted intimidation and criminal activities within Ukraine and also abducted and subsequently assaulted a Ukrainian Border Guard official. This biker group also participated in the storming of the gas distribution station in Strikolkove and the storming of the Ukrainian Naval Forces Headquarters in Sevastopol. In early-April 2014, the Night Wolves helped smuggle a former senior Ukrainian official out of Ukraine and also helped obtain Russian passports for another larger group of senior Ukrainian officials that they helped get into Russia. The Night Wolves have been closely connected to the Russian special services, have helped to recruit separatist fighters for Donetsk and Luhansk, Ukraine, and were deployed to the cities of Luhansk and Kharkiv. The Night Wolves group is being designated because it is an entity that is responsible for or complicit in, or has engaged in, directly or indirectly, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine.

Aleksandr Zaldostanov, also known as “the Surgeon,” is the leader of the Night Wolves. Zaldostanov chairs the overall Night Wolves organization, and some of his responsibilities include the punishing of chapter groups and members for disloyalty to the Night Wolves organization. During the late-March storming of the Ukrainian Naval Forces Headquarters in Sevastopol, he coordinated the confiscation of Ukrainian weapons with the Russian forces. Zaldostanov is being designated for being a leader of a group, the Night Wolves, that is engaging in, directly or indirectly, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine.

For identifying information on the individuals and entities named in this release, please click [here](#).

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Treasury Announces New Designations of Ukrainian Separatists and their Russian Supporters

3/11/2015

Action Targets Eight Ukrainian Separatists, a Russian Pro-Separatist Organization and Three of its Leaders, a Crimean B Yanukovych Officials

WASHINGTON – The United States supports the Minsk agreements and views them as the best means to a diplomatic resolution of the crisis in Ukraine. The proof of Russia's peaceful intentions in Ukraine would be in its full and unambiguous implementation of the September 12 February 12 Minsk Implementation Plan. Recent assaults by Russian-armed separatists, however, particularly those that have targeted two cities that unquestionably lie outside of separatist-occupied territory according to the September 19 ceasefire line – constitute a violation of the spirit of the Minsk agreements.

As part of an ongoing effort to hold accountable those responsible for violations of Ukraine's sovereignty and territorial integrity, the U.S. Treasury today imposed sanctions on eight Ukrainian separatists and one pro-separatist Russian entity and its leaders pursuant to E.O. 13685 for operating in the Crimea region of Ukraine, and three for the misappropriation of state assets of Ukraine. These sanctions follow similar action taken by the European Union and Canada. The U.S. Treasury will continue to work to remain in lockstep with our international partners in our efforts to incentivize a diplomatic resolution to the crisis in Ukraine.

"From the start of this crisis, we have demonstrated that we will impose costs on those who violate the sovereignty and territorial integrity of Ukraine. These costs include individuals, organizations, businesses, and the governments that support them," said Acting Under Secretary for Terrorism and Financial Intelligence Adam J. Szubin. "If Russia continues to support destabilizing activity in Ukraine and violate the Minsk agreements and implementation of the Minsk agreements, the substantial costs it faces will continue to rise."

Undermining Ukraine's Sovereignty – Executive Order 13660

Roman Lyagin has served as the chairman of the Central Election Commission (CEC) and as minister of social and labor policy of the People's Republic of Ukraine (DPR). In his role as CEC chairman, he helped organize a number of votes in the region that were denounced as illegitimate in Ukraine in Kyiv, including a May 2014 "independence referendum" and a November 2014 election for leadership positions in the self-proclaimed DPR. Lyagin actively interfered with the internationally-recognized national Ukrainian elections by confiscating ballots and preventing voting.

parliamentary elections and the October 2014 election for Ukraine's President. He has been designated for having asserted governmental authority over a part or region of Ukraine without the authorization of the Government of Ukraine, and for having engaged in actions that have undermined the peace, stability, sovereignty, and territorial integrity of Ukraine.

Yuriy Ivakin is being designated pursuant to E.O. 13660 for asserting governmental authority over a part or region of Ukraine without the authorization of the Government of Ukraine, and for being a leader of the self-proclaimed Luhansk People's Republic (LPR), an entity whose property and assets are blocked by this order. Ivakin has led the ministry of internal affairs of the self-proclaimed LPR. In May 2014, Ivakin, accompanied by other LPR officials, entered the regional directorate of the Ukrainian Ministry of Internal Affairs in Luhansk and declared himself the head of the self-proclaimed LPR.

Aleksandr Karaman has held a number of leadership posts within the self-proclaimed DPR, including minister for social and labor policy, prime minister, and deputy chairman of the council of ministers. Karaman is being designated for being a leader of the self-proclaimed DPR and for having engaged in actions that threaten the peace, stability, sovereignty, and territorial integrity of Ukraine.

Oleksandr Khodakovskyy is being designated pursuant to E.O. 13660 for being responsible for or complicit in actions or policies that threaten the peace, stability, sovereignty, or territorial integrity of Ukraine, and for asserting governmental authority over a part or region of Ukraine without the authorization of the Government of Ukraine. Khodakovskyy is the former head of the self-proclaimed DPR security service, and is the chief of the Vostok Battalion, a militia that engaged in fighting with the Ukrainian military at Donetsk Airport.

Senior "rebel commander" Serhii Zdriliuk served as an aide to Igor Girkin (a.k.a. Igor Strelkov), former "defense minister" of the self-proclaimed DPR. Girkin and Zdriliuk were involved in the takeover of Ukraine's Crimea region that led to Russia's illegal annexation of the region in March 2014. He has engaged in actions that threaten the territorial integrity of Ukraine, and has acted on behalf of the self-proclaimed DPR. Girkin was designated in Executive Order 13660.

The Eurasian Youth Union has actively recruited individuals with military and combat experience to fight on behalf of the self-proclaimed DPR. It has a covert presence in Ukraine. Aleksandr Dugin, Andrey Kovalenko, and Pavel Kanishchev are leaders of the Eurasian Youth Union. Dugin, Kovalenko, and Kanishchev are being designated for being responsible for or complicit in actions or policies that threaten the peace, security, stability, or sovereignty of Ukraine.

Ekaterina Gubareva is being designated for being responsible for or complicit in actions or policies that threaten the peace, security, stability, or territorial integrity of Ukraine. Gubareva previously held the title of foreign minister of the self-proclaimed DPR, and has engaged in efforts to facilitate Russian influence in the DPR. She was a signatory to an October 2014 statement signed by self-proclaimed DPR and LPR leaders and representing the Government of Ukraine control of the Ukrainian side of the international border with Russia.

Sergei Abisov was appointed minister of interior affairs of the self-proclaimed Republic of Crimea in May 2014. Abisov is being designated for having asserted governmental authority over a part or region of Ukraine without the authorization of the Government of Ukraine.

Oleg Kozyura is the head of the Federal Migration Service of Russia in Sevastopol, Crimea, and is responsible for the systematic and large-scale issuance of Russian passports for the residents of Crimea. As leader of the Federal Migration Service of Russia in Sevastopol, Kozyura facilitated the issuance of 260,000 Russian passports to the citizens of Sevastopol and other regions. Kozyura is being designated because he leads an entity that has asserted governmental authority over a part of Ukraine without the authorization of the Government of Ukraine.

Crimean Bank – Executive Order 13685

Russian National Commercial Bank (RNCB) is being designated today pursuant to E.O. 13685 for operating in the Crimea region of Ukraine without the authorization of the Government of Ukraine. RNCB's presence in the Crimea region of Ukraine prior to its occupation and attempted annexation by Russia and Russian authorities have used illegal efforts to incorporate Crimea into the Russian Federation. Following the annexation, RNCB bought or took over branches of other banks and operates the largest banking network in Crimea.

Former Yanukovich Officials and Misappropriation of Ukrainian State Assets – Executive Order 13660

Mykola Azarov is the former Prime Minister of Ukraine. Azarov is being designated because he is responsible for the misappropriation of Ukrainian state assets.


Serhiy Arbuzov is the former First Deputy Prime Minister and former acting Prime Minister of Ukraine. He is being designated for acting as a member of the inner circle of Ukrainian President Viktor Yanukovich as First Deputy Prime Minister and as a member of Yanukovich's inner circle. Arbuzov was a member of Yanukovich political appointees responsible for key economic positions in the government.

Raisa Bohatyriova is the former Minister of Health of Ukraine. She is currently under investigation in Ukraine for the large-scale embezzlement of state assets and the illegal transfer of those funds outside the territory of Ukraine. She is being designated for the misappropriation of state assets of Ukraine.

All the individuals and entities designated today are blocked. Their property and interests in property within U.S. jurisdiction are frozen and generally prohibited from doing business with them.

For identifying information on the individuals and entities named in this release, please click [here](#).

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U.S. DEPARTMENT OF THE TREASURY

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Press Center

Treasury Sanctions Individuals and Entities Involved In Sanctions Evasion Related To Russia and Ukraine

7/30/2015

Action Targets Former Yanukovich Regime Officials, Close Associates, and Crimean Entities; Underscores U.S. Commitment to Mair Sanctions and the Unity of the International Coalition Concerned About Russia's Activities in Ukraine

WASHINGTON – To ensure the efficacy of existing sanctions, the U.S. Department of the Treasury's Office of Foreign Assets Control (OFAC) today announced and identified a range of individuals and entities under four executive orders (E.O.s) related to Russia and Ukraine. Today's action is critical to ensure that individuals and entities do not circumvent our sanctions, to further align U.S. measures with those of our international partners, and to provide additional information to help the public understand OFAC's actions with sanctions compliance.

"Today's action underscores our resolve to maintain pressure on Russia for violating international law and fueling the conflict in eastern Ukraine," said OFAC Director John E. Smith. "Our message is clear: we will continue to act to ensure the effectiveness of our sanctions, which will not be rolled back until the Minsk Agreements are fully implemented."

In today's action, OFAC designated 13 individuals and entities that have supported serious and sustained evasion of existing sanctions on the arms or related materiel sector of the Russian Federation, four former Ukrainian government officials or close associates for being involved in the misappropriation of public assets and/or undermining of democratic processes or institutions in Ukraine as well as an entity owned or controlled on behalf of, one of the designated individuals, five Crimean port operators, and one Crimean ferry operator. OFAC also identified seven entities owned 50 percent or more by Vnesheconombank (VEB) and Rosneft.

Today's steps are consistent with the U.S. commitment to seek a diplomatic resolution to the crisis in Ukraine by maintaining our sanctions until the Minsk Agreements are fully implemented. This follows an EU action last month to extend its sanctions on Russia and an announcement also last month to supplement its own sanctions on Russia.

Designations Regarding Sanctions Evasion and the Defense Sector (E.O. 13661)

Today's action targets 15 individuals and entities pursuant to E.O. 13661, which authorizes sanctions on, among others, any individual controlled by, or that has supported, an individual or entity previously designated under this E.O. or a senior Russian government official operating in the arms or related materiel sector in the Russian Federation. Thirteen of these targets are linked to serious and sustained evasion of sanctions: Gennady Timchenko, Boris Rotenberg, and Kalashnikov Concern. Additionally, two entities were designated for supporting the arms or related materiel sector in the Russian Federation.

The following eight of these individuals and entities are linked to the provision of material support to the previously designated Gennady Timchenko, IPP Oil Products (Cyprus) Limited, Kai Paananen, Oleg Usachev, Petr Kolbin, Set Petrochemicals Oy, Southport Management Services LLC, Southeast Trading Oy, Oy Langvik Capital Ltd. and Roman Rotenberg are linked to the provision of material support to the previously designated Boris Rotenberg. Aleksander Omelchenko, Andrey Bulyutin, and Olena Yurevna Semenova are linked to the provision of material support to Kalashnikov Concern. Izhevsky Mekhanichesky Zavod JSC (AKA Baikal) and Open Joint Stock Company Kontsern Izhmash are also designated by Kalashnikov Concern and are being designated because they operate in the arms or related materiel sector in the Russian Federation.

Designations Regarding Former Yanukovich Officials, Close Associates, and a Related Entity (E.O. 13660)

OFAC also designated today four former Yanukovich regime officials and close associates, who are already on the EU sanctions list, and

which authorizes sanctions against those contributing to the current situation in Ukraine, including the misappropriation of public asset democratic processes or institutions in Ukraine. They are Andriy Petrovych Klyuyev, Sergey Vitalievich Kurchenko, Eduard Anatoliyov Oleksandr Viktorovych Yanukovych. Today's action supports the Ukrainian government's efforts to target the misappropriation of state that the United States will continue to coordinate with the EU on its sanctions lists to the extent possibl

e.

Andriy Petrovych Klyuyev is being designated because he is responsible for or complicit in, or has engaged in, directly or indirectly, ac undermine democratic processes or institutions in Ukraine. Sergey Vitalievich Kurchenko, Eduard Anatoliyovych Stavytsky, and Oleks Yanukovych are being designated because they are responsible for or complicit in, or have engaged in, directly or indirectly, misapproj Ukraine or of an economically significant entity in Ukraine.

Also designated under E.O. 13660 is Private Joint-Stock Company Mako Holding because it is owned or controlled by, or has acted or behalf of, directly or indirectly, Oleksandr Yanukovych.

Designations Regarding Crimean Entities (E.O. 13685)

OFAC also designated five Crimean port operators and one sea ferry operator – State Enterprise Kerch Sea Commercial Port, State E Trading Port, State Enterprise Feodosia Sea Trading Port, State Enterprise Evpatoria Sea Commercial Port, State Enterprise Yalta Sea Shipping Company Kerch Sea Ferry – pursuant to E.O. 13685. E.O. 13685 authorizes sanctions on any person determined to be oper of Ukraine, to be a leader of an entity operating in the Crimea region of Ukraine, or to be owned or controlled by, or to have provided r person designated under this E.O.

All six targets are being designated because they operate in the Crimea region of Ukraine. Under existing U.S. and EU sanctions, U.S already broadly prohibited from trading with or investing in Crimea, and three of the six port operators – State Enterprise Kerch Sea Cc Enterprise Sevastopol Sea Trading Port, and State Shipping Company Kerch Sea Ferry – were previously designated by the EU. Tod of their assets in or coming under U.S. jurisdiction and generally prohibits U.S. persons from dealing with them, underscores our comm occupation and attempted annexation of Crimea.

Identifications Under the Sectoral Sanctions Identification List (pursuant to E.O. 13662)

Today, OFAC also identified a number of subsidiaries of VEB and Rosneft as being owned 50 percent or more by their respective pare were previously sanctioned pursuant to E.O. 13662 in July 2014. These entities were already subject as a matter of law to the same fi parent entities per OFAC's 50 percent rule guidance, which can be found [here](#) . These identifications will help the public more effe sanctions on VEB and Rosneft.

For the identifying information related to today's action, click [here](#).

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U.S. DEPARTMENT OF THE TREASURY

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Press Center

Treasury Sanctions Individuals and Entities for Sanctions Evasion and Other Activities Related to Russia and Ukraine

12/22/2015

Underscores U.S. Commitment To Work With The EU To Maintain The Efficacy Of Existing Sanctions Until Russia Fully Complies With Its Obligations To Respect To Ukraine

WASHINGTON – To maintain the efficacy of existing sanctions, the U.S. Department of the Treasury's Office of Foreign Assets Control (OFAC) today announced and identified dozens of individuals and entities under four executive orders (E.O.s) related to Russia and Ukraine. Today's action is part of an effort to counter attempts to circumvent these sanctions, to further align U.S. measures with those of its international allies, and to provide assistance to the private sector with sanctions compliance.

"It is critical that Russia takes the steps necessary to comply with its obligations under the Minsk Agreements and to ensure a peaceful resolution to the crisis in Ukraine," said John E. Smith, Acting OFAC Director. "By more closely matching our designations with those of our international partners, we are once again demonstrating the United States' unwavering resolve to pressure Russia to respect the security and sovereignty of Ukraine."

In today's action, OFAC named 34 individuals and entities under Ukraine-related sanctions authorities. This includes 14 individuals and entities that have engaged in serious and sustained evasion of existing sanctions or are 50 percent or more owned by a designated entity; six individuals and entities threatening the security or stability of Ukraine; two former Ukrainian government officials for being complicit in the misappropriation of funds threatening the security or stability of Ukraine; and 12 entities for operating in the Crimea region of Ukraine. OFAC also identified a number of entities owned 50 percent or more by the previously-designated VTB Bank, Sberbank, and Rostec.

Today's steps support the U.S. commitment to seek a diplomatic resolution to the crisis in Ukraine by maintaining our sanctions on Russia until it begins to be rolled back until Russia fully implements its commitments under the Minsk Agreements, including the return to Ukraine of its international border with Russia. These steps also demonstrate our sustained commitment to a policy of non-recognition with respect to the annexation of Crimea, and our intent to maintain Crimea-related sanctions until Russia ends its occupation of the peninsula. A number of the entities designated today have also been previously designated by the European Union (EU), underscoring continued trans-Atlantic support for actions in Ukraine.

Designations Regarding Sanctions Evasion (E.O. 13661)

Today's action targets 14 individuals and entities pursuant to E.O. 13661, which authorizes sanctions on, among others, any individual controlled by, or that has supported, an individual or entity previously designated under this E.O. These sanction targets are linked to Gennady Timchenko, Arkady Rotenberg, Boris Rotenberg, Kalashnikov Concern, and Izhevsky Mekhanichesky Zavod JSC (also known as IZVEZ).

have engaged in serious and sustained sanctions evasion.

The following eight individuals and entities are owned or controlled by, provide material support to, and/or are acting for or on behalf of Gennady Timchenko and his network: Sven Olsson, Avia Group Terminal Limited Liability Company, Transservice LLC, Lerma Trading S.A., Fentex Properties Ltd. and White Seal Holdings. The following three entities are owned or controlled by the previously designated Rotenberg: Volgogradneftemash, Moskovskiy Oblatstnoy Bank, and Investment Republic Bank LLC. Finally, the following three individuals are acting for or on behalf of the previously designated entities Kalashnikov Concern and Izhevsky Mekhanicheskyy Zavod JSC (also known as Kalashnikov Rifle, Vakhtang Karamyan, and Tatiana Chernykh.

Designations Regarding Separatists (E.O. 13660)

Today's action also targets six Ukrainian separatists pursuant to E.O. 13660, who are already on the EU sanctions list, for being responsible for actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine; for acting for or on behalf of groups as leaders of such entities; or for asserting governmental authority over a part or region of Ukraine without the authorization of the Government of Ukraine. This action is being taken as part of an ongoing effort to hold accountable those who are responsible for violations of Ukraine's sovereignty.

Serhiy Kozyakov has formerly served as the so-called head of the self-proclaimed Luhansk People's Republic (LPR) Central Electoral Commission, the "minister of justice" of the LPR, and was listed by the EU for being responsible for organizing the so-called "elections" of November 2014. These "elections" were not authorized by the Government of Ukraine and were in breach of Ukrainian law. Kozyakov is being designated for being complicit in actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine; acting for or on behalf of groups as leaders of such entities; or for asserting governmental authority over a part or region of Ukraine without the authorization of the Government of Ukraine.

Vladyslav Deynogo has served as a so-called deputy head of the People's Council of the LPR and was listed by the EU for actively supporting actions which undermine the territorial integrity and independence of Ukraine. Deynogo is being designated for being responsible for or complicit in, or having engaged in, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine and acting or purporting to act for or on behalf of the LPR.

Sergey Tsyplakov is the current "prime minister" of the LPR and has served as the so-called head of the People's Militia of Donbass, a radical organization in the Donetsk region. Tsyplakov is being designated for being responsible for or complicit in, or having engaged in, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine; asserting governmental authority over a part or region of Ukraine without the authorization of the Government of Ukraine; and acting or purporting to act for or on behalf of the LPR and the People's Militia of Donbass.

Vasily Nikitin is currently "deputy prime minister" for the LPR and has previously served as the so-called prime minister for the LPR and as a proponent of the LPR's illegal May 2014 referendum in favor of independence. Nikitin is being designated for being responsible for or complicit in, or having engaged in, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine; asserting governmental authority over a part or region of Ukraine without the authorization of the Government of Ukraine; and acting or purporting to act for or on behalf of the LPR.

Aleksandr Kofman has served as the so-called foreign minister and first deputy speaker of the so-called "legislature" of the self-proclaimed Donetsk People's Republic (DPR). He also stood as a candidate in the so-called "elections" of November 2014, which were in breach of Ukrainian law. Kofman is being designated for being responsible for or complicit in, or having engaged in, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine; asserting governmental authority over a part or region of Ukraine without the authorization of the Government of Ukraine; and acting for or on behalf of the DPR.

Andrei Rodkin has served as the so-called representative of the DPR in Russia. He also founded the Union of Donbass Volunteers, which fought in Ukraine. Rodkin is being designated for being responsible for or complicit in, or having engaged in, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine and acting or purporting to act for or on behalf of the DPR.

Designations Regarding Former Yanukovich Officials (E.O. 13660)

Today's action also targets two former Yanukovich regime officials pursuant to E.O. 13660, which authorizes sanctions against, among others, those who threaten the security and stability of Ukraine, and those misappropriating public assets. These individuals are Vitaliy Zakharchenko and Dmytro Shokin, already on the EU sanctions list. Today's action supports the Ukrainian government's efforts to target the misappropriation of state assets and also underscore our efforts to align our sanctions list with the EU, to the extent possible.

Vitaliy Zakharchenko issued the order on February 20, 2014 to use firearms against peaceful Euro-Maydan protestors in Ukraine, which

deaths. He is being designated for being responsible for or complicit in actions or policies that threaten the peace, security, stability, or integrity of Ukraine, and for materially assisting former Ukraine President Viktor Yanukovich, pursuant to E.O. 13660 on March 17, 2014.

Dmytro Tabachnyk is accused by Ukrainian officials of the appropriation or embezzlement of funds under of the Criminal Code of Ukraine for engaging in the misappropriation of state assets of Ukraine.

Designations Regarding Crimean Entities (E.O. 13685)

Today's action also targets 12 entities pursuant to E.O. 13685, which authorizes sanctions on, among others, any person determined to be operating in the Crimea region of Ukraine in violation of E.O. 13685. Targeting these entities for operating in the Crimea region of Ukraine underscores the United States' opposition to Russia's occupation and attempted annexation of Crimea.

These entities include three Russian banks and one Crimea-based bank (Joint Stock Company Genbank, Open Joint Stock Company Investment Bank, Open Joint Stock Company Commercial Bank Verkhnevolzhsky, and Sevastopolsky Morskoy Bank); a Crimean state enterprise in air transportation (State Enterprise Universal-Avia); a distillery (the Crimean Enterprise Azov Distillery Plant); three wineries (State Enterprise Concern National Production and Agricultural Association Massandra, State Enterprise Factory of Sparkling Wine Novy Svet, and State Enterprise Magarach of the National Institute of Wine); a health resort (Resort Nizhnyaya Oreanda); a Russian engineering company (Technopromexport); and a film production studio (the Yalta Film Studio).


Six of the 12 entities were previously designated by the EU. These six entities are: State Enterprise Universal-Avia, the Crimean Enterprise Concern National Production and Agricultural Association Massandra, Resort Nizhnyaya Oreanda, State Enterprise Factory of Sparkling Wine Novy Svet, and State Enterprise Magarach of the National Institute of Wine.

Identifications Under the Sectoral Sanctions Identification List (E.O. 13662)

Today, OFAC also identified a number of subsidiaries of VTB Bank, Sberbank, and Rostec as being owned 50 percent or more by their respective parent entities. The two banks and one defense company were previously sanctioned pursuant to E.O. 13662 in September 2014. The subsidiaries are subject to the same financing restrictions as their respective parent entities per OFAC's Revised Guidance on Entities Owned by Persons Whose Interests in Property Are Blocked ("50 percent rule guidance"), which can be found [here](#). These identifications will help the public understand the sanctions on VTB Bank, Sberbank, and Rostec.

For the identifying information related to today's action, click [here](#).

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Press Center

Treasury Sanctions Individuals and Entities for Sanctions Evasion and Activities Related to the Conflict in I

9/1/2016

U.S. Will Maintain Sanctions Pressure Until Russia Has Fulfilled All of Its Commitments under the Minsk Agreee

WASHINGTON – To maintain the effectiveness of existing sanctions, the U.S. Department of the Treasury’s Office of Foreign Assets C designated 37 individuals and entities under three Executive Orders (E.O.s) related to Russia and Ukraine. Today’s action is part of O counter attempts to circumvent sanctions on Russia, to assist the private sector with sanctions compliance, and to foster a diplomatic r Ukraine. The action demonstrates Treasury’s steadfast commitment to maintain sanctions until Russia fully implements its committer agreements, including a comprehensive cease fire, the withdrawal of all weapons and military personnel, and the restoration of Ukrain the internationally recognized border. It also underscores the U.S. government’s opposition to Russia’s occupation of Crimea and our attempted annexation of the peninsula. These sanctions follow the recent extension of European Union sectoral sanctions, and togeth continued international unity in opposing Russia’s actions in Ukraine.

“Russia continues to provoke instability in eastern Ukraine despite its Minsk commitments,” said Acting OFAC Director John E. Smith. partners in condemning Russia’s violation of international law, and we will continue to sanction those who threaten Ukraine’s peace, se

Today OFAC also identified a number of subsidiaries that are owned 50 percent or more by previously sanctioned Russian companies information to assist the private sector with sanctions compliance.

Designation Regarding Sanctions Evasion (E.O. 13661)

Today’s action targets one entity pursuant to E.O. 13661, which authorizes sanctions on, among others, any individual or entity that ha or supported, an individual or entity previously designated under this E.O.

CJSC ABR Management (“ABR Management”) is designated for acting for or on behalf of, directly or indirectly, and materially assist providing, financial, material, or technological support for, or goods or services to or in support of, Bank Rossiya. Bank Rossiya manag Management to strategically manage the banking group’s assets. As the trustee under a trust agreement with certain Bank Rossiya sl Management has the power to exercise voting rights for a majority of Bank Rossiya shares, including rights to propose candidates for l positions.

Designations Regarding Separatists (E.O. 13660)

Today's action also targets 17 Ukrainian separatists pursuant to E.O. 13660 for being responsible for or complicit in actions or policies security, stability, sovereignty, or territorial integrity of Ukraine; asserting governmental authority over a part or region of Ukraine without Government of Ukraine; or for acting for or on behalf of previously designated groups as leaders of such entities.

The following six individuals are designated for being responsible for or complicit in, or having engaged in, directly or indirectly, actions peace security, stability, sovereignty, or territorial integrity of Ukraine; asserting governmental authority over a part or region of Ukraine the Government of Ukraine; and acting for or on behalf of the previously designated, self-proclaimed Donetsk People's Republic (DPR) designated, self-proclaimed Luhansk People's Republic (LPR): **Eduard Basurin**, so-called Deputy Commander of the Ministry of Defense, DPR; **Vladimir Kononov**, so-called Minister of Defense, DPR; **Zaur Ismailov**, so-called Prosecutor General, DPR; **Manuilov**, so-called Minister of Finance, LPR; and **Alexandr Shubin**, so-called Minister of Justice, LPR.

The following 11 individuals, officials of the so-called Republic of Crimea, are designated for asserting governmental authority over a part or region of Ukraine without the authorization of the Government of Ukraine: **Dmitry Polonsky**, so-called Minister of Information and Mass Communication and Minister for Property and Land Relations; **Mikhail Sheremet**, so-called First Deputy Chairman of the Council of Ministers; **Svetlana Borodulina**, so-called Minister of Fuel and Energy; **Irina Kiviko**, so-called Minister of Finance; **Valentin Demidov**, so-called Minister of Defense; **Oleg Shapovalov**, so-called Minister of Justice; **Andrey Gennadievich Vasyuta**, so-called Minister of Industrial Policy; **Viktor Palagin**, so-called Director of the Federal Security Service Directorate; **Mikhail Nazarov**, so-called Director of the Investigative Committee Chief Investigations Division; and **Georgiy Muradov**, so-called Deputy Chairman of the Council of Ministers and Permanent Representative to the President of the Russian Federation. Two of Polonsky and Georgiy Muradov, are additionally designated for being responsible for or complicit in, or having engaged in, directly or indirectly, actions that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine.

Additional E.O. 13660 Designation

The **Salvation Committee of Ukraine** also is designated pursuant to E.O. 13660 for being owned or controlled by, or acting for or on behalf of, indirectly, former Ukrainian Prime Minister Mykola Azarov, whom OFAC previously designated under the same E.O. for the misappropriation of funds from Ukraine.

Designations Regarding Crimean Entities (E.O. 13685)

Today's action also targets the following 18 construction, transportation, and defense entities pursuant to E.O. 13685, which authorizes the Secretary of the Treasury to prohibit, in whole or in part, any person determined to operate in the Crimea region of Ukraine. Except as otherwise noted, the persons listed below are designated for operating in the Crimea region of Ukraine.

Entities Involved in the Construction of the Kerch Bridge

Russia's **SGM-Most OOO**, which is owned by previously designated gas pipeline construction company Stroygazmontazh, is the chief contractor for the construction of the Kerch Bridge, which would span the Kerch Strait to connect the Crimean peninsula with Russia.

PJSC Mostotrest is a major Russian construction company engaged in the construction of bridges, roads, and other transport infrastructure. In 2016, SGM-Most OOO awarded a multi-billion ruble construction contract to Mostotrest for the development of the Kerch Bridge.

AO 'Institute Giprostroymost—Saint-Petersburg' designed the Kerch Bridge.

OOO 'STG-Eko' conducted engineering and ecological studies for an Environmental Impact Assessment of the Kerch Bridge for AO 'Institute Giprostroymost—Saint-Petersburg.'

OOO 'DSK' is a Russian construction company whose work includes building access roads to bridges and overpasses. OOO 'DSK' was awarded a contract in 2015 for construction work on access roads to the Kerch Bridge on both sides of the Kerch Strait.

FKU Uprдор 'Taman' is a Russian federal institution under the Federal Road Agency (Rosavtdor) authorized to help manage federal road structures in Crimea and in Russian Federation territory. FKU Uprдор 'Taman' operationally manages the construction of the Kerch Bridge.

FAU 'Glavgozekspertriza Rossii' is a Russian federal institution authorized to conduct official examinations of project documentation for construction works in Russian Federation territory. In 2015, after Russia's occupation and attempted annexation of the Crimean peninsula, FAU 'Glavgozekspertriza Rossii' opened a branch in the city of Sevastopol and reviewed project documentation for the Kerch Bridge.

Defense Firms Operating in Crimea

OOO Shipyard 'Zaliv' is a shipbuilding company in Kerch, Crimea formed after militants forcibly seized the shipyard from its previous holds resident status in the so-called Republic of Crimea's free economic zone and a license from the Russian government to develop

Federal SUE Shipyard 'Morye' is a shipbuilding company located in Feodosia, Crimea. It has increased its capacity to construct mili constructing a guided missile ship for the Russian Navy in May 2016.

OAO Ship Repair Center 'Zvezdochka' performs maintenance work on ships and submarines and is located in Severodvinsk, Russia Sevastopol, Crimea that performs repairs and maintenance work on Russian naval vessels.

SUE RC 'Feodosia Optical Plant' produces military optical products and is located in Feodosia, Crimea. It holds a license from the F develop military equipment and produces optical sights for tanks and heavy weapons.

OAO 'Uranis-Radiosistemy' produces radio equipment and is located in Sevastopol, Crimea. It holds resident status in the so-called economic zone and a license from the Russian government to develop military equipment.

Entities Operating in Crimean Maritime Sector

Finally, the **Sovfracht-Sovmortrans Group** is designated pursuant to E.O. 13685 because it operates in the Crimea region of Ukraine **SMT-K** and **LLC Koksokhimtrans** are being designated for operating in the Crimea region of Ukraine and being owned or controlled l of, directly or indirectly, the Sovfracht-Sovmortrans Group. **Sovfracht Managing Company LLC** is being designated for being owned for or on behalf of, directly or indirectly, the Sovfracht-Sovmortrans Group. Finally, **OJSC Sovfracht and CJSC Sovmortrans** are des controlled by, or acting for or on behalf of, directly or indirectly, Sovfracht-Sovmortrans Group and Sovfracht Managing Company LLC.

The Sovfracht-Sovmortrans Group is a Russian shipping and logistics company comprised of several companies, including **Sovfracht OJSC Sovfracht, CJSC Sovmortrans, LLC Koksokhimtrans, and SMT-K**, a unit of the group registered in Crimea. SMT-K, an abbi Crimea, has offices in Simferopol and Kerch, Crimea, and is the operator for a ferry line between the previously designated Port of Ker Russia. LLC Koksokhimtrans is the registered operator and manager of two vessels that have served as ferries between Crimea and l Company LLC has oversight over OJSC Sovfracht and CJSC Sovmortrans. OJSC Sovfracht is the flagship company of the Sovfracht specializes in transporting of general cargo, while CJSC Sovmortrans specializes in container shipping.

Identifications Under the Sectoral Sanctions Identification List (E.O. 13662)

Today, OFAC also identified a number of subsidiaries of Bank of Moscow and Gazprombank as being 50 percent or more owned by th entities, all of which were previously sanctioned pursuant to directives issued under E.O. 13662. Bank of Moscow and Gazprombank i in July 2014; both are subject to Directive 1, which prohibits certain transactions or dealing in debt and equity of the sanctioned entities subsidiaries of Gazprom, which is subject to Directive 4, which prohibits the exportation of goods, services, or technology in support of for Russian deepwater, arctic offshore, or shale oil projects. The subsidiaries identified today were already subject to the same restrict parent entities per OFAC's Revised Guidance on Entities Owned by Persons Whose Property and Interests in Property Are Blocked (" which can be found [here](#). These identifications will help the public more effectively comply with the sanctions on these companies.

Concurrent with today's action, OFAC is also issuing a general license to authorize certain transactions for a limited period of time nec Mostotrest. To see the general license, click [here](#).

For the identifying information related to today's action, click [here](#).

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U.S. DEPARTMENT OF THE TREASURY

[SECRETARY MNUCHIN](#)[ABOUT TREASURY](#)[POLICY ISSUES](#)[DATA](#)

Press Center

Treasury Sanctions Individuals for Activities Related to Russia's Occupation of Crimea

11/14/2016

Action Underscores the U.S.-EU Commitment to Counter Russia's Actions in Ukraine

WASHINGTON – The U.S. Department of the Treasury's Office of Foreign Assets Control (OFAC) today designated six individuals who were elected to the Russian State Duma (Parliament) in Sevastopol in the Russian State Duma (Parliament). Specifically, OFAC designated Dmitry Belik, Andrey Kozenko, Konstantin Bakharev, Ruslan Balbek, and Pavel Shperov pursuant to Executive Order 13660 for being responsible for or complicit in actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine and actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of the European Union also designated these six individuals.

These actions support the U.S. commitment to seek a diplomatic resolution to the crisis in Ukraine by maintaining our sanctions on Russia and coordinating measures with those of our international partners. These steps also demonstrate our sustained commitment to a policy of non-recognition of the purported annexation of Crimea, and our intent to maintain Crimea-related sanctions until Russia ends its occupation of the peninsula.

"The United States does not recognize the illegitimate elections to the Russian State Duma that took place in Crimea," said John E. Sauer, Assistant Secretary for International Security and Counterterrorism. "Today's action was closely coordinated with the EU, underscoring the strength of the U.S.-EU partnership in maintaining pressure on Russia to respect the security and sovereignty of Ukraine. Treasury will continue to sanction those individuals involved in Russia's annexation of Crimea and its actions in Ukraine."

Belik, Kozenko, Bakharev, and Savchenko were elected to the Russian State Duma representing one-seat constituencies in Crimea and were also elected to the Russian State Duma as part of the United Russia political party ticket, along with Natalia Poklonskaya and Mikhail Sheremetev. OFAC sanctions. Shperov, a member of the Liberal Democratic Party ticket, was seated on the Russian State Duma after a United Russia political party ticket won the seat. Kozenko, Bakharev, Savchenko, Balbek, and Shperov also previously held local government positions in occupied Crimea following the annexation of Crimea in 2014.

As a result of today's action, all property and interests in property of Belik, Kozenko, Bakharev, Savchenko, Balbek, and Shperov subject to OFAC sanctions are blocked, and U.S. persons are generally prohibited from engaging in transactions with them.

For the identifying information related to today's action, click [here](#).

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U.S. DEPARTMENT OF THE TREASURY

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Press Center

Treasury Sanctions Individuals and Entities In Connection with Russia's Occupation of Crimea and the Con

12/20/2016

Action Designates Seven Individuals and Eight Entities and Identifies 26 Subsidiaries of the Russian Agricultural Bank

WASHINGTON – To maintain the effectiveness of existing sanctions, the U.S. Department of the Treasury's Office of Foreign Assets Control designated seven individuals and eight entities under two Executive orders (E.O.s) related to Russia and Ukraine, and identified two vessels. Today's action is part of Treasury's continued effort to counter attempts to circumvent these sanctions. The United States remains steadfast in its commitment to maintain sanctions until Russia fully implements its commitments under the Minsk agreements. This action underscores the U.S. government's opposition to Russia's occupation of Crimea and our firm refusal to recognize its attempted annexation of the peninsula. These sanctions follow the extension on Monday of sanctions and reinforce our continued unity in supporting Ukraine's sovereignty and territorial integrity.

As a result of today's action, any property or interest in property of the designated persons in the possession or control of U.S. persons must be blocked. Additionally, transactions by U.S. persons involving these persons, or the vessels identified as blocked, are generally prohibited.

"Today's action is in response to Russia's unlawful occupation of Crimea and continued aggression in Ukraine," said John E. Smith, Acting Assistant Secretary for International and Foreign Operations. "The targeted sanctions aim to maintain pressure on Russia by sustaining the costs of its occupation of Crimea and disrupting the activities that fuel violence and instability in Ukraine."

Today OFAC also identified 26 subsidiaries that are owned 50 percent or more by previously sanctioned Russian companies to provide assistance to the private sector with sanctions compliance.

Designations Regarding Russian Officials and their Supporters (E.O. 13661)

Today's action targets seven individuals pursuant to E.O. 13661, which authorizes sanctions against, among others, any individual or entity on behalf of, or supported, an individual or entity previously designated under this E.O. or a senior Russian government official, and on arms or related materiel sector in the Russian Federation.

Kirill Kovalchuk, Dmitri Lebedev, Dmitri Mansurov, Mikhail Klishin, Oleg Minaev, and Mikhail Dedov are being designated under E.O. 13661 on behalf of and providing financial, material, or technological support to Bank Rossiya, ABR Management, or Sobinbank. Each of the individuals is in an executive position within Bank Rossiya or ABR Management or Sobinbank, two of the bank's affiliates. Bank Rossiya was designated under E.O. 13661 for providing material support to a senior official of the Russian Federation and for being controlled by designated inner circle member Yuri

Management, the asset manager for Bank Rossiya, was designated on September 1, 2016, for acting for or on behalf of, and providing Rossiya. Sobinbank was designated on April 28, 2014 for being owned or controlled by Bank Rossiya.

Yevgeniy Prigozhin is being designated under E.O. 13661 for having materially assisted, sponsored, or provided financial, material, or goods or services in support of, senior officials of the Russian Federation. Prigozhin has extensive business dealings with the Russian Defense, and a company with significant ties to him holds a contract to build a military base near the Russian Federation border with Ukraine building additional military bases near the Ukrainian border and has used these bases as staging points for deploying soldiers into Ukraine.

Designations Regarding Crimean Entities (E.O. 13685)

Today's action also targets the following four construction and transportation entities pursuant to E.O. 13685, which authorizes sanctioning any person determined to operate in the Crimea region of Ukraine. The four entities listed below are designated because they operate in Ukraine.

Institut Stroiproekt, AO is a Russian Federation company working on the construction of highway segments for the Kerch Bridge project. The planned highway is to provide transport links between the Crimean peninsula and mainland Russian Federation, as well as between the developing dry cargo area of Taman Port and the highway transport network of the Krasnodar region.

Karst OOO is a Russian Federation company that was subcontracted to make foundational piling and supports for the Kerch Bridge, funded through a Russian Federation federal budget initiative for development in Crimea.

Crimean Railway is a Russian Federation-sponsored enterprise operating rail systems throughout the region of Crimea. It is an enterprise of the Russian Federation that facilitates the development of railways in the Crimean peninsula. It has received Russian Federation-allocated funds for the operation of railways operating in Crimea.

Crimean Ports is an entity run by the so-called Republic of Crimea Ministry of Transportation that organizes and maintains maritime services and approaches to ports throughout the Crimean region. It has branches at key ports throughout the region of Crimea.

OFAC is also designating four companies because they materially assisted, sponsored, or provided financial, material, or technological services to or in support of,

OJSC Sovfracht, which was previously designated under E.O. 13685 for being owned or controlled by an entity operating in Crimea. based vessel operator **Transpetrochart Co Ltd**, Samara, Russia-based vessel operator **Trans-Flot JSC**, Novorossiysk, Russia-based and Temryuk, Russia-based fuel supplier **LLC RusChemTrade** worked with **OJSC Sovfracht** on fuel shipments in 2015 and 2016. Temryuk is located across the Kerch Strait from Kerch, Crimea, and is the site of a ferry from Port Kavkaz in Temryuk, Krasnodar to Port

OFAC is identifying the "**Marshal Zhukov**" and "**Stalingrad**" as property in which **Trans-Flot JSC**, an entity being designated today, had a role in fuel shipments on behalf of **OJSC Sovfracht** in 2015 and 2016, and the "Marshal Zhukov" traveled frequently to and from

In conjunction with these OFAC designations pursuant to E.O.s 13661 and 13685, the Department of Commerce is in the process of final publication that will amend its Entity List (Supplement No. 4 to part 744 of the Export Administration Regulations) to add several entities controlled by OFAC or which are subsidiaries of Russian entities currently listed.

Identifications Under the Sectoral Sanctions Identification List (E.O. 13662)

Today, OFAC also identified a number of subsidiaries of the Russian Agricultural Bank and Novatek as being 50 percent or more owned entities, both of which were designated pursuant to E.O. 13662. Russian Agricultural Bank was originally designated on July 29, 2014 under E.O. 13662, which prohibits U.S. persons from dealing in new debt of greater than 30 days maturity and new equity of the sanctioned entities. Novatek was designated on July 16, 2014 and is subject to Directive 2, which prohibits U.S. persons from dealing in new debt of greater than 90 days maturity of the

The subsidiaries identified today were already subject to the same restrictions as their respective parent entities per OFAC's Revised (2014) Guidance for Persons Whose Property and Interests in Property Are Blocked ("50 percent rule guidance"), which can be found [here](#). These entities are required to more effectively comply with the sanctions on Russian Agricultural Bank and Novatek.

Concurrent with today's action, OFAC is also issuing a general license to authorize certain transactions with FAU Glavgosekspertiza RAS. For more information on this license, click [here](#).

For the identifying information related to today's action, click [here](#).

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U.S. DEPARTMENT OF THE TREASURY

SECRETARY MNUCHIN

ABOUT TREASURY

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Press Center

Treasury Designates Individuals and Entities Involved in the Ongoing Conflict in Ukraine

6/20/2017

Action Underscores U.S. Commitment to Maintain the Strength of Existing Sanctions in Furtherance of a Diplomatic Solution to

Washington --The U.S. Department of the Treasury's Office of Foreign Assets Control (OFAC) today reinforced existing sanctions on identifying a range of individuals and entities involved in the ongoing conflict under four Executive orders (E.O.s) related to Russia and designed to counter attempts to circumvent U.S. sanctions and will maintain alignment of U.S. measures with those of our international partners to provide additional information to assist the private sector with sanctions compliance. These steps are consistent with the U.S. commitment to the crisis in Ukraine and to facilitate Crimea's return to Ukraine. U.S. sanctions on Russia related to the situation in eastern Ukraine will remain in place until Russia fully honors its obligations under the Minsk Agreements. U.S. sanctions related to Crimea will not be lifted until Russia returns the peninsula.

"These designations will maintain pressure on Russia to work toward a diplomatic solution," said Treasury Secretary Steven T. Mnuchin. "The U.S. is committed to a diplomatic process that guarantees Ukrainian sovereignty, and there should be no sanctions relief until Russia meets its Minsk agreements."

In today's action, OFAC designated 38 individuals and entities under Ukraine-related authorities, including one entity that has engaged in sanctions evasion, two Russian government officials and two individuals acting for or on behalf of a government official, two entities that are owned or controlled by an individual previously designated, and 11 individuals and entities that operate in the Crimea region of Ukraine.

As a result of today's action, any property or interest in property of the designated persons in the possession or control of U.S. persons must be blocked. Additionally, transactions by U.S. persons involving these persons are generally prohibited.

OFAC also identified 20 subsidiaries that are owned 50 percent or more by the previously-designated AK Transneft OAO (Transneft), subject to E.O. 13662, which prohibits U.S. persons from dealing in new debt of greater than 90 days maturity of the sanctioned entities.

Designations of Ukrainian Separatists (E.O. 13660)

Today's action targets 21 Ukrainian separatists, entities, and their supporters pursuant to E.O. 13660 for being responsible for or complicit in, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine; for acting for or on behalf of, or providing material or other support to previously designated groups; or for asserting governmental authority over a part of Ukraine.

without the authorization of the Government of Ukraine. This action is part of an ongoing effort to hold those responsible for violations and territorial integrity accountable for their actions.

Vadim Bulgakov is the head of the Federal Penitentiary Service of the so-called “Republic of Crimea” and Sevastopol. Bulgakov is being responsible for or complicit in, or having engaged in, directly or indirectly, actions or policies that threaten the peace, security, stability, integrity of Ukraine; and asserting governmental authority over any part or region of Ukraine without the authorization of the Governme

Igor Kornet is the “Minister of Internal Affairs” of the so-called “Luhansk People’s Republic (LPR).” He previously announced that the LPR would launch a large-scale issuance of LPR passports to residents. Kornet is being designated for being responsible for or comp in, directly or indirectly, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine; asse over any part or region of Ukraine without the authorization of the Government of Ukraine; and acting or purporting to act for or on beh the LPR.

Leonid Pasechnik is the “Minister of State Security” of the so-called “LPR.” He has been involved in a number of corruption scheme: contraband between the LPR and Russia, to include arms, coal, fuel, and other related material. Pasechnik is being designated for be complicit in, or having engaged in, directly or indirectly, actions or policies that threaten the peace, security, stability, sovereignty, or ter asserting governmental authority over any part or region of Ukraine without the authorization of the Government of Ukraine; and acting on behalf of, directly or indirectly, the LPR.

Natalya Khorsheva is “Acting Minister” of the “Council of Ministers” of the so-called “LPR.” She previously announced that the LPR C a resolution that would establish the Russian Ruble as the principal currency of the LPR. Khorsheva is being designated for being res or having engaged in, directly or indirectly, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integ governmental authority over any part or region of Ukraine without the authorization of the Government of Ukraine; and acting or purpor of, directly or indirectly, the LPR.

Petr Jarosh is the head of the Russian Federal Migration Service in the so-called “Republic of Crimea” and was sanctioned by the EU policies which undermine the territorial integrity and independence of Ukraine. Jarosh is being designated for being responsible for or engaged in, directly or indirectly, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukra governmental authority over any part or region of Ukraine without the authorization of the Government of Ukraine.

Aleksey Kostrubitsky is the “Civil Defense and Emergency Situations Minister” of the so-called “Donetsk People’s Republic (DPR).” designated for being responsible for or complicit in, or having engaged in, directly or indirectly, actions or policies that threaten the pea sovereignty, or territorial integrity of Ukraine; and acting or purporting to act for or on behalf of, directly or indirectly, the DPR.

Aleksey Dikiy is the “Minister of Interior” of the so-called “DPR.” Dikiy is being designated for being responsible for or complicit in, or or indirectly, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine; and acting or pu behalf of, directly or indirectly, the DPR.

Irina Nikitina is “Chairwoman” of the “Central National Bank” of the so-called “DPR.” She previously announced the test launch of a s money transfers between individuals from the DPR and LPR. Nikitina is being designated for being responsible for or complicit in, or h indirectly, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine; asserting governm or region of Ukraine without the authorization of the Government of Ukraine; and acting or purporting to act for or on behalf of, directly

Andrei Melnikov is the “Minister of Economic Development” of the so-called “Republic of Crimea.” Melnikov is being designated for b complicit in, or having engaged in, directly or indirectly, actions or policies that threaten the peace, security, stability, sovereignty, or ter and asserting governmental authority over any part or region of Ukraine without the authorization of the Government of Ukraine.

Oleg Kamshilov is the “Prosecutor” of the so-called “Republic of Crimea.” Kamshilov is being designated for being responsible for or engaged in, directly or indirectly, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukra governmental authority over any part or region of Ukraine without the authorization of the Government of Ukraine.

Aleksey Muratov is an official representative of the so-called “DPR” in Russia. He was involved in raising funds in Russia for relief aid but it is alleged that only a small portion of the funds reached the intended recipients. Muratov is being designated for being responsit having engaged in, directly or indirectly, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity purporting to act for or on behalf of, directly or indirectly, the DPR.

PMC Wagner is a private military company that has recruited and sent soldiers to fight alongside separatists in eastern Ukraine. PMC designated for being responsible for or complicit in, or having engaged in, directly or indirectly, actions or policies that threaten the peace, sovereignty, or territorial integrity of Ukraine.

Dmitriy Utkin is the founder and leader of PMC Wagner. Utkin is being designated for being responsible for or complicit in, or having indirectly, actions or policies that threaten the peace, stability, sovereignty, or territorial integrity of Ukraine; and for acting or purporting directly or indirectly, PMC Wagner.

Central Republic Bank is the central bank of the so-called "DPR" and is directed and coordinated by the Council of Ministers of the DPR throughout the territory of the self-proclaimed DPR and conducts the regular payment of pensions and benefits to residents of the territory. The bank is being designated for acting or purporting to act for or on behalf of, directly or indirectly, or being owned or controlled by, the DPR.

State Bank Luhansk People's Republic is the central bank of the so-called "LPR" and was established by the Council of Ministers of the LPR. It operates more than 110 branches within the territory of the so-called LPR. State Bank is being designated for acting or purporting to act for or on behalf of, directly or indirectly, or being owned or controlled by, the LPR.

TSMRBANK, OOO is a Russian bank that services clients in the so-called "DPR" and "LPR." The bank maintains close ties with the leadership of the DPR and LPR. TSMRBANK, OOO is being designated for having materially assisted, sponsored, or provided financial, material, or technological support to or in support of, the LPR and the DPR.

Sergey Nazarov is being designated for materially assisting, sponsoring, or providing financial, material, or technological support for, or support of, the DPR and LPR. He is the chairman of the Interagency Commission for Humanitarian Assistance in the Donbass Republic (the "Interagency Commission"), which is nominally dedicated to providing humanitarian assistance to the Donetsk and Luhansk regions but in actuality is controlled by the Russian government, through Nazarov and the Interagency Commission, in the Donetsk and Luhansk regions. In addition to his activities in Donetsk and Luhansk, Nazarov has also worked to encourage economic activity in the transportation, trade, energy, tax, and financial sectors. The Russian government, through Nazarov and the Interagency Commission, exercises control in the Donetsk and Luhansk regions. In addition to his activities in Donetsk and Luhansk, Nazarov has also worked to encourage economic activity in Crimea. Nazarov, the Deputy Minister of Economic Development of the Russian Federation, is also being designated pursuant to E.O. 13685. Nazarov is being designated pursuant to E.O. 13685 as a member of the Government of the Russian Federation.

Wolf Holding of Security Structures is an organization owned by the previously-designated Night Wolves motorcycle club. The company provides training and tactical military courses to foreign military, law enforcement, and Russian-speaking compatriots from European and Asian States. Wolf Holding of Security Structures is being designated for having materially assisted, sponsored, or provided financial, material, or technological support for, or support of, the Night Wolves.

Gennadii Anatolievich Nikulov is the President of **Wolf Holding of Security Structures**. Gennadii Nikulov is being designated for acting or purporting to act for or on behalf of, directly or indirectly, Wolf Holding of Security Structures.

Denis Yuryevich Ryauzov is the Combat Trainer Leader for **Wolf Holding of Security Structures**. Denis Ryauzov is being designated for having materially assisted, sponsored, or provided financial, material, or technological support for, or goods or services to or in support of, and for acting on behalf of, directly or indirectly, Wolf Holding of Security Structures.

Bike Center, a business located in Moscow, is being designated for being owned or controlled by the previously-designated leader of the Night Wolves, Zaldostanov.

Designations Related to Russia's Purported Annexation of Crimea

OFAC also designated 11 individuals and entities pursuant to E.O. 13685. E.O. 13685 authorizes sanctions on any person determined to be a leader of an entity operating in the Crimea region of Ukraine, to be a leader of an entity operating in the Crimea region of Ukraine, or to be owned or controlled by, or to have provided support for, any person designated under this E.O.

IFD Kapital (IFDK) is a diversified holding company with investments in several sectors of the Russian economy, and owns a hotel complex, Riviera Sunrise Resort & Spa, which is also being designated today. IFDK claimed control of the hotel complex after its previous management in the Crimea region due to U.S. sanctions. IFDK is being designated for operating in the Crimea region of Ukraine.

Riviera Sunrise Resort & Spa is a hotel complex operating in Alushta, Crimea. Riviera Sunrise Resort & Spa is being designated for operating in the Crimea region of Ukraine, and for being owned or controlled by IFDK.

Olga Plaksina is the Chairperson of IFDK and is responsible for certain aspects of Crimean development. Plaksina is being designated and acting or purporting to act for or on behalf of, directly or indirectly, IFDK.

KPSK, OOO is an insurance carrier located in Simferopol, Crimea. The company, one of Russia's top corporate property insurers, is being constructed across the Kerch Strait connecting Crimea to Russia. KPSK, OOO is being designated for operating in the Crimea region of Ukraine.

Oboronlogistika, OOO is the Russian Defense Ministry's sole executor for the procurement of goods, works, and services for maritime and freight on the territory of the so-called Republic of Crimea. Oboronlogistika, OOO owns maritime vessels operating in and around the Black Sea. Oboronlogistika, OOO is being designated for operating in the Crimea region of Ukraine.

OFAC also designated the following six banks for operating in Crimea: **Taatta, AO; Joint Stock Company Black Sea Bank of Development; Joint Stock Commercial Bank Rublev; Joint Stock Company Commercial Bank North Credit; IS Bank, AO; and**

Designations Related to Officials of the Russian Government and Sanctions Evasion

Today's action also targets six individuals and entities pursuant to E.O. 13661, which authorizes sanctions on, among others, any individual or controlled by, or that has provided material or other support to, persons operating in the arms or related material sector in the Russian Federation of the Government of the Russian Federation.

Molot-Oruzhie, OOO manufactures ordnance and accessories and is located in the Russian Federation. In 2016, previously-designated advised a foreign company to use Molot-Oruzhie, OOO to falsify invoices in order to circumvent U.S. and EU sanctions. Molot-Oruzhie is operating in the arms or related material sector of the Russian Federation and for acting or purporting to act for or on behalf of, directly or indirectly, Concern.

Limited Liability Company Concord Management and Consulting and Concord Catering are being designated for being owned or controlled by Prigozhin, who OFAC designated in December 2016.

Alexander Babakov is the Russian Federation's Special Presidential Representative for Cooperation with Organizations representing the Russian Federation. Babakov was sanctioned in 2014 by the EU, which noted that he voted "yes" on a Russian bill for the annexation of Crimea. Alexander Babakov is designated as an official of the Government of the Russian Federation.

Aleksandr Vorobev is Alexander Babakov's Chief of Staff. Aleksandr Vorobev is being designated for acting or purporting to act for or on behalf of, directly or indirectly, Alexander Babakov.

Mikhail Plisyuk is a staffer to Alexander Babakov. Mikhail Plisyuk is being designated for acting or purporting to act for or on behalf of, directly or indirectly, Alexander Babakov.

Identifications Under the Sectoral Sanctions Identification List (E.O. 13662)

Today, OFAC also identified a number of subsidiaries owned 50 percent or more by Transneft, which was made subject to the Sectoral Sanctions Identification List on September 12, 2014 pursuant to E.O. 13662. Transneft and its 50 percent or more subsidiaries are subject to Directive 2, which prohibits dealing in new debt of greater than 90 days maturity of sanctioned entities.

The subsidiaries identified today were already subject to the same restrictions as their parent per OFAC's Revised Guidance on Entities Whose Property and Interests in Property Are Blocked, which can be found here. These identifications will help the public more effectively enforce sanctions on Transneft.

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U.S. DEPARTMENT OF THE TREASURY

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Treasury Sanctions Additional Individuals and Entities in Connection with the Conflict in Ukraine and Russia's Occupation of Crimea

JANUARY 26, 2018

WASHINGTON –The U.S. Department of the Treasury's Office of Foreign Assets Control (OFAC) today designated 21 individuals and 9 entities under four Executive Orders (E.O.s) related to Russia and Ukraine, including three individuals and two entities related to Russia's transfer of four turbines made by a Russian-German joint venture to Crimea. Today's

FEBRUARY 26, 2018

[Treasury Sanctions International Network Smuggling Oil from Libya to Europe](#)

FEBRUARY 23, 2018

[Treasury Announces Largest North Korean Sanctions Package Targeting 56 Shipping and Trading Companies and Vessels to Further Isolate Rogue Regime](#)

FEBRUARY 22, 2018

[Readout from a Treasury Spokesperson on Secretary Mnuchin's Meeting with Prime Minister Malcolm Turnbull of Australia](#)

U.S. DEPARTMENT OF THE TREASURY

on Russia until it fully implements its commitments under the Minsk agreements. This action underscores the U.S. government's opposition to Russia's occupation of Crimea and firm refusal to recognize its attempted annexation of the peninsula. These sanctions follow the European Union's recent extension of sanctions and reinforce our continued unity in supporting Ukraine's sovereignty and territorial integrity.

As a result of today's action, any property or interests in property of the designated persons in the possession or control of U.S. persons or within the United States must be blocked. Additionally, transactions by U.S. persons involving these persons are generally prohibited.

"The U.S. government is committed to maintaining the sovereignty and territorial integrity of Ukraine and to targeting those who attempt to undermine the Minsk agreements," said Treasury Secretary Steven T. Mnuchin. "Those who provide goods, services, or material support to individuals and entities sanctioned by the United States for their activities in Ukraine are engaging in behavior that could expose them to U.S. sanctions."

Today, OFAC also identified 12 subsidiaries that are owned 50 percent or more by previously sanctioned Russian companies to

report to the
President On Orderly
Liquidation Authority

FEBRUARY 15, 2018

Counter ISIS Finance
Group Leaders Issue
Joint Statement

U.S. DEPARTMENT OF THE TREASURY

DESIGNATIONS OF UKRAINIAN SEPARATISTS AND FOR MISAPPROPRIATION OF UKRAINIAN STATE ASSETS (E.O. 13660)

Today's action targets 11 Ukrainian separatists pursuant to E.O. 13660 for being responsible for or complicit in, or having engaged in, directly or indirectly, actions or policies that threaten the peace, security, stability, sovereignty, or territorial integrity of Ukraine; or for asserting governmental authority over a part or region of Ukraine without the authorization of the Government of Ukraine.

This action is part of an ongoing effort to hold accountable those responsible for violations of Ukraine's sovereignty and territorial integrity.

Igor Antipov is the "Minister of Information" of the so-called "Donetsk People's Republic (DPR)." Antipov is being designated for acting or purporting to act for or on behalf of, directly or indirectly, the so-called DPR.

Aleksey Granovsky is the "Minister of Industry and Trade" of the so-called DPR. Granovsky is being designated for having asserted governmental authority over any part or region of Ukraine without the authorization of the Government of Ukraine and for acting or purporting to act for or on behalf of, directly or indirectly, the so-called

U.S. DEPARTMENT OF THE TREASURY

Finance” of the so-called DPR.

Matyushchenko is being designated for having asserted governmental authority over any part or region of Ukraine without the authorization of the Government of Ukraine and for acting or purporting to act for or on behalf of, directly or indirectly, the so-called DPR.

Natalya Nikonorova is the “Minister of Foreign Affairs” of the so-called DPR.

Nikonorova is being designated for having asserted governmental authority over any part or region of Ukraine without the authorization of the Government of Ukraine and for acting or purporting to act for or on behalf of, directly or indirectly, the so-called DPR.

Vladimir Pavlenko is the “Minister of State Security” of the so-called DPR. Pavlenko is being designated for acting or purporting to act for or on behalf of, directly or indirectly, the so-called DPR.

Elena Radomskaya is the “Minister of Justice” of the so-called DPR. Radomskaya is being designated for having asserted governmental authority over any part or region of Ukraine without the authorization of the Government of Ukraine and for acting or purporting to act for or on behalf of, directly or indirectly, the so-called DPR.

U.S. DEPARTMENT OF THE TREASURY

Timoreev is being designated for acting or purporting to act for or on behalf of, directly or indirectly, the so-called DPR.

Elena Kostenko is the “Acting Economic Development Minister” of the so-called “Luhansk People’s Republic (LPR).” Kostenko is being designated for having asserted governmental authority over any part or region of Ukraine without the authorization of the Government of Ukraine.

Svetlana Malakhova is the “Minister of Labor and Social Policy” of the so-called LPR. Malakhova is being designated for having asserted governmental authority over any part or region of Ukraine without the authorization of the Government of Ukraine.

Pavel Malgin is the “Acting Minister of Fuel, Energy, and Coal Industry” of the so-called LPR. Malgin is being designated for having asserted governmental authority over any part or region of Ukraine without the authorization of the Government of Ukraine.

Dmitry Ovsyannikov was purportedly elected “Governor of Sevastopol” in an election organized by Russia. He was previously appointed by President Putin as the so-called “Acting Governor of the City of Sevastopol.” Ovsyannikov is being designated for being responsible for or complicit in, or having engaged in, directly or indirectly, actions or

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Ukraine.

OFAC is also designating pursuant to E.O. 13660 three individuals and four entities who have supported the illicit coal trade from the so-called DPR or LPR. In the spring of 2017, the so-called DPR and LPR put Ukrainian enterprises, including coal mines, located on their territory under the control of ZAO Vneshtorgservis, a company based in the unrecognized territory of South Ossetia. ZAO Vneshtorgservis has worked with the company Gaz-Alyans, OOO, which is controlled by Sergey Kurchenko, to export coal from the separatist-controlled regions to Europe. In addition, the brothers Oleksandr and Serhiy Melnychuk have used several companies, including Doncoaltrade Sp. Z O O and Ugolnye Tekhnologii, OOO, to export coal from the so-called DPR and LPR to Russia and other countries.

ZAO Vneshtorgservis is being designated for having acted or purported to act for or on behalf or, directly or indirectly, the so-called DPR and the so-called LPR.

Vladimir Pashkov is the general director of ZAO Vneshtorgservis. Pashkov is being designated for having acted or purported to act for or on behalf of, directly or indirectly, the so-called DPR and the so-called LPR.

Gaz-Alyans, OOO is being designated for

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support for, or goods or services to or in support of, ZAO Vneshtorgservis, the so-called DPR, and the so-called LPR.

Oleksandr Melnychuk is being designated for having materially assisted, sponsored, or provided financial, material, technological support for, or goods or services to or in support of, the so-called LPR.

Doncoaltrade Sp. Z O O is being designated for being owned or controlled by Oleksandr Melnychuk.

Serhiy Melnychuk is being designated for having materially assisted, sponsored, or provided financial, material, technological support for, or goods or services to or in support of, the so-called LPR.

Ugolnye Tekhnologii, OOO is being designated for having materially assisted, sponsored, or provided financial, material, technological support for, or goods or services to or in support of, the so-called LPR and DPR.

DESIGNATIONS REGARDING RUSSIAN OFFICIALS AND THEIR SUPPORTERS (E.O. 13661)

Today's action targets four individuals and two entities pursuant to E.O. 13661, which authorizes sanctions against, among others, officials of the Government of the Russian Federation as well as any individual or entity that has acted for or on behalf of, or

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Andrey Cherezov is the Russian Deputy Minister of Energy in the Department of Operational Control and Management in the Electric Power Industry. Cherezov was designated by the EU for the delivery of turbines to annexed Crimea on August 4, 2017. OFAC is designating Cherezov for being an official of the Government of the Russian Federation.

Evgeniy Grabchak is the Head of the Department for the Russian Energy Ministry's Department of Operational Control and Management in the Electric Power Industry. He is responsible within the Russian Ministry of Energy for the development of electro-energetic projects in Crimea. Grabchak was designated by the EU for the delivery of turbines to annexed Crimea on August 4, 2017. OFAC is designating Grabchak for being an official of the Government of the Russian Federation.

Aleksandr Pentya is a Vice President with ABR Management, which was designated pursuant to E.O. 13661 in September 2016 for acting for on behalf of Bank Rossiya. Pentya is being designated for acting or purporting to act for or on behalf of, directly or indirectly, ABR Management.

Evro Polis Ltd. is a Russian company that has contracted with the Government of Syria to

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THE FIELDS. ENVO POLIS LTD. IS BEING DESIGNATED for being owned or controlled by Yevgeniy Prigozhin, who was designated pursuant to E.O. 13661 in December 2016 for providing support to senior officials of the Russian Federation.

In late 2017, **Bogdan Kolosov**, who is the Department Manager for Customer Service at the Russian-based transportation services company **Instar Logistics**, coordinated a shipment of weapons with previously designated Kalashnikov Concern International Business Development Director Vakhtang Karamyan. Instar Logistics is being designated for acting or purporting to act for or on behalf of, directly or indirectly, Kalashnikov Concern. Kolosov is being designated for materially assisting, sponsoring, or providing financial, material or technological support for, or goods or services to or in support of, Kalashnikov Concern.

DESIGNATIONS REGARDING CRIMEA (E.O. 13685)

Today's action targets one construction entity and two associated individuals pursuant to E.O. 13685, which authorizes sanctions against, among others, any person determined to operate in the Crimea region of Ukraine.

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construction of the Tavrída Highway in Crimea. This federal highway is expected to provide transportation access and economic development to Crimea through a system of newly constructed roadways that serve as primary connection to the Kerch Bridge and to cities in Crimea. VAD, AO's largest government contract is for the construction of the Tavrída Highway. VAD, AO and the so-called "Republic of Crimea" signed a contract for the highway's construction and the projected cost is about \$2.92 billion. VAD, AO is being designated because it operates in the Crimea region of Ukraine.

Valeri Abramov is the co-founder and General Director of VAD, AO. Abramov is being designated for having acted or purported to act for or on behalf of, directly or indirectly, VAD, AO.

Viktor Perevalov is the co-founder and First Deputy General Director of VAD, AO. Perevalov is being designated for having acted or purported to act for or on behalf of, directly or indirectly, VAD, AO.

OFAC is also designating one individual and two entities related to Russia's transfer of four turbines made by a Russian-German joint venture to Crimea. In July 2017, four turbines produced by a joint venture between a German company and PJSC Power Machines were transferred to Crimea. The transfer

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and repeated assurances that no such transfer would take place. If successfully installed, these turbines will further support Russia's attempted annexation of Crimea by providing an independent power supply to Crimea and Sevastopol. Four of the individuals and entities involved in the transfer were designated by the EU in August 2017.

Limited Liability Company Foreign Economic Association Technopromexport (Technopromexport LLC) is one of the entities responsible for the transfer of the turbines to Crimea. Technopromexport LLC is being designated for operating in Crimea.

Sergey Topor-Gilka is the General Director of Technopromexport LLC and OAO Technopromexport, which was designated in December 2015 pursuant to E.O. 13685 for operating in Crimea. Topor-Gilka is being designated for having acted or purported to act for or on behalf of, directly or indirectly, Technopromexport LLC and OAO Technopromexport.

PJSC Power Machines is the Russian co-owner of the joint venture that produced the turbines, and its director has publicly stated his support for Crimean infrastructure projects. Power Machines is being designated for having materially assisted, sponsored, or provided financial, material, technological, or other support for, or goods or services to or in

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IDENTIFICATIONS UNDER THE SECTORAL SANCTIONS IDENTIFICATION LIST (E.O. 13662)

Today, OFAC also identified 12 subsidiaries of Surgutneftegaz as being 50 percent or more owned by Surgutneftegaz, which was added to the Sectoral Sanctions Identification List (SSI List) in September 2014 under Directive 4.

The subsidiaries identified today were already subject to the same restrictions as their parent entity per OFAC's Revised Guidance on Entities Owned by Persons Whose Property and Interests in Property Are Blocked ("50 percent rule guidance"), which can be found here [\[link\]](#). These identifications will help the public more effectively comply with the sanctions on Surgutneftegaz.

[Identifying information on the individuals and entities sanctioned today.](#)

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Tobacco Tax
and Trade (TTB)

Bureau of
Engraving and
Printing (BEP)

SITES

Office of
Inspector
General (OIG)

SHARED

Enterprise
Business
Solutions

**ADDITIONAL
RESOURCES**

**OTHER
GOVERNMENT
SITES**

Bates No. 2018-08-116: 009092
Pending Consultation with
Another Component of
Treasury

TRANSMASHHOLDING JSC**Contact information**

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Fax number +7 495 7447094
Website address tmholding.ru
E-mail address info@tmholding.ru

Latitude longitude 55° 46' 55.0" N 37° 35' 13.7" E
Federal district Central federal region
Federal city Moscow

Identification numbers

Identifier		Type
BvD ID number	RU58519161	
BvD account number	RU58519161U	
OGRN	1027739893246	Trade register number
INN	7723199790	VAT/Tax number
OKPO	58519161	Statistical number

Legal & account information

Also known as	ZAO TRANSMASHKHOLDING		
Status	Active		
National legal form	Closed joint stock company - ZAO	Last available year	2016 (in Orbis since 04/2017)
Date of incorporation	15/04/2002	No. of available years	10
Type of entity	Corporate	Account published in	RUB
Information provider	CredInform	Available accounts	Unconsolidated
		Filing type	Local registry filing
		Accounting template	Industrial company

Size & group information

BvD major sector	Wholesale & retail trade		
Category of the company	Very large company		
Operating revenue (2016)	990,959,002 USD	BvD Independence Indicator	B-
P/L for period (2016)	17,792,616 USD	No of companies in corporate group	48
Total assets (2016)	719,069,584 USD	No of recorded shareholders	1
Number of employees (2016)	265	No of recorded subsidiaries	60
		No of recorded branches	1

Industry & activities

Type of entity	Corporate
BvD major sector	Wholesale & retail trade
NACE Rev. 2 main section	G - Wholesale and retail trade; repair of motor vehicles and motorcycles

Trade description

Design, production, supply of railway equipment in Russia.

Trade description in original language (Russian)

Проектирование, производство, поставки железнодорожной техники в России.

Products and services

Management and administration of its subsidiaries and affiliates (provides group planning and strategy, exercises financial control over its subsidiaries, settles their general policy, appoints their top managements and provides central services) [source: Bureau van Dijk]

Industry classification codes

OKVED 2014 code(s)

Primary code(s):

461000 - Wholesale on a fee or contract basis

Secondary code(s):

302000 - Manufacture of railway locomotives and rolling stock

461900 - Agents involved in the sale of a variety of goods

649910 - Investment in securities

701010 - Financial industrial group management activities

701020 - Holding companies management activities

702200 - Business and management consultancy activities

NACE Rev. 2 code(s) { derived from OKVED 2014 codes }

Core code:

4610 - Wholesale on a fee or contract basis

Primary code(s):

4610 - Wholesale on a fee or contract basis

Secondary code(s):

3020 - Manufacture of railway locomotives and rolling stock

4619 - Agents involved in the sale of a variety of goods

6499 - Other financial service activities, except insurance and pension funding nec

7010 - Activities of head offices

7022 - Business and other management consultancy activities

NAICS 2017 code(s) { derived from OKVED 2014 codes }

Core code:

42 - Wholesale Trade

Primary code(s):

42 - Wholesale Trade

Secondary code(s):

336510 - Railroad Rolling Stock Manufacturing

424990 - Other Miscellaneous Nondurable Goods Merchant Wholesalers

425120 - Wholesale Trade Agents and Brokers

523999 - Miscellaneous Financial Investment Activities

541618 - Other Management Consulting Services

551111 - Offices of Bank Holding Companies

551112 - Offices of Other Holding Companies

US SIC code(s) { derived from OKVED 2014 codes }

Core code:

510 - Wholesale trade, nondurable goods

Primary code(s):

5100 - Wholesale trade, nondurable goods

Secondary code(s):

3743 - Railroad equipment

5199 - Nondurable goods, not elsewhere classified

6159 - Miscellaneous business credit institutions

6712 - Offices of bank holding companies

6719 - Offices of holding companies, not elsewhere classified

8742 - Management consulting services

8748 - Business consulting services, not elsewhere classified

Full overview

It is a holding company primarily engaged in the management and administration of its subsidiaries and affiliates. The company was incorporated in April of 2002. It has a registered office located in Moscow, Russian Federation.

As a holding company, it provides group planning and strategy. In particular, the company also exercises financial control over its subsidiaries, settles their general policy and appoints their top managements, as well as provides central services. It is a holding company that owns enough voting stock in another company to control management and operations by influencing or electing its board of directors.

One of the company's subsidiaries is Intelpro TMKH, engaged in scientific research and development services. Intelpro TMKH is involved in conducting original investigation undertaken on a systematic basis to gain new knowledge (research) and/or the application of research findings and other scientific knowledge for the creation of new or significantly improved products and processes (experimental development).

Overview in several parts

Primary business line

Holding company primarily engaged in the management and administration of its subsidiaries and affiliates

Main activity

Services

Main products and services

Management and administration of its subsidiaries and affiliates (provides group planning and strategy, exercises financial control over its subsidiaries, settles their general policy, appoints their top managements and provides central services)

Main domestic country

Russian Federation

Global standard format

Local registry filing/Unconsolidated	31/12/2016 th USD	31/12/2015 th USD	31/12/2014 th USD	31/12/2013 th USD
	12 months Local GAAP	12 months Local GAAP	12 months Local GAAP	12 months Local GAAP
Exchange rate: RUB/USD	0.01649	0.01372	0.01778	0.03055

Balance sheet

Assets

Fixed assets	503,124	441,055	567,745	807,732
Intangible fixed assets	0	0	1	5
Tangible fixed assets	5,960	4,856	5,074	2,150
Other fixed assets	497,164	436,198	562,670	805,577
Current assets	215,946	166,136	264,070	473,419
Stock	176	114	555	1,375
Debtors	138,248	117,161	182,555	214,879
Other current assets	77,522	48,861	80,960	257,165
Cash & cash equivalent	40,389	16,923	35,256	15,244
TOTAL ASSETS	719,070	607,190	831,815	1,281,152

Liabilities & Equity

Shareholders funds	476,290	394,892	493,676	700,390
Capital	18,386	15,302	19,823	34,074
Other shareholders funds	457,904	379,590	473,853	666,316
Non-current liabilities	7	0	4	58,337
Long term debt	0	0	0	58,330
Other non-current liabilities	7	0	4	7
Provisions	0	0	0	0

Local registry filing/Unconsolidated	31/12/2012 th USD	31/12/2011 th USD	31/12/2010 th USD	31/12/2009 th USD
Exchange rate: RUB/USD	12 months Local GAAP 0.03292	12 months Local GAAP 0.03106	12 months Local GAAP 0.03281	12 months Local GAAP 0.03306
Balance sheet				
Assets				
Fixed assets	800,682	793,191	851,539	552,343
Intangible fixed assets	6	1	1	1
Tangible fixed assets	2,427	1,076	1,305	1,109
Other fixed assets	798,249	792,114	850,233	551,233
Current assets	734,080	709,189	683,471	557,034
Stock	9,114	9,189	11,718	6,223
Debtors	334,749	268,926	137,461	100,047
Other current assets	390,217	431,074	534,292	450,763
Cash & cash equivalent	15,366	1,267	135,708	14,618
TOTAL ASSETS	1,534,762	1,502,380	1,535,010	1,109,377
Liabilities & Equity				
Shareholders funds	711,410	676,592	639,253	454,735
Capital	36,718	34,638	36,592	36,874
Other shareholders funds	674,693	641,954	602,661	417,861
Non-current liabilities	163,593	248,484	8	79,313
Long term debt	163,585	248,477	0	79,305
Other non-current liabilities	8	7	8	8
Provisions	0	0	n.a.	n.a.

Local registry filing/Unconsolidated	31/12/2008 th USD	31/12/2007 th USD
Exchange rate: RUB/USD	12 months Local GAAP 0.03404	12 months Local GAAP 0.04074
Balance sheet		
Assets		
Fixed assets	590,177	620,614
Intangible fixed assets	1	1
Tangible fixed assets	747	1,113
Other fixed assets	589,430	619,501
Current assets	520,834	272,502
Stock	1,085	2,888
Debtors	198,368	112,834
Other current assets	321,381	156,780
Cash & cash equivalent	2,446	476
TOTAL ASSETS	1,111,011	893,116
Liabilities & Equity		
Shareholders funds	438,142	76,367
Capital	37,958	34,075
Other shareholders funds	400,184	42,292
Non-current liabilities	192,597	252,748
Long term debt	192,588	249,938
Other non-current liabilities	9	2,810
Provisions	n.a.	n.a.

Local registry filing/Unconsolidated	31/12/2016 th USD	31/12/2015 th USD	31/12/2014 th USD	31/12/2013 th USD
	12 months Local GAAP	12 months Local GAAP	12 months Local GAAP	12 months Local GAAP
Exchange rate: RUB/USD	0.01649	0.01372	0.01778	0.03055
Current liabilities	242,773	212,298	338,135	522,425
Loans	119,455	73,453	109,810	180,679
Creditors	118,538	132,865	223,488	336,103
Other current liabilities	4,780	5,980	4,837	5,643
TOTAL SHAREH. FUNDS & LIAB.	719,070	607,190	831,815	1,281,152

Memo lines

Working capital	19,886	-15,590	-40,378	-119,849
Net current assets	-26,827	-46,163	-74,065	-49,005
Enterprise value	n.a.	n.a.	n.a.	n.a.
Number of employees	265	291	311	337

Local registry filing/Unconsolidated	31/12/2016 th USD	31/12/2015 th USD	31/12/2014 th USD	31/12/2013 th USD
	12 months Local GAAP	12 months Local GAAP	12 months Local GAAP	12 months Local GAAP
Exchange rate: RUB/USD	0.01649	0.01372	0.01778	0.03055

Profit & loss account

Operating revenue (Turnover)	990,959	756,377	1,323,738	2,444,214
Sales	n.a.	n.a.	n.a.	n.a.
Costs of goods sold	927,906	713,330	1,229,822	2,324,056
Gross profit	63,053	43,047	93,916	120,157
Other operating expenses	28,480	22,577	27,611	45,702
Operating P/L [=EBIT]	34,573	20,470	66,305	74,455
Financial revenue	56,692	14,319	193,315	200,648
Financial expenses	9,882	11,072	14,253	28,505
Financial P/L	46,810	3,247	179,062	172,143
P/L before tax	81,383	23,717	245,367	246,598
Taxation	-5,371	3,425	12,995	13,323
P/L after tax	86,754	20,292	232,372	233,276
Extr. and other revenue	932	4,644	11,529	3,867
Extr. and other expenses	69,894	11,101	22,151	3,119
Extr. and other P/L	-68,962	-6,457	-10,622	748
P/L for period [=Net income]	17,793	13,835	221,750	234,024

Memo lines

Export revenue	n.a.	n.a.	n.a.	n.a.
Material costs	n.a.	n.a.	n.a.	n.a.
Costs of employees	n.a.	n.a.	n.a.	n.a.
Depreciation & Amortization	n.a.	n.a.	n.a.	n.a.
Other operating items	28,480	22,577	27,611	45,702
Interest paid	9,882	11,072	14,253	28,505
Research & Development expenses	n.a.	n.a.	n.a.	n.a.
Cash flow	n.a.	n.a.	n.a.	n.a.
Added value	n.a.	n.a.	n.a.	n.a.
EBITDA	n.a.	n.a.	n.a.	n.a.

Local registry filing/Unconsolidated	31/12/2012 th USD	31/12/2011 th USD	31/12/2010 th USD	31/12/2009 th USD
	12 months Local GAAP	12 months Local GAAP	12 months Local GAAP	12 months Local GAAP
Exchange rate: RUB/USD	0.03292	0.03106	0.03281	0.03306
Current liabilities	659,759	577,303	895,750	575,330
Loans	184,794	201,300	525,174	165,532
Creditors	468,788	375,292	299,194	338,996
Other current liabilities	6,177	711	71,382	70,802
TOTAL SHAREH. FUNDS & LIAB.	1,534,762	1,502,380	1,535,010	1,109,377

Memo lines

Working capital	-124,925	-97,177	-150,016	-232,725
Net current assets	74,321	131,886	-212,279	-18,296
Enterprise value	n.a.	n.a.	n.a.	n.a.
Number of employees	339	297	252	254

Local registry filing/Unconsolidated	31/12/2012 th USD	31/12/2011 th USD	31/12/2010 th USD	31/12/2009 th USD
	12 months Local GAAP	12 months Local GAAP	12 months Local GAAP	12 months Local GAAP
Exchange rate: RUB/USD	0.03292	0.03106	0.03281	0.03306

Profit & loss account

Operating revenue (Turnover)	2,238,330	2,042,943	1,662,779	1,542,087
Sales	n.a.	n.a.	n.a.	n.a.
Costs of goods sold	2,127,355	1,891,515	1,558,671	1,485,733
Gross profit	110,975	151,428	104,108	56,354
Other operating expenses	49,319	32,886	27,317	21,682
Operating P/L [=EBIT]	61,656	118,543	76,791	34,672
Financial revenue	124,099	59,305	33,901	26,489
Financial expenses	27,200	29,378	36,642	14,742
Financial P/L	96,899	29,927	-2,741	11,746
P/L before tax	158,554	148,470	74,050	46,418
Taxation	11,025	21,591	14,808	7,165
P/L after tax	147,529	126,879	59,243	39,252
Extr. and other revenue	1,054	900	153,464	49,293
Extr. and other expenses	7,650	9,544	24,717	61,837
Extr. and other P/L	-6,596	-8,644	128,747	-12,544
P/L for period [=Net income]	140,933	118,235	187,991	26,709

Memo lines

Export revenue	n.a.	n.a.	n.a.	n.a.
Material costs	n.a.	n.a.	n.a.	n.a.
Costs of employees	n.a.	n.a.	n.a.	n.a.
Depreciation & Amortization	n.a.	n.a.	n.a.	n.a.
Other operating items	49,319	32,886	n.a.	n.a.
Interest paid	27,200	29,378	36,642	14,742
Research & Development expenses	n.a.	n.a.	n.a.	n.a.
Cash flow	n.a.	n.a.	n.a.	n.a.
Added value	n.a.	n.a.	n.a.	n.a.
EBITDA	n.a.	n.a.	n.a.	n.a.

Local registry filing/Unconsolidated	31/12/2008 th USD	31/12/2007 th USD
	12 months Local GAAP	12 months Local GAAP
Exchange rate: RUB/USD	0.03404	0.04074
Current liabilities	480,272	564,001
Loans	30,268	17
Creditors	434,244	303,064
Other current liabilities	15,760	260,920
TOTAL SHAREH. FUNDS & LIAB.	1,111,011	893,116

Memo lines

Working capital	-234,791	-187,342
Net current assets	40,562	-291,499
Enterprise value	n.a.	n.a.
Number of employees	303	337

Local registry filing/Unconsolidated	31/12/2008 th USD	31/12/2007 th USD
	12 months Local GAAP	12 months Local GAAP
Exchange rate: RUB/USD	0.03404	0.04074

Profit & loss account

Operating revenue (Turnover)	2,105,887	1,841,241
Sales	n.a.	n.a.
Costs of goods sold	1,977,976	1,760,294
Gross profit	127,911	80,947
Other operating expenses	42,828	34,522
Operating P/L [=EBIT]	85,083	46,426
Financial revenue	22,483	26,820
Financial expenses	17,252	30,751
Financial P/L	5,230	-3,931
P/L before tax	90,313	42,494
Taxation	20,160	10,870
P/L after tax	70,154	31,624
Extr. and other revenue	11,502	126,641
Extr. and other expenses	24,177	147,040
Extr. and other P/L	-12,676	-20,400
P/L for period [=Net income]	57,478	11,225

Memo lines

Export revenue	n.a.	n.a.
Material costs	n.a.	n.a.
Costs of employees	n.a.	n.a.
Depreciation & Amortization	n.a.	n.a.
Other operating items	n.a.	n.a.
Interest paid	17,252	30,751
Research & Development expenses	n.a.	n.a.
Cash flow	n.a.	n.a.
Added value	n.a.	n.a.
EBITDA	n.a.	n.a.

Global ratios				
Local registry filing/Unconsolidated	31/12/2016 th USD	31/12/2015 th USD	31/12/2014 th USD	31/12/2013 th USD
	12 months Local GAAP	12 months Local GAAP	12 months Local GAAP	12 months Local GAAP
Exchange rate: RUB/USD	0.01649	0.01372	0.01778	0.03055
Profitability ratios				
ROE using P/L before tax (%)	17.09	6.01	49.70	35.21
ROCE using P/L before tax (%)	19.16	8.81	52.59	36.26
ROA using P/L before tax (%)	11.32	3.91	29.50	19.25
ROE using Net income (%)	3.74	3.50	44.92	33.41
ROCE using Net income (%)	5.81	6.31	47.81	34.60
ROA using Net income (%)	2.47	2.28	26.66	18.27
Profit margin (%)	8.21	3.14	18.54	10.09
Gross margin (%)	6.36	5.69	7.10	4.92
EBITDA margin (%)	n.a.	n.a.	n.a.	n.a.
EBIT margin (%)	3.49	2.71	5.01	3.05
Cash flow / Operating revenue (%)	n.a.	n.a.	n.a.	n.a.
Enterprise value / EBITDA (x)	n.a.	n.a.	n.a.	n.a.
Market cap / Cash flow from operations (x)	n.a.	n.a.	n.a.	n.a.
Operational ratios				
Net assets turnover (x)	2.08	1.92	2.68	3.22
Interest cover (x)	3.50	1.85	4.65	2.61
Stock turnover (x)	n.s.	n.s.	n.s.	n.s.
Collection period (days)	50	56	50	32
Credit period (days)	43	63	61	50
Export revenue / Operating revenue (%)	n.a.	n.a.	n.a.	n.a.
R&D expenses / Operating revenue (%)	n.a.	n.a.	n.a.	n.a.
Structure ratios				
Current ratio (x)	0.89	0.78	0.78	0.91
Liquidity ratio (x)	0.89	0.78	0.78	0.90
Shareholders liquidity ratio (x)	n.s.	n.s.	n.s.	12.01
Solvency ratio (Asset based) (%)	66.24	65.04	59.35	54.67
Solvency ratio (Liability based) (%)	n.s.	n.s.	n.s.	n.s.
Gearing (%)	25.08	18.60	22.24	34.13
Per employee ratios				
Profit per employee (th USD)	307	82	789	732
Operating revenue per employee (th USD)	3,739	2,599	4,256	7,253
Costs of employees / Operating revenue (%)	n.a.	n.a.	n.a.	n.a.
Average cost of employee (th USD)	n.a.	n.a.	n.a.	n.a.
Shareholders funds per employee (th USD)	1,797	1,357	1,587	2,078
Working capital per employee (th USD)	75	-54	n.s.	n.s.
Total assets per employee (th USD)	2,713	2,087	2,675	3,802

Local registry filing/Unconsolidated	31/12/2012 th USD	31/12/2011 th USD	31/12/2010 th USD	31/12/2009 th USD
	12 months Local GAAP 0.03292	12 months Local GAAP 0.03106	12 months Local GAAP 0.03281	12 months Local GAAP 0.03306
Exchange rate: RUB/USD				
Profitability ratios				
ROE using P/L before tax (%)	22.29	21.94	11.58	10.21
ROCE using P/L before tax (%)	21.23	19.23	17.32	11.45
ROA using P/L before tax (%)	10.33	9.88	4.82	4.18
ROE using Net income (%)	19.81	17.48	29.41	5.87
ROCE using Net income (%)	19.22	15.96	35.14	7.76
ROA using Net income (%)	9.18	7.87	12.25	2.41
Profit margin (%)	7.08	7.27	4.45	3.01
Gross margin (%)	4.96	7.41	6.26	3.65
EBITDA margin (%)	n.a.	n.a.	n.a.	n.a.
EBIT margin (%)	2.76	5.80	4.62	2.25
Cash flow / Operating revenue (%)	n.a.	n.a.	n.a.	n.a.
Enterprise value / EBITDA (x)	n.a.	n.a.	n.a.	n.a.
Market cap / Cash flow from operations (x)	n.a.	n.a.	n.a.	n.a.
Operational ratios				
Net assets turnover (x)	2.56	2.21	2.60	2.89
Interest cover (x)	2.27	4.04	2.10	2.35
Stock turnover (x)	245.60	222.33	141.91	247.79
Collection period (days)	54	47	30	23
Credit period (days)	75	66	65	79
Export revenue / Operating revenue (%)	n.a.	n.a.	n.a.	n.a.
R&D expenses / Operating revenue (%)	n.a.	n.a.	n.a.	n.a.
Structure ratios				
Current ratio (x)	1.11	1.23	0.76	0.97
Liquidity ratio (x)	1.10	1.21	0.75	0.96
Shareholders liquidity ratio (x)	4.35	2.72	n.s.	5.73
Solvency ratio (Asset based) (%)	46.35	45.04	41.65	40.99
Solvency ratio (Liability based) (%)	86.40	81.93	71.36	69.46
Gearing (%)	48.97	66.48	82.16	53.84
Per employee ratios				
Profit per employee (th USD)	468	500	294	183
Operating revenue per employee (th USD)	6,603	6,879	6,598	6,071
Costs of employees / Operating revenue (%)	n.a.	n.a.	n.a.	n.a.
Average cost of employee (th USD)	n.a.	n.a.	n.a.	n.a.
Shareholders funds per employee (th USD)	2,099	2,278	2,537	1,790
Working capital per employee (th USD)	n.s.	n.s.	n.s.	n.s.
Total assets per employee (th USD)	4,527	5,059	6,091	4,368

31/12/2008
th USD31/12/2007
th USD

12 months

12 months

Local GAAP

Local GAAP

0.03404

0.04074

Exchange rate: RUB/USD

Profitability ratios		
ROE using P/L before tax (%)	20.61	55.65
ROCE using P/L before tax (%)	17.05	22.26
ROA using P/L before tax (%)	8.13	4.76
ROE using Net income (%)	13.12	14.70
ROCE using Net income (%)	11.85	12.75
ROA using Net income (%)	5.17	1.26
Profit margin (%)	4.29	2.31
Gross margin (%)	6.07	4.40
EBITDA margin (%)	n.a.	n.a.
EBIT margin (%)	4.04	2.52
Cash flow / Operating revenue (%)	n.a.	n.a.
Enterprise value / EBITDA (x)	n.a.	n.a.
Market cap / Cash flow from operations (x)	n.a.	n.a.

Operational ratios		
Net assets turnover (x)	3.34	5.60
Interest cover (x)	4.93	1.51
Stock turnover (x)	n.s.	637.61
Collection period (days)	34	22
Credit period (days)	74	59
Export revenue / Operating revenue (%)	n.a.	n.a.
R&D expenses / Operating revenue (%)	n.a.	n.a.


Structure ratios		
Current ratio (x)	1.08	0.48
Liquidity ratio (x)	1.08	0.48
Shareholders liquidity ratio (x)	2.28	0.30
Solvency ratio (Asset based) (%)	39.44	8.55
Solvency ratio (Liability based) (%)	65.12	9.35
Gearing (%)	50.87	330.99


Per employee ratios		
Profit per employee (th USD)	298	126
Operating revenue per employee (th USD)	6,950	5,464
Costs of employees / Operating revenue (%)	n.a.	n.a.
Average cost of employee (th USD)	n.a.	n.a.
Shareholders funds per employee (th USD)	1,446	227
Working capital per employee (th USD)	n.s.	n.s.
Total assets per employee (th USD)	3,667	2,650

Current Directors / Managers / Contacts

Sources that rely on informal research and social networking are **not shown**.

Boards & committees

 = Name is the same as, or similar to, a PEP's name or a risk relevant name in the LexisNexis WorldCompliance database

	Name	Original job title	Body	Source
1.	 Mr Anatolii Alekseevich Ledovskikh P146854831	- Chairman of the Board of Directors	BoD	CP
2.	Mr Andrei Anatolyevich Andreev P295625503	- Member of the Board of Directors	BoD	CP
3.	Mr Tyerri Best P377871471	- Member of the Board of Directors	BoD	CP
4.	 Mr Andrei Removich Bokarev P058081533	- Member of the Board of Directors	BoD	CP

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4.				CredInform (received on 16/01/2018)
5.	Mr Zhan-Batist Eimeu P354179495	- Member of the Board of Directors	BoD	CP
6.	Mr Sergei Mikhailovich Glinka P288327568	- Member of the Board of Directors	BoD	CP
7.	Mr Dmitrii Georgievich Komissarov P354179494	- Member of the Board of Directors	BoD	CP
8.	Mr Aleksei Yuryevich Krivoruchko P089675951	- Member of the Board of Directors	BoD	CP
9.	Mr Kirill Valeryevich Lipa P018032155	- Member of the Board of Directors	BoD	CP
10.	Mr Henri Marie Xavier Olivier Poupart-Lafarge P002919368	- Director (Board of Directors)	BoD	CP
11.	Mr Evgenii Aleksandrovich Smirnov P276168025	- Member of the Board of Directors	BoD	CP

Management & staff

= Name is the same as, or similar to, a PEP's name or a risk relevant name in the LexisNexis WorldCompliance database

	Name	Original job title	Dept	Source
1.	Mr Andrei Removich Bokarev P058081533	- President	SenMan	CP
2.	Mr Kirill Valeryevich Lipa P018032155	- General Director (since 05/04/2015)	SenMan	CP
3.	Mr Aleksandr Yuryevich Chebakov P018093834	- Deputy General Director	SenMan	CP
4.	Mr Oleg Igorevich Donskii P064523127	- Deputy General Director	SenMan	CP
5.	Ms Sergo-Shakhzada Mamadalievich Kurbanov P095229591	- Vice President	SenMan	CP
6.	Mr Aleksandr Albertovich Vasilenko P016410026	- First Deputy General Director	SenMan	CP
7.	Mr Mikhail Vladimirovich Zagrebailov P125233543	- Deputy General Director	SenMan	CP
8.	Mr Igor Yuryevich Kuznetsov P377871472	- Chief Accountant	FinAcc	CP

Previous Directors / Managers / Contacts

Sources that rely on informal research and social networking are **not shown**.

Boards & committees

= Name is the same as, or similar to, a PEP's name or a risk relevant name in the LexisNexis WorldCompliance database

	Name	Original job title	Body	Source
1.	Mr Aleksei Yuryevich Davydov P407802538	- Member of the Board of Directors	BoD	CP
2.	Mr Bernard Gonne P128392585	- Member of board of directors	BoD	CP
3.	Mr Andrei Viktorovich Krapivin P102688693	- Member of board of directors	BoD	CP
4.	Mr Anatolii Alekseevich Ledovskikh P146854831	- Member of board of directors	BoD	CP
5.	Mr Maksim Stanislavovich Liksutov	- Member of board of directors	BoD	CP

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5.	P002134112				
6.	Mr Shukhrat Khamraevich Makhmudov P063432886	- Member of board of directors	BoD	CP	CredInform
7.	Mr Filippe Mellie P128392586	- Member of board of directors	BoD	CP	CredInform
8.	Mr Anri Pupar - Lafarzh P146854832	- Member of the Board of Directors	BoD	CP	CredInform
9.	Desterak Tibo P377939561	- Member of the Board of Directors	BoD	CP	CredInform
10.	Mr Valerii Anatolyevich Veremeev P341870901	- Member of the Board of Directors	BoD	CP	CredInform
11.	Mr Martin, Zhan-Batist, Mari Vozhur P156830222	- Member of the Board of Directors	BoD	CP	CredInform

Management & staff

= Name is the same as, or similar to, a PEP's name or a risk relevant name in the LexisNexis WorldCompliance database

	Name	Original job title	Dept	Source
1.	Mr Andrei Anatolyevich Andreev P295625503	- General Director (from 09/06/2008)	SenMan	CP CredInform
2.	S. N. Ershova P161235581	- Chief Accountant	FinAcc	CP CredInform
3.	Mr Sergei Mikhailovich Glinka P288327568	- Commercial Director	Sales	CP CredInform
4.	Mr Shukhrat Khamraevich Makhmudov P063432886	- Commercial director	Sales	CP CredInform
5.	Mr Sergei Yuryevich Katasonov P016550935	- Manager of Legal Department - Director of jural relation Department	LegDep OthDep	CP CP CredInform
6.	Mr Andrei Mikhailovich Kaigorodov P205416499	- Director of Credit Depratment	PMPMan	CP CredInform
7.	Mr Vyacheslav Alekseevich Sushchik P128392587	- Chief specialist	OthDep	CP CredInform

Controlling shareholders

BvD Independence Indicator: B-

Current definition of the UO: path of min 50.01% of control, known or unknown shareholders

This entity is the Global UO of the Corporate Group.
See the Current shareholders report section for other shareholders.

Corporate group - by level

Current definition of the UO: path of min 50.01% of control, known or unknown shareholders

Current filter: No filter

The companies underlined and displayed in bold blue are available on **ORBIS**

No of companies in corporate group: 48 companies

Name	Country	Ownership			Source	
		Direct (%)	Total (%)	Level of own.	Source ident.	Date of info.
Global Ultimate Owner: TRANSMASHHOLDING JSC	RU					

	Name	Country	Ownership			Source	
			Direct (%)	Total (%)	Level of own.	Source ident.	Date of info.
Ultimately owned subsidiaries:							
1.	AKTSIONERNOE OBSHCHESTVO OBYEDINENNAYA VAGONOSTROITELNAYA KOMPANIYA TMKH	RU	100.00	100.00	1	CP	11/2017
1.1.	PROIZVODSTVENNAYA KOMPANIYA NOVOCHERKASSKII ELEKTROVOZOSTROITELNYI ZAVOD	RU	NG	n.a.	2	CP	08/2017
1.1.1.	BAZA OTDYKHA NEVZ	RU	100.00	100.00	3	CP	12/2017
1.2.	OKHOTRESURS	RU	-	n.a.	2	CP	09/2017
1.3.	SAPFIR	RU	-	n.a.	2	CP	09/2017
1.3.1.	OTKRYTOE AKTSIONERNOE OBSHCHESTVO TVERSKOY VAGONOSTROITELNYI ZAVOD	RU	50.64	n.a.	3	WW	06/2017
1.3.1.1.	NAUCHNO-PROIZVODSTVENNOE OBYEDINENIE SISTEMA	RU	100.00	100.00	4	CP	10/2017
1.3.1.2.	ZAKRYTOE AKTSIONERNOE OBSHCHESTVO VAGONKOMPLEKT	RU	100.00	100.00	4	CP	08/2017
1.3.1.3.	JOINT STOCK COMPANY SCIENTIFIC ORGANIZATION TVER INSTITUTE CARRIAGE-BUILDING	RU	94.00	n.a.	4	CP	10/2017
2.	INTELPRO TMKH	RU	100.00	100.00	1	CP	10/2017
3.	JOINT STOCK COMPANY ROSLOKOMOTIV	RU	100.00	100.00	1	CP	11/2017
4.	JSC PENZADIESELMASH	RU	100.00	100.00	1	CP	08/2017
5.	PRIVATE COMPANY MANAGEMENT COMPANY BRYANSK ENGINEERING WORKS	RU	100.00	100.00	1	CP	12/2017
5.1.	OKHOTRESURS	RU	99.98	n.a.	2	CP	09/2017
5.2.	PUBLICHNE AKTSIONERNE TOVARISTVO LUGANSKTEPLOVOZ	UA	76.00	n.a.	2	WW	12/2015
5.2.1.	KOLYORLIT DP	UA	100.00	100.00	3	CB	01/2018
5.2.2.	KONTAKT ZOZNISHNYOTORGIVELNA FIRMA DP	UA	100.00	100.00	3	CB	01/2018
5.2.3.	KONTRAKT VAT LUGANSKTEPLOVOZ FILIA	UA	100.00	100.00	3	CB	01/2018
5.2.4.	MASHINOBUDIVNIK DP	UA	100.00	100.00	3	CB	01/2018
5.2.5.	MODELSHTIK DP	UA	100.00	100.00	3	CB	01/2018
5.2.6.	PLASTSERVIS DP	UA	100.00	100.00	3	CB	01/2018
5.2.7.	REDAKTSIYA GAZETI OKTYABRSKIY GUDOK KP	UA	100.00	100.00	3	CB	01/2018
5.2.8.	SANATORIJ PROFILAKTORIJ VAT LUGANSKTEPLOVOZ FILIA	UA	100.00	100.00	3	CB	01/2018
5.2.9.	TOCHLIT PUBLICHNOGO AKTSIONERNOGO TOVARISTVA LUGANSKTEPLOVOZ DP	UA	100.00	100.00	3	CB	01/2018
5.2.10.	TRANSMASHINVEST PUBLICHNOGO AKTSIONERNOGO TOVARISTVA LUGANSKTEPLOVOZ DP	UA	100.00	100.00	3	CB	01/2018
5.2.11.	VOSTOK DP	UA	100.00	100.00	3	CB	01/2018
5.3.	PROFIL	RU	66.66	n.a.	2	CP	09/2017
6.	TMH DIESEL ENGINE B.V.	NL	100.00	100.00	1	LN	11/2017
7.	TRANSMASHKHOLDING KAZAKHSTAN - KZ	KZ	100.00	100.00	1	RM	12/2015
8.	TSENTROSARMASH JOINT STOCK COMPANY	RU	100.00	100.00	1	CP	11/2017
9.	ZAKRYTOE AKTSIONERNOE OBSHCHESTVO TORGOVYI DOM TMKH	RU	100.00	100.00	1	CP	10/2017
10.	TMH INTERNATIONAL AG	CH	-	100.00	1	RM	12/2015
10.1.	LIMITED LIABILITY COMPANY TMH INDUSTRIAL RUS	RU	100.00	100.00	2	CP	03/2017
11.	SAPFIR	RU	99.99	n.a.	1	CP	09/2017
12.	ZAKRYTOE AKTSIONERNOE OBSHCHESTVO MASHKONSALTING	RU	99.99	n.a.	1	RM	12/2015
12.1.	JOINT STOCK COMPANY METROVAGONMASH	RU	23.35	n.a.	2	CP	10/2017
12.1.1.	OTKRYTOE AKTSIONERNOE OBSHCHESTVO PREDPRIYATIE SOTSIALNOGO OBSLUZHIVANIYA METROVAGONMASH	RU	100.00	100.00	3	CP	11/2017
12.1.1.1.	DETSKII OZDOROVITELNYI LAGER BRIGANTINA	RU	100.00	100.00	4	CP	11/2017
13.	PROIZVODSTVENNAYA KOMPANIYA NOVOCHERKASSKII ELEKTROVOZOSTROITELNYI ZAVOD	RU	99.98	n.a.	1	CP	08/2017
14.	JOINT STOCK COMPANY DEMIKHOVO MACHINE-BUILDING PLANT	RU	99.73	n.a.	1	CP	11/2017
14.1.	OKO KMT	RU	51.00	n.a.	2	CP	09/2017
15.	OTKRYTOE AKTSIONERNOE OBSHCHESTVO OKTYABRSKII ELEKTROVAGONOREMONTNYI ZAVOD	RU	94.22	n.a.	1	CP	12/2017
16.	OTKRYTOE AKTSIONERNOE OBSHCHESTVO KHOLDINGOVAYA KOMPANIYA KOLOMENSKIY ZAVOD	RU	85.12	n.a.	1	CP	10/2017
16.1.	OTKRYTOE AKTSIONERNOE OBSHCHESTVO DIZELNYE MIKROPROSESSORNYE SISTEMY	RU	50.09	n.a.	2	CP	11/2017
17.	TRANSKONVERTER	RU	64.99	n.a.	1	CP	09/2017
18.	OTKRYTOE AKTSIONERNOE OBSHCHESTVO VSEROSIISKII NAUCHNO- ISSLEDOVATELSKII I PROEKTNO-KONSTRUKTORSKII INSTITUT ELEKTROVOZOSTROENIYA	RU	64.38	n.a.	1	CP	11/2017
19.	INTEGRIROVANNAYA VAGONOSTROITELNAYA KOMPANIYA	RU	59.95	n.a.	1	CP	08/2017
19.1.	AKTSIONERNOE OBSHCHESTVO PROIZVODSTVENNOE OBYEDINENIE BEZHITSKAYA STAL	RU	100.00	100.00	2	CP	10/2017
19.2.	OTKRYTOE AKTSIONERNOE OBSHCHESTVO TRANSPORTNOE MASHINOSTROENIE	RU	96.91	n.a.	2	CP	09/2017
20.	JOINT STOCK COMPANY METROVAGONMASH	RU	51.11	n.a.	1	CP	10/2017
21.	PAO LUGANSKTEPLOVOZ	UA	-	MO	1	RM	12/2015

Current shareholders

Current filter: No filter

The companies underlined and displayed in bold blue are available on **ORBIS**

Shareholder name	Country	Type	Ownership		Source		Variation	Company information	
			Direct (%)	Total (%)	Source ident.	Date of info.		Op. Revenue (m USD)*	No of employees
1. ALSTOM HOLDINGS	FR	C	-	33.33	OF	01/2018		50	n.a.

* = For an insurance company the corresponding value is the Gross Premium Written and for a bank it is the Operating Income (memo)

Shareholders history - current, previous and archived data

Current filter: No filter

This list contains all archived data links from 01/2000 for current and previous shareholders.

The companies underlined and displayed in bold blue are available on **ORBIS**

Shareholder name	Country	Type	Ownership		Source		Company information		
			Direct (%)	Total (%)	Source ident.	Date of info.	Op. Revenue (m USD)*	No of employees	
Current shareholders:									
1. <u>ALSTOM HOLDINGS</u>	FR	C	-	33.33	OF	01/2018	50	n.a.	
			-	33.33	OF	10/2017			
			-	33.33	OF	04/2017			
			-	100.00	OF	07/2016			
			-	100.00	OF	05/2016			
			-	100.00	OF	07/2015			
			-	100.00	OF	09/2014			
			-	100.00	OF	07/2014			

Previous shareholders:									
1. <u>THE BREAKERS INVESTMENTS B.V.</u>	NL	F	100.00	100.00	CP	12/2017	1,366	53,000	
			100.00	100.00	CP	08/2017			
			100.00	100.00	CP	02/2017			
			100.00	100.00	CP	09/2016			
			100.00	100.00	WW	03/2016			
			100.00	100.00	CP	11/2015			
			100.00	100.00	WW	12/2014			
			100.00	100.00	CP	06/2014			
			100.00	100.00	CP	12/2013			
			100.00	100.00	WW	06/2013			
			100.00	100.00	CP	01/2013			
			100.00	100.00	CP	02/2011			
			100.00	100.00	CP	03/2009			
			100.00	100.00	ZP	12/2007			
2. <u>ATLANTIDA</u>	RU	C	0.09	n.a.	CP	09/2012	n.a.	n.a.	
			50.00	n.a.	CP	02/2006			
3. <u>LIMITED LIABILITY COMPANY WORLDWIDE IVEST AS</u>	RU	C	0.03	n.a.	CP	09/2012	1	37	
			25.00	n.a.	CP	05/2007			
			25.00	n.a.	CP	02/2006			
4. <u>ALSTOM TRANSPORT SA</u>	FR	C	-	n.a.	WW	06/2012	4,057	8,862	
5. <u>ISKANDER MAKHMUDOV AND ANDREI BOKAREV</u>	RU	I	-	n.a.	WW	06/2012	-	-	
6. <u>JOINT STOCK COMPANY RUSSIAN RAILWAYS</u>	RU	C	-	n.a.	WW	06/2012	26,006	759,028	
7. <u>MANAGEMENT OF TRANSMASHHOLDING JSC</u>	-	M	-	n.a.	ZP	05/2012	-	-	
8. <u>MR ANDREI BOKAREV</u>	RU	I	-	n.a.	ZP	05/2012	-	-	
9. <u>MR ISKANDER MAKHMUDOV</u>	RU	I	-	n.a.	ZP	05/2012	-	-	
10. <u>MR MAKSIM LIKSUTOV</u>	RU	I	-	n.a.	ZP	05/2012	-	-	
11. <u>NIIT</u>	RU	F	0.03	n.a.	CP	11/2011	<0,5	2	
			25.00	n.a.	CP	05/2007			
			25.00	n.a.	CP	02/2006			
12. <u>MAFRIDO TRADING LIMITED</u>	CY	C	22.34	n.a.	ZP	10/2007	n.a.	n.a.	
13. <u>AMMONIS TRADING LIMITED</u>	CY	C	22.33	n.a.	ZP	10/2007	n.a.	n.a.	
14. <u>TEROMO INVESTMENTS LIMITED</u>	CY	F	22.33	n.a.	ZP	10/2007	n.a.	n.a.	
15. <u>SILASIO TRADING LIMITED</u>	SC	C	16.50	n.a.	ZP	10/2007	n.a.	n.a.	
16. <u>LATORIO HOLDINGS LIMITED</u>	n.a.	C	16.26	n.a.	ZP	10/2007	n.a.	n.a.	
17. <u>MAREDARO TRADING LIMITED</u>	CY	C	9.33	n.a.	ZP	10/2007	n.a.	n.a.	
18. <u>NEZIK TRADING LIMITED</u>	CY	C	7.31	n.a.	ZP	10/2007	n.a.	n.a.	
19. <u>PREVALO INVESTMENTS LTD</u>	n.a.	F	9.99	n.a.	ZP	07/2007	n.a.	n.a.	
20. <u>LETACO TRADING LTD</u>	n.a.	C	7.44	n.a.	ZP	07/2007	n.a.	n.a.	
21. <u>ARIAN</u>	RU	F	19.00	n.a.	CP	05/2007	<0,5	2	
22. <u>VOLLEY SPORT-SERVIS</u>	RU	C	10.00	n.a.	CP	05/2007	<0,5	22	

* = For an insurance company the corresponding value is the Gross Premium Written and for a bank it is the Operating Income (memo)


Current subsidiaries

Current filter: No filter

The companies underlined and displayed in bold blue are available on **ORBIS**

	Subsidiary name	Country	Ownership		Level of own.	Status	Source			Company information	
			Direct (%)	Total (%)			Source ident.	Date of info.	Variation	Op. Revenue (m USD)*	No of employees
1.	<u>AKTSIONERNOE OBSHCHESTVO OBYEDINENNAYA VAGONOSTROITELNAYA KOMPANIYA TMKH</u>	RU	100.00	100.00	1	UO+	CP	11/2017	+	<0,5	2
2.	<u>INTELPRO TMKH</u>	RU	100.00	100.00	1	UO+	CP	10/2017	+	<0,5	9
3.	<u>JOINT STOCK COMPANY ROSLOKOMOTIV</u>	RU	100.00	100.00	1	UO+	CP	11/2017	+	38	141
4.	<u>JSC PENZADIESELMASH</u>	RU	100.00	100.00	1	UO+	CP	08/2017	+	29	1,414
5.	<u>PRIVATE COMPANY MANAGEMENT COMPANY BRYANSK ENGINEERING WORKS</u>	RU	100.00	100.00	1	UO+	CP	12/2017	+	417	1,531
6.	<u>TMH DIESEL ENGINE B.V.</u>	NL	100.00	100.00	1	UO	LN	11/2017	+	n.a.	1
7.	<u>TRANSMASHKHOOLDING KAZAKHSTAN - KZ</u>	KZ	100.00	100.00	1	UO	RM	12/2015	-	n.a.	3
8.	<u>TSENTROSVARMASH JOINT STOCK COMPANY</u>	RU	100.00	100.00	1	UO+	CP	11/2017	+	31	75
9.	<u>ZAKRYTOE AKTSIONERNOE OBSHCHESTVO TORGOVYI DOM TMKH</u>	RU	100.00	100.00	1	UO+	CP	10/2017	+	15	51
10.	<u>TMH INTERNATIONAL AG</u>	CH	-	100.00	1	UO	RM	12/2015	+	n.a.	4
11.	<u>SAPFIR</u>	RU	99.99	n.a.	1	UO+	CP	09/2017	?	<0,5	2
12.	<u>ZAKRYTOE AKTSIONERNOE OBSHCHESTVO MASHKONSALTING</u>	RU	99.99	n.a.	1	UO+	RM	12/2015	-	<0,5	2
13.	<u>PROIZVODSTVENNAYA KOMPANIYA NOVOCHERKASSKII ELEKTROVOZOSTROITELNYI ZAVOD</u>	RU	99.98	n.a.	1	UO+	CP	08/2017	+	324	367
14.	<u>JOINT STOCK COMPANY DEMIKHOVO MACHINE-BUILDING PLANT</u>	RU	99.73	n.a.	1	UO+	CP	11/2017	+	196	2,282
15.	<u>OTKRYTOE AKTSIONERNOE OBSHCHESTVO OKTYABRSKII ELEKTROVAGONOREMONTNYI ZAVOD</u>	RU	94.22	n.a.	1	UO	CP	12/2017	+	75	904
16.	<u>OTKRYTOE AKTSIONERNOE OBSHCHESTVO KHOLDINGOVAYA KOMPANIYA KOLOMENSKIY ZAVOD</u>	RU	85.12	n.a.	1	UO	CP	10/2017	?	235	6,042
17.	<u>TRANSKONVERTER</u>	RU	64.99	n.a.	1	UO	CP	09/2017	+	10	182
18.	<u>OTKRYTOE AKTSIONERNOE OBSHCHESTVO VSEROSIISKII NAUCHNO-ISSLEDOVATELSKII I PROEKTNO-KONSTRUKTORSKII INSTITUT ELEKTROVOZOSTROENIYA</u>	RU	64.38	n.a.	1	UO	CP	11/2017	+	5	301
19.	<u>INTEGRIROVANNAYA VAGONOSTROITELNAYA KOMPANIYA</u>	RU	59.95	n.a.	1	UO	CP	08/2017	+	<0,5	2
20.	<u>JOINT STOCK COMPANY METROVAGONMASH</u>	RU	51.11	n.a.	1	UO	CP	10/2017	+	434	3,997
21.	<u>PAO LUGANSKTEPLOVOZ</u>	UA	-	MO	1	UO	RM	12/2015	-	n.a.	n.a.
22.	<u>RAILCOMP B.V.</u>	NL	50.00	n.a.	1	-	RM	12/2015	-	n.a.	n.a.
23.	<u>TMH-ALSTOM B.V.</u>	NL	50.00	n.a.	1	-	RM	12/2015	+	0	157
24.	<u>TRANSMASH EAST TRAIN TRADING</u>	AE	49.00	n.a.	1	-	RM	12/2015	-	n.a.	n.a.
25.	<u>ZAKRYTOE AKTSIONERNOE OBSHCHESTVO FLK - TRANSMASHLIZING</u>	RU	25.10	n.a.	1	-	CP	12/2017	+	<0,5	n.a.
26.	<u>ELEKTROVOZ KURASTYRU ZAUITY</u>	KZ	25.00	n.a.	1	-	RM	12/2015	-	n.a.	3
27.	<u>AKTSIONERNOE OBSHCHESTVO TRANSKHOLDLIZING</u>	RU	19.00	n.a.	1	-	CP	10/2017	+	80	55
28.	<u>JSC RUSSTRANSCOMPLECT</u>	RU	19.00	n.a.	1	-	CP	07/2017	+	326	35
29.	<u>TMH - SERVICE LIMITED LIABILITY COMPANY</u>	RU	12.50	n.a.	1	-	CP	10/2017	+	1,031	92
30.	<u>DONSKOI REGION</u>	RU	-	n.a.	1	-	CP	09/2017	+	n.a.	n.a.
31.	<u>LOKOMOTIV KURASTYRU ZAUITY</u>	KZ	-	n.a.	1	-	CP	11/2017	+	15	601
32.	<u>NON-COMMERCIAL PARTNERSHIP UNION OF INDUSTRIES OF RAILWAY EQUIPMENT</u>	RU	-	n.a.	1	-	CP	09/2017	+	0	243

Subsidiary name	Country	Ownership		Level of own.	Status	Source			Company information	
		Direct (%)	Total (%)			Source ident.	Date of info.	Variation	Op. Revenue (m USD)*	No of employees
<u>SOTRUDNICHESTVO S ISPANIEI V OBLASTI EKONOMIKI, KULTURY, NAUKI I ISKUSSTVA</u>	RU	-	n.a.	1	-	CP	07/2017		n.a.	n.a.
<u>WARTSILA TMH DIESEL ENGINE COMPANY LLC</u>	RU	-	n.a.	1	-	ZP	06/2016		<0,5	37

 = Name is the same as, or similar to, a PEP's name or a risk relevant name in the LexisNexis WorldCompliance database.

* = For an insurance company the corresponding value is the Gross Premium Written and for a bank it is the Operating Income (memo)

Branches

The companies underlined and displayed in bold blue are available on **ORBIS**

Name	Country	City	NACE	No of employees
<u>PREDSTAVITELSTVO ZAKRYTOGO AKTSIONERNOGO OBSHCHESTVA TRANSMASHKHOVDING V SAINT-PETERSBURGE</u>	RU	CANKT-PETERBURG	Business and other management consultancy activities	n.a.

Patents

Publication number	Titles	Publication date
1  RU2297349(C1)	CAR CARRIER	20/04/2007

1 main patents

* = this patent has been associated with TRANSMASHHOLDING JSC via a matching operated by OECD

Mergers & acquisitions deals

Your account settings do not give you access to all headlines and articles. Please contact your local BvD account manager for any questions.

Merger & acquisition deals related to the subject company

Date	News
1 06/02/2018	Plentova Holdings to acquire stakes in Transmashkholding and LokoTekh-Servis (deal no. 1941039588)
2 11/12/2017	Transmashkholding and Mr Aleksei Krivoruchko will acquire a stake in Kontsern Kalashnikov from Rostekh (deal no. 1909653943)
3 11/08/2017	Sapfir and Transmashkholding are looking to acquire Tverskoi Vagonostroitelny Zavod (deal no. 1909617591)
4 18/04/2017	Transmashkholding may acquire stake in Dunakeszi Jarmujavito (deal no. 1909574730)
5 17/04/2017	General Electric Company's GE Transportation Engines Holding acquired a stake in Lokomotiv Qurastyru Zauyty from Transmashkholding (deal no. 1909570012)
6 28/12/2016	Transmashkholding to acquire a stake in PK TS (deal no. 1909525689)
7 16/06/2016	General Electric and Transmashkholding have established new joint venture Penzenskie Dizelnye Dvigateli (deal no. 1909450225)
8 23/05/2014	Alstom Transport may acquire Transmashkholding (deal no. 1909106963)
9 23/07/2013	FAS approves acquisition of Transkholdizing by Metrovagonmash and Transmashkholding (deal no. 1909002940)
10 11/06/2013	Transmashkholding has acquired a majority stake in Lokomotiv Qurastyru Zauyty (deal no. 1601376507)
11 21/02/2013	UVZ acquires stake in TVZ (deal no. 1601324256)
12 22/01/2013	KUA TAS Kapital Menedzhment might sell a minority stake in Dniprovhonmash (deal no. 1601439839)
13 17/05/2012	Mr Maxim Liskutov has disposed of minority stake in Transmashkholding (deal no. 1601371644)
14 17/05/2011	Transmashkholding Board to consider merger of subsidiaries (deal no. 1601271129)
15 13/05/2011	Transmashkholding Board to consider exiting stake in Izolit (deal no. 1601271118)
16 13/05/2011	FAS has approved acquisition of Delta by PO NEVZ (deal no. 1601271143)

Orbis - company report of TRANSMASHHOLDING JSC

17	28/01/2011	Transmashkholding has increased stake in RusTransKomplekt (deal no. 1601240305)
18	21/12/2010	Transmashholding and Wartsila create a joint venture (deal no. 1601192870)
19	19/11/2010	RZhD Increases stake in Skorostnye Magistrali (deal no. 1601228060)
20	18/10/2010	Kazakhstan Temir Zholy, Alstom and Transmashkholding have established a locomotives manufacturing joint venture (deal no. 1601194210)
21	30/09/2010	Transmashkholding has failed to take full control over Kolomenskii Zavod (deal no. 1601205282)
22	17/09/2010	RZhD to acquire minority stake in Transmashkholding (deal no. 448274)
23	03/09/2010	Transmashkholding disposes of majority stake in UK NEVZ (deal no. 1601215463)
24	05/07/2010	Transmashkholding has increased stake in Kolomenskii Zavod (deal no. 1601201459)
25	19/06/2010	Futbolnyi Klub Rostov in capital increase (deal no. 1601079939)
26	14/06/2010	Transmashkholding gets half of THM Alstom BV (deal no. 1601198233)
27	16/04/2010	Transmashkholding disposes of Bezhitskaya Stal (deal no. 1601181167)
28	10/03/2010	Transmashkholding and Alstom have formed TRT (deal no. 1601268757)
29	26/02/2010	Transmashkholding has acquired Izolit (deal no. 1601164413)
30	19/02/2010	Transmashkholding increases stake in RusTransKomplekt (deal no. 1601162186)
31	25/01/2010	Transmashkholding gets majority of Integrirovannaya Vagonostroitel'naya Kompaniya (deal no. 1601159795)
32	28/12/2009	Transmashkholding gets stakes in five companies (deal no. 1601141285)
33	24/12/2009	Transmashkholding has acquired half of Sapfir (deal no. 1601146573)
34	17/12/2009	Transmashkholding ZAO increases stake in Transmashholding AG (deal no. 1601146490)
35	17/12/2009	Transmashkholding increases stake in Oktyabrskii Elektrovagonoremontnyi Zavod (deal no. 1601145926)
36	19/11/2009	TMKh in private placement (deal no. 603236)
37	18/11/2009	FAS has approved acquisition of undisclosed stake in Kolomenskii Zavod by Transmashkholding (deal no. 1601142896)
38	12/10/2009	FAS has approved acquisition of half of Bombardier Transportation Transmashholding AG by Transmashkholding (deal no. 1601162717)
39	22/04/2009	Transmashkholding acquires stake in TMKh Vagonostroenie (deal no. 1601083517)
40	03/04/2009	Knorr-Bremse and Transmashholding to form a JV (deal no. 428054)
41	16/02/2009	Transmashkholding acquires a majority stake in VelNII (deal no. 1601052711)
42	23/12/2008	Majority stake in TVZ might be acquired (deal no. 1601119732)
43	13/10/2008	Alstom Transport SA and Transmashkholding have formed joint venture (deal no. 1601023133)
44	06/03/2008	CJSC Transmashholding and JSC Russian Railways to form joint venture (deal no. 425074)
45	10/12/2007	The Breakers Investment BV acquires Transmashkholding (deal no. 601653)
46	07/12/2007	Silasio Trading Ltd sells stake in Transmashkholding (deal no. 644658)
47	07/12/2007	Teromo Investments Ltd sells stake in Transmashkholding (deal no. 644659)
48	07/12/2007	Ammonis Trading Ltd sells stake in Transmashkholding (deal no. 644660)
49	07/12/2007	Latorio Holdings Ltd sells stake in Transmashkholding (deal no. 644661)
50	07/12/2007	Mafrido Trading Ltd sells stake in Transmashkholding (deal no. 644662)

Source : Bureau van Dijk

Merger & acquisition deals related to the linked companies

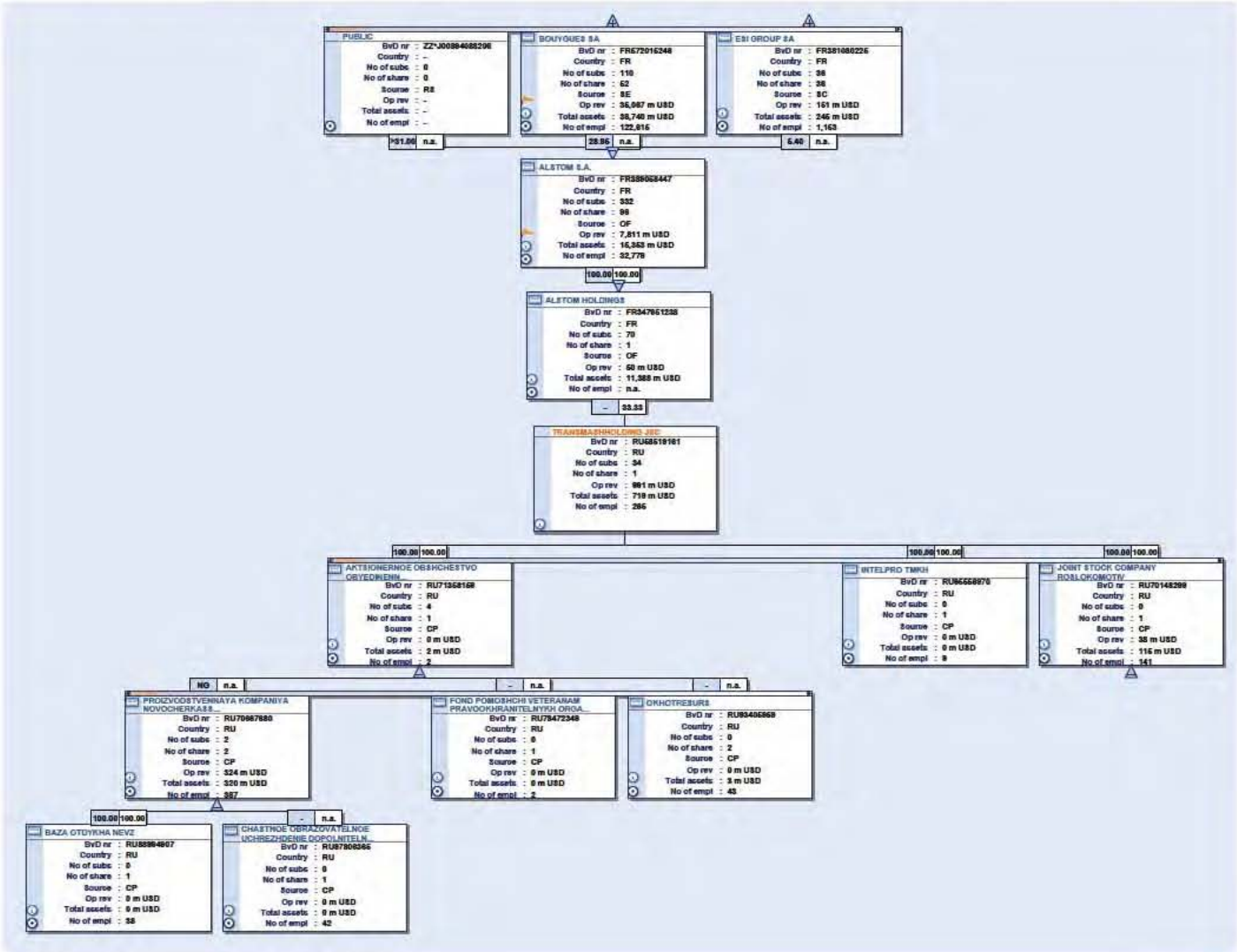
No mergers & acquisitions deals are available for companies of the group.

Liquidity events & potential beneficiaries

Nr.	Date	Headline
1.	23/05/2014	Alstom Transport may acquire Transmashkholding (deal no.1909106963)
2.	17/05/2012	Mr Maxim Liskutov has disposed of minority stake in Transmashkholding (deal no.1601371644)

Ownership structure

Current definition of the UO: path of min 50.01% of control, known or unknown shareholders



Corporate group - alphabetical order

Current definition of the UO: path of min 50.01% of control, known or unknown shareholders

Current filter: No filter

The companies underlined and displayed in bold blue are available on **ORBIS**

No of companies in corporate group: 48 companies

Name	Country	Status	Source	Date of info.
Global Ultimate Owner: TRANSMASHHOLDING JSC	RU			
Ultimately owned subsidiaries:				
1. AKTSIONERNOE OBSHCHESTVO OBYEDINENNAYA VAGONOSTROITELNAYA KOMPANIYA TMKH	RU	UO	CP	11/2017
2. AKTSIONERNOE OBSHCHESTVO PROIZVODSTVENNOE OBYEDINENIE BEZHITSKAYA STAL	RU	UO	VD	01/2018

Name	Country	Status	Source	
			Source ident.	Date of info.
3. BAZA OTDYKHA NEVZ	RU	UO+	VD	01/2018
4. DETSKII OZDOROVITELNYI LAGER BRIGANTINA	RU	UO	VD	01/2018
5. INTEGRIROVANNAYA VAGONOSTROITELNAYA KOMPANIYA	RU	UO	CP	08/2017
6. INTELPRO TMKH	RU	UO	CP	10/2017
7. JOINT STOCK COMPANY DEMIKHOVO MACHINE-BUILDING PLANT	RU	UO	CP	11/2017
8. JOINT STOCK COMPANY METROVAGONMASH	RU	UO	CP	10/2017
9. JOINT STOCK COMPANY ROSLOKOMOTIV	RU	UO	CP	11/2017
10. JOINT STOCK COMPANY SCIENTIFIC ORGANIZATION TVER INSTITUTE CARRIAGE-BUILDING	RU	UO	VD	01/2018
11. JSC PENZADIESELMASH	RU	UO	CP	08/2017
12. KOLYORLIT DP	UA	UO	VD	01/2018
13. KONTAKT ZOVNISHNYOTORGIVELNA FIRMA DP	UA	UO	VD	01/2018
14. KONTRAKT VAT LUGANSKTEPLOVOZ FILIA	UA	UO	VD	01/2018
15. LIMITED LIABILITY COMPANY TMH INDUSTRIAL RUS	RU	UO+	VD	01/2018
16. MASHINOBUDIVNIK DP	UA	UO	VD	01/2018
17. MODELSHTIK DP	UA	UO	VD	01/2018
18. NAUCHNO-PROIZVODSTVENNOE OBYEDINENIE SISTEMA	RU	UO	VD	01/2018
19. OKHOTRESURS	RU	UO+	VD	01/2018
20. OKO KMT	RU	UO	VD	01/2018
21. OTKRYTOE AKTSIONERNOE OBSHCHESTVO K HOLDINGOVAYA KOMPANIYA KOLOMENSKIY ZAVOD	RU	UO	CP	10/2017
22. OTKRYTOE AKTSIONERNOE OBSHCHESTVO TVERSKOY VAGONOSTROITELNYI ZAVOD	RU	UO	VD	01/2018
23. OTKRYTOE AKTSIONERNOE OBSHCHESTVO DIZELNYE MIKROPROTSESSORNYE SISTEMY	RU	UO	VD	01/2018
24. OTKRYTOE AKTSIONERNOE OBSHCHESTVO OKTYABRSKII ELEKTROVAGONOREMONTNYI ZAVOD	RU	UO	CP	12/2017
25. OTKRYTOE AKTSIONERNOE OBSHCHESTVO PREDPRIYATIE SOTSIALNOGO OBSLUZHIVANIYA METROVAGONMASH	RU	UO	VD	01/2018
26. OTKRYTOE AKTSIONERNOE OBSHCHESTVO TRANSPORTNOE MASHINOSTROENIE	RU	UO	VD	01/2018
27. OTKRYTOE AKTSIONERNOE OBSHCHESTVO VSEROSSII SKII NAUCHNO-ISSLEDOVATELSKII I PROEKTHNO-KONSTRUKTORSKII INSTITUT ELEKTROVOZOSTROENIYA	RU	UO	CP	11/2017
28. PAO LUGANSKTEPLOVOZ	UA	UO	RM	12/2015
29. PLASTSERVIS DP	UA	UO	VD	01/2018
30. PRIVATE COMPANY MANAGEMENT COMPANY BRYANSK ENGINEERING WORKS	RU	UO	CP	12/2017
31. PROFIL	RU	UO	VD	01/2018
32. PROIZVODSTVENNAYA KOMPANIYA NOVOCHERKASSKII ELEKTROVOZOSTROITELNYI ZAVOD	RU	UO	CP	08/2017
33. PUBLICHNE AKTSIONERNE TOVARISTVO LUGANSKTEPLOVOZ	UA	UO	VD	01/2018
34. REDAKTSIYA GAZETI OKTYABRSKIY GUDOK KP	UA	UO	VD	01/2018
35. SANATORIY PROFILAKTORIY VAT LUGANSKTEPLOVOZ FILIA	UA	UO	VD	01/2018
36. SAPEJR	RU	UO	CP	09/2017
37. TMH DIESEL ENGINE B.V.	NL	UO	LN	11/2017
38. TMH INTERNATIONAL AG	CH	UO	RM	12/2015
39. TOCHLIT PUBLICHNOGO AKTSIONERNOGO TOVARISTVA LUGANSKTEPLOVOZ DP	UA	UO	VD	01/2018
40. TRANSKONVERTER	RU	UO	CP	09/2017
41. TRANSMASHINVEST PUBLICHNOGO AKTSIONERNOGO TOVARISTVA LUGANSKTEPLOVOZ DP	UA	UO	VD	01/2018
42. TRANSMASHHOLDING KAZAKHSTAN - KZ	KZ	UO	RM	12/2015
43. TSENTROSVARMAH JOINT STOCK COMPANY	RU	UO	CP	11/2017
44. VOSTOK DP	UA	UO	VD	01/2018
45. ZAKRYTOE AKTSIONERNOE OBSHCHESTVO MASHKONSALTING	RU	UO	RM	12/2015
46. ZAKRYTOE AKTSIONERNOE OBSHCHESTVO TORGOVYI DOM TMKH	RU	UO	CP	10/2017
47. ZAKRYTOE AKTSIONERNOE OBSHCHESTVO VAGONKOMPLEKT	RU	UO	VD	01/2018

Shareholders history - at each closing date

This list only contains the shareholders owning more than 3% (direct or total) in at least one period.

The companies underlined and displayed in bold blue are available on [ORBIS](#)

	Shareholder name	Country	Type	Current Info	Net change	31/12	Net change
					Cur-17	2017	17-16
				B-		D	
1.	ALSTOM HOLDINGS	FR	C	- 33.33% OF 01/2018	- 0%	- 33.33% OF 10/2017	- -66.67%

Shareholder name		31/12 2016	Net change 16-15	31/12 2015	Net change 15-14	31/12 2014	Net change 14-13
		D		D		D	
1.	ALSTOM HOLDINGS	- 100.00% OF 07/2016	- 0%	- 100.00% OF 07/2015	- 0%	- 100.00% OF 09/2014	
Shareholder name		31/12 2013	Net change 13-12	31/12 2012	Net change 12-11	31/12 2011	Net change 11-10
		D		A-		D	
1.	ALSTOM HOLDINGS						
Shareholder name		31/12 2010	Net change 10-09	31/12 2009	Net change 09-08	31/12 2008	Net change 08-07
		U		D		D	
1.	ALSTOM HOLDINGS						
Shareholder name		31/12 2007					
		D	< Indep.Ind.				
1.	ALSTOM HOLDINGS		< Direct % < Total % < Source < Date				

	Shareholder name	Country	Type	Current Info	Net change		31/12 2017	Net change	
					Cur-17			17-16	
2.	NEZIK TRADING LIMITED	CY	C						
3.	MAREDARO TRADING LIMITED	CY	C						
4.	LATORIO HOLDINGS LIMITED	n.a.	C						
5.	PREVALO INVESTMENTS LTD	n.a.	F						
6.	VOLLEY SPORT-SERVIS	RU	C						
7.	ARIAN	RU	F						
8.	LETACO TRADING LTD	n.a.	C						
9.	NIIT	RU	F						
10.	LIMITED LIABILITY COMPANY WORLDWIDE IVEST AS	RU	C						
11.	THE BREAKERS INVESTMENTS B.V.	NL	F			100.00% 100.00% CP 12/2017		0% 0%	
12.	MAFRIDO TRADING LIMITED	CY	C						
13.	SILASIO TRADING LIMITED	SC	C						
14.	TEROMO INVESTMENTS LIMITED	CY	F						
15.	AMMONIS TRADING LIMITED	CY	C						

	Shareholder name	31/12 2016	31/12 2015		31/12 2014		31/12 2014	
			Net change 16-15		Net change 15-14		Net change 14-13	
2.	NEZIK TRADING LIMITED							
3.	MAREDARO TRADING LIMITED							
4.	LATORIO HOLDINGS LIMITED							
5.	PREVALO INVESTMENTS LTD							
6.	VOLLEY SPORT-SERVIS							
7.	ARIAN							
8.	LETACO TRADING LTD							
9.	NIIT							
10.	LIMITED LIABILITY COMPANY WORLDWIDE IVEST AS							
11.	THE BREAKERS INVESTMENTS B.V.	100.00% 100.00% CP 09/2016	0% 0%	100.00% 100.00% CP 11/2015	0% 0%	100.00% 100.00% WW 12/2014	0% 0%	
12.	MAFRIDO TRADING LIMITED							
13.	SILASIO TRADING LIMITED							
14.	TEROMO INVESTMENTS LIMITED							
15.	AMMONIS TRADING LIMITED							

	Shareholder name	31/12 2013	31/12 2012		31/12 2011		31/12 2010	
				Net change 13-12		Net change 12-11		Net change 11-10
2.	<u>NEZIK TRADING LIMITED</u>							
3.	<u>MAREDARO TRADING LIMITED</u>							
4.	<u>LATORIO HOLDINGS LIMITED</u>							
5.	<u>PREVALO INVESTMENTS LTD</u>							
6.	<u>VOLLEY SPORT-SERVIS</u>							
7.	<u>ARIAN</u>							
8.	<u>LETACO TRADING LTD</u>							
9.	<u>NIIT</u>					0.03%	n.a.	CP 11/2011
10.	<u>LIMITED LIABILITY COMPANY WORLDWIDE IVEST AS</u>			0.03%	n.a.			CP 09/2012
11.	<u>THE BREAKERS INVESTMENTS B.V.</u>	100.00%	100.00%				100.00%	100.00%
		CP 12/2013					CP 02/2011	
12.	<u>MAFRIDO TRADING LIMITED</u>							
13.	<u>SILASIO TRADING LIMITED</u>							
14.	<u>TEROMO INVESTMENTS LIMITED</u>							
15.	<u>AMMONIS TRADING LIMITED</u>							

	Shareholder name	31/12 2010	31/12 2009		31/12 2008		31/12 2007	
			Net change 10-09		Net change 09-08		Net change 08-07	
2.	<u>NEZIK TRADING LIMITED</u>							
3.	<u>MAREDARO TRADING LIMITED</u>							
4.	<u>LATORIO HOLDINGS LIMITED</u>							
5.	<u>PREVALO INVESTMENTS LTD</u>							
6.	<u>VOLLEY SPORT-SERVIS</u>							
7.	<u>ARIAN</u>							
8.	<u>LETACO TRADING LTD</u>							
9.	<u>NIIT</u>							
10.	<u>LIMITED LIABILITY COMPANY WORLDWIDE IVEST AS</u>							
11.	<u>THE BREAKERS INVESTMENTS B.V.</u>			100.00% 100.00% CP 03/2009	0% 0%	100.00% 100.00% ZP 12/2007	0% 0%	
12.	<u>MAFRIDO TRADING LIMITED</u>							
13.	<u>SILASIO TRADING LIMITED</u>							
14.	<u>TEROMO INVESTMENTS LIMITED</u>							
15.	<u>AMMONIS TRADING LIMITED</u>							

	Shareholder name	31/12 2007	
2.	<u>NEZIK TRADING LIMITED</u>	7.31% n.a. ZP 10/2007	< Direct % < Total % < Source < Date
3.	<u>MAREDARO TRADING LIMITED</u>	9.33% n.a. ZP 10/2007	< Direct % < Total % < Source < Date
4.	<u>LATORIO HOLDINGS LIMITED</u>	16.26% n.a. ZP 10/2007	< Direct % < Total % < Source < Date
5.	<u>PREVALO INVESTMENTS LTD</u>	9.99% n.a. ZP 07/2007	< Direct % < Total % < Source < Date
6.	<u>VOLLEY SPORT-SERVIS</u>	10.00% n.a. CP 05/2007	< Direct % < Total % < Source < Date
7.	<u>ARIAN</u>	19.00% n.a. CP 05/2007	< Direct % < Total % < Source < Date
8.	<u>LETACO TRADING LTD</u>	7.44% n.a. ZP 07/2007	< Direct % < Total % < Source < Date
9.	<u>NIIT</u>	25.00% n.a. CP 05/2007	< Direct % < Total % < Source < Date
10.	<u>LIMITED LIABILITY COMPANY WORLDWIDE IVEST AS</u>	25.00% n.a. CP 05/2007	< Direct % < Total % < Source < Date
11.	<u>THE BREAKERS INVESTMENTS B.V.</u>	100.00% 100.00% ZP 12/2007	< Direct % < Total % < Source < Date
12.	<u>MAFRIDO TRADING LIMITED</u>	22.34% n.a. ZP 10/2007	< Direct % < Total % < Source < Date
13.	<u>SILASIO TRADING LIMITED</u>	16.50% n.a. ZP 10/2007	< Direct % < Total % < Source < Date
14.	<u>TEROMO INVESTMENTS LIMITED</u>	22.33% n.a. ZP 10/2007	< Direct % < Total % < Source < Date
15.	<u>AMMONIS TRADING LIMITED</u>	22.33% n.a. ZP 10/2007	< Direct % < Total % < Source < Date

Private equity company portfolio

This information is only applicable for private equity firms.

Private equity owners

This company is not linked to a private equity firm.

Key financials & employees

Local registry filing/Unconsolidated	31/12/2016 th USD	31/12/2015 th USD	31/12/2014 th USD	31/12/2013 th USD
	12 months Local GAAP	12 months Local GAAP	12 months Local GAAP	12 months Local GAAP
Exchange rate: RUB/USD	0.01649	0.01372	0.01778	0.03055
Operating revenue (Turnover)	990,959	756,377	1,323,738	2,444,214
P/L before tax	81,383	23,717	245,367	246,598
P/L for period [=Net income]	17,793	13,835	221,750	234,024
Cash flow	n.a.	n.a.	n.a.	n.a.
Total assets	719,070	607,190	831,815	1,281,152
Shareholders funds	476,290	394,892	493,676	700,390
Current ratio (x)	0.89	0.78	0.78	0.91
Profit margin (%)	8.21	3.14	18.54	10.09
ROE using P/L before tax (%)	17.09	6.01	49.70	35.21
ROCE using P/L before tax (%)	19.16	8.81	52.59	36.26
Solvency ratio (Asset based) (%)	66.24	65.04	59.35	54.67
Price earning ratio (x)	n.a.	n.a.	n.a.	n.a.
Number of employees	265	291	311	337
Local registry filing/Unconsolidated	31/12/2012 th USD	31/12/2011 th USD	31/12/2010 th USD	31/12/2009 th USD
	12 months Local GAAP	12 months Local GAAP	12 months Local GAAP	12 months Local GAAP
Exchange rate: RUB/USD	0.03292	0.03106	0.03281	0.03306
Operating revenue (Turnover)	2,238,330	2,042,943	1,662,779	1,542,087
P/L before tax	158,554	148,470	74,050	46,418
P/L for period [=Net income]	140,933	118,235	187,991	26,709
Cash flow	n.a.	n.a.	n.a.	n.a.
Total assets	1,534,762	1,502,380	1,535,010	1,109,377
Shareholders funds	711,410	676,592	639,253	454,735
Current ratio (x)	1.11	1.23	0.76	0.97
Profit margin (%)	7.08	7.27	4.45	3.01
ROE using P/L before tax (%)	22.29	21.94	11.58	10.21
ROCE using P/L before tax (%)	21.23	19.23	17.32	11.45
Solvency ratio (Asset based) (%)	46.35	45.04	41.65	40.99
Price earning ratio (x)	n.a.	n.a.	n.a.	n.a.
Number of employees	339	297	252	254

Local registry filing/Unconsolidated	31/12/2008 th USD	31/12/2007 th USD
	12 months Local GAAP	12 months Local GAAP
Exchange rate: RUB/USD	0.03404	0.04074
Operating revenue (Turnover)	2,105,887	1,841,241
P/L before tax	90,313	42,494
P/L for period [=Net income]	57,478	11,225
Cash flow	n.a.	n.a.
Total assets	1,111,011	893,116
Shareholders funds	438,142	76,367
Current ratio (x)	1.08	0.48
Profit margin (%)	4.29	2.31
ROE using P/L before tax (%)	20.61	55.65
ROCE using P/L before tax (%)	17.05	22.26
Solvency ratio (Asset based) (%)	39.44	8.55
Price earning ratio (x)	n.a.	n.a.
Number of employees	303	337

Bates No. 2018-08-116: 009120
Pending Consultation with
Another Component of
Treasury