

Bates Nos. 2018-06-192:

001610-001627

Withheld in Full Pursuant to

(b)(4) with Parts

Pursuant to (b)(6)

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(b)(5) ? UKRAINE-EO13661-2018-352985-1

From: (b)(6)
To: "Blackborow, Davin" (b)(6), "Miller, Jeanette" (b)(6)
Date: Wed, 16 May 2018 10:08:36 -0400

Good morning Davin and Jeanette—

(b)(6) asked me to reach out to you both to get your thoughts on whether we should (b)(5)
(b)(5) ? If so, would I (b)(5), (b)(6) [?] (b)(5)

Thanks
(b)(6)

The Permanent Court of Arbitration (PCA) is writing on behalf of the applicant—Jean Kalicki. Ms. Kalicki is the Presiding Arbitrator in proceedings being administered by the PCA between Oleg Deripaska and the State of Montenegro. The arbitration was commenced on December 5, 2016 and sits in Stockholm, Sweden. Ms. Kalicki (along with the other members of the Arbitral Tribunal) are paid for their time and expenses from funds held in a case deposit—into which both Deripaska and the State of Montenegro contribute. The case deposit is held in Euros in a Netherlands bank account.

The PCA was advised by the US embassy in The Hague to apply for a specific license from OFAC. The PCA requests authorization for Ms. Kalicki's engagement as an arbitrator in this arbitration and to accept fees for that service.

NOTE: While the State of Montenegro and Deripaska have both expressed a preference that Ms. Kalicki remain as presiding arbitrator, (b)(5)
(b)(5)

(b)(6)

Sanctions Licensing Officer | Office of Foreign Assets Control (OFAC)
U.S. Department of the Treasury
1500 Pennsylvania Avenue NW, Washington D.C. 20220
Email: (b)(6)
Telephone: (b)(6)

RE: Licensing - Contact Us : UKRAINE-EO 13662-2018-353695-1.

From: (b)(6)
To: (b)(6)
Date: Thu, 31 May 2018 15:56:47 -0400

Thank you (b)(6) Have a nice afternoon and evening.

Sincerely,
(b)(6)

From: (b)(6)
Sent: Thursday, May 31, 2018 3:55 PM
To: (b)(6)
Subject: RE: Licensing - Contact Us : UKRAINE-EO 13662-2018-353695-1.

Thanks—I talked with Mike this morning. (FYI (b)(6), (b)(6) is a Licensing Chief who works in OFAC's Miami office. Mike is an airline attorney, and (b)(6) handled airline licenses to Cuba back before they were GL'd.)

(b)(6)

From: (b)(6)
Sent: Thursday, May 31, 2018 3:06 PM
To: (b)(6)
Subject: FW: Licensing - Contact Us : UKRAINE-EO 13662-2018-353695-1.

Hello (b)(6),

Please read below.

Thanks

From: OFAC_Feedback
Sent: Thursday, May 31, 2018 1:34 PM
To: (b)(6)
Subject: FW: Licensing - Contact Us : UKRAINE-EO 13662-2018-353695-1.

Good afternoon,

Mr. Goldman is pressing for a response from licensing regarding his client's license application. The application is related to charter aircraft flights for the upcoming 2018 World Cup that will take place in Russia. The reason that I bring it to your attention is because he cc'd (b)(6). I do not know who is (b)(6), but I did see that the case is assigned to (b)(6). Kindly forward the pressing emails to (b)(6). Thank you!

Kind regards,
(b)(6)

OFAC Feedback

From: Mike Goldman [<mailto:mgoldman@sgbdc.com>]
Sent: Thursday, May 31, 2018 9:37 AM
To: OFAC_Feedback; (b)(6)
Cc: Mike Goldman
Subject: RE: Licensing - Contact Us : UKRAINE-EO 13662-2018-353695-1.

Dear (b)(6):

Thank you for your prompt response. The point of our email was to underscore the need for expedited treatment of our request by OFAC since our first transaction (a charter flight into Russia) is due to occur on June 11, 2018. These are World Cup charter flights to airports in Russia controlled by Deripaska and Vekselberg entities.

Sincerely,

Michael Goldman

Counsel for SAS

From: OFAC_Feedback@treasury.gov <OFAC_Feedback@treasury.gov>
Sent: Wednesday, May 30, 2018 4:34 PM
To: Mike Goldman <mgoldman@sbgdc.com>
Subject: RE: Licensing - Contact Us

Good afternoon. Your email has been forwarded to the Licensing Division. Thank you.

Sincerely,

(b)(6)
Sanctions Compliance & Evaluation
Office of Foreign Assets Control (OFAC)
U.S. Department of the Treasury
1500 Pennsylvania Ave. NW
Washington, DC 20220
Toll Free: 1-800-540-6322
Local: 1-202-622-2490
Email: OFAC_Feedback@treasury.gov
Website: www.treasury.gov/ofac

From: Mike Goldman [<mailto:mgoldman@sbgdc.com>]
Sent: Wednesday, May 30, 2018 12:15 PM
To: OFAC_Feedback@treasury.gov
Cc: Mike Goldman <mgoldman@sbgdc.com>
Subject: Licensing - Contact Us

Dear Sir:

We filed a request for our client SAS for interpretive guidance under the Russian oligarch sanctions announced April 6, 2018 on May 23, 2018. Our case number is UKRAINE-EO 13662-2018-353695-1. The purpose of this message is to ask whether any additional information is needed to process our guidance request.

Since our first transaction (a charter flight into Russia) is due to occur on June 11, 2018, we are requesting expedited treatment.

Sincerely,

Michael Goldman

Counsel for SAS

Form Returned: Clearance Passing Request Worksheet_Torshin.pdf

From: (b)(6), (b)(7)(C)
To: (b)(7)(E)
Date: Fri, 01 Jun 2018 11:13:26 -0400
Attachments: Clearance Passing Request Worksheet_Torshin.pdf (133.31 kB)

(b)(6), (b)(7)(C)

Here are the additional 2 persons that will accompany us on Tuesday afternoon.

(b)(6), (b)(7)(C)

Bates No. 2018-06-192: 001641

Withheld in Full Pursuant to

(b)(5) and (b)(7)(E)

With Portions Pursuant to

(b)(6) and (b)(7)(C)

From: (b)(6)
To: (b)(6)
Date: Mon, 04 Jun 2018 16:06:07 -0400
Attachments: Kalicki Application.pdf (275.75 kB); Kalicki Passport.pdf (185.34 kB); PCA Website Details.pdf (226 kB)

Hi (b)(6) —

Here's another case where I was unable to get a response from Davin/Jeanette whether (b)(5)
(b)(5). Below, I've prepared a draft (b)(5)

Thanks,
(b)(6)

(b)(5), (b)(6)

(b)(6)
Sanctions Licensing Officer | Office of Foreign Assets Control (OFAC)
U.S. Department of the Treasury
1500 Pennsylvania Avenue NW, Washington D.C. 20220
Email: (b)(6)
Telephone: (b)(6)

RE: Form Returned: Clearance Passing Request Worksheet_Torshin.pdf

From: (b)(7)(E)
To: (b)(6), (b)(7)(C), (b)(7)(E)
Date: Tue, 05 Jun 2018 13:14:08 -0400

Yes, (b)(6), (b)(7)(C) and (b)(6), (b)(7)(C) clearances have been passed.

(b)(6), (b)(7)(C)

-----Original Message-----
From: (b)(6), (b)(7)(C)
Sent: Tuesday, June 05, 2018 1:13 PM
To: (b)(7)(E)
Subject: RE: Form Returned: Clearance Passing Request Worksheet_Torshin.pdf

Hi,

Could you please confirm that these additional persons' clearances were also passed for today's briefing?

Thanks,
(b)(6), (b)(7)(C) Senior Advisor, Office of Foreign Assets Control, U.S. Treasury Department, (b)(6), (b)(7)(C) (O), (b)(6), (b)(7)(C)
(b)(6), (b)(7)(C) (M)

-----Original Message-----
From: (b)(6), (b)(7)(C)
Sent: Friday, June 01, 2018 11:13 AM
To: (b)(7)(E)
Subject: Form Returned: Clearance Passing Request Worksheet_Torshin.pdf

(b)(6),
(b)(7)(C)

Here are the additional 2 persons that will accompany us on Tuesday afternoon.

(b)(6), (b)(7)(C)

RE: FinCEN (b)(3) 31 U.S.C. 5319

From: (b)(6)
To: (b)(6) <_DL_OFAC OCE-(ENF) Enforcement - Section A
<_dl_ofac enforcement-sectiona@do.treas.gov>
Date: Tue, 05 Jun 2018 16:54:21 -0400

Swiss Banks Freeze \$1Bn of Russian Oligarch's Accounts Over U.S. Sanctions Fears

<https://themoscowtimes.com/news/swiss-banks-freeze-1bln-russian-oligarchs-accounts-over-us-sanctions-fears-61681>

From: (b)(6)
Sent: Tuesday, June 5, 2018 12:46 PM
To: <_DL_OFAC OCE-(ENF) Enforcement - Section A < DL_OFAC Enforcement-SectionA@do.treas.gov>
Subject: FW: FinCEN (b)(3) 31 U.S.C. 5319
Importance: High

Hey Team,

FinCEN (b)(3) 31 U.S.C. 5319, (b)(5)

From: Dondarski, Michael
Sent: Monday, June 4, 2018 4:30 PM
To: (b)(6)
Subject: FW: FinCEN (b)(3) 31 U.S.C. 5319
Importance: High

Please feel free to distribute to your teams, or individual ENF Officers, in case they would find this useful or have active/potential cases.

Duplicative of content in Bates No. 2018-06-192: 001600

Bates No. 2018-06-192: 001661

Withheld in Full

Pursuant to (b)(4) and (b)(5)

With Portions Pursuant to

(b)(6)

Bates Nos. 2018-06-192:
001669-001671
Withheld in Full
Pursuant to (b)(4)
With Portions Pursuant to
(b)(5) and (b)(6)

FW: Russia/Ukraine Sanctions - Questions regarding support for (b)(4)

(b)(4)

From: (b)(6)
To: "Blackborow, Davin" (b)(6) (b)(6)
(b)(6) (b)(6)
Cc: "Manfull, Alexandre" (b)(6)
Date: Wed, 13 Jun 2018 12:06:40 -0400
Attachments: RE_ Licensing - Contact Us _ UKRAINE-EO 13662-2018-353695-1..msg (57.86 kB)

Hi all,

(b)(5)

Correct me if I am wrong but (b)(5) (b)(5)

(b)(5)

Thanks

(b)(6)

From: OFAC_Feedback
Sent: Monday, June 11, 2018 8:28 AM
To: (b)(6)
Subject: FW: Russia/Ukraine Sanctions - Questions regarding support for (b)(4)
(b)(4)

Hi (b)(6),

(b)(6) responded to your email clarifying the services that World Fuel Services may provide (b)(4) (b)(5)

(b)(4), (b)(5), (b)(6)

Kind regards,

(b)(6)

From: (b)(6)
Sent: Friday, June 08, 2018 7:19 PM
To: OFAC_Feedback
Subject: RE: Russia/Ukraine Sanctions - Questions regarding support for (b)(4)
(b)(4)

Greetings,

Thank you so much for the quick response – it is very much appreciated.

As requested, the following is a summary list of the typical activities that we might undertake (b)(4)

(b)(4)

(b)(4)

Thank you again for your consideration, and please let us know if you have any additional questions or require any additional information.

Very kind regards and have a great weekend,

(b)(6)

Senior Counsel - Compliance

(b)(6)

World Fuel Services
9800 N.W. 41st Street, Suite 400
Miami, Florida 33178

This communication (including attachments) may be confidential and protected by attorney-client privilege

From: OFAC_Feedback@treasury.gov [mailto:OFAC_Feedback@treasury.gov]

Sent: Friday, June 08, 2018 4:58 PM

To: (b)(6)

Subject: [EXTERNAL]RE: Russia/Ukraine Sanctions - Questions regarding support for (b)(4)
(b)(4)

Greetings,

Could you clarify what services you would be providing to facilitate flights (b)(4)

Regards,

Sanctions Compliance & Evaluation
Office of Foreign Assets Control (OFAC)
U.S. Department of the Treasury
1500 Pennsylvania Ave. NW
Washington, DC 20220
Toll Free: 1-800-540-6322
Local: 1-202-622-2490
Email: OFAC_Feedback@treasury.gov
Website: www.treasury.gov/ofac

(b)(6)

From: (b)(6)

Sent: Friday, June 08, 2018 11:08 AM

To: OFAC_Feedback <OFAC_Feedback@treasury.gov>

Subject: Russia/Ukraine Sanctions - Questions regarding support for (b)(4)
(b)(4)

To Whom It May Concern:

I write on behalf of World Fuel Services Corporation, a global fuel and transport logistics provider based in Miami, Florida.

With the World Cup scheduled to start in Russia in one week, the potential impact of the current Russia/Ukraine-related sanctions is front of mind.

In particular, we write requesting clarification on the extent to which OFAC may consider facilitating flights (b)(4)

(b)(4), to be a violation of existing OFAC regulations.

(b)(4)

(b)(4)

During consultations with the OFAC hotline representative, it was noted that the questions we raise below are common ones and that OFAC may be working to finalize answers to these and related issues. It was suggested that we write in to provide the following questions so that the OFAC team may have them in mind if/when crafting guidance:

1. Would facilitating flights in any capacity (b)(4) be considered a violation of current OFAC regulations?
2. Would facilitating transactions ordinarily incident to flights (b)(4) be permissible under current OFAC regulations?
3. Would facilitating transactions (b)(4) be permissible under current OFAC regulations?

Thank you very much for your consideration.

Very kind regards,

(b)(6)

Senior Counsel - Compliance

(b)(6)

World Fuel Services
9800 N.W. 41st Street, Suite 400
Miami, Florida 33178

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Bates Nos. 2018-06-192:

001676-001677

Duplicate

Bates Nos. 2018-06-192:

001637-001638

RE: State Referral: UKRAINE-EO13661-2018-352985-1 (Kalicki)

From: (b)(6)
To: non-tsra@state.gov, State (b)(6)
State (b)(6)
Cc: (b)(6)
"Blackborow, Davin" (b)(6) "Miller, Jeaneite" (b)(6)
Date: Mon, 18 Jun 2018 12:46:09 -0400
Attachments: Kalicki Application.pdf (275.75 kB); Kalicki Passport.pdf (185.34 kB); PCA Website Details.pdf (226 kB)

State Referral: Jean Kalicki – Ukraine-EO13661-2018-352985-1

OFAC has received a request (the "Application") (attached) from the Permanent Court of Arbitration (PCA), on behalf of Jean Kalicki, seeking authorization for Ms. Kalicki to continue as the Presiding Arbitrator in an arbitration between Oleg Deripaska, a person designated under Executive Order (E.O.) 13661 of March 17, 2014 and E.O. 13662 of March 20, 2014, and the State of Montenegro, and to accept fees for such services.

According to the Application, the PCA is an inter-governmental organization established pursuant to the 1899 and 1907 Hague Conventions to provide dispute resolution services to the international community. The PCA has 121 contracting parties, of which the United States has been one since 1900. In the proceeding at issue, Ms. Kalicki acts as one of three members of an arbitral tribunal that will decide the dispute between Mr. Deripaska and the State of Montenegro. The arbitration commenced on December 5, 2016 pursuant to an Agreement between the Government of the Russian Federation and the Federal Government of the Republic of Yugoslavia for the Promotion and Protection of Investments, signed on October 11, 1995. The arbitration is seated in Stockholm, Sweden. On March 20, 2017, the parties to the arbitration and the members of the tribunal signed the Terms of Appointment, in which the members of the tribunal (including Ms. Kalicki) confirmed that they are and shall remain impartial and independent of the parties. Both the State of Montenegro and Mr. Deripaska have expressed a preference that Ms. Kalicki remain as Presiding Arbitrator in the case.

Members of the arbitral tribunal (including Ms. Kalicki) are paid for their time and expenses from funds held in a case deposit. The case deposit is held in Euros in a Netherlands bank account. Both Mr. Deripaska and the State of Montenegro contribute equally to the case deposit.

The Application notes that the U.S. embassy advised the PCA by email that Ms. Kalicki should seek a specific license to authorize, to the extent otherwise prohibited, services incident to Ms. Kalicki's engagement as arbitrator in this arbitration and the acceptance of fees for that service. Therefore, OFAC requests State's foreign policy guidance on the activities described above and in the application.

If you have any questions about the Application, please feel free to contact (b)(6), Case Officer, or (b)(6) (b)(6) Program Manager.



United States
Office of Foreign Assets Control
U.S. Department of the Treasury
Treasury Annex
1500 Pennsylvania Avenue, NW
Washington, DC 20220
United States

BY ONLINE SUBMISSION

AG 230596

May 4, 2018

DIRECT DIARY: State (b)(6)

E-MAIL: State (b)(6)

RE: PCA-KAL-001: APPLICATION FOR A SPECIFIC OFAC LICENCE FOR MS. JEAN KALICKI
UKRAINE-EO13661 AND UKRAINE-EO13662

Dear Mesdames,
Dear Sirs,

I write on behalf of Ms. Jean Kalicki, a U.S. citizen and the Applicant in this matter. Ms. Kalicki is the Presiding Arbitrator in proceedings being administered by the Permanent Court of Arbitration (the "PCA") between Mr. Oleg Vladimirovich Deripaska, a national of the Russian Federation, and the State of Montenegro. On 6 April 2018, Mr. Deripaska was added to the Specially Designated Nationals list by the Office of Foreign Assets Control in the U.S. Department of the Treasury. Seven companies linked to Mr. Deripaska also became subject to the sanctions on the same date. The applicable Executive Orders are UKRAINE-EO13661 and UKRAINE-EO13662.

Ms. Kalicki acts as one of three members of an arbitral tribunal that will decide the dispute between Mr. Deripaska and the State of Montenegro. The arbitration was commenced on December 5, 2016, pursuant to the Agreement between the Government of the Russian Federation and the Federal Government of the Republic of Yugoslavia for the Promotion and Protection of Investments, signed on October 11, 1995. The arbitration has its legal seat in Stockholm, Sweden. A copy of the details on the PCA's website in relation to this arbitration is attached as **Annex A**.

As a member of the arbitral tribunal, Ms. Kalicki is responsible for ensuring that the dispute between the parties is resolved in accordance with international law. Additionally, as the Presiding Arbitrator of the tribunal, she is responsible for ensuring that the arbitration proceeds both fairly and efficiently. On March 20, 2017, the Parties and the members of the Tribunal signed the Terms of Appointment in this arbitration, in which the members of the Tribunal (including Ms. Kalicki) confirmed that they are and shall remain impartial and independent of the Parties.

The PCA is an inter-governmental organization established pursuant to the 1899 and 1907 Hague Conventions to provide dispute resolution services to the international community, with the aim of promoting the peaceful settlement of international disputes. The PCA has 121 contracting parties, of which the United States has been one since 1900. From time to time and as part of its Registry function in the proceedings referred to in this letter, the PCA holds funds designated for the arbitration in a case deposit. The case deposit is held, along with those for various other arbitral proceedings administered by the PCA, in Euros in a Netherlands bank account. In accordance with directions made by the Arbitral Tribunal, both Mr. Deripaska and the State of Montenegro contribute equally to the case deposit.

At certain points throughout the arbitration proceedings, members of the Arbitral Tribunal (including the Applicant) are paid for their time and expenses from the funds held in the case deposit.

In April 2018, the PCA contacted the U.S. embassy in The Hague on Ms. Kalicki's behalf to enquire as to whether Ms. Kalicki's involvement as Presiding Arbitrator could amount to a "service" that would be prohibited by sanctions. The U.S. embassy advised the PCA by email that Ms. Kalicki should seek a specific licence to authorize, to the extent otherwise prohibited, services incident to Ms. Kalicki's engagement as arbitrator in this arbitration and the acceptance of fees for that service.

The PCA notes that the State of Montenegro and the Claimant have both expressed a preference that Ms. Kalicki remain as presiding arbitrator in this case. Maintenance of the *status quo* would ensure the orderly conduct of the proceedings and assist in the speedy resolution of this dispute by avoiding the need for the appointment of a new presiding arbitrator.

An application for a specific licence is therefore made for the authorization, to the extent otherwise prohibited, of services incident to Ms. Kalicki's engagement as the Presiding Arbitrator in this arbitration, as well as the acceptance of fees for that service.

For completeness, the names and addresses of Ms. Kalicki, the PCA, and the Parties to the arbitration are as follows:

Ms. Jean Kalicki
Treasury, State (b)(6)
[Redacted]

The State of Montenegro
(Government of Montenegro)
Karadordeva bb
81000 Podgorica
Montenegro

-or-
Treasury, State (b)(6)
[Redacted]

Mr. Oleg Vladimirovich Deripaska
Treasury, State (b)(6)
[Redacted]

The Permanent Court of Arbitration
Peace Palace
Carnegieplein 2
2517 KJ, The Hague
Netherlands

Please do not hesitate to contact me if you have any questions or concerns in relation to this application. I can be reached via email [Redacted] State (b)(6) and by telephone [Redacted] State (b)(6)

Yours sincerely,
Treasury, State (b)(6)
[Redacted]

Helen Brown
Legal Counsel

cc: U.S. Embassy, The Hague, Netherlands
Ms. Jean E. Kalicki (by e-mail: [Redacted] State (b)(6))



Permanent Court of Arbitration
PCA Case Repository

Mr. Oleg Vladimirovich Deripaska (Russian Federation) v. the State of Montenegro

Case name	Mr. Oleg Vladimirovich Deripaska (Russian Federation) v. the State of Montenegro
Case description	The PCA acts as Registry in this arbitration, which is being conducted under the UNCITRAL Arbitration Rules 1976, pursuant to the Agreement between the Government of the Russian Federation and the Federal Government of the Republic of Yugoslavia for the Promotion and Reciprocal Protection of Investments of 11 October 1995.
Name(s) of claimant(s)	Mr. Oleg Vladimirovich Deripaska (- Other -)
Name(s) of respondent(s)	The State of Montenegro (State)
Names of parties	
Case number	2017-07
Administering institution	Permanent Court of Arbitration (PCA)
Case status	Pending
Type of case	Investment arbitration
Subject matter or economic sector	Manufacturing
Rules used in arbitral proceedings	UNCITRAL Arbitration Rules 1976
Treaty or contract under which proceedings were commenced	Bilateral treaty Agreement between the Government of the Russian Federation and the Federal Government of the Republic of Yugoslavia for the Promotion and Reciprocal Protection of Investments of 11 October 1995 Country A: Russian Federation Country B: - N/A -
Language of proceeding	English
Seat of arbitration (by country)	
Arbitrator(s)	Ms. Jean E. Kalicki (Presiding Arbitrator) Prof. Zachary Douglas QC Prof. Brigitte Stern
Representatives of the claimant(s)	Mr. Jonathan Schiller Mr. Kenneth Beale Mr. William Hooker Mr. Peter Barnett Mr. David Turner BOIES, SCHILLER & FLEXNER (UK) LLP (London, United Kingdom) Mr. Dmitry Dyakin Mr. Dmitry Kaysin Mr. Vsevolod Taraskin EGOROV PUGINSKY AFANASIEV & PARTNERS (Moscow, Russian Federation)
Representatives of the respondent(s)	Mr. Slaven Moravčević Ms. Jelena Bezarević Pajić Ms. Tanja Šumar Ms. Vanja Tica MORAČEVIĆ VOJNOVIĆ & PARTNERS IN COOPERATION WITH SCHÖNHERR (Belgrade, Republic of Serbia)

Mr. David Pawlak
DAVID A. PAWLAK LLC
(Warsaw, Poland)

Representatives of the parties

Number of arbitrators in case 3

Date of commencement of proceeding [dd-mm-yyyy] 05-12-2016

Date of issue of final award [dd-mm-yyyy]

Length of proceedings Pending

Additional notes

Attachments



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RE: State Referral: UKRAINE-EO13661-2018-352985-1 (Kalicki)

From: [REDACTED] (b)(6)
To: [REDACTED] (b)(6)
Bcc: [REDACTED] (b)(6)
Date: Mon, 18 Jun 2018 12:50:49 -0400
Attachments: Kalicki Application.pdf (275.75 kB); Kalicki Passport.pdf (185.34 kB); PCA Website Details.pdf (226 kB)

FYI

Duplicative of content in Bates No. 2018-06-192: 001678



RE: State Referral: UKRAINE-EO13661-2018-352985-1 (Kalicki)

From: (b)(6)
To: (b)(6)
Date: Tue, 19 Jun 2018 13:46:06 -0400

Thanks (b)(6) Noted this case as a state referral and moved it into the appropriate section on the tracker.

Duplicative of content in Bates No. 2018-06-192: 001683



Bates Nos. 2018-06-192:

001685-001688

Withheld in Full Pursuant to

(b)(4) with Portions

Pursuant to (b)(6)

Bates Nos. 2018-06-192:

001689-001690

Withheld in Full Pursuant to

(b)(4) and (b)(5)

with Portions

Pursuant to (b)(6)

RE: Kalicki Arbitration License Ready for Review: UKRAINE-EO13661-2018-352985-1

From: (b)(6)
To: (b)(6)
Cc: (b)(6)
Date: Tue, 26 Jun 2018 10:24:17 -0400

Excellent. Thank you, (b)(6)

From: (b)(6)
Sent: Tuesday, June 26, 2018 10:22 AM
To: (b)(6)
Cc: (b)(6)
Subject: RE: Kalicki Arbitration License Ready for Review: UKRAINE-EO13661-2018-352985-1

Thanks, (b)(6) and (b)(6), (b)(5)

From: (b)(6)
Sent: Monday, June 25, 2018 7:28 PM
To: (b)(6)
Cc: (b)(6)
Subject: RE: Kalicki Arbitration License Ready for Review: UKRAINE-EO13661-2018-352985-1

(b)(6) and (b)(6):

I just cleared on a draft license to authorize a US citizen to continue to act as Presiding Arbitrator on the Permanent Court of Arbitration in an arbitration between Deripaska and the State of Montenegro. State has requested expedited consideration. It is now with OCC for review and clearance. Please let me or (b)(6) know if you have any questions.

Thank you,

(b)(6)

From: (b)(6)
Sent: Friday, June 22, 2018 3:31 PM
To: (b)(6)
Subject: Kalicki Arbitration License Ready for Review: UKRAINE-EO13661-2018-352985-1

Just a heads up that this license is now ready for your review.

Have a good weekend!

(b)(6)

(b)(6)
Sanctions Licensing Officer | Office of Foreign Assets Control (OFAC)
U.S. Department of the Treasury
1500 Pennsylvania Avenue NW, Washington D.C. 20220
Email: (b)(6)
Telephone: (b)(6)

RE: Legal Services License Ready for Review and Signature: UKRAINE-EO13661-2018-352985-1

From: "Miller, Jeanette" (b)(6)
To: (b)(6)
Date: Tue, 26 Jun 2018 12:26:49 -0400

There is a few different ways to put it, so I'll just put (the "Licensee") next to Jean's name. Thanks!

From: (b)(6)
Sent: Tuesday, June 26, 2018 12:21 PM
To: Miller, Jeanette (b)(6)
Subject: RE: Legal Services License Ready for Review and Signature: UKRAINE-EO13661-2018-352985-1

So Helen Brown is the individual who submitted the application by the PCA. Jean Kalicki is the Licensee, so my understanding was that the Licensee is always on the top of the address block. Let me know if that's not the case!

From: Miller, Jeanette
Sent: Tuesday, June 26, 2018 12:20 PM
To: (b)(6)
Subject: RE: Legal Services License Ready for Review and Signature: UKRAINE-EO13661-2018-352985-1

Thanks! Just confirming that both Jean and Helen's name should be on the address block on the front of the license? Thanks!

From: (b)(6)
Sent: Tuesday, June 26, 2018 11:32 AM
To: Miller, Jeanette (b)(6)
Subject: Legal Services License Ready for Review and Signature: UKRAINE-EO13661-2018-352985-1
Importance: High

Hi Jeanette—Counsel has cleared on a license to allow Jean Kalicki to continue to serve as the Presiding Arbitrator in a case before the Permanent Court of Arbitration in the Hague between SDN Oleg Deripaska and the State of Montenegro.

Please note that there was some discussion between (b)(6) and Counsel about (b)(5)

(b)(5)
(b)(5) You can see the discussion in the draft license document.

Thanks.

(b)(6)

(b)(6)
Sanctions Licensing Officer | Office of Foreign Assets Control (OFAC)
U.S. Department of the Treasury
1500 Pennsylvania Avenue NW, Washington D.C. 20220
Email: (b)(6)
Telephone: (b)(6)

Bates Nos. 2018-06-192:
001703-001704
Withheld in Full
Pursuant to (b)(4)
With Portions Pursuant to
(b)(5) and (b)(6)

(b)(6)

June 1, 2018 – June 7, 2018

Upcoming Significant Matters

Activities for the Week Ending June 7, 2018 to be Reported to E&I

Policy/Licensing

Russia-Related Legal Services License.

(b)(4), (b)(5)

(b)(6)

AGCO License (Russia). We cleared OFAC's issuance of a license authorizing AGCO, a U.S. company, to engage in transactions with Basic Element (a holding company owned by Oleg Deripaska) that were consistent with what had previously been authorized by GL 12C as it winds down its transactions with the GAZ Group in Russia. This is one of only a few licenses that have become necessary as a result of the expiration of GL 12C. (b)(6)

Designations/Delisting

Congressional

Briefing with Wyden Staff. We joined OFAC, Treasury Legislative Affairs, and OIA for a briefing of Wyden staffers on the designation of a Russian official who was designated as part of the oligarch tranche. (b)(5) (b)(6)

Litigation

PacNet v. Treasury (EDNY). PacNet filed its brief in support of a motion to hold Treasury in civil contempt as a result of the actions taken by the Department of Justice in relation to forfeitures of funds PacNet either sought to interplead or interplead consistent with the terms of removal agreement reached between Treasury and PacNet in September 2017. We are discussing with DOJ Counsel regarding how to respond. (b)(6)

Enforcement

Administrative

Status of Files

No red files.

RUSSIAN OLIGARCHS SANCTIONS ROLL OUT
(April 6, 2018 – 8-9:30 AM EST)

PRESS & SURROGATES CALLS, SCRIPT + Q&A

Call Info: Principal call prep at the White House: 7:45 AM.
Press Background Call: 8:00 AM.
Surrogates Call: 8:30 AM

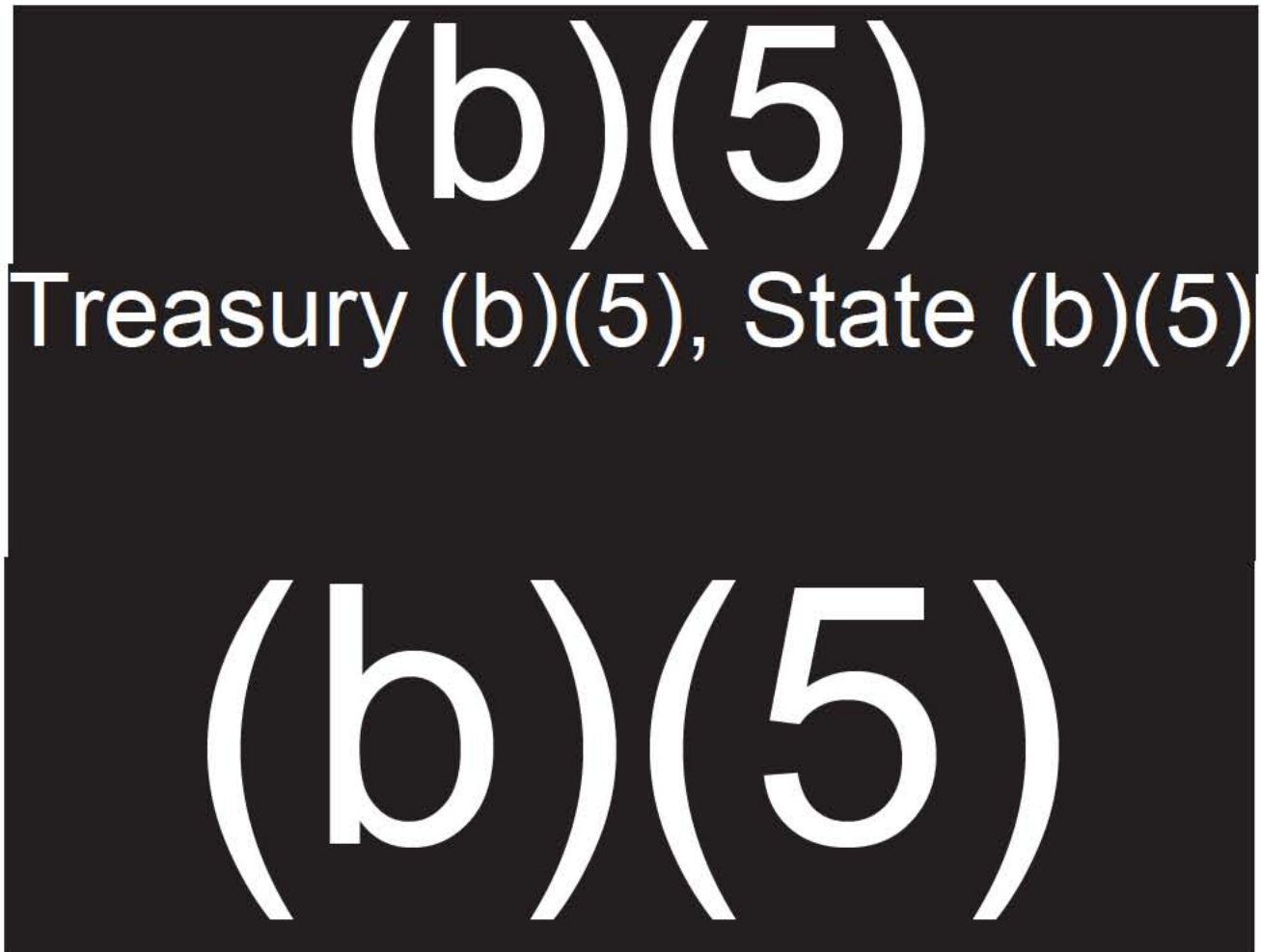
Location: EEOB Room 234

Attendees/Order: NSC Comms., Michael Anton (or Robert Palladino) Moderator →
Treasury, Under Secretary for TFI Sigal Mandelker → State, Assistant
Secretary Wess Mitchell

Call-in information: In-Person for Speakers. NSC Comms will introduce the USG participants, set attribution and ground rules to the callers. *NOTE: Please note that there are two calls, which will be substantively similar, and Treasury will focus on the designations.*

Treasury Script:

Overview:



Bates Nos. 2018-06-192:
001719-001720
Withheld in Full
Pursuant to (b)(5)